



# 2 Web Services Reliable Messaging TC 3 WS-Reliability 1.1

## 4 Committee Draft 1.086, 24 August 2004

5 **Document identifier:**  
6 oasis-wsrm-ws\_reliability-1.1-spec-cd-1.086

7 **Location:**  
8 [http://www.oasis-open.org/committees/wsrm/documents/specs/\(TBD\)](http://www.oasis-open.org/committees/wsrm/documents/specs/(TBD))

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18 **Abstract:**  
19 Web Services Reliability (WS-Reliability) is a SOAP-based protocol for exchanging  
20 SOAP messages with guaranteed delivery, no duplicates, and guaranteed message  
21 ordering. WS-Reliability is defined as SOAP header extensions and is independent of the  
22 underlying protocol. This specification contains a binding to HTTP.

23 **Status:**  
24 This document is a Committee Draft  
25 Committee members should send comments on this specification to the  
26 [wsmr@lists.oasis-open.org](mailto:wsmr@lists.oasis-open.org) list. Others should use the comment form at  
27 [http://www.oasis-open.org/committees/comments/form.php?wg\\_abbrev=wsmr](http://www.oasis-open.org/committees/comments/form.php?wg_abbrev=wsmr).  
28 For information on whether any patents that may be essential to implementing this  
29 specification have been disclosed and any offers of patent licensing terms, please refer  
30 to the Intellectual Property Rights section of the Web Services Reliable Messaging TC  
31 web page (<http://www.oasis-open.org/committees/wsrm/>).  
32 If necessary, the errata page for this version of of the specification will be located at  
33 <http://www.oasis-open.org/committees/wsrm/documents/errata/1.1/index.html>.

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# 150 1 Introduction

## 151 1.1 Purpose of WS-Reliability

152 WS-Reliability is a SOAP-based ([SOAP 1.1] and [SOAP 1.2 Part 1]) specification that fulfills  
153 reliable messaging requirements critical to some applications of Web Services. SOAP over HTTP  
154 [RFC2616] is not sufficient when an application-level messaging protocol must also guarantee  
155 some level of reliability and security. This specification defines reliability in the context of current  
156 Web Services standards. This specification has been designed for use in combination with other  
157 complementary protocols (see **Section 1.4**) and builds on previous experiences (e.g., ebXML  
158 Message Service [ebMS].)

## 159 1.2 Definition and Scope of Reliable Messaging

160 Reliable Messaging (RM) is the execution of a transport-agnostic, SOAP-based protocol  
161 providing quality of service in the reliable delivery of messages. There are two aspects to  
162 Reliable Messaging; both must be equally addressed when specifying RM features:

- 163 (1) **The “wire” protocol** aspect. RM is a protocol, including both specific message headers  
164 and specific message choreographies, between a sending party and a receiving party.
- 165 (2) **The quality of service (QoS)** aspect. RM defines a quality of messaging service to the  
166 communicating parties, viz., the users of the messaging service. This assumes a  
167 protocol between these users and the provider of this service (i.e., the reliable  
168 messaging middleware). This protocol is defined by a set of abstract operations: Submit,  
169 Deliver, Notify, Respond (defined in **Section 1.5**).

170 Reliable messaging requires the definition and enforcement of contracts between:

- 171 · The Sending and Receiving message processors (contracts about the wire protocol)
- 172 · The messaging service provider and the users of the messaging service (contracts  
173 about quality of service).

174 Each major RM feature will be defined as a composition of these two types of contract.

175 **Example:** Guaranteed message delivery is defined as both (1) a messaging protocol involving  
176 Acknowledgment Indications and specific message headers and (2) as a rule guaranteeing if  
177 “Submit” completes successfully for a payload on the sending side, “Deliver” completes  
178 successfully for this payload on the receiving side or “Notify” (of failure) will be invoked on the  
179 sending side.

180 **Figure 1** shows all of the reliability contracts (both QoS and protocol) binding the Reliable  
181 Messaging entities (a producer of reliable messages, a consumer of reliable messages, and the  
182 two Reliable Messaging Processors or RMPs). The direction of the arrows for the QoS contract  
183 abstract operations, shown in **Figure 1**, represents the direction of information flow associated  
184 with the operation.

### 185 **Note:**

186 This specification does not make any assumption about the implementation of a messaging  
187 service user component (Producer or Consumer components in **Figure 1**): such a component  
188 could be an application, a queuing or logging system, a database, a SOAP node, or the next  
189 handler in the message processing chain. The QoS contracts concern only the conditions of  
190 invocation of the “Deliver”, “Submit”, “Respond” and “Notify” operations. The interpretation of  
191 these operations is a matter of implementation.

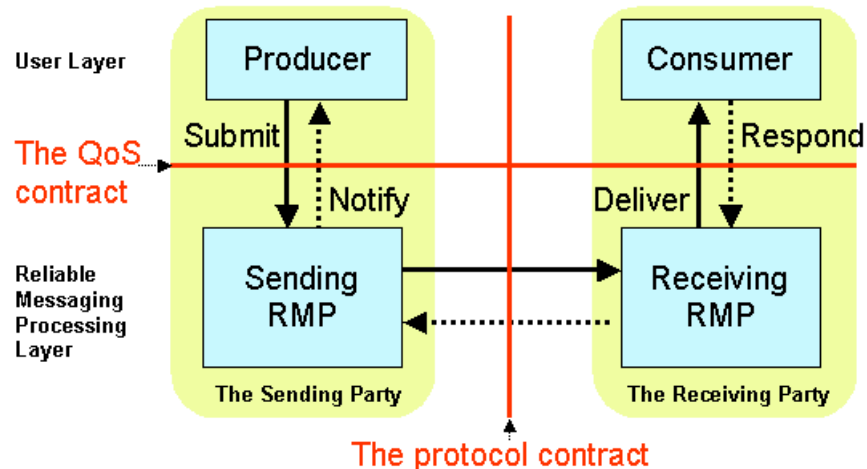


Figure 1 Reliable Messaging Contracts

192 The current specification defines the following reliability features:

- 193 · Guaranteed message delivery, or At-Least-Once delivery semantics.
- 194 · Guaranteed message duplicate elimination, or At-Most-Once delivery semantics.
- 195 · Guaranteed message delivery and duplicate elimination, or Exactly-Once delivery
- 196 semantics.
- 197 · Guaranteed message ordering for delivery within a group of messages.

198 Some messaging features are out of scope for this specification. They are:

- 199 · Routing features. This specification addresses end-to-end reliability and is not
- 200 concerned with intermediaries. The mechanisms described are orthogonal to routing
- 201 techniques and can be used in combination with them.
- 202 · Transactions. Transactional messaging ensures the integrity of exchange patterns that
- 203 involve possibly several messages. Failure conditions may involve application-level
- 204 decisions based on message payload interpretation. This specification is concerned with
- 205 the reliability of individual messages from submission to delivery; it ignores any
- 206 interpretation of these messages.

207 Reliability is often associated with quantitative measures in QoS areas other than Web services  
 208 (e.g., networking). Thresholds such as rate of failures, minimal size of persistent store, average  
 209 latency, and quantitative measures that may appear in service level agreements (SLAs) are out  
 210 of scope for this version.

### 211 1.3 Notational Conventions

212 This document occasionally uses terms that appear in capital letters. When the terms "MUST",  
 213 "REQUIRED", "SHALL", "SHOULD", "RECOMMENDED", "MAY", "OPTIONAL", "MUST NOT",  
 214 "NOT REQUIRED", "SHALL NOT" and "SHOULD NOT" appear capitalized, they are being used  
 215 to indicate particular requirements of this specification. An interpretation of the meanings of these  
 216 terms appears in [RFC2119].

217 All text in this specification is normative, except the following:

- 218 · examples
- 219 · notes (identified with a preceding "Note" header)

220 · appendices not explicitly identified as normative

221 **Section 4** includes tables to explain each message header element. The meaning of the labels in  
222 these tables is as follows:

<i>Label</i>	<i>Meaning</i>
Cardinality	A constraint on the number of instances of the element, as allowed in its enclosing element (e.g., “0 or 1” means means the element may be either absent or present only once in its enclosing element).
Value	A type or format for a value of the element.
Attributes	Attribute names for the element. The type or format for the attribute value is included in parentheses.
Child elements	Elements allowed as direct descendants of the element.

