

# PKCS #11 Cryptographic Token Interface Base Specification Version 3.0

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## 29 May 2019

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 PKCS #11 Cryptographic Token Interface Base Specification Version 2.40. Edited by Robert Griffin and Tim Hudson. Latest version. http://docs.oasis-open.org/pkcs11/pkcs11-base/v2.40/pkcs11-basev2.40.html.

#### This specification is related to:

- *PKCS #11 Cryptographic Token Interface Profiles Version 3.0.* Edited by Tim Hudson. Latest version. https://docs.oasis-open.org/pkcs11-profiles/v3.0/pkcs11-profiles-v3.0.html.
- PKCS #11 Cryptographic Token Interface Current Mechanisms Specification Version 3.0. Edited by Chris Zimman and Dieter Bong. Latest version. https://docs.oasis-open.org/pkcs11/pkcs11curr/v3.0/pkcs11-curr-v3.0.html.

 PKCS #11 Cryptographic Token Interface Historical Mechanisms Specification Version 3.0. Edited by Chris Zimman and Dieter Bong. Latest version. https://docs.oasis-open.org/pkcs11/pkcs11hist/v3.0/pkcs11-hist-v3.0.html.

#### **Abstract:**

This document defines data types, functions and other basic components of the PKCS #11 Cryptoki interface.

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## 1 Introduction

1

- 2 This document describes the basic PKCS#11 token interface and token behavior.
- 3 The PKCS#11 standard specifies an application programming interface (API), called "Cryptoki," for
- 4 devices that hold cryptographic information and perform cryptographic functions. Cryptoki follows a
- 5 simple object based approach, addressing the goals of technology independence (any kind of device) and
- 6 resource sharing (multiple applications accessing multiple devices), presenting to applications a common,
- 7 logical view of the device called a "cryptographic token".
- 8 This document specifies the data types and functions available to an application requiring cryptographic
- 9 services using the ANSI C programming language. The supplier of a Cryptoki library implementation
- 10 typically provides these data types and functions via ANSI C header files. Generic ANSI C header files
- for Cryptoki are available from the PKCS#11 web page. This document and up-to-date errata for Cryptoki
- will also be available from the same place.
- 13 Additional documents may provide a generic, language-independent Cryptoki interface and/or bindings
- 14 between Cryptoki and other programming languages.
- 15 Cryptoki isolates an application from the details of the cryptographic device. The application does not
- have to change to interface to a different type of device or to run in a different environment; thus, the
- 17 application is portable. How Cryptoki provides this isolation is beyond the scope of this document,
- although some conventions for the support of multiple types of device will be addressed here and
- 19 possibly in a separate document.
- 20 Details of cryptographic mechanisms (algorithms) may be found in the associated PKCS#11 Mechanisms
- 21 documents.

## 22 **1.1 IPR Policy**

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- chosen when the Technical Committee was established. For information on whether any patents have
- been disclosed that may be essential to implementing this specification, and any offers of patent licensing
- 26 terms, please refer to the Intellectual Property Rights section of the TC's web page (https://www.oasis-
- 27 open.org/committees/pkcs11/ipr.php).

## 1.2 Terminology

- 29 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
- 30 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described
- 31 in [RFC2119].

28

32

#### 1.3 Definitions

For the purposes of this standard, the following definitions apply:

34	API	Application programming interface.
35	Application	Any computer program that calls the Cryptoki interface.
36	ASN.1	Abstract Syntax Notation One, as defined in X.680.
37	Attribute	A characteristic of an object.
38	BER	Basic Encoding Rules, as defined in X.690.
39	СВС	Cipher-Block Chaining mode, as defined in FIPS PUB 81.
40 41	Certificate	A signed message binding a subject name and a public key, or a subject name and a set of attributes.
42	CMS	Cryptographic Message Syntax (see RFC 5652)

43 44 45 46	Cryptographic Device	A device storing cryptographic information and possibly performing cryptographic functions. May be implemented as a smart card, smart disk, PCMCIA card, or with some other technology, including software-only.
47	Cryptoki	The Cryptographic Token Interface defined in this standard.
48	Cryptoki library	A library that implements the functions specified in this standard.
49	DER	Distinguished Encoding Rules, as defined in X.690.
50	DES	Data Encryption Standard, as defined in FIPS PUB 46-3.
51	DSA	Digital Signature Algorithm, as defined in FIPS PUB 186-4.
52	EC	Elliptic Curve
53	ECB	Electronic Codebook mode, as defined in FIPS PUB 81.
54	IV	Initialization Vector.
55	MAC	Message Authentication Code.
56	Mechanism	A process for implementing a cryptographic operation.
57 58	Object	An item that is stored on a token. May be data, a certificate, or a key.
59	PIN	Personal Identification Number.
60	PKCS	Public-Key Cryptography Standards.
61	PRF	Pseudo random function.
62	PTD	Personal Trusted Device, as defined in MeT-PTD
63	RSA	The RSA public-key cryptosystem.
64	Reader	The means by which information is exchanged with a device.
65	Session	A logical connection between an application and a token.
66	Slot	A logical reader that potentially contains a token.
67	SSL	The Secure Sockets Layer 3.0 protocol.
68 69	Subject Name	The X.500 distinguished name of the entity to which a key is assigned.
70	SO	A Security Officer user.
71	TLS	Transport Layer Security.
72	Token	The logical view of a cryptographic device defined by Cryptoki.
73	User	The person using an application that interfaces to Cryptoki.
74 75 76	UTF-8	Universal Character Set (UCS) transformation format (UTF) that represents ISO 10646 and UNICODE strings with a variable number of octets.
77	WIM	Wireless Identification Module.
78	WTLS	Wireless Transport Layer Security.

## 1.4 Symbols and abbreviations

- 80 The following symbols are used in this standard:
- 81 Table 1, Symbols

Symbol	Definition
N/A	Not applicable
R/O	Read-only
R/W	Read/write

- The following prefixes are used in this standard:
- 83 Table 2, Prefixes

Prefix	Description
C_	Function
CK_	Data type or general constant
CKA_	Attribute
CKC_	Certificate type
CKD_	Key derivation function
CKF_	Bit flag
CKG_	Mask generation function
CKH_	Hardware feature type
CKK_	Key type
CKM_	Mechanism type
CKN_	Notification
CKO_	Object class
CKP_	Pseudo-random function
CKS_	Session state
CKR_	Return value
CKU_	User type
CKZ_	Salt/Encoding parameter source
h	a handle
ul	a CK_ULONG
р	a pointer
pb	a pointer to a CK_BYTE
ph	a pointer to a handle
pul	a pointer to a CK_ULONG

Cryptoki is based on ANSI C types, and defines the following data types:

```
/* an unsigned 8-bit value */
typedef unsigned char CK_BYTE;

/* an unsigned 8-bit character */
typedef CK_BYTE CK_CHAR;

/* an 8-bit UTF-8 character */
typedef CK_BYTE CK_UTF8CHAR;

/* a BYTE-sized Boolean flag */
typedef CK_BYTE CK_BBOOL;

/* an unsigned value, at least 32 bits long */
```

```
100
    typedef unsigned long int CK_ULONG;
101
102    /* a signed value, the same size as a CK_ULONG */
103    typedef long int CK_LONG;
104
105    /* at least 32 bits; each bit is a Boolean flag */
106    typedef CK_ULONG CK_FLAGS;
107
```

Cryptoki also uses pointers to some of these data types, as well as to the type void, which are implementation-dependent. These pointer types are:

Cryptoki also defines a pointer to a CK VOID PTR, which is implementation-dependent:

```
CK_VOID_PTR_PTR /* Pointer to a CK_VOID_PTR */
```

In addition, Cryptoki defines a C-style NULL pointer, which is distinct from any valid pointer:

```
NULL_PTR /* A NULL pointer */
```

It follows that many of the data and pointer types will vary somewhat from one environment to another (e.g., a CK\_ULONG will sometimes be 32 bits, and sometimes perhaps 64 bits). However, these details should not affect an application, assuming it is compiled with Cryptoki header files consistent with the Cryptoki library to which the application is linked.

All numbers and values expressed in this document are decimal, unless they are preceded by "0x", in which case they are hexadecimal values.

128 The **CK CHAR** data type holds characters from the following table, taken from ANSI C:

129 Table 3. Character Set

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Category	Characters
Letters	ABCDEFGHIJKLMNOPQRSTUVWXYZabcd
	e f g h i j k l m n o p q r s t u v w x y z
Numbers	0123456789
Graphic characters	! " # % & ' ( ) * + , / : ; < = > ? [ \ ] ^ _ {   } ~
Blank character	11

The **CK\_UTF8CHAR** data type holds UTF-8 encoded Unicode characters as specified in RFC2279. UTF-8 allows internationalization while maintaining backward compatibility with the Local String definition of PKCS #11 version 2.01.

In Cryptoki, the **CK\_BBOOL** data type is a Boolean type that can be true or false. A zero value means false, and a nonzero value means true. Similarly, an individual bit flag, **CKF\_...**, can also be set (true) or unset (false). For convenience, Cryptoki defines the following macros for use with values of type **CK BBOOL**:

```
#define CK_FALSE 0

#define CK_TRUE 1

139
```

For backwards compatibility, header files for this version of Cryptoki also define TRUE and FALSE as (CK\_DISABLE\_TRUE\_FALSE may be set by the application vendor):

```
#ifndef CK_DISABLE_TRUE_FALSE
```

144	<pre>#ifndef FALSE #define FALSE CK_FALSE #endif</pre>
146 147 148 149	<pre>#ifndef TRUE #define TRUE CK_TRUE #endif #endif</pre>

## **1.5 Normative References**

153	[FIPS PUB 46-3]	NIST. FIPS 46-3: Data Encryption Standard. October 1999.
154		URL: http://csrc.nist.gov/publications/fips/fips46-3/fips46-3.pdf
155	[FIPS PUB 81]	NIST. FIPS 81: DES Modes of Operation. December 1980.
156		URL: http://csrc.nist.gov/publications/fips/fips81/fips81.htm
157	[FIPS PUB 186-4]	NIST. FIPS 186-4: Digital Signature Standard. July, 2013.
158		URL: http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.186-4.pdf
159	[PKCS11-Curr]	PKCS #11 Cryptographic Token Interface Current Mechanisms Specification
160		Version 2.40. Edited by Susan Gleeson and Chris Zimman. 14 April 2015. OASIS
161		Standard. http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/os/pkcs11-curr-
162		v2.40-os.html. Latest version: http://docs.oasis-open.org/pkcs11/pkcs11-
163		curr/v2.40/pkcs11-curr-v2.40.html.
164	[PKCS11-Hist]	PKCS #11 Cryptographic Token Interface Historical Mechanisms Specification
165		Version 2.40. Edited by Susan Gleeson and Chris Zimman. 14 April 2015. OASIS
166		Standard. http://docs.oasis-open.org/pkcs11/pkcs11-hist/v2.40/os/pkcs11-hist-
167		v2.40-os.html. Latest version: http://docs.oasis-open.org/pkcs11/pkcs11-
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173		v2.40.html.
174	[PKCS #1]	RSA Laboratories. RSA Cryptography Standard. v2.1, June 14, 2002.
175		URL: ftp://ftp.rsasecurity.com/pub/pkcs/pkcs-1/pkcs-1v2-1.pdf
176	[PKCS #3]	RSA Laboratories. Diffie-Hellman Key-Agreement Standard. v1.4, November
177		1993.
178		URL: ftp://ftp.rsasecurity.com/pub/pkcs/doc/pkcs-3.doc
179	[PKCS #5]	RSA Laboratories. Password-Based Encryption Standard. v2.0, March 25, 1999
180		URL: ftp://ftp.rsasecurity.com/pub/pkcs/pkcs-5v2/pkcs5v2-0.pdf
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## 2 Platform- and compiler-dependent directives for C or C++

- There is a large array of Cryptoki-related data types that are defined in the Cryptoki header files. Certain 284 packing and pointer-related aspects of these types are platform and compiler-dependent; these aspects 285
- are therefore resolved on a platform-by-platform (or compiler-by-compiler) basis outside of the Cryptoki
- 286
- 287 header files by means of preprocessor directives.
- 288 This means that when writing C or C++ code, certain preprocessor directives MUST be issued before
- including a Cryptoki header file. These directives are described in the remainder of this section. 289
- 290 Plattform specific implementation hints can be found in the pkcs11.h header file.

## 2.1 Structure packing

- 292 Cryptoki structures are packed to occupy as little space as is possible. Cryptoki structures SHALL be
- packed with 1-byte alignment. 293

#### 2.2 Pointer-related macros 294

- Because different platforms and compilers have different ways of dealing with different types of pointers, 295
- 296 the following 6 macros SHALL be set outside the scope of Cryptoki:
- ◆ CK PTR 297

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298 CK PTR is the "indirection string" a given platform and compiler uses to make a pointer to an object. It is 299 used in the following fashion:

```
300
          typedef CK BYTE CK PTR CK BYTE PTR;
```

#### ♦ CK DECLARE FUNCTION 301

CK DECLARE FUNCTION (returnType, name), when followed by a parentheses-enclosed 302 303 list of arguments and a semicolon, declares a Cryptoki API function in a Cryptoki library. returnType is the return type of the function, and name is its name. It SHALL be used in the following fashion: 304

```
305
           CK DECLARE FUNCTION (CK RV, C Initialize) (
306
             CK VOID PTR pReserved
307
```

## **♦ CK DECLARE FUNCTION POINTER**

309 CK DECLARE FUNCTION POINTER (returnType, name), when followed by a parentheses-enclosed list of arguments and a semicolon, declares a variable or type which is a pointer to 310 311 a Cryptoki API function in a Cryptoki library. returnType is the return type of the function, and name is its name. It SHALL be used in either of the following fashions to define a function pointer variable, 312 myC Initialize, which can point to a C Initialize function in a Cryptoki library (note that neither of the

313 314 following code snippets actually assigns a value to myC Initialize):

```
315
           CK DECLARE FUNCTION POINTER(CK RV, myC Initialize) (
316
             CK VOID PTR pReserved
317
           );
318
319
      or:
```

```
typedef CK DECLARE FUNCTION POINTER (CK RV, myC InitializeType) (
```

321	CK_VOID_PTR pReserved
322 323	); myC_InitializeType myC_Initialize;
020	myo_initiatizeType myo_initiatize,

#### ♦ CK\_CALLBACK\_FUNCTION

CK\_CALLBACK\_FUNCTION (returnType, name), when followed by a parentheses-enclosed list of arguments and a semicolon, declares a variable or type which is a pointer to an application callback function that can be used by a Cryptoki API function in a Cryptoki library. returnType is the return type of the function, and name is its name. It SHALL be used in either of the following fashions to define a function pointer variable, myCallback, which can point to an application callback which takes arguments args and returns a CK\_RV (note that neither of the following code snippets actually assigns a value to myCallback):

```
332
CK_CALLBACK_FUNCTION(CK_RV, myCallback)(args);

334 or:

typedef CK_CALLBACK_FUNCTION(CK_RV, myCallbackType)(args);
myCallbackType myCallback;
```

#### 337 ♦ NULL PTR

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NULL\_PTR is the value of a NULL pointer. In any ANSI C environment—and in many others as well—
NULL PTR SHALL be defined simply as 0.

## 3 General data types

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The general Cryptoki data types are described in the following subsections. The data types for holding parameters for various mechanisms, and the pointers to those parameters, are not described here; these types are described with the information on the mechanisms themselves, in Section 12.

A C or C++ source file in a Cryptoki application or library can define all these types (the types described here and the types that are specifically used for particular mechanism parameters) by including the toplevel Cryptoki include file, pkcs11.h. pkcs11.h, in turn, includes the other Cryptoki include files, pkcs11t.h and pkcs11f.h. A source file can also include just pkcs11t.h (instead of pkcs11.h); this defines most (but not all) of the types specified here.

When including either of these header files, a source file MUST specify the preprocessor directives indicated in Section 2.

#### 3.1 General information

Cryptoki represents general information with the following types:

#### ♦ CK\_VERSION; CK\_VERSION\_PTR

**CK\_VERSION** is a structure that describes the version of a Cryptoki interface, a Cryptoki library, or an SSL or TLS implementation, or the hardware or firmware version of a slot or token. It is defined as follows:

```
typedef struct CK_VERSION {
   CK_BYTE major;
   CK_BYTE minor;
} CK_VERSION;
```

The fields of the structure have the following meanings:

major major version number (the integer portion of the version)

*minor* minor version number (the hundredths portion of the version)

Example: For version 1.0, major = 1 and minor = 0. For version 2.10, major = 2 and minor = 10. Table 4 below lists the major and minor version values for the officially published Cryptoki specifications.

Table 4 Major and minor version values for published Cryptoki specificati	nc

Version	major	minor
1.0	0x01	0x00
2.01	0x02	0x01
2.10	0x02	0x0a
2.11	0x02	0x0b
2.20	0x02	0x14
2.30	0x02	0x1e
2.40	0x02	0x28
3.0	0x03	0x00

Minor revisions of the Cryptoki standard are always upwardly compatible within the same major version number.

CK VERSION PTR is a pointer to a CK VERSION.

#### ◆ CK\_INFO; CK\_INFO\_PTR

**CK\_INFO** provides general information about Cryptoki. It is defined as follows:

```
373
          typedef struct CK INFO {
374
             CK VERSION cryptokiVersion;
375
             CK UTF8CHAR manufacturerID[32];
376
             CK FLAGS flags;
377
             CK UTF8CHAR libraryDescription[32];
378
             CK VERSION libraryVersion;
           } CK INFO;
379
380
381
```

The fields of the structure have the following meanings:

382 cryptokiVersion Cryptoki interface version number, for compatibility with future revisions of this interface 383 384 manufacturerID ID of the Cryptoki library manufacturer. MUST be padded with the blank character (''). Should *not* be null-terminated. 385 flags 386 bit flags reserved for future versions. MUST be zero for this version 387 **libraryDescription** character-string description of the library. MUST be padded with the 388

blank character (''). Should not be null-terminated.

**libraryVersion** Cryptoki library version number

For libraries written to this document, the value of cryptokiVersion should match the version of this specification; the value of *libraryVersion* is the version number of the library software itself.

392 CK\_INFO\_PTR is a pointer to a CK\_INFO.

#### CK NOTIFICATION

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CK\_NOTIFICATION holds the types of notifications that Cryptoki provides to an application. It is defined as follows:

```
typedef CK ULONG CK NOTIFICATION;
```

For this version of Cryptoki, the following types of notifications are defined:

```
CKN SURRENDER
```

The notifications have the following meanings:

CKN SURRENDER Cryptoki is surrendering the execution of a function executing in a session so that the application may perform other operations. After performing any desired operations, the application should indicate to Cryptoki whether to continue or cancel the function (see Section

5.21.1).

## 3.2 Slot and token types

Cryptoki represents slot and token information with the following types:

#### CK\_SLOT\_ID; CK\_SLOT\_ID\_PTR

410 **CK SLOT ID** is a Cryptoki-assigned value that identifies a slot. It is defined as follows:

```
411
           typedef CK ULONG CK SLOT ID;
412
```

- 413 A list of CK\_SLOT\_IDs is returned by C\_GetSlotList. A priori, any value of CK\_SLOT\_ID can be a valid
- slot identifier—in particular, a system may have a slot identified by the value 0. It need not have such a
- 415 slot, however.

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416 **CK\_SLOT\_ID\_PTR** is a pointer to a **CK\_SLOT\_ID**.

#### ♦ CK\_SLOT\_INFO; CK\_SLOT\_INFO\_PTR

**CK SLOT INFO** provides information about a slot. It is defined as follows:

```
typedef struct CK_SLOT_INFO {
   CK_UTF8CHAR slotDescription[64];
   CK_UTF8CHAR manufacturerID[32];
   CK_FLAGS flags;
   CK_VERSION hardwareVersion;
   CK_VERSION firmwareVersion;
} CK_SLOT_INFO;
```

The fields of the structure have the following meanings:

428 429	slotDescription	character-string description of the slot. MUST be padded with the blank character (' '). MUST NOT be null-terminated.
430 431	manufacturerID	ID of the slot manufacturer. MUST be padded with the blank character (''). MUST NOT be null-terminated.
432 433	flags	bits flags that provide capabilities of the slot. The flags are defined below
434	hardwareVersion	version number of the slot's hardware
435	firmwareVersion	version number of the slot's firmware

- 436 The following table defines the *flags* field:
- 437 Table 5, Slot Information Flags

Bit Flag	Mask	Meaning
CKF_TOKEN_PRESENT	0x00000001	True if a token is present in the slot (e.g., a device is in the reader)
CKF_REMOVABLE_DEVICE	0x00000002	True if the reader supports removable devices
CKF_HW_SLOT	0x00000004	True if the slot is a hardware slot, as opposed to a software slot implementing a "soft token"

- For a given slot, the value of the **CKF\_REMOVABLE\_DEVICE** flag *never changes*. In addition, if this flag
- is not set for a given slot, then the **CKF\_TOKEN\_PRESENT** flag for that slot is *always* set. That is, if a
- slot does not support a removable device, then that slot always has a token in it.
- 441 **CK\_SLOT\_INFO\_PTR** is a pointer to a **CK\_SLOT\_INFO**.
  - ♦ CK\_TOKEN\_INFO; CK\_TOKEN\_INFO\_PTR
  - CK\_TOKEN\_INFO provides information about a token. It is defined as follows:

```
typedef struct CK_TOKEN_INFO {
    CK_UTF8CHAR label[32];
```

```
446
              CK UTF8CHAR manufacturerID[32];
447
              CK UTF8CHAR model[16];
448
              CK CHAR serialNumber[16];
449
             CK FLAGS flags;
450
             CK ULONG ulMaxSessionCount;
451
             CK_ULONG ulSessionCount;
             CK_ULONG ulMaxRwSessionCount;
452
453
             CK_ULONG ulRwSessionCount;
             CK ULONG ulMaxPinLen;
454
455
              CK ULONG ulMinPinLen;
456
             CK ULONG ulTotalPublicMemory;
457
             CK ULONG ulFreePublicMemory;
458
             CK ULONG ulTotalPrivateMemory;
459
             CK ULONG ulFreePrivateMemory;
460
             CK VERSION hardwareVersion;
461
             CK VERSION firmwareVersion;
462
              CK CHAR utcTime[16];
463
             CK TOKEN INFO;
464
465
       The fields of the structure have the following meanings:
466
                                label
                                         application-defined label, assigned during token initialization. MUST
                                         be padded with the blank character (' '). MUST NOT be null-
467
468
                                         terminated.
469
                       manufacturerID
                                         ID of the device manufacturer. MUST be padded with the blank
                                         character (' '). MUST NOT be null-terminated.
470
                                         model of the device. MUST be padded with the blank character (' ').
471
                               model
472
                                         MUST NOT be null-terminated.
                                         character-string serial number of the device. MUST be padded with
473
                         serialNumber
                                         the blank character (''). MUST NOT be null-terminated.
474
475
                                         bit flags indicating capabilities and status of the device as defined
                                flags
                                         below
476
                  ulMaxSessionCount
477
                                         maximum number of sessions that can be opened with the token at
                                         one time by a single application (see CK_TOKEN_INFO Note
478
479
                                         below)
480
                      ulSessionCount
                                         number of sessions that this application currently has open with the
                                         token (see CK_TOKEN_INFO Note below)
481
               ulMaxRwSessionCount
482
                                         maximum number of read/write sessions that can be opened with
                                         the token at one time by a single application (see
483
484
                                         CK_TOKEN_INFO Note below)
485
                   ulRwSessionCount
                                         number of read/write sessions that this application currently has
                                         open with the token (see CK_TOKEN_INFO Note below)
486
487
                         ulMaxPinLen
                                         maximum length in bytes of the PIN
488
                         ulMinPinLen
                                         minimum length in bytes of the PIN
489
                 ulTotalPublicMemory
                                         the total amount of memory on the token in bytes in which public
490
                                         objects may be stored (see CK_TOKEN_INFO Note below)
```

491 492	ulFreePublicMemory	the amount of free (unused) memory on the token in bytes for public objects (see <b>CK_TOKEN_INFO Note</b> below)
493 494	ulTotalPrivateMemory	the total amount of memory on the token in bytes in which private objects may be stored (see <b>CK_TOKEN_INFO Note</b> below)
495 496	ulFreePrivateMemory	the amount of free (unused) memory on the token in bytes for private objects (see <b>CK_TOKEN_INFO Note</b> below)
497	hardwareVersion	version number of hardware
498	firmwareVersion	version number of firmware
499 500 501 502 503 504	utcTime	current time as a character-string of length 16, represented in the format YYYYMMDDhhmmssxx (4 characters for the year; 2 characters each for the month, the day, the hour, the minute, and the second; and 2 additional reserved '0' characters). The value of this field only makes sense for tokens equipped with a clock, as indicated in the token information flags (see below)

The following table defines the *flags* field:

506 Table 6, Token Information Flags

Bit Flag	Mask	Meaning
CKF_RNG	0x00000001	True if the token has its own random number generator
CKF_WRITE_PROTECTED	0x00000002	True if the token is write- protected (see below)
CKF_LOGIN_REQUIRED	0x00000004	True if there are some cryptographic functions that a user MUST be logged in to perform
CKF_USER_PIN_INITIALIZED	0x00000008	True if the normal user's PIN has been initialized
CKF_RESTORE_KEY_NOT_NEEDED	0x00000020	True if a successful save of a session's cryptographic operations state <i>always</i> contains all keys needed to restore the state of the session
CKF_CLOCK_ON_TOKEN	0x00000040	True if token has its own hardware clock
CKF_PROTECTED_AUTHENTICATION_PA TH	0x00000100	True if token has a "protected authentication path", whereby a user can log into the token without passing a PIN through the Cryptoki library
CKF_DUAL_CRYPTO_OPERATIONS	0x00000200	True if a single session with the token can perform dual cryptographic operations (see Section 5.14)

Bit Flag	Mask	Meaning
CKF_TOKEN_INITIALIZED	0x00000400	True if the token has been initialized using C_InitToken or an equivalent mechanism outside the scope of this standard. Calling C_InitToken when this flag is set will cause the token to be reinitialized.
CKF_SECONDARY_AUTHENTICATION	0x00000800	True if the token supports secondary authentication for private key objects. (Deprecated; new implementations MUST NOT set this flag)
CKF_USER_PIN_COUNT_LOW	0x00010000	True if an incorrect user login PIN has been entered at least once since the last successful authentication.
CKF_USER_PIN_FINAL_TRY	0x00020000	True if supplying an incorrect user PIN will cause it to become locked.
CKF_USER_PIN_LOCKED	0x00040000	True if the user PIN has been locked. User login to the token is not possible.
CKF_USER_PIN_TO_BE_CHANGED	0x00080000	True if the user PIN value is the default value set by token initialization or manufacturing, or the PIN has been expired by the card.
CKF_SO_PIN_COUNT_LOW	0x00100000	True if an incorrect SO login PIN has been entered at least once since the last successful authentication.
CKF_SO_PIN_FINAL_TRY	0x00200000	True if supplying an incorrect SO PIN will cause it to become locked.
CKF_SO_PIN_LOCKED	0x00400000	True if the SO PIN has been locked. SO login to the token is not possible.
CKF_SO_PIN_TO_BE_CHANGED	0x00800000	True if the SO PIN value is the default value set by token initialization or manufacturing, or the PIN has been expired by the card.
CKF_ERROR_STATE	0x01000000	True if the token failed a FIPS 140-2 self-test and entered an error state.

Exactly what the **CKF\_WRITE\_PROTECTED** flag means is not specified in Cryptoki. An application may be unable to perform certain actions on a write-protected token; these actions can include any of the following, among others:

- Creating/modifying/deleting any object on the token.
- Creating/modifying/deleting a token object on the token.

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- Changing the SO's PIN.
- Changing the normal user's PIN.
- The token may change the value of the **CKF\_WRITE\_PROTECTED** flag depending on the session state
- to implement its object management policy. For instance, the token may set the
- 516 **CKF\_WRITE\_PROTECTED** flag unless the session state is R/W SO or R/W User to implement a policy
- that does not allow any objects, public or private, to be created, modified, or deleted unless the user has successfully called C. Login.
- The CKF\_USER\_PIN\_COUNT\_LOW, CKF\_USER\_PIN\_COUNT\_LOW, CKF\_USER\_PIN\_FINAL\_TRY,
- and CKF\_SO\_PIN\_FINAL\_TRY flags may always be set to false if the token does not support the
- functionality or will not reveal the information because of its security policy.
- The CKF\_USER\_PIN\_TO\_BE\_CHANGED and CKF\_SO\_PIN\_TO\_BE\_CHANGED flags may always be
- set to false if the token does not support the functionality. If a PIN is set to the default value, or has
- 524 expired, the appropriate CKF\_USER\_PIN\_TO\_BE\_CHANGED or CKF\_SO\_PIN\_TO\_BE\_CHANGED
- flag is set to true. When either of these flags are true, logging in with the corresponding PIN will succeed,
- but only the C\_SetPIN function can be called. Calling any other function that required the user to be
- logged in will cause CKR\_PIN\_EXPIRED to be returned until C\_SetPIN is called successfully.
- 528 **CK\_TOKEN\_INFO Note**: The fields ulMaxSessionCount, ulSessionCount, ulMaxRwSessionCount,
- 529 ulRwSessionCount, ulTotalPublicMemory, ulFreePublicMemory, ulTotalPrivateMemory, and
- 530 ulFreePrivateMemory can have the special value CK\_UNAVAILABLE\_INFORMATION, which means that
- the token and/or library is unable or unwilling to provide that information. In addition, the fields
- 532 ulMaxSessionCount and ulMaxRwSessionCount can have the special value
- CK\_EFFECTIVELY\_INFINITE, which means that there is no practical limit on the number of sessions
- (resp. R/W sessions) an application can have open with the token.
- It is important to check these fields for these special values. This is particularly true for
- 536 CK\_EFFECTIVELY\_INFINITE, since an application seeing this value in the ulMaxSessionCount or
- ulMaxRwSessionCount field would otherwise conclude that it can't open any sessions with the token,
- which is far from being the case.
- The upshot of all this is that the correct way to interpret (for example) the ulMaxSessionCount field is something along the lines of the following:

```
541
          CK TOKEN INFO info;
542
543
544
          if ((CK LONG) info.ulMaxSessionCount
545
              == CK UNAVAILABLE INFORMATION) {
546
            /* Token refuses to give value of ulMaxSessionCount */
547
548
549
          } else if (info.ulMaxSessionCount == CK EFFECTIVELY INFINITE) {
550
            /* Application can open as many sessions as it wants */
551
552
553
          } else {
554
            /* ulMaxSessionCount really does contain what it should */
555
556
557
558
```

559 CK\_TOKEN\_INFO\_PTR is a pointer to a CK\_TOKEN\_INFO.

## 3.3 Session types

560

561 Cryptoki represents session information with the following types:

#### CK\_SESSION\_HANDLE; CK\_SESSION\_HANDLE\_PTR

563 CK\_SESSION\_HANDLE is a Cryptoki-assigned value that identifies a session. It is defined as follows:

```
typedef CK_ULONG CK_SESSION_HANDLE;
```

Valid session handles in Cryptoki always have nonzero values. For developers' convenience, Cryptoki defines the following symbolic value:

```
CK_INVALID_HANDLE
```

570 CK\_SESSION\_HANDLE\_PTR is a pointer to a CK\_SESSION\_HANDLE.

#### 571 ♦ CK\_USER\_TYPE

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**CK\_USER\_TYPE** holds the types of Cryptoki users described in **[PKCS11-UG]** and, in addition, a context-specific type described in Section 4.9. It is defined as follows:

```
typedef CK_ULONG CK_USER_TYPE;
```

For this version of Cryptoki, the following types of users are defined:

```
577 CKU_SO
578 CKU_USER
579 CKU_CONTEXT_SPECIFIC
```

## 580 ♦ CK\_STATE

581 **CK\_STATE** holds the session state, as described in [PKCS11-UG]. It is defined as follows:

```
typedef CK_ULONG CK_STATE;
```

For this version of Cryptoki, the following session states are defined:

```
CKS_RO_PUBLIC_SESSION
CKS_RO_USER_FUNCTIONS
CKS_RW_PUBLIC_SESSION
CKS_RW_USER_FUNCTIONS
CKS_RW_SO_FUNCTIONS
```

## ◆ CK\_SESSION\_INFO; CK\_SESSION\_INFO\_PTR

**CK SESSION INFO** provides information about a session. It is defined as follows:

```
typedef struct CK_SESSION_INFO {
    CK_SLOT_ID slotID;
    CK_STATE state;
    CK_FLAGS flags;
    CK_ULONG ulDeviceError;
} CK_SESSION_INFO;
```

The fields of the structure have the following meanings:

slotID ID of the slot that interfaces with the token

602 state the state of the session

603	flags	bit flags that define the type of session; the flags are defined below
604	ulDeviceError	an error code defined by the cryptographic device. Used for errors

605 not covered by Cryptoki.

The following table defines the *flags* field:

Table 7, Session Information Flags

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Bit Flag	Mask	Meaning
CKF_RW_SESSION	0x00000002	True if the session is read/write; false if the session is read-only
CKF_SERIAL_SESSION	0x00000004	This flag is provided for backward compatibility, and should always be set to true

608 CK\_SESSION\_INFO\_PTR is a pointer to a CK\_SESSION\_INFO.

## 3.4 Object types

610 Cryptoki represents object information with the following types:

#### ♦ CK\_OBJECT\_HANDLE; CK\_OBJECT\_HANDLE\_PTR

612 **CK\_OBJECT\_HANDLE** is a token-specific identifier for an object. It is defined as follows:

```
typedef CK_ULONG CK_OBJECT_HANDLE;
```

When an object is created or found on a token by an application, Cryptoki assigns it an object handle for that application's sessions to use to access it. A particular object on a token does not necessarily have a handle which is fixed for the lifetime of the object; however, if a particular session can use a particular handle to access a particular object, then that session will continue to be able to use that handle to access that object as long as the session continues to exist, the object continues to exist, and the object continues to be accessible to the session.

*Valid object handles in Cryptoki always have nonzero values.* For developers' convenience, Cryptoki defines the following symbolic value:

```
CK_INVALID_HANDLE
```

625 CK\_OBJECT\_HANDLE\_PTR is a pointer to a CK\_OBJECT\_HANDLE.

## ◆ CK\_OBJECT\_CLASS; CK\_OBJECT\_CLASS\_PTR

627 CK\_OBJECT\_CLASS is a value that identifies the classes (or types) of objects that Cryptoki recognizes.
628 It is defined as follows:

```
typedef CK_ULONG CK_OBJECT_CLASS;
```

Object classes are defined with the objects that use them. The type is specified on an object through the CKA\_CLASS attribute of the object.

Vendor defined values for this type may also be specified.

```
634 CKO_VENDOR_ DEFINED 635
```

Object classes **CKO\_VENDOR\_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their object classes through the PKCS process.

638 **CK\_OBJECT\_CLASS\_PTR** is a pointer to a **CK\_OBJECT\_CLASS**.

#### **♦ CK HW FEATURE TYPE**

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640 **CK\_HW\_FEATURE\_TYPE** is a value that identifies a hardware feature type of a device. It is defined as follows:

```
typedef CK_ULONG CK_HW_FEATURE_TYPE;
```

Hardware feature types are defined with the objects that use them. The type is specified on an object through the CKA HW FEATURE TYPE attribute of the object.

Vendor defined values for this type may also be specified.

```
647 CKH_VENDOR_DEFINED 648
```

Feature types **CKH\_VENDOR\_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their feature types through the PKCS process.

#### **♦ CK KEY TYPE**

652 **CK\_KEY\_TYPE** is a value that identifies a key type. It is defined as follows:

```
typedef CK_ULONG CK_KEY_TYPE;
```

Key types are defined with the objects and mechanisms that use them. The key type is specified on an object through the CKA\_KEY\_TYPE attribute of the object.

Vendor defined values for this type may also be specified.

```
658 CKK_VENDOR_DEFINED 659
```

Key types **CKK\_VENDOR\_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their key types through the PKCS process.

#### **◆ CK CERTIFICATE TYPE**

**CK\_CERTIFICATE\_TYPE** is a value that identifies a certificate type. It is defined as follows:

```
typedef CK_ULONG CK_CERTIFICATE_TYPE;
```

666 Certificate types are defined with the objects and mechanisms that use them. The certificate type is 667 specified on an object through the CKA CERTIFICATE TYPE attribute of the object.

Vendor defined values for this type may also be specified.

```
CKC_VENDOR_DEFINED
```

671 Certificate types **CKC\_VENDOR\_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their certificate types through the PKCS process.

#### **♦ CK CERTIFICATE CATEGORY**

674 **CK\_CERTIFICATE\_CATEGORY** is a value that identifies a certificate category. It is defined as follows:

```
typedef CK_ULONG CK_CERTIFICATE_CATEGORY;
```

For this version of Cryptoki, the following certificate categories are defined:

Constant	Value	Meaning
CK_CERTIFICATE_CATEGORY_UNSPECIFIED	0x0000000UL	No category specified
CK_CERTIFICATE_CATEGORY_TOKEN_USER	0x00000001UL	Certificate belongs to owner of the token
CK_CERTIFICATE_CATEGORY_AUTHORITY	0x00000002UL	Certificate belongs to a certificate authority
CK_CERTIFICATE_CATEGORY_OTHER_ENTITY	0x00000003UL	Certificate belongs to an end entity (i.e.: not a CA)

#### ◆ CK\_ATTRIBUTE\_TYPE

**CK\_ATTRIBUTE\_TYPE** is a value that identifies an attribute type. It is defined as follows:

```
typedef CK_ULONG CK_ATTRIBUTE_TYPE;
```

Attributes are defined with the objects and mechanisms that use them. Attributes are specified on an object as a list of type, length value items. These are often specified as an attribute template.

Vendor defined values for this type may also be specified.

```
CKA_VENDOR_DEFINED
```

Attribute types **CKA\_VENDOR\_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their attribute types through the PKCS process.

## ◆ CK\_ATTRIBUTE; CK\_ATTRIBUTE\_PTR

**CK\_ATTRIBUTE** is a structure that includes the type, value, and length of an attribute. It is defined as follows:

```
typedef struct CK_ATTRIBUTE {
   CK_ATTRIBUTE_TYPE type;
   CK_VOID_PTR pValue;
   CK_ULONG ulValueLen;
} CK_ATTRIBUTE;
```

The fields of the structure have the following meanings:

*type* the attribute type

*pValue* pointer to the value of the attribute

*ulValueLen* length in bytes of the value

If an attribute has no value, then ulValueLen = 0, and the value of pValue is irrelevant. An array of  $CK\_ATTRIBUTE$ s is called a "template" and is used for creating, manipulating and searching for objects. The order of the attributes in a template never matters, even if the template contains vendor-specific attributes. Note that pValue is a "void" pointer, facilitating the passing of arbitrary values. Both the application and Cryptoki library MUST ensure that the pointer can be safely cast to the expected type (*i.e.*, without word-alignment errors).

The constant CK\_UNAVAILABLE\_INFORMATION is used in the ulValueLen field to denote an invalid or unavailable value. See C\_GetAttributeValue for further details.

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712 **CK\_ATTRIBUTE\_PTR** is a pointer to a **CK\_ATTRIBUTE**.

#### 713 **♦ CK\_DATE**

714 **CK DATE** is a structure that defines a date. It is defined as follows:

```
typedef struct CK_DATE {
   CK_CHAR year[4];
   CK_CHAR month[2];
   CK_CHAR day[2];
} CK_DATE;
```

721 The fields of the structure have the following meanings:

724 day the day ("01" - "31")

- The fields hold numeric characters from the character set in Table 3, not the literal byte values.
- 726 When a Cryptoki object carries an attribute of this type, and the default value of the attribute is specified to be "empty." then Cryptoki libraries SHALL set the attribute's *ulValueLen* to 0.
- Note that implementations of previous versions of Cryptoki may have used other methods to identify an
- "empty" attribute of type CK\_DATE, and applications that needs to interoperate with these libraries
- therefore have to be flexible in what they accept as an empty value.

## ◆ CK\_PROFILE\_ID; CK\_PROFILE\_ID\_PTR

732 **CK PROFILE ID** is an unsigend ulong value represting a specific token profile. It is defined as follows:

```
typedef CK_ULONG CK_PROFILE_ID;
```

Profiles are defines in the PKCS #11 Cryptographic Token Interface Profiles document. s. ID's greater than 0xffffffff may cause compatibility issues on platforms that have CK\_ULONG values of 32 bits, and should be avoided.

738 Vendor defined values for this type may also be specified.

```
CKP_VENDOR_ DEFINED
```

Profile IDs **CKP\_VENDOR\_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their object classes through the PKCS process.

744 *Valid Profile IDs in Cryptoki always have nonzero values.* For developers' convenience, Cryptoki defines
 745 the following symbolic value:

```
746 CKP_INVALID_ID
```

747 CK PROFILE ID PTR is a pointer to a CK PROFILE ID.

#### 

749 **CK\_JAVA\_MIDP\_SECURITY\_DOMAIN** is a value that identifies the Java MIDP security domain of a certificate. It is defined as follows:

```
751 typedef CK_ULONG CK_JAVA_MIDP_SECURITY_DOMAIN;
```

For this version of Cryptoki, the following security domains are defined. See the Java MIDP specification for further information:

Constant	Value	Meaning
CK_SECURITY_DOMAIN_UNSPECIFIED	0x0000000UL	No domain specified
CK_SECURITY_DOMAIN_MANUFACTURER	0x0000001UL	Manufacturer protection domain
CK_SECURITY_DOMAIN_OPERATOR	0x00000002UL	Operator protection domain
CK_SECURITY_DOMAIN_THIRD_PARTY	0x00000003UL	Third party protection domain

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## 3.5 Data types for mechanisms

756 Cryptoki supports the following types for describing mechanisms and parameters to them:

## ◆ CK\_MECHANISM\_TYPE; CK\_MECHANISM\_TYPE\_PTR

758 **CK MECHANISM TYPE** is a value that identifies a mechanism type. It is defined as follows:

```
typedef CK_ULONG CK_MECHANISM_TYPE;
```

Mechanism types are defined with the objects and mechanism descriptions that use them.

Vendor defined values for this type may also be specified.

```
CKM_VENDOR_DEFINED
```

Mechanism types **CKM\_VENDOR\_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their mechanism types through the PKCS process.

CK\_MECHANISM\_TYPE\_PTR is a pointer to a CK\_MECHANISM\_TYPE.

## ♦ CK\_MECHANISM; CK\_MECHANISM\_PTR

**CK\_MECHANISM** is a structure that specifies a particular mechanism and any parameters it requires. It is defined as follows:

```
typedef struct CK_MECHANISM {
    CK_MECHANISM_TYPE mechanism;
    CK_VOID_PTR pParameter;
    CK_ULONG ulParameterLen;
} CK_MECHANISM;
```

The fields of the structure have the following meanings:

mechanism the type of mechanism

779	pParameter	pointer to the parameter if required by the mechanism
780	ulParameterLen	length in bytes of the parameter

Note that *pParameter* is a "void" pointer, facilitating the passing of arbitrary values. Both the application and the Cryptoki library MUST ensure that the pointer can be safely cast to the expected type (*i.e.*, without word-alignment errors).

CK\_MECHANISM\_PTR is a pointer to a CK\_MECHANISM.

#### ◆ CK\_MECHANISM\_INFO; CK\_MECHANISM\_INFO\_PTR

**CK\_MECHANISM\_INFO** is a structure that provides information about a particular mechanism. It is defined as follows:

```
typedef struct CK_MECHANISM_INFO {
   CK_ULONG ulMinKeySize;
   CK_ULONG ulMaxKeySize;
   CK_FLAGS flags;
} CK_MECHANISM_INFO;
```

The fields of the structure have the following meanings:

ulMinKeySize the minimum size of the key for the mechanism (whether this is

measured in bits or in bytes is mechanism-dependent)

ulMaxKeySize the maximum size of the key for the mechanism (whether this is

measured in bits or in bytes is mechanism-dependent)

799 flags bit flags specifying mechanism capabilities

For some mechanisms, the *ulMinKeySize* and *ulMaxKeySize* fields have meaningless values.

The following table defines the *flags* field:

802 Table 8, Mechanism Information Flags

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Bit Flag	Mask	Meaning
CKF_HW	0x00000001	True if the mechanism is performed by the device; false if the mechanism is performed in software
CKF_MESSAGE_ENCRYPT	0x00000002	True if the mechanism can be used with C_MessageEncryptInit
CKF_MESSAGE_DECRYPT	0x00000004	True if the mechanism can be used with C_MessageDecryptInit
CKF_MESSAGE_SIGN	0x00000008	True if the mechanism can be used with C_MessageSignInit
CKF_MESSAGE_VERIFY	0x00000010	True if the mechanism can be used with C_MessageVerifyInit
CKF_MULTI_MESSAGE	0x00000020	True if the mechanism can be used with C_*MessageBegin. One of CKF_MESSAGE_* flag must also be set.
CKF_FIND_OBJECTS	0x00000040	This flag can be passed in as a parameter to <b>C_CancelSession</b> to cancel an active object search operation. Any other use of this flag is outside the scope of this standard.

Bit Flag	Mask	Meaning
CKF_ENCRYPT	0x00000100	True if the mechanism can be used with C_EncryptInit
CKF_DECRYPT	0x00000200	True if the mechanism can be used with <b>C_DecryptInit</b>
CKF_DIGEST	0x00000400	True if the mechanism can be used with <b>C_DigestInit</b>
CKF_SIGN	0x00000800	True if the mechanism can be used with <b>C_SignInit</b>
CKF_SIGN_RECOVER	0x00001000	True if the mechanism can be used with C_SignRecoverInit
CKF_VERIFY	0x00002000	True if the mechanism can be used with C_VerifyInit
CKF_VERIFY_RECOVER	0x00004000	True if the mechanism can be used with C_VerifyRecoverInit
CKF_GENERATE	0x00008000	True if the mechanism can be used with C_GenerateKey
CKF_GENERATE_KEY_PAIR	0x00010000	True if the mechanism can be used with C_GenerateKeyPair
CKF_WRAP	0x00020000	True if the mechanism can be used with <b>C_WrapKey</b>
CKF_UNWRAP	0x00040000	True if the mechanism can be used with <b>C_UnwrapKey</b>
CKF_DERIVE	0x00080000	True if the mechanism can be used with <b>C_DeriveKey</b>
CKF_EXTENSION	0x80000000	True if there is an extension to the flags; false if no extensions. MUST be false for this version.

CK\_MECHANISM\_INFO\_PTR is a pointer to a CK\_MECHANISM\_INFO.

## 3.6 Function types

805 Cryptoki represents information about functions with the following data types:

#### CK\_RV

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807 **CK RV** is a value that identifies the return value of a Cryptoki function. It is defined as follows:

```
typedef CK ULONG CK RV;
```

Vendor defined values for this type may also be specified.

```
810
811
           CKR_VENDOR_DEFINED
812
```

Section 5.1 defines the meaning of each CK\_RV value. Return values CKR\_VENDOR\_DEFINED and above are permanently reserved for token vendors. For interoperability, vendors should register their return values through the PKCS process.

