



# Web Services Security SOAP Messages with Attachments (SwA) Profile 1.1

OASIS Public Review Draft 01, 28 June 2005

**Document identifier:**

wss-v1.1-spec-pr-SwAProfile-01

**OASIS identifier:**

{WSS: SOAP Message Security }-{SwA Profile}-{1.1} (OpenOffice) (PDF)

**Location:**

Persistent: [persistent location]

This Version: <http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-swa-profile-1.1>

Previous Version: none

**Technical Committee:**

OASIS Web Services Security (WSS) TC

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**Abstract:**

This specification defines how to use the OASIS Web Services Security: SOAP Message Security standard [WSS-Sec] with SOAP Messages with Attachments [SwA].

**Status:**

This document was last revised or approved by the Web Services Security TC on the above date. The level of approval is also listed above. Check the current location noted above for possible later revisions of this document. This document is updated periodically on no particular schedule.

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# 1 Introduction

93

94 This section is non-normative. Note that sections 2.2 and 5 are normative. All other sections are non-  
95 normative.

96 This document describes how to use the OASIS Web Services Security: SOAP Message Security  
97 standard [WSS-Sec] with SOAP Messages with Attachments [SwA]. More specifically, it describes how a  
98 web service consumer can secure SOAP attachments using SOAP Message Security for attachment  
99 integrity, confidentiality and origin authentication, and how a receiver may process such a message.

100 A broad range of industries - automotive, insurance, financial, pharmaceutical, medical, retail, etc - require  
101 that their application data be secured from its originator to its ultimate consumer. While some of this data  
102 will be XML, quite a lot of it will not be. In order for these industries to deploy web service solutions, they  
103 need an interoperable standard for end-to-end security for both their XML data and their non-XML data.

104 Profiling SwA security may help interoperability between the firms and trading partners using attachments  
105 to convey non-XML data that is not necessarily linked to the XML payload. Many industries, such as the  
106 insurance industry require free-format document exchange in conjunction with web services messages.  
107 This profile of SwA should be of value in these cases.

108 In addition, some content that could be conveyed as part of the SOAP body may be conveyed as an  
109 attachment due to its large size to reduce the impact on message and XML processing, and may be  
110 secured as described in this profile.

111 This profile is applicable to using SOAP Message Security in conjunction with SOAP Messages with  
112 Attachments (SwA). This means the scope is limited to SOAP 1.1, the scope of SwA.

113 Goals of this profile include the following:

- 114 • Enable those who choose to use SwA to secure these messages, including chosen attachments, using  
115 SOAP Message Security
- 116 • Allow the choice of securing MIME header information exposed to the SOAP layer, if desired.
- 117 • Do not interfere with MIME transfer mechanisms, in particular, allow MIME transfer encodings to  
118 change to support MIME transfer, despite support for integrity protection.
- 119 • Do not interfere with the SOAP processing model – in particular allow SwA messages to transit SOAP  
120 intermediaries.

121 Non-goals include:

- 122 • Provide guidance on which of a variety of security mechanisms are appropriate to a given application.  
123 The choice of transport layer security (e.g. SSL/TLS), S/MIME, application use of XML Signature and  
124 XML Encryption, and other SOAP attachment mechanisms (MTOM) is explicitly out of scope. This  
125 profile assumes a need and desire to secure SwA using SOAP Message security.
- 126 • Outline how different security mechanisms may be used in combination.
- 127 • Enable persisting signatures. It may be possible depending on the situation and measures taken, but is  
128 not discussed in this profile.
- 129 • Support signing and/or encryption of portions of attachments. This is not supported by this profile, but  
130 is not necessarily precluded. Application use of XML Signature and XML Encryption may be used to  
131 accomplish this. SOAP Message security may also support this in some circumstances, but this profile  
132 does not address or define such usage.

133 The existence of this profile does not preclude using other mechanisms to secure attachments conveyed  
134 in conjunction with SOAP messages, including the use of XML security technologies at the application  
135 layer or the use of security for the XML Infoset before a serialization that uses attachment technology

136 [MTOM]. The requirements in this profile only apply when securing SwA attachments explicitly according  
137 to this profile.

---

## 2 Notations and Terminology

138

139 This section specifies the notations, namespaces, and terminology used in this specification.

### 2.1 Notational Conventions

140

141 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD  
142 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as  
143 described in IETF RFC 2119 [RFC2119].

144

Listings of productions or other normative code appear like this.

145

Example code listings appear like this.

146

**Note:** Non-normative notes and explanations appear like this.

147 When describing abstract data models, this specification uses the notational convention used by the XML  
148 Infoset. Specifically, abstract property names always appear in square brackets (e.g., [some property]).

149 When describing concrete XML schemas [XML-Schema], this specification uses the notational convention  
150 of OASIS Web Services Security: SOAP Message Security. Specifically, each member of an element's  
151 [children] or [attributes] property is described using an XPath-like [XPath] notation (e.g., /  
152 x:MyHeader/x:SomeProperty/@value1). The use of {any} indicates the presence of an element wildcard  
153 (<xs:any/>). The use of @{any} indicates the presence of an attribute wildcard (<xs:anyAttribute/>).

154 Commonly used security terms are defined in the Internet Security Glossary [SECGLO]. Readers are  
155 presumed to be familiar with the terms in this glossary as well as the definitions in the SOAP Message  
156 Security specification [WSS-Sec] .

#### 2.1.1 Namespaces

157

158 Namespace URIs (of the general form "some-URI") represent application-dependent or context-  
159 dependent URIs as defined in RFC 2396 [RFC2396]. This specification is designed to work with the SOAP  
160 1.1 [SOAP11] message structure and message processing model, the version of SOAP supported by  
161 SOAP Messages with Attachments. The current SOAP 1.1 namespace URI is used herein to provide  
162 detailed examples.

163 The namespaces used in this document are shown in the following table (note that for brevity, the  
164 examples use the prefixes listed below but do *not* include the URIs – those listed below are assumed).

Prefix	Namespace
S11	<a href="http://schemas.xmlsoap.org/soap/envelope/">http://schemas.xmlsoap.org/soap/envelope/</a>
wsse	<a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd</a>
wsu	<a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd</a>
wsswa	<a href="http://docs.oasis-open.org/wss/2004/XX/oasis-2004XX-wss-swa-profile-1.0.xsd">http://docs.oasis-open.org/wss/2004/XX/oasis-2004XX-wss-swa-profile-1.0.xsd</a>

165 The URLs provided for the wsse and wsu namespaces can be used to obtain the schema files.

166 **Note:** When this document is finalized the wsswa URL will be updated, replacing XX  
167 values and possibly making other changes.

## 168 2.1.2 Acronyms and Abbreviations

169 The following (non-normative) table defines acronyms and abbreviations for this document, beyond those  
170 defined in the SOAP Message Security standard.