**Table 1 Labels**

223 This specification uses the following namespace prefixes:

<i>Prefix</i>	<i>Namespace</i>
soap	<a href="http://schemas.xmlsoap.org/soap/envelope/">http://schemas.xmlsoap.org/soap/envelope/</a>
soap12	<a href="http://www.w3.org/2003/05/soap-envelope">http://www.w3.org/2003/05/soap-envelope</a>
wstrm	<a href="http://docs.oasis-open.org/wstrm/2004/06/ws-reliability-1.1.xsd">http://docs.oasis-open.org/wstrm/2004/06/ws-reliability-1.1.xsd</a>
xs	<a href="http://www.w3.org/2001/XMLSchema/">http://www.w3.org/2001/XMLSchema/</a>
wSDL11	<a href="http://schemas.xmlsoap.org/wSDL/">http://schemas.xmlsoap.org/wSDL/</a>
fnp	<a href="http://docs.oasis-open.org/wstrm/2004/06/fnp-1.1.xsd">http://docs.oasis-open.org/wstrm/2004/06/fnp-1.1.xsd</a>
wstrmfp	<a href="http://docs.oasis-open.org/wstrm/2004/06/wstrmfp-1.1.xsd">http://docs.oasis-open.org/wstrm/2004/06/wstrmfp-1.1.xsd</a>
ref	<a href="http://docs.oasis-open.org/wstrm/2004/06/reference-1.1.xsd">http://docs.oasis-open.org/wstrm/2004/06/reference-1.1.xsd</a>

**Table 2 Prefixes**

224 The choice of any namespace prefix is arbitrary and not semantically significant.

225 XPath [XPath 1.0] is used to refer to header elements, in particular in **Section 4**.

## 226 **1.4 Relation to Other Specifications**

227 · **W3C SOAP 1.1/1.2**: SOAP 1.1 [SOAP 1.1] and SOAP 1.2 [SOAP 1.2 Part 1] are the  
228 base protocols for this specification. This specification defines reliable messaging  
229 protocol features expressed as extension header blocks embedded in the SOAP  
230 Header.

231 · **OASIS ebXML Message Service Specification 2.0**: The reliable messaging  
232 mechanism defined in the ebXML Message Service Specification 2.0 [ebMS] is  
233 implemented in a number of products and open source efforts, many of which have  
234 undergone interoperability testing. WS-Reliability borrows from this technology.



- 235 · **OASIS Web Services Security: SOAP Message Security 1.0:** This specification  
236 defines reliability independently from security, each of these features mapping to  
237 different SOAP header extensions. Although both features can be used in combination,  
238 the specification does not attempt to compose them in a more intricate way, nor does it  
239 attempt to profile their combination. This specification can be used with OASIS Web  
240 Services Security: SOAP Message Security 1.0 [WSS].
- 241 · **WS-I Basic Profile 1.1:** This specification defines how to use reliability in compliance  
242 with WS-I Basic Profile 1.1 [WS-I BP 1.1].

## 243 **1.5 Terminology**

244 Some of these definitions may reference other definitions, either within or outside of the  
245 terminology section.

### 246 **Reliable Messaging (RM):**

247 The act of processing the set of transport-agnostic SOAP Features defined by WS-Reliability,  
248 which results in a protocol supporting quality of service features such as guaranteed delivery,  
249 duplicate message elimination, and message ordering.

### 250 **Reliable Messaging Processor (RMP):**

251 A SOAP processor and other infrastructure capable of performing Reliable Messaging as  
252 described by this specification. With regard to the transmission of a Reliable Message from one  
253 RMP to another, the former is referred to as the Sending RMP and the latter as the Receiving  
254 RMP. An RMP may act in both roles.

### 255 **Reliable Message:**

256 A SOAP message containing a <wsrm:Request> header block.

### 257 **Payload:**

258 A subset of the message data intended for the Consumer or Producer of the Reliable Message  
259 and provided by the Producer or Consumer respectively.

### 260 **Producer (or Payload Producer)**

261 An abstract component that produces the payload of a message to be sent. An example of a  
262 Producer is an application component able to invoke an RMP to send a payload.

### 263 **Consumer (or Payload Consumer)**

264 An abstract component that consumes the payload of a received message after it has been  
265 processed by the Receiving RMP. Examples of Consumers are: an application component called  
266 back when a message is received, a queuing device storing received payloads.

### 267 **Deliver:**

268 An abstract operation that transfers a payload from Receiving RMP to Consumer.

### 269 **Submit:**

270 An abstract operation that transfers a payload from Producer to Sending RMP – for example, a  
271 request to the Sending RMP to handle the payload subject to a reliability agreement.

### 272 **Respond:**

273 An abstract operation that transfers a payload from Consumer to Receiving RMP as a response  
274 to a previously received Reliable Message.

275 **Notify:**

276 An abstract operation that makes available to the Producer a failure status of a previously sent  
277 message (e.g., a notification the Sending RMP failed to send a Reliable Message) or transfers a  
278 payload received as a response from Sending RMP to Producer.

279 **RMP Operations:**

280 Deliver, Submit, Respond and Notify are also called “RMP operations”. These abstract operations  
281 control the transfer of payload data (and, in one case, failure information) between the RMP and  
282 a user component (Producer or Consumer). An RMP operation is not necessarily implemented by  
283 an RMP, but it must be either supported in some way by an RMP or invoked by the RMP.

284 **Message Identifier:**

285 A message header value or a combination of message header values that uniquely identifies a  
286 Reliable Message. This identifier is meaningful only to the reliability features described here.

287 **Duplicate Message:**

288 A message is a duplicate of another message if it has same Message Identifier.

289 **Message Delivery:**

290 Completion of the Deliver operation for a Reliable Message.

291 **Acknowledgment Indication:**

292 An indication that refers to a previous message delivered by the Receiving RMP. An  
293 Acknowledgment Indication signals that the acknowledged message has been successfully  
294 delivered (that is, the message has satisfied all of the reliability requirements placed on it for  
295 delivery).

296 **Reliable Messaging Fault Indication (RM Fault):**

297 An indication referring to a previous message that encountered a Reliable Messaging fault  
298 condition at the Receiving RMP: it signals to the Sending RMP of the referred message that there  
299 was a failure to invoke the Deliver operation for the message.

300 **Reliable Messaging Reply (RM-Reply):**

301 An indication – either an Acknowledgment Indication or a Reliable Messaging Fault Indication –  
302 referring to a previous Reliable Message.

303 **Response, Callback and Poll RM-Reply Patterns:**

304 See [Section 2.5](#).

305 **PollRequest Message:**

306 A message from the Sending RMP to the Receiving RMP that requests RM-Replies for its  
307 identified set of previously sent Reliable Messages.

308 **Intermediary:**

309 A SOAP node between a Sending RMP and a Receiving RMP.

310 **Publish (an RM-Reply):**

311 The set of mechanisms that make an RM-Reply available to the Sending RMP. The particular  
312 mechanism used for a given Publish operation depends on the RM-Reply Pattern ([Section 2.5](#))  
313 requested within the Reliable Message that elicited the Publish.

## 314 2 Messaging Model

### 315 2.1 Messaging Context

316 The Reliable Messaging Model described in this document makes the following assumptions  
317 about SOAP messaging and its relation to the RMP behavior:

- 318 · **Intermediary transparency.** SOAP Intermediaries do not play any active role in the  
319 reliability mechanisms. They can be abstracted from the communication between  
320 Sending RMP and Receiving RMP: the RMPs are the only parties involved in  
321 implementing the RM protocol (e.g., for handling RM-Replies). There is no role for an  
322 RMP other than Receiving RMP or Sending RMP. **Figure 2** illustrates this model.
- 323 · **Message integrity.** For the reliability mechanisms described here to fulfill the reliability  
324 contract, this specification strongly RECOMMENDS that message header integrity be  
325 guaranteed end-to-end by using adequate security options such as those described in  
326 Web Services Security: SOAP Message Security 1.0 [WSS].

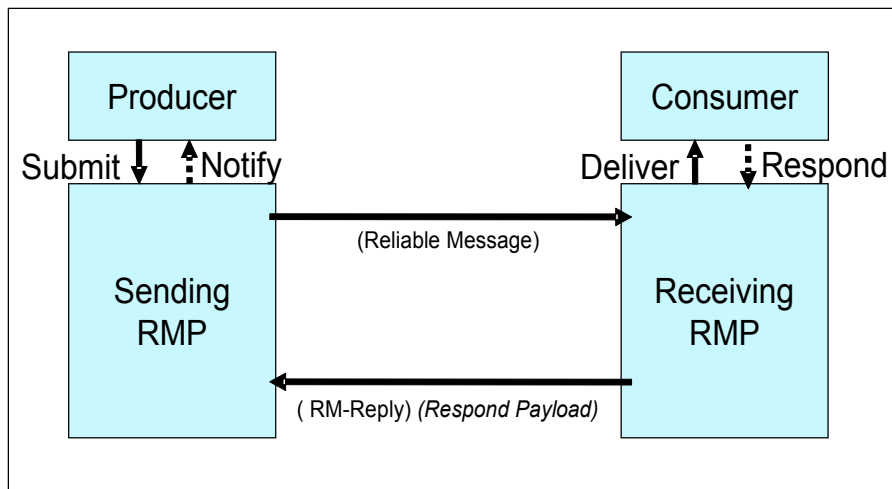


Figure 2 Messaging Model

### 327 2.2 RMP Operations and Their Invocation

328 Four operations (Submit, Deliver, Respond and Notify) are used to model the reliability contracts  
329 between an RMP and its users (Producer and Consumer components).