#### **♦ CK NOTIFY**

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**CK\_NOTIFY** is the type of a pointer to a function used by Cryptoki to perform notification callbacks. It is defined as follows:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, CK_NOTIFY)(
CK_SESSION_HANDLE hSession,
CK_NOTIFICATION event,
CK_VOID_PTR pApplication
);
823
);
```

The arguments to a notification callback function have the following meanings:

hSession The handle of the session performing the callback

event The type of notification callback

pApplication An application-defined value. This is the same value as was passed

to **C\_OpenSession** to open the session performing the callback

## 830 **CK\_C\_XXX**

Cryptoki also defines an entire family of other function pointer types. For each function **C\_XXX** in the

832 Cryptoki API (see Section 4.12 for detailed information about each of them), Cryptoki defines a type

CK\_C\_XXX, which is a pointer to a function with the same arguments and return value as C\_XXX has.

An appropriately-set variable of type **CK\_C\_XXX** may be used by an application to call the Cryptoki

835 function **C\_XXX**.

## ◆ CK\_FUNCTION\_LIST; CK FUNCTION LIST PTR PTR

CK\_FUNCTION\_LIST\_PTR;

**CK\_FUNCTION\_LIST** is a structure which contains a Cryptoki version and a function pointer to each function in the Cryptoki API. It is defined as follows:

```
840
           typedef struct CK FUNCTION LIST {
841
             CK VERSION version;
842
             CK C Initialize C Initialize;
843
             CK_C_Finalize C_Finalize;
844
             CK C GetInfo C GetInfo;
845
             CK_C_GetFunctionList C_GetFunctionList;
846
             CK_C_GetSlotList C_GetSlotList;
847
             CK_C_GetSlotInfo C_GetSlotInfo;
848
             CK_C_GetTokenInfo C_GetTokenInfo;
849
             CK_C_GetMechanismList C_GetMechanismList;
CK_C_GetMechanismInfo C_GetMechanismInfo;
850
851
             CK C InitToken C InitToken;
852
             CK C InitPIN C InitPIN;
853
             CK C SetPIN C SetPIN;
854
             CK C OpenSession C OpenSession;
855
             CK C CloseSession C CloseSession;
856
             CK C CloseAllSessions C CloseAllSessions;
857
             CK C GetSessionInfo C GetSessionInfo;
858
859
             CK C GetOperationState C GetOperationState;
             CK_C_SetOperationState C_SetOperationState;
860
             CK_C_Login C_Login;
861
             CK_C_Logout C Logout;
862
863
             CK_C_CreateObject C_CreateObject;
864
             CK C CopyObject C CopyObject;
865
             CK C DestroyObject C DestroyObject;
```

```
866
             CK C GetObjectSize C GetObjectSize;
867
             CK C GetAttributeValue C GetAttributeValue;
868
             CK C SetAttributeValue C SetAttributeValue;
869
             CK C FindObjectsInit C FindObjectsInit;
870
             CK C FindObjects C FindObjects;
871
             CK_C_FindObjectsFinal C FindObjectsFinal;
872
             CK_C_EncryptInit C_EncryptInit;
873
             CK_C_Encrypt C_Encrypt;
874
             CK_C_EncryptUpdate C_EncryptUpdate;
875
             CK_C_EncryptFinal C_EncryptFinal;
876
             CK C MessageEncryptInit C MessageEncryptInit;
877
             CK C EncryptMessage C_EncryptMessage ;
878
             CK C EncryptMessageBegin C EncryptMessageBegin;
879
             CK C EncryptMessageNext C EncryptMessageNext;
880
             CK C EncryptMessageFinal C EncryptMessageFinal;
881
             CK C DecryptInit C DecryptInit;
882
             CK C Decrypt C Decrypt;
883
             CK C DecryptUpdate C DecryptUpdate;
884
             CK C DecryptFinal C DecryptFinal;
885
             CK C DigestInit C DigestInit;
886
             CK_C_Digest C_Digest;
887
             CK_C_DigestUpdate C_DigestUpdate;
888
             CK_C_DigestKey C_DigestKey;
             CK_C_DigestFinal C_DigestFinal;
CK_C_SignInit C_SignInit;
889
890
             CK C Sign C Sign;
891
892
             CK C SignUpdate C SignUpdate;
893
             CK C SignFinal C SignFinal;
894
             CK C SignRecoverInit C SignRecoverInit;
895
             CK C SignRecover C SignRecover;
896
             CK C VerifyInit C VerifyInit;
897
             CK C Verify C Verify;
898
             CK C VerifyUpdate C_VerifyUpdate;
899
             CK_C_VerifyFinal C_VerifyFinal;
900
             CK_C_VerifyRecoverInit C_VerifyRecoverInit;
             CK_C_VerifyRecover C_VerifyRecover;
901
             CK_C_DigestEncryptUpdate C_DigestEncryptUpdate;
CK_C_DecryptDigestUpdate C_DecryptDigestUpdate;
902
903
904
             CK_C_SignEncryptUpdate C_SignEncryptUpdate;
CK_C_DecryptVerifyUpdate C_DecryptVerifyUpdate;
905
906
             CK C GenerateKey C_GenerateKey;
907
             CK C GenerateKeyPair C GenerateKeyPair;
             CK C WrapKey C WrapKey;
908
             CK C UnwrapKey C UnwrapKey;
909
910
             CK C DeriveKey C DeriveKey;
911
             CK C SeedRandom C SeedRandom;
912
             CK C GenerateRandom C GenerateRandom;
913
             CK C GetFunctionStatus C GetFunctionStatus;
914
             CK_C_CancelFunction C_CancelFunction;
915
             CK C WaitForSlotEvent C WaitForSlotEvent;
916
           } CK FUNCTION LIST;
917
```

Each Cryptoki library has a static **CK\_FUNCTION\_LIST** structure, and a pointer to it (or to a copy of it which is also owned by the library) may be obtained by the **C\_GetFunctionList** function (see Section 5.2). The value that this pointer points to can be used by an application to quickly find out where the executable code for each function in the Cryptoki API is located. Every function in the Cryptoki API MUST have an entry point defined in the Cryptoki library's **CK\_FUNCTION\_LIST** structure. If a particular function in the Cryptoki API is not supported by a library, then the function pointer for that function in the library's **CK\_FUNCTION\_LIST** structure should point to a function stub which simply returns CKR FUNCTION NOT SUPPORTED.

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In this structure 'version' is the cryptoki specification version number. The major and minor versions must be set to 0x02 and 0x28 indicating a version 2.40 compatible structure. The updated function list table for this version of the specification may be returned via **C GetInterfaceList** or **C GetInterface.** 

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An application may or may not be able to modify a Cryptoki library's static **CK\_FUNCTION\_LIST** structure. Whether or not it can, it should never attempt to do so.

932 PKCS #11 modules must not add new functions at the end of the **CK\_FUNCTION\_LIST** that are not 933 contained within the defined structure. If a PKCS#11 module needs to define additional functions, they 934 should be placed within a vendor defined interface returned via **C\_GetInterfaceList** or **C\_GetInterface**.

935 CK FUNCTION LIST PTR is a pointer to a CK FUNCTION LIST.

CK\_FUNCTION\_LIST\_PTR\_PTR is a pointer to a CK\_FUNCTION\_LIST\_PTR.

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## ◆ CK\_FUNCTION\_LIST\_3\_0; CK\_FUNCTION\_LIST\_3\_0\_PTR; CK\_FUNCTION\_LIST\_3\_0 PTR\_PTR

CK\_FUNCTION\_LIST\_3\_0 is a structure which contains the same function pointers as in CK\_FUNCTION\_LIST and additional functions added to the end of the structure that were defined in Cryptoki version 3.0. It is defined as follows:

```
943
           typedef struct CK FUNCTION LIST 3 0 {
944
             CK VERSION version;
945
             CK_C_Initialize C_Initialize;
             CK_C_Finalize C_Finalize;
CK_C_GetInfo C_GetInfo;
946
947
948
             CK C GetFunctionList C GetFunctionList;
949
             CK C GetSlotList C GetSlotList;
950
             CK C GetSlotInfo C GetSlotInfo;
951
             CK C GetTokenInfo C GetTokenInfo;
952
             CK C GetMechanismList C GetMechanismList;
953
             CK C GetMechanismInfo C GetMechanismInfo;
954
             CK C InitToken C InitToken;
             CK_C_InitPIN C InitPIN;
955
             CK_C_SetPIN C SetPIN;
956
             CK_C_OpenSession C_OpenSession;
957
             CK_C_CloseSession C_CloseSession;
958
             CK_C_CloseAllSessions C_CloseAllSessions;
959
960
             CK_C_GetSessionInfo C_GetSessionInfo;
CK_C_GetOperationState C_GetOperationState;
961
962
             CK C SetOperationState C SetOperationState;
             CK C Login C Login;
963
964
             CK C Logout C Logout;
965
             CK C CreateObject C CreateObject;
966
             CK C CopyObject C CopyObject;
967
             CK C DestroyObject C DestroyObject;
968
             CK C GetObjectSize C GetObjectSize;
969
             CK C GetAttributeValue C GetAttributeValue;
970
             CK C SetAttributeValue C SetAttributeValue;
             CK_C_FindObjectsInit C_FindObjectsInit;
971
972
             CK_C_FindObjects C_FindObjects;
973
             CK C FindObjectsFinal C FindObjectsFinal;
974
             CK C EncryptInit C EncryptInit;
975
             CK C Encrypt C Encrypt;
             CK C EncryptUpdate C EncryptUpdate;
976
977
             CK C EncryptFinal C EncryptFinal;
978
             CK C DecryptInit C DecryptInit;
979
             CK C Decrypt C Decrypt;
980
             CK C DecryptUpdate C DecryptUpdate;
981
             CK C DecryptFinal C DecryptFinal;
```

```
982
              CK C DigestInit C DigestInit;
 983
              CK C Digest C Digest;
 984
              CK C DigestUpdate C DigestUpdate;
 985
              CK C DigestKey C DigestKey;
 986
              CK C DigestFinal C DigestFinal;
 987
              CK_C_SignInit C_SignInit;
 988
              CK_C_Sign C_Sign;
 989
              CK_C_SignUpdate C_SignUpdate;
 990
              CK_C_SignFinal C_SignFinal;
 991
              CK_C_SignRecoverInit C_SignRecoverInit;
 992
              CK C SignRecover C SignRecover;
              CK C VerifyInit C VerifyInit;
 993
 994
              CK C Verify C_Verify;
 995
              CK C VerifyUpdate C_VerifyUpdate;
 996
              CK C VerifyFinal C VerifyFinal;
 997
              CK C VerifyRecoverInit C VerifyRecoverInit;
 998
              CK C VerifyRecover C VerifyRecover;
 999
              CK C DigestEncryptUpdate C DigestEncryptUpdate;
1000
              CK C DecryptDigestUpdate C DecryptDigestUpdate;
1001
              CK C SignEncryptUpdate C SignEncryptUpdate;
1002
              CK_C_DecryptVerifyUpdate C_DecryptVerifyUpdate;
1003
              CK_C_GenerateKey C_GenerateKey;
1004
              CK_C_GenerateKeyPair C_GenerateKeyPair;
1005
              CK_C_WrapKey C_WrapKey;
1006
              CK C UnwrapKey C UnwrapKey;
1007
              CK C DeriveKey C_DeriveKey;
1008
              CK C SeedRandom C SeedRandom;
1009
              CK C GenerateRandom C GenerateRandom;
1010
              CK C GetFunctionStatus C GetFunctionStatus;
1011
              CK C CancelFunction C CancelFunction;
1012
              CK C WaitForSlotEvent C WaitForSlotEvent;
1013
              CK C GetInterfaceList C GetInterfaceList;
1014
              CK C GetInterface C GetInterface;
1015
              CK C LoginUser C LoginUser;
1016
              CK_C_SessionCancel C_SessionCancel;
1017
              CK_C_MessageEncryptInit C_MessageEncryptInit;
1018
              CK_C_EncryptMessage C_EncryptMessage;
1019
              CK_C_EncryptMessageBegin C_EncryptMessageBegin;
1020
              CK_C_EncryptMessageNext C_EncryptMessageNext;
1021
              CK C MessageEncryptFinal C MessageEncryptFinal;
1022
              CK C MessageDecryptInit C_MessageDecryptInit;
1023
              CK C DecryptMessage C DecryptMessage;
1024
              CK C DecryptMessageBegin C_DecryptMessageBegin;
1025
              CK C DecryptMessageNext C DecryptMessageNext;
              CK C MessageDecryptFinal C MessageDecryptFinal;
1026
1027
              CK C MessageSignInit C MessageSignInit;
1028
              CK C SignMessage C SignMessage;
1029
              CK_C_SignMessageBegin C_SignMessageBegin;
1030
              CK C SignMessageNext C SignMessageNext;
1031
              CK_C_MessageSignFinal C_MessageSignFinal;
1032
              CK C MessageVerifyInit C MessageVerifyInit;
              CK_C_VerifyMessage C_VerifyMessage;
1033
1034
                  _VerifyMessageBegin C_VerifyMessageBegin;
              CK_C_VerifyMessageNext C_VerifyMessageNext;
CK_C_MessageVerifyFinal C_MessageVerifyFinal;
1035
1036
1037
            } CK FUNCTION LIST 3 0;
1038
```

- For a general description of CK\_FUNCTION\_LIST\_3\_0 see CK\_FUNCTION\_LIST.
- In this structure, *version* is the cryptoki specification version number. It should match the value of *cryptokiVersion* returned in the **CK\_INFO** structure, but must be 3.0 at minimum.
- 1042 This function list may be returned via C\_GetInterfaceList or C\_GetInterface
- 1043 **CK\_FUNCTION\_LIST\_3\_0\_PTR** is a pointer to a **CK\_FUNCTION\_LIST\_3\_0**.

1044 CK\_FUNCTION\_LIST\_3\_0\_PTR\_PTR is a pointer to a CK\_FUNCTION\_LIST\_3\_0\_PTR.

## ◆ CK\_INTERFACE; CK\_INTERFACE\_PTR; CK\_INTERFACE\_PTR\_PTR

1046 **CK INTERFACE** is a structure which contains an interface name with a function list and flag.

It is defined as follows:

```
1048
typedef struct CK_INTERFACE {
1049
    CK_UTF8CHAR_PTR pInterfaceName;
1050
    CK_VOID_PTR pFunctionList;
1051
    CK_FLAGS flags;
1052
} CK_INTERFACE;
```

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The fields of the structure have the following meanings:

1055 pInterfaceName the name of the interface

pFunctionList the interface function list which must always begin with a

CK\_VERSION structure as the first field

1058 flags bit flags specifying interface capabilities

The interface name "PKCS 11" is reserved for use by interfaces defined within the cryptoki specification.

Interfaces starting with the string: "Vendor" are reserved for vendor use and will not oetherwise be

defined as interfaces in the PKCS #11 specification. Vendors should supply new functions with interface

names of "Vendor {vendor name}". For example "Vendor ACME Inc".

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The following table defines the flags field:

1065 Table 9, CK\_INTERFACE Flags

Bit Flag	Mask	Meaning
CKF_INTERFACE_FORK_SAFE	0x0000001	The returned interface will have fork tolerant semantics. When the application forks, each process will get its own copy of all session objects, session states, login states, and encryption states. Each process will also maintain access to token objects with their previously supplied handles.

1066

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1067 **CK\_INTERFACE\_PTR** is a pointer to a **CK\_INTERFACE**.

1068 **CK\_INTERFACE\_PTR\_PTR** is a pointer to a **CK\_INTERFACE\_PTR**.

# 3.7 Locking-related types

The types in this section are provided solely for applications which need to access Cryptoki from multiple

threads simultaneously. *Applications which will not do this need not use any of these types.* 

## 1072 ◆ CK CREATEMUTEX

1073 **CK\_CREATEMUTEX** is the type of a pointer to an application-supplied function which creates a new mutex object and returns a pointer to it. It is defined as follows:

1079 Calling a CK\_CREATEMUTEX function returns the pointer to the new mutex object in the location pointed to by ppMutex. Such a function should return one of the following values:

```
1081 CKR_OK, CKR_GENERAL_ERROR
CKR_HOST_MEMORY
```

## ◆ CK\_DESTROYMUTEX

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**CK\_DESTROYMUTEX** is the type of a pointer to an application-supplied function which destroys an existing mutex object. It is defined as follows:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, CK_DESTROYMUTEX)(
    CK_VOID_PTR pMutex
);
```

The argument to a CK\_DESTROYMUTEX function is a pointer to the mutex object to be destroyed. Such a function should return one of the following values:

```
1092 CKR_OK, CKR_GENERAL_ERROR
1093 CKR_HOST_MEMORY
1094 CKR_MUTEX_BAD
```

### ◆ CK LOCKMUTEX and CK UNLOCKMUTEX

**CK\_LOCKMUTEX** is the type of a pointer to an application-supplied function which locks an existing mutex object. **CK\_UNLOCKMUTEX** is the type of a pointer to an application-supplied function which unlocks an existing mutex object. The proper behavior for these types of functions is as follows:

- If a CK\_LOCKMUTEX function is called on a mutex which is not locked, the calling thread obtains a lock on that mutex and returns.
- If a CK\_LOCKMUTEX function is called on a mutex which is locked by some thread other than the calling thread, the calling thread blocks and waits for that mutex to be unlocked.
- If a CK\_LOCKMUTEX function is called on a mutex which is locked by the calling thread, the behavior of the function call is undefined.
  - If a CK\_UNLOCKMUTEX function is called on a mutex which is locked by the calling thread, that mutex is unlocked and the function call returns. Furthermore:
    - If exactly one thread was blocking on that particular mutex, then that thread stops blocking, obtains a lock on that mutex, and its CK LOCKMUTEX call returns.
    - If more than one thread was blocking on that particular mutex, then exactly one of the blocking threads is selected somehow. That lucky thread stops blocking, obtains a lock on the mutex, and its CK\_LOCKMUTEX call returns. All other threads blocking on that particular mutex continue to block.
  - If a CK\_UNLOCKMUTEX function is called on a mutex which is not locked, then the function call returns the error code CKR\_MUTEX\_NOT\_LOCKED.
  - If a CK\_UNLOCKMUTEX function is called on a mutex which is locked by some thread other than the calling thread, the behavior of the function call is undefined.

#### CK\_LOCKMUTEX is defined as follows:

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The argument to a CK\_LOCKMUTEX function is a pointer to the mutex object to be locked. Such a function should return one of the following values:

```
1124 CKR_OK, CKR_GENERAL_ERROR
1125 CKR_HOST_MEMORY,
1126 CKR_MUTEX_BAD
1127
```

#### **CK\_UNLOCKMUTEX** is defined as follows:

The argument to a CK\_UNLOCKMUTEX function is a pointer to the mutex object to be unlocked. Such a function should return one of the following values:

```
1135 CKR_OK, CKR_GENERAL_ERROR
1136 CKR_HOST_MEMORY
1137 CKR_MUTEX_BAD
1138 CKR_MUTEX_NOT_LOCKED
```

## CK\_C\_INITIALIZE\_ARGS; CK\_C\_INITIALIZE\_ARGS\_PTR

**CK\_C\_INITIALIZE\_ARGS** is a structure containing the optional arguments for the **C\_Initialize** function. For this version of Cryptoki, these optional arguments are all concerned with the way the library deals with threads. **CK C INITIALIZE ARGS** is defined as follows:

```
1143
           typedef struct CK C INITIALIZE ARGS {
1144
              CK CREATEMUTEX CreateMutex;
1145
              CK DESTROYMUTEX DestroyMutex;
1146
              CK LOCKMUTEX LockMutex;
1147
              CK UNLOCKMUTEX UnlockMutex;
1148
              CK FLAGS flags;
             CK_VOID_PTR pReserved;
1149
1150
             CK C INITIALIZE ARGS;
1151
```

The fields of the structure have the following meanings:

1153	CreateMutex	pointer to a function to use for creating mutex objects
1154	DestroyMutex	pointer to a function to use for destroying mutex objects
1155	LockMutex	pointer to a function to use for locking mutex objects
1156	UnlockMutex	pointer to a function to use for unlocking mutex objects
1157 1158	flags	bit flags specifying options for <b>C_Initialize</b> ; the flags are defined below
1159 1160	pReserved	reserved for future use. Should be NULL_PTR for this version of Cryptoki

- 1161 The following table defines the flags field:
- 1162 Table 10, C\_Initialize Parameter Flags

Bit Flag	Mask	Meaning
CKF_LIBRARY_CANT_CREATE_OS_THREADS	0x0000001	True if application threads which are executing calls to the library may not use native operating system calls to spawn new threads; false if they may
CKF_OS_LOCKING_OK	0x00000002	True if the library can use the native operation system threading model for locking; false otherwise

1163 CK\_C\_INITIALIZE\_ARGS\_PTR is a pointer to a CK\_C\_INITIALIZE\_ARGS.

# 4 Objects

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Cryptoki recognizes a number of classes of objects, as defined in the **CK\_OBJECT\_CLASS** data type. An object consists of a set of attributes, each of which has a given value. Each attribute that an object possesses has precisely one value. The following figure illustrates the high-level hierarchy of the Cryptoki objects and some of the attributes they support:

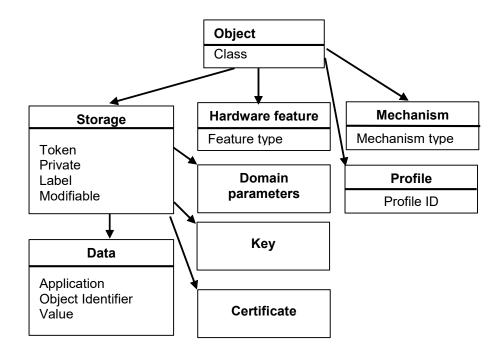


Figure 1, Object Attribute Hierarchy

Cryptoki provides functions for creating, destroying, and copying objects in general, and for obtaining and modifying the values of their attributes. Some of the cryptographic functions (*e.g.*, **C\_GenerateKey**) also create key objects to hold their results.

Objects are always "well-formed" in Cryptoki—that is, an object always contains all required attributes, and the attributes are always consistent with one another from the time the object is created. This contrasts with some object-based paradigms where an object has no attributes other than perhaps a class when it is created, and is uninitialized for some time. In Cryptoki, objects are always initialized.

Tables throughout most of Section 4 define each Cryptoki attribute in terms of the data type of the attribute value and the meaning of the attribute, which may include a default initial value. Some of the data types are defined explicitly by Cryptoki (e.g., CK\_OBJECT\_CLASS). Attribute values may also take the following types:

1181	the following types:	oryptom (e.g., or_obolo1_obligation). Attribute values may also take
1182	Byte array	an arbitrary string (array) of <b>CK_BYTE</b> s
1183 1184 1185	Big integer	a string of <b>CK_BYTE</b> s representing an unsigned integer of arbitrary size, most-significant byte first ( <i>e.g.</i> , the integer 32768 is represented as the 2-byte string 0x80 0x00)
1186 1187	Local string	an unpadded string of <b>CK_CHAR</b> s (see Table 3) with no null-termination
1188	RFC2279 string	an unpadded string of CK_UTF8CHARs with no null-termination

- 1189 A token can hold several identical objects, *i.e.*, it is permissible for two or more objects to have exactly the same values for all their attributes.
- 1191 In most cases each type of object in the Cryptoki specification possesses a completely well-defined set of
- 1192 Cryptoki attributes. Some of these attributes possess default values, and need not be specified when
- creating an object; some of these default values may even be the empty string (""). Nonetheless, the
- object possesses these attributes. A given object has a single value for each attribute it possesses, even
- if the attribute is a vendor-specific attribute whose meaning is outside the scope of Cryptoki.
- 1196 In addition to possessing Cryptoki attributes, objects may possess additional vendor-specific attributes
- whose meanings and values are not specified by Cryptoki.

# 4.1 Creating, modifying, and copying objects

- 1199 All Cryptoki functions that create, modify, or copy objects take a template as one of their arguments,
- 1200 where the template specifies attribute values. Cryptographic functions that create objects (see Section
- 1201 5.18) may also contribute some additional attribute values themselves; which attributes have values
- 1202 contributed by a cryptographic function call depends on which cryptographic mechanism is being
- 1203 performed (see [PKCS11-Curr] and [PKCS11-Hist] for specification of mechanisms for PKCS #11). In
- any case, all the required attributes supported by an object class that do not have default values MUST
- be specified when an object is created, either in the template or by the function itself.

## 1206 **4.1.1 Creating objects**

- Objects may be created with the Cryptoki functions **C** CreateObject (see Section 5.7), **C** GenerateKey,
- 1208 C\_GenerateKeyPair, C\_UnwrapKey, and C\_DeriveKey (see Section 5.18). In addition, copying an
- existing object (with the function **C\_CopyObject**) also creates a new object, but we consider this type of
- object creation separately in Section 4.1.3.
- 1211 Attempting to create an object with any of these functions requires an appropriate template to be
- 1212 supplied.

- 1. If the supplied template specifies a value for an invalid attribute, then the attempt should fail with the error code CKR ATTRIBUTE TYPE INVALID. An attribute is valid if it is either one of the attributes
- described in the Cryptoki specification or an additional vendor-specific attribute supported by the library
- 1216 and token.
- 2. If the supplied template specifies an invalid value for a valid attribute, then the attempt should fail with
- the error code CKR\_ATTRIBUTE\_VALUE\_INVALID. The valid values for Cryptoki attributes are
- described in the Cryptoki specification.
- 1220 3. If the supplied template specifies a value for a read-only attribute, then the attempt should fail with the 1221 error code CKR ATTRIBUTE READ ONLY. Whether or not a given Cryptoki attribute is read-only is
- explicitly stated in the Cryptoki specification; however, a particular library and token may be even more
- restrictive than Cryptoki specifies. In other words, an attribute which Cryptoki says is not read-only may
- nonetheless be read-only under certain circumstances (i.e., in conjunction with some combinations of
- other attributes) for a particular library and token. Whether or not a given non-Cryptoki attribute is read-
- only is obviously outside the scope of Cryptoki.
- 4. If the attribute values in the supplied template, together with any default attribute values and any attribute values contributed to the object by the object-creation function itself, are insufficient to fully
- specify the object to create, then the attempt should fail with the error code
- 1230 CKR\_TEMPLATE\_INCOMPLETE.
- 1231 5. If the attribute values in the supplied template, together with any default attribute values and any attribute values contributed to the object by the object-creation function itself, are inconsistent, then the
- attempt should fail with the error code CKR\_TEMPLATE\_INCONSISTENT. A set of attribute values is
- inconsistent if not all of its members can be satisfied simultaneously *by the token*, although each value
- individually is valid in Cryptoki. One example of an inconsistent template would be using a template

- which specifies two different values for the same attribute. Another example would be trying to create a secret key object with an attribute which is appropriate for various types of public keys or private keys, but not for secret keys. A final example would be a template with an attribute that violates some token specific requirement. Note that this final example of an inconsistent template is token-dependent—on a different token, such a template might *not* be inconsistent.
- 1241 6. If the supplied template specifies the same value for a particular attribute more than once (or the 1242 template specifies the same value for a particular attribute that the object-creation function itself 1243 contributes to the object), then the behavior of Cryptoki is not completely specified. The attempt to 1244 create an object can either succeed—thereby creating the same object that would have been created if the multiply-specified attribute had only appeared once-or it can fail with error code 1245 1246 CKR TEMPLATE INCONSISTENT. Library developers are encouraged to make their libraries behave 1247 as though the attribute had only appeared once in the template; application developers are strongly encouraged never to put a particular attribute into a particular template more than once. 1248
- 1249 If more than one of the situations listed above applies to an attempt to create an object, then the error code returned from the attempt can be any of the error codes from above that applies.

# 4.1.2 Modifying objects

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- Objects may be modified with the Cryptoki function **C\_SetAttributeValue** (see Section 5.7). The
- template supplied to **C\_SetAttributeValue** can contain new values for attributes which the object already
- possesses; values for attributes which the object does not yet possess; or both.
- Some attributes of an object may be modified after the object has been created, and some may not. In
- addition, attributes which Cryptoki specifies are modifiable may actually *not* be modifiable on some
- tokens. That is, if a Cryptoki attribute is described as being modifiable, that really means only that it is
- modifiable insofar as the Cryptoki specification is concerned. A particular token might not actually
- support modification of some such attributes. Furthermore, whether or not a particular attribute of an
- object on a particular token is modifiable might depend on the values of certain attributes of the object.
- 1261 For example, a secret key object's **CKA SENSITIVE** attribute can be changed from CK FALSE to
- 1262 CK TRUE, but not the other way around.
- 1263 All the scenarios in Section 4.1.1—and the error codes they return—apply to modifying objects with
- 1264 **C SetAttributeValue**, except for the possibility of a template being incomplete.

# 1265 **4.1.3 Copying objects**

- 1266 Unless an object's CKA COPYABLE (see table 21) attribute is set to CK FALSE, it may be copied with
- the Cryptoki function **C\_CopyObject** (see Section 5.7). In the process of copying an object,
- 1268 C CopyObject also modifies the attributes of the newly-created copy according to an application-
- 1269 supplied template.
- 1270 The Cryptoki attributes which can be modified during the course of a **C CopyObject** operation are the
- 1271 same as the Cryptoki attributes which are described as being modifiable, plus the four special attributes
- 1272 CKA\_TOKEN, CKA\_PRIVATE, CKA\_MODIFIABLE and CKA\_DESTROYABLE. To be more precise,
- these attributes are modifiable during the course of a **C\_CopyObject** operation *insofar* as the Cryptoki
- 1274 specification is concerned. A particular token might not actually support modification of some such
- attributes during the course of a **C** CopyObject operation. Furthermore, whether or not a particular
- attribute of an object on a particular token is modifiable during the course of a **C\_CopyObject** operation
- 1277 might depend on the values of certain attributes of the object. For example, a secret key object's
- 1278 **CKA\_SENSITIVE** attribute can be changed from CK\_FALSE to CK\_TRUE during the course of a
- 1279 **C CopyObject** operation, but not the other way around.
- 1280 If the CKA\_COPYABLE attribute of the object to be copied is set to CK\_FALSE, C\_CopyObject returns
- 1281 CKR ACTION PROHIBITED. Otherwise, the scenarios described in 10.1.1 and the error codes they
- 1282 return apply to copying objects with C CopyObject, except for the possibility of a template being
- incomplete.

## 4.2 Common attributes

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#### 1285 Table 11, Common footnotes for object attribute tables

- <sup>1</sup> MUST be specified when object is created with **C\_CreateObject**.
- <sup>2</sup> MUST *not* be specified when object is created with **C\_CreateObject**.
- <sup>3</sup> MUST be specified when object is generated with **C\_GenerateKey** or **C\_GenerateKeyPair**.
- <sup>4</sup> MUST *not* be specified when object is generated with **C\_GenerateKey** or **C\_GenerateKeyPair**.
- <sup>5</sup> MUST be specified when object is unwrapped with **C\_UnwrapKey**.
- <sup>6</sup> MUST *not* be specified when object is unwrapped with **C\_UnwrapKey**.
- <sup>7</sup> Cannot be revealed if object has its **CKA\_SENSITIVE** attribute set to CK\_TRUE or its **CKA\_EXTRACTABLE** attribute set to CK\_FALSE.
- <sup>8</sup> May be modified after object is created with a **C\_SetAttributeValue** call, or in the process of copying object with a **C\_CopyObject** call. However, it is possible that a particular token may not permit modification of the attribute during the course of a **C CopyObject** call.
- <sup>9</sup> Default value is token-specific, and may depend on the values of other attributes.
- <sup>10</sup> Can only be set to CK\_TRUE by the SO user.
- <sup>11</sup> Attribute cannot be changed once set to CK\_TRUE. It becomes a read only attribute.
- <sup>12</sup> Attribute cannot be changed once set to CK\_FALSE. It becomes a read only attribute.

#### 1287 Table 12, Common Object Attributes

Attribute	Data Type	Meaning
CKA_CLASS <sup>1</sup>	CK_OBJECT_CLASS	Object class (type)

- 1288 Refer to Table 11 for footnotes
- 1289 The above table defines the attributes common to all objects.

# **4.3 Hardware Feature Objects**

#### **4.3.1 Definitions**

- 1292 This section defines the object class CKO\_HW\_FEATURE for type CK\_OBJECT\_CLASS as used in the
- 1293 CKA CLASS attribute of objects.

#### 1294 **4.3.2 Overview**

- Hardware feature objects (**CKO\_HW\_FEATURE**) represent features of the device. They provide an easily expandable method for introducing new value-based features to the Cryptoki interface.
- 1297 When searching for objects using **C\_FindObjectsInit** and **C\_FindObjects**, hardware feature objects are
- 1298 not returned unless the CKA CLASS attribute in the template has the value CKO HW FEATURE. This
- 1299 protects applications written to previous versions of Cryptoki from finding objects that they do not
- 1300 understand.
- 1301 Table 13, Hardware Feature Common Attributes

Attribute	Data Type	Meaning
CKA_HW_FEATURE_TYPE <sup>1</sup>	CK_HW_FEATURE_TYPE	Hardware feature (type)

1302 Refer to Table 11 for footnotes

#### 1303 **4.3.3 Clock**

#### 1304 **4.3.3.1 Definition**

- 1305 The CKA\_HW\_FEATURE\_TYPE attribute takes the value CKH\_CLOCK of type
- 1306 CK HW FEATURE TYPE.
- **4.3.3.2 Description**
- 1308 Clock objects represent real-time clocks that exist on the device. This represents the same clock source
- as the **utcTime** field in the **CK\_TOKEN\_INFO** structure.
- 1310 Table 14, Clock Object Attributes

Attribute	Data Type	Meaning
CKA_VALUE	CK_CHAR[16]	Current time as a character-string of length 16, represented in the format YYYYMMDDhhmmssxx (4 characters for the year; 2 characters each for the month, the day, the hour, the minute, and the second; and 2 additional reserved '0' characters).

- 1311 The CKA VALUE attribute may be set using the C SetAttributeValue function if permitted by the
- device. The session used to set the time MUST be logged in. The device may require the SO to be the
- user logged in to modify the time value. **C\_SetAttributeValue** will return the error
- 1314 CKR\_USER\_NOT\_LOGGED\_IN to indicate that a different user type is required to set the value.

# 1315 4.3.4 Monotonic Counter Objects

- 1316 **4.3.4.1 Definition**
- 1317 The CKA HW FEATURE TYPE attribute takes the value CKH MONOTONIC COUNTER of type
- 1318 CK HW FEATURE TYPE.
- 1319 **4.3.4.2 Description**
- 1320 Monotonic counter objects represent hardware counters that exist on the device. The counter is
- 1321 guaranteed to increase each time its value is read, but not necessarily by one. This might be used by an
- application for generating serial numbers to get some assurance of uniqueness per token.
- 1323 Table 15, Monotonic Counter Attributes

Attribute	Data Type	Meaning
CKA_RESET_ON_INIT <sup>1</sup>	CK_BBOOL	The value of the counter will reset to a previously returned value if the token is initialized using <b>C_InitToken</b> .
CKA_HAS_RESET <sup>1</sup>	CK_BBOOL	The value of the counter has been reset at least once at some point in time.
CKA_VALUE <sup>1</sup>	Byte Array	The current version of the monotonic counter. The value is returned in big endian order.

- 1324 <sup>1</sup>Read Only
- 1325 The **CKA\_VALUE** attribute may not be set by the client.

#### 1326 **4.3.5 User Interface Objects**

- 1327 **4.3.5.1 Definition**
- 1328 The CKA HW FEATURE TYPE attribute takes the value CKH USER INTERFACE of type
- 1329 CK HW FEATURE TYPE.

## 4.3.5.2 Description

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1331 User interface objects represent the presentation capabilities of the device.

#### Table 16, User Interface Object Attributes

Attribute	Data type	Meaning
CKA_PIXEL_X	CK_ULONG	Screen resolution (in pixels) in X-axis (e.g. 1280)
CKA_PIXEL_Y	CK_ULONG	Screen resolution (in pixels) in Y-axis (e.g. 1024)
CKA_RESOLUTION	CK_ULONG	DPI, pixels per inch
CKA_CHAR_ROWS	CK_ULONG	For character-oriented displays; number of character rows (e.g. 24)
CKA_CHAR_COLUMNS	CK_ULONG	For character-oriented displays: number of character columns (e.g. 80). If display is of proportional-font type, this is the width of the display in "em"-s (letter "M"), see CC/PP Struct.
CKA_COLOR	CK_BBOOL	Color support
CKA_BITS_PER_PIXEL	CK_ULONG	The number of bits of color or grayscale information per pixel.
CKA_CHAR_SETS	RFC 2279 string	String indicating supported character sets, as defined by IANA MIBenum sets (www.iana.org). Supported character sets are separated with ";". E.g. a token supporting iso-8859-1 and US-ASCII would set the attribute value to "4;3".
CKA_ENCODING_METHODS	RFC 2279 string	String indicating supported content transfer encoding methods, as defined by IANA (www.iana.org). Supported methods are separated with ";". E.g. a token supporting 7bit, 8bit and base64 could set the attribute value to "7bit;8bit;base64".
CKA_MIME_TYPES	RFC 2279 string	String indicating supported (presentable) MIME-types, as defined by IANA (www.iana.org). Supported types are separated with ";". E.g. a token supporting MIME types "a/b", "a/c" and "a/d" would set the attribute value to "a/b;a/c;a/d".

The selection of attributes, and associated data types, has been done in an attempt to stay as aligned with RFC 2534 and CC/PP Struct as possible. The special value CK\_UNAVAILABLE\_INFORMATION may be used for CK\_ULONG-based attributes when information is not available or applicable.

- None of the attribute values may be set by an application.
- The value of the **CKA\_ENCODING\_METHODS** attribute may be used when the application needs to send MIME objects with encoded content to the token.

# 4.4 Storage Objects

- This is not an object class; hence no CKO\_ definition is required. It is a category of object classes with common attributes for the object classes that follow.
- 1342 Table 17, Common Storage Object Attributes

Attribute	Data Type	Meaning
CKA_TOKEN	CK_BBOOL	CK_TRUE if object is a token object; CK_FALSE if object is a session object. Default is CK_FALSE.
CKA_PRIVATE	CK_BBOOL	CK_TRUE if object is a private object; CK_FALSE if object is a public object. Default value is token-specific, and may depend on the values of other attributes of the object.
CKA_MODIFIABLE	CK_BBOOL	CK_TRUE if object can be modified Default is CK_TRUE.
CKA_LABEL	RFC2279 string	Description of the object (default empty).
CKA_COPYABLE	CK_BBOOL	CK_TRUE if object can be copied using C_CopyObject. Defaults to CK_TRUE. Can't be set to TRUE once it is set to FALSE.
CKA_DESTROYABLE	CK_BBOOL	CK_TRUE if the object can be destroyed using C_DestroyObject. Default is CK_TRUE.
CKA_UNIQUE_ID <sup>246</sup>	RFC2279 string	The unique identifier assigned to the object.

- Only the CKA\_LABEL attribute can be modified after the object is created. (The CKA\_TOKEN,
- 1344 **CKA\_PRIVATE**, and **CKA\_MODIFIABLE** attributes can be changed in the process of copying an object,
- 1345 however.)
- 1346 The **CKA\_TOKEN** attribute identifies whether the object is a token object or a session object.
- 1347 When the CKA\_PRIVATE attribute is CK\_TRUE, a user may not access the object until the user has
- been authenticated to the token.
- The value of the **CKA\_MODIFIABLE** attribute determines whether or not an object is read-only.
- 1350 The **CKA\_LABEL** attribute is intended to assist users in browsing.
- 1351 The value of the CKA\_COPYABLE attribute determines whether or not an object can be copied. This
- attribute can be used in conjunction with CKA\_MODIFIABLE to prevent changes to the permitted usages
- 1353 of keys and other objects.
- 1354 The value of the CKA DESTROYABLE attribute determines whether the object can be destroyed using
- 1355 C DestroyObject.

#### 1356 4.4.1 The CKA UNIQUE ID attribute

- Any time a new object is created, a value for CKA UNIQUE ID MUST be generated by the token and
- 1358 stored with the object. The specific algorithm used to generate unique ID values for objects is token-
- specific, but values generated MUST be unique across all objects visible to any particular session, and
- 1360 SHOULD be unique across all objects created by the token. Reinitializing the token, such as by calling
- 1361 C InitToken, MAY cause reuse of CKA UNIQUE ID values.
- 1362 Any attempt to modify the CKA UNIQUE ID attribute of an existing object or to specify the value of the
- 1363 CKA\_UNIQUE\_ID attribute in the template for an operation that creates one or more objects MUST fail.
- Operations failing for this reason return the error code CKR\_ATTRIBUTE\_READ\_ONLY.

# 4.5 Data objects

### **4.5.1 Definitions**

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This section defines the object class CKO\_DATA for type CK\_OBJECT\_CLASS as used in the CKA CLASS attribute of objects.

#### 1370 **4.5.2 Overview**

Data objects (object class **CKO\_DATA**) hold information defined by an application. Other than providing access to it, Cryptoki does not attach any special meaning to a data object. The following table lists the attributes supported by data objects, in addition to the common attributes defined for this object class:

#### 1374 Table 18, Data Object Attributes

Attribute	Data type	Meaning
CKA_APPLICATION	RFC2279 string	Description of the application that manages the object (default empty)
CKA_OBJECT_ID	Byte Array	DER-encoding of the object identifier indicating the data object type (default empty)
CKA_VALUE	Byte array	Value of the object (default empty)

The **CKA\_APPLICATION** attribute provides a means for applications to indicate ownership of the data objects they manage. Cryptoki does not provide a means of ensuring that only a particular application has access to a data object, however.

The **CKA\_OBJECT\_ID** attribute provides an application independent and expandable way to indicate the type of the data object value. Cryptoki does not provide a means of insuring that the data object identifier matches the data value.

The following is a sample template containing attributes for creating a data object:

```
1382
           CK OBJECT CLASS class = CKO DATA;
1383
           CK UTF8CHAR label[] = "A data object";
1384
           CK UTF8CHAR application[] = "An application";
1385
           CK BYTE data[] = "Sample data";
1386
           CK BBOOL true = CK TRUE;
1387
           CK ATTRIBUTE template[] = {
1388
              {CKA_CLASS, &class, sizeof(class)},
1389
              {CKA_TOKEN, &true, sizeof(true)},
1390
              {CKA_LABEL, label, sizeof(label)-1},
1391
              {CKA_APPLICATION, application, sizeof(application)-1},
1392
              {CKA VALUE, data, sizeof(data)}
1393
```

# 4.6 Certificate objects

## 4.6.1 Definitions

This section defines the object class CKO\_CERTIFICATE for type CK\_OBJECT\_CLASS as used in the CKA\_CLASS attribute of objects.

#### 4.6.2 Overview

1399 Certificate objects (object class **CKO\_CERTIFICATE**) hold public-key or attribute certificates. Other than 1400 providing access to certificate objects, Cryptoki does not attach any special meaning to certificates. The 1401 following table defines the common certificate object attributes, in addition to the common attributes 1402 defined for this object class:

1403 Table 19, Common Certificate Object Attributes

Attribute	Data type	Meaning
CKA_CERTIFICATE_TYPE1	CK_CERTIFICATE_TYPE	Type of certificate
CKA_TRUSTED <sup>10</sup>	CK_BBOOL	The certificate can be trusted for the application that it was created.
CKA_CERTIFICATE_CATEGORY	CKA_CERTIFICATE_CATEGORY	(default CK_CERTIFICATE_ CATEGORY_UNSP ECIFIED)
CKA_CHECK_VALUE	Byte array	Checksum
CKA_START_DATE	CK_DATE	Start date for the certificate (default empty)
CKA_END_DATE	CK_DATE	End date for the certificate (default empty)
CKA_PUBLIC_KEY_INFO	Byte Array	DER-encoding of the SubjectPublicKeyInf o for the public key contained in this certificate (default empty)

- 1404 Refer to Table 11 for footnotes
- 1405 Cryptoki does not enforce the relationship of the CKA\_PUBLIC\_KEY\_INFO to the public key in the 1406 certificate, but does recommend that the key be extracted from the certificate to create this value.
- The **CKA\_CERTIFICATE\_TYPE** attribute may not be modified after an object is created. This version of Cryptoki supports the following certificate types:
- X.509 public key certificate
- WTLS public key certificate
- 1411 X.509 attribute certificate
- 1412 The CKA\_TRUSTED attribute cannot be set to CK\_TRUE by an application. It MUST be set by a token
- initialization application or by the token's SO. Trusted certificates cannot be modified.
- 1414 The CKA\_CERTIFICATE\_CATEGORY attribute is used to indicate if a stored certificate is a user
- certificate for which the corresponding private key is available on the token ("token user"), a CA certificate
- 1416 ("authority"), or another end-entity certificate ("other entity"). This attribute may not be modified after an
- 1417 object is created.
- 1418 The CKA\_CERTIFICATE\_CATEGORY and CKA\_TRUSTED attributes will together be used to map to
- the categorization of the certificates.
- 1420 **CKA\_CHECK\_VALUE**: The value of this attribute is derived from the certificate by taking the first three
- bytes of the SHA-1 hash of the certificate object's CKA VALUE attribute.
- 1422 The CKA\_START\_DATE and CKA\_END\_DATE attributes are for reference only; Cryptoki does not
- 1423 attach any special meaning to them. When present, the application is responsible to set them to values
- that match the certificate's encoded "not before" and "not after" fields (if any).

### 4.6.3 X.509 public key certificate objects

- 1426 X.509 certificate objects (certificate type **CKC** X **509**) hold X.509 public key certificates. The following
- table defines the X.509 certificate object attributes, in addition to the common attributes defined for this
- 1428 object class:

Attribute	Data type	Meaning
CKA_SUBJECT <sup>1</sup>	Byte array	DER-encoding of the certificate subject name
CKA_ID	Byte array	Key identifier for public/private key pair (default empty)
CKA_ISSUER	Byte array	DER-encoding of the certificate issuer name (default empty)
CKA_SERIAL_NUMBER	Byte array	DER-encoding of the certificate serial number (default empty)
CKA_VALUE <sup>2</sup>	Byte array	BER-encoding of the certificate
CKA_URL <sup>3</sup>	RFC2279 string	If not empty this attribute gives the URL where the complete certificate can be obtained (default empty)
CKA_HASH_OF_SUBJECT_PUB LIC_KEY <sup>4</sup>	Byte array	Hash of the subject public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_HASH_OF_ISSUER_PUBLI C_KEY <sup>4</sup>	Byte array	Hash of the issuer public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_JAVA_MIDP_SECURITY_D OMAIN	CK_JAVA_ MIDP_SEC URITY_DO MAIN	Java MIDP security domain. (default CK_SECURITY_DOMAIN_UNSPECIFIED)
CKA_NAME_HASH_ALGORITH M	CK_MECH ANISM_TY PE	Defines the mechanism used to calculate CKA_HASH_OF_SUBJECT_PUBLIC _KEY and CKA_HASH_OF_ISSUER_PUBLIC_K EY. If the attribute is not present then the type defaults to SHA-1.

- 1430 <sup>1</sup>MUST be specified when the object is created.
- 1431 <sup>2</sup>MUST be specified when the object is created. MUST be non-empty if CKA URL is empty.
- 1432 <sup>3</sup>MUST be non-empty if CKA\_VALUE is empty.
- 1433 <sup>4</sup>Can only be empty if CKA URL is empty.
- 1434 Only the CKA\_ID, CKA\_ISSUER, and CKA\_SERIAL\_NUMBER attributes may be modified after the object is created.
- 1435
- 1436 The CKA ID attribute is intended as a means of distinguishing multiple public-key/private-key pairs held
- by the same subject (whether stored in the same token or not). (Since the keys are distinguished by 1437
- 1438 subject name as well as identifier, it is possible that keys for different subjects may have the same
- 1439 **CKA ID** value without introducing any ambiguity.)
- 1440 It is intended in the interests of interoperability that the subject name and key identifier for a certificate will
- 1441 be the same as those for the corresponding public and private keys (though it is not required that all be
- 1442 stored in the same token). However, Cryptoki does not enforce this association, or even the uniqueness
- 1443 of the key identifier for a given subject; in particular, an application may leave the key identifier empty.
- 1444 The CKA\_ISSUER and CKA\_SERIAL\_NUMBER attributes are for compatibility with PKCS #7 and
- Privacy Enhanced Mail (RFC1421). Note that with the version 3 extensions to X.509 certificates, the key 1445
- 1446 identifier may be carried in the certificate. It is intended that the CKA\_ID value be identical to the key
- 1447 identifier in such a certificate extension, although this will not be enforced by Cryptoki.

- 1448 The CKA\_URL attribute enables the support for storage of the URL where the certificate can be found
- instead of the certificate itself. Storage of a URL instead of the complete certificate is often used in mobile
- 1450 environments.

- 1451 The CKA\_HASH\_OF\_SUBJECT\_PUBLIC\_KEY and CKA\_HASH\_OF\_ISSUER\_PUBLIC\_KEY
- 1452 attributes are used to store the hashes of the public keys of the subject and the issuer. They are
- particularly important when only the URL is available to be able to correlate a certificate with a private key
- 1454 and when searching for the certificate of the issuer. The hash algorithm is defined by
- 1455 CKA\_NAME\_HASH\_ALGORITHM.
- The **CKA\_JAVA\_MIDP\_SECURITY\_DOMAIN** attribute associates a certificate with a Java MIDP security domain.
- 1458 The following is a sample template for creating an X.509 certificate object:

```
CK OBJECT CLASS class = CKO CERTIFICATE;
1459
1460
           CK CERTIFICATE TYPE certType = CKC X 509;
1461
           CK UTF8CHAR label[] = "A certificate object";
1462
           CK BYTE subject[] = {...};
1463
           CK BYTE id[] = {123};
1464
           CK BYTE certificate[] = {...};
           CK_BBOOL true = CK TRUE;
1465
1466
           CK ATTRIBUTE template[] = {
1467
             {CKA CLASS, &class, sizeof(class)},
1468
              {CKA CERTIFICATE TYPE, &certType, sizeof(certType)};
1469
              {CKA TOKEN, &true, sizeof(true)},
1470
              {CKA LABEL, label, sizeof(label)-1},
1471
              {CKA SUBJECT, subject, sizeof(subject)},
1472
              {CKA ID, id, sizeof(id)},
1473
              {CKA VALUE, certificate, sizeof(certificate)}
1474
           };
```

# 4.6.4 WTLS public key certificate objects

- WTLS certificate objects (certificate type **CKC\_WTLS**) hold WTLS public key certificates. The following table defines the WTLS certificate object attributes, in addition to the common attributes defined for this object class.
- 1479 Table 21: WTLS Certificate Object Attributes

	1	ſ
Attribute	Data type	Meaning
CKA_SUBJECT <sup>1</sup>	Byte array	WTLS-encoding (Identifier type) of the certificate subject
CKA_ISSUER	Byte array	WTLS-encoding (Identifier type) of the certificate issuer (default empty)
CKA_VALUE <sup>2</sup>	Byte array	WTLS-encoding of the certificate
CKA_URL <sup>3</sup>	RFC2279 string	If not empty this attribute gives the URL where the complete certificate can be obtained
CKA_HASH_OF_SUBJECT_PU BLIC_KEY <sup>4</sup>	Byte array	SHA-1 hash of the subject public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_HASH_OF_ISSUER_PUB LIC_KEY <sup>4</sup>	Byte array	SHA-1 hash of the issuer public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_NAME_HASH_ALGORITH M	CK_MECHANI SM_TYPE	Defines the mechanism used to calculate CKA HASH OF SUBJECT PUBLIC

Attribute	Data type	Meaning
		_KEY and CKA_HASH_OF_ISSUER_PUBLIC_ KEY. If the attribute is not present then the type defaults to SHA-1.

- <sup>1</sup>MUST be specified when the object is created. Can only be empty if CKA\_VALUE is empty.
- <sup>2</sup>MUST be specified when the object is created. MUST be non-empty if CKA\_URL is empty.
- 1482 <sup>3</sup>MUST be non-empty if CKA VALUE is empty.
- 1483 <sup>4</sup>Can only be empty if CKA URL is empty.