Term	Definition
CID	Content ID scheme for URLs. Refers to Multipart MIME body part, that includes both MIME headers and content for that part. [RFC2392]
SwA	SOAP Messages with Attachments [SwA]

## 171 2.2 Normative References

172	<b>[RFC 2119]</b>	S. Bradner. <i>Key words for use in RFCs to Indicate Requirement Levels</i> . IETF RFC 2119, March 1997. <a href="http://www.ietf.org/rfc/rfc2119.txt">http://www.ietf.org/rfc/rfc2119.txt</a> .
173		
174	<b>[CHARSETS]</b>	Character sets assigned by IANA. See <a href="ftp://ftp.isi.edu/in-notes/iana/assignments/character-sets">ftp://ftp.isi.edu/in-notes/iana/assignments/character-sets</a> .
175		
176	<b>[Excl-Canon]</b>	"Exclusive XML Canonicalization, Version 1.0", W3C Recommendation, 18 July 2002. <a href="http://www.w3.org/TR/xml-exc-c14n/">http://www.w3.org/TR/xml-exc-c14n/</a> .
177		
178	<b>[RFC2045]</b>	"Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies", IETF RFC 2045, November 1996, <a href="http://www.ietf.org/rfc/rfc2045.txt">http://www.ietf.org/rfc/rfc2045.txt</a> .
179		
180		
181	<b>[RFC2046]</b>	"Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types", IETF RFC 2046, November 1996, <a href="http://www.ietf.org/rfc/rfc2046.txt">http://www.ietf.org/rfc/rfc2046.txt</a> .
182		
183	<b>[RFC2047]</b>	"Multipurpose Internet Mail Extensions (MIME) Part Three: Message Header Extensions for Non-ASCII Text", IETF RFC 2047, November 1996, <a href="http://www.ietf.org/rfc/rfc2047.txt">http://www.ietf.org/rfc/rfc2047.txt</a> .
184		
185		
186	<b>[RFC2048]</b>	"Multipurpose Internet Mail Extensions (MIME) Part Four: Registration Procedures", <a href="http://www.ietf.org/rfc/rfc2048.txt">http://www.ietf.org/rfc/rfc2048.txt</a> .
187		
188	<b>[RFC2049]</b>	"Multipurpose Internet Mail Extensions(MIME) Part Five: Conformance Criteria and Examples", <a href="http://www.ietf.org/rfc/rfc2049.txt">http://www.ietf.org/rfc/rfc2049.txt</a> .
189		
190	<b>[RFC2119]</b>	S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels", IETF RFC 2119, March 1997, <a href="http://www.ietf.org/rfc/rfc2119.txt">http://www.ietf.org/rfc/rfc2119.txt</a> .
191		
192	<b>[RFC2184]</b>	P. Resnick, "MIME Parameter Value and Encoded Word Extensions: Character Sets, Languages, and Continuations", IETF RFC 2184, August 1997, <a href="http://www.ietf.org/rfc/rfc2184.txt">http://www.ietf.org/rfc/rfc2184.txt</a> .
193		
194		
195	<b>[RFC2392]</b>	E. Levinson, "Content-ID and Message-ID Uniform Resource Locators", IETF RFC 2392, <a href="http://www.ietf.org/rfc/rfc2392.txt">http://www.ietf.org/rfc/rfc2392.txt</a> .
196		
197	<b>[RFC2396]</b>	T. Berners-Lee, R. Fielding, L. Masinter, "Uniform Resource Identifiers (URI): Generic Syntax," RFC 2396, MIT/LCS, U.C. Irvine, Xerox Corporation, August 1998, <a href="http://www.ietf.org/rfc/rfc2396.txt">http://www.ietf.org/rfc/rfc2396.txt</a> .
198		
199		
200	<b>[RFC2557]</b>	"MIME Encapsulation of Aggregate Documents, such as HTML (MHTML)", IETF RFC 2557, March 1999, <a href="http://www.ietf.org/rfc/rfc2557.txt">http://www.ietf.org/rfc/rfc2557.txt</a> .
201		
202	<b>[RFC2633]</b>	Ramsdell B., "S/MIME Version 3 Message Specification", Standards Track RFC 2633, June 1999. <a href="http://www.ietf.org/rfc/rfc2633.txt">http://www.ietf.org/rfc/rfc2633.txt</a> .
203		
204	<b>[RFC2822]</b>	"Internet Message Format", IETF RFC 2822, April 2001, <a href="http://www.ietf.org/rfc/rfc2822.txt">http://www.ietf.org/rfc/rfc2822.txt</a> .
205		
206	<b>[SECGLO]</b>	"Internet Security Glossary," Informational RFC 2828, May 2000.
207	<b>[SOAP11]</b>	"SOAP: Simple Object Access Protocol 1.1", W3C Note, 08 May 2000.
208	<b>[SwA]</b>	"SOAP Messages with Attachments", W3C Note, 11 December 2000, <a href="http://www.w3.org/TR/2000/NOTE-SOAP-attachments-20001211">http://www.w3.org/TR/2000/NOTE-SOAP-attachments-20001211</a> .
209		

210	<b>[WS-I-AP]</b>	“Attachments Profile Version 1.0”, <i>Final Material</i> , 2004-08-24, <a href="http://www.ws-i.org/Profiles/AttachmentsProfile-1.0.html">http://www.ws-i.org/Profiles/AttachmentsProfile-1.0.html</a> .
211		
212	<b>[WSS-Sec]</b>	A. Nadalin et al., “Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)”, OASIS Standard 200401, March 2004, <a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf</a> .
213		
214		
215	<b>[XML-Schema]</b>	W3C Recommendation, “XML Schema Part 1: Structures”, 2 May 2001, <a href="http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/">http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/</a> .
216		
217		W3C Recommendation, “XML Schema Part 2: Datatypes”, 2 May 2001, <a href="http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/">http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/</a> .
218		
219	<b>[XML-Sig]</b>	W3C Recommendation, “XML-Signature Syntax and Processing”, 12 February 2002, <a href="http://www.w3.org/TR/xmlsig-core/">http://www.w3.org/TR/xmlsig-core/</a> .
220		
221	<b>[XPath]</b>	W3C Recommendation, “XML Path Language”, 16 November 1999, <a href="http://www.w3.org/TR/xpath">http://www.w3.org/TR/xpath</a> .
222		

## 2.3 Non-normative References

223		
224	<b>[DecryptT]</b>	M. Hughes et al, “Decryption Transform for XML Signature”, W3C Recommendation, 10 December 2002. <a href="http://www.w3.org/TR/xmlenc-decrypt/">http://www.w3.org/TR/xmlenc-decrypt/</a> .
225		
226	<b>[MTOM]</b>	“SOAP Message Transmission Optimization Mechanism”, W3C Recommendation, 25 January 2005, <a href="http://www.w3.org/TR/soap12-mtom/">http://www.w3.org/TR/soap12-mtom/</a> .
227		

## 3 MIME Processing

229 This profile is concerned with the securing of SOAP messages with attachments, attachments that are  
230 conveyed as MIME parts in a multi-part MIME message as outlined in SOAP Messages with Attachments  
231 [SwA]. This involves two processing layers, SOAP messaging and MIME transfer. This specification  
232 defines processing of a merged SOAP and MIME layer, in order to meet SwA security requirements. It  
233 relies on an underlying MIME transfer layer that allows changes to MIME transfer encoding as a message  
234 transits MIME nodes. This profile does not impose restrictions on that MIME transfer layer apart from  
235 aspects that are exposed to the SOAP processing layer. Likewise, this profile does not restrict the SOAP  
236 processing model, including use of SOAP intermediaries, allowing SOAP Messages with Attachments to  
237 transit SOAP nodes.

238 To accommodate the ability to secure attachment headers that are exposed to the SOAP message layer  
239 and application, this profile does not assume a strict protocol layering of MIME, SOAP and application.  
240 Rather, this profile allows a SOAP sender to create a primary SOAP envelope as well as attachments to  
241 be sent with the message. It is up to the application which, if any, of the attachments are referenced from  
242 SOAP header and/or body blocks. The application may be aware of, and concerned with, certain aspects  
243 of the attachment MIME representation, including Content-Type and Content-Length headers, to give two  
244 examples. Due to this concern, the application may choose to secure these exposed headers. This does  
245 not mean, however, that the application and SOAP layer are aware or concerned with all MIME headers  
246 used for MIME transit, in particular issues related to transfer encoding. The expectation is that the MIME  
247 processing layer of the sender and receiver will handle transfer encoding issues, hiding this detail from the  
248 processing layer associated with this profile. As a result, this specification focuses on those aspects of  
249 MIME processing that are exposed and of concern to higher protocol layers, while ignoring MIME transit  
250 specific details.