330 These operations and executable components are defined abstractly to simplify discussion of the  
331 WS-Reliability protocol, not to imply a particular API or component separation. No requirement is  
332 made herein about how these operations should be implemented, which component should  
333 implement them, or whether an implementation should explicitly represent them. The operations  
334 themselves describe a transfer of information (payload or failure notice) between an RMP and  
335 associated external components (Producer, Consumer).

336 The separations assumed here between the RMPs and their external components indicate the  
337 expected value of placing WS-Reliability support within an infrastructure component. However,  
338 any implementation choice leading to the externally observable properties describe in this  
339 specification is equally valid.

340 For example, a Receiving RMP could put a received payload in a queue; later, an application  
341 component gets the payload from that queue. This situation could be modeled in two different  
342 ways: (1) the queuing middleware is the Consumer, in which case the delivery is over when the  
343 payload is placed in the queue, (2) the application component is the Consumer, in which case the  
344 delivery is over when the payload is read by the application. Note that the reliability contracts will  
345 differ in each case and that it is an implementation choice to decide the precise point at which the  
346 reliability contract is considered fulfilled.

347 The following requirements are associated with the use of RMP operations:

- 348       · For every valid and non-expired message it receives, a Receiving RMP MUST invoke  
349       the Deliver operation after the associated reliability requirements (ordering, duplicate  
350       elimination) have been satisfied.
- 351       · The Sending RMP is NOT REQUIRED to invoke the Notify operation for communicating  
352       the status of every Reliable Message to a Producer. Only the failure status and available  
353       Consumer payload cases need be reported.
- 354       · An invocation of Deliver is not always matched by an invocation of Respond; the  
355       Consumer is NOT REQUIRED to invoke Respond for every Reliable Message  
356       delivered. A Receiving RMP MUST be capable of mapping a pair of Deliver and  
357       Respond invocations to an instance of SOAP Request-response MEP (See 2.3)

358 The basic exchange patterns described in the following section derive from the above messaging  
359 assumptions. Reliability features defined in this specification will in turn rely on these patterns.

## 360 **2.2.1 Binding between WSDL Operation Types and RMP Invocations**

361 This specification supports Reliable Messaging capabilities for WSDL 1.1 [WSDL 1.1] One-way  
362 and Request-response operation types only. That is, a WSDL instance describing the Consumer  
363 interface would use one of these two operations. Assuming a Sending RMP (or S-RMP) and a  
364 Receiving RMP (or R-RMP), the operations in such a WSDL instance MUST bind with the RMP  
365 operations in the following way:

- 366       · A successful WSDL One-way operation maps to a sequence of RMP invocations of the  
367       form: S-RMP.Submit(p) + R-RMP.Deliver(p), where (p) is the payload sent in the  
368       request (input message) of the operation described in WSDL.
- 369       · A successful WSDL Request-response operation maps to a sequence of RMP  
370       invocations of the form: S-RMP.Submit(p) + R-RMP.Deliver(p) + R-RMP.Respond(p2) +  
371       S-RMP.Notify(p2), where (p) is the payload sent in the request and (p2) is the payload  
372       returned in the response (output message) of the operation described in WSDL.

## 373 **2.3 Assumed SOAP Message Exchange Patterns**

374 Although SOAP [SOAP 1.1] was initially defined as a one-way messaging protocol, support for  
375 other exchange patterns [SOAP 1.1], message exchange patterns (MEPs) [SOAP 1.2 Part 2],  
376 and operations [WSDL 1.1] has been described. For example, SOAP over HTTP was principally  
377 described in terms of a request-response exchange pattern in [SOAP 1.1], bound to either One-  
378 way or Request-response operations in [WSDL 1.1] and restricted (especially with regard to the  
379 meaning of a One-way operation) in [WS-I BP 1.1]. Described below are two MEPs – called here  
380 SOAP MEPs – of interest for the RM features specified herein and derived from the terminology  
381 in those specifications. We use these terms to describe how the RMPs send and receive SOAP  
382 messages over the underlying transfer protocol.

383 An RMP MUST know which SOAP MEP is in use when sending or receiving a Reliable Message.  
384 A WSDL instance is just one way among many to specify to an RMP a message's binding to a  
385 SOAP MEP.

386 **SOAP One-way MEP:**

387 From an RMP perspective, support for this MEP assumes the following:

- 388 · The Sending RMP (as a SOAP node) is able to initiate the sending of a SOAP envelope  
389 over the underlying protocol (i.e., not as a result of a previous protocol action such as an  
390 HTTP GET or POST).
- 391 · No response containing a SOAP envelope is sent back – although a non-SOAP  
392 response (e.g., an HTTP error code) may be returned.

393 **SOAP Request-response MEP:**

394 From an RMP perspective, support for this MEP assumes the following:

- 395 · The Sending RMP is able to initiate the sending of a SOAP envelope over the  
396 underlying protocol.
- 397 · The Receiving RMP can send back a message with a SOAP envelope (called a  
398 response) after somehow associating the response with the request.

399 **2.4 Message Reply Patterns**

400 There are three ways to publish an RM-Reply (Acknowledgment Indication or Fault Indication):

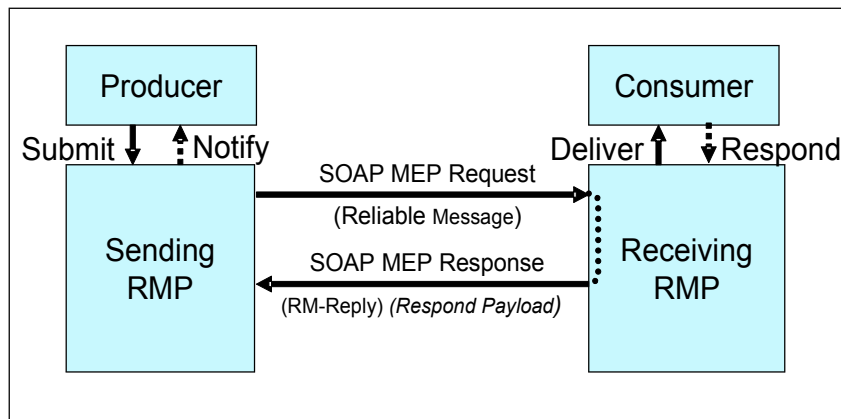
401 **2.4.1 Response RM-Reply Pattern**

402 When the Response RM-Reply Pattern is in use, the following sequence of exchanges MUST  
403 occur:

404 Step 1: The Sending RMP sends the Reliable Message in a request of a SOAP Request-  
405 response MEP instance.

406 Step 2: The Receiving RMP sends the RM-Reply in the response message of the same  
407 SOAP MEP instance.

408 **Figure 3** shows this reply pattern.



**Figure 3 Response RM-Reply Pattern**

409 The Response RM-Reply Pattern MUST NOT be used for WSDL One-way operations to the  
410 Consumer.

## 411 2.4.2 Callback RM-Reply Pattern

412 When the Callback RM-Reply Pattern is in use, the following sequence of exchanges MUST  
413 occur:

414 Step 1: The Sending RMP sends the Reliable Message in the SOAP MEP instance  
415 required by this Producer-Consumer exchange. This MEP instance may be either Request-  
416 response or One-way.

417 Step 2: The Receiving RMP sends the RM-Reply. Except when the RM Reply is bundled  
418 with a Reliable Message (as described in **Section 4.4**), the RMP MUST send this RM-  
419 Reply using a SOAP One-way MEP.

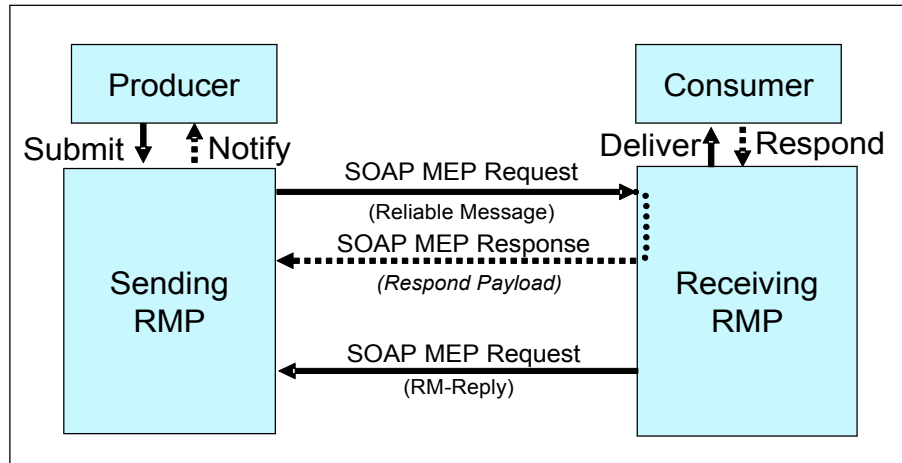


Figure 4 Callback RM-Reply Pattern

420 **Figure 4** shows this reply pattern. The dashed arrows indicate the SOAP message returned  
421 when a SOAP Request-response MEP is used to send the Reliable Message.