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- Only the **CKA\_ISSUER** attribute may be modified after the object has been created.
- The encoding for the **CKA\_SUBJECT**, **CKA\_ISSUER**, and **CKA\_VALUE** attributes can be found in [WTLS].
- The **CKA\_URL** attribute enables the support for storage of the URL where the certificate can be found instead of the certificate itself. Storage of a URL instead of the complete certificate is often used in mobile environments.
- The CKA\_HASH\_OF\_SUBJECT\_PUBLIC\_KEY and CKA\_HASH\_OF\_ISSUER\_PUBLIC\_KEY
  attributes are used to store the hashes of the public keys of the subject and the issuer. They are
  particularly important when only the URL is available to be able to correlate a certificate with a private key
  and when searching for the certificate of the issuer. The hash algorithm is defined by
  CKA\_NAME\_HASH\_ALGORITHM.
- 1496 The following is a sample template for creating a WTLS certificate object:

```
1497
           CK OBJECT CLASS class = CKO CERTIFICATE;
1498
           CK CERTIFICATE TYPE certType = CKC WTLS;
1499
           CK UTF8CHAR label[] = "A certificate object";
1500
           CK BYTE subject[] = {...};
1501
           CK BYTE certificate[] = {...};
1502
           CK BBOOL true = CK TRUE;
1503
           CK ATTRIBUTE template[] =
1504
1505
             {CKA CLASS, &class, sizeof(class)},
1506
             {CKA CERTIFICATE TYPE, &certType, sizeof(certType)};
1507
             {CKA TOKEN, &true, sizeof(true)},
1508
             {CKA_LABEL, label, sizeof(label)-1},
1509
             {CKA SUBJECT, subject, sizeof(subject)},
1510
             {CKA VALUE, certificate, sizeof(certificate)}
1511
```

## 4.6.5 X.509 attribute certificate objects

- X.509 attribute certificate objects (certificate type **CKC\_X\_509\_ATTR\_CERT**) hold X.509 attribute certificates. The following table defines the X.509 attribute certificate object attributes, in addition to the
- 1515 common attributes defined for this object class:
- 1516 Table 22, X.509 Attribute Certificate Object Attributes

Attribute	Data Type	Meaning
CKA_OWNER <sup>1</sup>	Byte Array	DER-encoding of the attribute certificate's subject field. This is distinct from the CKA_SUBJECT attribute contained in CKC_X_509 certificates because the ASN.1 syntax and encoding are different.
CKA_AC_ISSUER	Byte Array	DER-encoding of the attribute certificate's issuer field. This is distinct from the CKA_ISSUER attribute contained in CKC_X_509 certificates because the ASN.1 syntax and encoding are different. (default empty)
CKA_SERIAL_NUMBER	Byte Array	DER-encoding of the certificate serial number. (default empty)
CKA_ATTR_TYPES	Byte Array	BER-encoding of a sequence of object identifier values corresponding to the attribute types contained in the certificate. When present, this field offers an opportunity for applications to search for a particular attribute certificate without fetching and parsing the certificate itself. (default empty)
CKA_VALUE <sup>1</sup>	Byte Array	BER-encoding of the certificate.

- 1517 <sup>1</sup>MUST be specified when the object is created
- Only the CKA\_AC\_ISSUER, CKA\_SERIAL\_NUMBER and CKA\_ATTR\_TYPES attributes may be modified after the object is created.
- 1520 The following is a sample template for creating an X.509 attribute certificate object:

```
1521
           CK OBJECT CLASS class = CKO CERTIFICATE;
1522
           CK CERTIFICATE TYPE certType = CKC X 509 ATTR CERT;
1523
           CK UTF8CHAR label[] = "An attribute certificate object";
1524
           CK BYTE owner[] = {...};
1525
           CK BYTE certificate[] = {...};
1526
           CK BBOOL true = CK TRUE;
1527
           CK ATTRIBUTE template[] = {
1528
             CKA CLASS, &class, sizeof(class)},
1529
              {CKA CERTIFICATE TYPE, &certType, sizeof(certType)};
1530
             {CKA TOKEN, &true, sizeof(true)},
1531
             {CKA LABEL, label, sizeof(label)-1},
1532
             {CKA OWNER, owner, sizeof(owner)},
1533
             {CKA VALUE, certificate, sizeof(certificate)}
1534
           };
```

# 4.7 Key objects

#### **4.7.1 Definitions**

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- 1537 There is no CKO\_ definition for the base key object class, only for the key types derived from it.
- 1538 This section defines the object class CKO\_PUBLIC\_KEY, CKO\_PRIVATE\_KEY and
- 1539 CKO\_SECRET\_KEY for type CK\_OBJECT\_CLASS as used in the CKA\_CLASS attribute of objects.

#### 1540 **4.7.2 Overview**

- 1541 Key objects hold encryption or authentication keys, which can be public keys, private keys, or secret
- keys. The following common footnotes apply to all the tables describing attributes of keys:
- 1543 The following table defines the attributes common to public key, private key and secret key classes, in
- addition to the common attributes defined for this object class:
- 1545 Table 23, Common Key Attributes

Attribute	Data Type	Meaning
CKA_KEY_TYPE <sup>1,5</sup>	CK_KEY_TYPE	Type of key
CKA_ID <sup>8</sup>	Byte array	Key identifier for key (default empty)
CKA_START_DATE8	CK_DATE	Start date for the key (default empty)
CKA_END_DATE8	CK_DATE	End date for the key (default empty)
CKA_DERIVE8	CK_BBOOL	CK_TRUE if key supports key derivation (i.e., if other keys can be derived from this one (default CK_FALSE)
CKA_LOCAL <sup>2,4,6</sup>	CK_BBOOL	CK_TRUE only if key was either
		<ul> <li>generated locally (i.e., on the token) with a C_GenerateKey or C_GenerateKeyPair call</li> </ul>
		created with a C_CopyObject call as a copy of a key which had its CKA_LOCAL attribute set to CK_TRUE
CKA_KEY_GEN_ MECHANISM <sup>2,4,6</sup>	CK_MECHANISM _TYPE	Identifier of the mechanism used to generate the key material.
CKA_ALLOWED_MECHANI SMS	CK_MECHANISM _TYPE _PTR, pointer to a CK_MECHANISM _TYPE array	A list of mechanisms allowed to be used with this key. The number of mechanisms in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_MECHANISM_TYPE.

- 1546 Refer to Table 11 for footnotes
- 1547 The **CKA\_ID** field is intended to distinguish among multiple keys. In the case of public and private keys,
- this field assists in handling multiple keys held by the same subject; the key identifier for a public key and
- its corresponding private key should be the same. The key identifier should also be the same as for the
- 1550 corresponding certificate, if one exists. Cryptoki does not enforce these associations, however. (See
- 1551 Section 4.6 for further commentary.)
- 1552 In the case of secret keys, the meaning of the **CKA ID** attribute is up to the application.
- Note that the CKA\_START\_DATE and CKA\_END\_DATE attributes are for reference only; Cryptoki does
- not attach any special meaning to them. In particular, it does not restrict usage of a key according to the
- dates; doing this is up to the application.
- 1556 The **CKA\_DERIVE** attribute has the value CK\_TRUE if and only if it is possible to derive other keys from
- 1557 the key.

- 1558 The CKA\_LOCAL attribute has the value CK TRUE if and only if the value of the key was originally
- generated on the token by a **C\_GenerateKey** or **C\_GenerateKeyPair** call.
- 1560 The CKA\_KEY\_GEN\_MECHANISM attribute identifies the key generation mechanism used to generate
- the key material. It contains a valid value only if the CKA\_LOCAL attribute has the value CK TRUE. If
- 1562 **CKA\_LOCAL** has the value CK\_FALSE, the value of the attribute is
- 1563 CK UNAVAILABLE INFORMATION.

## 4.8 Public key objects

- Public key objects (object class CKO PUBLIC KEY) hold public keys. The following table defines the
- attributes common to all public keys, in addition to the common attributes defined for this object class:
- 1567 Table 24, Common Public Key Attributes

Attribute	Data type	Meaning
CKA_SUBJECT8	Byte array	DER-encoding of the key subject name (default empty)
CKA_ENCRYPT <sup>8</sup>	CK_BBOOL	CK_TRUE if key supports encryption <sup>9</sup>
CKA_VERIFY <sup>8</sup>	CK_BBOOL	CK_TRUE if key supports verification where the signature is an appendix to the data <sup>9</sup>
CKA_VERIFY_RECOVER8	CK_BBOOL	CK_TRUE if key supports verification where the data is recovered from the signature9
CKA_WRAP <sup>8</sup>	CK_BBOOL	CK_TRUE if key supports wrapping (i.e., can be used to wrap other keys) <sup>9</sup>
CKA_TRUSTED <sup>10</sup>	CK_BBOOL	The key can be trusted for the application that it was created. The wrapping key can be used to wrap keys with CKA_WRAP_WITH_TRUSTED set to CK_TRUE.
CKA_WRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to match against any keys wrapped using this wrapping key. Keys that do not match cannot be wrapped. The number of attributes in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_ATTRIBUTE.
CKA_PUBLIC_KEY_INFO	Byte array	DER-encoding of the SubjectPublicKeyInfo for this public key. (MAY be empty, DEFAULT derived from the underlying public key data)

1568 Refer to Table 11 for footnotes

1569 It is intended in the interests of interoperability that the subject name and key identifier for a public key will be the same as those for the corresponding certificate and private key. However, Cryptoki does not enforce this, and it is not required that the certificate and private key also be stored on the token.

To map between ISO/IEC 9594-8 (X.509) **keyUsage** flags for public keys and the PKCS #11 attributes for public keys, use the following table.

1574 Table 25, Mapping of X.509 key usage flags to Cryptoki attributes for public keys

Key usage flags for public keys in X.509 public key certificates	Corresponding cryptoki attributes for public keys.
dataEncipherment	CKA_ENCRYPT
digitalSignature, keyCertSign, cRLSign	CKA_VERIFY
digitalSignature, keyCertSign, cRLSign	CKA_VERIFY_RECOVER
keyAgreement	CKA_DERIVE
keyEncipherment	CKA_WRAP
nonRepudiation	CKA_VERIFY
nonRepudiation	CKA_VERIFY_RECOVER

1575 The value of the CKA PUBLIC KEY INFO attribute is the DER encoded value of SubjectPublicKeyInfo:

SubjectPublicKeyInfo ::= SEQUENCE {
 algorithm AlgorithmIdentifier,
 subjectPublicKey BIT\_STRING }

The encodings for the subjectPublicKey field are specified in the description of the public key types in the appropriate [PKCS11-Curr] document for the key types defined within this specification.

# 4.9 Private key objects

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Private key objects (object class **CKO\_PRIVATE\_KEY**) hold private keys. The following table defines the attributes common to all private keys, in addition to the common attributes defined for this object class:

1584 Table 26, Common Private Key Attributes

Attribute	Data type	Meaning
CKA_SUBJECT8	Byte array	DER-encoding of certificate subject name (default empty)
CKA_SENSITIVE <sup>8,11</sup>	CK_BBOOL	CK_TRUE if key is sensitive9
CKA_DECRYPT8	CK_BBOOL	CK_TRUE if key supports decryption <sup>9</sup>
CKA_SIGN <sup>8</sup>	CK_BBOOL	CK_TRUE if key supports signatures where the signature is an appendix to the data9
CKA_SIGN_RECOVER8	CK_BBOOL	CK_TRUE if key supports signatures where the data can be recovered from the signature9
CKA_UNWRAP8	CK_BBOOL	CK_TRUE if key supports unwrapping ( <i>i.e.</i> , can be used to unwrap other keys) <sup>9</sup>
CKA_EXTRACTABLE <sup>8,12</sup>	CK_BBOOL	CK_TRUE if key is extractable and can be wrapped <sup>9</sup>
CKA_ALWAYS_SENSITIVE <sup>2,4,6</sup>	CK_BBOOL	CK_TRUE if key has <i>always</i> had the CKA_SENSITIVE attribute set to CK_TRUE
CKA_NEVER_EXTRACTABLE <sup>2,4,6</sup>	CK_BBOOL	CK_TRUE if key has <i>never</i> had the CKA_EXTRACTABLE attribute set to CK_TRUE
CKA_WRAP_WITH_TRUSTED11	CK_BBOOL	CK_TRUE if the key can only be wrapped with a wrapping key that has CKA_TRUSTED set to CK_TRUE.  Default is CK_FALSE.
CKA_UNWRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to apply to any keys unwrapped using this wrapping key. Any user supplied template is applied after this template as if the object has already been created. The number of attributes in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_ATTRIBUTE.

Attribute	Data type	Meaning
CKA_ALWAYS_AUTHENTICATE	CK_BBOOL	If CK_TRUE, the user has to supply the PIN for each use (sign or decrypt) with the key. Default is CK_FALSE.
CKA_PUBLIC_KEY_INFO8	Byte Array	DER-encoding of the SubjectPublicKeyInfo for the associated public key (MAY be empty; DEFAULT derived from the underlying private key data; MAY be manually set for specific key types; if set; MUST be consistent with the underlying private key data)

1585 Refer to Table 11 for footnotes

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1586 It is intended in the interests of interoperability that the subject name and key identifier for a private key 1587 will be the same as those for the corresponding certificate and public key. However, this is not enforced by Cryptoki, and it is not required that the certificate and public key also be stored on the token. 1588

1589 If the CKA\_SENSITIVE attribute is CK TRUE, or if the CKA\_EXTRACTABLE attribute is CK FALSE, 1590 then certain attributes of the private key cannot be revealed in plaintext outside the token. Which 1591 attributes these are is specified for each type of private key in the attribute table in the section describing 1592 that type of key.

1593 The CKA\_ALWAYS\_AUTHENTICATE attribute can be used to force re-authentication (i.e. force the user 1594 to provide a PIN) for each use of a private key. "Use" in this case means a cryptographic operation such 1595 as sign or decrypt. This attribute may only be set to CK TRUE when CKA PRIVATE is also CK TRUE.

Re-authentication occurs by calling **C\_Login** with *userType* set to **CKU\_CONTEXT\_SPECIFIC** immediately after a cryptographic operation using the key has been initiated (e.g. after C\_SignInit). In this call, the actual user type is implicitly given by the usage requirements of the active key. If C Login returns CKR OK the user was successfully authenticated and this sets the active key in an authenticated state that lasts until the cryptographic operation has successfully or unsuccessfully been completed (e.g. by C Sign, C SignFinal,...). A return value CKR PIN INCORRECT from C Login means that the user was denied permission to use the key and continuing the cryptographic operation will result in a behavior as if C Login had not been called. In both of these cases the session state will remain the same, however repeated failed re-authentication attempts may cause the PIN to be locked. C Login returns in this case CKR PIN LOCKED and this also logs the user out from the token. Failing or omitting to reauthenticate when CKA ALWAYS AUTHENTICATE is set to CK TRUE will result in

CKR USER NOT LOGGED IN to be returned from calls using the key. C Login will return 1607

1608 CKR OPERATION NOT INITIALIZED, but the active cryptographic operation will not be affected, if an attempt is made to re-authenticate when CKA ALWAYS AUTHENTICATE is set to CK FALSE. 1609

1610 The CKA\_PUBLIC\_KEY\_INFO attribute represents the public key associated with this private key. The data it represents may either be stored as part of the private key data, or regenerated as needed from the 1611 1612 private key.

1613 If this attribute is supplied as part of a template for C CreateObject, C CopyObject or

C\_SetAttributeValue for a private key, the token MUST verify correspondence between the private key 1614

1615 data and the public key data as supplied in CKA\_PUBLIC\_KEY\_INFO. This can be done either by

1616 deriving a public key from the private key and comparing the values, or by doing a sign and verify operation. If there is a mismatch, the command SHALL return CKR ATTRIBUTE VALUE INVALID. A 1617

token MAY choose not to support the CKA PUBLIC KEY INFO attribute for commands which create 1618

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new private keys. If it does not support the attribute, the command SHALL return

1620 CKR ATTRIBUTE TYPE INVALID.

1621 As a general guideline, private keys of any type SHOULD store sufficient information to retrieve the public 1622 key information. In particular, the RSA private key description has been modified in <this version> to add the CKA PUBLIC EXPONENT to the list of attributes required for an RSA private key. All other private 1623

- 1624 key types described in this specification contain sufficient information to recover the associated public
- 1625 key.

# 1626 4.9.1 RSA private key objects

- 1627 RSA private key objects (object class **CKO\_PRIVATE\_KEY**, key type **CKK\_RSA**) hold RSA private keys.
- 1628 The following table defines the RSA private key object attributes, in addition to the common attributes
- defined for this object class:
- 1630 Table 26, RSA Private Key Object Attributes

Attribute	Data type	Meaning
CKA_MODULUS <sup>1,4,6</sup>	Big integer	Modulus n
CKA_PUBLIC_EXPONENT1,4,6	Big integer	Public exponent e
CKA_PRIVATE_EXPONENT1,4,6,7	Big integer	Private exponent d
CKA_PRIME_1 <sup>4,6,7</sup>	Big integer	Prime p
CKA_PRIME_2 <sup>4,6,7</sup>	Big integer	Prime q
CKA_EXPONENT_14,6,7	Big integer	Private exponent <i>d</i> modulo <i>p</i> -1
CKA_EXPONENT_24,6,7	Big integer	Private exponent <i>d</i> modulo <i>q</i> -1
CKA_COEFFICIENT <sup>4,6,7</sup>	Big integer	CRT coefficient q-1 mod p

- 1631 Refer to Table 10 for footnotes
- Depending on the token, there may be limits on the length of the key components. See PKCS #1 for more information on RSA keys.
- 1634 Tokens vary in what they actually store for RSA private keys. Some tokens store all of the above
- attributes, which can assist in performing rapid RSA computations. Other tokens might store only the
- 1636 CKA MODULUS and CKA PRIVATE EXPONENT values. Effective with version 2.40, tokens MUST
- 1637 also store CKA\_PUBLIC\_EXPONENT. This permits the retrieval of sufficient data to reconstitute the
- 1638 associated public key.

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- 1639 Because of this, Cryptoki is flexible in dealing with RSA private key objects. When a token generates an
- 1640 RSA private key, it stores whichever of the fields in Table 26 it keeps track of. Later, if an application
- 1641 asks for the values of the key's various attributes, Cryptoki supplies values only for attributes whose
- values it can obtain (i.e., if Cryptoki is asked for the value of an attribute it cannot obtain, the request
- fails). Note that a Cryptoki implementation may or may not be able and/or willing to supply various
- attributes of RSA private keys which are not actually stored on the token. *E.g.*, if a particular token stores
- 1645 values only for the CKA\_PRIVATE\_EXPONENT, CKA\_PUBLIC\_EXPONENT, CKA\_PRIME\_1, and
- 1646 **CKA\_PRIME\_2** attributes, then Cryptoki is certainly *able* to report values for all the attributes above
- 1647 (since they can all be computed efficiently from these four values). However, a Cryptoki implementation
- may or may not actually do this extra computation. The only attributes from Table 26 for which a Cryptoki
- implementation is *required* to be able to return values are **CKA MODULUS**,
- 1650 CKA\_PRIVATE\_EXPONENT, and CKA\_PUBLIC\_EXPONENT. A token SHOULD also be able to return
- 1651 **CKA\_PUBLIC\_KEY\_INFO** for an RSA private key. See the general guidance for Private Keys above.

# 4.10 Secret key objects

- Secret key objects (object class **CKO\_SECRET\_KEY**) hold secret keys. The following table defines the
- attributes common to all secret keys, in addition to the common attributes defined for this object class:
- 1655 Table 27, Common Secret Key Attributes

Attribute	Data type	Meaning
CKA_SENSITIVE8,11	CK_BBOOL	CK_TRUE if object is sensitive (default CK_FALSE)
CKA_ENCRYPT <sup>8</sup>	CK_BBOOL	CK_TRUE if key supports encryption9
CKA_DECRYPT8	CK_BBOOL	CK_TRUE if key supports decryption9
CKA_SIGN <sup>8</sup>	CK_BBOOL	CK_TRUE if key supports signatures ( <i>i.e.</i> , authentication codes) where the signature is an appendix to the data <sup>9</sup>
CKA_VERIFY8	CK_BBOOL	CK_TRUE if key supports verification ( <i>i.e.</i> , of authentication codes) where the signature is an appendix to the data <sup>9</sup>
CKA_WRAP8	CK_BBOOL	CK_TRUE if key supports wrapping (i.e., can be used to wrap other keys)9
CKA_UNWRAP8	CK_BBOOL	CK_TRUE if key supports unwrapping ( <i>i.e.</i> , can be used to unwrap other keys) <sup>9</sup>
CKA_EXTRACTABLE8,12	CK_BBOOL	CK_TRUE if key is extractable and can be wrapped <sup>9</sup>
CKA_ALWAYS_SENSITIVE <sup>2,4,6</sup>	CK_BBOOL	CK_TRUE if key has <i>always</i> had the CKA_SENSITIVE attribute set to CK_TRUE
CKA_NEVER_EXTRACTABLE <sup>2,4,6</sup>	CK_BBOOL	CK_TRUE if key has <i>never</i> had the CKA_EXTRACTABLE attribute set to CK_TRUE
CKA_CHECK_VALUE	Byte array	Key checksum
CKA_WRAP_WITH_TRUSTED <sup>11</sup>	CK_BBOOL	CK_TRUE if the key can only be wrapped with a wrapping key that has CKA_TRUSTED set to CK_TRUE.  Default is CK_FALSE.
CKA_TRUSTED <sup>10</sup>	CK_BBOOL	The wrapping key can be used to wrap keys with CKA_WRAP_WITH_TRUSTED set to CK_TRUE.
CKA_WRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to match against any keys wrapped using this wrapping key. Keys that do not match cannot be wrapped. The number of attributes in the array is the ulValueLen component of the attribute divided by the size of CK_ATTRIBUTE

Attribute	Data type	Meaning
CKA_UNWRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to apply to any keys unwrapped using this wrapping key. Any user supplied template is applied after this template as if the object has already been created. The number of attributes in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_ATTRIBUTE.

1656 Refer to Table 11 for footnotes

1657 If the **CKA\_SENSITIVE** attribute is CK\_TRUE, or if the **CKA\_EXTRACTABLE** attribute is CK\_FALSE,
1658 then certain attributes of the secret key cannot be revealed in plaintext outside the token. Which
1659 attributes these are is specified for each type of secret key in the attribute table in the section describing
1660 that type of key.

The key check value (KCV) attribute for symmetric key objects to be called **CKA\_CHECK\_VALUE**, of type byte array, length 3 bytes, operates like a fingerprint, or checksum of the key. They are intended to be used to cross-check symmetric keys against other systems where the same key is shared, and as a validity check after manual key entry or restore from backup. Refer to object definitions of specific key types for KCV algorithms.

#### 1666 Properties:

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- 1. For two keys that are cryptographically identical the value of this attribute should be identical.
- 2. CKA CHECK VALUE should not be usable to obtain any part of the key value.
- 3. Non-uniqueness. Two different keys can have the same CKA\_CHECK\_VALUE. This is unlikely (the probability can easily be calculated) but possible.
- The attribute is optional, but if supported, regardless of how the key object is created or derived, the value of the attribute is always supplied. It SHALL be supplied even if the encryption operation for the key is forbidden (i.e. when CKA\_ENCRYPT is set to CK\_FALSE).
- If a value is supplied in the application template (allowed but never necessary) then, if supported, it MUST match what the library calculates it to be or the library returns a CKR\_ATTRIBUTE\_VALUE\_INVALID. If the library does not support the attribute then it should ignore it. Allowing the attribute in the template this way does no harm and allows the attribute to be treated like any other attribute for the purposes of key wrap and unwrap where the attributes are preserved also.
- The generation of the KCV may be prevented by the application supplying the attribute in the template as a no-value (0 length) entry. The application can query the value at any time like any other attribute using C GetAttributeValue. C SetAttributeValue may be used to destroy the attribute, by supplying no-value.
- Unless otherwise specified for the object definition, the value of this attribute is derived from the key object by taking the first three bytes of an encryption of a single block of null (0x00) bytes, using the default cipher and mode (e.g. ECB) associated with the key type of the secret key object.

# 4.11 Domain parameter objects

#### 4.11.1 Definitions

This section defines the object class CKO\_DOMAIN\_PARAMETERS for type CK\_OBJECT\_CLASS as used in the CKA\_CLASS attribute of objects.

### 1689 **4.11.2 Overview**

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- This object class was created to support the storage of certain algorithm's extended parameters. DSA and DH both use domain parameters in the key-pair generation step. In particular, some libraries support
- the generation of domain parameters (originally out of scope for PKCS11) so the object class was added.
- To use a domain parameter object you MUST extract the attributes into a template and supply them (still in the template) to the corresponding key-pair generation function.
- Domain parameter objects (object class **CKO\_DOMAIN\_PARAMETERS**) hold public domain parameters.
- The following table defines the attributes common to domain parameter objects in addition to the common attributes defined for this object class:
  - Table 28, Common Domain Parameter Attributes

Attribute	Data Type	Meaning
CKA_KEY_TYPE <sup>1</sup>	CK_KEY_TYPE	Type of key the domain parameters can be used to generate.
CKA_LOCAL <sup>2,4</sup>	CK_BBOOL	CK_TRUE only if domain parameters were either  • generated locally (i.e., on the token) with a C_GenerateKey  • created with a C_CopyObject call as a
		copy of domain parameters which had its <b>CKA_LOCAL</b> attribute set to CK_TRUE

- 1699 Refer to Table 11 for footnotes
- The **CKA\_LOCAL** attribute has the value CK\_TRUE if and only if the values of the domain parameters were originally generated on the token by a **C GenerateKey** call.

# 1702 4.12 Mechanism objects

#### 1703 **4.12.1 Definitions**

- This section defines the object class CKO\_MECHANISM for type CK\_OBJECT\_CLASS as used in the CKA CLASS attribute of objects.
- 1706 **4.12.2 Overview**
- Mechanism objects provide information about mechanisms supported by a device beyond that given by the **CK MECHANISM INFO** structure.
- 1709 When searching for objects using C FindObjectsInit and C FindObjects, mechanism objects are not
- 1710 returned unless the CKA\_CLASS attribute in the template has the value CKO\_MECHANISM. This
- 1711 protects applications written to previous versions of Cryptoki from finding objects that they do not
- 1712 understand.
- 1713 Table 29, Common Mechanism Attributes

Attribute	Data Type	Meaning
CKA_MECHANISM_TYPE	CK_MECHANISM_TYPE	The type of mechanism
		object

1714 The **CKA MECHANISM TYPE** attribute may not be set.

# 1716 4.13 Profile objects

- 1717 **4.13.1 Definitions**
- 1718 This section defines the object class CKO\_PROFILE for type CK\_OBJECT\_CLASS as used in the
- 1719 CKA\_CLASS attribute of objects.
- 1720 **4.13.2 Overview**
- 1721 Profile objects (object class CKO\_PRIFILE) describe which PKCS #11 profiles the token implements.
- 1722 Profiles are defined in the OASIS PKCS #11 Cryptographic Token Interface Profiles document. A given
- token can contain more than one profile ID.. The following table lists the attributes supported by profile
- objects, in addition to the common attributes defined for this object class:
- 1725 Table 27, Profile Object Attributes

Attribute	Data type	Meaning
CKA_PROFILE_ID	CK_PROFILE_ID	ID of the supported profile.

1726 The **CKA\_PROFILE** attribute identifies a profile that the token supports.

# 1727 **5 Functions**

- 1728 Cryptoki's functions are organized into the following categories:
- general-purpose functions (4 functions)
- slot and token management functions (9 functions)
- session management functions (8 functions)
- object management functions (9 functions)
- encryption functions (4 functions)
- message-based encryption functions (5 functions)
- decryption functions (4 functions)
- message digesting functions (5 functions)
- signing and MACing functions (6 functions)
- functions for verifying signatures and MACs (6 functions)
- dual-purpose cryptographic functions (4 functions)
- key management functions (5 functions)
- random number generation functions (2 functions)
- parallel function management functions (2 functions)

In addition to these functions, Cryptoki can use application-supplied callback functions to notify an application of certain events, and can also use application-supplied functions to handle mutex objects for safe multi-threaded library access.

1747 The Cryptoki API functions are presented in the following table:

1748 Table 30, Summary of Cryptoki Functions

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Category	Function	Description
General	C_Initialize	initializes Cryptoki
purpose	C_Finalize	clean up miscellaneous Cryptoki-associated
functions		resources
	C_GetInfo	obtains general information about Cryptoki
	C_GetFunctionList	obtains entry points of Cryptoki library functions
	C_GetInterfaceList	obtains list of interfaces supported by Cryptoki library
	C_GetInterface	obtains interface specific entry points to Cryptoki library functions
Slot and token	C_GetSlotList	obtains a list of slots in the system
management	C_GetSlotInfo	obtains information about a particular slot
functions	C_GetTokenInfo	obtains information about a particular token
	C_WaitForSlotEvent	waits for a slot event (token insertion, removal, etc.) to occur
	C_GetMechanismList	obtains a list of mechanisms supported by a token
	C_GetMechanismInfo	obtains information about a particular mechanism
	C_InitToken	initializes a token
	C_InitPIN	initializes the normal user's PIN

Category	Function	Description
	C_SetPIN	modifies the PIN of the current user
Session management functions	C_OpenSession	opens a connection between an application and a particular token or sets up an application callback for token insertion
	C_CloseSession	closes a session
	C_CloseAllSessions	closes all sessions with a token
	C_GetSessionInfo	obtains information about the session
	C_SessionCancel	terminates active session based operations
	C_GetOperationState	obtains the cryptographic operations state of a session
	C_SetOperationState	sets the cryptographic operations state of a session
	C_Login	logs into a token
	C_LoginUser	??????
	C_Logout	logs out from a token
Object	C_CreateObject	creates an object
management	C_CopyObject	creates a copy of an object
functions	C_DestroyObject	destroys an object
	C_GetObjectSize	obtains the size of an object in bytes
	C_GetAttributeValue	obtains an attribute value of an object
	C_SetAttributeValue	modifies an attribute value of an object
	C_FindObjectsInit	initializes an object search operation
	C_FindObjects	continues an object search operation
	C_FindObjectsFinal	finishes an object search operation
Encryption	C_EncryptInit	initializes an encryption operation
functions	C_Encrypt	encrypts single-part data
	C_EncryptUpdate	continues a multiple-part encryption operation
	C_EncryptFinal	finishes a multiple-part encryption operation
Message-based Encryption	C_MessageEncryptInit	initializes a message-based encryption process
Functions	C_EncryptMessage	encrypts a single-part message
	C_EncryptMessageBegin	begins a multiple-part message encryption operation
	C_EncryptMessageNext	continues or finishes a multiple-part message encryption operation
	C_MessageEncryptFinal	finishes a message-based encryption process
Decryption	C_DecryptInit	initializes a decryption operation
Functions	C_Decrypt	decrypts single-part encrypted data
	C_DecryptUpdate	continues a multiple-part decryption operation
	C_DecryptFinal	finishes a multiple-part decryption operation
Message-based	C_MessageDecryptInit	initializes a message decryption operation
Decryption	C_DecryptMessage	decrypts single-part data
Functions	C_DecryptMessageBegin	starts a multiple-part message decryption operation

Category	Function	Description
	C_DecryptMessageNext	Continues and finishes a multiple-part message decryption operation
	C_MessageDecryptFinal	finishes a message decryption operation
Message	C_DigestInit	initializes a message-digesting operation
Digesting	C_Digest	digests single-part data
Functions	C_DigestUpdate	continues a multiple-part digesting operation
	C_DigestKey	digests a key
	C_DigestFinal	finishes a multiple-part digesting operation
Signing	C_SignInit	initializes a signature operation
and MACing	C_Sign	signs single-part data
functions	C_SignUpdate	continues a multiple-part signature operation
	C_SignFinal	finishes a multiple-part signature operation
	C_SignRecoverInit	initializes a signature operation, where the data can be recovered from the signature
	C_SignRecover	signs single-part data, where the data can be recovered from the signature
Message-based	C_MessageSignInit	initializes a message signature operation
Signature	C_SignMessage	signs single-part data
functions	C_SignMessageBegin	starts a multiple-part message signature operation
	C_SignMessageNext	continues and finishes a multiple-part message signature operation
	C_MessageSignFinal	finishes a message signature operation
Functions for verifying	C_VerifyInit	initializes a verification operation
signatures	C_Verify	verifies a signature on single-part data
and MACs	C_VerifyUpdate	continues a multiple-part verification operation
	C_VerifyFinal	finishes a multiple-part verification operation
	C_VerifyRecoverInit	initializes a verification operation where the data is recovered from the signature
	C_VerifyRecover	verifies a signature on single-part data, where the data is recovered from the signature
Message-based	C_MessageVerifyInit	initializes a message verification operation
Functions for	C_VerifyMessage	verifies single-part data
verifying signatures and MACs	C_VerifyMessageBegin	starts a multiple-part message verification operation
	C_VerifyMessageNext	continues and finishes a multiple-part message verification operation
	C_MessageVerifyFinal	finishes a message verification operation
Dual-purpose cryptographic functions	C_DigestEncryptUpdate	continues simultaneous multiple-part digesting and encryption operations
	C_DecryptDigestUpdate	continues simultaneous multiple-part decryption and digesting operations
	C_SignEncryptUpdate	continues simultaneous multiple-part signature and encryption operations
	C_DecryptVerifyUpdate	continues simultaneous multiple-part decryption and verification operations

Category	Function	Description
Key	C_GenerateKey	generates a secret key
management	C_GenerateKeyPair	generates a public-key/private-key pair
functions	C_WrapKey	wraps (encrypts) a key
	C_UnwrapKey	unwraps (decrypts) a key
	C_DeriveKey	derives a key from a base key
Random number generation	C_SeedRandom	mixes in additional seed material to the random number generator
functions	C_GenerateRandom	generates random data
Parallel function management	C_GetFunctionStatus	legacy function which always returns CKR_FUNCTION_NOT_PARALLEL
functions	C_CancelFunction	legacy function which always returns CKR_FUNCTION_NOT_PARALLEL
Callback function		application-supplied function to process notifications from Cryptoki

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Execution of a Cryptoki function call is in general an all-or-nothing affair, *i.e.*, a function call accomplishes either its entire goal, or nothing at all.

- If a Cryptoki function executes successfully, it returns the value CKR OK.
- If a Cryptoki function does not execute successfully, it returns some value other than CKR\_OK, and the token is in the same state as it was in prior to the function call. If the function call was supposed to modify the contents of certain memory addresses on the host computer, these memory addresses may have been modified, despite the failure of the function.
- In unusual (and extremely unpleasant!) circumstances, a function can fail with the return value CKR\_GENERAL\_ERROR. When this happens, the token and/or host computer may be in an inconsistent state, and the goals of the function may have been partially achieved.
- There are a small number of Cryptoki functions whose return values do not behave precisely as described above; these exceptions are documented individually with the description of the functions themselves.
- 1763 A Cryptoki library need not support every function in the Cryptoki API. However, even an unsupported function MUST have a "stub" in the library which simply returns the value
- 1765 CKR\_FUNCTION\_NOT\_SUPPORTED. The function's entry in the library's **CK\_FUNCTION\_LIST**
- 1766 structure (as obtained by **C GetFunctionList**) should point to this stub function (see Section 3.6).

#### 5.1 Function return values

- The Cryptoki interface possesses a large number of functions and return values. In Section 5.1, we enumerate the various possible return values for Cryptoki functions; most of the remainder of Section 5.1 details the behavior of Cryptoki functions, including what values each of them may return.
- 1771 Because of the complexity of the Cryptoki specification, it is recommended that Cryptoki applications 1772 attempt to give some leeway when interpreting Cryptoki functions' return values. We have attempted to 1773 specify the behavior of Cryptoki functions as completely as was feasible; nevertheless, there are presumably some gaps. For example, it is possible that a particular error code which might apply to a 1774 particular Cryptoki function is unfortunately not actually listed in the description of that function as a 1775 possible error code. It is conceivable that the developer of a Cryptoki library might nevertheless permit 1776 his/her implementation of that function to return that error code. It would clearly be somewhat ungraceful 1777 if a Cryptoki application using that library were to terminate by abruptly dumping core upon receiving that 1778 error code for that function. It would be far preferable for the application to examine the function's return 1779
- value, see that it indicates some sort of error (even if the application doesn't know precisely *what* kind of error), and behave accordingly.

See Section 5.1.8 for some specific details on how a developer might attempt to make an application that accommodates a range of behaviors from Cryptoki libraries.

# 1784 5.1.1 Universal Cryptoki function return values

- 1785 Any Cryptoki function can return any of the following values:
- CKR\_GENERAL\_ERROR: Some horrible, unrecoverable error has occurred. In the worst case, it is possible that the function only partially succeeded, and that the computer and/or token is in an inconsistent state.
- CKR\_HOST\_MEMORY: The computer that the Cryptoki library is running on has insufficient memory to perform the requested function.
- CKR\_FUNCTION\_FAILED: The requested function could not be performed, but detailed information about why not is not available in this error return. If the failed function uses a session, it is possible that the CK\_SESSION\_INFO structure that can be obtained by calling C\_GetSessionInfo will hold useful information about what happened in its *ulDeviceError* field. In any event, although the function call failed, the situation is not necessarily totally hopeless, as it is likely to be when CKR\_GENERAL\_ERROR is returned. Depending on what the root cause of the error actually was, it is possible that an attempt to make the exact same function call again would succeed.
- CKR\_OK: The function executed successfully. Technically, CKR\_OK is not *quite* a "universal" return value; in particular, the legacy functions **C\_GetFunctionStatus** and **C\_CancelFunction** (see Section 5.20) cannot return CKR\_OK.
- 1801 The relative priorities of these errors are in the order listed above, e.g., if either of
- 1802 CKR\_GENERAL\_ERROR or CKR\_HOST\_MEMORY would be an appropriate error return, then
- 1803 CKR GENERAL ERROR should be returned.

# **5.1.2** Cryptoki function return values for functions that use a session handle

Any Cryptoki function that takes a session handle as one of its arguments (i.e., any Cryptoki function except for C\_Initialize, C\_Finalize, C\_GetInfo, C\_GetFunctionList, C\_GetSlotList, C\_GetSlotInfo, C\_GetTokenInfo, C\_WaitForSlotEvent, C\_GetMechanismList, C\_GetMechanismInfo, C\_InitToken, C\_OpenSession, and C\_CloseAllSessions) can return the following values:

- CKR\_SESSION\_HANDLE\_INVALID: The specified session handle was invalid at the time that the function was invoked. Note that this can happen if the session's token is removed before the function invocation, since removing a token closes all sessions with it.
- CKR\_DEVICE\_REMOVED: The token was removed from its slot *during the execution of the function*.
- CKR\_SESSION\_CLOSED: The session was closed *during the execution of the function*. Note that, as stated in **[PKCS11-UG]**, the behavior of Cryptoki is *undefined* if multiple threads of an application attempt to access a common Cryptoki session simultaneously. Therefore, there is actually no guarantee that a function invocation could ever return the value CKR\_SESSION\_CLOSED. An example of multiple threads accessing a common session simultaneously is where one thread is using a session when another thread closes that same session.
- 1820 The relative priorities of these errors are in the order listed above, e.g., if either of
- 1821 CKR SESSION HANDLE INVALID or CKR DEVICE REMOVED would be an appropriate error return,
- then CKR\_SESSION\_HANDLE\_INVALID should be returned.
- In practice, it is often not crucial (or possible) for a Cryptoki library to be able to make a distinction
- 1824 between a token being removed *before* a function invocation and a token being removed *during* a
- 1825 function execution.

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## 1826 5.1.3 Cryptoki function return values for functions that use a token

- Any Cryptoki function that uses a particular token (i.e., any Cryptoki function except for **C\_Initialize**,
- 1828 C\_Finalize, C\_GetInfo, C\_GetFunctionList, C\_GetSlotList, C\_GetSlotInfo, or C\_WaitForSlotEvent)
- 1829 can return any of the following values:
- CKR\_DEVICE\_MEMORY: The token does not have sufficient memory to perform the requested function.
- CKR\_DEVICE\_ERROR: Some problem has occurred with the token and/or slot. This error code can be returned by more than just the functions mentioned above; in particular, it is possible for C GetSlotInfo to return CKR DEVICE ERROR.
- CKR\_TOKEN\_NOT\_PRESENT: The token was not present in its slot *at the time that the function was invoked.*
- CKR DEVICE REMOVED: The token was removed from its slot *during the execution of the function*.
- 1838 The relative priorities of these errors are in the order listed above, e.g., if either of
- 1839 CKR\_DEVICE\_MEMORY or CKR\_DEVICE\_ERROR would be an appropriate error return, then
- 1840 CKR\_DEVICE\_MEMORY should be returned.
- 1841 In practice, it is often not critical (or possible) for a Cryptoki library to be able to make a distinction
- 1842 between a token being removed *before* a function invocation and a token being removed *during* a
- 1843 function execution.

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# 1844 5.1.4 Special return value for application-supplied callbacks

- There is a special-purpose return value which is not returned by any function in the actual Cryptoki API,
- but which may be returned by an application-supplied callback function. It is:
- CKR\_CANCEL: When a function executing in serial with an application decides to give the application a chance to do some work, it calls an application-supplied function with a CKN\_SURRENDER callback (see Section 5.21). If the callback returns the value CKR\_CANCEL, then the function aborts
- and returns CKR FUNCTION CANCELED.

# 1851 5.1.5 Special return values for mutex-handling functions

- There are two other special-purpose return values which are not returned by any actual Cryptoki functions. These values may be returned by application-supplied mutex-handling functions, and they may safely be ignored by application developers who are not using their own threading model. They are:
  - CKR\_MUTEX\_BAD: This error code can be returned by mutex-handling functions that are passed a
    bad mutex object as an argument. Unfortunately, it is possible for such a function not to recognize a
    bad mutex object. There is therefore no guarantee that such a function will successfully detect bad
    mutex objects and return this value.
- CKR\_MUTEX\_NOT\_LOCKED: This error code can be returned by mutex-unlocking functions. It indicates that the mutex supplied to the mutex-unlocking function was not locked.

# 1861 5.1.6 All other Cryptoki function return values

- Descriptions of the other Cryptoki function return values follow. Except as mentioned in the descriptions of particular error codes, there are in general no particular priorities among the errors listed below, *i.e.*, if more than one error code might apply to an execution of a function, then the function may return any applicable error code.
  - CKR\_ACTION\_PROHIBITED: This value can only be returned by C\_CopyObject,
     C\_SetAttributeValue and C\_DestroyObject. It denotes that the action may not be taken, either because of underlying policy restrictions on the token, or because the object has the relevant CKA\_COPYABLE, CKA\_MODIFIABLE or CKA\_DESTROYABLE policy attribute set to CK\_FALSE.
  - CKR\_ARGUMENTS\_BAD: This is a rather generic error code which indicates that the arguments supplied to the Cryptoki function were in some way not appropriate.

- CKR\_ATTRIBUTE\_READ\_ONLY: An attempt was made to set a value for an attribute which may not be set by the application, or which may not be modified by the application. See Section 4.1 for more information.
- CKR\_ATTRIBUTE\_SENSITIVE: An attempt was made to obtain the value of an attribute of an object which cannot be satisfied because the object is either sensitive or un-extractable.
- CKR\_ATTRIBUTE\_TYPE\_INVALID: An invalid attribute type was specified in a template. See Section 4.1 for more information.
- CKR\_ATTRIBUTE\_VALUE\_INVALID: An invalid value was specified for a particular attribute in a template. See Section 4.1 for more information.
- CKR\_BUFFER\_TOO\_SMALL: The output of the function is too large to fit in the supplied buffer.
- CKR\_CANT\_LOCK: This value can only be returned by **C\_Initialize**. It means that the type of locking requested by the application for thread-safety is not available in this library, and so the application cannot make use of this library in the specified fashion.
- CKR\_CRYPTOKI\_ALREADY\_INITIALIZED: This value can only be returned by **C\_Initialize**. It means that the Cryptoki library has already been initialized (by a previous call to **C\_Initialize** which did not have a matching **C\_Finalize** call).
- CKR\_CRYPTOKI\_NOT\_INITIALIZED: This value can be returned by any function other than

  C\_Initialize, C\_GetFunctionList, C\_GetInterfaceList and C\_GetInterface. It indicates that the

  function cannot be executed because the Cryptoki library has not yet been initialized by a call to

  C\_Initialize.
- CKR\_CURVE\_NOT\_SUPPORTED: This curve is not supported by this token. Used with Elliptic Curve mechanisms.
- CKR\_DATA\_INVALID: The plaintext input data to a cryptographic operation is invalid. This return value has lower priority than CKR\_DATA\_LEN\_RANGE.
- CKR\_DATA\_LEN\_RANGE: The plaintext input data to a cryptographic operation has a bad length.

  Depending on the operation's mechanism, this could mean that the plaintext data is too short, too long, or is not a multiple of some particular block size. This return value has higher priority than CKR\_DATA\_INVALID.
- CKR\_DOMAIN\_PARAMS\_INVALID: Invalid or unsupported domain parameters were supplied to the function. Which representation methods of domain parameters are supported by a given mechanism can vary from token to token.
- CKR\_ENCRYPTED\_DATA\_INVALID: The encrypted input to a decryption operation has been determined to be invalid ciphertext. This return value has lower priority than CKR\_ENCRYPTED\_DATA\_LEN\_RANGE.
- CKR\_ENCRYPTED\_DATA\_LEN\_RANGE: The ciphertext input to a decryption operation has been determined to be invalid ciphertext solely on the basis of its length. Depending on the operation's mechanism, this could mean that the ciphertext is too short, too long, or is not a multiple of some particular block size. This return value has higher priority than CKR\_ENCRYPTED\_DATA\_INVALID.
- CKR\_EXCEEDED\_MAX\_ITERATIONS: An iterative algorithm (for key pair generation, domain parameter generation etc.) failed because we have exceeded the maximum number of iterations.

  This error code has precedence over CKR\_FUNCTION\_FAILED. Examples of iterative algorithms include DSA signature generation (retry if either r = 0 or s = 0) and generation of DSA primes p and q specified in FIPS 186-4.
- CKR\_FIPS\_SELF\_TEST\_FAILED: A FIPS 140-2 power-up self-test or conditional self-test failed.
  The token entered an error state. Future calls to cryptographic functions on the token will return
  CKR\_GENERAL\_ERROR. CKR\_FIPS\_SELF\_TEST\_FAILED has a higher precedence over
  CKR\_GENERAL\_ERROR. This error may be returned by C\_Initialize, if a power-up self-test failed,
  by C\_GenerateRandom or C\_SeedRandom, if the continuous random number generator test failed,
  or by C\_GenerateKeyPair, if the pair-wise consistency test failed.

- CKR\_FUNCTION\_CANCELED: The function was canceled in mid-execution. This happens to a cryptographic function if the function makes a **CKN\_SURRENDER** application callback which returns CKR\_CANCEL (see CKR\_CANCEL). It also happens to a function that performs PIN entry through a protected path. The method used to cancel a protected path PIN entry operation is device dependent.
- CKR\_FUNCTION\_NOT\_PARALLEL: There is currently no function executing in parallel in the specified session. This is a legacy error code which is only returned by the legacy functions

  C GetFunctionStatus and C CancelFunction.
- CKR\_FUNCTION\_NOT\_SUPPORTED: The requested function is not supported by this Cryptoki library. Even unsupported functions in the Cryptoki API should have a "stub" in the library; this stub should simply return the value CKR\_FUNCTION\_NOT\_SUPPORTED.
- CKR\_FUNCTION\_REJECTED: The signature request is rejected by the user.
- CKR\_INFORMATION\_SENSITIVE: The information requested could not be obtained because the token considers it sensitive, and is not able or willing to reveal it.
- CKR\_KEY\_CHANGED: This value is only returned by **C\_SetOperationState**. It indicates that one of the keys specified is not the same key that was being used in the original saved session.
- CKR\_KEY\_FUNCTION\_NOT\_PERMITTED: An attempt has been made to use a key for a cryptographic purpose that the key's attributes are not set to allow it to do. For example, to use a key for performing encryption, that key MUST have its **CKA\_ENCRYPT** attribute set to CK\_TRUE (the fact that the key MUST have a **CKA\_ENCRYPT** attribute implies that the key cannot be a private key). This return value has lower priority than CKR\_KEY\_TYPE\_INCONSISTENT.
- CKR\_KEY\_HANDLE\_INVALID: The specified key handle is not valid. It may be the case that the specified handle is a valid handle for an object which is not a key. We reiterate here that 0 is never a valid key handle.
- CKR\_KEY\_INDIGESTIBLE: This error code can only be returned by **C\_DigestKey**. It indicates that the value of the specified key cannot be digested for some reason (perhaps the key isn't a secret key, or perhaps the token simply can't digest this kind of key).
- CKR\_KEY\_NEEDED: This value is only returned by **C\_SetOperationState**. It indicates that the session state cannot be restored because **C\_SetOperationState** needs to be supplied with one or more keys that were being used in the original saved session.
- CKR\_KEY\_NOT\_NEEDED: An extraneous key was supplied to **C\_SetOperationState**. For example, an attempt was made to restore a session that had been performing a message digesting operation, and an encryption key was supplied.
- CKR\_KEY\_NOT\_WRAPPABLE: Although the specified private or secret key does not have its

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  CKA\_EXTRACTABLE attribute set to CK\_FALSE, Cryptoki (or the token) is unable to wrap the key as

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  requested (possibly the token can only wrap a given key with certain types of keys, and the wrapping

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  key specified is not one of these types). Compare with CKR\_KEY\_UNEXTRACTABLE.
- CKR\_KEY\_SIZE\_RANGE: Although the requested keyed cryptographic operation could in principle be carried out, this Cryptoki library (or the token) is unable to actually do it because the supplied key's size is outside the range of key sizes that it can handle.
- CKR\_KEY\_TYPE\_INCONSISTENT: The specified key is not the correct type of key to use with the specified mechanism. This return value has a higher priority than CKR\_KEY\_FUNCTION\_NOT\_PERMITTED.
- CKR\_KEY\_UNEXTRACTABLE: The specified private or secret key can't be wrapped because its CKA\_EXTRACTABLE attribute is set to CK\_FALSE. Compare with CKR\_KEY\_NOT\_WRAPPABLE.
- CKR\_LIBRARY\_LOAD\_FAILED: The Cryptoki library could not load a dependent shared library.
- CKR\_MECHANISM\_INVALID: An invalid mechanism was specified to the cryptographic operation.
  This error code is an appropriate return value if an unknown mechanism was specified or if the mechanism specified cannot be used in the selected token with the selected function.