251 This model has two implications. First, it means that certain aspects of MIME processing, such as transfer  
252 encoding processing, are out of scope of the profile and do not need to be addressed. Secondly, it means  
253 that many of the MIME headers are also out of scope of the profile and the profile does not support  
254 integrity protection of these headers, since they are expected to change. If more security protection is  
255 required then it must occur by other means, such as with a protocol layer below the MIME layer, for  
256 example transport security (with the understanding that such security may not always apply end-end).

257 Use of this profile is intended to be independent of MIME-specific security processing, although care must  
258 be taken when using both SOAP Message Security and S/MIME. When conveyed end-to-end, S/MIME  
259 content may be conveyed opaquely as one or more attachments, as a MIME content type. If S/MIME  
260 security is to be used between nodes that convey the SOAP message, then this may also be opaque to  
261 SOAP Message Security, as long as the attachment that was sent by the initial SOAP sender is the same  
262 as that which is received by the receiving SOAP intermediary or ultimate SOAP receiver. Care must be  
263 taken to ensure this will be the case. Clearly SOAP Message Security encryption could prevent S/MIME  
264 processing of an attachment, and likewise S/MIME encryption could prevent SOAP Message Security  
265 signature verification if these techniques are interleaved. This potential concern is out of scope of this  
266 profile.

267

## 4 XML Attachments

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A SOAP Messages with Attachments multi-part MIME structure contains a primary SOAP envelope in the root part and one or more attachments in additional MIME parts. Some of these attachments may have a content type corresponding to XML, but do not contain the primary SOAP envelope to be processed.

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Some attachments associated with the SOAP body may be targeted at the SOAP Ultimate Receiver along with the SOAP body and may be processed at the application layer along with the body. Others may be targeted at intermediaries. How attachments are to be processed and how these attachments are referenced from SOAP header and body blocks, if at all, is dependent on the application. In many cases the attachment content may not need to be processed as XML as the message traverses intermediaries.

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Generally requiring canonicalization of XML attachments whenever transmitting them is undesirable, both due to the potential ambiguities related to the canonicalization context of the attachment (e.g. Is it an independent XML document, a portion of the primary SOAP envelope, etc) as well as the universal performance impact of such a canonicalization requirement. When XML attachment content is signed, then XML canonicalization is required, as is generally the case when signing XML.

281  
282

MIME part canonicalization (as described below) is required for non-XML attachments to enable SOAP Message Security signatures that are stable despite MIME transfer processing.

---

## 283 5 Securing SOAP With Attachments

284 Attachments may be associated with SOAP messages, as outlined in SOAP Messages with Attachments  
285 [SwA]. This profile defines how such attachments may be secured for integrity and confidentiality using the  
286 OASIS Web Services Security: SOAP Message Security standard. This does not preclude using other  
287 techniques. The requirements in this profile only apply when securing SwA attachments explicitly  
288 according to this profile.

289 This profile considers all attachments as opaque whether they are XML or some other content type. It is  
290 the sole responsibility of the application to perform further interpretation of attachments , including the  
291 ability to sign or encrypt portions of those attachments.

### 292 5.1 Primary SOAP Envelope

293 When SOAP attachments are used as specified in [SwA] each SOAP message is accompanied by a  
294 MIME header and possibly multiple boundary parts. This is known as a SOAP message package. This  
295 document assumes that a proper SOAP message package is constructed using the HTTP and MIME  
296 headers appropriate to [SwA].

297 The primary SOAP envelope SHOULD be conveyed in the first MIME part, but MAY be conveyed in  
298 another MIME part when the start attribute is specified in the HTTP Multipart/Related header.

299 In particular, implementations should take care in distinguishing between the HTTP headers in the SOAP  
300 message package and the start of the SOAP payload. For example, the following Multipart/Related  
301 header belongs to the HTTP layer and not the main SOAP payload:

```
302 Content-Type: Multipart/Related; boundary=xyl; type="text/xml"; start="<foo>"
```

303 The main SOAP payload begins with the appropriate boundary. For example:

```
304 --xyl  
305 Content-Type: text/xml; charset=utf-8  
306 Content-ID: <foo>  
  
307 <?xml version='1.0' ?>  
308 <s11:Envelope xmlns:s11="http://schemas.xmlsoap.org/soap/envelope/" />
```

### 309 5.2 Referencing Attachments

310 SOAP Messages with Attachments defines two MIME mechanisms for referencing attachments. The first  
311 mechanism uses a CID scheme URL to refer to the attachment that has a Content-ID MIME header with a  
312 value corresponding to the URL, as defined in [RFC 2392]. For example, a content id of "foo" may be  
313 specified in the MIME part with the MIME header "Content-ID: <foo>" and be referenced using the CID  
314 Schema URL "cid:foo".

315 The second mechanism is to use a URL to refer to an attachment containing a Content-Location MIME  
316 header. In this case the URL may require resolution to determine the referenced attachment [RFC2557].

317 For simplicity and interoperability this profile limits WS-Security references to attachments to CID scheme  
318 URLs. Attachments referenced from WS-Security signature references or cipher references MUST be  
319 referenced using CID scheme URLs.

320 This profile assumes, since it is not defined in RFC 2396 Section 4.2, that all cid: references are not  
321 same-document references and that therefore, under XMLDSIG, dereferencing a cid: URI always yields  
322 an octet stream as input to the transform chain [RFC2396], [XMLDSIG].

## 323 **5.3 MIME Part Reference Transforms**

324 By definition of RFC 2392, a URI reference to a MIME attachment includes the MIME headers associated  
325 with that attachment as well as the MIME part content [RFC2392]. Since there may be some confusion as  
326 to what is referenced, it is useful to clearly indicate what is included in the referenced attachment. In  
327 addition, some applications may wish to only encrypt or include the attachment content in a signature  
328 reference hash, and others may wish to include MIME headers and content.

329 For these reasons, this profile defines reference transforms, allowing a clear and explicit statement of  
330 what is included in a MIME reference. These transforms are called "MIME Part Reference Transforms".

331 The input of each of these transforms is an octet stream, as defined in XML Security [XML-Sig].

### 332 **5.3.1 Attachment-Content-Signature-Transform**

333 The Attachment-Content-Signature-Transform indicates that only the content of a MIME part is referenced  
334 for signing. This transform MUST be identified using the URI value:

```
335 http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-  
336 1.1#Attachment-Content-Signature-Transform
```

337 When this transform is used the content of the MIME part should be canonicalized as defined in section  
338 4.4.2.

339 The octet stream input to this transform is the entire content of the MIME attachment associated with the  
340 CID, including all the MIME headers and attachment content, as represented in the MIME part containing  
341 the attachment.

342 The output of the transform is an octet stream consisting of the canonicalized serialization of the  
343 attachment content. All of the MIME headers associated with the MIME part are ignored and not included  
344 in the output octet stream. The canonicalization of the content is described in section 4.4.2 of this  
345 specification.

### 346 **5.3.2 Attachment-Complete-Signature-Transform**

347 The Attachment-Complete-Signature-Transform indicates that both the content and selected headers of  
348 the MIME part are referenced for signing. This transform MUST be identified using the URI value:

```
349 http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-  
350 1.1#Attachment-Complete-Signature-Transform
```

351 This transform specifies that in addition to the content the following MIME headers are to be included  
352 (when present):

- 353 • Content-Description
- 354 • Content-Disposition
- 355 • Content-ID
- 356 • Content-Location
- 357 • Content-Type

358 These headers are included because of their common use and the risks associated with inappropriate  
359 modification. If other headers are to be protected, other mechanisms at the application level should be  
360 used (such as copying values into a SOAP header) and this is out of scope of this profile.

361 Other MIME headers associated with the MIME part serialization are not referenced by the transform and  
362 are not to be included in signature calculations.