## 422 2.4.3 Poll RM-Reply Pattern

423 When the Poll RM-Reply Pattern is in use, the following sequence of exchanges MUST occur:

424 Step 1: The Sending RMP sends the Reliable Message in the SOAP MEP instance  
425 required by this Producer-Consumer exchange. This MEP instance may be either Request-  
426 response or One-way.

427 Step 2: The Sending RMP issues a message with a PollRequest element in a new SOAP  
428 MEP instance; this acts as a request for Acknowledgment. This message MUST NOT  
429 contain a payload (as defined in **Section 1.5**). The Sending RMP MUST use the request of  
430 a SOAP Request-response MEP instance for a synchronous PollRequest and MUST use a  
431 SOAP One-way MEP for an asynchronous PollRequest.

432 Step 3: The Receiving RMP sends the RM-Reply either (if synchronous polling) in the  
433 response message of the same SOAP instance that carried the PollRequest or (if  
434 asynchronous polling) in a message from a SOAP One-way MEP instance. This message  
435 MUST NOT contain a payload.

436 When the Sending RMP of Reliable Messages cannot receive underlying protocol requests (e.g.,  
437 due to security restrictions), it may use the synchronous version of this reply pattern. The  
438 Sending RMP MAY also use this reply pattern (steps 2 and 3 above) to extend other RM-Reply  
439 Patterns. **Figure 5** illustrates the synchronous variant, **Figure 6** the asynchronous.

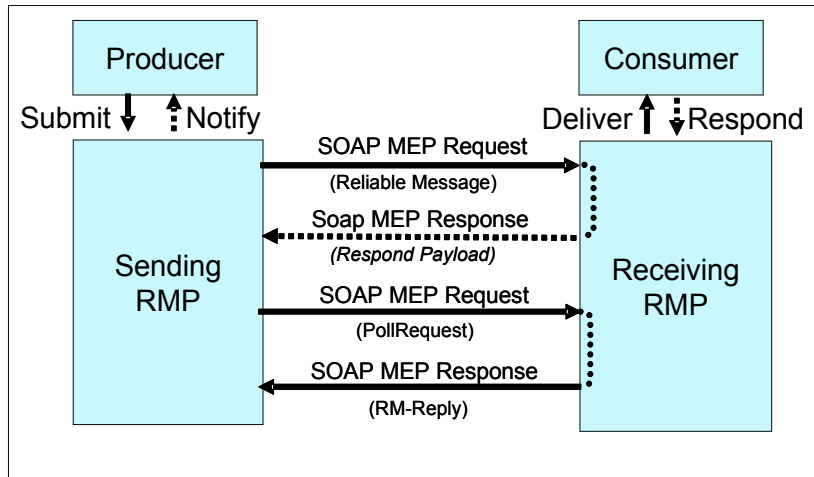


Figure 5 Synchronous Poll RM-Reply Pattern

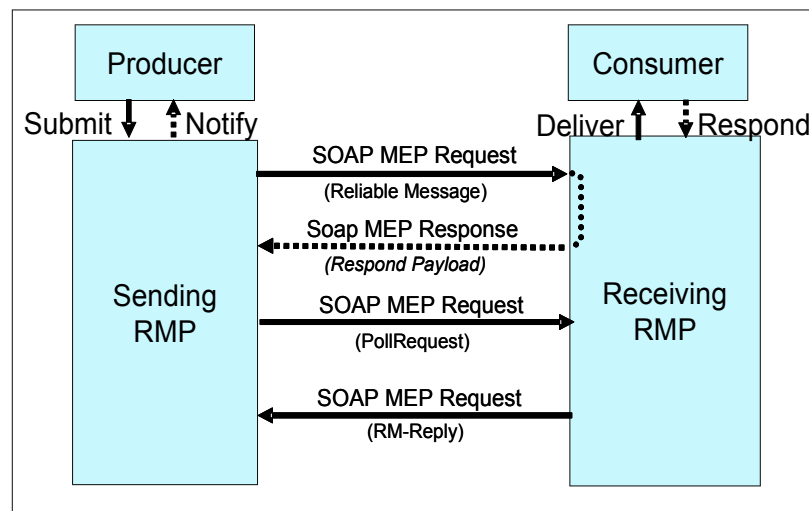


Figure 6 Asynchronous Poll RM-Reply Pattern

## 441 2.5 Message Identification and Grouping

442 A Reliable Message contains an Identifier that is globally unique and relies on the notion of a  
 443 group. A Reliable Message always belongs to a group. The Sending RMP sends a group of  
 444 messages to the Receiving RMP as a sequence of individual messages. The Reliable Message  
 445 Identifier is a combination of a group ID and an optional sequence number; a sequence number,  
 446 if present, is an integer that is unique within a group. More precisely, a message is uniquely  
 447 identified as follows:

448 1) When there is only one message in the group: the group ID, which is a globally unique  
 449 group identifier, may be used alone as Message Identifier. No sequence number is  
 450 required, although one is allowed.

451 2) When the message belongs to a group of several messages: the message is identified  
 452 by the group ID and a unique sequence number.

---

## 453 3 Reliability Agreement and Features

### 454 3.1 RM Agreement

#### 455 3.1.1 Definition

456 An agreement for messaging reliability, or RM Agreement, describes which reliability features a  
457 sending party and a receiving party have agreed to use when exchanging a set of messages.  
458 The RM Agreement can be seen as a contract at two levels: (1) quality of service (QoS), about  
459 the conditions and quality of message delivery to the Consumer and (2) protocol features,  
460 including timing parameters and details about choreography between the Sending and Receiving  
461 RMPs.

#### 462 3.1.2 RM Agreement Items

463 An RM Agreement is a list of Agreement Items.

464 A Sending RMP MUST be capable of (1) taking knowledge (whether by configuration, an API call,  
465 a message, the result of an algorithm or any other means) of a set of values that represent the  
466 RM Agreement Items described in this specification and (2) processing them according to the  
467 semantics described in this specification.

468 A Receiving RMP MUST be capable of (1) taking knowledge of the Agreement items as they are  
469 communicated via the header elements of Reliable Messages and (2) processing them according  
470 to the semantics described in this specification.

471 **Table 3** shows the Agreement Items this specification uses. Each item is listed with its possible  
472 values:



<b>Name</b>	<b>Value</b>	<b>Definition</b>
GuaranteedDelivery	enabled/disabled	For setting Guaranteed Delivery (see <b>Section 3.2.1</b> for details).
NoDuplicateDelivery	enabled/disabled	For setting message delivery without duplicates or Duplicate Elimination (see <b>Section 3.2.2</b> for details).
OrderedDelivery	enabled/disabled	For setting Guaranteed Message Ordering (see <b>Section 3.2.3</b> for details).
GroupMaxIdleDuration	number of seconds	For setting the elapsed time limit from the last message sent or received in a group, after which the group can be terminated. The value MUST NOT be zero or smaller.
GroupExpiryTime	date/time	For setting the date and time after which the group can be terminated.
ExpiryTime	date/time	For setting the date and time after which a message must not be delivered to the Consumer.
ReplyPattern	"Response", "Callback", "Poll"	For setting the mode of response for Acknowledgments or Faults.

**Table 3 RM Agreement Items**

### 473 **3.1.3 Scope of an Agreement Item**

474 There are two scopes to consider:

- 475       · Group scope: All messages sent within a group.
- 476       · Message Scope: A single message.

477 Agreement Items relate to a particular scope: for example, ExpiryTime affects each message  
478 separately, while GroupExpiryTime is an Agreement Item about groups.

479 Agreement items applying to the Message Scope MAY be applied to the Group Scope. For  
480 example, an RMP implementation may decide to specify the same ExpiryTime value for all  
481 messages of a group and not support setting different values for messages in a group. The  
482 default scope of applicability for each RM Agreement item is:

483       Message scope:

- 484       · ExpiryTime
- 485       · ReplyPattern

486       Group scope:

- 487       · OrderedDelivery
- 488       · GuaranteedDelivery
- 489       · NoDuplicateDelivery
- 490       · GroupExpiryTime
- 491       · GroupMaxIdleDuration

492 An RMP MUST NOT allow most Agreement items applicable at Group scope to vary between  
493 messages of a group. For example, a Sending RMP MUST NOT use different guaranteed  
494 delivery modes for different messages of a group. However, it is allowed to dynamically change  
495 the value of GroupExpiryTime or GroupMaxIdleDuration pertaining to a group (See **Section**  
496 **5.1.2**).