- CKR\_MECHANISM\_PARAM\_INVALID: Invalid parameters were supplied to the mechanism specified to the cryptographic operation. Which parameter values are supported by a given mechanism can vary from token to token.
- CKR\_NEED\_TO\_CREATE\_THREADS: This value can only be returned by **C\_Initialize**. It is returned when two conditions hold:
  - 1. The application called **C\_Initialize** in a way which tells the Cryptoki library that application threads executing calls to the library cannot use native operating system methods to spawn new threads.
  - 2. The library cannot function properly without being able to spawn new threads in the above fashion.
- CKR\_NO\_EVENT: This value can only be returned by **C\_GetSlotEvent**. It is returned when **C\_GetSlotEvent** is called in non-blocking mode and there are no new slot events to return.
- CKR\_OBJECT\_HANDLE\_INVALID: The specified object handle is not valid. We reiterate here that 0 is never a valid object handle.
- 1983 CKR OPERATION ACTIVE: There is already an active operation (or combination of active 1984 operations) which prevents Cryptoki from activating the specified operation. For example, an active object-searching operation would prevent Cryptoki from activating an encryption operation with 1985 C Encryptinit. Or, an active digesting operation and an active encryption operation would prevent 1986 1987 Cryptoki from activating a signature operation. Or, on a token which doesn't support simultaneous 1988 dual cryptographic operations in a session (see the description of the 1989 CKF DUAL CRYPTO OPERATIONS flag in the CK TOKEN INFO structure), an active signature operation would prevent Cryptoki from activating an encryption operation. 1990
- CKR\_OPERATION\_NOT\_INITIALIZED: There is no active operation of an appropriate type in the specified session. For example, an application cannot call **C\_Encrypt** in a session without having called **C\_EncryptInit** first to activate an encryption operation.
- CKR\_PIN\_EXPIRED: The specified PIN has expired, and the requested operation cannot be carried out unless C\_SetPIN is called to change the PIN value. Whether or not the normal user's PIN on a token ever expires varies from token to token.
- CKR\_PIN\_INCORRECT: The specified PIN is incorrect, *i.e.*, does not match the PIN stored on the token. More generally-- when authentication to the token involves something other than a PIN-- the attempt to authenticate the user has failed.
- CKR\_PIN\_INVALID: The specified PIN has invalid characters in it. This return code only applies to functions which attempt to set a PIN.
- CKR\_PIN\_LEN\_RANGE: The specified PIN is too long or too short. This return code only applies to functions which attempt to set a PIN.
- CKR\_PIN\_LOCKED: The specified PIN is "locked", and cannot be used. That is, because some particular number of failed authentication attempts has been reached, the token is unwilling to permit further attempts at authentication. Depending on the token, the specified PIN may or may not remain locked indefinitely.
- CKR\_PIN\_TOO\_WEAK: The specified PIN is too weak so that it could be easy to guess. If the PIN is too short, CKR\_PIN\_LEN\_RANGE should be returned instead. This return code only applies to functions which attempt to set a PIN.
- CKR\_PUBLIC\_KEY\_INVALID: The public key fails a public key validation. For example, an EC public key fails the public key validation specified in Section 5.2.2 of ANSI X9.62. This error code may be returned by C\_CreateObject, when the public key is created, or by C\_VerifyInit or C\_VerifyRecoverInit, when the public key is used. It may also be returned by C\_DeriveKey, in preference to CKR\_MECHANISM\_PARAM\_INVALID, if the other party's public key specified in the mechanism's parameters is invalid.
- CKR\_RANDOM\_NO\_RNG: This value can be returned by C\_SeedRandom and
   C\_GenerateRandom. It indicates that the specified token doesn't have a random number generator.
   This return value has higher priority than CKR\_RANDOM\_SEED\_NOT\_SUPPORTED.

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- CKR\_RANDOM\_SEED\_NOT\_SUPPORTED: This value can only be returned by **C\_SeedRandom**.

  It indicates that the token's random number generator does not accept seeding from an application.

  This return value has lower priority than CKR\_RANDOM\_NO\_RNG.
- CKR\_SAVED\_STATE\_INVALID: This value can only be returned by **C\_SetOperationState**. It indicates that the supplied saved cryptographic operations state is invalid, and so it cannot be restored to the specified session.
- CKR\_SESSION\_COUNT: This value can only be returned by **C\_OpenSession**. It indicates that the attempt to open a session failed, either because the token has too many sessions already open, or because the token has too many read/write sessions already open.
- CKR\_SESSION\_EXISTS: This value can only be returned by **C\_InitToken**. It indicates that a session with the token is already open, and so the token cannot be initialized.
- CKR\_SESSION\_PARALLEL\_NOT\_SUPPORTED: The specified token does not support parallel sessions. This is a legacy error code—in Cryptoki Version 2.01 and up, no token supports parallel sessions. CKR\_SESSION\_PARALLEL\_NOT\_SUPPORTED can only be returned by
   C\_OpenSession, and it is only returned when C\_OpenSession is called in a particular [deprecated] way.
- CKR\_SESSION\_READ\_ONLY: The specified session was unable to accomplish the desired action because it is a read-only session. This return value has lower priority than CKR TOKEN WRITE PROTECTED.
- CKR\_SESSION\_READ\_ONLY\_EXISTS: A read-only session already exists, and so the SO cannot be logged in.
- CKR\_SESSION\_READ\_WRITE\_SO\_EXISTS: A read/write SO session already exists, and so a read-only session cannot be opened.
- CKR\_SIGNATURE\_LEN\_RANGE: The provided signature/MAC can be seen to be invalid solely on the basis of its length. This return value has higher priority than CKR\_SIGNATURE\_INVALID.
- CKR\_SIGNATURE\_INVALID: The provided signature/MAC is invalid. This return value has lower priority than CKR\_SIGNATURE\_LEN\_RANGE.
- CKR\_SLOT\_ID\_INVALID: The specified slot ID is not valid.
- CKR\_STATE\_UNSAVEABLE: The cryptographic operations state of the specified session cannot be saved for some reason (possibly the token is simply unable to save the current state). This return value has lower priority than CKR\_OPERATION\_NOT\_INITIALIZED.
- CKR\_TEMPLATE\_INCOMPLETE: The template specified for creating an object is incomplete, and lacks some necessary attributes. See Section 4.1 for more information.
- CKR\_TEMPLATE\_INCONSISTENT: The template specified for creating an object has conflicting attributes. See Section 4.1 for more information.
- CKR\_TOKEN\_NOT\_RECOGNIZED: The Cryptoki library and/or slot does not recognize the token in the slot.
- CKR\_TOKEN\_WRITE\_PROTECTED: The requested action could not be performed because the token is write-protected. This return value has higher priority than CKR\_SESSION\_READ\_ONLY.
- CKR\_UNWRAPPING\_KEY\_HANDLE\_INVALID: This value can only be returned by **C\_UnwrapKey**. It indicates that the key handle specified to be used to unwrap another key is not valid.
- CKR\_UNWRAPPING\_KEY\_SIZE\_RANGE: This value can only be returned by **C\_UnwrapKey**. It indicates that although the requested unwrapping operation could in principle be carried out, this Cryptoki library (or the token) is unable to actually do it because the supplied key's size is outside the range of key sizes that it can handle.
- CKR\_UNWRAPPING\_KEY\_TYPE\_INCONSISTENT: This value can only be returned by

  C\_UnwrapKey. It indicates that the type of the key specified to unwrap another key is not consistent with the mechanism specified for unwrapping.

- CKR\_USER\_ALREADY\_LOGGED\_IN: This value can only be returned by **C\_Login**. It indicates that the specified user cannot be logged into the session, because it is already logged into the session. For example, if an application has an open SO session, and it attempts to log the SO into it, it will receive this error code.
- CKR\_USER\_ANOTHER\_ALREADY\_LOGGED\_IN: This value can only be returned by **C\_Login**. It indicates that the specified user cannot be logged into the session, because another user is already logged into the session. For example, if an application has an open SO session, and it attempts to log the normal user into it, it will receive this error code.
- CKR\_USER\_NOT\_LOGGED\_IN: The desired action cannot be performed because the appropriate user (or *an* appropriate user) is not logged in. One example is that a session cannot be logged out unless it is logged in. Another example is that a private object cannot be created on a token unless the session attempting to create it is logged in as the normal user. A final example is that cryptographic operations on certain tokens cannot be performed unless the normal user is logged in.
- CKR\_USER\_PIN\_NOT\_INITIALIZED: This value can only be returned by **C\_Login**. It indicates that the normal user's PIN has not yet been initialized with **C InitPIN**.
- CKR\_USER\_TOO\_MANY\_TYPES: An attempt was made to have more distinct users simultaneously logged into the token than the token and/or library permits. For example, if some application has an open SO session, and another application attempts to log the normal user into a session, the attempt may return this error. It is not required to, however. Only if the simultaneous distinct users cannot be supported does **C\_Login** have to return this value. Note that this error code generalizes to true multiuser tokens.
- CKR\_USER\_TYPE\_INVALID: An invalid value was specified as a CK\_USER\_TYPE. Valid types are CKU\_SO, CKU\_USER, and CKU\_CONTEXT\_SPECIFIC.
  - CKR\_WRAPPED\_KEY\_INVALID: This value can only be returned by C\_UnwrapKey. It indicates
    that the provided wrapped key is not valid. If a call is made to C\_UnwrapKey to unwrap a particular
    type of key (i.e., some particular key type is specified in the template provided to C\_UnwrapKey),
    and the wrapped key provided to C\_UnwrapKey is recognizably not a wrapped key of the proper
    type, then C\_UnwrapKey should return CKR\_WRAPPED\_KEY\_INVALID. This return value has
    lower priority than CKR\_WRAPPED\_KEY\_LEN\_RANGE.
- CKR\_WRAPPED\_KEY\_LEN\_RANGE: This value can only be returned by **C\_UnwrapKey**. It indicates that the provided wrapped key can be seen to be invalid solely on the basis of its length. This return value has higher priority than CKR\_WRAPPED\_KEY\_INVALID.
- CKR\_WRAPPING\_KEY\_HANDLE\_INVALID: This value can only be returned by **C\_WrapKey**. It indicates that the key handle specified to be used to wrap another key is not valid.
- CKR\_WRAPPING\_KEY\_SIZE\_RANGE: This value can only be returned by **C\_WrapKey**. It indicates that although the requested wrapping operation could in principle be carried out, this Cryptoki library (or the token) is unable to actually do it because the supplied wrapping key's size is outside the range of key sizes that it can handle.
- CKR\_WRAPPING\_KEY\_TYPE\_INCONSISTENT: This value can only be returned by **C\_WrapKey**. It indicates that the type of the key specified to wrap another key is not consistent with the mechanism specified for wrapping.
- CKR\_OPERATION\_CANCEL\_FAILED: This value can only be returned by **C\_SessionCancel**. It means that one or more of the requested operations could not be cancelled for implementation or vendor-specific reasons.

#### 2112 5.1.7 More on relative priorities of Cryptoki errors

- 2113 In general, when a Cryptoki call is made, error codes from Section 5.1.1 (other than CKR\_OK) take
- 2114 precedence over error codes from Section 5.1.2, which take precedence over error codes from Section
- 2115 5.1.3, which take precedence over error codes from Section 5.1.6. One minor implication of this is that
- 2116 functions that use a session handle (i.e., most functions!) never return the error code
- 2117 CKR TOKEN NOT PRESENT (they return CKR SESSION HANDLE INVALID instead). Other than

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- 2118 these precedences, if more than one error code applies to the result of a Cryptoki call, any of the
- 2119 applicable error codes may be returned. Exceptions to this rule will be explicitly mentioned in the
- 2120 descriptions of functions.

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## 2121 5.1.8 Error code "gotchas"

- Here is a short list of a few particular things about return values that Cryptoki developers might want to be aware of:
- 2124 1. As mentioned in Sections 5.1.2 and 5.1.3, a Cryptoki library may not be able to make a distinction between a token being removed *before* a function invocation and a token being removed *during* a function invocation.
- 2. As mentioned in Section 5.1.2, an application should never count on getting a CKR SESSION CLOSED error.
- 2129 3. The difference between CKR\_DATA\_INVALID and CKR\_DATA\_LEN\_RANGE can be somewhat subtle. Unless an application *needs* to be able to distinguish between these return values, it is best to always treat them equivalently.
- Similarly, the difference between CKR\_ENCRYPTED\_DATA\_INVALID and
   CKR\_ENCRYPTED\_DATA\_LEN\_RANGE, and between CKR\_WRAPPED\_KEY\_INVALID and
   CKR\_WRAPPED\_KEY\_LEN\_RANGE, can be subtle, and it may be best to treat these return values equivalently.
- 5. Even with the guidance of Section 4.1, it can be difficult for a Cryptoki library developer to know which of CKR\_ATTRIBUTE\_VALUE\_INVALID, CKR\_TEMPLATE\_INCOMPLETE, or CKR\_TEMPLATE\_INCONSISTENT to return. When possible, it is recommended that application developers be generous in their interpretations of these error codes.

# 5.2 Conventions for functions returning output in a variable-length buffer

- A number of the functions defined in Cryptoki return output produced by some cryptographic mechanism.

  The amount of output returned by these functions is returned in a variable-length application-supplied buffer. An example of a function of this sort is **C\_Encrypt**, which takes some plaintext as an argument, and outputs a buffer full of ciphertext.
- These functions have some common calling conventions, which we describe here. Two of the arguments to the function are a pointer to the output buffer (say *pBuf*) and a pointer to a location which will hold the length of the output produced (say *pulBufLen*). There are two ways for an application to call such a function:
- If pBuf is NULL\_PTR, then all that the function does is return (in \*pulBufLen) a number of bytes which would suffice to hold the cryptographic output produced from the input to the function. This number may somewhat exceed the precise number of bytes needed, but should not exceed it by a large amount. CKR\_OK is returned by the function.
- 21. If pBuf is not NULL\_PTR, then \*pulBufLen MUST contain the size in bytes of the buffer pointed to by pBuf. If that buffer is large enough to hold the cryptographic output produced from the input to the function, then that cryptographic output is placed there, and CKR\_OK is returned by the function. If the buffer is not large enough, then CKR\_BUFFER\_TOO\_SMALL is returned. In either case, \*pulBufLen is set to hold the exact number of bytes needed to hold the cryptographic output produced from the input to the function.
- 2160 All functions which use the above convention will explicitly say so.
- Cryptographic functions which return output in a variable-length buffer should always return as much output as can be computed from what has been passed in to them thus far. As an example, consider a session which is performing a multiple-part decryption operation with DES in cipher-block chaining mode with PKCS padding. Suppose that, initially, 8 bytes of ciphertext are passed to the **C\_DecryptUpdate**
- function. The block size of DES is 8 bytes, but the PKCS padding makes it unclear at this stage whether the ciphertext was produced from encrypting a 0-byte string, or from encrypting some string of length at

- least 8 bytes. Hence the call to **C\_DecryptUpdate** should return 0 bytes of plaintext. If a single
- 2168 additional byte of ciphertext is supplied by a subsequent call to C DecryptUpdate, then that call should
- return 8 bytes of plaintext (one full DES block).

## 2170 5.3 Disclaimer concerning sample code

- 2171 For the remainder of this section, we enumerate the various functions defined in Cryptoki. Most functions
- 2172 will be shown in use in at least one sample code snippet. For the sake of brevity, sample code will
- 2173 frequently be somewhat incomplete. In particular, sample code will generally ignore possible error
- returns from C library functions, and also will not deal with Cryptoki error returns in a realistic fashion.

## **5.4 General-purpose functions**

2176 Cryptoki provides the following general-purpose functions:

## 5.4.1 C Initialize

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- C\_Initialize initializes the Cryptoki library. pInitArgs either has the value NULL\_PTR or points to a
  CK\_C\_INITIALIZE\_ARGS structure containing information on how the library should deal with multithreaded access. If an application will not be accessing Cryptoki through multiple threads simultaneously,
  it can generally supply the value NULL\_PTR to C\_Initialize (the consequences of supplying this value will
  be explained below).
- 2186 If *plnitArgs* is non-NULL\_PTR, **C\_Initialize** should cast it to a **CK\_C\_INITIALIZE\_ARGS\_PTR** and then dereference the resulting pointer to obtain the **CK\_C\_INITIALIZE\_ARGS** fields *CreateMutex*.
- DestroyMutex, LockMutex, UnlockMutex, flags, and pReserved. For this version of Cryptoki, the value of pReserved thereby obtained MUST be NULL PTR; if it's not, then **C\_Initialize** should return with the

2190 value CKR ARGUMENTS BAD.

- 2191 If the CKF\_LIBRARY\_CANT\_CREATE\_OS\_THREADS flag in the *flags* field is set, that indicates that
  2192 application threads which are executing calls to the Cryptoki library are not permitted to use the native
  2193 operation system calls to spawn off new threads. In other words, the library's code may not create its
  2194 own threads. If the library is unable to function properly under this restriction, C\_Initialize should return
  2195 with the value CKR NEED TO CREATE THREADS.
- A call to **C\_Initialize** specifies one of four different ways to support multi-threaded access via the value of the **CKF\_OS\_LOCKING\_OK** flag in the *flags* field and the values of the *CreateMutex*, *DestroyMutex*, *LockMutex*, and *UnlockMutex* function pointer fields:
  - If the flag isn't set, and the function pointer fields aren't supplied (i.e., they all have the value NULL\_PTR), that means that the application won't be accessing the Cryptoki library from multiple threads simultaneously.
  - If the flag is set, and the function pointer fields aren't supplied (i.e., they all have the value NULL\_PTR), that means that the application will be performing multi-threaded Cryptoki access, and the library needs to use the native operating system primitives to ensure safe multi-threaded access. If the library is unable to do this, C\_Initialize should return with the value CKR\_CANT\_LOCK.
- 2206 3. If the flag *isn't* set, and the function pointer fields *are* supplied (*i.e.*, they all have non-NULL\_PTR
  2207 values), that means that the application *will* be performing multi-threaded Cryptoki access, and the
  2208 library needs to use the supplied function pointers for mutex-handling to ensure safe multi-threaded
  2209 access. If the library is unable to do this, **C\_Initialize** should return with the value
  2210 CKR\_CANT\_LOCK.
- 4. If the flag *is* set, and the function pointer fields *are* supplied (*i.e.*, they all have non-NULL\_PTR values), that means that the application *will* be performing multi-threaded Cryptoki access, and the library needs to use either the native operating system primitives or the supplied function pointers for

- mutex-handling to ensure safe multi-threaded access. If the library is unable to do this, **C\_Initialize** should return with the value CKR\_CANT\_LOCK.
- 2216 If some, but not all, of the supplied function pointers to **C\_Initialize** are non-NULL\_PTR, then **C\_Initialize** 2217 should return with the value CKR ARGUMENTS BAD.
- 2218 A call to **C\_Initialize** with *plnitArgs* set to NULL\_PTR is treated like a call to **C\_Initialize** with *plnitArgs*
- pointing to a CK\_C\_INITIALIZE\_ARGS which has the CreateMutex, DestroyMutex, LockMutex,
- 2220 UnlockMutex, and pReserved fields set to NULL PTR, and has the flags field set to 0.
- 2221 C Initialize should be the first Cryptoki call made by an application, except for calls to
- 2222 **C\_GetFunctionList**, **C\_GetInterfaceList**, or **C\_GetInterface**. What this function actually does is
- implementation-dependent; typically, it might cause Cryptoki to initialize its internal memory buffers, or
- 2224 any other resources it requires.
- 2225 If several applications are using Cryptoki, each one should call **C\_Initialize**. Every call to **C\_Initialize**
- should (eventually) be succeeded by a single call to **C\_Finalize**. See [PKCS11-UG] for further details.
- 2227 Return values: CKR ARGUMENTS BAD, CKR CANT LOCK,
- 2228 CKR CRYPTOKI ALREADY INITIALIZED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 2229 CKR HOST MEMORY, CKR NEED TO CREATE THREADS, CKR OK.
- 2230 Example: see **C\_GetInfo**.
- 2231 **5.4.2 C Finalize**

```
2232 CK_DECLARE_FUNCTION(CK_RV, C_Finalize)(
2233 CK_VOID_PTR pReserved
2234 );
```

- C\_Finalize is called to indicate that an application is finished with the Cryptoki library. It should be the
   last Cryptoki call made by an application. The *pReserved* parameter is reserved for future versions; for
   this version, it should be set to NULL\_PTR (if C\_Finalize is called with a non-NULL\_PTR value for
   *pReserved*, it should return the value CKR\_ARGUMENTS\_BAD.
- 2239 If several applications are using Cryptoki, each one should call **C\_Finalize**. Each application's call to
- C\_Finalize should be preceded by a single call to C\_Initialize; in between the two calls, an application
- 2241 can make calls to other Cryptoki functions. See **[PKCS11-UG]** for further details.
- Despite the fact that the parameters supplied to **C\_Initialize** can in general allow for safe multi-threaded
- 2243 access to a Cryptoki library, the behavior of **C\_Finalize** is nevertheless undefined if it is called by an
- application while other threads of the application are making Cryptoki calls. The exception to this
- 2245 exceptional behavior of **C\_Finalize** occurs when a thread calls **C\_Finalize** while another of the
- application's threads is blocking on Cryptoki's **C\_WaitForSlotEvent** function. When this happens, the
- 2247 blocked thread becomes unblocked and returns the value CKR CRYPTOKI NOT INITIALIZED. See
- 2248 **C** WaitForSlotEvent for more information.
- 2249 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 2250 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK.
- 2251 Example: see **C\_GetInfo**.
- 2252 **5.4.3 C\_GetInfo**

```
2253 CK_DECLARE_FUNCTION(CK_RV, C_GetInfo)(
2254 CK_INFO_PTR pInfo
2255 );
```

- 2256 **C\_GetInfo** returns general information about Cryptoki. *pInfo* points to the location that receives the information.
- 2258 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED.
- 2259 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK.

```
2260 Example:
```

```
2261
       CK INFO info;
2262
       CK RV rv;
2263
       CK C INITIALIZE ARGS InitArgs;
2264
2265
       InitArgs.CreateMutex = &MyCreateMutex;
2266
       InitArgs.DestroyMutex = &MyDestroyMutex;
2267
       InitArgs.LockMutex = &MyLockMutex;
2268
       InitArgs.UnlockMutex = &MyUnlockMutex;
2269
       InitArgs.flags = CKF OS LOCKING OK;
2270
       InitArgs.pReserved = NULL PTR;
2271
2272
       rv = C Initialize((CK VOID PTR)&InitArgs);
2273
       assert(rv == CKR OK);
2274
2275
      rv = C GetInfo(&info);
2276
       assert(rv == CKR OK);
2277
       if(info.version.major == 2) {
2278
         /* Do lots of interesting cryptographic things with the token */
2279
2280
2281
2282
2283
       rv = C Finalize(NULL PTR);
2284
       assert(rv == CKR OK);
```

#### 5.4.4 C GetFunctionList

```
2286 CK_DECLARE_FUNCTION(CK_RV, C_GetFunctionList)(
2287 CK_FUNCTION_LIST_PTR_PTR ppFunctionList
2288 );
```

- **C\_GetFunctionList** obtains a pointer to the Cryptoki library's list of function pointers. *ppFunctionList* points to a value which will receive a pointer to the library's **CK\_FUNCTION\_LIST** structure, which in turn contains function pointers for all the Cryptoki API routines in the library. *The pointer thus obtained may point into memory which is owned by the Cryptoki library, and which may or may not be writable*.
- 2293 Whether or not this is the case, no attempt should be made to write to this memory.
- 2294 **C\_GetFunctionList**, **C\_GetInterfaceList**, and **C\_GetInterface** are the only Cryptoki functions which an application may call before calling **C\_Initialize**. It is provided to make it easier and faster for applications to use shared Cryptoki libraries and to use more than one Cryptoki library simultaneously.
- 2297 Return values: CKR\_ARGUMENTS\_BAD, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 2298 CKR HOST MEMORY, CKR OK.
- 2299 Example:

2285

2289

2290

2291

```
2300 CK_FUNCTION_LIST_PTR pFunctionList;
2301 CK_C_Initialize pC_Initialize;
2302 CK_RV rv;
```

```
2303
2304  /* It's OK to call C_GetFunctionList before calling C_Initialize */
2305  rv = C_GetFunctionList(&pFunctionList);
2306  assert(rv == CKR_OK);
2307  pC_Initialize = pFunctionList -> C_Initialize;
2308
2309  /* Call the C_Initialize function in the library */
2310  rv = (*pC_Initialize) (NULL_PTR);
```

#### 2311 **5.4.5 C GetInterfaceList**

```
2312 CK_DECLARE_FUNCTION(CK_RV, C_GetInterfaceList)(
2313 CK_INTERFACE_PTR pInterfaceList,
2314 CK_ULONG_PTR pulCount
2315 );
```

- 2316 **C\_GetInterfaceList** is used to obtain a list of interfaces supported by a Cryptoki library. *pulCount* points to the location that receives the number of interfaces.
- There are two ways for an application to call **C\_GetInterfaceList**:
- 2319 1. If *pInterfaceList* is NULL\_PTR, then all that **C\_GetInterfaceList** does is return (in \**pulCount*) the number of interfaces, without actually returning a list of interfaces. The contents of \**pulCount* on entry to **C\_GetInterfaceList** has no meaning in this case, and the call returns the value CKR\_OK.
  - 2. If pIntrerfaceList is not NULL\_PTR, then \*pulCount MUST contain the size (in terms of CK\_INTERFACE elements) of the buffer pointed to by pInterfaceList. If that buffer is large enough to hold the list of interfaces, then the list is returned in it, and CKR\_OK is returned. If not, then the call to C\_GetInterfaceList returns the value CKR\_BUFFER\_TOO\_SMALL. In either case, the value \*pulCount\* is set to hold the number of interfaces.
- Because **C\_GetInterfaceList** does not allocate any space of its own, an application will often call **C\_GetInterfaceList** twice. However, this behavior is by no means required.
- C\_GetInterfaceList obtains (in \*pFunctionList of each interface) a pointer to the Cryptoki library's list of function pointers. The pointer thus obtained may point into memory which is owned by the Cryptoki library, and which may or may not be writable. Whether or not this is the case, no attempt should be made to write to this memory. The same caveat applies to the interface names returned.
  - **C\_GetFunctionList**, **C\_GetInterfaceList**, and **C\_GetInterface** are the only Cryptoki functions which an application may call before calling **C\_Initialize**. It is provided to make it easier and faster for applications to use shared Cryptoki libraries and to use more than one Cryptoki library simultaneously.
- 2337 Return values: CKR\_BUFFER\_TOO\_SMALL, CKR\_ARGUMENTS\_BAD, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK.
- 2339 Example:

2322

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2325 2326

23332334

2335

```
2348
         interfaceList = (CK INTERFACE PTR)malloc(ulCount*sizeof(CK INTERFACE));
2349
         rv = C GetInterfaceList(interfaceList, &ulCount);
2350
         for(i=0;i<ulCount;i++) {</pre>
2351
           printf("interface %s version %d.%d funcs %p flags 0x%lu\n",
2352
             interfaceList[i].pInterfaceName,
2353
             ((CK VERSION *)interfaceList[i].pFunctionList)->major,
2354
             ((CK VERSION *)interfaceList[i].pFunctionList)->minor,
2355
               interfaceList[i].pFunctionList,
2356
             interfaceList[i].flags);
2357
         }
2358
       }
2359
```

#### 5.4.6 C\_GetInterface

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**C\_GetInterface** is used to obtain an interface supported by a Cryptoki library. *pInterfaceName* specifies the name of the interface, *pVersion* specifies the interface version, *ppInterface* points to the location that receives the interface, *flags* specifies the required interface flags.

2370 There are multiple ways for an application to specify a particular interface when calling **C GetInterface**:

- 1. If *pInterfaceName* is not NULL\_PTR, the name of the interface returned must match. If *pInterfaceName* is NULL\_PTR, the cryptoki library can return a default interface of its choice
- 2. If *pVersion* is not NULL\_PTR, the version of the interface returned must match. If *pVersion* is NULL\_PTR, the cryptoki library can return an interface of any version
- 3. If *flags* is non-zero, the interface returned must match all of the supplied flag values (but may include additional flags not specified). If *flags* is 0, the cryptoki library can return an interface with any flags
- **C\_GetInterface** obtains (in \*pFunctionList of each interface) a pointer to the Cryptoki library's list of function pointers. The pointer thus obtained may point into memory which is owned by the Cryptoki library, and which may or may not be writable. Whether or not this is the case, no attempt should be made to write to this memory. The same caveat applies to the interface names returned.
- C\_GetFunctionList, C\_GetInterfaceList, and C\_GetInterface are the only Cryptoki functions which an application may call before calling C\_Initialize. It is provided to make it easier and faster for applications to use shared Cryptoki libraries and to use more than one Cryptoki library simultaneously.
- 2384 Return values: CKR\_BUFFER\_TOO\_SMALL, CKR\_ARGUMENTS\_BAD, CKR\_FUNCTION\_FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK.
- 2386 Example:

```
2387 CK_INTERFACE_PTR interface;
2388 CK_RV rv;
2389 CK_VERSION version;
2390 CK_FLAGS flags=CKF_FORK_SAFE_INTERFACE;
2391
```

```
2392
      /* get default interface */
2393
       rv = C GetInterface(NULL, NULL, &interface, flags);
2394
       if (rv == CKR OK) {
2395
         printf("interface %s version %d.%d funcs %p flags 0x%lu\n",
2396
             interface->pInterfaceName,
2397
             ((CK VERSION *)interface->pFunctionList)->major,
2398
             ((CK VERSION *)interface->pFunctionList)->minor,
2399
             interface->pFunctionList,
2400
             interface->flags);
2401
2402
2403
       /* get default standard interface */
       rv = C GetInterface((CK UTF8CHAR PTR)"PKCS 11", NULL, &interface, flags);
2404
2405
       if (rv == CKR OK) {
2406
         printf("interface %s version %d.%d funcs %p flags 0x%lu\n",
2407
             interface->pInterfaceName,
2408
             ((CK VERSION *)interface->pFunctionList)->major,
2409
             ((CK VERSION *)interface->pFunctionList)->minor,
2410
             interface->pFunctionList,
2411
             interface->flags);
2412
2413
2414
       /* get specific standard version interface */
2415
      version.major=3;
2416
      version.minor=0;
2417
      rv = C GetInterface((CK UTF8CHAR PTR)"PKCS 11", &version, &interface, flags);
2418
       if (rv == CKR OK) {
2419
         CK FUNCTION LIST 3 0 PTR pkcs11=interface->pFunctionList;
2420
2421
         /* ... use the new functions */
2422
        pkcs11->C LoginUser(hSession,userType,pPin,ulPinLen,
2423
                                                           pUsername, ulUsernameLen);
2424
2425
2426
       /* get specific vendor version interface */
2427
      version.major=1;
2428
       version.minor=0;
2429
      rv = C GetInterface((CK UTF8CHAR PTR)
2430
                                "Vendor VendorName", &version, &interface, flags);
2431
      if (rv == CKR OK) {
2432
         CK FUNCTION LIST VENDOR 1 0 PTR pkcs11=interface->pFunctionList;
2433
2434
         /* ... use vendor specific functions */
```

```
2435
         pkcs11->C VendorFunction1(param1, param2, param3);
2436
       }
2437
```

#### 5.5 Slot and token management functions 2438

2439 Cryptoki provides the following functions for slot and token management:

#### 5.5.1 C GetSlotList 2440

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2470 2471

```
2441
       CK DECLARE FUNCTION (CK RV, C GetSlotList) (
2442
             CK BBOOL tokenPresent,
2443
             CK SLOT ID PTR pSlotList,
2444
             CK ULONG PTR pulCount
2445
       );
```

C GetSlotList is used to obtain a list of slots in the system. tokenPresent indicates whether the list obtained includes only those slots with a token present (CK\_TRUE), or all slots (CK\_FALSE); pulCount points to the location that receives the number of slots.

There are two ways for an application to call **C\_GetSlotList**:

- 1. If pSlotList is NULL PTR, then all that C\_GetSlotList does is return (in \*pulCount) the number of slots, without actually returning a list of slots. The contents of the buffer pointed to by *pulCount* on entry to **C** GetSlotList has no meaning in this case, and the call returns the value CKR OK.
- 2. If pSlotList is not NULL PTR, then \*pulCount MUST contain the size (in terms of CK SLOT ID elements) of the buffer pointed to by pSlotList. If that buffer is large enough to hold the list of slots, then the list is returned in it, and CKR OK is returned. If not, then the call to C\_GetSlotList returns the value CKR BUFFER TOO SMALL. In either case, the value \*pulCount is set to hold the number of slots.

Because C GetSlotList does not allocate any space of its own, an application will often call C\_GetSlotList twice (or sometimes even more times—if an application is trying to get a list of all slots with a token present, then the number of such slots can (unfortunately) change between when the application asks for how many such slots there are and when the application asks for the slots themselves). However, multiple calls to C GetSlotList are by no means required.

All slots which **C\_GetSlotList** reports MUST be able to be queried as valid slots by **C\_GetSlotInfo**. Furthermore, the set of slots accessible through a Cryptoki library is checked at the time that C GetSlotList, for list length prediction (NULL pSlotList argument) is called. If an application calls C GetSlotList with a non-NULL pSlotList, and then the user adds or removes a hardware device, the changed slot list will only be visible and effective if C GetSlotList is called again with NULL. Even if C GetSlotList is successfully called this way, it may or may not be the case that the changed slot list will be successfully recognized depending on the library implementation. On some platforms, or earlier PKCS11 compliant libraries, it may be necessary to successfully call **C Initialize** or to restart the entire system.

2472 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL, 2473

CKR CRYPTOKI NOT INITIALIZED, CKR FUNCTION FAILED, CKR GENERAL ERROR,

2474 CKR HOST MEMORY, CKR OK.

2475 Example:

```
2476
       CK ULONG ulSlotCount, ulSlotWithTokenCount;
2477
       CK SLOT ID PTR pSlotList, pSlotWithTokenList;
2478
       CK RV rv;
2479
2480
       /* Get list of all slots */
```

```
2481
       rv = C GetSlotList(CK FALSE, NULL PTR, &ulSlotCount);
2482
      if (rv == CKR OK) {
2483
         pSlotList =
2484
           (CK SLOT ID PTR) malloc(ulSlotCount*sizeof(CK SLOT ID));
2485
         rv = C GetSlotList(CK FALSE, pSlotList, &ulSlotCount);
2486
         if (rv == CKR OK) {
2487
           /* Now use that list of all slots */
2488
2489
2490
         }
2491
2492
         free(pSlotList);
2493
       }
2494
2495
       /* Get list of all slots with a token present */
2496
       pSlotWithTokenList = (CK SLOT ID PTR) malloc(0);
2497
       ulSlotWithTokenCount = 0;
2498
       while (1) {
2499
         rv = C GetSlotList(
2500
           CK TRUE, pSlotWithTokenList, ulSlotWithTokenCount);
2501
         if (rv != CKR BUFFER TOO SMALL)
2502
           break;
2503
         pSlotWithTokenList = realloc(
2504
           pSlotWithTokenList,
2505
           ulSlotWithTokenList*sizeof(CK SLOT ID));
2506
2507
2508
       if (rv == CKR OK) {
2509
         /* Now use that list of all slots with a token present */
2510
2511
2512
2513
2514
       free(pSlotWithTokenList);
```

#### 5.5.2 C GetSlotInfo

2515

2520

2521

```
2516 CK_DECLARE_FUNCTION(CK_RV, C_GetSlotInfo)(
2517 CK_SLOT_ID slotID,
2518 CK_SLOT_INFO_PTR pInfo
2519 );
```

**C\_GetSlotInfo** obtains information about a particular slot in the system. *slotID* is the ID of the slot; *pInfo* points to the location that receives the slot information.

- 2522 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 2523 CKR\_DEVICE\_ERROR, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY,
- 2524 CKR\_OK, CKR\_SLOT\_ID\_INVALID.
- 2525 Example: see **C\_GetTokenInfo.**

#### 2526 5.5.3 C GetTokenInfo

```
2527 CK_DECLARE_FUNCTION(CK_RV, C_GetTokenInfo)(
2528 CK_SLOT_ID slotID,
2529 CK_TOKEN_INFO_PTR pInfo
2530 );
```

- 2531 **C\_GetTokenInfo** obtains information about a particular token in the system. *slotID* is the ID of the token's slot; *pInfo* points to the location that receives the token information.
- 2533 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 2534 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 2535 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_SLOT\_ID\_INVALID, CKR\_TOKEN\_NOT\_PRESENT,
- 2536 CKR\_TOKEN\_NOT\_RECOGNIZED, CKR\_ARGUMENTS BAD.

## 2537 Example:

```
2538
       CK ULONG ulCount;
2539
       CK SLOT ID PTR pSlotList;
2540
       CK SLOT INFO slotInfo;
2541
       CK TOKEN INFO tokenInfo;
2542
       CK RV rv;
2543
2544
       rv = C GetSlotList(CK FALSE, NULL PTR, &ulCount);
2545
       if ((rv == CKR OK) \&\& (ulCount > 0)) {
2546
         pSlotList = (CK SLOT ID PTR) malloc(ulCount*sizeof(CK SLOT ID));
2547
         rv = C GetSlotList(CK FALSE, pSlotList, &ulCount);
2548
         assert(rv == CKR OK);
2549
2550
         /* Get slot information for first slot */
2551
         rv = C GetSlotInfo(pSlotList[0], &slotInfo);
2552
         assert(rv == CKR OK);
2553
2554
         /* Get token information for first slot */
2555
         rv = C GetTokenInfo(pSlotList[0], &tokenInfo);
2556
         if (rv == CKR TOKEN NOT PRESENT) {
2557
2558
2559
2560
2561
2562
         free (pSlotList);
2563
```

## 5.5.4 C\_WaitForSlotEvent

```
2565 CK_DECLARE_FUNCTION(CK_RV, C_WaitForSlotEvent)(
2566 CK_FLAGS flags,
2567 CK_SLOT_ID_PTR pSlot,
2568 CK_VOID_PTR pReserved
2569 );
```

- C\_WaitForSlotEvent waits for a slot event, such as token insertion or token removal, to occur. *flags* determines whether or not the C\_WaitForSlotEvent call blocks (*i.e.*, waits for a slot event to occur); *pSlot* points to a location which will receive the ID of the slot that the event occurred in. *pReserved* is reserved for future versions; for this version of Cryptoki, it should be NULL\_PTR.
- 2574 At present, the only flag defined for use in the *flags* argument is **CKF\_DONT\_BLOCK**:
- Internally, each Cryptoki application has a flag for each slot which is used to track whether or not any unrecognized events involving that slot have occurred. When an application initially calls **C\_Initialize**, every slot's event flag is cleared. Whenever a slot event occurs, the flag corresponding to the slot in which the event occurred is set.
- If **C\_WaitForSlotEvent** is called with the **CKF\_DONT\_BLOCK** flag set in the *flags* argument, and some slot's event flag is set, then that event flag is cleared, and the call returns with the ID of that slot in the location pointed to by *pSlot*. If more than one slot's event flag is set at the time of the call, one such slot is chosen by the library to have its event flag cleared and to have its slot ID returned.
- 2583 If **C\_WaitForSlotEvent** is called with the **CKF\_DONT\_BLOCK** flag set in the *flags* argument, and no 2584 slot's event flag is set, then the call returns with the value CKR\_NO\_EVENT. In this case, the contents of 2585 the location pointed to by *pSlot* when **C\_WaitForSlotEvent** are undefined.
- If **C\_WaitForSlotEvent** is called with the **CKF\_DONT\_BLOCK** flag clear in the *flags* argument, then the call behaves as above, except that it will block. That is, if no slot's event flag is set at the time of the call, **C\_WaitForSlotEvent** will wait until some slot's event flag becomes set. If a thread of an application has a **C\_WaitForSlotEvent** call blocking when another thread of that application calls **C\_Finalize**, the **C\_WaitForSlotEvent** call returns with the value CKR CRYPTOKI NOT INITIALIZED.
- Although the parameters supplied to **C\_Initialize** can in general allow for safe multi-threaded access to a Cryptoki library, **C\_WaitForSlotEvent** is exceptional in that the behavior of Cryptoki is undefined if multiple threads of a single application make simultaneous calls to **C WaitForSlotEvent**.
- 2594 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 2595 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_NO\_EVENT,
- 2596 CKR\_OK.
- 2597 Example:

```
2598
       CK FLAGS flags = 0;
2599
       CK SLOT ID slotID;
2600
       CK SLOT INFO slotInfo;
2601
2602
2603
2604
       /* Block and wait for a slot event */
2605
       rv = C WaitForSlotEvent(flags, &slotID, NULL PTR);
2606
       assert(rv == CKR OK);
2607
2608
       /* See what's up with that slot */
2609
       rv = C GetSlotInfo(slotID, &slotInfo);
2610
       assert(rv == CKR OK);
```

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#### 5.5.5 C GetMechanismList

```
2613 CK_DECLARE_FUNCTION(CK_RV, C_GetMechanismList)(
2614 CK_SLOT_ID slotID,
2615 CK_MECHANISM_TYPE_PTR pMechanismList,
2616 CK_ULONG_PTR pulCount
2617 );
```

2618 **C\_GetMechanismList** is used to obtain a list of mechanism types supported by a token. *SlotID* is the ID of the token's slot; *pulCount* points to the location that receives the number of mechanisms.

There are two ways for an application to call **C\_GetMechanismList**:

- 1. If pMechanismList is NULL\_PTR, then all that C\_GetMechanismList does is return (in \*pulCount) the number of mechanisms, without actually returning a list of mechanisms. The contents of \*pulCount on entry to C\_GetMechanismList has no meaning in this case, and the call returns the value CKR OK.
- 2. If pMechanismList is not NULL\_PTR, then \*pulCount MUST contain the size (in terms of CK\_MECHANISM\_TYPE elements) of the buffer pointed to by pMechanismList. If that buffer is large enough to hold the list of mechanisms, then the list is returned in it, and CKR\_OK is returned. If not, then the call to C\_GetMechanismList returns the value CKR\_BUFFER\_TOO\_SMALL. In either case, the value \*pulCount\* is set to hold the number of mechanisms.
- Because **C\_GetMechanismList** does not allocate any space of its own, an application will often call **C\_GetMechanismList** twice. However, this behavior is by no means required.
- 2632 Return values: CKR\_BUFFER\_TOO\_SMALL, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 2633 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 2634 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK,
- 2635 CKR\_SLOT\_ID\_INVALID, CKR\_TOKEN\_NOT\_PRESENT, CKR\_TOKEN\_NOT\_RECOGNIZED,
- 2636 CKR ARGUMENTS BAD.

#### 2637 Example:

```
2638
       CK SLOT ID slotID;
2639
       CK ULONG ulCount;
2640
       CK MECHANISM TYPE PTR pMechanismList;
2641
       CK RV rv;
2642
2643
2644
2645
       rv = C GetMechanismList(slotID, NULL PTR, &ulCount);
2646
       if ((rv == CKR OK) \&\& (ulCount > 0)) {
2647
         pMechanismList =
2648
           (CK MECHANISM TYPE PTR)
2649
           malloc(ulCount*sizeof(CK MECHANISM TYPE));
2650
         rv = C GetMechanismList(slotID, pMechanismList, &ulCount);
2651
         if (rv == CKR OK) {
2652
2653
2654
2655
         free(pMechanismList);
```

## 5.5.6 C GetMechanismInfo

```
2657 CK_DECLARE_FUNCTION(CK_RV, C_GetMechanismInfo)(
2658 CK_SLOT_ID slotID,
2659 CK_MECHANISM_TYPE type,
2660 CK_MECHANISM_INFO_PTR pInfo
2661 );
```

2662 **C\_GetMechanismInfo** obtains information about a particular mechanism possibly supported by a token.
2663 *slotID* is the ID of the token's slot; *type* is the type of mechanism; *plnfo* points to the location that receives
2664 the mechanism information.

2665 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, 2666 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, 2667 CKR HOST MEMORY, CKR MECHANISM INVALID, CKR OK, CKR SLOT ID INVALID.