363 When this transform is used the MIME headers should be canonicalized as defined in section 4.4.1 and  
364 the MIME content should be canonicalized as defined in section 4.4.2.

365 The octet stream input to this transform is the entire content of the MIME attachment associated with the  
366 CID, including all the MIME headers and attachment content, as represented in the MIME part containing  
367 the attachment.

368 The output of the transform is an octet stream consisting of concatenation of the MIME canonicalized  
369 MIME headers selected by the transform followed by the canonicalized attachment content. The  
370 canonicalization of headers and content are described in sections 4.4.1 and 4.4.2 of this specification.

### 371 **5.3.3 Attachment-Ciphertext-Transform**

372 The Attachment-Ciphertext-Transform indicates that only the content of a MIME part is referenced, and  
373 contains the ciphertext related to an XML EncryptedData element. This transform MUST be identified  
374 using the URI value:

```
375 http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-swa-profile-  
376 1.1#Attachment-Ciphertext-Transform
```

377 The octet stream input to this transform is the entire content of the MIME attachment associated with the  
378 CID, including all the MIME headers and attachment content, as represented in the MIME part containing  
379 the attachment.

380 The output of the transform is an octet stream consisting of the ciphertext as conveyed in the MIME part  
381 content. All of the MIME headers associated with the MIME part are ignored and not included in the output  
382 octet stream. The MIME text canonicalization of the content is described in section 4.4.2 of this  
383 specification.

## 384 **5.4 Integrity and Data Origin Authentication**

385 Integrity and data origin authentication may be provided for SwA attachments using XML Signatures, as  
386 outlined in the SOAP Message Security standard as profiled in this document. This is useful independent  
387 of the content of the MIME part – for example, it is possible to sign a MIME part that already contains a  
388 signed object created by an application. It may be sensible to sign such an attachment as part of SOAP  
389 Message security so that the receiving SOAP node may verify that all attachments are intact before  
390 delivering them to an application. A SOAP intermediary may also choose to perform this verification, even  
391 if the attachments are not otherwise processed by the intermediary.

### 392 **5.4.1 MIME header canonicalization**

393 The result of MIME header canonicalization is a UTF-8 encoded octet stream.

394 Each of the MIME headers listed for the Attachment-Complete transform MUST be canonicalized as part  
395 of that transform processing, as outlined in this section. This means the transform MUST perform the  
396 following actions in interpreting the MIME headers for signature creation or verification (this order is not  
397 prescriptive as long as the same result is obtained)

- 398 1. The transform MUST process MIME headers before the MIME content.
- 399 2. The transform MUST only process MIME headers that are explicitly present in the attachment part and  
400 are listed in the Attachment-Complete transform section of this specification, except that a MIME part  
401 without a Content-Type header MUST be treated as having a Content-Type header with the value

- 402 "Content-Type: text/plain; charset=us-ascii". MIME headers not listed in the Attachment-Complete  
403 transform section of this specification are to be ignored by the transform.
- 404 3. The MIME headers MUST be processed by the Attachment-Complete transform in lexicographic order  
405 (ascending).
- 406 4. The MIME header names MUST be processed by the transform as having the case according to the  
407 MIME specifications (as shown in the Attachment-Complete section).
- 408 5. The MIME header values MUST be unfolded [[RFC2822](#)].
- 409 6. Any Content-Description MIME header containing RFC2047 encoding MUST be decoded [[RFC2047](#)].
- 410 7. When a Content-ID header is processed, the "<>" characters associated with the msg-id MUST be  
411 included in the transform input. The reason is that although semantically these angle bracket  
412 characters are not part of the msg-id (RFC 2822) they are a standard part of the header lexicographic  
413 representation. If these characters are not integrity protected then an attacker could remove them  
414 causing the CID transformation specified in RFC2392 to fail.
- 415 8. Folding whitespace in structured MIME headers (e.g. Content-Disposition, Content-ID, Content-  
416 Location, Content-Type) that is not within quotes MUST be removed. Folding whitespace in structured  
417 MIME headers that is within quotes MUST be preserved. Folding whitespace in unstructured MIME  
418 headers (e.g. Content-Description) MUST be preserved [[RFC2822](#)]. For example, whitespace  
419 immediately following the colon delimiter in the structured Content-Type header MUST be removed,  
420 but whitespace immediately following the colon delimiter in the unstructured Content-Description  
421 header MUST be preserved.
- 422 9. Comments in MIME header values MUST be removed [[RFC2822](#)].
- 423 10. Case-insensitive MIME header values (e.g. media type/subtype values and disposition-type values)  
424 MUST be converted to lowercase. Case-sensitive MIME header values MUST be left as is with  
425 respect to case [[RFC2045](#)].
- 426 11. Quoted characters other than double-quote and backslash ("\") in quoted strings in structured MIME  
427 headers (e.g. Content-ID) MUST be unquoted. Double-quote and backslash ("\") characters in quoted  
428 strings in structured MIME headers MUST be character encoded [[RFC2822](#)].
- 429 12. Canonicalization of a MIME header MUST generate a UTF-8 encoded octet stream containing the  
430 following: the MIME header name, a colon (":"), the MIME header value, and the result of  
431 canonicalizing the MIME header parameters in lexicographic order (ascending) as described below.
- 432 13. MIME header parameter names MUST be converted to lowercase [[RFC2045](#)].
- 433 14. MIME parameter values containing RFC2184 character set, language, and continuations MUST be  
434 decoded. The resulting canonical output MUST not contain the RFC2184 encoding [[RFC2184](#)].
- 435 15. Case-insensitive MIME header parameter values MUST be converted to lowercase. Case-sensitive  
436 MIME header parameter values MUST be left as is with respect to case [[RFC2045](#)].
- 437 16. Enclosing double-quotes MUST be added to MIME header parameter values that do not already  
438 contain enclosing quotes. Quoted characters other than double-quote and backslash ("\") in MIME  
439 header parameter values MUST be unquoted. Double-quote and backslash characters in MIME  
440 parameter values MUST be character encoded.
- 441 17. Canonicalization of a MIME header parameter MUST generate a UTF-8 encoded octet stream  
442 containing the following: a semi-colon (";"), the parameter name (lowercase), an equals sign ("="), and  
443 the double-quoted parameter value.
- 444 18. Each header MUST be terminated by a single CRLF pair, without any trailing whitespace.
- 445 19. The last header MUST be followed by a single CRLF and then the MIME content.

## 446 **5.4.2 MIME Content Canonicalization**

447 Before including attachment content in a signature reference hash calculation, that MIME attachment  
448 SHOULD be canonicalized. The reason is that signature verification requires an identical hash of content  
449 as when signing occurred.

450 Content of an XML Content-Type MUST be XML canonicalized using Exclusive XML Canonicalization  
451 without comments, as specified by the URI <http://www.w3.org/2001/10/xml-exc-c14n#> [[Excl-Canon](#)]. The  
452 reason for requiring Exclusive Canonicalization is that many implementations will support Exclusive  
453 Canonicalization for other XML Signature purposes, since this form of canonicalization supports context  
454 changes. The InclusiveNamespace PrefixList attribute SHOULD be empty or not present.

455 Other types of MIME content SHOULD be canonicalized according to the MIME part canonicalization  
456 mechanism appropriate to the Content-Type of the MIME part.

457 To quote the S/MIME specification (section 3.1.1 “Canonicalization”) which deals with this issue  
458 [[RFC2633](#)]:

459       The exact details of canonicalization depend on the actual MIME type and subtype of an  
460       entity, and are not described here. Instead, the standard for the particular MIME type should  
461       be consulted. For example, canonicalization of type text/plain is different from  
462       canonicalization of audio/basic. Other than text types, most types have only one  
463       representation regardless of computing platform or environment which can be considered  
464       their canonical representation.