### 497 **3.1.4 Rules**

498 When defining an RM Agreement instance, there are some dependencies between the items of  
499 the agreement that must be respected:

- 500       · If OrderedDelivery is enabled for a group, GuaranteedDelivery and NoDuplicateDelivery  
501       MUST also be enabled for that group.
- 502       · If GroupExpiryTime is used for a group, the item GroupMaxIdleDuration MUST NOT be  
503       used for this group and vice versa.

### 504 **3.1.5 Creation, Representation and Deployment of RM Agreements**

505 The concrete representation of an RM Agreement is beyond the scope of this specification, as  
506 this may be part of a more general agreement that covers other matters as well as the reliability  
507 aspect. However, the RM Agreement determines the use of the reliability protocol and the  
508 behavior of RMPs. For these reasons, this specification references the RM Agreement in an  
509 abstract way, showing it as a simple list of (name, value) pairs called Agreement Items. This  
510 allows a description of the concrete effect of each Agreement Item on the message content and  
511 flow. Once there is a broad enough consensus for using a particular representation for  
512 agreements, a future version of this specification will define a corresponding binding for RM  
513 Agreements.

514 The way RM Agreements are established or communicated to each party is out of scope.  
515 However, one of the principles of this specification is that it should not be necessary to deploy an  
516 RM Agreement on both RMPs prior to executing business transactions. Only the Sending RMP  
517 needs to have knowledge of the RM Agreement initially. No prior communication of the  
518 agreement to the receiving party (an RMP and its user) is required. The only input the Receiving  
519 RMP will need in order to enforce the reliability requirements will be obtained from the header  
520 elements of received messages.

### 521 **3.1.6 RM Capability**

522 As a way to support the creation of RM Agreements, it may be useful for Web services providers  
523 to advertise somehow the reliability features (or RM Agreement Item values) supported by a  
524 deployed Web service. In contrast with agreements involving both parties, such reliability features  
525 – called RM Capabilities – may conveniently be associated with WSDL definitions. In support of  
526 this option, this specification proposes a concrete representation for these capabilities (see  
527 **Appendix B**).

## 528 **3.2 Main Reliability Features**

529 The main reliability features mentioned in **Section 1** are formally described here in terms of  
530 requirements. This specification provides the means to enforce these requirements. A detailed  
531 description of the protocol features implementing these means is given in **Section 4** and beyond.

## 532 3.2.1 Guaranteed Delivery

### 533 Quality of Service requirements:

534 When the GuaranteedDelivery Agreement Item is enabled, one of the two following outcomes  
535 SHALL occur for each Submit invocation: either (1) the Receiving RMP successfully delivers  
536 (Deliver invocation) the submitted payload to its associated Consumer or (2) the Sending RMP  
537 notifies (Notify invocation) the Producer associated with that payload of a delivery failure.

### 538 **Notes:**

- 539 · This QoS feature guarantees only that the sender will always be notified of a delivery  
540 failure when a message is not delivered. It is, however, impossible to guarantee this  
541 while at the same time guaranteeing that (1) and (2) will never occur together for the  
542 same message. A proper usage by an implementation of the protocol options described  
543 in this specification will, however, greatly reduce situations where both (1) and (2) occur.
- 544 · The GuaranteedDelivery agreement is defined for messages resulting from invocations  
545 of the Submit operation. An extension of this agreement to messages resulting from  
546 invocations of the Respond operation is out of scope for this specification.

### 547 Protocol requirements:

548 For all messages sent with the GuaranteedDelivery agreement, a Receiving RMP MUST publish  
549 the RM-Reply of each such message that has been either delivered or faulted. The Sending RMP  
550 MUST poll for all of its sent messages that requested the Poll RM-Reply Pattern.

551 A message resending technique combined with the acknowledgment and fault mechanism  
552 described here MUST be used in case of a delivery failure. Parameters that control the resending  
553 policy (number of retries, frequency, etc.) are out of the scope of this specification. These  
554 parameters may be added to an RM Agreement, although the resending policy may need to be  
555 dynamically adjusted depending on network conditions. When resending a message, the  
556 message contents must not change.

557 A Receiving RMP MUST NOT publish a Reliable Messaging Fault for a delivered Message. The  
558 RMP MUST NOT deliver a message for which a Reliable Messaging Fault has been published.

559 A Sending RMP MUST NOT resend a message for which an RM-Reply with a Fault type other  
560 than MessageProcessingFailure has been received and MUST instead notify its Producer of a  
561 delivery failure.

## 562 3.2.2 Duplicate Elimination

### 563 Quality of Service requirements:

564 When the NoDuplicateDelivery Agreement Item is enabled, a message resulting from a Submit  
565 invocation SHALL NOT be delivered twice or more to the Consumer.

### 566 **Note:**

567 In the current specification, the NoDuplicateDelivery agreement is defined for messages resulting  
568 from invocations to the Submit operation. An extension of this agreement to messages resulting  
569 from invocations to the Respond operation is out of scope for this specification.

### 570 Protocol requirements:

571 An implementation of this specification must ensure the following invariants:

- 572 · Message instances resulting from separate invocations of Submit MUST NOT share the  
573 same Message Identifier.

574           · When resending a message, the message contents must not change.

575 As a corollary to the above requirements, a Receiving RMP MUST ensure that once a message  
576 under this agreement has been delivered to a Consumer, no message with the same identifier  
577 received afterward will be delivered to this Consumer.

578 When the Response RM-Reply Pattern is requested with Duplicate Elimination for a Reliable  
579 Message, the Receiving RMP cannot deliver that message to the Consumer again (because it is  
580 a duplicate of a previously delivered message), and a Consumer response payload is expected,  
581 the response of the SOAP MEP instance MUST contain one (but not both) of the following:

582           · a copy of the original response payload returned for that Message (in the SOAP Body)  
583           in addition to the Acknowledgment Indication (in the SOAP Header) or

584           · a SOAP server Fault (in the SOAP Body) in addition to the Acknowledgment Indication  
585           (in the SOAP Header).

586 The Sending RMP and Producer expect either a complete response or a SOAP Fault when using  
587 the Response RM-Reply Pattern; these two allowed behaviors satisfy that expectation.

### 588 **3.2.3 Guaranteed Message Ordering**

589 Quality of Service requirements:

590 When the OrderedDelivery Agreement Item is enabled, messages resulting from a sequence of  
591 Submit invocations SHALL be delivered in the same order to the Consumer. In addition, when the  
592 Receiving RMP delivers one of these messages, all previous messages submitted in the  
593 sequence MUST already have been delivered (no missing message allowed).

594 **Note:**

595 In the current specification, the OrderedDelivery agreement is defined for messages resulting  
596 from invocations of the Submit operation on the Sending RMP. An extension of this agreement to  
597 messages resulting from invocations of the Respond operation is out of scope for this  
598 specification.

599 Protocol requirements:

600 Ordering is supported only over messages of the same group.

601 An implementation of this specification must ensure the following invariants, regarding the usage  
602 of sequence numbers (SequenceNum element):

603           · The Sending RMP MUST reflect the order of the Submit invocations on this RMP in the  
604           sequence numbers of the corresponding messages sent.