2668 CKR TOKEN NOT PRESENT, CKR TOKEN NOT RECOGNIZED, CKR ARGUMENTS BAD.

2669 Example:

2684

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2694 2695

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```
2670
       CK SLOT ID slotID;
2671
       CK MECHANISM INFO info;
2672
       CK RV rv;
2673
2674
2675
2676
       /* Get information about the CKM MD2 mechanism for this token */
2677
       rv = C GetMechanismInfo(slotID, CKM MD2, &info);
2678
       if (rv == CKR OK) {
2679
         if (info.flags & CKF DIGEST) {
2680
2681
2682
         }
2683
```

#### 5.5.7 C InitToken

```
CK_DECLARE_FUNCTION(CK_RV, C_InitToken)(

CK_SLOT_ID slotID,

CK_UTF8CHAR_PTR pPin,

CK_ULONG ulPinLen,

CK_UTF8CHAR_PTR pLabel

CK_UTF8CHAR_PTR pLabel
```

**C\_InitToken** initializes a token. *slotID* is the ID of the token's slot; *pPin* points to the SO's initial PIN (which need *not* be null-terminated); *ulPinLen* is the length in bytes of the PIN; *pLabel* points to the 32-byte label of the token (which MUST be padded with blank characters, and which MUST *not* be null-terminated). This standard allows PIN values to contain any valid UTF8 character, but the token may impose subset restrictions.

If the token has not been initialized (i.e. new from the factory), then the pPin parameter becomes the initial value of the SO PIN. If the token is being reinitialized, the pPin parameter is checked against the existing SO PIN to authorize the initialization operation. In both cases, the SO PIN is the value pPin after the function completes successfully. If the SO PIN is lost, then the card MUST be reinitialized using a

- 2700 mechanism outside the scope of this standard. The **CKF\_TOKEN\_INITIALIZED** flag in the
- 2701 **CK\_TOKEN\_INFO** structure indicates the action that will result from calling **C\_InitToken**. If set, the token will be reinitialized, and the client MUST supply the existing SO password in *pPin*.
- 2703 When a token is initialized, all objects that can be destroyed are destroyed (i.e., all except for
- 2704 "indestructible" objects such as keys built into the token). Also, access by the normal user is disabled
- until the SO sets the normal user's PIN. Depending on the token, some "default" objects may be created,
- and attributes of some objects may be set to default values.
- 2707 If the token has a "protected authentication path", as indicated by the
- 2708 **CKF\_PROTECTED\_AUTHENTICATION\_PATH** flag in its **CK\_TOKEN\_INFO** being set, then that means
- that there is some way for a user to be authenticated to the token without having the application send a
- 2710 PIN through the Cryptoki library. One such possibility is that the user enters a PIN on a PINpad on the
- token itself, or on the slot device. To initialize a token with such a protected authentication path, the *pPin*
- parameter to **C\_InitToken** should be NULL\_PTR. During the execution of **C\_InitToken**, the SO's PIN will
- be entered through the protected authentication path.
- 2714 If the token has a protected authentication path other than a PINpad, then it is token-dependent whether
- 2715 or not **C\_InitToken** can be used to initialize the token.
- 2716 A token cannot be initialized if Cryptoki detects that *any* application has an open session with it; when a
- 2717 call to **C\_InitToken** is made under such circumstances, the call fails with error CKR\_SESSION\_EXISTS.
- 2718 Unfortunately, it may happen when **C\_InitToken** is called that some other application *does* have an open
- 2719 session with the token, but Cryptoki cannot detect this, because it cannot detect anything about other
- applications using the token. If this is the case, then the consequences of the **C\_InitToken** call are
- 2721 undefined.
- The **C\_InitToken** function may not be sufficient to properly initialize complex tokens. In these situations,
- 2723 an initialization mechanism outside the scope of Cryptoki MUST be employed. The definition of "complex
- token" is product specific.
- 2725 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 2726 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 2727 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR PIN INCORRECT,
- 2728 CKR\_PIN\_LOCKED, CKR\_SESSION\_EXISTS, CKR\_SLOT\_ID\_INVALID,
- 2729 CKR\_TOKEN\_NOT\_PRESENT, CKR\_TOKEN\_NOT\_RECOGNIZED,
- 2730 CKR TOKEN WRITE PROTECTED, CKR ARGUMENTS BAD.
- 2731 Example:

```
2732
       CK SLOT ID slotID;
2733
       CK UTF8CHAR PTR pin = "MyPIN";
2734
       CK UTF8CHAR label[32];
2735
       CK RV rv;
2736
2737
2738
2739
       memset(label, '', sizeof(label));
2740
       memcpy(label, "My first token", strlen("My first token"));
2741
       rv = C InitToken(slotID, pin, strlen(pin), label);
2742
       if (rv == CKR OK) {
2743
2744
2745
```

#### 5.5.8 C InitPIN

2746

```
2747 CK_DECLARE_FUNCTION(CK_RV, C_InitPIN)(
2748 CK_SESSION_HANDLE hSession,
2749 CK_UTF8CHAR_PTR pPin,
2750 CK_ULONG ulPinLen
2751 );
```

- C\_InitPIN initializes the normal user's PIN. hSession is the session's handle; pPin points to the normal user's PIN; ulPinLen is the length in bytes of the PIN. This standard allows PIN values to contain any valid UTF8 character, but the token may impose subset restrictions.
- 2755 **C\_InitPIN** can only be called in the "R/W SO Functions" state. An attempt to call it from a session in any other state fails with error CKR\_USER\_NOT\_LOGGED\_IN.
- 2757 If the token has a "protected authentication path", as indicated by the
- 2758 CKF\_PROTECTED\_AUTHENTICATION\_PATH flag in its **CK\_TOKEN\_INFO** being set, then that means
- that there is some way for a user to be authenticated to the token without having to send a PIN through
- the Cryptoki library. One such possibility is that the user enters a PIN on a PIN pad on the token itself, or
- on the slot device. To initialize the normal user's PIN on a token with such a protected authentication
- path, the *pPin* parameter to **C\_InitPIN** should be NULL\_PTR. During the execution of **C\_InitPIN**, the SO will enter the new PIN through the protected authentication path.
- 2764 If the token has a protected authentication path other than a PIN pad, then it is token-dependent whether or not **C InitPIN** can be used to initialize the normal user's token access.
- 2766 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 2767 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 2768 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR PIN INVALID,
- 2769 CKR PIN LEN RANGE, CKR SESSION CLOSED, CKR SESSION READ ONLY,
- 2770 CKR\_SESSION\_HANDLE\_INVALID, CKR\_TOKEN\_WRITE\_PROTECTED,
- 2771 CKR USER NOT LOGGED IN, CKR ARGUMENTS BAD.
- 2772 Example:

2782

#### 5.5.9 C SetPIN

C\_SetPIN modifies the PIN of the user that is currently logged in, or the CKU\_USER PIN if the session is not logged in. hSession is the session's handle; pOldPin points to the old PIN; ulOldLen is the length in bytes of the old PIN; pNewPin points to the new PIN; ulNewLen is the length in bytes of the new PIN. This

- 2793 standard allows PIN values to contain any valid UTF8 character, but the token may impose subset 2794 restrictions.
- 2795 **C\_SetPIN** can only be called in the "R/W Public Session" state, "R/W SO Functions" state, or "R/W User
- 2796 Functions" state. An attempt to call it from a session in any other state fails with error
- 2797 CKR SESSION READ ONLY.
- 2798 If the token has a "protected authentication path", as indicated by the
- 2799 CKF\_PROTECTED\_AUTHENTICATION\_PATH flag in its **CK\_TOKEN\_INFO** being set, then that means
- that there is some way for a user to be authenticated to the token without having to send a PIN through
- the Cryptoki library. One such possibility is that the user enters a PIN on a PIN pad on the token itself, or
- on the slot device. To modify the current user's PIN on a token with such a protected authentication path,
- 2803 the pOldPin and pNewPin parameters to C\_SetPIN should be NULL PTR. During the execution of
- 2804 **C\_SetPIN**, the current user will enter the old PIN and the new PIN through the protected authentication
- path. It is not specified how the PIN pad should be used to enter *two* PINs; this varies.
- 2806 If the token has a protected authentication path other than a PIN pad, then it is token-dependent whether
- or not **C\_SetPIN** can be used to modify the current user's PIN.
- 2808 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 2809 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 2810 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR PIN INCORRECT,
- 2811 CKR PIN INVALID, CKR PIN LEN RANGE, CKR PIN LOCKED, CKR SESSION CLOSED,
- 2812 CKR\_SESSION\_HANDLE\_INVALID, CKR\_SESSION\_READ\_ONLY,
- 2813 CKR TOKEN WRITE PROTECTED, CKR ARGUMENTS BAD.
- 2814 Example:

```
2815
       CK SESSION HANDLE hSession;
2816
       CK UTF8CHAR oldPin[] = {"OldPIN"};
2817
       CK UTF8CHAR newPin[] = {"NewPIN"};
2818
       CK RV rv;
2819
2820
       rv = C SetPIN(
2821
         hSession, oldPin, sizeof(oldPin)-1, newPin, sizeof(newPin)-1);
2822
       if (rv == CKR OK) {
2823
2824
2825
```

# **5.6 Session management functions**

- A typical application might perform the following series of steps to make use of a token (note that there are other reasonable sequences of events that an application might perform):
- 2829 1. Select a token.

- 2830 2. Make one or more calls to **C\_OpenSession** to obtain one or more sessions with the token.
- 2831 3. Call **C\_Login** to log the user into the token. Since all sessions an application has with a token have a shared login state, **C\_Login** only needs to be called for one of the sessions.
- 2833 4. Perform cryptographic operations using the sessions with the token.
- 2834 5. Call **C\_CloseSession** once for each session that the application has with the token, or call **C CloseAllSessions** to close all the application's sessions simultaneously.
- As has been observed, an application may have concurrent sessions with more than one token. It is also possible for a token to have concurrent sessions with more than one application.
- 2838 Cryptoki provides the following functions for session management:

## 5.6.1 C OpenSession

2839

```
Z840 CK_DECLARE_FUNCTION(CK_RV, C_OpenSession)(
Z841 CK_SLOT_ID slotID,
Z842 CK_FLAGS flags,
Z843 CK_VOID_PTR pApplication,
Z844 CK_NOTIFY Notify,
Z845 CK_SESSION_HANDLE_PTR phSession
Z846 );
```

- 2847 **C\_OpenSession** opens a session between an application and a token in a particular slot. *slotID* is the slot's ID; *flags* indicates the type of session; *pApplication* is an application-defined pointer to be passed to the notification callback; *Notify* is the address of the notification callback function (see Section 5.21); *phSession* points to the location that receives the handle for the new session.
- When opening a session with **C\_OpenSession**, the *flags* parameter consists of the logical OR of zero or more bit flags defined in the **CK\_SESSION\_INFO** data type. For legacy reasons, the
- 2853 **CKF\_SERIAL\_SESSION** bit MUST always be set; if a call to **C\_OpenSession** does not have this bit set,
- the call should return unsuccessfully with the error code
- 2855 CKR\_SESSION\_PARALLEL\_NOT\_SUPPORTED.
- 2856 There may be a limit on the number of concurrent sessions an application may have with the token, which
- 2857 may depend on whether the session is "read-only" or "read/write". An attempt to open a session which
- 2858 does not succeed because there are too many existing sessions of some type should return
- 2859 CKR\_SESSION\_COUNT.
- 2860 If the token is write-protected (as indicated in the **CK\_TOKEN\_INFO** structure), then only read-only
- 2861 sessions may be opened with it.
- 2862 If the application calling **C\_OpenSession** already has a R/W SO session open with the token, then any
- 2863 attempt to open a R/O session with the token fails with error code
- 2864 CKR SESSION READ WRITE SO EXISTS (see [PKCS11-UG] for further details).
- 2865 The Notify callback function is used by Cryptoki to notify the application of certain events. If the
- 2866 application does not wish to support callbacks, it should pass a value of NULL PTR as the Notify
- parameter. See Section 5.21 for more information about application callbacks.
- 2868 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 2869 CKR DEVICE REMOVED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 2870 CKR HOST MEMORY, CKR OK, CKR SESSION COUNT,
- 2871 CKR SESSION PARALLEL NOT SUPPORTED, CKR SESSION READ WRITE SO EXISTS,
- 2872 CKR\_SLOT\_ID\_INVALID, CKR\_TOKEN\_NOT\_PRESENT, CKR\_TOKEN\_NOT\_RECOGNIZED,
- 2873 CKR TOKEN WRITE PROTECTED, CKR ARGUMENTS BAD.
- 2874 Example: see C CloseSession.

2875

#### 5.6.2 C CloseSession

```
2876 CK_DECLARE_FUNCTION(CK_RV, C_CloseSession)(
2877 CK_SESSION_HANDLE hSession
2878 );
```

- 2879 **C\_CloseSession** closes a session between an application and a token. *hSession* is the session's handle.
- When a session is closed, all session objects created by the session are destroyed automatically, even if the application has other sessions "using" the objects (see [PKCS11-UG] for further details).
- 2883 If this function is successful and it closes the last session between the application and the token, the login 2884 state of the token for the application returns to public sessions. Any new sessions to the token opened by 2885 the application will be either R/O Public or R/W Public sessions.
- Depending on the token, when the last open session any application has with the token is closed, the token may be "ejected" from its reader (if this capability exists).

- 2888 Despite the fact this **C\_CloseSession** is supposed to close a session, the return value
- 2889 CKR\_SESSION\_CLOSED is an *error* return. It actually indicates the (probably somewhat unlikely) event
- 2890 that while this function call was executing, another call was made to **C\_CloseSession** to close this
- 2891 particular session, and that call finished executing first. Such uses of sessions are a bad idea, and
- 2892 Cryptoki makes little promise of what will occur in general if an application indulges in this sort of
- 2893 behavior.
- 2894 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 2895 CKR DEVICE REMOVED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 2896 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.
- 2897 Example:

```
2898
       CK SLOT ID slotID;
2899
       CK BYTE application;
2900
       CK NOTIFY MyNotify;
2901
       CK SESSION HANDLE hSession;
2902
       CK RV rv;
2903
2904
2905
2906
       application = 17;
2907
       MyNotify = &EncryptionSessionCallback;
2908
       rv = C OpenSession(
2909
         slotid, CKF SERIAL SESSION | CKF RW SESSION,
2910
                    (CK VOID PTR) & application, MyNotify,
2911
         &hSession);
2912
       if (rv == CKR OK) {
2913
2914
2915
         C CloseSession(hSession);
2916
```

#### 5.6.3 C CloseAllSessions

```
2918 CK_DECLARE_FUNCTION(CK_RV, C_CloseAllSessions)(
2919 CK_SLOT_ID slotID
2920 );
```

- 2921 **C\_CloseAllSessions** closes all sessions an application has with a token. *slotID* specifies the token's slot.
- 2922 When a session is closed, all session objects created by the session are destroyed automatically.
- 2923 After successful execution of this function, the login state of the token for the application returns to public
- sessions. Any new sessions to the token opened by the application will be either R/O Public or R/W
- 2925 Public sessions.

- Depending on the token, when the last open session any application has with the token is closed, the
- token may be "ejected" from its reader (if this capability exists).
- 2928 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 2929 CKR DEVICE REMOVED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 2930 CKR HOST MEMORY, CKR OK, CKR SLOT ID INVALID, CKR TOKEN NOT PRESENT.
- 2931 Example:

```
2932 CK_SLOT_ID slotID;
```

```
2933 | CK_RV rv;

2934 |

2935 | .

2936 | .

2937 | rv = C_CloseAllSessions(slotID);
```

#### 2938 5.6.4 C GetSessionInfo

```
2939 CK_DECLARE_FUNCTION(CK_RV, C_GetSessionInfo)(
2940 CK_SESSION_HANDLE hSession,
2941 CK_SESSION_INFO_PTR pInfo
2942 );
```

- 2943 **C\_GetSessionInfo** obtains information about a session. *hSession* is the session's handle; *pInfo* points to the location that receives the session information.
- 2945 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 2946 CKR DEVICE REMOVED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 2947 CKR HOST MEMORY, CKR OK, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID,
- 2948 CKR ARGUMENTS BAD.
- 2949 Example:

2965

```
2950
       CK SESSION HANDLE hSession;
2951
       CK SESSION INFO info;
2952
       CK RV rv;
2953
2954
2955
2956
       rv = C GetSessionInfo(hSession, &info);
2957
       if (rv == CKR OK) {
2958
         if (info.state == CKS RW USER FUNCTIONS) {
2959
2960
2961
         }
2962
2963
2964
```

#### 5.6.5 C SessionCancel

```
2966 CK_DECLARE_FUNCTION(CK_RV, C_SessionCancel)(
2967 CK_SESSION_HANDLE hSession
2968 CK_FLAGS flags
2969 );
```

- 2970 **C\_SessionCancel** terminates active session based operations. *hSession* is the session's handle; *flags* 2971 indicates the operations to cancel.
- To identify which operation(s) should be terminated, the *flags* parameter should be assigned the logical bitwise OR of one or more of the bit flags defined in the **CK\_MECHANISM\_INFO** structure.
- 2974 If no flags are set, the session state will not be modified and CKR OK will be returned.
- If a flag is set for an operation that has not been initialized in the session, the operation flag will be ignored and **C\_SessionCancel** will behave as if the operation flag was not set.

2977 If any of the operations indicated by the *flags* parameter cannot be cancelled,

2978 CKR\_OPERATION\_CANCEL\_FAILED must be returned. If multiple operation flags were set and
2979 CKR\_OPERATION\_CANCEL\_FAILED is returned, this function does not provide any information about
2980 which operation(s) could not be cancelled. If an application desires to know if any single operation could
2981 not be cancelled, the application should not call **C SessionCancel** with multiple flags set.

2982 If **C\_SessionCancel** is called from an application callback (see Section 5.16), no action will be taken by the library and CKR\_FUNCTION\_FAILED must be returned.

If **C\_SessionCancel** is used to cancel one half of a dual-function operation, the remaining operation should still be left in an active state. However, it is expected that some Cryptoki implementations may not support this and return CKR\_OPERATION\_CANCEL\_FAILED unless flags for both operations are provided.

#### Example:

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3019

```
2990
       CK SESSION HANDLE hSession;
2991
       CK RV rv;
2992
2993
       rv = C EncryptInit(hSession, &mechanism, hKey);
2994
       if (rv != CKR OK)
2995
       {
2996
2997
2998
       }
2999
3000
       rv = C SessionCancel (hSession, CKF ENCRYPT);
3001
       if (rv != CKR OK)
3002
3003
3004
3005
       }
3006
3007
       rv = C EncryptInit(hSession, &mechanism, hKey);
3008
       if (rv != CKR OK)
3009
3010
3011
3012
3013
```

Below are modifications to existing API descriptions to allow an alternate method of cancelling individual operations. The additional text is highlighted.

#### 5.6.6 C\_GetOperationState

```
3020 CK_DECLARE_FUNCTION(CK_RV, C_GetOperationState)(
3021 CK_SESSION_HANDLE hSession,
```

```
3022 CK_BYTE_PTR pOperationState,
3023 CK_ULONG_PTR pulOperationStateLen
3024 );
```

3025 **C\_GetOperationState** obtains a copy of the cryptographic operations state of a session, encoded as a 3026 string of bytes. *hSession* is the session's handle; *pOperationState* points to the location that receives the 3027 state; *pulOperationStateLen* points to the location that receives the length in bytes of the state.

Although the saved state output by **C\_GetOperationState** is not really produced by a "cryptographic mechanism", **C\_GetOperationState** nonetheless uses the convention described in Section 5.2 on producing output.

Precisely what the "cryptographic operations state" this function saves is varies from token to token; however, this state is what is provided as input to **C\_SetOperationState** to restore the cryptographic activities of a session.

Consider a session which is performing a message digest operation using SHA-1 (*i.e.*, the session is using the **CKM\_SHA\_1** mechanism). Suppose that the message digest operation was initialized properly, and that precisely 80 bytes of data have been supplied so far as input to SHA-1. The application now wants to "save the state" of this digest operation, so that it can continue it later. In this particular case, since SHA-1 processes 512 bits (64 bytes) of input at a time, the cryptographic operations state of the session most likely consists of three distinct parts: the state of SHA-1's 160-bit internal chaining variable; the 16 bytes of unprocessed input data; and some administrative data indicating that this saved state comes from a session which was performing SHA-1 hashing. Taken together, these three pieces of information suffice to continue the current hashing operation at a later time.

Consider next a session which is performing an encryption operation with DES (a block cipher with a block size of 64 bits) in CBC (cipher-block chaining) mode (*i.e.*, the session is using the **CKM\_DES\_CBC** mechanism). Suppose that precisely 22 bytes of data (in addition to an IV for the CBC mode) have been supplied so far as input to DES, which means that the first two 8-byte blocks of ciphertext have already been produced and output. In this case, the cryptographic operations state of the session most likely consists of three or four distinct parts: the second 8-byte block of ciphertext (this will be used for cipher-block chaining to produce the next block of ciphertext); the 6 bytes of data still awaiting encryption; some administrative data indicating that this saved state comes from a session which was performing DES encryption in CBC mode; and possibly the DES key being used for encryption (see **C\_SetOperationState** for more information on whether or not the key is present in the saved state).

If a session is performing two cryptographic operations simultaneously (see Section 5.14), then the cryptographic operations state of the session will contain all the necessary information to restore both operations.

An attempt to save the cryptographic operations state of a session which does not currently have some active savable cryptographic operation(s) (encryption, decryption, digesting, signing without message recovery, verification without message recovery, or some legal combination of two of these) should fail with the error CKR OPERATION NOT INITIALIZED.

An attempt to save the cryptographic operations state of a session which is performing an appropriate cryptographic operation (or two), but which cannot be satisfied for any of various reasons (certain necessary state information and/or key information can't leave the token, for example) should fail with the error CKR\_STATE\_UNSAVEABLE.

3065 Return values: CKR\_BUFFER\_TOO\_SMALL, CKR\_CRYPTOKI\_NOT\_INITIALIZED,

3066 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,

3067 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK,

3068 CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID,

3069 CKR STATE UNSAVEABLE, CKR ARGUMENTS BAD.

3070 Example: see **C\_SetOperationState**.

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## **5.6.7 C\_SetOperationState**

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```
3072 CK_DECLARE_FUNCTION(CK_RV, C_SetOperationState)(
3073 CK_SESSION_HANDLE hSession,
3074 CK_BYTE_PTR pOperationState,
CK_ULONG ulOperationStateLen,
CK_OBJECT_HANDLE hEncryptionKey,
3077 CK_OBJECT_HANDLE hAuthenticationKey
3078 );
```

**C\_SetOperationState** restores the cryptographic operations state of a session from a string of bytes obtained with **C\_GetOperationState**. *hSession* is the session's handle; *pOperationState* points to the location holding the saved state; *ulOperationStateLen* holds the length of the saved state; *hEncryptionKey* holds a handle to the key which will be used for an ongoing encryption or decryption operation in the restored session (or 0 if no encryption or decryption key is needed, either because no such operation is ongoing in the stored session or because all the necessary key information is present in the saved state); *hAuthenticationKey* holds a handle to the key which will be used for an ongoing signature, MACing, or verification operation in the restored session (or 0 if no such key is needed, either because no such operation is ongoing in the stored session or because all the necessary key information is present in the saved state).

The state need not have been obtained from the same session (the "source session") as it is being restored to (the "destination session"). However, the source session and destination session should have a common session state (e.g., CKS\_RW\_USER\_FUNCTIONS), and should be with a common token.

There is also no guarantee that cryptographic operations state may be carried across logins, or across different Cryptoki implementations.

If **C\_SetOperationState** is supplied with alleged saved cryptographic operations state which it can determine is not valid saved state (or is cryptographic operations state from a session with a different session state, or is cryptographic operations state from a different token), it fails with the error CKR\_SAVED\_STATE\_INVALID.

Saved state obtained from calls to **C\_GetOperationState** may or may not contain information about keys in use for ongoing cryptographic operations. If a saved cryptographic operations state has an ongoing encryption or decryption operation, and the key in use for the operation is not saved in the state, then it MUST be supplied to **C\_SetOperationState** in the *hEncryptionKey* argument. If it is not, then **C\_SetOperationState** will fail and return the error CKR\_KEY\_NEEDED. If the key in use for the operation *is* saved in the state, then it *can* be supplied in the *hEncryptionKey* argument, but this is not required.

3105 Similarly, if a saved cryptographic operations state has an ongoing signature, MACing, or verification operation, and the key in use for the operation is not saved in the state, then it MUST be supplied to C\_SetOperationState in the *hAuthenticationKey* argument. If it is not, then C\_SetOperationState will fail with the error CKR\_KEY\_NEEDED. If the key in use for the operation *is* saved in the state, then it *can* be supplied in the *hAuthenticationKey* argument, but this is not required.

- 3110 If an *irrelevant* key is supplied to **C\_SetOperationState** call (*e.g.*, a nonzero key handle is submitted in the *hEncryptionKey* argument, but the saved cryptographic operations state supplied does not have an ongoing encryption or decryption operation, then **C\_SetOperationState** fails with the error 3113 CKR\_KEY\_NOT\_NEEDED.
- 3114 If a key is supplied as an argument to **C\_SetOperationState**, and **C\_SetOperationState** can somehow 3115 detect that this key was not the key being used in the source session for the supplied cryptographic 3116 operations state (it may be able to detect this if the key or a hash of the key is present in the saved state, 3117 for example), then **C SetOperationState** fails with the error CKR KEY CHANGED.
- An application can look at the CKF\_RESTORE\_KEY\_NOT\_NEEDED flag in the flags field of the CK\_TOKEN\_INFO field for a token to determine whether or not it needs to supply key handles to C\_SetOperationState calls. If this flag is true, then a call to C\_SetOperationState never needs a key handle to be supplied to it. If this flag is false, then at least some of the time, C\_SetOperationState requires a key handle, and so the application should probably always pass in any relevant key handles when restoring cryptographic operations state to a session.

- 3124 **C\_SetOperationState** can successfully restore cryptographic operations state to a session even if that
- 3125 session has active cryptographic or object search operations when **C\_SetOperationState** is called (the
- ongoing operations are abruptly cancelled).
- 3127 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 3128 CKR DEVICE REMOVED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 3129 CKR\_HOST\_MEMORY, CKR\_KEY\_CHANGED, CKR\_KEY\_NEEDED, CKR\_KEY\_NOT\_NEEDED,
- 3130 CKR OK, CKR SAVED STATE INVALID, CKR SESSION CLOSED,
- 3131 CKR SESSION HANDLE INVALID, CKR ARGUMENTS BAD.
- 3132 Example:

```
3133
       CK SESSION HANDLE hSession;
3134
       CK MECHANISM digestMechanism;
3135
       CK ULONG ulStateLen;
3136
       CK BYTE data1[] = \{0x01, 0x03, 0x05, 0x07\};
       CK BYTE data2[] = \{0x02, 0x04, 0x08\};
3137
3138
       CK BYTE data3[] = \{0x10, 0x0F, 0x0E, 0x0D, 0x0C\};
3139
       CK BYTE pDigest[20];
3140
       CK ULONG ulDigestLen;
3141
       CK RV rv;
3142
3143
3144
3145
       /* Initialize hash operation */
3146
      rv = C DigestInit(hSession, &digestMechanism);
3147
       assert(rv == CKR OK);
3148
3149
       /* Start hashing */
3150
       rv = C DigestUpdate(hSession, data1, sizeof(data1));
3151
       assert (rv == CKR OK);
3152
3153
       /* Find out how big the state might be */
3154
       rv = C GetOperationState(hSession, NULL PTR, &ulStateLen);
3155
      assert(rv == CKR OK);
3156
3157
       /* Allocate some memory and then get the state */
3158
       pState = (CK BYTE PTR) malloc(ulStateLen);
3159
       rv = C GetOperationState(hSession, pState, &ulStateLen);
3160
3161
       /* Continue hashing */
3162
       rv = C DigestUpdate(hSession, data2, sizeof(data2));
3163
       assert(rv == CKR OK);
3164
3165
       /* Restore state. No key handles needed */
3166
      rv = C SetOperationState(hSession, pState, ulStateLen, 0, 0);
3167
       assert(rv == CKR OK);
```

```
3168
3169
       /* Continue hashing from where we saved state */
3170
       rv = C DigestUpdate(hSession, data3, sizeof(data3));
3171
       assert(rv == CKR OK);
3172
3173
       /* Conclude hashing operation */
3174
       ulDigestLen = sizeof(pDigest);
3175
       rv = C DigestFinal(hSession, pDigest, &ulDigestLen);
3176
       if (rv == CKR OK) {
3177
        /* pDigest[] now contains the hash of 0x01030507100F0E0D0C */
3178
3179
3180
```

## 5.6.8 **C\_Login**

3181

```
3182 CK_DECLARE_FUNCTION(CK_RV, C_Login)(
3183 CK_SESSION_HANDLE hSession,
3184 CK_USER_TYPE userType,
3185 CK_UTF8CHAR_PTR pPin,
3186 CK_ULONG ulPinLen
3187 );
```

3188 **C\_Login** logs a user into a token. *hSession* is a session handle; *userType* is the user type; *pPin* points to the user's PIN; *ulPinLen* is the length of the PIN. This standard allows PIN values to contain any valid UTF8 character, but the token may impose subset restrictions.

When the user type is either CKU\_SO or CKU\_USER, if the call succeeds, each of the application's sessions will enter either the "R/W SO Functions" state, the "R/W User Functions" state, or the "R/O User Functions" state. If the user type is CKU\_CONTEXT\_SPECIFIC, the behavior of C\_Login depends on the context in which it is called. Improper use of this user type will result in a return value CKR OPERATION NOT INITIALIZED..

3196 If the token has a "protected authentication path", as indicated by the

3197 CKF PROTECTED AUTHENTICATION PATH flag in its CK TOKEN INFO being set, then that means 3198 that there is some way for a user to be authenticated to the token without having to send a PIN through the Cryptoki library. One such possibility is that the user enters a PIN on a PIN pad on the token itself, or 3199 3200 on the slot device. Or the user might not even use a PIN—authentication could be achieved by some 3201 fingerprint-reading device, for example. To log into a token with a protected authentication path, the pPin 3202 parameter to **C Login** should be NULL PTR. When **C Login** returns, whatever authentication method supported by the token will have been performed; a return value of CKR OK means that the user was 3203 successfully authenticated, and a return value of CKR PIN INCORRECT means that the user was 3204 denied access.

denied access.If there are any active cryptographic or object finding operations in an application's session, and then

3206 If there are any active cryptographic or object finding operations in an application's session, and then
3207 **C\_Login** is successfully executed by that application, it may or may not be the case that those operations
3208 are still active. Therefore, before logging in, any active operations should be finished.

3209 If the application calling **C\_Login** has a R/O session open with the token, then it will be unable to log the 3210 SO into a session (see **[PKCS11-UG]** for further details). An attempt to do this will result in the error code 3211 CKR\_SESSION\_READ\_ONLY\_EXISTS.

C\_Login may be called repeatedly, without intervening **C\_Logout** calls, if (and only if) a key with the CKA\_ALWAYS\_AUTHENTICATE attribute set to CK\_TRUE exists, and the user needs to do cryptographic operation on this key. See further Section 4.9.

- 3215 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 3216 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 3217 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 3218 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_PIN\_INCORRECT,
- 3219 CKR PIN LOCKED, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID,
- 3220 CKR SESSION READ ONLY EXISTS, CKR USER ALREADY LOGGED IN,
- 3221 CKR USER ANOTHER ALREADY LOGGED IN, CKR USER PIN NOT INITIALIZED,
- 3222 CKR\_USER\_TOO\_MANY\_TYPES, CKR\_USER\_TYPE\_INVALID.
- 3223 Example: see C\_Logout.

3224

## 5.6.9 C\_LoginUser

```
3225
       CK DECLARE FUNCTION (CK RV, C LoginUser) (
3226
         CK SESSION HANDLE hSession,
3227
         CK USER TYPE userType,
3228
         CK UTF8CHAR PTR pPin,
3229
         CK ULONG ulPinLen,
3230
         CK UTF8CHAR PTR pUsername,
         CK ULONG ulUsernameLen
3231
3232
       );
```

- 3233 C\_LoginUser logs a user into a token. hSession is a session handle; userType is the user type; pPin
   3234 points to the user's PIN; ulPinLen is the length of the PIN, pUsername points to the user name,
   3235 ulUsernameLen is the length of the user name. This standard allows PIN and user name values to
   3236 contain any valid UTF8 character, but the token may impose subset restrictions.
- When the user type is either CKU\_SO or CKU\_USER, if the call succeeds, each of the application's sessions will enter either the "R/W SO Functions" state, the "R/W User Functions" state, or the "R/O User Functions" state. If the user type is CKU\_CONTEXT\_SPECIFIC, the behavior of **C\_LoginUser** depends on the context in which it is called. Improper use of this user type will result in a return value CKR\_OPERATION\_NOT\_INITIALIZED.
- 3242 If the token has a "protected authentication path", as indicated by the
- CKF\_PROTECTED\_AUTHENTICATION\_PATH flag in itsCK\_TOKEN\_INFO being set, then that means that there is some way for a user to be authenticated to the token without having to send a PIN through the Cryptoki library. One such possibility is that the user enters a PIN on a PIN pad on the token itself, or on the slot device. The user might not even use a PIN—authentication could be achieved by some
- fingerprint-reading device, for example. To log into a token with a protected authentication path, the *pPin* parameter to **C\_LoginUser** should be NULL\_PTR. When **C\_LoginUser** returns, whatever authentication method supported by the token will have been performed; a return value of CKR\_OK means that the user was successfully authenticated, and a return value of CKR\_PIN\_INCORRECT means that the user was
- 3251 denied access.
- 3252 If there are any active cryptographic or object finding operations in an application's session, and then 3253 **C LoginUser** is successfully executed by that application, it may or may not be the case that those
- 3253 **C\_LoginUser** is successfully executed by that application, it may or may not be the case that tho operations are still active. Therefore, before logging in, any active operations should be finished.
- If the application calling **C\_LoginUser** has a R/O session open with the token, then it will be unable to log the SO into a session (see [PKCS11-UG] for further details). An attempt to do this will result in the error
- 3257 code CKR SESSION READ ONLY EXISTS.
- 3258 **C\_LoginUser** may be called repeatedly, without intervening **C\_Logout** calls, if (and only if) a key with the
- 3259 CKA\_ALWAYS\_AUTHENTICATE attribute set to CK\_TRUE exists, and the user needs to do
- 3260 cryptographic operation on this key. See further Section 4.9.
- 3261 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 3262 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 3263 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 3264 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR PIN INCORRECT,
- 3265 CKR\_PIN\_LOCKED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID,
- 3266 CKR\_SESSION\_READ\_ONLY\_EXISTS, CKR\_USER\_ALREADY\_LOGGED\_IN,

```
3267 CKR_USER_ANOTHER_ALREADY_LOGGED_IN, CKR_USER_PIN_NOT_INITIALIZED, 3268 CKR_USER_TOO_MANY_TYPES, CKR_USER_TYPE_INVALID.
```

3269 Example:

```
3270
       CK SESSION HANDLE hSession;
3271
       CK UTF8CHAR userPIN[] = {"MyPIN"};
3272
       CK UTF8CHAR userNAME[] = {"MyUserName"};
3273
       CK RV rv;
3274
3275
       rv = C LoginUser(hSession, CKU USER, userPIN, sizeof(userPIN)-1, username,
3276
       sizoef(username)-1);
3277
       if (rv == CKR_OK) {
3278
3279
3280
         rv == C Logout(hSession);
3281
         if (rv == CKR OK) {
3282
3283
3284
         }
3285
```

## 5.6.10 C\_Logout

```
3287 CK_DECLARE_FUNCTION(CK_RV, C_Logout)(
3288 CK_SESSION_HANDLE hSession
3289 );
```

- 3290 **C\_Logout** logs a user out from a token. *hSession* is the session's handle.
- Depending on the current user type, if the call succeeds, each of the application's sessions will enter either the "R/W Public Session" state or the "R/O Public Session" state.
- When **C\_Logout** successfully executes, any of the application's handles to private objects become invalid (even if a user is later logged back into the token, those handles remain invalid). In addition, all private session objects from sessions belonging to the application are destroyed.
- 3296 If there are any active cryptographic or object-finding operations in an application's session, and then
  3297 **C\_Logout** is successfully executed by that application, it may or may not be the case that those
  3298 operations are still active. Therefore, before logging out, any active operations should be finished.
- 3299 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 3300 CKR DEVICE REMOVED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 3301 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID,
- 3302 CKR USER NOT LOGGED IN.
- 3303 Example:

## 3318 5.7 Object management functions

Cryptoki provides the following functions for managing objects. Additional functions provided specifically for managing key objects are described in Section 5.18.

## 3321 **5.7.1** C\_CreateObject

```
3322 CK_DECLARE_FUNCTION(CK_RV, C_CreateObject)(
3323 CK_SESSION_HANDLE hSession,
3324 CK_ATTRIBUTE_PTR pTemplate,
3325 CK_ULONG ulCount,
3326 CK_OBJECT_HANDLE_PTR phObject
3327 );
```

- 3328 **C\_CreateObject** creates a new object. *hSession* is the session's handle; *pTemplate* points to the object's template; *ulCount* is the number of attributes in the template; *phObject* points to the location that receives the new object's handle.
- If a call to **C\_CreateObject** cannot support the precise template supplied to it, it will fail and return without creating any object.
- 3333 If **C\_CreateObject** is used to create a key object, the key object will have its **CKA\_LOCAL** attribute set to 3334 CK FALSE. If that key object is a secret or private key then the new key will have the
- 3335 **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_FALSE, and the **CKA\_NEVER\_EXTRACTABLE** 3336 attribute set to CK\_FALSE.
- Only session objects can be created during a read-only session. Only public objects can be created unless the normal user is logged in.
- Whenever an object is created, a value for CKA\_UNIQUE\_ID is generated and assigned to the new object (See Section 4.4.1).
- 3341 Return values: CKR ARGUMENTS BAD, CKR ATTRIBUTE READ ONLY,
- 3342 CKR ATTRIBUTE TYPE INVALID, CKR ATTRIBUTE VALUE INVALID,
- 3343 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_CURVE\_NOT\_SUPPORTED, CKR\_DEVICE\_ERROR,
- 3344 CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED, CKR\_DOMAIN\_PARAMS\_INVALID,
- 3345 CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK,
- 3346 CKR PIN EXPIRED, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID,
- 3347 CKR\_SESSION\_READ\_ONLY, CKR\_TEMPLATE\_INCOMPLETE, CKR\_TEMPLATE\_INCONSISTENT,
- 3348 CKR TOKEN WRITE PROTECTED, CKR USER NOT LOGGED IN.

#### 3349 Example:

```
3350 CK_SESSION_HANDLE hSession;
3351 CK_OBJECT_HANDLE
3352 hData,
3353 hCertificate,
3354 hKey;
3355 CK_OBJECT_CLASS
3356 dataClass = CKO_DATA,
```

```
3357
         certificateClass = CKO CERTIFICATE,
3358
         keyClass = CKO PUBLIC KEY;
3359
       CK KEY TYPE keyType = CKK RSA;
3360
       CK UTF8CHAR application[] = {"My Application"};
3361
       CK BYTE dataValue[] = {...};
3362
       CK BYTE subject[] = {...};
3363
       CK BYTE id[] = {...};
3364
       CK BYTE certificateValue[] = {...};
3365
       CK BYTE modulus[] = {...};
3366
       CK BYTE exponent[] = {...};
3367
       CK BBOOL true = CK TRUE;
3368
       CK ATTRIBUTE dataTemplate[] = {
3369
         {CKA CLASS, &dataClass, sizeof(dataClass)},
3370
         {CKA TOKEN, &true, sizeof(true)},
3371
         {CKA APPLICATION, application, sizeof(application)-1},
3372
         {CKA VALUE, dataValue, sizeof(dataValue)}
3373
       };
3374
       CK ATTRIBUTE certificateTemplate[] = {
3375
         {CKA CLASS, &certificateClass, sizeof(certificateClass)},
3376
         {CKA TOKEN, &true, sizeof(true)},
3377
         {CKA SUBJECT, subject, sizeof(subject)},
3378
         {CKA ID, id, sizeof(id)},
3379
         {CKA VALUE, certificateValue, sizeof(certificateValue)}
3380
      } ;
3381
       CK ATTRIBUTE keyTemplate[] = {
3382
         {CKA CLASS, &keyClass, sizeof(keyClass)},
3383
         {CKA KEY TYPE, &keyType, sizeof(keyType)},
3384
         {CKA WRAP, &true, sizeof(true)},
3385
         {CKA MODULUS, modulus, sizeof(modulus)},
3386
         {CKA PUBLIC EXPONENT, exponent, sizeof(exponent)}
3387
       };
3388
      CK RV rv;
3389
3390
3391
3392
       /* Create a data object */
3393
       rv = C CreateObject(hSession, &dataTemplate, 4, &hData);
3394
       if (rv == CKR OK) {
3395
3396
3397
3398
       /* Create a certificate object */
3399
```

```
3400
       rv = C CreateObject(
3401
         hSession, &certificateTemplate, 5, &hCertificate);
3402
       if (rv == CKR OK) {
3403
3404
3405
       }
3406
3407
       /* Create an RSA public key object */
3408
       rv = C CreateObject(hSession, &keyTemplate, 5, &hKey);
3409
       if (rv == CKR OK) {
3410
3411
3412
```

## 5.7.2 C CopyObject

```
3414
       CK DECLARE FUNCTION (CK RV, C CopyObject) (
3415
         CK SESSION HANDLE hSession,
3416
         CK OBJECT HANDLE hObject,
         CK ATTRIBUTE PTR pTemplate,
3417
3418
         CK ULONG ulCount,
3419
         CK OBJECT HANDLE PTR phNewObject
3420
```

- 3421 **C** CopyObject copies an object, creating a new object for the copy. *hSession* is the session's handle; hObject is the object's handle; pTemplate points to the template for the new object; ulCount is the number 3422 3423 of attributes in the template; phNewObject points to the location that receives the handle for the copy of 3424 the object.
- 3425 The template may specify new values for any attributes of the object that can ordinarily be modified (e.g., 3426 in the course of copying a secret key, a key's CKA EXTRACTABLE attribute may be changed from
- CK TRUE to CK FALSE, but not the other way around. If this change is made, the new key's 3427
- 3428 CKA\_NEVER\_EXTRACTABLE attribute will have the value CK FALSE. Similarly, the template may
- specify that the new key's CKA\_SENSITIVE attribute be CK\_TRUE; the new key will have the same 3429
- value for its CKA ALWAYS SENSITIVE attribute as the original key). It may also specify new values of 3430
- the CKA\_TOKEN and CKA\_PRIVATE attributes (e.g., to copy a session object to a token object). If the 3431
- 3432 template specifies a value of an attribute which is incompatible with other existing attributes of the object,
- 3433 the call fails with the return code CKR TEMPLATE INCONSISTENT.
- 3434 If a call to C CopyObject cannot support the precise template supplied to it, it will fail and return without
- 3435 creating any object. If the object indicated by hObject has its CKA COPYABLE attribute set to
- 3436 CK FALSE, C CopyObject will return CKR ACTION PROHIBITED.
- 3437 Whenever an object is copied, a new value for CKA UNIQUE ID is generated and assigned to the new 3438 object (See Section 4.4.1).
- 3439 Only session objects can be created during a read-only session. Only public objects can be created 3440 unless the normal user is logged in.
- 3441 Return values: , CKR ACTION PROHIBITED, CKR ARGUMENTS BAD,
- CKR ATTRIBUTE READ ONLY, CKR ATTRIBUTE TYPE INVALID, 3442
- CKR ATTRIBUTE VALUE INVALID, CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, 3443
- 3444 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION FAILED.
- 3445 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OBJECT HANDLE INVALID, CKR OK,
- CKR PIN EXPIRED, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, 3446
- CKR\_SESSION\_READ\_ONLY, CKR\_TEMPLATE\_INCONSISTENT, 3447
- 3448 CKR TOKEN WRITE PROTECTED, CKR USER NOT LOGGED IN.

```
3449 Example:
```

```
3450
       CK SESSION HANDLE hSession;
3451
       CK OBJECT HANDLE hKey, hNewKey;
3452
       CK OBJECT CLASS keyClass = CKO SECRET KEY;
3453
       CK KEY TYPE keyType = CKK DES;
3454
       CK BYTE id[] = {...};
3455
       CK BYTE keyValue[] = {...};
3456
       CK BBOOL false = CK FALSE;
3457
       CK BBOOL true = CK TRUE;
3458
       CK ATTRIBUTE keyTemplate[] = {
3459
         {CKA CLASS, &keyClass, sizeof(keyClass)},
3460
         {CKA KEY TYPE, &keyType, sizeof(keyType)},
3461
         {CKA TOKEN, &false, sizeof(false)},
3462
         {CKA ID, id, sizeof(id)},
3463
         {CKA VALUE, keyValue, sizeof(keyValue)}
3464
       };
3465
       CK ATTRIBUTE copyTemplate[] = {
3466
         {CKA TOKEN, &true, sizeof(true)}
3467
       } ;
3468
       CK RV rv;
3469
3470
3471
3472
       /* Create a DES secret key session object */
3473
       rv = C CreateObject(hSession, &keyTemplate, 5, &hKey);
3474
       if (rv == CKR OK) {
3475
        /* Create a copy which is a token object */
3476
         rv = C CopyObject(hSession, hKey, &copyTemplate, 1, &hNewKey);
3477
3478
3479
```

## 5.7.3 C\_DestroyObject

```
3481 CK_DECLARE_FUNCTION(CK_RV, C_DestroyObject)(
3482 CK_SESSION_HANDLE hSession,
3483 CK_OBJECT_HANDLE hObject
3484 );
```

- 3485 **C\_DestroyObject** destroys an object. *hSession* is the session's handle; and *hObject* is the object's handle.
- Only session objects can be destroyed during a read-only session. Only public objects can be destroyed unless the normal user is logged in.
- Certain objects may not be destroyed. Calling C\_DestroyObject on such objects will result in the CKR ACTION PROHIBITED error code. An application can consult the object's CKA DESTROYABLE
- attribute to determine if an object may be destroyed or not.

```
Return values: , CKR_ACTION_PROHIBITED, CKR_CRYPTOKI_NOT_INITIALIZED,
```

- 3493 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 3494 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY,
- 3495 CKR\_OBJECT\_HANDLE\_INVALID, CKR\_OK, CKR\_PIN\_EXPIRED, CKR\_SESSION\_CLOSED,
- 3496 CKR\_SESSION\_HANDLE\_INVALID, CKR\_SESSION\_READ\_ONLY,
- 3497 CKR\_TOKEN\_WRITE\_PROTECTED.
- 3498 Example: see C GetObjectSize.

## 3499 5.7.4 C\_GetObjectSize

```
3500 CK_DECLARE_FUNCTION(CK_RV, C_GetObjectSize)(
3501 CK_SESSION_HANDLE hSession,
3502 CK_OBJECT_HANDLE hObject,
3503 CK_ULONG_PTR pulSize
3504 );
```

- 3505 **C\_GetObjectSize** gets the size of an object in bytes. *hSession* is the session's handle; *hObject* is the object's handle; *pulSize* points to the location that receives the size in bytes of the object.
- 3507 Cryptoki does not specify what the precise meaning of an object's size is. Intuitively, it is some measure 3508 of how much token memory the object takes up. If an application deletes (say) a private object of size S,
- 3509 it might be reasonable to assume that the *ulFreePrivateMemory* field of the token's **CK\_TOKEN\_INFO**
- 3510 structure increases by approximately S.
- 3511 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 3512 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 3513 CKR FUNCTION FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY,
- 3514 CKR INFORMATION SENSITIVE, CKR OBJECT HANDLE INVALID, CKR OK,
- 3515 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.
- 3516 Example:

```
3517
       CK SESSION HANDLE hSession;
3518
       CK OBJECT HANDLE hObject;
3519
       CK OBJECT CLASS dataClass = CKO DATA;
3520
       CK UTF8CHAR application[] = {"My Application"};
3521
       CK BYTE dataValue[] = {...};
3522
       CK BYTE value[] = \{...\};
3523
       CK BBOOL true = CK TRUE;
3524
       CK ATTRIBUTE template[] = {
3525
         {CKA CLASS, &dataClass, sizeof(dataClass)},
3526
         {CKA TOKEN, &true, sizeof(true)},
3527
         {CKA APPLICATION, application, sizeof(application)-1},
3528
         {CKA VALUE, value, sizeof(value)}
3529
       };
3530
       CK ULONG ulSize;
3531
       CK RV rv;
3532
3533
3534
3535
      rv = C CreateObject(hSession, &template, 4, &hObject);
3536
       if (rv == CKR OK) {
3537
         rv = C GetObjectSize(hSession, hObject, &ulSize);
```

#### 5.7.5 C GetAttributeValue

3547

3554

3555

3556 3557

3560

3561

3562 3563

3564 3565

3566

3567 3568

3569

3570

```
3548 CK_DECLARE_FUNCTION(CK_RV, C_GetAttributeValue)(
3549 CK_SESSION_HANDLE hSession,
3550 CK_OBJECT_HANDLE hObject,
3551 CK_ATTRIBUTE_PTR pTemplate,
3552 CK_ULONG ulCount
3553 );
```

**C\_GetAttributeValue** obtains the value of one or more attributes of an object. *hSession* is the session's handle; *hObject* is the object's handle; *pTemplate* points to a template that specifies which attribute values are to be obtained, and receives the attribute values; *ulCount* is the number of attributes in the template.

For each (*type*, *pValue*, *ulValueLen*) triple in the template, **C\_GetAttributeValue** performs the following algorithm:

- 1. If the specified attribute (i.e., the attribute specified by the type field) for the object cannot be revealed because the object is sensitive or unextractable, then the ulValueLen field in that triple is modified to hold the value CK\_UNAVAILABLE\_INFORMATION.
- Otherwise, if the specified value for the object is invalid (the object does not possess such an attribute), then the ulValueLen field in that triple is modified to hold the value CK\_UNAVAILABLE\_INFORMATION.
- 3. Otherwise, if the *pValue* field has the value NULL\_PTR, then the *ulValueLen* field is modified to hold the exact length of the specified attribute for the object.
  - 4. Otherwise, if the length specified in *ulValueLen* is large enough to hold the value of the specified attribute for the object, then that attribute is copied into the buffer located at *pValue*, and the *ulValueLen* field is modified to hold the exact length of the attribute.
- 3571 5. Otherwise, the ulValueLen field is modified to hold the value CK\_UNAVAILABLE\_INFORMATION.

If case 1 applies to any of the requested attributes, then the call should return the value
CKR\_ATTRIBUTE\_SENSITIVE. If case 2 applies to any of the requested attributes, then the call should
return the value CKR\_ATTRIBUTE\_TYPE\_INVALID. If case 5 applies to any of the requested attributes,
then the call should return the value CKR\_BUFFER\_TOO\_SMALL. As usual, if more than one of these
error codes is applicable, Cryptoki may return any of them. Only if none of them applies to any of the
requested attributes will CKR\_OK be returned.

In the special case of an attribute whose value is an array of attributes, for example

CKA\_WRAP\_TEMPLATE, where it is passed in with pValue not NULL, the length specified in ulValueLen

MUST be large enough to hold all attributes in the array. If the pValue of elements within the array is

NULL\_PTR then the ulValueLen of elements within the array will be set to the required length. If the

pValue of elements within the array is not NULL\_PTR, then the ulValueLen element of attributes within

the array MUST reflect the space that the corresponding pValue points to, and pValue is filled in if there is

sufficient room. Therefore it is important to initialize the contents of a buffer before calling

3585 C\_GetAttributeValue to get such an array value. Note that the type element of attributes within the array 3586 MUST be ignored on input and MUST be set on output. If any ulValueLen within the array isn't large

- enough, it will be set to CK\_UNAVAILABLE\_INFORMATION and the function will return
  CKR\_BUFFER\_TOO\_SMALL, as it does if an attribute in the pTemplate argument has ulValueLen too
  small. Note that any attribute whose value is an array of attributes is identifiable by virtue of the attribute
  type having the CKF\_ARRAY\_ATTRIBUTE bit set.

  Note that the error codes CKR\_ATTRIBUTE\_SENSITIVE, CKR\_ATTRIBUTE\_TYPE\_INVALID, and
  CKR\_BUFFER\_TOO\_SMALL do not denote true errors for **C\_GetAttributeValue**. If a call to
- 3593 **C\_GetAttributeValue** returns any of these three values, then the call MUST nonetheless have processed 3594 every attribute in the template supplied to **C\_GetAttributeValue**. Each attribute in the template whose value can be returned by the call to **C\_GetAttributeValue** will be returned by the call to

3596 C GetAttributeValue.

- Return values: CKR\_ARGUMENTS\_BAD, CKR\_ATTRIBUTE\_SENSITIVE,
- 3598 CKR\_ATTRIBUTE\_TYPE\_INVALID, CKR\_BUFFER\_TOO\_SMALL,
- 3599 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 3600 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 3601 CKR\_HOST\_MEMORY, CKR\_OBJECT\_HANDLE\_INVALID, CKR\_OK, CKR\_SESSION\_CLOSED,
- 3602 CKR\_SESSION\_HANDLE\_INVALID.