465 MIME types are registered. This registration includes a section on “Canonicalization and Format  
466 Requirements” [[RFC2048](#)] and requires each MIME type to have a canonical representation.

467 The MIME “text” type canonical form is defined in the MIME conformance specification (See “Canonical  
468 Encoding Model”) [[RFC2049](#)]. Important aspects of “text” media type canonicalization include line ending  
469 normalization to <CR><LF> and ensuring that the charset is a registered charset (see RFC 2633 section  
470 “Canonicalization”). [[RFC2633](#), [CHARSETS](#), [RFC2045](#)].

## 471 **5.4.3 Protecting against attachment insertion threat**

472 Including an attachment in a signature calculation enables a receiver to detect modification of that  
473 attachment. Including all attachments in a signature calculation, by providing a <ds:Reference> for each,  
474 protects against the threat of attachment removal. This does not protect against insertion of a new  
475 attachment.

476 The simplest protection against attachment insertion is for the receiver to know that all attachments  
477 should be included in a signature calculation – unreferenced attachments are then an indication of an  
478 attachment insertion attack.

479 Such information may be communicated in or out of band. Definition of these approaches is out of the  
480 scope of this profile.

## 481 **5.4.4 Processing Rules for Attachment Signing**

482 The processing rule for signing is modified based on the SOAP Message Security rules.

483 After determining which attachments are to be included as references in a signature, create a  
484 <ds:Signature> element in a <wsse:Security> header block targeted at the recipient, including a  
485 <ds:Reference> for each attachment to be protected by the signature. Additional <ds:Reference>  
486 elements may refer to content in the SOAP envelope to be included in the signature.

487 For each attachment Reference, perform the following steps:

- 488 1. MIME Part Canonicalize the content of the attachment, as appropriate to the MIME type of the part, as  
489 outlined in section 4.4.2 Attachments of an XML content type require Exclusive XML Canonicalization  
490 without comments[[Excl-Canon](#)].
- 491 2. If MIME headers are to be included in the signature, perform MIME header canonicalization as  
492 outlined in section 4.4.1.
- 493 3. Determine the CID scheme URL to be used to reference the part and set the <ds:Reference> URL  
494 attribute value to this URL.
- 495 4. Include a <ds:Transforms> element in the <ds:Reference>. This <ds:Transforms> element MUST  
496 include a <ds:Transform> element with the Algorithm attribute having the full URL value specified  
497 earlier in this profile – corresponding to either the Attachment-Complete-Signature-Transform or  
498 Attachment-Content-Signature-Transform, depending on what is to be included in the hash calculation.  
499 This MUST be the first transform listed. The <ds:Transform> element MUST NOT contain any  
500 transform for a MIME transfer encoding purpose (e.g. base64 encoding) since transfer encoding is left  
501 to the MIME layer as noted in section 2. This does not preclude the use of XML Transforms, including  
502 a base64 transform, for other purposes.
- 503 5. Extract the appropriate portion of the MIME part consistent with the selected transform.
- 504 6. Create the <ds:Reference> hash value as outlined in the W3C XML Digital Signature  
505 Recommendation.

## 506 5.4.5 Processing Rules for Attachment Signature Verification

507 Signature verification is performed as outlined in SOAP Message Security and the XML Digital Signature  
508 Recommendation, with the following considerations for SwA attachments.

509 To verify <ds:Reference> hashes for SwA attachments, the following steps must be performed for each  
510 reference to an attachment:

- 511 1. Find the attachment corresponding to the <ds:Reference> URL attribute value. This value MUST  
512 correspond to the Content-ID for the attachment[[SwA](#)].
- 513 2. MIME Part Canonicalize the content of the attachment, as appropriate to the MIME type of the part, as  
514 outlined in section 4.4.2. Attachments of an XML content type require Exclusive XML Canonicalization  
515 without comments[[Excl-Canon](#)]. The MIME content to be MIME canonicalized MUST have had any  
516 transfer-encoding processed at the MIME layer before this step is performed.
- 517 3. If MIME headers were included in the signature, perform MIME header canonicalization as outlined in  
518 section 4.4.1.
- 519 4. Extract the appropriate portion of the MIME part according to the MIME Part Signature Transform  
520 value.
- 521 5. Calculate the reference hash and verify the reference.

## 522 5.4.6 Example Signed Message

```
523 Content-Type: multipart/related; boundary="BoundaryStr" type="text/xml"  
524 --BoundaryStr  
525 Content-Type: text/xml  
526 <S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="..." xmlns:ds="..."  
527 xmlns:xenc="...">  
528   <S11:Header>  
529     <wsse:Security>
```

```

530     <wsse:BinarySecurityToken wsu:Id="CertAssociatedWithSigningKey"
531         EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
532 wss-soap-message-security-1.0#Base64Binary"
533         ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
534 x509-token-profile-1.0#x509v3">
535         ...
536     </wsse:BinarySecurityToken>

537     <ds:Signature>
538         <ds:SignedInfo>
539             <ds:CanonicalizationMethod Algorithm=
540 'http://www.w3.org/2001/10/xml-exc-c14n#'/>
541             <ds:SignatureMethod Algorithm=
542 'http://www.w3.org/2000/09/xmldsig#rsa-sha1' />
543             <ds:Reference URI="cid:bar">
544                 <ds:Transforms>
545                     <ds:Transform Algorithm="http://docs.oasis-
546 open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-1.1#Attachment-Content-
547 Signature-Transform"/>
548                 </ds:Transforms>
549                 <ds:DigestMethod Algorithm=
550 "http://www.w3.org/2000/09/xmldsig#sha1"/>
551                 <ds:DigestValue>j6lwx3rvEPO0vKtMup4NbeVu8nk=</ds:DigestValue>
552             </ds:Reference>
553         </ds:SignedInfo>
554         <ds:SignatureValue>DeadBeef</ds:SignatureValue>

555     <ds:KeyInfo>
556         <wsse:SecurityTokenReference>
557             <wsse:Reference URI="#CertAssociatedWithSigningKey"/>
558         </wsse:SecurityTokenReference>
559     </ds:KeyInfo>

560 </ds:Signature>
561 </wsse:Security>
562 </S11:Header>
563 <S11:Body>
564     some items
565 </S11:Body>
566 </S11:Envelope>
567 --BoundaryStr
568 Content-Type: image/png
569 Content-ID: <bar>
570 Content-Transfer-Encoding: base64
571 the image

```

## 572 5.5 Encryption

573 A SwA attachment may be encrypted for confidentiality protection, protecting either the MIME part content  
574 including selected MIME headers, or only the MIME part content.

575 This is done using XML Encryption to encrypt the attachment, placing the resulting cipher text in the  
576 updated attachment body replacing the original content, and placing a new <xenc:EncryptedData>  
577 element in the <wsse:Security> header. An <xenc:CipherReference> MUST link the  
578 <xenc:EncryptedData> element with the cipher data.

579 The key used for encryption MAY be conveyed using an <xenc:EncryptedKey> element in the  
580 <wsse:Security> header. In this case the <xenc:ReferenceList> element in the <xenc:EncryptedKey>  
581 element MUST contain an <xenc:DataReference> with a URI attribute specifying the  
582 <xenc:EncryptedData> element in the <wsse:Security> header corresponding to the attachment.

583 When the same <xenc:EncryptedKey> corresponds to multiple <xenc:EncryptedData> elements, the  
584 <xenc:ReferenceList> in the <xenc:EncryptedKey> element SHOULD contain an <xenc:DataReference>

585 for each <xenc:EncryptedData> element, both for attachments and encrypted items in the primary SOAP  
586 envelope. References should be ordered to correspond to ordering of the security header elements.