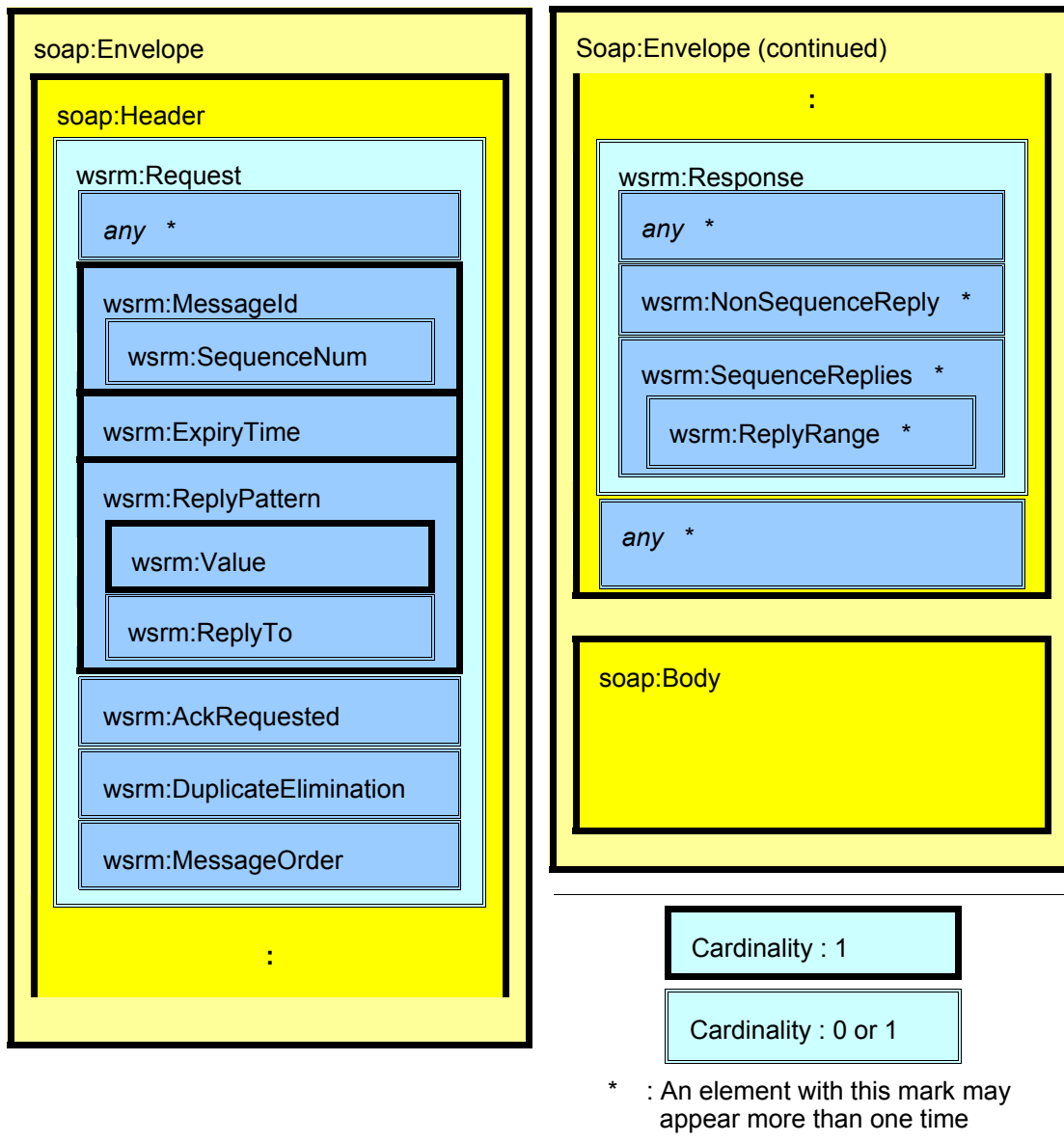
605           · The Receiving RMP MUST deliver the messages received according to the order  
606           expressed by their sequence numbers, which is the same as the submission order.

607 An RMP will terminate the group as specified in **Section 5.1.3.5** (T5) when those conditions  
608 arise.

609 **4 Message Format**

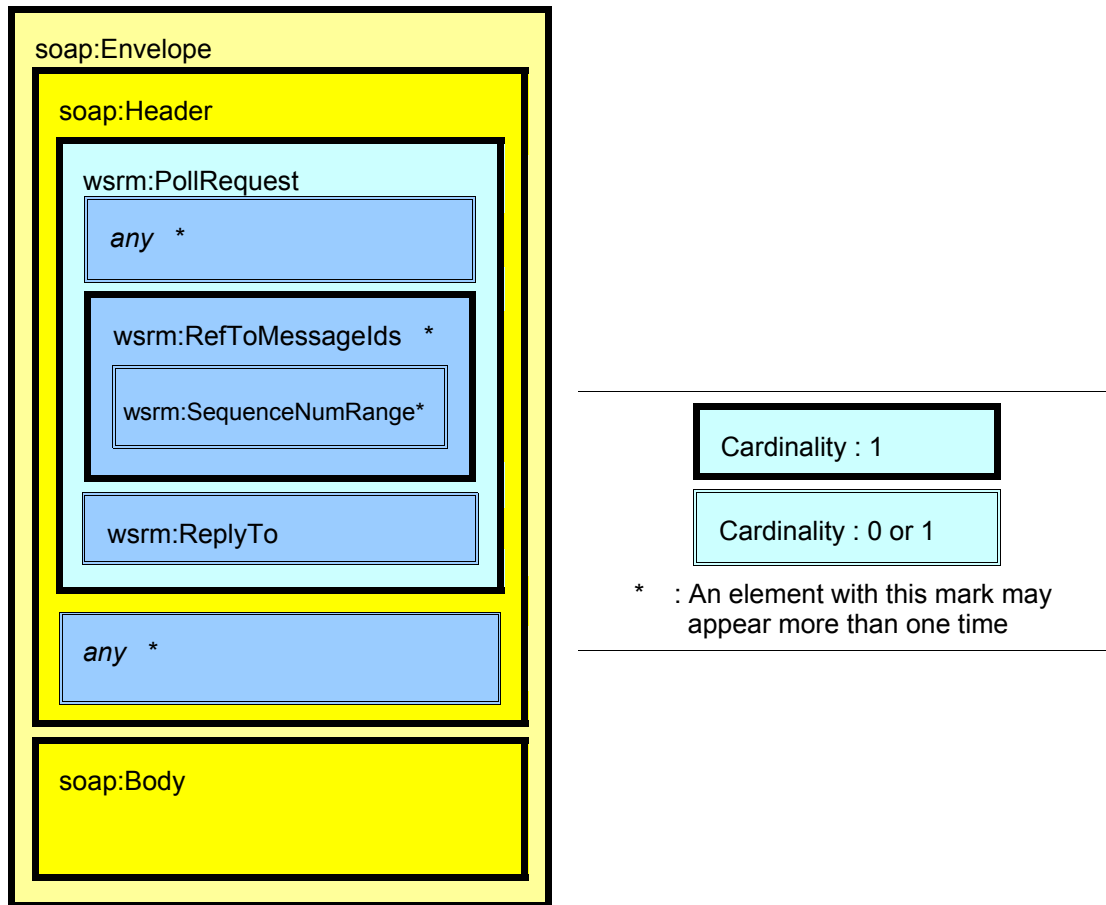
610 **4.1 Structure**

611 **Figure 7** shows the structure of reliability SOAP header blocks in the SOAP Envelope, as  
 612 specified by the WS-Reliability protocol. On the left side of the figure, a Reliable Message is  
 613 characterized by the presence of the wsrn:Request element. On the right side a response to a  
 614 Reliable Message contains a wsrn:Response element. Both wsrn:Request and wsrn:Response  
 615 elements may be found in the same message.



**Figure 7 Structure of WS-Reliability elements**

616 **Figure 8** shows the structure of PollRequest message embedded in the SOAP Envelope.



**Figure 8 Structure of PollRequest message elements**

617 The namespace [XML Namespaces] for reliable messaging defined in this specification is:

618 <http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd>

619 When the text of the specification is shown to be in conflict with schema statements, the schema  
620 statements prevail in the absence of an errata addressing the conflict.

621 The schema for some of the elements specified in this section includes the specification of  
622 extensibility elements and attributes. The extensibility features expressed formally in the schema  
623 are specified in **Section 4.6**.

624 If a message contains additional elements or attributes not described in this specification, the  
625 Reliable Messaging Processor MAY ignore them.

626 Any of the following three elements can be a direct child element of the SOAP Header:

- 627 · **Request** element
- 628 · **PollRequest** element
- 629 · **Response** element

## 630 4.2 Request Element

631 The Request element conveys information about the agreement items that apply to the containing  
632 Reliable Message. This element includes the following attribute and child elements (see the  
633 description of each child element for cardinality requirements):

- 634 · SOAP **mustUnderstand** attribute (see **Appendix A** for details)
- 635 · **MessageId** element
- 636 · **ExpiryTime** element
- 637 · **ReplyPattern** element
- 638 · **AckRequested** element
- 639 · **DuplicateElimination** element
- 640 · **MessageOrder** element

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	MessageId ExpiryTime ReplyPattern AckRequested DuplicateElimination MessageOrder

**Table 4 Request Element**

641 **Example 1** shows an instance of a Request element.