#### 3603 Example:

```
3604
       CK SESSION HANDLE hSession;
3605
       CK OBJECT HANDLE hObject;
3606
       CK BYTE PTR pModulus, pExponent;
3607
       CK ATTRIBUTE template[] = {
3608
         {CKA MODULUS, NULL PTR, 0},
3609
         {CKA PUBLIC EXPONENT, NULL PTR, 0}
3610
       };
3611
       CK RV rv;
3612
3613
3614
3615
       rv = C GetAttributeValue(hSession, hObject, &template, 2);
3616
       if (rv == CKR OK) {
3617
         pModulus = (CK BYTE PTR) malloc(template[0].ulValueLen);
3618
         template[0].pValue = pModulus;
3619
         /* template[0].ulValueLen was set by C GetAttributeValue */
3620
3621
         pExponent = (CK BYTE PTR) malloc(template[1].ulValueLen);
3622
         template[1].pValue = pExponent;
3623
         /* template[1].ulValueLen was set by C GetAttributeValue */
3624
3625
         rv = C GetAttributeValue(hSession, hObject, &template, 2);
3626
         if (rv == CKR OK) {
3627
3628
3629
3630
         free (pModulus);
3631
         free (pExponent);
3632
```

#### 5.7.6 C SetAttributeValue

```
GK_DECLARE_FUNCTION(CK_RV, C_SetAttributeValue)(
CK_SESSION_HANDLE hSession,
CK_OBJECT_HANDLE hObject,
CK_ATTRIBUTE_PTR pTemplate,
CK_ULONG ulCount
);
```

- 3640 **C\_SetAttributeValue** modifies the value of one or more attributes of an object. *hSession* is the session's handle; *hObject* is the object's handle; *pTemplate* points to a template that specifies which attribute values are to be modified and their new values; *ulCount* is the number of attributes in the template.
- Certain objects may not be modified. Calling C\_SetAttributeValue on such objects will result in the CKR\_ACTION\_PROHIBITED error code. An application can consult the object's CKA\_MODIFIABLE attribute to determine if an object may be modified or not.
- 3646 Only session objects can be modified during a read-only session.
- The template may specify new values for any attributes of the object that can be modified. If the template specifies a value of an attribute which is incompatible with other existing attributes of the object, the call followith the return gode CKR. TEMPLATE INCONSISTENT.
- 3649 fails with the return code CKR TEMPLATE INCONSISTENT.
- Not all attributes can be modified; see Section 4.1.2 for more details.
- 3651 Return values: CKR\_ACTION\_PROHIBITED, CKR\_ARGUMENTS\_BAD,
- 3652 CKR ATTRIBUTE READ ONLY, CKR ATTRIBUTE TYPE INVALID,
- 3653 CKR ATTRIBUTE VALUE INVALID, CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR,
- 3654 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION FAILED,
- 3655 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OBJECT\_HANDLE\_INVALID, CKR\_OK,
- 3656 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR SESSION READ ONLY,
- 3657 CKR\_TEMPLATE\_INCONSISTENT, CKR\_TOKEN\_WRITE\_PROTECTED,
- 3658 CKR\_USER\_NOT\_LOGGED\_IN.
- 3659 Example:

3675

3633

```
3660
       CK SESSION HANDLE hSession;
3661
       CK OBJECT HANDLE hObject;
3662
       CK UTF8CHAR label[] = {"New label"};
3663
       CK ATTRIBUTE template[] = {
3664
         CKA LABEL, label, sizeof(label)-1
3665
       };
3666
       CK RV rv;
3667
3668
3669
3670
       rv = C SetAttributeValue(hSession, hObject, &template, 1);
3671
       if (rv == CKR OK) {
3672
3673
3674
```

## 5.7.7 C\_FindObjectsInit

```
3676
CK_DECLARE_FUNCTION(CK_RV, C_FindObjectsInit)(
CK_SESSION_HANDLE hSession,
CK_ATTRIBUTE_PTR pTemplate,
```

```
3679 CK_ULONG ulCount
3680 );
```

3681 **C\_FindObjectsInit** initializes a search for token and session objects that match a template. *hSession* is the session's handle; *pTemplate* points to a search template that specifies the attribute values to match; *ulCount* is the number of attributes in the search template. The matching criterion is an exact byte-for-byte match with all attributes in the template. To find all objects, set *ulCount* to 0.

After calling **C\_FindObjectsInit**, the application may call **C\_FindObjects** one or more times to obtain handles for objects matching the template, and then eventually call **C\_FindObjectsFinal** to finish the active search operation. At most one search operation may be active at a given time in a given session.

The object search operation will only find objects that the session can view. For example, an object search in an "R/W Public Session" will not find any private objects (even if one of the attributes in the search template specifies that the search is for private objects).

If a search operation is active, and objects are created or destroyed which fit the search template for the active search operation, then those objects may or may not be found by the search operation. Note that this means that, under these circumstances, the search operation may return invalid object handles.

Even though **C\_FindObjectsInit** can return the values CKR\_ATTRIBUTE\_TYPE\_INVALID and CKR\_ATTRIBUTE\_VALUE\_INVALID, it is not required to. For example, if it is given a search template with nonexistent attributes in it, it can return CKR\_ATTRIBUTE\_TYPE\_INVALID, or it can initialize a search operation which will match no objects and return CKR\_OK.

If the CKA\_UNIQUE\_ID attribute is present in the search template, either zero or one objects will be found, since at most one object can have any particular CKA\_UNIQUE\_ID value.

3700 Return values: CKR\_ARGUMENTS\_BAD, CKR\_ATTRIBUTE\_TYPE\_INVALID,

3701 CKR ATTRIBUTE VALUE INVALID, CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR,

3702 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION FAILED,

3703 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_ACTIVE,

CKR\_PIN\_EXPIRED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.

3705 Example: see **C\_FindObjectsFinal**.

3685 3686

3687

3704

3706

## 5.7.8 C\_FindObjects

```
3707
CK_DECLARE_FUNCTION(CK_RV, C_FindObjects)(
CK_SESSION_HANDLE hSession,
3709
CK_OBJECT_HANDLE_PTR phObject,
3710
CK_ULONG ulMaxObjectCount,
CK_ULONG_PTR pulObjectCount
3711
);
```

- C\_FindObjects continues a search for token and session objects that match a template, obtaining additional object handles. hSession is the session's handle; phObject points to the location that receives the list (array) of additional object handles; ulMaxObjectCount is the maximum number of object handles to be returned; pulObjectCount points to the location that receives the actual number of object handles returned.
- 3718 If there are no more objects matching the template, then the location that *pulObjectCount* points to 3719 receives the value 0.
- The search MUST have been initialized with **C\_FindObjectsInit**.
- 3721 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 3722 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 3723 CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK,
- 3724 CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID.
- 3725 Example: see **C FindObjectsFinal**.

### 5.7.9 C\_FindObjectsFinal

```
3727 CK_DECLARE_FUNCTION(CK_RV, C_FindObjectsFinal)(
3728 CK_SESSION_HANDLE hSession
3729 );
```

- 3730 **C\_FindObjectsFinal** terminates a search for token and session objects. *hSession* is the session's handle.
- Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 3734 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
- 3735 CKR\_SESSION\_HANDLE\_INVALID.
- 3736 Example:

3726

```
3737
       CK SESSION HANDLE hSession;
3738
       CK OBJECT HANDLE hObject;
3739
       CK ULONG ulObjectCount;
3740
       CK RV rv;
3741
3742
3743
3744
       rv = C FindObjectsInit(hSession, NULL PTR, 0);
3745
       assert(rv == CKR OK);
3746
       while (1) {
3747
         rv = C FindObjects(hSession, &hObject, 1, &ulObjectCount);
3748
         if (rv != CKR OK || ulObjectCount == 0)
3749
           break;
3750
3751
3752
       }
3753
3754
       rv = C FindObjectsFinal(hSession);
3755
       assert(rv == CKR OK);
```

# **5.8 Encryption functions**

3757 Cryptoki provides the following functions for encrypting data:

# 3758 **5.8.1** C\_EncryptInit

```
3759 CK_DECLARE_FUNCTION(CK_RV, C_EncryptInit)(
3760 CK_SESSION_HANDLE hSession,
3761 CK_MECHANISM_PTR pMechanism,
3762 CK_OBJECT_HANDLE hKey
3763 );
```

- 3764 **C\_EncryptInit** initializes an encryption operation. *hSession* is the session's handle; *pMechanism* points to the encryption mechanism; *hKey* is the handle of the encryption key.
- The **CKA\_ENCRYPT** attribute of the encryption key, which indicates whether the key supports encryption, MUST be CK\_TRUE.

- 3768 After calling **C\_EncryptInit**, the application can either call **C\_Encrypt** to encrypt data in a single part; or
- call **C\_EncryptUpdate** zero or more times, followed by **C\_EncryptFinal**, to encrypt data in multiple parts.
- 3770 The encryption operation is active until the application uses a call to **C\_Encrypt** or **C\_EncryptFinal** *to*
- 3771 actually obtain the final piece of ciphertext. To process additional data (in single or multiple parts), the
- application MUST call **C\_EncryptInit** again.
- 3773 **C\_EncryptInit** can be called with *pMechanism* set to NULL\_PTR to terminate an active encryption
- 3774 operation. If an active operation operations cannot be cancelled, CKR OPERATION CANCEL FAILED
- 3775 must be returned.
- 3776 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 3777 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 3778 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_KEY\_FUNCTION\_NOT\_PERMITTED,
- 3779 CKR\_KEY\_HANDLE\_INVALID, CKR\_KEY\_SIZE\_RANGE, CKR\_KEY\_TYPE\_INCONSISTENT,
- 3780 CKR\_MECHANISM\_INVALID, CKR\_MECHANISM\_PARAM\_INVALID, CKR\_OK,
- 3781 CKR OPERATION ACTIVE, CKR PIN EXPIRED, CKR SESSION CLOSED,
- 3782 CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN,
- 3783 CKR\_OPERATION\_CANCEL\_FAILED.
- 3784 Example: see C EncryptFinal.

#### 3785 **5.8.2 C\_Encrypt**

- 3793 **C\_Encrypt** encrypts single-part data. *hSession* is the session's handle; *pData* points to the data;
- 3794 *ulDataLen* is the length in bytes of the data; *pEncryptedData* points to the location that receives the
- encrypted data; *pulEncryptedDataLen* points to the location that holds the length in bytes of the encrypted data.
- 3797 **C Encrypt** uses the convention described in Section 5.2 on producing output.
- 3798 The encryption operation MUST have been initialized with C EncryptInit. A call to C Encrypt always
- 3799 terminates the active encryption operation unless it returns CKR BUFFER TOO SMALL or is a
- successful call (*i.e.*, one which returns CKR\_OK) to determine the length of the buffer needed to hold the ciphertext.
- 3802 **C\_Encrypt** cannot be used to terminate a multi-part operation, and MUST be called after **C\_EncryptInit** 3803 without intervening **C\_EncryptUpdate** calls.
- 3804 For some encryption mechanisms, the input plaintext data has certain length constraints (either because
- 3805 the mechanism can only encrypt relatively short pieces of plaintext, or because the mechanism's input
- 3806 data MUST consist of an integral number of blocks). If these constraints are not satisfied, then
- 3807 **C\_Encrypt** will fail with return code CKR DATA LEN RANGE.
- The plaintext and ciphertext can be in the same place, *i.e.*, it is OK if *pData* and *pEncryptedData* point to the same location.
- For most mechanisms, **C\_Encrypt** is equivalent to a sequence of **C\_EncryptUpdate** operations followed
- 3812 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 3813 CKR CRYPTOKI NOT INITIALIZED. CKR DATA INVALID. CKR DATA LEN RANGE.
- 3814 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 3815 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 3816 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
- 3817 CKR SESSION HANDLE INVALID.
- 3818 Example: see **C** EncryptFinal for an example of similar functions.

by C EncryptFinal.

#### 5.8.3 C\_EncryptUpdate

3819

- 3827 **C\_EncryptUpdate** continues a multiple-part encryption operation, processing another data part.
  3828 *hSession* is the session's handle; *pPart* points to the data part; *ulPartLen* is the length of the data part;
  3829 *pEncryptedPart* points to the location that receives the encrypted data part; *pulEncryptedPartLen* points
  3830 to the location that holds the length in bytes of the encrypted data part.
- 3831 **C\_EncryptUpdate** uses the convention described in Section 5.2 on producing output.
- The encryption operation MUST have been initialized with **C\_EncryptInit**. This function may be called any number of times in succession. A call to **C\_EncryptUpdate** which results in an error other than CKR BUFFER TOO SMALL terminates the current encryption operation.
- The plaintext and ciphertext can be in the same place, *i.e.*, it is OK if *pPart* and *pEncryptedPart* point to the same location.
- 3837 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 3838 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DATA\_LEN\_RANGE, CKR\_DEVICE\_ERROR,
- 3839 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION CANCELED,
- 3840 CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK,
- 3841 CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.
- 3842 Example: see C\_EncryptFinal.

### 3843 5.8.4 C EncryptFinal

```
3844 CK_DECLARE_FUNCTION(CK_RV, C_EncryptFinal)(
3845 CK_SESSION_HANDLE hSession,
3846 CK_BYTE_PTR pLastEncryptedPart,
3847 CK_ULONG_PTR pullastEncryptedPartLen
3848 );
```

- **C\_EncryptFinal** finishes a multiple-part encryption operation. *hSession* is the session's handle; *pLastEncryptedPart* points to the location that receives the last encrypted data part, if any; *pulLastEncryptedPartLen* points to the location that holds the length of the last encrypted data part.
- 3852 **C EncryptFinal** uses the convention described in Section 5.2 on producing output.
- The encryption operation MUST have been initialized with **C\_EncryptInit**. A call to **C\_EncryptFinal**always terminates the active encryption operation unless it returns CKR\_BUFFER\_TOO\_SMALL or is a
  successful call (*i.e.*, one which returns CKR\_OK) to determine the length of the buffer needed to hold the
  ciphertext.
- 3857 For some multi-part encryption mechanisms, the input plaintext data has certain length constraints,
- 3858 because the mechanism's input data MUST consist of an integral number of blocks. If these constraints
- are not satisfied, then **C\_EncryptFinal** will fail with return code CKR DATA LEN RANGE.
- 3860 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 3861 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DATA\_LEN\_RANGE, CKR\_DEVICE\_ERROR,
- 3862 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION CANCELED,
- 3863 CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK,
- 3864 CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID.
- 3865 Example:

3849

3850

```
3866 #define PLAINTEXT_BUF_SZ 200
3867 #define CIPHERTEXT_BUF_SZ 256
```

```
3868
3869
       CK ULONG firstPieceLen, secondPieceLen;
3870
       CK SESSION HANDLE hSession;
3871
       CK OBJECT HANDLE hKey;
3872
       CK BYTE iv[8];
3873
       CK MECHANISM mechanism = {
         CKM DES CBC PAD, iv, sizeof(iv)
3874
3875
3876
       CK BYTE data[PLAINTEXT BUF SZ];
3877
       CK BYTE encryptedData[CIPHERTEXT BUF SZ];
3878
       CK ULONG ulEncryptedData1Len;
3879
       CK ULONG ulEncryptedData2Len;
3880
       CK ULONG ulEncryptedData3Len;
3881
       CK RV rv;
3882
3883
3884
3885
       firstPieceLen = 90;
3886
       secondPieceLen = PLAINTEXT BUF SZ-firstPieceLen;
3887
       rv = C EncryptInit(hSession, &mechanism, hKey);
3888
      if (rv == CKR OK) {
3889
         /* Encrypt first piece */
3890
         ulEncryptedData1Len = sizeof(encryptedData);
3891
         rv = C EncryptUpdate(
3892
           hSession,
3893
           &data[0], firstPieceLen,
3894
           &encryptedData[0], &ulEncryptedData1Len);
3895
         if (rv != CKR OK) {
3896
3897
3898
         }
3899
3900
         /* Encrypt second piece */
3901
         ulEncryptedData2Len = sizeof(encryptedData)-ulEncryptedData1Len;
3902
         rv = C EncryptUpdate(
3903
          hSession,
3904
           &data[firstPieceLen], secondPieceLen,
3905
           &encryptedData[ulEncryptedData1Len], &ulEncryptedData2Len);
3906
         if (rv != CKR OK) {
3907
3908
3909
         }
3910
```

```
3911
         /* Get last little encrypted bit */
3912
         ulEncryptedData3Len =
3913
           sizeof (encryptedData) -ulEncryptedData1Len-ulEncryptedData2Len;
3914
         rv = C EncryptFinal(
3915
           hSession,
3916
           &encryptedData[ulEncryptedData1Len+ulEncryptedData2Len],
3917
           &ulEncryptedData3Len);
3918
         if (rv != CKR OK) {
3919
3920
3921
         }
3922
```

## 5.9 Message-based encryption functions

- Message-based encryption refers to the process of encrypting multiple messages using the same encryption mechanism and encryption key. The encryption mechanism can be either an authenticated encryption with associated data (AEAD) algorithm or a pure encryption algorithm.
- 3927 Cryptoki provides the following functions for message-based encryption:

### 5.9.1 C\_MessageEncryptInit

3923

```
3929 CK_DECLARE_FUNCTION(CK_RV, C_MessageEncryptInit)(
3930 CK_SESSION_HANDLE hSession,
3931 CK_MECHANISM_PTR pMechanism,
CK_OBJECT_HANDLE hKey
3933 );
```

- 3934 **C\_MessageEncryptInit** prepares a session for one or more encryption operations that use the same encryption mechanism and encryption key. hSession is the session's handle; pMechanism points to the encryption mechanism; hKey is the handle of the encryption key.
- The CKA\_ENCRYPT attribute of the encryption key, which indicates whether the key supports encryption, MUST be CK\_TRUE.
- After calling **C\_MessageEncryptInit**, the application can either call **C\_EncryptMessage** to encrypt a message in a single part, or call **C\_EncryptMessageBegin**, followed by **C\_EncryptMessageNext** one or more times, to encrypt a message in multiple parts. This may be repeated several times. The message-based encryption process is active until the application calls **C\_MessageEncryptFinal** to finish the
- 3943 message-based encryption process.
- 3944 **C\_MessageEncryptInit** can be called with *pMechanism* set to NULL\_PTR to terminate a message-based encryption process. If a multi-part message encryption operation is active, it will also be terminated. If an active operation has been initialized and it cannot be cancelled, CKR\_OPERATION\_CANCEL\_FAILED must be returned.
- 3948 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 3949 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 3950 CKR GENERAL ERROR, CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED,
- 3951 CKR\_KEY\_HANDLE\_INVALID, CKR\_KEY\_SIZE\_RANGE, CKR\_KEY\_TYPE\_INCONSISTENT,
- 3952 CKR\_MECHANISM\_INVALID, CKR\_MECHANISM\_PARAM\_INVALID, CKR\_OK,
- 3953 CKR OPERATION ACTIVE, CKR PIN EXPIRED, CKR SESSION CLOSED,
- 3954 CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 3955 CKR OPERATION CANCEL FAILED.

#### 5.9.2 C\_EncryptMessage

3956

3968

3969

3970

3971

3972 3973

3996

4004

4005

```
CK DECLARE FUNCTION (CK RV, C EncryptMessage) (
3957
            CK SESSION HANDLE hSession,
3958
            CK VOID PTR pParameter,
3959
3960
            CK ULONG ulParameterLen,
3961
            CK BYTE PTR pAssociatedData,
3962
            CK ULONG ulAssociatedDataLen,
3963
            CK BYTE PTR pPlaintext,
3964
            CK ULONG ulPlaintextLen,
3965
            CK BYTE PTR pCiphertext,
3966
            CK ULONG PTR pulCiphertextLen
3967
          );
```

- **C\_EncryptMessage** encrypts a message in a single part. *hSession* is the session's handle; *pParameter* and *ulParameterLen* specify any mechanism-specific parameters for the message encryption operation; *pAssociatedData* and *ulAssociatedDataLen* specify the associated data for an AEAD mechanism; *pPlaintext* points to the plaintext data; *ulPlaintextLen* is the length in bytes of the plaintext data; *pCiphertext* points to the location that receives the encrypted data; *pulCiphertextLen* points to the location that holds the length in bytes of the encrypted data.
- Typically, *pParameter* is an initialization vector (IV) or nonce. Depending on the mechanism parameter passed to **C\_MessageEncryptInit**, *pParameter* may be either an input or an output parameter. For example, if the mechanism parameter specifies an IV generator mechanism, the IV generated by the IV generator will be output to the *pParameter* buffer.
- 3978 If the encryption mechanism is not AEAD, *pAssociatedData* and *ulAssociatedDataLen* are not used and 3979 should be set to (NULL, 0).
- 3980 **C\_EncryptMessage** uses the convention described in Section 5.2 on producing output.
- The message-based encryption process MUST have been initialized with **C\_MessageEncryptInit**. A call to **C\_EncryptMessage** begins and terminates a message encryption operation.
- 3983 **C\_EncryptMessage** cannot be called in the middle of a multi-part message encryption operation.
- For some encryption mechanisms, the input plaintext data has certain length constraints (either because the mechanism can only encrypt relatively short pieces of plaintext, or because the mechanism's input data MUST consist of an integral number of blocks). If these constraints are not satisfied, then
- 3987 **C\_EncryptMessage** will fail with return code CKR\_DATA\_LEN\_RANGE. The plaintext and ciphertext can be in the same place, i.e., it is OK if *pPlaintext* and *pCiphertext* point to the same location.
- For most mechanisms, **C\_EncryptMessage** is equivalent to **C\_EncryptMessageBegin** followed by a sequence of **C\_EncryptMessageNext** operations.
- 3991 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 3992 CKR CRYPTOKI NOT INITIALIZED, CKR DATA INVALID, CKR DATA LEN RANGE,
- 3993 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 3994 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 3995 CKR HOST MEMORY, CKR OK, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID.

# 5.9.3 C\_EncryptMessageBegin

```
3997
CK_DECLARE_FUNCTION(CK_RV, C_EncryptMessageBegin)(
CK_SESSION_HANDLE hSession,
3999
CK_VOID_PTR pParameter,
CK_ULONG ulParameterLen,
CK_BYTE_PTR pAssociatedData,
CK_ULONG ulAssociatedDataLen
4002
4003
);
```

**C\_EncryptMessageBegin** begins a multiple-part message encryption operation. *hSession* is the session's handle; *pParameter* and *ulParameterLen* specify any mechanism-specific parameters for the

- 4006 message encryption operation; *pAssociatedData* and *ulAssociatedDataLen* specify the associated data 4007 for an AEAD mechanism.
- 4008 Typically, *pParameter* is an initialization vector (IV) or nonce. Depending on the mechanism parameter
- passed to **C\_MessageEncryptInit**, *pParameter* may be either an input or an output parameter. For
- 4010 example, if the mechanism parameter specifies an IV generator mechanism, the IV generated by the IV
- 4011 generator will be output to the *pParameter* buffer.
- 4012 If the mechanism is not AEAD, pAssociatedData and ulAssociatedDataLen are not used and should be
- 4013 set to (NULL, 0).

4024

- 4014 After calling C\_EncryptMessageBegin, the application should call C\_EncryptMessageNext one or
- 4015 more times to encrypt the message in multiple parts. The message encryption operation is active until the
- 4016 application uses a call to C\_EncryptMessageNext with flags=CKF\_END\_OF\_MESSAGE to actually
- 4017 obtain the final piece of ciphertext. To process additional messages (in single or multiple parts), the
- 4018 application MUST call C EncryptMessage or C EncryptMessageBegin again.
- 4019 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 4020 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 4021 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION ACTIVE,
- 4022 CKR PIN EXPIRED, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID,
- 4023 CKR USER NOT LOGGED IN.

### 5.9.4 C\_EncryptMessageNext

```
CK_DECLARE_FUNCTION(CK_RV, C_EncryptMessageNext)(
CK_SESSION_HANDLE hSession,
CK_BYTE_PTR pPlaintextPart,
CK_ULONG ulPlaintextPartLen,
CK_BYTE_PTR pCiphertextPart,
CK_ULONG_PTR pulCiphertextPartLen,
CK_ULONG_PTR pulCiphertextPartLen,
CK_ULONG_flags
4032 );
```

- C\_EncryptMessageNext continues a multiple-part message encryption operation, processing another message part. hSession is the session's handle; pPlaintextPart points to the plaintext message part; ulPlaintextPartLen is the length of the plaintext message part; pCiphertextPart points to the location that receives the encrypted message part; pulCiphertextPartLen points to the location that holds the length in bytes of the encrypted message part; flags is set to 0 if there is more plaintext data to follow, or set to 4038 CKF END OF MESSAGE if this is the last plaintext part.
- 4039 **C EncryptMessageNext** uses the convention described in Section 5.2 on producing output.
- The message encryption operation MUST have been started with **C\_EncryptMessageBegin**. This
- function may be called any number of times in succession. A call to C\_EncryptMessageNext with flags=0
- 4042 which results in an error other than CKR\_BUFFER\_TOO\_SMALL terminates the current message
- 4043 encryption operation. A call to C EncryptMessageNext with flags=CKF END OF MESSAGE always
- 4044 terminates the active message encryption operation unless it returns CKR BUFFER TOO SMALL or is a
- successful call (i.e., one which returns **CKR\_OK**) to determine the length of the buffer needed to hold the
- 4046 ciphertext.
- Although the last **C\_EncryptMessageNext** call ends the encryption of a message, it does not finish the
- 4048 message-based encryption process. Additional **C\_EncryptMessage** or **C\_EncryptMessageBegin** and **C\_EncryptMessageNext** calls may be made on the session.
- The plaintext and ciphertext can be in the same place, i.e., it is OK if *pPlaintextPart* and *pCiphertextPart* and *pCiphertextPartex*
- 4052 For some multi-part encryption mechanisms, the input plaintext data has certain length constraints,
- 4053 because the mechanism's input data MUST consist of an integral number of blocks. If these constraints
- are not satisfied when the final message part is supplied (i.e., with flags=CKF\_END\_OF\_MESSAGE),
- 4055 then **C\_EncryptMessageNext** will fail with return code CKR\_DATA\_LEN\_RANGE.

- 4056 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4057 CKR CRYPTOKI NOT INITIALIZED, CKR DATA LEN RANGE, CKR DEVICE ERROR,
- 4058 CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED,
- 4059 CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK,
- 4060 CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.

# 4061 **5.9.5 C\_EncryptMessageFinal**

```
4062 CK_DECLARE_FUNCTION(CK_RV, C_EncryptMessageNext)(
4063 CK_SESSION_HANDLE hSession
4064 );
```

- 4065 **C\_MessageEncryptFinal** finishes a message-based encryption process. hSession is the session's handle.
- The message-based encryption process MUST have been initialized with **C\_MessageEncryptInit**.
- 4068 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 4069 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4070 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 4071 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
- 4072 CKR\_SESSION\_HANDLE\_INVALID.
- 4073 Example:

```
4074
       #define PLAINTEXT BUF SZ 200
4075
       #define AUTH BUF_SZ 100
4076
       #define CIPHERTEXT BUF SZ 256
4077
4078
       CK SESSION HANDLE hSession;
4079
       CK OBJECT HANDLE hKey;
4080
       CK BYTE iv[] = \{ 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 \};
4081
       CK BYTE tag[16];
4082
       CK GCM MESSAGE PARAMS gcmParams = {
4083
         &iv,
4084
         sizeof(iv) * 8,
4085
         0,
4086
         CKG NO GENERATE,
4087
         &taq,
4088
         sizeof(tag) * 8
4089
       };
4090
       CK MECHANISM mechanism = {
4091
         CKM AES GCM, &gcmParams, sizeof(gcmParams)
4092
       };
4093
       CK BYTE data[2][PLAINTEXT BUF SZ];
4094
       CK BYTE auth[2][AUTH BUF SZ];
4095
       CK BYTE encryptedData[2][CIPHERTEXT BUF SZ];
4096
       CK ULONG ulEncryptedDataLen, ulFirstEncryptedDataLen;
4097
       CK ULONG firstPieceLen = PLAINTEXT BUF SZ / 2;
4098
4099
       /* error handling is omitted for better readability */
```

```
4100
4101
4102
       C MessageEncryptInit(hSession, &mechanism, hKey);
4103
       /* encrypt message en bloc with given IV */
4104
       ulEncryptedDataLen = sizeof(encryptedData[0]);
4105
       C EncryptMessage(hSession,
4106
         &gcmParams, sizeof(gcmParams),
4107
         &auth[0][0], sizeof(auth[0]),
4108
         &data[0][0], sizeof(data[0]),
4109
         &encryptedData[0][0], &ulEncryptedDataLen);
4110
       /* iv and tag are set now for message */
4111
4112
       /* encrypt message in two steps with generated IV */
4113
       gcmParams.ivGenerator = CKG GENERATE;
4114
       C EncryptMessageBegin(hSession,
4115
         &gcmParams, sizeof(gcmParams),
4116
         &auth[1][0], sizeof(auth[1])
4117
       );
4118
       /* encrypt first piece */
4119
       ulFirstEncryptedDataLen = sizeof(encryptedData[1]);
4120
      C EncryptMessageNext(hSession,
4121
         &gcmParams, sizeof(gcmParams),
4122
         &data[1][0], firstPieceLen),
4123
         &encryptedData[1][0], &ulFirstEncryptedDataLen,
4124
         Ω
4125
       );
4126
       /* encrypt second piece */
4127
       ulEncryptedDataLen = sizeof(encryptedData[1]) - ulFirstEncryptedDataLen;
4128
       C EncryptMessageNext(hSession,
4129
         &gcmParams, sizeof(gcmParams),
4130
         &data[1][firstPieceLen], sizeof(data[1])-firstPieceLen),
4131
         &encryptedData[1][ulFirstEncryptedDataLen], &ulEncryptedDataLen,
4132
         CKF END OF MESSAGE
4133
4134
       /* tag is set now for message */
4135
4136
       /* finalize */
4137
       C MessageEncryptFinal(hSession);
```

# **5.10 Decryption functions**

4139 Cryptoki provides the following functions for decrypting data:

#### 4140 **5.10.1 C\_DecryptInit**

- 4146 **C\_DecryptInit** initializes a decryption operation. *hSession* is the session's handle; *pMechanism* points to the decryption mechanism; *hKey* is the handle of the decryption key.
- The **CKA\_DECRYPT** attribute of the decryption key, which indicates whether the key supports decryption, MUST be CK\_TRUE.
- 4150 After calling **C\_DecryptInit**, the application can either call **C\_Decrypt** to decrypt data in a single part; or
- call **C\_DecryptUpdate** zero or more times, followed by **C\_DecryptFinal**, to decrypt data in multiple parts.
- The decryption operation is active until the application uses a call to **C\_Decrypt** or **C\_DecryptFinal** to
- 4153 actually obtain the final piece of plaintext. To process additional data (in single or multiple parts), the
- 4154 application MUST call **C** DecryptInit again.
- 4155 **C\_DecryptInit** can be called with *pMechanism* set to NULL\_PTR to terminate an active decryption
- 4156 operation. If an active operation cannot be cancelled, CKR OPERATION CANCEL FAILED must be
- 4157 returned.

4138

- 4158 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4159 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4160 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4161 CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED, CKR KEY HANDLE INVALID,
- 4162 CKR\_KEY\_SIZE\_RANGE, CKR\_KEY\_TYPE\_INCONSISTENT, CKR\_MECHANISM\_INVALID,
- 4163 CKR MECHANISM PARAM INVALID, CKR OK, CKR OPERATION ACTIVE, CKR PIN EXPIRED,
- 4164 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 4165 CKR OPERATION CANCEL FAILED.
- 4166 Example: see **C\_DecryptFinal**.

#### 4167 **5.10.2** C Decrypt

```
4168
CK_DECLARE_FUNCTION(CK_RV, C_Decrypt)(
CK_SESSION_HANDLE hSession,
4170
CK_BYTE_PTR pEncryptedData,
CK_ULONG ulEncryptedDataLen,
CK_BYTE_PTR pData,
CK_BYTE_PTR pData,
CK_ULONG_PTR pulDataLen
4174
);
```

- 4175 **C\_Decrypt** decrypts encrypted data in a single part. *hSession* is the session's handle; *pEncryptedData*
- 4176 points to the encrypted data; *ulEncryptedDataLen* is the length of the encrypted data; *pData* points to the
- location that receives the recovered data; *pulDataLen* points to the location that holds the length of the
- 4178 recovered data.
- 4179 **C** Decrypt uses the convention described in Section 5.2 on producing output.
- 4180 The decryption operation MUST have been initialized with C DecryptInit. A call to C Decrypt always
- 4181 terminates the active decryption operation unless it returns CKR BUFFER TOO SMALL or is a
- 4182 successful call (i.e., one which returns CKR\_OK) to determine the length of the buffer needed to hold the
- 4183 plaintext.
- 4184 **C\_Decrypt** cannot be used to terminate a multi-part operation, and MUST be called after **C\_DecryptInit**
- 4185 without intervening **C DecryptUpdate** calls.

- 4186 The ciphertext and plaintext can be in the same place, i.e., it is OK if pEncryptedData and pData point to
- 4187 the same location.
- 4188 If the input ciphertext data cannot be decrypted because it has an inappropriate length, then either
- 4189 CKR\_ENCRYPTED\_DATA\_INVALID or CKR\_ENCRYPTED\_DATA\_LEN\_RANGE may be returned.
- 4190 For most mechanisms, **C\_Decrypt** is equivalent to a sequence of **C\_DecryptUpdate** operations followed
- 4191 by **C\_DecryptFinal**.

4199

- 4192 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4193 CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 4194 CKR DEVICE REMOVED, CKR ENCRYPTED DATA INVALID,
- 4195 CKR ENCRYPTED DATA LEN RANGE, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 4196 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED,
- 4197 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.
- 4198 Example: see **C\_DecryptFinal** for an example of similar functions.

#### 5.10.3 C\_DecryptUpdate

- 4207 **C\_DecryptUpdate** continues a multiple-part decryption operation, processing another encrypted data
- part. hSession is the session's handle; pEncryptedPart points to the encrypted data part;
- 4209 *ulEncryptedPartLen* is the length of the encrypted data part; *pPart* points to the location that receives the
- 4210 recovered data part; pulPartLen points to the location that holds the length of the recovered data part.
- 4211 **C DecryptUpdate** uses the convention described in Section 5.2 on producing output.
- 4212 The decryption operation MUST have been initialized with **C\_DecryptInit**. This function may be called
- any number of times in succession. A call to **C\_DecryptUpdate** which results in an error other than
- 4214 CKR BUFFER TOO SMALL terminates the current decryption operation.
- The ciphertext and plaintext can be in the same place, *i.e.*, it is OK if *pEncryptedPart* and *pPart* point to
- 4216 the same location.
- 4217 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4218 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 4219 CKR DEVICE REMOVED, CKR ENCRYPTED DATA INVALID,
- 4220 CKR ENCRYPTED DATA LEN RANGE, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 4221 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 4222 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.
- 4223 Example: See C\_DecryptFinal.

#### 4224 5.10.4 C\_DecryptFinal

```
4225 CK_DECLARE_FUNCTION(CK_RV, C_DecryptFinal)(
4226 CK_SESSION_HANDLE hSession,
4227 CK_BYTE_PTR pLastPart,
4228 CK_ULONG_PTR pulLastPartLen
4229 );
```

- 4230 **C\_DecryptFinal** finishes a multiple-part decryption operation. *hSession* is the session's handle;
- 4231 pLastPart points to the location that receives the last recovered data part, if any; pulLastPartLen points to
- the location that holds the length of the last recovered data part.
- 4233 **C\_DecryptFinal** uses the convention described in Section 5.2 on producing output.

- The decryption operation MUST have been initialized with **C\_DecryptInit**. A call to **C\_DecryptFinal**always terminates the active decryption operation unless it returns CKR\_BUFFER\_TOO\_SMALL or is a
  successful call (*i.e.*, one which returns CKR\_OK) to determine the length of the buffer needed to hold the
  plaintext.
- 4238 If the input ciphertext data cannot be decrypted because it has an inappropriate length, then either
- 4239 CKR\_ENCRYPTED\_DATA\_INVALID or CKR\_ENCRYPTED\_DATA\_LEN\_RANGE may be returned.
- 4240 Return values: CKR\_ARGUMENTS\_BAD, CKR\_BUFFER\_TOO\_SMALL,
- 4241 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 4242 CKR\_DEVICE\_REMOVED, CKR\_ENCRYPTED\_DATA\_INVALID,
- 4243 CKR\_ENCRYPTED\_DATA\_LEN\_RANGE, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 4244 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 4245 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN.
- 4246 Example:

```
4247
       #define CIPHERTEXT BUF SZ 256
4248
       #define PLAINTEXT BUF SZ 256
4249
4250
       CK ULONG firstEncryptedPieceLen, secondEncryptedPieceLen;
4251
       CK SESSION HANDLE hSession;
4252
       CK OBJECT HANDLE hKey;
4253
       CK BYTE iv[8];
4254
       CK MECHANISM mechanism = {
4255
         CKM DES CBC PAD, iv, sizeof(iv)
4256
       };
4257
       CK BYTE data[PLAINTEXT BUF SZ];
4258
       CK BYTE encryptedData[CIPHERTEXT BUF SZ];
4259
       CK ULONG ulData1Len, ulData2Len, ulData3Len;
4260
       CK RV rv;
4261
4262
4263
4264
       firstEncryptedPieceLen = 90;
4265
       secondEncryptedPieceLen = CIPHERTEXT BUF SZ-firstEncryptedPieceLen;
4266
       rv = C DecryptInit(hSession, &mechanism, hKey);
4267
       if (rv == CKR OK) {
4268
         /* Decrypt first piece */
4269
         ulData1Len = sizeof(data);
4270
         rv = C DecryptUpdate(
4271
           hSession,
4272
           &encryptedData[0], firstEncryptedPieceLen,
4273
           &data[0], &ulData1Len);
4274
         if (rv != CKR OK) {
4275
4276
4277
         }
4278
```

```
4279
         /* Decrypt second piece */
4280
         ulData2Len = sizeof(data)-ulData1Len;
4281
         rv = C DecryptUpdate(
4282
           hSession,
4283
           &encryptedData[firstEncryptedPieceLen],
4284
           secondEncryptedPieceLen,
4285
           &data[ulData1Len], &ulData2Len);
4286
         if (rv != CKR OK) {
4287
4288
4289
         }
4290
4291
         /* Get last little decrypted bit */
4292
         ulData3Len = sizeof(data) -ulData1Len-ulData2Len;
4293
         rv = C DecryptFinal(
4294
           hSession,
4295
           &data[ulData1Len+ulData2Len], &ulData3Len);
4296
         if (rv != CKR OK) {
4297
4298
4299
         }
4300
```

# **5.11 Message-Based Decryption Functions**

4302 Message-based decryption refers to the process of decrypting multiple encrypted messages using the 4303 same decryption mechanism and decryption key. The decryption mechanism can be either an authenticated encryption with associated data (AEAD) algorithm or a pure encryption algorithm. 4304

4305 Cryptoki provides the following functions for message-based decryption.

# **5.11.1 C MessageDecryptInit**

4301

4306

```
4307
       CK DECLARE FUNCTION (CK RV, C MessageDecryptInit) (
         CK SESSION HANDLE hSession,
4308
4309
         CK MECHANISM PTR pMechanism,
         CK OBJECT HANDLE hKey
4310
4311
```

4312 C MessageDecryptInit initializes a message-based decryption process, preparing a session for one or 4313 more decryption operations that use the same decryption mechanism and decryption key. hSession is 4314 the session's handle; pMechanism points to the decryption mechanism; hKey is the handle of the 4315 decryption key.

4316 The CKA DECRYPT attribute of the decryption key, which indicates whether the key supports decryption, 4317 MUST be CK\_TRUE.

4318 After calling C MessageDecryptInit, the application can either call C DecryptMessage to decrypt an 4319 encrypted message in a single part; or call C\_DecryptMessageBegin, followed by

C DecryptMessageNext one or more times, to decrypt an encrypted message in multiple parts. This 4320 4321 may be repeated several times. The message-based decryption process is active until the application

4322 uses a call to **C** MessageDecryptFinal to finish the message-based decryption process.

- 4323 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 4324 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4325 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4326 CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED, CKR KEY HANDLE INVALID,
- 4327 CKR KEY SIZE RANGE, CKR KEY TYPE INCONSISTENT, CKR MECHANISM INVALID,
- 4328 CKR\_MECHANISM\_PARAM\_INVALID, CKR\_OK, CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED,
- 4329 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 4330 CKR OPERATION CANCEL FAILED.

### 4331 **5.11.2** C\_DecryptMessage

```
4332
       CK DECLARE FUNCTION (CK RV, C DecryptMessage) (
4333
         CK SESSION HANDLE hSession,
4334
         CK VOID PTR pParameter,
         CK ULONG ulParameterLen,
4335
4336
         CK BYTE PTR pAssociatedData,
4337
         CK ULONG ulAssociatedDataLen,
4338
         CK BYTE PTR pCiphertext,
4339
         CK ULONG ulCiphertextLen,
4340
         CK BYTE PTR pPlaintext,
4341
         CK ULONG PTR pulPlaintextLen
4342
```

- 4343 **C\_DecryptMessage** decrypts an encrypted message in a single part. *hSession* is the session's handle;
- 4344 *pParameter* and *ulParameterLen* specify any mechanism-specific parameters for the message decryption
- operation; pAssociatedData and ulAssociatedDataLen specify the associated data for an AEAD
- 4346 mechanism; *pCiphertext* points to the encrypted message; *ulCiphertextLen* is the length of the encrypted
- message; *pPlaintext* points to the location that receives the recovered message; *pulPlaintextLen* points to
- 4348 the location that holds the length of the recovered message.
- 4349 Typically, pParameter is an initialization vector (IV) or nonce. Unlike the pParameter parameter of
- 4350 **C\_EncryptMessage**, *pParameter* is always an input parameter.
- 4351 If the decryption mechanism is not AEAD, pAssociatedData and ulAssociatedDataLen are not used and
- 4352 should be set to (NULL, 0).
- 4353 **C DecryptMessage** uses the convention described in Section 5.2 on producing output.
- The message-based decryption process MUST have been initialized with **C\_MessageDecryptInit**. A call
- 4355 to **C DecryptMessage** begins and terminates a message decryption operation.
- 4356 **C\_DecryptMessage** cannot be called in the middle of a multi-part message decryption operation.
- The ciphertext and plaintext can be in the same place, i.e., it is OK if *pCiphertext* and *pPlaintext* point to
- 4358 the same location.
- 4359 If the input ciphertext data cannot be decrypted because it has an inappropriate length, then either
- 4360 CKR ENCRYPTED DATA INVALID or CKR ENCRYPTED DATA LEN RANGE may be returned.
- 4361 If the decryption mechanism is an AEAD algorithm and the authenticity of the associated data or
- 4362 ciphertext cannot be verified, then CKR AEAD DECRYPT FAILED is returned.
- 4363 For most mechanisms, C DecryptMessage is equivalent to C DecryptMessageBegin followed by a
- 4364 sequence of **C DecryptMessageNext** operations.
- 4365 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4366 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 4367 CKR DEVICE REMOVED, CKR ENCRYPTED DATA INVALID,
- 4368 CKR ENCRYPTED DATA LEN RANGE, CKR AEAD DECRYPT FAILED.
- 4369 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 4370 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
- 4371 CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 4372 CKR OPERATION CANCEL FAILED.

## 5.11.3 C\_DecryptMessageBegin

4373

```
CK_DECLARE_FUNCTION(CK_RV, C_DecryptMessageBegin)(
CK_SESSION_HANDLE hSession,
CK_VOID_PTR pParameter,
CK_ULONG ulParameterLen,
CK_BYTE_PTR pAssociatedData,
CK_ULONG ulAssociatedDataLen
);
```

- 4381 **C\_DecryptMessageBegin** begins a multiple-part message decryption operation. *hSession* is the session's handle; *pParameter* and *ulParameterLen* specify any mechanism-specific parameters for the message decryption operation; *pAssociatedData* and *ulAssociatedDataLen* specify the associated data for an AEAD mechanism.
- Typically, *pParameter* is an initialization vector (IV) or nonce. Unlike the *pParameter* parameter of **C\_EncryptMessageBegin**, *pParameter* is always an input parameter.
- If the decryption mechanism is not AEAD, *pAssociatedData* and *ulAssociatedDataLen* are not used and should be set to (NULL, 0).
- After calling **C\_DecryptMessageBegin**, the application should call **C\_DecryptMessageNext** one or more times to decrypt the encrypted message in multiple parts. The message decryption operation is active until the application uses a call to **C\_DecryptMessageNext** with flags=CKF\_END\_OF\_MESSAGE
- to actually obtain the final piece of plaintext. To process additional encrypted messages (in single or
- multiple parts), the application MUST call **C\_DecryptMessage** or **C\_DecryptMessageBegin** again.
- 4394 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 4395 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 4396 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 4397 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED,
- 4398 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.

### 4399 **5.11.4** C\_DecryptMessageNext

```
CK_DECLARE_FUNCTION(CK_RV, C_DecryptMessageNext)(

CK_SESSION_HANDLE hSession,

CK_BYTE_PTR pCiphertextPart,

CK_ULONG ulCiphertextPartLen,

CK_BYTE_PTR pPlaintextPart,

CK_ULONG_PTR pulPlaintextPartLen,

CK_ULONG_PTR pulPlaintextPartLen,

CK_FLAGS flags

4407

);
```

- **C\_DecryptMessageNext** continues a multiple-part message decryption operation, processing another encrypted message part. *hSession* is the session's handle; *pCiphertextPart* points to the encrypted message part; *ulCiphertextPartLen* is the length of the encrypted message part; *pPlaintextPart* points to the location that receives the recovered message part; *pulPlaintextPartLen* points to the location that holds the length of the recovered message part; flags is set to 0 if there is more ciphertext data to follow, or set to CKF\_END\_OF\_MESSAGE if this is the last ciphertext part.
- 4414 **C\_DecryptMessageNext** uses the convention described in Section 5.2 on producing output.
- The message decryption operation MUST have been started with **C\_DecryptMessageBegin.** This
- 4416 function may be called any number of times in succession. A call to C\_DecryptMessageNext with
- flags=0 which results in an error other than CKR BUFFER TOO SMALL terminates the current message
- decryption operation. A call to **C DecryptMessageNext** with flags=CKF\_END\_OF\_MESSAGE always
- 4419 terminates the active message decryption operation unless it returns CKR BUFFER TOO SMALL or is a
- successful call (i.e., one which returns CKR OK) to determine the length of the buffer needed to hold the
- 4421 plaintext.

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The ciphertext and plaintext can be in the same place, i.e., it is OK if *pCiphertextPart* and *pPlaintextPart* 4423 point to the same location.

- 4424 Although the last C\_DecryptMessageNext call ends the decryption of a message, it does not finish the
- 4425 message-based decryption process. Additional C DecryptMessage or C DecryptMessageBegin and
- 4426 C DecryptMessageNext calls may be made on the session.
- 4427 If the input ciphertext data cannot be decrypted because it has an inappropriate length, then either
- 4428 CKR ENCRYPTED DATA INVALID or CKR ENCRYPTED DATA LEN RANGE may be returned by
- 4429 the last **C DecryptMessageNext** call.
- 4430 If the decryption mechanism is an AEAD algorithm and the authenticity of the associated data or
- 4431 ciphertext cannot be verified, then CKR AEAD DECRYPT FAILED is returned by the last
- 4432 C DecryptMessageNext call.
- 4433 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4434 CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- CKR DEVICE REMOVED, CKR ENCRYPTED DATA INVALID, 4435
- CKR ENCRYPTED DATA LEN RANGE, CKR AEAD DECRYPT FAILED, 4436
- CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR, 4437
- 4438 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
- 4439 CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.

#### 4440 5.11.5 C MessageDecryptFinal

```
4441
       CK DECLARE FUNCTION (CK RV, C MessageDecryptFinal) (
4442
         CK SESSION HANDLE hSession
4443
       );
```

- 4444 C MessageDecryptFinal finishes a message-based decryption process. hSession is the session's
- 4445 handle.
- 4446 The message-based decryption process MUST have been initialized with **C\_MessageDecryptInit**.
- 4447 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4448 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4449 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4450 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
- CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN. 4451

#### **5.12 Message digesting functions** 4452

4453 Cryptoki provides the following functions for digesting data:

#### 5.12.1 C\_DigestInit 4454

```
CK DECLARE FUNCTION (CK RV, C DigestInit) (
4455
4456
         CK SESSION HANDLE hSession,
4457
         CK MECHANISM PTR pMechanism
4458
```

- 4459 C DigestInit initializes a message-digesting operation. hSession is the session's handle; pMechanism points to the digesting mechanism. 4460
- 4461 After calling C\_DigestInit, the application can either call C\_Digest to digest data in a single part; or call
- C DigestUpdate zero or more times, followed by C DigestFinal, to digest data in multiple parts. The 4462
- 4463 message-digesting operation is active until the application uses a call to C Digest or C DigestFinal to
- 4464 actually obtain the message digest. To process additional data (in single or multiple parts), the
- 4465 application MUST call **C DigestInit** again.
- 4466 C DigestInit can be called with pMechanism set to NULL PTR to terminate an active message-digesting
- 4467 operation. If an operation has been initialized and it cannot be cancelled,
- 4468 CKR OPERATION CANCEL FAILED must be returned.
- 4469 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4470 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,

- 4471 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4472 CKR HOST MEMORY, CKR MECHANISM INVALID, CKR MECHANISM PARAM INVALID,
- 4473 CKR\_OK, CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED, CKR\_SESSION\_CLOSED,
- 4474 CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 4475 CKR\_OPERATION\_CANCEL\_FAILED.
- 4476 Example: see C\_DigestFinal.

#### 4477 **5.12.2 C Digest**

- 4485 **C\_Digest** digests data in a single part. *hSession* is the session's handle, *pData* points to the data; 4486 *ulDataLen* is the length of the data; *pDigest* points to the location that receives the message digest;
- 4487 *pulDigestLen* points to the location that holds the length of the message digest.
- 4488 **C** Digest uses the convention described in Section 5.2 on producing output.
- The digest operation MUST have been initialized with **C\_DigestInit**. A call to **C\_Digest** always
- 4490 terminates the active digest operation unless it returns CKR\_BUFFER\_TOO\_SMALL or is a successful
- call (*i.e.*, one which returns CKR\_OK) to determine the length of the buffer needed to hold the message digest.
- 4493 **C\_Digest** cannot be used to terminate a multi-part operation, and MUST be called after **C\_DigestInit** without intervening **C DigestUpdate** calls.
- The input data and digest output can be in the same place, *i.e.*, it is OK if *pData* and *pDigest* point to the same location.
- 4497 **C\_Digest** is equivalent to a sequence of **C\_DigestUpdate** operations followed by **C\_DigestFinal**.
- 4498 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4499 CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 4500 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 4501 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 4502 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID.
- 4503 Example: see **C\_DigestFinal** for an example of similar functions.