587 When an <xenc:EncryptedKey> element is not used when encrypting an attachment, then the  
588 <xenc:EncryptedData> element MAY contain a <ds:KeyInfo> element to specify a key as outlined in the  
589 SOAP Message Security standard. Different deployments may have different requirements on how keys  
590 are referenced. When an <xenc:EncryptedKey> element is used the <xenc:EncryptedData> element  
591 MUST NOT contain a <ds:KeyInfo> element.

592 When an attachment is encrypted, an <xenc:EncryptedData> element will be placed in the  
593 <wsse:Security> header. An <xenc:ReferenceList> element associated with this <xenc:EncryptedData>  
594 element may also be added, as recommended by WSS: SOAP Message Security.

595 Note: The same CID is used to refer to the attachment before encryption and after. This  
596 avoids the need to rewrite references to the attachment, avoiding issues related to  
597 generating unique CIDs and relating to preserving the correspondence to the original  
598 WSDL definition.

## 599 **5.5.1 MIME Part CipherReference**

600 This profile requires that <xenc:EncryptedData> elements corresponding to encrypted SwA attachments  
601 use a <xenc:CipherReference> to refer to the cipher text, to be conveyed in the attachment. Upon  
602 encryption the MIME part attachment content is replaced with the encoded cipher text.

603 The <xenc:CipherReference> MUST have a <xenc:Transforms> child element. This element MUST have  
604 a <ds:Transform> child having an Algorithm attribute with a URI value specifying the Attachment-  
605 Ciphertext-Transform. This transform explicitly indicates that when dereferencing the MIME part  
606 reference that only the MIME part content is to be used as the cipher value.

607 The <xenc:CipherReference> MUST NOT contain a transform used for a transfer encoding purpose (e.g.  
608 the base64 transform). Transfer encoding is left to the MIME layer, as noted in section 2.

## 609 **5.5.2 Encryption Processing Rules**

610 The order of the following steps is not normative, although the result should be the same as if this order  
611 were followed.

612 1. When encrypting both attachments and primary SOAP envelope content using the same key, perform  
613 the attachment processing first.

614 Note: The SOAP Message Security standard states that elements should be prepended  
615 to the security header. This processing rule supports putting the <xenc:EncryptedData>  
616 element first in the header with <xenc:EncryptedKey> and tokens following. Thus, a  
617 receiver should be able to process the <xenc:EncryptedKey> before the  
618 <xenc:EncryptedData> element for the attachment.

619 2. Encrypt the attachment part using XML Encryption, according to the rules of XML Encryption. Encrypt  
620 either the attachment including content and selected MIME headers or only the attachment content.

621 When encryption includes MIME headers, only the headers listed in this specification for the Attachment-  
622 Complete Reference Transform (Section 4.3.2) are to be included in the encryption. If a header listed in  
623 the profile is present it MUST be included in the encryption. If a header is not listed in this profile, then it  
624 MUST NOT be included in the encryption.

625 3. Set the <xenc:EncryptedData> Type attribute value to a URI that specifies adherence to this profile and  
626 that specifies what was encrypted (MIME content or entire MIME part including headers). The following  
627 URIs MUST be used for this purpose:

628 • Content Only:

629 `http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-`  
630 `1.1#Attachment-Content-Only`

631 • Content and headers:

632 `http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-`  
633 `1.1#Attachment-Complete`

- 634 4. Set the <xenc:EncryptedData> MimeType attribute to match the attachment MIME part Content-Type  
635 header before encryption when the Content-Only URI is specified for the Type attribute value. The  
636 MimeType attribute value MAY be set when the AttachmentComplete Type attribute value is specified.
- 637 5. Optionally set the <xenc:EncryptedData> Encoding attribute to reflect the attachment content  
638 encoding, as visible to the security layer at the time of encryption. This is advisory information to the  
639 decryption security layer. It should be understood that this has no relation with the actual encoding that  
640 could be performed independently by the MIME layer later for transfer purposes.
- 641 6. Set the <xenc:EncryptedData> <xenc:CipherReference> to the same reference URL for the  
642 attachment that was used before encryption . This MUST be a CID scheme URL referring to the  
643 attachment part Content-ID. Ensure this MIME header is in the part conveying the cipher data after  
644 encryption.
- 645 7. Include the Attachment-Ciphertext-Transform in the <xenc:CipherReference> <xenc:Transforms> list.
- 646 8. Prepend the <xenc:EncryptedData> element to the <wsse:Security> SOAP header block and then  
647 prepend the associated optional <xenc:ReferenceList> element.
- 648 9. Update the attachment MIME part, replacing the original content with the cipher text generated by the  
649 XML Encryption step.
- 650 10. Update the attachment MIME part header MIME Content-Type and Content-Length appropriate to the  
651 cipher data.

### 652 **5.5.3 Decryption Processing Rules**

653 The <xenc:CipherReference> URL MUST be a URL that refers to the MIME part containing the cipher  
654 text, and must also correspond to the reference value of the original attachment that was encrypted. This  
655 MUST be a CID scheme URL.

656 Decryption may be initiated upon locating the <xenc:EncryptedData> element in the <wsse:Security>  
657 header.

658 The following decryption steps must be performed so that the result is as if they were performed in this  
659 order:

- 660 1. Extract the cipher text from the attachment referenced by the <xenc:CipherReference> URL attribute.  
661 The Attachment-Ciphertext-Transform defined in this profile indicates that the MIME part content is  
662 extracted.
- 663 2. Decrypt the cipher text using the information present in the appropriate <xenc:EncryptedData> element  
664 and possibly other out of band information, according to the XML Encryption Standard.
- 665 3. If the <xenc:EncryptedData>Type attribute indicates that selected MIME headers were encrypted, then  
666 those MIME headers MUST be replaced by the result of decryption, as well as the MIME part content.
- 667 4. If the <xenc:EncryptedData>Type attribute indicates that only the content of the MIME part was  
668 encrypted, then the cipher text content of the attachment part MUST be replaced by the result of

669 decryption. In this case the MIME part Content-Type header value MUST be replaced by the  
670 <xenc:EncryptedData> MimeType attribute value.

671 5. If the <xenc:EncryptedData> Encoding attribute is present then the decryption security layer may pass  
672 this advisory information to the application.

## 673 5.5.4 Example

674 This example shows encryption of the primary SOAP envelope body as well as an attachment using a  
675 single symmetric key conveyed using an EncryptedKey element.

```
676 Content-Type: multipart/related; boundary="BoundaryStr" type="text/xml"
677 --BoundaryStr
678 Content-Type: text/xml

679 <S11:Envelope
680   xmlns:S11="http://schemas.xmlsoap.org/soap/envelope/"
681   xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
682   wsswssecurity-secext-1.0.xsd"
683   xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
684   xmlns:ds="http://www.w3.org/2000/09/xmldsig#">

685   <S11:Header>
686     <wsse:Security>

687       <wsse:BinarySecurityToken wsu:Id="Acert"
688         EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
689   wss-soap-message-security-1.0#Base64Binary"
690         ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
691   x509-token-profile-1.0#x509v3">
692         ...
693       </wsse:BinarySecurityToken>

694       <xenc:EncryptedKey Id='EK'>
695         <EncryptionMethod
696           Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
697         <ds:KeyInfo Id="keyinfo">
698           <wsse:SecurityTokenReference>
699             <ds:X509Data>
700               <ds:X509IssuerSerial>
701                 <ds:X509IssuerName>
702                   DC=ACMECorp, DC=com
703                 </ds:X509IssuerName>
704                 <ds:X509SerialNumber>12345678</X509SerialNumber>
705               </ds:X509IssuerSerial>
706             </ds:X509Data>
707           </wsse:SecurityTokenReference>
708         </ds:KeyInfo>
709         <CipherData><CipherValue>xyzabc</CipherValue></CipherData>
710         <ReferenceList>
711           <DataReference URI='#EA' />
712           <DataReference URI='#ED' />
713         </ReferenceList>
714       </EncryptedKey>

715       <xenc:EncryptedData
716         Id='EA'
717         Type="http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-
718   profile-1.1#Attachment-Content-Only"
719         MimeType="image/png">
720         <xenc:EncryptionMethod
721           Algorithm='http://www.w3.org/2001/04/xmlenc#aes128-cbc' />
722         <xenc:CipherData>
723         <xenc:CipherReference URI=cid:bar">
```

```

724         <xenc:Transforms>
725             <ds:Transform Algorithm="http://docs.oasis-
726 open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-1.1#Attachment-Ciphertext-
727 Transform"/>
728         </xenc:Transforms>
729     </xenc:CipherReference>
730 </xenc:CipherData>
731 </xenc:EncryptedData>

732 </wsse:Security>
733 </S11:Header>
734 <S11:Body>
735 <xenc:EncryptedData Id='ED'
736 <xenc:EncryptionMethod
737     Algorithm='http://www.w3.org/2001/04/xmlenc#aes128-cbc' />
738 <xenc:CipherData>
739 <xenc:CipherValue>DEADBEEF</xenc:CipherValue>
740 </xenc:CipherData>
741 </xenc:EncryptedData>
742 </S11:Body>
743 </S11:Envelope>
744 --BoundaryStr
745 Content-Type: application/octet-stream
746 Content-ID: <bar>
747 Content-Transfer-Encoding: binary

748 BinaryCipherData