## Example 1 Request Element

```
642 <Request
643   xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd"
644   xmlns:soap12="http://www.w3.org/2003/05/soap-envelope"
645   soap12:mustUnderstand="1">
646   <MessageId groupId="mid://20040202.103832@wsr-sender.org">
647     <SequenceNum number="0"
648       groupExpiryTime="2005-02-02T03:00:33-31:00" />
649   </MessageId>
650   <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
651   <ReplyPattern>
652     <Value>Response</Value>
653   </ReplyPattern>
654   <AckRequested/>
655   <DuplicateElimination/>
656   <MessageOrder/>
657 </Request>
```

### 658 4.2.1 Element: Request/MessageId

659 This element includes the following attribute:

- 660     • a **groupId** attribute

Cardinality	1
Value	None
Attributes	groupId (xs:anyURI)
Child elements	SequenceNum

Table 5 MessageId Element

#### 661 4.2.1.1 Attribute: Request/MessageId@groupId

662 This attribute identifies a message group. The Sending RMP MUST use a distinct globally unique  
663 @groupId value for each distinct group of messages. Within any such group, all messages will  
664 have the same value for @groupId. This identification (the value) is of type URI as defined in  
665 [RFC2396]. It is RECOMMENDED that implementations use the Message-ID schema defined in  
666 [RFC2392].

#### 667 4.2.1.2 Element: Request/MessageId/SequenceNum

668 The Sending RMP MUST include the SequenceNum element in all Reliable Messages of a group  
669 with more than one message.

670 The SequenceNum element carries the sequence number as well as other attributes that may  
671 alter the Receiving RMP's processing of the group. When a message includes a MessageOrder  
672 element, the sequence number is used in support of message ordering (**Section 3.2.3**).

673 This element includes the following attributes:



- 674 · a **groupExpiryTime** attribute
- 675 · a **groupMaxIdleDuration** attribute
- 676 · a **number** attribute
- 677 · a **last** attribute

678 In a request message, the sender MAY include either (but not both) @groupExpiryTime or  
 679 @groupMaxIdleDuration (see **Section 5.1.2**).

680 **Example 2** illustrates the SequenceNum element with some message fragments:

**Example 2 SequenceNum Element**

681 1) First message

```
682 <MessageId groupId="mid://20040202.103832@wsr-sender.org">
683   <SequenceNum number="0"
684     groupExpiryTime="2005-02-02T03:00:33-31:00" />
685 </MessageId>
```

686 2) Second message

```
687 <MessageId groupId="mid://20040202.103832@wsr-sender.org">
688   <SequenceNum number="1"
689     groupExpiryTime="2005-02-02T03:00:33-31:00" />
690 </MessageId>
```

691 3) The last message for the group

```
692 <MessageId groupId="mid://20040202.103832@wsr-sender.org">
693   <SequenceNum number="2"
694     groupExpiryTime="2005-02-02T03:00:33-31:00" last="true" />
695 </MessageId>
```

Cardinality	1
Value	None
Attributes	groupExpiryTime (dateTime) groupMaxIdleDuration (duration) number (unsignedLong) last (Boolean)
Child elements	None

**Table 6 SequenceNum Element**

696 **4.2.1.2.1 Attribute: Request/MessageId/SequenceNum@groupExpiryTime**

697 This attribute represents the GroupExpiryTime agreement item (**Section 3.1.2, Table 3**). It  
 698 specifies the the date and time at which the sender wishes the group to terminate. The  
 699 @groupExpiryTime value is expressed as UTC and conforms to [XML Schema Part 2] dateTime.

700 The Cardinality of this attribute is 0 or 1. Constraints on the use of this attribute are specified in  
701 **Section 5**.

#### 702 **4.2.1.2.2 Attribute: Request/MessageId/SequenceNum@groupMaxIdleDuration** 703

704 This attribute represents the GroupMaxIdleDuration agreement item (**Section 3.1.2, Table 3**). It  
705 specifies the maximum idle time for a group. The @groupMaxIdleDuration value conforms to  
706 [XML Schema Part 2] duration. The Cardinality of this attribute is 0 or 1. Constraints on the use of  
707 this attribute are specified in **Section 5**.

#### 708 **4.2.1.2.3 Attribute: Request/MessageId/SequenceNum@number**

709 This attribute contains the sequence number, which identifies the message within its group  
710 (**Section 2.6**) and is used in support of message ordering (**Section 3.2.3**). @number conforms to  
711 [XML Schema Part 2] unsignedLong.

712 The Sending RMP MUST set this value to 0 for the first message of a group. The Sending RMP  
713 thereafter MUST increment this value by 1 for each message submitted in this group. Once the  
714 value reaches the maximum (18446744073709551615, the maximum value for this data type),  
715 the group is terminated (see **Section 5**).

#### 716 **4.2.1.2.4 Attribute: Request/MessageId/SequenceNum@last**

717 This attribute indicates whether or not the containing message is the last in a group. The  
718 Cardinality of this attribute is 0 or 1. When this attribute is present, its Boolean value has the  
719 following meaning:

- 720     • **false**: Indicates the message is not the last message of the group or is not known to be  
721       the last message of the group.
- 722     • **true**: Indicates the message is known to be the last message sent within a group of  
723       messages.

724 When this attribute is not present, its value defaults to false.

#### 725 **4.2.2 Element: Request/ExpiryTime**

726 The ExpiryTime element represents the ExpiryTime agreement item (**Section 3.1.2, Table 3**). It  
727 indicates the ultimate date and time after which the Receiving RMP MUST NOT invoke the  
728 Deliver operation for the received message. The message is considered expired if the current  
729 time, expressed in UTC, is greater than the value of the ExpiryTime element. When a message  
730 expires on the Sending RMP before being successfully sent, a Sending RMP MUST NOT send or  
731 resend it and MUST communicate a delivery failure to the Producer. The time is expressed as  
732 UTC and conforms to [XML Schema Part 2] dateTime.

Cardinality	1
Value	xs:dateTime
Attributes	None
Child elements	None

**Table 7 ExpiryTime Element**

### 733 4.2.3 Element: Request/ReplyPattern

734 A Sending RMP MUST include the ReplyPattern element in a Request element. The  
735 ReplyPattern element includes the following child elements:

- 736     • a **Value** element
- 737     • a **ReplyTo** element

Cardinality	1
Value	None
Attributes	None
Child elements	Value ReplyTo

**Table 8 ReplyPattern Element**

#### 738 4.2.3.1 Element: Request/ReplyPattern/Value

739 The Value element indicates which reply pattern the Sending RMP requests. This element  
740 specifies whether the Receiving RMP should send the Acknowledgment Indication or RM Fault  
741 Indication back in the response to the reliable message, in a separate callback request, or in the  
742 response to a separate poll request. A Sending RMP MUST include the Value element in a  
743 ReplyPattern element. This element has one of the following three values:

- 744     • **Response**
- 745     • **Callback**
- 746     • **Poll**

747 These values respectively indicate which of the RM-Reply Patterns – Response, Callback or Poll  
748 – is in use, as described in **Section 2.5**.

Cardinality	1
Value	xs:string: Response, Callback or Poll
Attributes	None
Child elements	None

**Table 9 Value Element**

#### 749 4.2.3.2 Element: Request/ReplyPattern/ReplyTo

750 If the value of the Request/ReplyPattern/Value element is "Callback", the Sending RMP MUST  
751 include this element in the Reliable Message. For all other values ("Poll" and "Response") of  
752 Request/ReplyPattern/Value element, the Sending RMP MUST NOT include this element. This  
753 element specifies the endpoint where the Sending RMP expects to receive a callback containing  
754 RM-Reply information.

755 If present, the reference-scheme attribute specifies the format of the single child element of the  
 756 ReplyTo element. If the attribute is omitted, the default content of the ReplyTo element is  
 757 BareURI.

Cardinality	0 or 1
Value	None
Attributes	reference-scheme
Child elements	{ <i>xs:anyType</i> } (an element representing the reference)

**Table 10 ReplyTo Element**

758 **4.2.3.2.1 Attribute: Request/ReplyPattern/ReplyTo@reference-scheme**

759 This attribute specifies the format or schema of the child element of  
 760 Request/ReplyPattern/ReplyTo. The Sending RMP MUST omit this attribute when the child  
 761 element of Request/ReplyPattern/ReplyTo is BareURI. The type of this attribute is xs:anyURI.

762 **4.2.3.2.2 Element: Request/ReplyPattern/ReplyTo/BareURI**

763 This element provides one of the simplest referencing options, the URI of the callback recipient's  
 764 endpoint. It is the default content of the Request/ReplyPattern/ReplyTo and PollRequest/ReplyTo  
 765 (see **Section 4.3.1**) elements, though the Sending RMP MAY use any other element and scheme  
 766 supported by the Receiving RMP. This location (the value) is of type URI as defined in  
 767 [RFC2396].

768 **Section 6** provides additional information about the specific case for which the content of a  
 769 BareURI in a Request or PollRequest element uses the HTTP URI scheme.