#### 4504 **5.12.3 C\_DigestUpdate**

```
4505 CK_DECLARE_FUNCTION(CK_RV, C_DigestUpdate)(
4506 CK_SESSION_HANDLE hSession,
4507 CK_BYTE_PTR pPart,
4508 CK_ULONG ulPartLen
4509 );
```

- 4510 **C\_DigestUpdate** continues a multiple-part message-digesting operation, processing another data part.
- 4511 hSession is the session's handle, pPart points to the data part; ulPartLen is the length of the data part.
- 4512 The message-digesting operation MUST have been initialized with C DigestInit. Calls to this function
- 4513 and C\_DigestKey may be interspersed any number of times in any order. A call to C\_DigestUpdate
- 4514 which results in an error terminates the current digest operation.
- 4515 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 4516 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4517 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 4518 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED,
- 4519 CKR SESSION HANDLE INVALID.

4520 Example: see C\_DigestFinal.

#### 4521 **5.12.4** C\_DigestKey

```
4522 CK_DECLARE_FUNCTION(CK_RV, C_DigestKey)(
4523 CK_SESSION_HANDLE hSession,
4524 CK_OBJECT_HANDLE hKey
4525 );
```

- 4526 **C\_DigestKey** continues a multiple-part message-digesting operation by digesting the value of a secret key. *hSession* is the session's handle; *hKey* is the handle of the secret key to be digested.
- The message-digesting operation MUST have been initialized with **C\_DigestInit**. Calls to this function
- 4529 and **C\_DigestUpdate** may be interspersed any number of times in any order.
- 4530 If the value of the supplied key cannot be digested purely for some reason related to its length,
- 4531 **C DigestKey** should return the error code CKR KEY SIZE RANGE.
- 4532 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 4533 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED.
- 4534 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_KEY\_HANDLE\_INVALID,
- 4535 CKR KEY INDIGESTIBLE, CKR KEY SIZE RANGE, CKR OK,
- 4536 CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.
- 4537 Example: see **C\_DigestFinal**.

### 5.12.5 C\_DigestFinal

```
4539 CK_DECLARE_FUNCTION(CK_RV, C_DigestFinal)(
4540 CK_SESSION_HANDLE hSession,
4541 CK_BYTE_PTR pDigest,
4542 CK_ULONG_PTR pulDigestLen
4543 );
```

- 4544 **C DigestFinal** finishes a multiple-part message-digesting operation, returning the message digest.
- 4545 hSession is the session's handle; pDigest points to the location that receives the message digest;
- 4546 *pulDigestLen* points to the location that holds the length of the message digest.
- 4547 **C** DigestFinal uses the convention described in Section 5.2 on producing output.
- 4548 The digest operation MUST have been initialized with **C\_DigestInit**. A call to **C\_DigestFinal** always
- 4549 terminates the active digest operation unless it returns CKR\_BUFFER\_TOO\_SMALL or is a successful
- call (i.e., one which returns CKR\_OK) to determine the length of the buffer needed to hold the message
- 4551 digest.

- 4552 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4553 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 4554 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 4555 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED,
- 4556 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID.
- 4557 Example:

```
4558
       CK SESSION HANDLE hSession;
4559
       CK MECHANISM mechanism = {
4560
         CKM MD5, NULL PTR, 0
4561
       };
4562
       CK BYTE data[] = \{...\};
4563
       CK BYTE digest[16];
4564
       CK ULONG ulDigestLen;
4565
       CK RV rv;
```

```
4566
4567
4568
4569
       rv = C DigestInit(hSession, &mechanism);
4570
       if (rv != CKR OK) {
4571
4572
4573
4574
4575
       rv = C DigestUpdate(hSession, data, sizeof(data));
4576
       if (rv != CKR OK) {
4577
4578
4579
       }
4580
4581
       rv = C DigestKey(hSession, hKey);
4582
       if (rv != CKR OK) {
4583
4584
4585
       }
4586
4587
       ulDigestLen = sizeof(digest);
4588
       rv = C DigestFinal(hSession, digest, &ulDigestLen);
4589
4590
```

# **5.13 Signing and MACing functions**

4592 Cryptoki provides the following functions for signing data (for the purposes of Cryptoki, these operations also encompass message authentication codes).

# 4594 **5.13.1** C SignInit

4591

4600

4601

```
4595
CK_DECLARE_FUNCTION(CK_RV, C_SignInit)(
4596
CK_SESSION_HANDLE hSession,
CK_MECHANISM_PTR pMechanism,
4598
CK_OBJECT_HANDLE hKey
4599
);
```

- **C\_SignInit** initializes a signature operation, where the signature is an appendix to the data. *hSession* is the session's handle; *pMechanism* points to the signature mechanism; *hKey* is the handle of the signature key.
- The **CKA\_SIGN** attribute of the signature key, which indicates whether the key supports signatures with appendix, MUST be CK\_TRUE.
- After calling **C\_SignInit**, the application can either call **C\_Sign** to sign in a single part; or call
- 4606 **C\_SignUpdate** one or more times, followed by **C\_SignFinal**, to sign data in multiple parts. The signature operation is active until the application uses a call to **C\_Sign** or **C\_SignFinal** to actually obtain the
- signature. To process additional data (in single or multiple parts), the application MUST call **C\_SignInit** again.

- 4610 **C\_SignInit** can be called with *pMechanism* set to NULL\_PTR to terminate an active signature operation.
- 4611 If an operation has been initialized and it cannot be cancelled, CKR OPERATION CANCEL FAILED
- 4612 must be returned.
- 4613 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 4614 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4615 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 4616 CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED, CKR KEY HANDLE INVALID,
- 4617 CKR KEY SIZE RANGE, CKR KEY TYPE INCONSISTENT, CKR MECHANISM INVALID,
- 4618 CKR\_MECHANISM\_PARAM\_INVALID, CKR\_OK, CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED,
- 4619 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN,
- 4620 CKR\_OPERATION\_CANCEL\_FAILED.
- 4621 Example: see **C\_SignFinal**.

#### 4622 **5.13.2** C\_Sign

```
CK_DECLARE_FUNCTION(CK_RV, C_Sign)(

CK_SESSION_HANDLE hSession,

CK_BYTE_PTR pData,

CK_ULONG ulDataLen,

CK_BYTE_PTR pSignature,

CK_ULONG_PTR pulSignatureLen

4629

);
```

- 4630 **C\_Sign** signs data in a single part, where the signature is an appendix to the data. *hSession* is the
  4631 session's handle; *pData* points to the data; *ulDataLen* is the length of the data; *pSignature* points to the
  4632 location that receives the signature; *pulSignatureLen* points to the location that holds the length of the
  4633 signature.
- 4634 **C\_Sign** uses the convention described in Section 5.2 on producing output.
- 4635 The signing operation MUST have been initialized with **C\_SignInit**. A call to **C\_Sign** always terminates
- 4636 the active signing operation unless it returns CKR\_BUFFER\_TOO\_SMALL or is a successful call (i.e.,
- one which returns CKR\_OK) to determine the length of the buffer needed to hold the signature.
- 4638 **C\_Sign** cannot be used to terminate a multi-part operation, and MUST be called after **C\_SignInit** without intervening **C SignUpdate** calls.
- 4640 For most mechanisms, **C** Sign is equivalent to a sequence of **C** SignUpdate operations followed by
- 4641 C SignFinal.

4656

4657

- 4642 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4643 CKR CRYPTOKI NOT INITIALIZED, CKR DATA INVALID, CKR DATA LEN RANGE,
- 4644 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4645 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR.
- 4646 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED,
- 4647 CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN, CKR FUNCTION REJECTED,
- 4648 CKR TOKEN RESOURCE EXCEEDED.
- 4649 Example: see **C** SignFinal for an example of similar functions.

#### 4650 **5.13.3 C\_SignUpdate**

**C\_SignUpdate** continues a multiple-part signature operation, processing another data part. *hSession* is the session's handle, *pPart* points to the data part; *ulPartLen* is the length of the data part.

- The signature operation MUST have been initialized with **C\_SignInit**. This function may be called any
- 4659 number of times in succession. A call to **C** SignUpdate which results in an error terminates the current
- 4660 signature operation.

4668

- 4661 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 4662 CKR DATA LEN RANGE, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 4663 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 4664 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 4665 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN,
- 4666 CKR\_TOKEN\_RESOURCE\_EXCEEDED.
- 4667 Example: see **C\_SignFinal**.

### 5.13.4 C\_SignFinal

```
4669 CK_DECLARE_FUNCTION(CK_RV, C_SignFinal)(
4670 CK_SESSION_HANDLE hSession,
4671 CK_BYTE_PTR pSignature,
4672 CK_ULONG_PTR pulSignatureLen
4673 );
```

- 4674 **C\_SignFinal** finishes a multiple-part signature operation, returning the signature. *hSession* is the session's handle; *pSignature* points to the location that receives the signature; *pulSignatureLen* points to the location that holds the length of the signature.
- 4677 **C\_SignFinal** uses the convention described in Section 5.2 on producing output.
- The signing operation MUST have been initialized with **C\_SignInit**. A call to **C\_SignFinal** always
- 4679 terminates the active signing operation unless it returns CKR\_BUFFER\_TOO\_SMALL or is a successful
- 4680 call (i.e., one which returns CKR OK) to determine the length of the buffer needed to hold the signature.
- 4681 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4682 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DATA\_LEN\_RANGE, CKR\_DEVICE\_ERROR,
- 4683 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION CANCELED,
- 4684 CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK,
- 4685 CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED, CKR SESSION HANDLE INVALID,
- 4686 CKR\_USER\_NOT\_LOGGED\_IN, CKR\_FUNCTION\_REJECTED,
- 4687 CKR TOKEN RESOURCE EXCEEDED.
- 4688 Example:

```
4689
       CK SESSION HANDLE hSession;
4690
       CK OBJECT HANDLE hKey;
4691
       CK MECHANISM mechanism = {
4692
         CKM DES MAC, NULL PTR, 0
4693
       };
4694
       CK BYTE data[] = {...};
4695
       CK BYTE mac[4];
4696
       CK ULONG ulMacLen;
4697
       CK RV rv;
4698
4699
4700
4701
       rv = C SignInit(hSession, &mechanism, hKey);
4702
       if (rv == CKR OK) {
4703
         rv = C SignUpdate(hSession, data, sizeof(data));
4704
```

```
4705 .
4706 ulMacLen = sizeof(mac);
4707 rv = C_SignFinal(hSession, mac, &ulMacLen);
4708 .
4709 .
4710 }
```

### 4711 **5.13.5** C\_SignRecoverInit

```
4712 CK_DECLARE_FUNCTION(CK_RV, C_SignRecoverInit)(
4713 CK_SESSION_HANDLE hSession,
4714 CK_MECHANISM_PTR pMechanism,
4715 CK_OBJECT_HANDLE hKey
4716 );
```

- 4717 **C\_SignRecoverInit** initializes a signature operation, where the data can be recovered from the signature.
- 4718 hSession is the session's handle; pMechanism points to the structure that specifies the signature
- 4719 mechanism; *hKey* is the handle of the signature key.
- The CKA\_SIGN\_RECOVER attribute of the signature key, which indicates whether the key supports
- signatures where the data can be recovered from the signature, MUST be CK\_TRUE.
- 4722 After calling **C\_SignRecoverInit**, the application may call **C\_SignRecover** to sign in a single part. The
- 4723 signature operation is active until the application uses a call to C SignRecover to actually obtain the
- 4724 signature. To process additional data in a single part, the application MUST call **C\_SignRecoverInit**
- 4725 again.

4738

- 4726 **C\_SignRecoverInit** can be called with *pMechanism* set to NULL\_PTR to terminate an active signature
- with data recovery operation. If an active operation has been initialized and it cannot be cancelled,
- 4728 CKR OPERATION CANCEL FAILED must be returned.
- 4729 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4730 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 4731 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4732 CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED, CKR KEY HANDLE INVALID,
- 4733 CKR KEY SIZE RANGE, CKR KEY TYPE INCONSISTENT, CKR MECHANISM INVALID,
- 4734 CKR MECHANISM PARAM INVALID, CKR OK, CKR OPERATION ACTIVE, CKR PIN EXPIRED,
- 4735 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 4736 CKR OPERATION CANCEL FAILED.
- 4737 Example: see C SignRecover.

#### 5.13.6 C SignRecover

```
4739 CK_DECLARE_FUNCTION(CK_RV, C_SignRecover)(
4740 CK_SESSION_HANDLE hSession,
4741 CK_BYTE_PTR pData,
4742 CK_ULONG ulDataLen,
4743 CK_BYTE_PTR pSignature,
4744 CK_ULONG_PTR pulSignatureLen
4745 );
```

- 4746 **C\_SignRecover** signs data in a single operation, where the data can be recovered from the signature.
- 4747 hSession is the session's handle; pData points to the data; uLDataLen is the length of the data;
- *pSignature* points to the location that receives the signature; *pulSignatureLen* points to the location that holds the length of the signature.
- 4750 **C\_SignRecover** uses the convention described in Section 5.2 on producing output.
- The signing operation MUST have been initialized with **C\_SignRecoverInit**. A call to **C\_SignRecover**
- 4752 always terminates the active signing operation unless it returns CKR BUFFER TOO SMALL or is a

```
4753
       successful call (i.e., one which returns CKR OK) to determine the length of the buffer needed to hold the
4754
       signature.
4755
       Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
4756
       CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_INVALID, CKR_DATA_LEN_RANGE,
       CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
4757
       CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR.
4758
       CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
4759
       CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
4760
       CKR TOKEN RESOURCE EXCEEDED.
4761
```

4762 Example:

4786

4791

```
4763
       CK SESSION HANDLE hSession;
4764
       CK OBJECT HANDLE hKey;
4765
       CK MECHANISM mechanism = {
4766
         CKM RSA 9796, NULL PTR, 0
4767
       };
4768
       CK BYTE data[] = \{...\};
4769
       CK BYTE signature[128];
4770
       CK ULONG ulSignatureLen;
4771
       CK RV rv;
4772
4773
4774
4775
       rv = C SignRecoverInit(hSession, &mechanism, hKey);
4776
       if (rv == CKR OK) {
4777
         ulSignatureLen = sizeof(signature);
4778
         rv = C SignRecover(
4779
           hSession, data, sizeof(data), signature, &ulSignatureLen);
4780
         if (rv == CKR OK) {
4781
4782
4783
         }
4784
4785
       Functions for verifying signatures and MACs
```

# **5.14 Message-Based Signing and MACing Functions**

4787 Message-based signature refers to the process of signing multiple messages using the same signature 4788 mechanism and signature key.

Cryptoki provides the following functions for for signing messages (for the purposes of Cryptoki, these operations also encompass message authentication codes).

# **5.14.1** C\_MessageSignInit

```
4792 CK_DECLARE_FUNCTION(CK_RV, C_MessageSignInit)(
4793 CK_SESSION_HANDLE hSession,
4794 CK_MECHANISM_PTR pMechanism,
4795 CK_OBJECT_HANDLE hKey
```

```
4796 | );
```

- 4797 **C\_MessageSignInit** initializes a message-based signature process, preparing a session for one or more signature operations (where the signature is an appendix to the data) that use the same signature
- 4798 Signature operations (where the signature is an appendix to the data) that use the same signature
- mechanism and signature key. *hSession* is the session's handle; *pMechanism* points to the signature
- 4800 mechanism; *hKey* is the handle of the signature key.
- The **CKA\_SIGN** attribute of the signature key, which indicates whether the key supports signatures with
- 4802 appendix, MUST be CK\_TRUE.
- 4803 After calling **C\_MessageSignInit**, the application can either call **C\_SignMessage** to sign a message in a
- single part; or call C SignMessageBegin, followed by C SignMessageNext one or more times, to sign
- 4805 a message in multiple parts. This may be repeated several times. The message-based signature process
- 4806 is active until the application calls **C\_MessageSignFinal** to finish the message-based signature process.
- 4807 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4808 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 4809 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4810 CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED, CKR KEY HANDLE INVALID,
- 4811 CKR\_KEY\_SIZE\_RANGE, CKR\_KEY\_TYPE\_INCONSISTENT, CKR\_MECHANISM\_INVALID,
- 4812 CKR MECHANISM PARAM INVALID, CKR OK, CKR OPERATION ACTIVE, CKR PIN EXPIRED,
- 4813 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.

#### 5.14.2 C\_SignMessage

```
4815
       CK DECLARE FUNCTION (CK RV, C SignMessage) (
4816
         CK SESSION HANDLE hSession,
4817
         CK VOID PTR pParameter,
4818
         CK ULONG ulParameterLen,
4819
         CK BYTE PTR pData,
4820
         CK ULONG ulDataLen,
4821
         CK BYTE PTR pSignature,
4822
         CK ULONG PTR pulSignatureLen
4823
```

- 4824 **C\_SignMessage** signs a message in a single part, where the signature is an appendix to the message.
- 4825 **C** MessageSignInit must previously been called on the session. *hSession* is the session's handle;
- 4826 pParameter and ulParameterLen specify any mechanism-specific parameters for the message signature
- 4827 operation; pData points to the data; ulDataLen is the length of the data; pSignature points to the location
- 4828 that receives the signature; *pulSignatureLen* points to the location that holds the length of the signature.
- Depending on the mechanism parameter passed to **C\_MessageSignInit**, *pParameter* may be either an input or an output parameter.
- 4831 **C SignMessage** uses the convention described in Section 5.2 on producing output.
- 4832 The message-based signing process MUST have been initialized with C MessageSignInit. A call to
- 4833 **C SignMessage** begins and terminates a message signing operation unless it returns
- 4834 CKR\_BUFFER\_TOO\_SMALL to determine the length of the buffer needed to hold the signature, or is a
- 4835 successful call (i.e., one which returns CKR\_OK).
- 4836 **C\_SignMessage** cannot be called in the middle of a multi-part message signing operation.
- 4837 **C\_SignMessage** does not finish the message-based signing process. Additional **C\_SignMessage** or
- 4838 C SignMessageBegin and C SignMessageNext calls may be made on the session.
- 4839 For most mechanisms, C SignMessage is equivalent to C SignMessageBegin followed by a sequence
- 4840 of **C SignMessageNext** operations.
- 4841 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4842 CKR CRYPTOKI NOT INITIALIZED, CKR DATA INVALID, CKR DATA LEN RANGE,
- 4843 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,

- 4844 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 4845 CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED,
- 4846 CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN, CKR\_FUNCTION\_REJECTED,
- 4847 CKR\_TOKEN\_RESOURCE\_EXCEEDED.

4848

4871

# 5.14.3 C\_SignMessageBegin

```
4849 CK_DECLARE_FUNCTION(CK_RV, C_SignMessageBegin)(
4850 CK_SESSION_HANDLE hSession,
4851 CK_VOID_PTR pParameter,
4852 CK_ULONG ulParameterLen
4853 );
```

- 4854 **C\_SignMessageBegin** begins a multiple-part message signature operation, where the signature is an appendix to the message. **C\_MessageSignInit** must previously been called on the session. *hSession* is the session's handle; *pParameter* and *ulParameterLen* specify any mechanism-specific parameters for the message signature operation.
- Depending on the mechanism parameter passed to **C\_MessageSignInit**, *pParameter* may be either an input or an output parameter.
- After calling **C\_SignMessageBegin**, the application should call **C\_SignMessageNext** one or more times
- to sign the message in multiple parts. The message signature operation is active until the application
- uses a call to **C\_SignMessageNext** with a non-NULL *pulSignatureLen* to actually obtain the signature.
- To process additional messages (in single or multiple parts), the application MUST call **C\_SignMessage** or **C SignMessageBegin** again.
- 4865 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4866 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 4867 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR.
- 4868 CKR HOST MEMORY, CKR OK, CKR OPERATION ACTIVE, CKR PIN EXPIRED,
- 4869 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 4870 CKR TOKEN RESOURCE EXCEEDED.

## 5.14.4 C\_SignMessageNext

```
4872
       CK DECLARE FUNCTION(CK RV, C SignMessageNext)(
4873
         CK SESSION HANDLE hSession,
4874
         CK VOID PTR pParameter,
4875
         CK ULONG ulParameterLen,
4876
         CK BYTE PTR pDataPart,
4877
         CK ULONG ulDataPartLen,
4878
         CK BYTE PTR pSignature,
4879
         CK ULONG PTR pulSignatureLen
4880
       );
```

- 4881 **C\_SignMessageNext** continues a multiple-part message signature operation, processing another data part, or finishes a multiple-part message signature operation, returning the signature. *hSession* is the session's handle, *pDataPart* points to the data part; *pParameter* and *ulParameterLen* specify any mechanism-specific parameters for the message signature operation; *ulDataPartLen* is the length of the data part; *pSignature* points to the location that receives the signature; *pulSignatureLen* points to the location that holds the length of the signature.
- The *pulSignatureLen* argument is set to NULL if there is more data part to follow, or set to a non-NULL value (to receive the signature length) if this is the last data part.
- 4889 **C SignMessageNext** uses the convention described in Section 5.2 on producing output.

- 4890 The message signing operation MUST have been started with **C\_SignMessageBegin**. This function may
- 4891 be called any number of times in succession. A call to C SignMessageNext with a NULL
- 4892 pulSignatureLen which results in an error terminates the current message signature operation. A call to
- 4893 C SignMessageNext with a non-NULL pulSignatureLen always terminates the active message signing
- operation unless it returns CKR\_BUFFER\_TOO\_SMALL to determine the length of the buffer needed to
- hold the signature, or is a successful call (i.e., one which returns CKR\_OK).
- 4896 Although the last **C\_SignMessageNext** call ends the signing of a message, it does not finish the
- 4897 message-based signing process. Additional C SignMessage or C SignMessageBegin and
- 4898 **C\_SignMessageNext** calls may be made on the session.
- 4899 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 4900 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DATA\_LEN\_RANGE, CKR\_DEVICE\_ERROR,
- 4901 CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED,
- 4902 CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK,
- 4903 CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID,
- 4904 CKR\_USER\_NOT\_LOGGED\_IN, CKR\_FUNCTION\_REJECTED,
- 4905 CKR\_TOKEN\_RESOURCE\_EXCEEDED.

# 5.14.5 C\_MessageSignFinal

4906

```
4907 CK_DECLARE_FUNCTION(CK_RV, C_MessageSignFinal)(
4908 CK_SESSION_HANDLE hSession
4909 );
```

- 4910 **C\_MessageSignFinal** finishes a message-based signing process. *hSession* is the session's handle.
- 4911 The message-based signing process MUST have been initialized with **C\_MessageSignInit**.
- 4912 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4913 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED.
- 4914 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4915 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED,
- 4916 CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN, CKR\_FUNCTION\_REJECTED,
- 4917 CKR TOKEN RESOURCE EXCEEDED.

# **5.15 Functions for Verifying Signatures and MACs**

- 4919 Cryptoki provides the following functions for verifying signatures on data (for the purposes of Cryptoki,
- 4920 these operations also encompass message authentication codes):

### 4921 **5.15.1 C\_VerifyInit**

```
4922 CK_DECLARE_FUNCTION(CK_RV, C_VerifyInit)(
4923 CK_SESSION_HANDLE hSession,
4924 CK_MECHANISM_PTR pMechanism,
4925 CK_OBJECT_HANDLE hKey
4926 );
```

- 4927 **C\_VerifyInit** initializes a verification operation, where the signature is an appendix to the data. *hSession*
- is the session's handle; *pMechanism* points to the structure that specifies the verification mechanism;
- 4929 *hKey* is the handle of the verification key.
- The **CKA\_VERIFY** attribute of the verification key, which indicates whether the key supports verification where the signature is an appendix to the data, MUST be CK\_TRUE.
- 4932 After calling **C\_VerifyInit**, the application can either call **C\_Verify** to verify a signature on data in a single
- 4933 part; or call **C\_VerifyUpdate** one or more times, followed by **C\_VerifyFinal**, to verify a signature on data
- 4934 in multiple parts. The verification operation is active until the application calls **C Verify** or **C VerifyFinal**.
- 4935 To process additional data (in single or multiple parts), the application MUST call **C VerifyInit** again.

- 4936 **C\_VerifyInit** can be called with *pMechanism* set to NULL\_PTR to terminate an active verification
- 4937 operation. If an active operation has been initialized and it cannot be cancelled,
- 4938 CKR\_OPERATION\_CANCEL\_FAILED must be returned.
- 4939 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 4940 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 4941 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 4942 CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED, CKR KEY HANDLE INVALID.
- 4943 CKR KEY SIZE RANGE, CKR KEY TYPE INCONSISTENT, CKR MECHANISM INVALID,
- 4944 CKR\_MECHANISM\_PARAM\_INVALID, CKR\_OK, CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED,
- 4945 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN,
- 4946 CKR\_OPERATION\_CANCEL\_FAILED.
- 4947 Example: see **C\_VerifyFinal**.

### 5.15.2 **C\_Verify**

4948

- 4956 **C\_Verify** verifies a signature in a single-part operation, where the signature is an appendix to the data.
- 4957 hSession is the session's handle; pData points to the data; ulDataLen is the length of the data;
- 4958 *pSignature* points to the signature; *ulSignatureLen* is the length of the signature.
- The verification operation MUST have been initialized with **C\_VerifyInit**. A call to **C\_Verify** always terminates the active verification operation.
- 4961 A successful call to **C Verify** should return either the value CKR OK (indicating that the supplied
- signature is valid) or CKR\_SIGNATURE\_INVALID (indicating that the supplied signature is invalid). If the
- 4963 signature can be seen to be invalid purely on the basis of its length, then
- 4964 CKR\_SIGNATURE\_LEN\_RANGE should be returned. In any of these cases, the active signing operation is terminated.
- 4966 **C\_Verify** cannot be used to terminate a multi-part operation, and MUST be called after **C\_VerifyInit**
- 4967 without intervening **C\_VerifyUpdate** calls.
- 4968 For most mechanisms, **C\_Verify** is equivalent to a sequence of **C\_VerifyUpdate** operations followed by
- 4969 C VerifyFinal.

4977

- 4970 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED, CKR DATA INVALID,
- 4971 CKR DATA LEN RANGE, CKR DEVICE ERROR, CKR DEVICE MEMORY.
- 4972 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 4973 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 4974 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_SIGNATURE\_INVALID,
- 4975 CKR SIGNATURE LEN RANGE, CKR TOKEN RESOURCE EXCEEDED.
- 4976 Example: see **C** VerifyFinal for an example of similar functions.

#### 5.15.3 C VerifyUpdate

```
4978
CK_DECLARE_FUNCTION(CK_RV, C_VerifyUpdate)(
4979
CK_SESSION_HANDLE hSession,
4980
CK_BYTE_PTR pPart,
CK_ULONG ulPartLen
4982
);
```

4983 **C\_VerifyUpdate** continues a multiple-part verification operation, processing another data part. *hSession* 4984 is the session's handle, *pPart* points to the data part; *ulPartLen* is the length of the data part.

- The verification operation MUST have been initialized with **C\_VerifyInit**. This function may be called any
- number of times in succession. A call to **C\_VerifyUpdate** which results in an error terminates the current
- 4987 verification operation.

4995

- 4988 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 4989 CKR DATA LEN RANGE, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 4990 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 4991 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED,
- 4992 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID,
- 4993 CKR TOKEN RESOURCE EXCEEDED.
- 4994 Example: see **C\_VerifyFinal**.

### 5.15.4 C\_VerifyFinal

```
4996 CK_DECLARE_FUNCTION(CK_RV, C_VerifyFinal)(
4997 CK_SESSION_HANDLE hSession,
4998 CK_BYTE_PTR pSignature,
4999 CK_ULONG ulSignatureLen
5000 );
```

- 5001 **C\_VerifyFinal** finishes a multiple-part verification operation, checking the signature. *hSession* is the session's handle; *pSignature* points to the signature; *ulSignatureLen* is the length of the signature.
- The verification operation MUST have been initialized with **C\_VerifyInit**. A call to **C\_VerifyFinal** always terminates the active verification operation.
- A successful call to **C\_VerifyFinal** should return either the value CKR\_OK (indicating that the supplied signature is valid) or CKR\_SIGNATURE\_INVALID (indicating that the supplied signature is invalid). If the signature can be seen to be invalid purely on the basis of its length, then
- 5008 CKR\_SIGNATURE\_LEN\_RANGE should be returned. In any of these cases, the active verifying operation is terminated.
- 5010 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 5011 CKR DATA LEN RANGE, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 5012 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 5013 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 5014 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR SIGNATURE INVALID,
- 5015 CKR\_SIGNATURE\_LEN\_RANGE, CKR\_TOKEN\_RESOURCE\_EXCEEDED.
- 5016 Example:

```
5017
       CK SESSION HANDLE hSession;
5018
       CK OBJECT HANDLE hKey;
5019
       CK MECHANISM mechanism = {
5020
         CKM DES MAC, NULL PTR, 0
5021
       };
5022
       CK BYTE data[] = {...};
5023
       CK BYTE mac[4];
5024
       CK RV rv;
5025
5026
5027
5028
       rv = C VerifyInit(hSession, &mechanism, hKey);
5029
       if (rv == CKR OK) {
         rv = C VerifyUpdate(hSession, data, sizeof(data));
5030
5031
```

# 5037 5.15.5 C\_VerifyRecoverInit

```
5038 CK_DECLARE_FUNCTION(CK_RV, C_VerifyRecoverInit)(
5039 CK_SESSION_HANDLE hSession,
5040 CK_MECHANISM_PTR pMechanism,
5041 CK_OBJECT_HANDLE hKey
5042 );
```

- 5043 **C\_VerifyRecoverInit** initializes a signature verification operation, where the data is recovered from the signature. *hSession* is the session's handle; *pMechanism* points to the structure that specifies the verification mechanism; *hKey* is the handle of the verification key.
- The **CKA\_VERIFY\_RECOVER** attribute of the verification key, which indicates whether the key supports verification where the data is recovered from the signature, MUST be CK\_TRUE.
- After calling **C\_VerifyRecoverInit**, the application may call **C\_VerifyRecover** to verify a signature on data in a single part. The verification operation is active until the application uses a call to
- 5050 **C\_VerifyRecover** *to actually obtain* the recovered message.
- 5051 **C\_VerifyRecoverInit** can be called with *pMechanism* set to NULL\_PTR to terminate an active verification with data recovery operation. If an active operations has been initialized and it cannot be cancelled,
- 5053 CKR\_OPERATION\_CANCEL\_FAILED must be returned.
- Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 5055 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 5056 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 5057 CKR\_HOST\_MEMORY, CKR\_KEY\_FUNCTION\_NOT\_PERMITTED, CKR\_KEY\_HANDLE\_INVALID,
- 5058 CKR KEY SIZE RANGE, CKR KEY TYPE INCONSISTENT, CKR MECHANISM INVALID,
- 5059 CKR MECHANISM PARAM INVALID, CKR OK, CKR OPERATION ACTIVE, CKR PIN EXPIRED,
- 5060 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN,
- 5061 CKR OPERATION CANCEL FAILED.
- 5062 Example: see C VerifyRecover.

5063

# 5.15.6 C VerifyRecover

```
CK_DECLARE_FUNCTION(CK_RV, C_VerifyRecover)(
CK_SESSION_HANDLE hSession,
CK_BYTE_PTR pSignature,
CK_ULONG ulSignatureLen,
CK_BYTE_PTR pData,
CK_ULONG_PTR pulDataLen
5069
OK_ULONG_PTR pulDataLen
5070
);
```

- 5071 **C\_VerifyRecover** verifies a signature in a single-part operation, where the data is recovered from the signature. *hSession* is the session's handle; *pSignature* points to the signature; *ulSignatureLen* is the length of the signature; *pData* points to the location that receives the recovered data; and *pulDataLen* points to the location that holds the length of the recovered data.
- 5075 **C\_VerifyRecover** uses the convention described in Section 5.2 on producing output.
- 5076 The verification operation MUST have been initialized with **C VerifyRecoverInit**. A call to
- 5077 **C VerifyRecover** always terminates the active verification operation unless it returns
- 5078 CKR\_BUFFER\_TOO\_SMALL or is a successful call (*i.e.*, one which returns CKR\_OK) to determine the length of the buffer needed to hold the recovered data.

- 5080 A successful call to C\_VerifyRecover should return either the value CKR OK (indicating that the supplied signature is valid) or CKR SIGNATURE INVALID (indicating that the supplied signature is 5081 5082 invalid). If the signature can be seen to be invalid purely on the basis of its length, then 5083 CKR SIGNATURE LEN RANGE should be returned. The return codes CKR SIGNATURE INVALID and CKR SIGNATURE LEN RANGE have a higher priority than the return code 5084 CKR BUFFER TOO SMALL, i.e., if C VerifyRecover is supplied with an invalid signature, it will never 5085 return CKR BUFFER TOO SMALL. 5086 5087 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL, CKR CRYPTOKI NOT INITIALIZED, CKR DATA INVALID, CKR DATA LEN RANGE. 5088 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED, 5089 5090 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED, CKR SESSION CLOSED, 5091 CKR\_SESSION\_HANDLE\_INVALID, CKR\_SIGNATURE\_LEN\_RANGE, CKR\_SIGNATURE\_INVALID, 5092 CKR\_TOKEN\_RESOURCE EXCEEDED. 5093
- 5094 Example:

```
5095
       CK SESSION HANDLE hSession;
5096
       CK OBJECT HANDLE hKey;
5097
       CK MECHANISM mechanism = {
5098
         CKM RSA 9796, NULL PTR, 0
5099
       };
5100
       CK BYTE data[] = {...};
5101
       CK ULONG ulDataLen;
5102
       CK BYTE signature[128];
5103
       CK RV rv;
5104
5105
5106
5107
       rv = C VerifyRecoverInit(hSession, &mechanism, hKey);
5108
       if (rv == CKR OK) {
5109
         ulDataLen = sizeof(data);
5110
         rv = C VerifyRecover(
5111
           hSession, signature, sizeof(signature), data, &ulDataLen);
5112
5113
5114
```

# 5.16 Message-Based Functions for Verifying Signatures and MACs

- 5116 Message-based verification refers to the process of verifying signatures on multiple messages using the 5117 same verification mechanism and verification key.
- Cryptoki provides the following functions for verifying signatures on messages (for the purposes of Cryptoki, these operations also encompass message authentication codes).

# 5120 5.16.1 C\_MessageVerifyInit

```
5121 CK_DECLARE_FUNCTION(CK_RV, C_MessageVerifyInit)(
5122 CK_SESSION_HANDLE hSession,
5123 CK_MECHANISM_PTR pMechanism,
```

```
5124
         CK OBJECT HANDLE hKey
5125
       );
```

- 5126 C\_MessageVerifyInit initializes a message-based verification process, preparing a session for one or more verification operations (where the signature is an appendix to the data) that use the same 5127 verification mechanism and verification key. hSession is the session's handle; pMechanism points to the 5128
- structure that specifies the verification mechanism; hKey is the handle of the verification key. 5129
- 5130 The CKA\_VERIFY attribute of the verification key, which indicates whether the key supports verification
- 5131 where the signature is an appendix to the data, MUST be CK TRUE.
- 5132 After calling C MessageVerifyInit, the application can either call C VerifyMessage to verify a signature
- 5133 on a message in a single part; or call C\_VerifyMessageBegin, followed by C\_VerifyMessageNext one
- 5134 or more times, to verify a signature on a message in multiple parts. This may be repeated several times.
- The message-based verification process is active until the application calls C MessageVerifyFinal to 5135
- finish the message-based verification process. 5136
- Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED, 5137
- 5138 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED.
- CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR. 5139
- 5140 CKR HOST MEMORY, CKR KEY FUNCTION NOT PERMITTED, CKR KEY HANDLE INVALID,
- CKR KEY SIZE RANGE, CKR KEY TYPE INCONSISTENT, CKR MECHANISM INVALID, 5141
- CKR MECHANISM PARAM INVALID, CKR OK, CKR OPERATION ACTIVE, CKR PIN EXPIRED, 5142
- CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN. 5143

### 5.16.2 C\_VerifyMessage

5144

5154

5155

5156

```
5145
       CK DECLARE FUNCTION(CK RV, C VerifyMessage)(
5146
         CK SESSION HANDLE hSession,
5147
         CK VOID PTR pParameter,
5148
         CK ULONG ulParameterLen,
5149
         CK BYTE PTR pData,
5150
         CK ULONGu lDataLen,
5151
         CK BYTE PTR pSignature,
5152
         CK ULONG ulSignatureLen
5153
       );
```

- C\_VerifyMessage verifies a signature on a message in a single part operation, where the signature is an appendix to the data. **C** MessageVerifyInit must previously been called on the session. hSession is the session's handle; pParameter and ulParameterLen specify any mechanism-specific parameters for the message verification operation; pData points to the data; ulDataLen is the length of the data; pSignature points to the signature; *ulSignatureLen* is the length of the signature.
- Unlike the *pParameter* parameter of **C\_SignMessage**, *pParameter* is always an input parameter. 5159
- 5160 The message-based verification process MUST have been initialized with **C MessageVerifyInit**. A call to C VerifyMessage starts and terminates a message verification operation. 5161
- A successful call to C VerifyMessage should return either the value CKR OK (indicating that the 5162
- 5163 supplied signature is valid) or CKR SIGNATURE INVALID (indicating that the supplied signature is
- 5164 invalid). If the signature can be seen to be invalid purely on the basis of its length, then
- CKR SIGNATURE LEN RANGE should be returned. 5165
- C VerifyMessage does not finish the message-based verification process. Additional C VerifyMessage 5166 or C VerifyMessageBegin and C VerifyMessageNext calls may be made on the session. 5167
- For most mechanisms, C VerifyMessage is equivalent to C VerifyMessageBegin followed by a 5168
- 5169 sequence of C VerifyMessageNext operations.
- 5170 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED, CKR DATA INVALID,
- CKR DATA LEN RANGE, CKR DEVICE ERROR, CKR DEVICE MEMORY, 5171

- 5172 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 5173 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED,
- 5174 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_SIGNATURE\_INVALID,
- 5175 CKR SIGNATURE LEN RANGE, CKR TOKEN RESOURCE EXCEEDED.

# 5.16.3 C\_VerifyMessageBegin

```
5177 CK_DECLARE_FUNCTION(CK_RV, C_VerifyMessageBegin)(
5178 CK_SESSION_HANDLE hSession,
5179 CK_VOID_PTR pParameter,
5180 CK_ULONG ulParameterLen
5181 );
```

- C\_VerifyMessageBegin begins a multiple-part message verification operation, where the signature is an appendix to the message. C\_MessageVerifyInit must previously been called on the session. hSession is the session's handle; pParameter and ulParameterLen specify any mechanism-specific parameters for the message verification operation.
- 5186 Unlike the *pParameter* parameter of **C\_SignMessageBegin**, *pParameter* is always an input parameter.
- After calling **C\_VerifyMessageBegin**, the application should call **C\_VerifyMessageNext** one or more
- 5188 times to verify a signature on a message in multiple parts. The message verification operation is active
- until the application calls **C VerifyMessageNext** with a non-NULL *pSignature*. To process additional
- 5190 messages (in single or multiple parts), the application MUST call C VerifyMessage or
- 5191 **C\_VerifyMessageBegin** again.

5197

- 5192 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 5193 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 5194 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 5195 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED,
- 5196 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_USER\_NOT\_LOGGED\_IN.

#### 5.16.4 C\_VerifyMessageNext

```
5198
       CK DECLARE FUNCTION (CK RV, C VerifyMessageNext) (
5199
         CK SESSION HANDLE hSession,
5200
         CK VOID PTR pParameter,
5201
         CK ULONG ulParameterLen,
5202
         CK BYTE PTR pDataPart,
5203
         CK ULONGu lDataPartLen,
5204
         CK BYTE PTR pSignature,
5205
         CK ULONG ulSignatureLen
5206
```

- C\_VerifyMessageNext continues a multiple-part message verification operation, processing another data part, or finishes a multiple-part message verification operation, checking the signature. hSession is the session's handle, pParameter and ulParameterLen specify any mechanism-specific parameters for the message verification operation, pPart points to the data part; ulPartLen is the length of the data part; pSignature points to the signature: ulSignatureLen is the length of the signature.
- The *pSignature* argument is set to NULL if there is more data part to follow, or set to a non-NULL value (pointing to the signature to verify) if this is the last data part.
- $5214 \qquad \hbox{The message verification operation MUST have been started with $\mathbf{C}\_\mathbf{VerifyMessageBegin}$. This function}$
- may be called any number of times in succession. A call to **C\_VerifyMessageNext** with a NULL
- 5216 pSignature which results in an error terminates the current message verification operation. A call to

- ${\bf C\_VerifyMessageNext} \ \ {\bf with} \ \ a \ non\text{-NULL} \ \ pSignature \ \ always \ terminates \ the \ active \ message \ \ verification$
- 5218 operation.
- 5219 A successful call to **C\_VerifyMessageNext** with a non-NULL *pSignature* should return either the value
- 5220 CKR\_OK (indicating that the supplied signature is valid) or CKR\_SIGNATURE\_INVALID (indicating that
- the supplied signature is invalid). If the signature can be seen to be invalid purely on the basis of its
- 5222 length, then CKR\_SIGNATURE\_LEN\_RANGE should be returned. In any of these cases, the active
- 5223 message verifying operation is terminated.
- 5224 Although the last **C VerifyMessageNext** call ends the verification of a message, it does not finish the
- 5225 message-based verification process. Additional C\_VerifyMessage or C\_VerifyMessageBegin and
- 5226 **C VerifyMessageNext** calls may be made on the session.
- 5227 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 5228 CKR\_DATA\_LEN\_RANGE, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 5229 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 5230 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 5231 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR SIGNATURE INVALID,
- 5232 CKR\_SIGNATURE\_LEN\_RANGE, CKR\_TOKEN\_RESOURCE\_EXCEEDED.

#### 5233 5.16.5 C MessageVerifyFinal

- 5237 **C\_MessageVerifyFinal** finishes a message-based verification process. *hSession* is the session's handle.
- 5238 The message-based verification process MUST have been initialized with **C\_MessageVerifyInit**.
- 5239 Return values: CKR ARGUMENTS BAD, CKR CRYPTOKI NOT INITIALIZED,
- 5240 CKR\_DATA\_LEN\_RANGE, CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY,
- 5241 CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 5242 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED,
- 5243 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID,
- 5244 CKR TOKEN RESOURCE EXCEEDED.

# 5.17 Dual-function cryptographic functions

- 5246 Cryptoki provides the following functions to perform two cryptographic operations "simultaneously" within
- 5247 a session. These functions are provided so as to avoid unnecessarily passing data back and forth to and
- 5248 from a token.

5245

5249

## 5.17.1 C\_DigestEncryptUpdate

```
CK_DECLARE_FUNCTION(CK_RV, C_DigestEncryptUpdate)(

CK_SESSION_HANDLE hSession,

CK_BYTE_PTR pPart,

CK_ULONG ulPartLen,

CK_BYTE_PTR pEncryptedPart,

CK_ULONG_PTR pulEncryptedPartLen

);
```

- 5257 **C\_DigestEncryptUpdate** continues multiple-part digest and encryption operations, processing another 5258 data part. *hSession* is the session's handle; *pPart* points to the data part; *ulPartLen* is the length of the 5259 data part; *pEncryptedPart* points to the location that receives the digested and encrypted data part;
- 5260 pulEncryptedPartLen points to the location that holds the length of the encrypted data part.
- 5261 **C\_DigestEncryptUpdate** uses the convention described in Section 5.2 on producing output. If a
- 5262 **C** DigestEncryptUpdate call does not produce encrypted output (because an error occurs, or because

- *pEncryptedPart* has the value NULL\_PTR, or because *pulEncryptedPartLen* is too small to hold the entire encrypted part output), then no plaintext is passed to the active digest operation.
- 5265 Digest and encryption operations MUST both be active (they MUST have been initialized with
- 5266 **C\_DigestInit** and **C\_EncryptInit**, respectively). This function may be called any number of times in
- succession, and may be interspersed with **C\_DigestUpdate**, **C\_DigestKey**, and **C\_EncryptUpdate** calls
- 5268 (it would be somewhat unusual to intersperse calls to **C\_DigestEncryptUpdate** with calls to
- 5269 **C\_DigestKey**, however).
- 5270 Return values: CKR\_ARGUMENTS\_BAD, CKR\_BUFFER\_TOO\_SMALL,
- 5271 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_DATA\_LEN\_RANGE, CKR\_DEVICE\_ERROR,
- 5272 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION CANCELED,
- 5273 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK,
- 5274 CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.
- 5275 Example:

```
5276
       #define BUF SZ 512
5277
5278
       CK SESSION HANDLE hSession;
5279
       CK OBJECT HANDLE hKey;
5280
       CK BYTE iv[8];
5281
       CK MECHANISM digestMechanism = {
5282
         CKM MD5, NULL PTR, 0
5283
       };
5284
       CK MECHANISM encryptionMechanism = {
5285
         CKM DES ECB, iv, sizeof(iv)
5286
       } ;
5287
       CK BYTE encryptedData[BUF SZ];
5288
       CK ULONG ulEncryptedDataLen;
5289
       CK BYTE digest[16];
5290
       CK ULONG ulDigestLen;
5291
       CK BYTE data[(2*BUF SZ)+8];
5292
       CK RV rv;
5293
       int i;
5294
5295
5296
5297
       memset(iv, 0, sizeof(iv));
5298
       memset(data, ^{\prime}A', ((2*BUF SZ)+5));
5299
       rv = C EncryptInit(hSession, &encryptionMechanism, hKey);
5300
       if (rv != CKR OK) {
5301
5302
5303
5304
       rv = C DigestInit(hSession, &digestMechanism);
5305
       if (rv != CKR OK) {
5306
5307
```

```
5308
5309
5310
       ulEncryptedDataLen = sizeof(encryptedData);
5311
      rv = C DigestEncryptUpdate(
5312
        hSession,
5313
         &data[0], BUF SZ,
5314
         encryptedData, &ulEncryptedDataLen);
5315
5316
5317
      ulEncryptedDataLen = sizeof(encryptedData);
5318
      rv = C DigestEncryptUpdate(
5319
        hSession,
5320
         &data[BUF SZ], BUF SZ,
5321
         encryptedData, &ulEncryptedDataLen);
5322
5323
5324
5325
5326
       * The last portion of the buffer needs to be
5327
       * handled with separate calls to deal with
5328
       * padding issues in ECB mode
5329
       * /
5330
5331
       /* First, complete the digest on the buffer */
5332
       rv = C DigestUpdate(hSession, &data[BUF SZ*2], 5);
5333
5334
5335
       ulDigestLen = sizeof(digest);
5336
       rv = C DigestFinal(hSession, digest, &ulDigestLen);
5337
5338
5339
5340
       /* Then, pad last part with 3 0x00 bytes, and complete encryption */
5341
       for(i=0;i<3;i++)
5342
         data[((BUF SZ*2)+5)+i] = 0x00;
5343
5344
       /* Now, get second-to-last piece of ciphertext */
5345
       ulEncryptedDataLen = sizeof(encryptedData);
5346
      rv = C EncryptUpdate(
5347
        hSession,
5348
         &data[BUF SZ*2], 8,
5349
         encryptedData, &ulEncryptedDataLen);
5350
```

## 5.17.2 C\_DecryptDigestUpdate

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5385

```
CK_DECLARE_FUNCTION(CK_RV, C_DecryptDigestUpdate)(

CK_SESSION_HANDLE hSession,

CK_BYTE_PTR pEncryptedPart,

CK_ULONG ulEncryptedPartLen,

CK_BYTE_PTR pPart,

CK_ULONG_PTR pulPartLen

5365

);
```

**C\_DecryptDigestUpdate** continues a multiple-part combined decryption and digest operation, processing another data part. *hSession* is the session's handle; *pEncryptedPart* points to the encrypted data part; *ulEncryptedPartLen* is the length of the encrypted data part; *pPart* points to the location that receives the recovered data part; *pulPartLen* points to the location that holds the length of the recovered data part.

**C\_DecryptDigestUpdate** uses the convention described in Section 5.2 on producing output. If a **C\_DecryptDigestUpdate** call does not produce decrypted output (because an error occurs, or because *pPart* has the value NULL\_PTR, or because *pulPartLen* is too small to hold the entire decrypted part output), then no plaintext is passed to the active digest operation.

Decryption and digesting operations MUST both be active (they MUST have been initialized with C\_DecryptInit and C\_DigestInit, respectively). This function may be called any number of times in succession, and may be interspersed with C\_DecryptUpdate, C\_DigestUpdate, and C\_DigestKey calls (it would be somewhat unusual to intersperse calls to C\_DigestEncryptUpdate with calls to C\_DigestKey, however).

Use of **C\_DecryptDigestUpdate** involves a pipelining issue that does not arise when using **C\_DigestEncryptUpdate**, the "inverse function" of **C\_DecryptDigestUpdate**. This is because when **C\_DigestEncryptUpdate** is called, precisely the same input is passed to both the active digesting operation and the active encryption operation; however, when **C\_DecryptDigestUpdate** is called, the input passed to the active digesting operation is the *output of* the active decryption operation. This issue comes up only when the mechanism used for decryption performs padding.

In particular, envision a 24-byte ciphertext which was obtained by encrypting an 18-byte plaintext with DES in CBC mode with PKCS padding. Consider an application which will simultaneously decrypt this ciphertext and digest the original plaintext thereby obtained.

After initializing decryption and digesting operations, the application passes the 24-byte ciphertext (3 DES blocks) into **C\_DecryptDigestUpdate**. **C\_DecryptDigestUpdate** returns exactly 16 bytes of plaintext, since at this point, Cryptoki doesn't know if there's more ciphertext coming, or if the last block of ciphertext held any padding. These 16 bytes of plaintext are passed into the active digesting operation.

Since there is no more ciphertext, the application calls **C\_DecryptFinal**. This tells Cryptoki that there's no more ciphertext coming, and the call returns the last 2 bytes of plaintext. However, since the active decryption and digesting operations are linked *only* through the **C\_DecryptDigestUpdate** call, these 2 bytes of plaintext are *not* passed on to be digested.