```

## 749 5.6 Signing and Encryption

750 When portions of content are both signed and encrypted, there is possible confusion as to whether  
751 encrypted content need first be decrypted before signature verification. This confusion can occur when  
752 the order of operations is not clear [[DecryptT](#)]. This problem may be avoided with SOAP Message Security  
753 for SwA attachments when attachments and corresponding signatures and encryptions are targeted for a  
754 single SOAP recipient (actor). The SOAP Message Security standard explicitly states that there may not  
755 be two <wsse:Security> headers targeted at the same actor, nor may there be two headers without a  
756 designated actor. In this case the SOAP Message Security and SwA profile processing rules may  
757 eliminate ambiguity since each signing or encryption produces an element in the <wsse:Security> header,  
758 and these elements are ordered. (Signing produces <ds:Signature> elements and encryption produces  
759 <xenc:EncryptedData> elements).

760 If an application produces different <wsse:Security> headers targeted at different recipients, these are  
761 processed independently by the recipients. Thus there is no need to correlate activities between distinct  
762 headers – the order is inherent in the SOAP node model represented by the distinct actors.

## A. Acknowledgments

764 The following individuals have participated in the creation of this specification and are gratefully  
765 acknowledged:

Michael	Hu	Actional	
Maneesh	Sahu	Actional	
Duane	Nickull	Adobe Systems	
Gene	Thurston	AmberPoint	
Frank	Siebenlist	Argonne National Laboratory	
Merlin	Hughes	Baltimore Technologies	
Hal	Lockhart	BEA	
Corrina	Witt	BEA	
Steve	Anderson	BMC	(Secretary)
Rich	Levinson	Computer Associates	
Thomas	DeMartini	ContentGuard	
Dale	Moberg	Cyclone Commerce	
Rich	Salz	Datapower	
Sam	Wei	EMC	
Dana S.	Kaufman	Forum Systems	
Toshihiro	Nishimura	Fujitsu	
Kefeng	Chen	GeoTrust	
Irving	Reid	Hewlett-Packard	
Kojiro	Nakayama	Hitachi	
Paula	Austel	IBM	
Derek	Fu	IBM	
Kelvin	Lawrence	IBM	(co-Chair)
Michael	McIntosh	IBM	
Anthony	Nadalin	IBM	
Nataraj	Nagaratnam	IBM	
Bruce	Rich	IBM	

Ron	Williams	IBM	
Don	Flinn	Individual	
Vijay	Gajjala	Microsoft	
Martin	Gudgin	Microsoft	
Chris	Kaler	Microsoft	(co-Chair)
Frederick	Hirsch	Nokia	
Charles	Knouse	Oblix	
Vamsi	Motukuru	Oracle	
Prateek	Mishra	Principal Identity	
Ben	Hammond	RSA Security	
Rob	Philpott	RSA Security	
Blake	Dournaee	Sarvega	
Pete	Wenzel	SeeBeyond	
Manveen	Kaur	Sun Microsystems	
Ronald	Monzillo	Sun Microsystems	
Jan	Alexander	Systinet	
Symon	Chang	TIBCO Software	
John	Weiland	US Navy	
Hans	Granqvist	Verisign	
Phillip	Hallam-Baker	VeriSign	
Mark	Hays	Formerly of Verisign	
Hemma	Prafullchandra	VeriSign	

## B.Revision History

Rev	Date	By Whom	What
1	05/25/04	Frederick Hirsch	Initial version, put draft proposal into profile format.
2	05/26/04	Frederick Hirsch	Editorial and namespace suggestions from Michael McIntosh. Added rationale for SwA support to introduction. Completely rewrote processing rules for encryption and decryption.
3	05/28/04	Frederick Hirsch	Rewrote signature section, fixed cid references and Content-IDs, added examples.
4	06/12/04	Frederick Hirsch	Added Decrypt Transform section, added All-Attachments-Complete transform, changed MIME reference to v3, minor editorial changes.
5	07/07/04	Frederick Hirsch	Removed Decrypt transform material, since it is generally not needed and the approach had issues. Reorganized signatures section. Eliminated incorrect All-Attachments-Complete transform and replaced with discussion of attachment insertion threat. Clarified that only one wsse:Security header per actor/role minimizes signing, encryption confusion possibility. Added section for MIME Part CipherReference Transform. Editorial fixes.
6	07/14/04	Frederick Hirsch	<p>** Allow use of Content-Location, consistent with SwA.</p> <p>** Proposed update to signature Content-Transfer-Encoding processing rules. Needs review.</p> <p>Revised section on MIME canonicalization, added section on XML attachments. Only support SOAP 1.1. Clarified introduction. Added MTOM and additional MIME references. (Issue 297 should be closed – removed section on decryption transform and updated section on signing and encryption in version 5) Issue 303 – fixed, (see 3.2.4 example), Issue 306 – revised section on MIME canonicalization to close this issue. Issue 307 – revised to refer to SOAP 1.1 only, added section on XML attachments, defined MTOM and added reference. Editorial fixes.</p>
7	07/30/04	Frederick Hirsch	Incorporate feedback from WS-I BSP. Limit MIME headers included in signature or encryption to those listed in profile. Clarify MIME layering approach. Remove processing rules associated with Content-Transfer-Encoding. Editorial correction throughout document to allow both CID and Content-Location references to attachments. Editorial revision to pull attachment referencing and reference transforms into section applicable to both signatures and encryption. Incorporated feedback from Pete Wenzel and Toshihiro Nishimura – separate URL for transform and encryption type, used Content-Only reference transform for Cipherdata as well.