A call to **C\_DigestFinal**, therefore, would compute the message digest of *the first 16 bytes of the plaintext*, not the message digest of the entire plaintext. It is crucial that, before **C\_DigestFinal** is called, the last 2 bytes of plaintext get passed into the active digesting operation via a **C\_DigestUpdate** call.

5400 Because of this, it is critical that when an application uses a padded decryption mechanism with

5401 **C\_DecryptDigestUpdate**, it knows exactly how much plaintext has been passed into the active digesting

5402 operation. Extreme caution is warranted when using a padded decryption mechanism with

5403 **C\_DecryptDigestUpdate**.

- 5404 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 5405 CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 5406 CKR\_DEVICE\_REMOVED, CKR\_ENCRYPTED\_DATA\_INVALID,
- 5407 CKR\_ENCRYPTED\_DATA\_LEN\_RANGE, CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED,
- 5408 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_NOT\_INITIALIZED,
- 5409 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID.
- 5410 Example:

```
5411
       #define BUF SZ 512
5412
5413
       CK SESSION HANDLE hSession;
5414
       CK OBJECT HANDLE hKey;
5415
       CK BYTE iv[8];
5416
       CK MECHANISM decryptionMechanism = {
5417
         CKM DES ECB, iv, sizeof(iv)
5418
       };
5419
       CK MECHANISM digestMechanism = {
5420
         CKM MD5, NULL PTR, 0
5421
       };
5422
       CK BYTE encryptedData[(2*BUF SZ)+8];
5423
       CK BYTE digest[16];
5424
       CK ULONG ulDigestLen;
5425
       CK BYTE data[BUF SZ];
5426
       CK ULONG ulDataLen, ulLastUpdateSize;
5427
       CK RV rv;
5428
5429
5430
5431
       memset(iv, 0, sizeof(iv));
5432
       memset(encryptedData, 'A', ((2*BUF SZ)+8));
5433
       rv = C DecryptInit(hSession, &decryptionMechanism, hKey);
5434
       if (rv != CKR OK) {
5435
5436
5437
5438
       rv = C DigestInit(hSession, &digestMechanism);
5439
       if (rv != CKR OK) {
5440
5441
5442
5443
5444
       ulDataLen = sizeof(data);
```

```
5445
      rv = C DecryptDigestUpdate(
5446
        hSession,
5447
         &encryptedData[0], BUF SZ,
5448
         data, &ulDataLen);
5449
5450
5451
      ulDataLen = sizeof(data);
5452
      rv = C DecryptDigestUpdate(
5453
        hSession,
5454
         &encryptedData[BUF SZ], BUF SZ,
5455
        data, &ulDataLen);
5456
5457
5458
5459
       /*
5460
       * The last portion of the buffer needs to be handled with
5461
       * separate calls to deal with padding issues in ECB mode
5462
       * /
5463
5464
       /* First, complete the decryption of the buffer */
5465
      ulLastUpdateSize = sizeof(data);
5466
      rv = C DecryptUpdate(
5467
        hSession,
5468
        &encryptedData[BUF SZ*2], 8,
5469
         data, &ulLastUpdateSize);
5470
5471
5472
      /* Get last piece of plaintext (should have length 0, here) */
5473
       ulDataLen = sizeof(data) -ulLastUpdateSize;
5474
       rv = C DecryptFinal(hSession, &data[ulLastUpdateSize], &ulDataLen);
5475
       if (rv != CKR OK) {
5476
5477
5478
5479
5480
       /* Digest last bit of plaintext */
5481
       rv = C DigestUpdate(hSession, &data[BUF SZ*2], 5);
5482
       if (rv != CKR OK) {
5483
5484
5485
5486
      ulDigestLen = sizeof(digest);
5487
       rv = C DigestFinal(hSession, digest, &ulDigestLen);
```

```
5488 | if (rv != CKR_OK) {
5489 | .
5490 | .
5491 | }
```

### 5492 5.17.3 C\_SignEncryptUpdate

```
CK_DECLARE_FUNCTION(CK_RV, C_SignEncryptUpdate)(
CK_SESSION_HANDLE hSession,
CK_BYTE_PTR pPart,
CK_ULONG ulPartLen,
CK_BYTE_PTR pEncryptedPart,
CK_ULONG_PTR pulEncryptedPartLen

5498
);
```

- **C\_SignEncryptUpdate** continues a multiple-part combined signature and encryption operation, processing another data part. *hSession* is the session's handle; *pPart* points to the data part; *ulPartLen* is the length of the data part; *pEncryptedPart* points to the location that receives the digested and encrypted data part; and *pulEncryptedPartLen* points to the location that holds the length of the encrypted data part.
- C\_SignEncryptUpdate uses the convention described in Section 5.2 on producing output. If a
   C\_SignEncryptUpdate call does not produce encrypted output (because an error occurs, or because pEncryptedPart has the value NULL\_PTR, or because pulEncryptedPartLen is too small to hold the entire encrypted part output), then no plaintext is passed to the active signing operation.
- 5508 Signature and encryption operations MUST both be active (they MUST have been initialized with 5509 **C\_SignInit** and **C\_EncryptInit**, respectively). This function may be called any number of times in succession, and may be interspersed with **C SignUpdate** and **C EncryptUpdate** calls.
- 5511 Return values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 5512 CKR CRYPTOKI NOT INITIALIZED, CKR DATA LEN RANGE, CKR DEVICE ERROR,
- 5513 CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED, CKR\_FUNCTION\_CANCELED,
- 5514 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_OK,
- 5515 CKR\_OPERATION\_NOT\_INITIALIZED, CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, 5516 CKR\_USER\_NOT\_LOGGED\_IN.
- 5517 Example:

5500

5501 5502

```
5518
       #define BUF SZ 512
5519
5520
       CK SESSION HANDLE hSession;
5521
       CK OBJECT HANDLE hEncryptionKey, hMacKey;
5522
       CK BYTE iv[8];
5523
       CK MECHANISM signMechanism = {
5524
         CKM DES MAC, NULL PTR, 0
5525
       };
5526
       CK MECHANISM encryptionMechanism = {
5527
         CKM DES ECB, iv, sizeof(iv)
5528
       };
5529
       CK BYTE encryptedData[BUF SZ];
5530
       CK ULONG ulEncryptedDataLen;
5531
       CK BYTE MAC[4];
5532
       CK ULONG ulMacLen;
5533
       CK BYTE data[(2*BUF SZ)+8];
```

```
5534
      CK RV rv;
5535
      int i;
5536
5537
5538
5539
      memset(iv, 0, sizeof(iv));
5540
      memset(data, A', ((2*BUF SZ)+5));
5541
       rv = C EncryptInit(hSession, &encryptionMechanism, hEncryptionKey);
5542
       if (rv != CKR OK) {
5543
5544
5545
5546
      rv = C SignInit(hSession, &signMechanism, hMacKey);
5547
       if (rv != CKR OK) {
5548
5549
5550
       }
5551
5552
      ulEncryptedDataLen = sizeof(encryptedData);
5553
      rv = C SignEncryptUpdate(
5554
        hSession,
5555
        &data[0], BUF SZ,
5556
         encryptedData, &ulEncryptedDataLen);
5557
5558
5559
      ulEncryptedDataLen = sizeof(encryptedData);
5560
      rv = C SignEncryptUpdate(
5561
        hSession,
5562
         &data[BUF SZ], BUF SZ,
5563
         encryptedData, &ulEncryptedDataLen);
5564
5565
5566
5567
       /*
5568
       * The last portion of the buffer needs to be handled with
5569
       * separate calls to deal with padding issues in ECB mode
5570
       * /
5571
5572
       /* First, complete the signature on the buffer */
5573
       rv = C SignUpdate(hSession, &data[BUF SZ*2], 5);
5574
5575
5576
       ulMacLen = sizeof(MAC);
```

```
5577
       rv = C SignFinal(hSession, MAC, &ulMacLen);
5578
5579
5580
5581
       /* Then pad last part with 3 0x00 bytes, and complete encryption */
5582
       for (i=0; i<3; i++)
5583
         data[((BUF SZ*2)+5)+i] = 0x00;
5584
5585
       /* Now, get second-to-last piece of ciphertext */
5586
       ulEncryptedDataLen = sizeof(encryptedData);
5587
       rv = C EncryptUpdate(
5588
         hSession,
5589
         &data[BUF SZ*2], 8,
5590
         encryptedData, &ulEncryptedDataLen);
5591
5592
5593
5594
       /* Get last piece of ciphertext (should have length 0, here) */
5595
       ulEncryptedDataLen = sizeof(encryptedData);
5596
       rv = C EncryptFinal(hSession, encryptedData, &ulEncryptedDataLen);
5597
5598
```

# 5.17.4 C\_DecryptVerifyUpdate

5599

5607

5608

5609

5610

```
CK_DECLARE_FUNCTION(CK_RV, C_DecryptVerifyUpdate)(
CK_SESSION_HANDLE hSession,
CK_BYTE_PTR pEncryptedPart,
CK_ULONG ulEncryptedPartLen,
CK_BYTE_PTR pPart,
CK_ULONG_PTR pulPartLen
);
```

- **C\_DecryptVerifyUpdate** continues a multiple-part combined decryption and verification operation, processing another data part. *hSession* is the session's handle; *pEncryptedPart* points to the encrypted data; *ulEncryptedPartLen* is the length of the encrypted data; *pPart* points to the location that receives the recovered data; and *pulPartLen* points to the location that holds the length of the recovered data.
- C\_DecryptVerifyUpdate uses the convention described in Section 5.2 on producing output. If a
- 5612 **C\_DecryptVerifyUpdate** call does not produce decrypted output (because an error occurs, or because *pPart* has the value NULL\_PTR, or because *pulPartLen* is too small to hold the entire encrypted part output), then no plaintext is passed to the active verification operation.
- Decryption and signature operations MUST both be active (they MUST have been initialized with C\_DecryptInit and C\_VerifyInit, respectively). This function may be called any number of times in succession, and may be interspersed with C\_DecryptUpdate and C\_VerifyUpdate calls.
- Use of **C\_DecryptVerifyUpdate** involves a pipelining issue that does not arise when using
- 5619 C SignEncryptUpdate, the "inverse function" of C DecryptVerifyUpdate. This is because when
- 5620 **C\_SignEncryptUpdate** is called, precisely the same input is passed to both the active signing operation and the active encryption operation; however, when **C\_DecryptVerifyUpdate** is called, the input passed

- to the active verifying operation is the *output of* the active decryption operation. This issue comes up only when the mechanism used for decryption performs padding.
- In particular, envision a 24-byte ciphertext which was obtained by encrypting an 18-byte plaintext with
- DES in CBC mode with PKCS padding. Consider an application which will simultaneously decrypt this
- ciphertext and verify a signature on the original plaintext thereby obtained.
- After initializing decryption and verification operations, the application passes the 24-byte ciphertext (3
- DES blocks) into **C\_DecryptVerifyUpdate**. **C\_DecryptVerifyUpdate** returns exactly 16 bytes of
- plaintext, since at this point, Cryptoki doesn't know if there's more ciphertext coming, or if the last block of
- ciphertext held any padding. These 16 bytes of plaintext are passed into the active verification operation.
- 5631 Since there is no more ciphertext, the application calls **C\_DecryptFinal**. This tells Cryptoki that there's
- 5632 no more ciphertext coming, and the call returns the last 2 bytes of plaintext. However, since the active
- decryption and verification operations are linked *only* through the **C\_DecryptVerifyUpdate** call, these 2
- bytes of plaintext are *not* passed on to the verification mechanism.
- A call to **C\_VerifyFinal**, therefore, would verify whether or not the signature supplied is a valid signature
- on the first 16 bytes of the plaintext, not on the entire plaintext. It is crucial that, before **C\_VerifyFinal** is
- called, the last 2 bytes of plaintext get passed into the active verification operation via a **C\_VerifyUpdate**
- 5638 call.
- Because of this, it is critical that when an application uses a padded decryption mechanism with
- 5640 **C\_DecryptVerifyUpdate**, it knows exactly how much plaintext has been passed into the active
- verification operation. Extreme caution is warranted when using a padded decryption mechanism with
- 5642 **C\_DecryptVerifyUpdate**.
- Return values: CKR\_ARGUMENTS\_BAD, CKR\_BUFFER\_TOO\_SMALL,
- 5644 CKR CRYPTOKI NOT INITIALIZED, CKR DATA LEN RANGE, CKR DEVICE ERROR,
- 5645 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR ENCRYPTED DATA INVALID,
- 5646 CKR ENCRYPTED DATA LEN RANGE, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 5647 CKR GENERAL ERROR, CKR HOST MEMORY, CKR OK, CKR OPERATION NOT INITIALIZED,
- 5648 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID.
- 5649 Example:

```
5650
       #define BUF SZ 512
5651
5652
       CK SESSION HANDLE hSession;
5653
       CK OBJECT HANDLE hDecryptionKey, hMacKey;
5654
       CK BYTE iv[8];
5655
       CK MECHANISM decryptionMechanism = {
5656
         CKM DES ECB, iv, sizeof(iv)
5657
       };
5658
       CK MECHANISM verifyMechanism = {
5659
         CKM DES MAC, NULL PTR, 0
5660
5661
       CK BYTE encryptedData[(2*BUF SZ)+8];
5662
       CK BYTE MAC[4];
5663
       CK ULONG ulMacLen;
5664
       CK BYTE data[BUF SZ];
5665
       CK ULONG ulDataLen, ulLastUpdateSize;
5666
       CK RV rv;
5667
5668
5669
```

```
5670
      memset(iv, 0, sizeof(iv));
5671
      memset(encryptedData, 'A', ((2*BUF SZ)+8));
5672
       rv = C DecryptInit(hSession, &decryptionMechanism, hDecryptionKey);
5673
       if (rv != CKR OK) {
5674
5675
5676
5677
       rv = C VerifyInit(hSession, &verifyMechanism, hMacKey);
5678
       if (rv != CKR OK) {
5679
5680
5681
       }
5682
5683
      ulDataLen = sizeof(data);
5684
      rv = C DecryptVerifyUpdate(
5685
        hSession,
5686
         &encryptedData[0], BUF SZ,
5687
         data, &ulDataLen);
5688
5689
5690
      ulDataLen = sizeof(data);
5691
      rv = C DecryptVerifyUpdate(
5692
        hSession,
5693
         &encryptedData[BUF SZ], BUF SZ,
5694
         data, &uldataLen);
5695
5696
5697
5698
5699
       * The last portion of the buffer needs to be handled with
5700
       * separate calls to deal with padding issues in ECB mode
5701
       * /
5702
5703
       /* First, complete the decryption of the buffer */
5704
       ulLastUpdateSize = sizeof(data);
5705
      rv = C DecryptUpdate(
5706
        hSession,
5707
         &encryptedData[BUF_SZ*2], 8,
5708
        data, &ulLastUpdateSize);
5709
5710
5711
      /* Get last little piece of plaintext. Should have length 0 */
5712
       ulDataLen = sizeof(data)-ulLastUpdateSize;
```

```
5713
       rv = C DecryptFinal(hSession, &data[ulLastUpdateSize], &ulDataLen);
5714
       if (rv != CKR OK) {
5715
5716
5717
       }
5718
5719
       /* Send last bit of plaintext to verification operation */
5720
       rv = C VerifyUpdate(hSession, &data[BUF SZ*2], 5);
5721
       if (rv != CKR OK) {
5722
5723
5724
5725
       rv = C VerifyFinal(hSession, MAC, ulMacLen);
5726
       if (rv == CKR SIGNATURE INVALID) {
5727
5728
5729
```

# **5.18 Key management functions**

5731 Cryptoki provides the following functions for key management:

## 5.18.1 C\_GenerateKey

5730

```
CK_DECLARE_FUNCTION(CK_RV, C_GenerateKey)(

CK_SESSION_HANDLE hSession

CK_MECHANISM_PTR pMechanism,

CK_ATTRIBUTE_PTR pTemplate,

CK_ULONG ulCount,

CK_OBJECT_HANDLE_PTR phKey

);
```

- 5740 **C\_GenerateKey** generates a secret key or set of domain parameters, creating a new object. *hSession* is the session's handle; *pMechanism* points to the generation mechanism; *pTemplate* points to the template for the new key or set of domain parameters; *ulCount* is the number of attributes in the template; *phKey* points to the location that receives the handle of the new key or set of domain parameters.
- If the generation mechanism is for domain parameter generation, the **CKA\_CLASS** attribute will have the value CKO DOMAIN PARAMETERS; otherwise, it will have the value CKO SECRET KEY.
- 5746 Since the type of key or domain parameters to be generated is implicit in the generation mechanism, the template does not need to supply a key type. If it does supply a key type which is inconsistent with the
- 5748 generation mechanism, C GenerateKey fails and returns the error code
- 5749 CKR TEMPLATE INCONSISTENT. The CKA CLASS attribute is treated similarly.
- If a call to **C\_GenerateKey** cannot support the precise template supplied to it, it will fail and return without creating an object.
- The object created by a successful call to **C\_GenerateKey** will have its **CKA\_LOCAL** attribute set to
- 5753 CK\_TRUE. In addition, the object created will have a value for CKA\_UNIQUE\_ID generated and
- 5754 assigned (See Section 4.4.1).
- 5755 Return values: CKR\_ARGUMENTS\_BAD, CKR\_ATTRIBUTE\_READ\_ONLY,
- 5756 CKR ATTRIBUTE TYPE INVALID, CKR ATTRIBUTE VALUE INVALID,
- 5757 CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_CURVE\_NOT\_SUPPORTED, CKR\_DEVICE\_ERROR,

```
5761
       CKR OPERATION ACTIVE, CKR PIN EXPIRED, CKR SESSION CLOSED,
       CKR SESSION HANDLE INVALID, CKR SESSION READ ONLY, CKR TEMPLATE INCOMPLETE.
5762
       CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED,
5763
5764
       CKR USER NOT LOGGED IN.
5765
       Example:
5766
       CK SESSION HANDLE hSession;
5767
       CK OBJECT HANDLE hKey;
5768
       CK MECHANISM mechanism = {
5769
         CKM DES KEY GEN, NULL PTR, 0
5770
       };
5771
       CK RV rv;
5772
5773
5774
5775
       rv = C GenerateKey(hSession, &mechanism, NULL PTR, 0, &hKey);
5776
       if (rv == CKR OK) {
5777
5778
5779
```

CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR FUNCTION CANCELED,

CKR FUNCTION FAILED, CKR GENERAL ERROR, CKR HOST MEMORY.

CKR MECHANISM INVALID, CKR MECHANISM PARAM INVALID, CKR OK,

## 5.18.2 C\_GenerateKeyPair

5758

5759

5760

5780

5791

5792

5793

5794

5795

5796

5797

```
5781
       CK DECLARE FUNCTION (CK RV, C GenerateKeyPair) (
5782
         CK SESSION HANDLE hSession,
5783
         CK MECHANISM PTR pMechanism,
5784
         CK ATTRIBUTE PTR pPublicKeyTemplate,
5785
         CK ULONG ulPublicKeyAttributeCount,
5786
         CK ATTRIBUTE PTR pPrivateKeyTemplate,
5787
         CK ULONG ulPrivateKeyAttributeCount,
5788
         CK OBJECT HANDLE PTR phPublicKey,
5789
         CK OBJECT HANDLE PTR phPrivateKey
5790
```

**C\_GenerateKeyPair** generates a public/private key pair, creating new key objects. *hSession* is the session's handle; *pMechanism* points to the key generation mechanism; *pPublicKeyTemplate* points to the template for the public key; *ulPublicKeyAttributeCount* is the number of attributes in the public-key template; *pPrivateKeyTemplate* points to the template for the private key; *ulPrivateKeyAttributeCount* is the number of attributes in the private-key template; *phPublicKey* points to the location that receives the handle of the new public key; *phPrivateKey* points to the location that receives the handle of the new private key.

5798 Since the types of keys to be generated are implicit in the key pair generation mechanism, the templates 5799 do not need to supply key types. If one of the templates does supply a key type which is inconsistent with 5800 the key generation mechanism, **C GenerateKeyPair** fails and returns the error code

5801 CKR TEMPLATE INCONSISTENT. The CKA CLASS attribute is treated similarly.

If a call to **C\_GenerateKeyPair** cannot support the precise templates supplied to it, it will fail and return without creating any key objects.

A call to **C\_GenerateKeyPair** will never create just one key and return. A call can fail, and create no keys; or it can succeed, and create a matching public/private key pair.

- 5806 The key objects created by a successful call to C\_GenerateKeyPair will have their CKA\_LOCAL 5807 attributes set to CK\_TRUE. In addition, the key objects created will both have values for 5808 CKA UNIQUE ID generated and assigned (See Section 4.4.1). 5809 Note carefully the order of the arguments to C GenerateKeyPair. The last two arguments do not have 5810 the same order as they did in the original Cryptoki Version 1.0 document. The order of these two arguments has caused some unfortunate confusion. 5811 5812 Return values: CKR ARGUMENTS BAD, CKR ATTRIBUTE READ ONLY, 5813 CKR ATTRIBUTE TYPE INVALID, CKR ATTRIBUTE VALUE INVALID. CKR CRYPTOKI NOT INITIALIZED, CKR CURVE NOT SUPPORTED, CKR DEVICE ERROR, 5814 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR DOMAIN PARAMS INVALID, 5815 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR, 5816 CKR\_HOST\_MEMORY, CKR\_MECHANISM\_INVALID, CKR\_MECHANISM\_PARAM\_INVALID, 5817 CKR OK, CKR OPERATION ACTIVE, CKR PIN EXPIRED, CKR SESSION CLOSED, 5818 5819 CKR\_SESSION\_HANDLE\_INVALID, CKR\_SESSION\_READ\_ONLY, CKR\_TEMPLATE\_INCOMPLETE, CKR TEMPLATE INCONSISTENT, CKR TOKEN WRITE PROTECTED, 5820
- 5822 Example:

CKR\_USER\_NOT\_LOGGED\_IN.

```
5823
       CK SESSION HANDLE hSession;
5824
       CK OBJECT HANDLE hPublicKey, hPrivateKey;
5825
       CK MECHANISM mechanism = {
         CKM_RSA_PKCS_KEY PAIR GEN, NULL PTR, 0
5826
5827
       };
5828
       CK ULONG modulusBits = 768;
5829
       CK BYTE publicExponent[] = { 3 };
5830
       CK BYTE subject[] = \{...\};
5831
       CK BYTE id[] = \{123\};
5832
       CK BBOOL true = CK TRUE;
5833
       CK ATTRIBUTE publicKeyTemplate[] = {
5834
         {CKA ENCRYPT, &true, sizeof(true)},
5835
         {CKA VERIFY, &true, sizeof(true)},
5836
         {CKA WRAP, &true, sizeof(true)},
5837
         {CKA MODULUS BITS, &modulusBits, sizeof(modulusBits)},
5838
         {CKA PUBLIC EXPONENT, publicExponent, sizeof (publicExponent)}
5839
       };
5840
       CK ATTRIBUTE privateKeyTemplate[] = {
5841
         {CKA TOKEN, &true, sizeof(true)},
5842
         {CKA PRIVATE, &true, sizeof(true)},
5843
         {CKA SUBJECT, subject, sizeof(subject)},
5844
         {CKA ID, id, sizeof(id)},
5845
         {CKA SENSITIVE, &true, sizeof(true)},
5846
         {CKA_DECRYPT, &true, sizeof(true)},
5847
         {CKA SIGN, &true, sizeof(true)},
5848
         {CKA UNWRAP, &true, sizeof(true)}
5849
       } ;
5850
       CK RV rv;
5851
```

```
5852  rv = C_GenerateKeyPair(
5853  hSession, &mechanism,
5854  publicKeyTemplate, 5,
5855  privateKeyTemplate, 8,
5856  &hPublicKey, &hPrivateKey);
5857  if (rv == CKR_OK) {
5858  .
5859  .
5860 }
```

### **5.18.3 C\_WrapKey**

5861

5870

5871 5872

5873

5883

5886

```
CK_DECLARE_FUNCTION(CK_RV, C_WrapKey)(
CK_SESSION_HANDLE hSession,
CK_MECHANISM_PTR pMechanism,
CK_OBJECT_HANDLE hWrappingKey,
CK_OBJECT_HANDLE hKey,
CK_BYTE_PTR pWrappedKey,
CK_ULONG_PTR pulWrappedKeyLen

5869
);
```

**C\_WrapKey** wraps (*i.e.*, encrypts) a private or secret key. *hSession* is the session's handle; *pMechanism* points to the wrapping mechanism; *hWrappingKey* is the handle of the wrapping key; *hKey* is the handle of the key to be wrapped; *pWrappedKey* points to the location that receives the wrapped key; and *pulWrappedKeyLen* points to the location that receives the length of the wrapped key.

- 5874 **C WrapKey** uses the convention described in Section 5.2 on producing output.
- The **CKA\_WRAP** attribute of the wrapping key, which indicates whether the key supports wrapping, MUST be CK\_TRUE. The **CKA\_EXTRACTABLE** attribute of the key to be wrapped MUST also be CK\_TRUE.
- If the key to be wrapped cannot be wrapped for some token-specific reason, despite its having its

  CKA\_EXTRACTABLE attribute set to CK\_TRUE, then C\_WrapKey fails with error code

  CKR\_KEY\_NOT\_WRAPPABLE. If it cannot be wrapped with the specified wrapping key and mechanism solely because of its length, then C WrapKey fails with error code CKR KEY SIZE RANGE.
- 5882 **C WrapKey** can be used in the following situations:
  - To wrap any secret key with a public key that supports encryption and decryption.
- To wrap any secret key with any other secret key. Consideration MUST be given to key size and mechanism strength or the token may not allow the operation.
  - To wrap a private key with any secret key.
- 5887 Of course, tokens vary in which types of keys can actually be wrapped with which mechanisms.
- 5888 To partition the wrapping keys so they can only wrap a subset of extractable keys the attribute 5889 CKA WRAP TEMPLATE can be used on the wrapping key to specify an attribute set that will be compared against the attributes of the key to be wrapped. If all attributes match according to the 5890 5891 C FindObject rules of attribute matching then the wrap will proceed. The value of this attribute is an attribute template and the size is the number of items in the template times the size of CK ATTRIBUTE. If 5892 this attribute is not supplied then any template is acceptable. If an attribute is not present, it will not be 5893 checked. If any attribute mismatch occurs on an attempt to wrap a key then the function SHALL return 5894 5895 CKR KEY HANDLE INVALID.
- 5896 Return Values: CKR ARGUMENTS BAD, CKR BUFFER TOO SMALL,
- 5897 CKR CRYPTOKI NOT INITIALIZED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 5898 CKR DEVICE REMOVED, CKR FUNCTION CANCELED, CKR FUNCTION FAILED,
- 5899 CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY, CKR\_KEY\_HANDLE\_INVALID,

```
CKR OPERATION ACTIVE, CKR PIN EXPIRED, CKR SESSION CLOSED,
5902
5903
       CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.
       CKR WRAPPING KEY HANDLE INVALID, CKR WRAPPING KEY SIZE RANGE.
5904
       CKR_WRAPPING_KEY_TYPE_INCONSISTENT.
5905
5906
       Example:
5907
       CK SESSION HANDLE hSession;
5908
       CK OBJECT HANDLE hWrappingKey, hKey;
5909
       CK MECHANISM mechanism = {
5910
         CKM DES3 ECB, NULL PTR, 0
5911
       };
5912
       CK BYTE wrappedKey[8];
5913
       CK ULONG ulWrappedKeyLen;
5914
       CK RV rv;
5915
5916
5917
5918
       ulWrappedKeyLen = sizeof(wrappedKey);
5919
       rv = C WrapKey(
5920
         hSession, &mechanism,
5921
         hWrappingKey, hKey,
5922
         wrappedKey, &ulWrappedKeyLen);
5923
       if (rv == CKR OK) {
5924
5925
5926
```

CKR KEY NOT WRAPPABLE, CKR KEY SIZE RANGE, CKR KEY UNEXTRACTABLE,

CKR MECHANISM INVALID. CKR MECHANISM PARAM INVALID. CKR OK.

## 5.18.4 C\_UnwrapKey

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```
5928
       CK DECLARE FUNCTION (CK RV, C UnwrapKey) (
5929
         CK SESSION HANDLE hSession,
5930
         CK MECHANISM PTR pMechanism,
5931
         CK OBJECT HANDLE hUnwrappingKey,
5932
         CK BYTE PTR pWrappedKey,
5933
         CK ULONG ulWrappedKeyLen,
5934
         CK ATTRIBUTE PTR pTemplate,
5935
         CK ULONG ulAttributeCount,
5936
         CK OBJECT HANDLE PTR phKey
5937
```

**C\_UnwrapKey** unwraps (*i.e.* decrypts) a wrapped key, creating a new private key or secret key object. *hSession* is the session's handle; *pMechanism* points to the unwrapping mechanism; *hUnwrappingKey* is the handle of the unwrapping key; *pWrappedKey* points to the wrapped key; *ulWrappedKeyLen* is the length of the wrapped key; *pTemplate* points to the template for the new key; *ulAttributeCount* is the number of attributes in the template; *phKey* points to the location that receives the handle of the recovered key.

The **CKA\_UNWRAP** attribute of the unwrapping key, which indicates whether the key supports unwrapping, MUST be CK\_TRUE.

- 5946 The new key will have the CKA\_ALWAYS\_SENSITIVE attribute set to CK FALSE, and the
- 5947 **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_FALSE. The **CKA\_EXTRACTABLE** attribute is by
- 5948 default set to CK TRUE.
- Some mechanisms may modify, or attempt to modify. the contents of the pMechanism structure at the
- same time that the key is unwrapped.
- If a call to **C\_UnwrapKey** cannot support the precise template supplied to it, it will fail and return without
- 5952 creating any key object.
- The key object created by a successful call to **C\_UnwrapKey** will have its **CKA\_LOCAL** attribute set to
- 5954 CK\_FALSE. In addition, the object created will have a value for CKA\_UNIQUE\_ID generated and
- 5955 assigned (See Section 4.4.1).
- 5956 To partition the unwrapping keys so they can only unwrap a subset of keys the attribute
- 5957 CKA UNWRAP TEMPLATE can be used on the unwrapping key to specify an attribute set that will be
- 5958 added to attributes of the key to be unwrapped. If the attributes do not conflict with the user supplied
- attribute template, in 'pTemplate', then the unwrap will proceed. The value of this attribute is an attribute
- template and the size is the number of items in the template times the size of CK\_ATTRIBUTE. If this
- attribute is not present on the unwrapping key then no additional attributes will be added. If any attribute
- 5962 conflict occurs on an attempt to unwrap a key then the function SHALL return
- 5963 CKR TEMPLATE INCONSISTENT.
- 5964 Return values: CKR\_ARGUMENTS\_BAD, CKR\_ATTRIBUTE\_READ\_ONLY,
- 5965 CKR ATTRIBUTE TYPE INVALID, CKR ATTRIBUTE VALUE INVALID,
- 5966 CKR\_BUFFER\_TOO\_SMALL, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 5967 CKR CURVE NOT SUPPORTED, CKR DEVICE ERROR, CKR DEVICE MEMORY,
- 5968 CKR DEVICE REMOVED, CKR DOMAIN PARAMS INVALID, CKR FUNCTION CANCELED,
- 5969 CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY,
- 5970 CKR\_MECHANISM\_INVALID, CKR\_MECHANISM\_PARAM\_INVALID, CKR\_OK,
- 5971 CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED, CKR\_SESSION\_CLOSED,
- 5972 CKR SESSION HANDLE INVALID, CKR SESSION READ ONLY, CKR TEMPLATE INCOMPLETE,
- 5973 CKR TEMPLATE INCONSISTENT, CKR TOKEN WRITE PROTECTED,
- 5974 CKR\_UNWRAPPING\_KEY\_HANDLE\_INVALID, CKR\_UNWRAPPING\_KEY\_SIZE\_RANGE,
- 5975 CKR\_UNWRAPPING\_KEY\_TYPE\_INCONSISTENT, CKR\_USER\_NOT\_LOGGED\_IN,
- 5976 CKR WRAPPED KEY INVALID, CKR WRAPPED KEY LEN RANGE.
- 5977 Example:

```
5978
       CK SESSION HANDLE hSession;
5979
       CK OBJECT HANDLE hUnwrappingKey, hKey;
5980
       CK MECHANISM mechanism = {
5981
         CKM DES3 ECB, NULL PTR, 0
5982
       };
5983
       CK BYTE wrappedKev[8] = \{...\};
5984
       CK OBJECT CLASS keyClass = CKO SECRET KEY;
5985
       CK KEY TYPE keyType = CKK DES;
5986
       CK BBOOL true = CK TRUE;
5987
       CK ATTRIBUTE template[] = {
5988
         {CKA CLASS, &keyClass, sizeof(keyClass)},
5989
         {CKA KEY TYPE, &keyType, sizeof(keyType)},
5990
         {CKA ENCRYPT, &true, sizeof(true)},
5991
         {CKA DECRYPT, &true, sizeof(true)}
5992
       };
5993
       CK RV rv;
5994
```

### 6004 **5.18.5** C\_DeriveKey

```
6005 CK_DECLARE_FUNCTION(CK_RV, C_DeriveKey)(
6006 CK_SESSION_HANDLE hSession,
6007 CK_MECHANISM_PTR pMechanism,
6008 CK_OBJECT_HANDLE hBaseKey,
6009 CK_ATTRIBUTE_PTR pTemplate,
6010 CK_ULONG ulattributeCount,
6011 CK_OBJECT_HANDLE_PTR phKey
6012 );
```

- 6013 **C\_DeriveKey** derives a key from a base key, creating a new key object. *hSession* is the session's 6014 handle; *pMechanism* points to a structure that specifies the key derivation mechanism; *hBaseKey* is the 6015 handle of the base key; *pTemplate* points to the template for the new key; *ulAttributeCount* is the number 6016 of attributes in the template; and *phKey* points to the location that receives the handle of the derived key.
- The values of the CKA\_SENSITIVE, CKA\_ALWAYS\_SENSITIVE, CKA\_EXTRACTABLE, and
  CKA\_NEVER\_EXTRACTABLE attributes for the base key affect the values that these attributes can hold
  for the newly-derived key. See the description of each particular key-derivation mechanism in Section
  5.21.2 for any constraints of this type.
- If a call to **C\_DeriveKey** cannot support the precise template supplied to it, it will fail and return without creating any key object.
- The key object created by a successful call to **C\_DeriveKey** will have its **CKA\_LOCAL** attribute set to CK\_FALSE. In addition, the object created will have a value for CKA\_UNIQUE\_ID generated and assigned (See Section 4.4.1).
- Return values: CKR\_ARGUMENTS\_BAD, CKR\_ATTRIBUTE\_READ\_ONLY, CKR\_ATTRIBUTE\_TYPE\_INVALID, CKR\_ATTRIBUTE\_VALUE\_INVALID,
- 6028 CKR CRYPTOKI NOT INITIALIZED, CKR CURVE NOT SUPPORTED, CKR DEVICE ERROR,
- 6029 CKR DEVICE MEMORY, CKR DEVICE REMOVED, CKR DOMAIN PARAMS INVALID,
- 6030 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 6031 CKR HOST MEMORY, CKR KEY HANDLE INVALID, CKR KEY SIZE RANGE,
- 6032 CKR KEY TYPE INCONSISTENT, CKR MECHANISM INVALID,
- 6033 CKR\_MECHANISM\_PARAM\_INVALID, CKR\_OK, CKR\_OPERATION\_ACTIVE, CKR\_PIN\_EXPIRED,
- 6034 CKR\_SESSION\_CLOSED, CKR\_SESSION\_HANDLE\_INVALID, CKR\_SESSION\_READ\_ONLY,
- 6035 CKR\_TEMPLATE\_INCOMPLETE, CKR\_TEMPLATE\_INCONSISTENT,
- 6036 CKR TOKEN WRITE PROTECTED, CKR USER NOT LOGGED IN.
- 6037 Example:

```
CK_SESSION_HANDLE hSession;

CK_OBJECT_HANDLE hPublicKey, hPrivateKey, hKey;

CK_MECHANISM keyPairMechanism = {

CKM_DH_PKCS_KEY_PAIR_GEN, NULL_PTR, 0

};
```

```
6043
       CK BYTE prime[] = {...};
6044
       CK BYTE base[] = \{\ldots\};
6045
       CK BYTE publicValue[128];
6046
       CK BYTE otherPublicValue[128];
6047
       CK MECHANISM mechanism = {
6048
         CKM DH PKCS DERIVE, otherPublicValue, sizeof(otherPublicValue)
6049
       };
6050
       CK ATTRIBUTE pTemplate[] = {
6051
         CKA VALUE, &publicValue, sizeof(publicValue)}
6052
       };
6053
       CK OBJECT CLASS keyClass = CKO SECRET KEY;
6054
       CK KEY TYPE keyType = CKK DES;
6055
       CK BBOOL true = CK TRUE;
6056
       CK ATTRIBUTE publicKeyTemplate[] = {
6057
         {CKA PRIME, prime, sizeof(prime)},
6058
         {CKA BASE, base, sizeof(base)}
6059
       };
6060
       CK ATTRIBUTE privateKeyTemplate[] = {
6061
         {CKA DERIVE, &true, sizeof(true)}
6062
       };
6063
       CK ATTRIBUTE template[] = {
6064
         {CKA CLASS, &keyClass, sizeof(keyClass)},
6065
         {CKA KEY TYPE, &keyType, sizeof(keyType)},
6066
         {CKA ENCRYPT, &true, sizeof(true)},
6067
         {CKA DECRYPT, &true, sizeof(true)}
6068
       };
6069
       CK RV rv;
6070
6071
6072
6073
      rv = C GenerateKeyPair(
6074
        hSession, &keyPairMechanism,
6075
        publicKevTemplate, 2,
6076
        privateKeyTemplate, 1,
6077
         &hPublicKey, &hPrivateKey);
6078
       if (rv == CKR OK) {
6079
         rv = C GetAttributeValue(hSession, hPublicKey, &pTemplate, 1);
6080
         if (rv == CKR OK) {
6081
           /* Put other quy's public value in otherPublicValue */
6082
6083
6084
           rv = C DeriveKey(
6085
             hSession, &mechanism,
```

```
6086 hPrivateKey, template, 4, &hKey);
6087 if (rv == CKR_OK) {
6088 .
6089 .
6090 }
6091 }
```

# **5.19 Random number generation functions**

6094 Cryptoki provides the following functions for generating random numbers:

#### 6095 5.19.1 C SeedRandom

```
6096

CK_DECLARE_FUNCTION(CK_RV, C_SeedRandom)(

CK_SESSION_HANDLE hSession,

6098

CK_BYTE_PTR pSeed,

CK_ULONG ulSeedLen

);
```

- 6101 **C\_SeedRandom** mixes additional seed material into the token's random number generator. *hSession* is the session's handle; *pSeed* points to the seed material; and *ulSeedLen* is the length in bytes of the seed material.
- 6104 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 6105 CKR\_DEVICE\_ERROR, CKR\_DEVICE\_MEMORY, CKR\_DEVICE\_REMOVED,
- 6106 CKR\_FUNCTION\_CANCELED, CKR\_FUNCTION\_FAILED, CKR\_GENERAL\_ERROR,
- 6107 CKR\_HOST\_MEMORY, CKR\_OK, CKR\_OPERATION\_ACTIVE,
- 6108 CKR RANDOM SEED NOT SUPPORTED, CKR RANDOM NO RNG, CKR SESSION CLOSED,
- 6109 CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.
- 6110 Example: see **C** GenerateRandom.

#### 6111 5.19.2 C\_GenerateRandom

```
CK_DECLARE_FUNCTION(CK_RV, C_GenerateRandom)(

CK_SESSION_HANDLE hSession,

CK_BYTE_PTR pRandomData,

CK_ULONG ulRandomLen

(6116 );
```

- 6117 **C\_GenerateRandom** generates random or pseudo-random data. *hSession* is the session's handle;
- 6118 pRandomData points to the location that receives the random data; and ulRandomLen is the length in
- bytes of the random or pseudo-random data to be generated.
- 6120 Return values: CKR\_ARGUMENTS\_BAD, CKR\_CRYPTOKI\_NOT\_INITIALIZED,
- 6121 CKR DEVICE ERROR, CKR DEVICE MEMORY, CKR DEVICE REMOVED,
- 6122 CKR FUNCTION CANCELED, CKR FUNCTION FAILED, CKR GENERAL ERROR,
- 6123 CKR HOST MEMORY, CKR OK, CKR OPERATION ACTIVE, CKR RANDOM NO RNG,
- 6124 CKR SESSION CLOSED, CKR SESSION HANDLE INVALID, CKR USER NOT LOGGED IN.
- 6125 Example:

```
6130
6131
6132
6133
       rv = C SeedRandom(hSession, seed, sizeof(seed));
6134
       if (rv != CKR OK) {
6135
6136
6137
6138
       rv = C GenerateRandom(hSession, randomData, sizeof(randomData));
6139
       if (rv == CKR OK) {
6140
6141
6142
```

## 5.20 Parallel function management functions

- 6144 Cryptoki provides the following functions for managing parallel execution of cryptographic functions.
- These functions exist only for backwards compatibility.

## 5.20.1 C\_GetFunctionStatus

```
6147 CK_DECLARE_FUNCTION(CK_RV, C_GetFunctionStatus)(
6148 CK_SESSION_HANDLE hSession
6149 );
```

- 6150 In previous versions of Cryptoki, **C\_GetFunctionStatus** obtained the status of a function running in parallel with an application. Now, however, **C GetFunctionStatus** is a legacy function which should
- 6152 simply return the value CKR FUNCTION NOT PARALLEL.
- 6153 Return values: CKR\_CRYPTOKI\_NOT\_INITIALIZED, CKR\_FUNCTION\_FAILED,
- 6154 CKR FUNCTION NOT PARALLEL, CKR GENERAL ERROR, CKR HOST MEMORY,
- 6155 CKR SESSION HANDLE INVALID, CKR SESSION CLOSED.

#### 6156 5.20.2 C CancelFunction

```
6157 CK_DECLARE_FUNCTION(CK_RV, C_CancelFunction)(
6158 CK_SESSION_HANDLE hSession
6159 );
```

- 6160 In previous versions of Cryptoki, **C\_CancelFunction** cancelled a function running in parallel with an
- application. Now, however, **C CancelFunction** is a legacy function which should simply return the value
- 6162 CKR FUNCTION NOT PARALLEL.
- 6163 Return values: CKR CRYPTOKI NOT INITIALIZED, CKR FUNCTION FAILED,
- 6164 CKR\_FUNCTION\_NOT\_PARALLEL, CKR\_GENERAL\_ERROR, CKR\_HOST\_MEMORY,
- 6165 CKR SESSION HANDLE INVALID, CKR SESSION CLOSED.

#### 5.21 Callback functions

6167 Cryptoki sessions can use function pointers of type **CK\_NOTIFY** to notify the application of certain

6168 events.

6166

#### 5.21.1 Surrender callbacks

6169

6186

- 6170 Cryptographic functions (*i.e.*, any functions falling under one of these categories: encryption functions;
- decryption functions; message digesting functions; signing and MACing functions; functions for verifying
- signatures and MACs; dual-purpose cryptographic functions; key management functions; random number
- 6173 generation functions) executing in Cryptoki sessions can periodically surrender control to the application
- 6174 who called them if the session they are executing in had a notification callback function associated with it
- 6175 when it was opened. They do this by calling the session's callback with the arguments (hSession,
- 6176 CKN SURRENDER, pApplication), where hSession is the session's handle and pApplication was
- 6177 supplied to **C\_OpenSession** when the session was opened. Surrender callbacks should return either the
- 6178 value CKR OK (to indicate that Cryptoki should continue executing the function) or the value
- 6179 CKR\_CANCEL (to indicate that Cryptoki should abort execution of the function). Of course, before
- 6180 returning one of these values, the callback function can perform some computation, if desired.
- A typical use of a surrender callback might be to give an application user feedback during a lengthy key
- pair generation operation. Each time the application receives a callback, it could display an additional "."
- 6183 to the user. It might also examine the keyboard's activity since the last surrender callback, and abort the
- key pair generation operation (probably by returning the value CKR CANCEL) if the user hit <ESCAPE>.
- 6185 A Cryptoki library is not *required* to make *any* surrender callbacks.

#### 5.21.2 Vendor-defined callbacks

- 6187 Library vendors can also define additional types of callbacks. Because of this extension capability,
- 6188 application-supplied notification callback routines should examine each callback they receive, and if they
- are unfamiliar with the type of that callback, they should immediately give control back to the library by
- 6190 returning with the value CKR OK.

# **6 PKCS #11 Implementation Conformance**

- An implementation is a conforming implementation if it meets the conditions specified in one or more server profiles specified in **[PKCS #11-Prof]**.
- If a PKCS #11 implementation claims support for a particular profile, then the implementation SHALL conform to all normative statements within the clauses specified for that profile and for any subclauses to each of those clauses.

#### **Appendix A. Acknowledgments** 6197 6198 The following individuals have participated in the creation of this specification and are gratefully 6199 acknowledged: 6200 6201 **Participants:** 6202 List needs to be pasted in here 6203 Gil Abel, Athena Smartcard Solutions, Inc. 6204 Warren Armstrong, QuintessenceLabs 6205 Jeff Bartell, Semper Foris Solutions LLC 6206 Peter Bartok, Venafi, Inc. 6207 Anthony Berglas, Cryptsoft 6208 Joseph Brand, Semper Fortis Solutions LLC 6209 Kelley Burgin, National Security Agency 6210 Robert Burns, Thales e-Security 6211 Wan-Teh Chang, Google Inc. 6212 Hai-May Chao, Oracle 6213 Janice Cheng, Vormetric, Inc. 6214 Sangrae Cho, Electronics and Telecommunications Research Institute (ETRI) 6215 Doron Cohen, SafeNet, Inc. 6216 Fadi Cotran, Futurex 6217 Tony Cox, Cryptsoft 6218 Christopher Duane, EMC 6219 Chris Dunn, SafeNet, Inc. 6220 Valerie Fenwick, Oracle 6221 Terry Fletcher, SafeNet, Inc. 6222 Susan Gleeson, Oracle 6223 Sven Gossel, Charismathics 6224 John Green, QuintessenceLabs 6225 Robert Griffin, EMC 6226 Paul Grojean, Individual 6227 Peter Gutmann, Individual 6228 Dennis E. Hamilton, Individual 6229 Thomas Hardjono, M.I.T. 6230 Tim Hudson, Cryptsoft 6231 Gershon Janssen, Individual 6232 Seunghun Jin, Electronics and Telecommunications Research Institute (ETRI) 6233 Wang Jingman, Feitan Technologies 6234 Andrey Jivsov, Symantec Corp. 6235 Mark Joseph, P6R 6236 Stefan Kaesar, Infineon Technologies 6237 Greg Kazmierczak, Wave Systems Corp.

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# **Appendix B. Manifest constants**

The definitions for manifest constants specified in this document can be found in the following normative computer language definition files:

- include/pkcs11-v3.00/pkcs11.h
- 6286 include/pkcs11-v3.00/pkcs11t.h

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• include/pkcs11-v3.00/pkcs11f.h

# **Appendix C. Revision History**

Revision	Date	Editor	Changes Made
wd01	Apr 30 2013	Chris Zimman	Initial import into OASIS template
wd02	Dec 11 2017	Chris Zimman	Import of approved ballot items
wd05	Nov 14 2018	Tim Hudson	- remove C_GetFunctionLists (replaced with C_GetInterfaceList and C_GetInterface)
			- remove CK_INTERFACES
			- remove CK_FUNCTION_LISTS
			- remove MAX_FUNCTION_LISTS
			- add C_GetInterfaceList using same semantics as C_GetMechanismList
			- add C_GetInterface using optional CK_VERSION to specific specific version rather than in the string interface name
			- add typedefs for the 3.0 function structures
			- add C_SessionCancel to the CK_FUNCTION_LIST_3_0 structure - it is currently missing from the header file
wd06	Nov 28 2018	Dieter Bong	<ul> <li>changed formatting/style of C_nnn function calls in section 5.x from bold text to Heading 3</li> <li>some minor format changes, page breaks</li> </ul>
wd07	Feb 6 2019	Dieter Bong	- Reworded last sentence in section 2, and added reference to header file
			- Added MESSAGE flags to Table 8, Mechanism Information Flags
			<ul> <li>Introduced sections for message based signing and message based verification</li> </ul>
			- Split single section with functions for signing and verification into 2 sections, and re-ordered them to signing – message based signing – verification – message based verification
			- TJH's proposal to rename flag in Table 9, CK_INTERFACE Flags, accepted
			- Added sample code for message-based encryption
wd08	Mar 26 2019	Daniel Minder	- Removed solved comments of Tim Hudson

			- Removed C_LoginUser from CK_FUNCTION_LIST since it's a 3.0 function
			Switched C_LoginUser and C_SessionCancel in CK_FUNCTION_LIST_3_0 to align with header file
			- Changed C_GetInterfaceLists to C_GetInterfaceList at some places (5.4.4 - 5.4.6)
			Changed comments in     C_EncryptMessageFinal sample code to C     style
			- Changed CK_GCM_AEAD_PARAMS to CK_GCM_MESSAGE_PARAMS in C_EncryptMessageFinal sample code
			Added     CKR_TOKEN_RESOURCE_EXCEEDED     to all sign and verify functions except for     their Init functions
WD09	Apr 29 2019	Dieter Bong	- Updated section Related work
			- Reference [TLS] updated; references [TLS12] and [RFC 5705] added
			- Added Dieter Bong as Editor
			Updated Citation Format (link still to be updated)
			- Put year 2019 in Copyright
			- Section 4.1.3: changed "the three special attributes" to "the four special attributes"
WD10	May 28, 2019	Tony Cox	- Final cleanup of front introductory texts and links prior to CSPRD