Rev	Date	By Whom	What
8	08/23/04	Frederick Hirsch	<p>Address issue 312 by clarifying use of Reference within EncryptedData element to EncryptedData for attachment when EncryptedKey is used. Processing rule related to encryption of both attachment and primary SOAP envelope items. (<a href="http://www.oasis-open.org/archives/wss/200408/msg00046.html">http://www.oasis-open.org/archives/wss/200408/msg00046.html</a> )</p> <p>Changed encryption example to show encryption of both primary SOAP envelop body and attachment. Include EncryptionMethod, addressing issue 309.</p> <p>Fix Transforms namespace to be xenc for within xenc:CipherReference (<a href="http://www.oasis-open.org/archives/wss/200408/msg00048.html">http://www.oasis-open.org/archives/wss/200408/msg00048.html</a>)</p>
9	09/02/04	Frederick Hirsch	<p>Clarify that XML attachments are opaque and remove text about XML canonicalization of attachment content.</p> <p>Fix typo at line 356, should state that no KeyInfo should be in EncryptedData element when EncryptedKey is used.</p> <p>Clarify that cipher data is base64 encoded octet stream and require CipherReference base64 transform.</p> <p>Revise MIME headers to be included in Attachment-Complete Reference, for signature protection. Allow continuations for these MIME headers.</p>
10	10/02/04	Frederick Hirsch	<p>Proposed resolutions for WSS issue-list items:</p> <p>Issue 326 part 1 – corrected case of Content-ID throughout document.</p> <p>Issue 326 part 2 - : Clarify MIME header name case, Resolution to use case per MIME specifications. See 4.3.1 item 4.</p> <p>Issue 326 part 3- Clarify transform handling of MIME parameter quoting. Retain quoting, if any, as is. Resolution in 4.3.1 item 7.</p> <p>Issue 326 part 4 - Address RFC 2047 encoding. Require transform to perform RFC2047 decoding as needed. Resolution in 4.3.1, items 4-7.</p> <p>Issue 329 part 1 – Strip or compress white space. No change made apart apart from preserve all whitespace in quoted strings, 4.3.1. item 10.</p> <p>Issue 329 part 2 – Order header processing alphabetically. Resolution in 4.3.1 item 3 and 4.2.2.</p> <p>Issue 329 part 3 – Show all ds:Signature elements in example in 4.3.6.</p>
11	10/02/04	Frederick Hirsch	<p>Issue 326, 329 – revision of section 4.3.1 based on feedback from Dana Kaufman and Forum Systems.</p>

Rev	Date	By Whom	What
12	10/21/04	Frederick Hirsch	<p>Allow cipher data to be binary data, and not use base64 transform in this case. Clarify that for base64 encoded cipher data transform or other means should be used to convey this information. Updated 4.4.1 through 4.4.4.</p> <p>Quoted "text/xml" in examples in 4.3.6, 4.4.4 to resolve issue 325.</p>
13	10/29/04	Frederick Hirsch	<p>Replace "7-bit" with "binary" in example 4.4.4</p> <p>Add clarification to sections 4.2.1 and 4.2.2 that MIME canonicalization is to be associated with the transforms, as defined in 4.3.1 and 4.3.2.</p>
14	11/15/04	Frederick Hirsch	<ol style="list-style-type: none"> <li>1. Only allow CID references for WS-Security references, for simplicity and interoperability.</li> <li>2. Constrain statement on RFC2047 encoding in section 4.4.1, #6.</li> <li>3. Clarify use of &lt;xenc:EncryptedData&gt; MimeType attribute in 4.5.2, #4. (Issue 345, #1)</li> <li>4. Add statement from interop document regarding MIME boundary for primary SOAP envelope</li> <li>5. Editorial changes to make MAY/MUST/SHOULDs capitalized where possible, other editorial fixes.</li> </ol>
15	12/06/04	Frederick Hirsch	<p>Explicitly allow optional use of Encryption Encoding attribute. (Section 4.5.2 #5; Issue 341)</p> <p>Remove base64 transform material, clarify relationship to MIME layer transform encoding. (Sections 4.4.4, 4.4.5, 4.5.1, 4.5.2, 4.5.3; Issue 344)</p> <p>Add clarification that Content-ID header value &lt;&gt; included in Attachment-Complete transform for signing. (Section 4.4.1, #7)</p> <p>Editorial cleanup. Add KeyInfo to example 4.4.6.</p>
cd-01	01/07/05	Frederick Hirsch	Change to Committee Draft – 01

Rev	Date	By Whom	What
16	03/07/05	Frederick Hirsch	<p>(Line numbers for diff version)</p> <p>Add Exclusive Canonicalization (691-2) and XML Signature references.(731-2)</p> <p>Issue 349 resolution: Revised language to SHOULD NOT for Reference List lines 506, 568-9. Typo resolution line 199, 303.</p> <p>Issue 356 resolution: typos 199, 540, Change MTOM reference to W3C Recommendation. (693-5)</p> <p>Address public review comments in message <a href="http://www.oasis-open.org/apps/org/workgroup/wss/email/archives/200502/msg00054.html">http://www.oasis-open.org/apps/org/workgroup/wss/email/archives/200502/msg00054.html</a> )</p> <p>1 add goals 84-103</p> <p>2 109-114</p> <p>3 section 2, 157-191</p> <p>4 sec 4.3, 270</p> <p>5 sec 4.4.4, 415-6</p> <p>6 sec 1 90-91, sec 3 198-212, 4.4.2 - 378-386, 4.4.4 405, 4.4.5, 429-430</p> <p>7 sec 1, 109-120</p> <p>9 out of scope sec 1, 98-99</p> <p>10 out of scope, sec 1 100-103</p> <p>11 sec 4.5.2, 540-543</p>
17	03/16/05	Frederick Hirsch	Do not require exclusive canonicalization of attachments, back to what cd-01 said, with minor editorial changes. This means there are no substantive changes since completion of public review of cd-01.
18	04/21/05	Frederick Hirsch	Added text to 4.3.1 and 4.3.2 to resolve issue 376 – defining input and output octet streams of Reference Transforms.
19	04/16/05	Frederick Hirsch	Formatting update to changes in draft 18 (editorial). Changes to address issue 377 (use of ReferenceList) – last paragraph in 4.5 (before 4.5.1) and #8 in 4.5.2.

Rev	Date	By Whom	What
20	05/25/05	Frederick Hirsch	<p>Incorporate proposed resolution for issue 364, regarding XML canonicalization of attachments as part of creating a ds:Reference hash. Proposal is to require XML Exclusive canonicalization of attachment content of an XML content type when using a signature reference transform. Incorporated canonicalization into signature reference transform processing rules, rather than specifying an additional ds:Reference transform. Defined separate encryption Attachment-Ciphertext-Transform. See sections 3, 4.3.1, 4.3.2, 4.3.3, 4.4.2, 4.4.4, 4.4.5, 4.5.1, and 4.5.2.</p> <p>Incorporate a proposed resolution for issue 370, additional discussion of interaction between S/MIME and this profile. See section 2. Moved some material from introduction to section 2 and revised.</p> <p>Added statement that cid: references are assumed to not be same-document references, to section 4.2.</p> <p>Update to version 1.1 for consistency with other specifications, added statement that this is the first version to Status section.</p> <p>Updated all URLs defined in document to 2005 and version 1.1, but changes still required (remove xx). Removed associated warning notes about possible changes.</p> <p>Changed tag associated with RFC2396 from "[URL]" to "[RFC2396]".</p> <p>Additional editorial format changes. Added RFC2184 reference.</p>
21	06/06/05	Frederick Hirsch	<p>Correction – ciphertext need not be text canonicalized.</p> <p>Clarify that exclusive canonicalization should be without comments and that the InclusiveNamespacePrefixList should be empty.</p> <p>Updated to correspond to latest OASIS document template, including revised Notice. Moved some references to non-normative. Indicated that only section 4 is normative.</p>
cd-02	06/14/05	Frederick Hirsch	<p>Update acknowledgement section.</p> <p>Update cover page (remove unused items)..</p> <p>Change to committee draft.</p>
22	06/19/05	Frederick Hirsch	<p>Corrected typo: "element element" to "element"</p> <p>Replaced "The InclusiveNamespacePrefixList SHOULD be empty." with "The InclusiveNamespace PrefixList attribute SHOULD be empty or not present."</p>
cd-03	06/28/05	Frederick Hirsch	<p>Change to committee draft. Update acknowledgements to latest list.</p>
pr-01	06/28/05	Frederick Hirsch	<p>Change file name/document identifier to wss-v11-spec-pr-SwAPProfile-01 according to OASIS process.</p> <p>Change to public review draft status</p>