Cardinality	0 or 1
Value	xs:anyURI
Attributes	None
Child elements	None

**Table 11 BareURI Element**

770 **4.2.4 Element: Request/AckRequested**

771 A Sending RMP MUST include the AckRequested element in a message if and only if that  
 772 message is subject to the GuaranteedDelivery Agreement Item (refer to **Section 3.2.1** for  
 773 details); as described in **Section 3.1.4**, this condition includes all messages subject to the  
 774 OrderedDelivery Agreement Item. The Sending RMP uses this element to request the Receiving  
 775 RMP to publish an Acknowledgment after the message is delivered to the consumer party or else  
 776 to publish an RM Fault Indication. The Receiving RMP MUST publish this information, even for  
 777 received messages that are duplicates of previously delivered messages. For example, if the  
 778 RM-Reply Pattern is Callback and no fault occurs, an Acknowledgment Indication SHALL be sent  
 779 back.

780 The Receiving RMP MAY publish an RM Fault Indication for a Reliable Message, even if the  
 781 AckRequested element is not present in the Request element for that message.

782 The pattern used to send the Acknowledgment or RM Fault Indication is determined by the value  
783 of the ReplyPattern element.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

**Table 12 AckRequested Element**

#### 784 **4.2.5 Element: Request/DuplicateElimination**

785 A Sending RMP MUST include the DuplicateElimination element in a message if and only if that  
786 message is subject to the NoDuplicateDelivery Agreement Item (refer to **Section 3.2.2** for  
787 details); as described in **Section 3.1.4**, this condition includes all messages subject to the  
788 OrderedDelivery Agreement Item.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

**Table 13 DuplicateElimination Element**

#### 789 **4.2.6 Element: Request/MessageOrder**

790 A Sending RMP MUST include the MessageOrder element if and only if that message is subject  
791 to the OrderedDelivery Agreement Item (refer to **Section 3.2.3** for details).

792 If the MessageOrder element appears in the message received, the Receiving RMP MUST NOT  
793 deliver the message until all messages with the same Request/MessageId@groupid value and a  
794 lower Request/MessageId/SequenceNum@number value have been delivered.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

**Table 14 MessageOrder Element**

#### 795 **4.2.7 Example**

796 The HTTP message below uses the Request element to specify (among other things) that all  
797 three reliability features should be used: GuaranteedDelivery ("AckRequested" element),  
798 NoDuplicateDelivery ("DuplicateElimination" element), and OrderedDelivery ("MessageOrder"  
799 element). The reply pattern is "Poll", meaning that no Acknowledgment or Fault will be sent back  
800 unless explicitly requested by another message containing a PollRequest header.

### Example 3 Reliable Message with Request header

```
801 POST /abc/servlet/wsrEndpoint HTTP/1.0
802 Content-Type: text/xml; charset=utf-8
803 Host: 192.168.183.100
804 SOAPAction: ""
805 Content-Length: 736
806
807 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
808   <soap:Header>
809     <Request
810       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
811       soap:mustUnderstand="1">
812       <MessageId groupId="mid://20040202.103832@wsr-sender.org">
813         <SequenceNum number="0"
814           groupExpiryTime="2005-02-02T03:00:33-31:00" />
815       </MessageId>
816       <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
817       <ReplyPattern>
818         <Value>Poll</Value>
819       </ReplyPattern>
820       <AckRequested/>
821       <DuplicateElimination/>
822       <MessageOrder/>
823     </Request>
824   </soap:Header>
825   <soap:Body>
826     <Request xmlns="http://example.org/wsr">Request Message</Request>
827   </soap:Body>
828 </soap:Envelope>
```

## 829 4.3 PollRequest Element

830 A PollRequest Message requests an RM-Reply for a Reliable Message that had "Poll" as the  
831 value of the Request/ReplyPattern/Value element and included the Request/AckRequested  
832 element. However, PollRequest Messages can also solicit delivery status for messages that were  
833 originally sent with "Response" or "Callback" as the value of the Request/ReplyPattern/Value  
834 element and that included the Request/AckRequested element.

835 If a Receiving RMP does not support the use of PollRequest as a general status query  
836 mechanism, it MAY return a FeatureNotSupported fault in response to a PollRequest when the  
837 relevant ReplyPattern Agreement Item does not have the value "Poll".

838 A Receiving RMP that receives a supported form of PollRequest MUST publish RM-Reply  
839 information relevant to non-expired messages identified in that request.

840 This element includes the following attribute and child elements:

- 841     • SOAP **mustUnderstand** attribute (see **Appendix A** for details)
- 842     • a **ReplyTo** element

843 · a **RefToMessageIds** element

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	ReplyTo RefToMessageIds

**Table 15 PollRequest Element**

**Example 4 PollRequest Element**

```
844 <PollRequest
845   xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd"
846   xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/"
847   soap:mustUnderstand="1">
848   <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
849     <SequenceNumRange from="0" to="5"/>
850     <SequenceNumRange from="15" to="20"/>
851   </RefToMessageIds>
852   <RefToMessageIds groupId="mid://20040202.103811@wsr-sender.org" />
853   <RefToMessageIds groupId="mid://20040202.103807@wsr-sender.org">
854     <SequenceNumRange from="713" to="6150"/>
855   </RefToMessageIds>
856 </PollRequest>
```

857 **4.3.1 Element: PollRequest/ReplyTo**

858 The Receiving RMP MUST send the RM-Reply information in a new request to the endpoint  
859 specified by PollRequest/ReplyTo whenever this element is present. If it is not present, the  
860 Receiving RMP MUST send back the RM-Reply on the response to the PollRequest message.

861 **Section 4.2.3.2** provides additional information about the very similar  
862 Request/ReplyPattern/ReplyTo element.

Cardinality	0 or 1
Value	None
Attributes	reference-scheme
Child elements	{xs:anyType} (an element representing the reference)

**Table 16 ReplyTo Element**

863 **4.3.1.1 Attribute: PollRequest/ReplyTo@reference-scheme**

864 **Section 4.2.3.2.1** provides additional information about the similar  
865 Request/ReplyPattern/ReplyTo@reference attribute.

866 **4.3.1.2 Element: PollRequest/ReplyTo/BareURI**

867 **Section 4.2.3.2.2** provides additional information about the similar  
868 Request/ReplyPattern/ReplyTo/BareURI element.

Cardinality	0 or 1
Value	xs:anyURI
Attributes	None
Child elements	None

**Table 17 BareURI Element**

869 **4.3.2 Element: PollRequest/RefToMessagelds**

870 The RefToMessagelds element contains the identifiers of groups and messages whose status  
871 the Sending RMP is requesting. This element includes @groupId and zero or more  
872 SequenceNumRange elements as follows:

- 873 · a **groupId** attribute
- 874 · zero or more **SequenceNumRange** elements

Cardinality	1 or more
Value	None
Attributes	groupId (URI)
Child elements	SequenceNumRange

**Table 18 RefToMessagelds Element**

875 When this RefToMessagelds element does not include a SequenceNumRange element, the  
876 Receiving RMP MUST return RM-Replies for non-expired messages that were delivered or  
877 faulted in that group.

878 When the RefToMessagelds element includes one or more SequenceNumRange element(s), the  
879 Receiving RMP MUST return RM-Replies for the non-expired messages that were delivered or  
880 faulted in the identified subset of that group. The identified subset includes all Reliable Messages  
881 whose MessageId/SequenceNum@number values fall in the range(s) specified in the  
882 RefToMessagelds/SequenceNumRange element(s) of the PollRequest.

883 A Sending RMP MAY include multiple RefToMessagelds elements (one for each @groupId  
884 value) in a single PollRequest Message to request RM-Replies for multiple groups.

885 **4.3.2.1 Attribute: PollRequest/RefToMessagelds@groupId**

886 The @groupId specifies the group of messages whose status the Sending RMP is requesting.  
887 This identification (the value) is of type URI as defined in [RFC2396].



888 **4.3.2.2 Element: PollRequest/RefToMessageIds/SequenceNumRange**

889 The SequenceNumRange element specifies those messages in a group for which the Sending  
890 RMP requests status. Attributes @from and @to of this element express an inclusive range for  
891 SequenceNum values. This element contains the following two attributes:

892       · a **from** attribute

893       · a **to** attribute

894 When these attributes have the same value, the range is limited to a single message.

Cardinality	0 or more
Value	None
Attributes	from (unsignedLong) to (unsignedLong)
Child elements	None

**Table 19 SequenceNumRange Element**

895 **4.3.2.2.1 Attribute:**

896 **PollRequest/RefToMessageIds/SequenceNumRange@from**

897 This attribute specifies the lowest SequenceNum@number value of the message range. The  
898 value of @from is of type unsignedLong and SHALL be less than or equal to the value of @to.

899 **4.3.2.2.2 Attribute: PollRequest/RefToMessageIds/SequenceNumRange@to**

900 This attribute specifies the highest SequenceNum@number value of the message range. The  
901 value of @to is of type unsignedLong and SHALL be greater than or equal to the value of @from.

902 **4.3.3 Example**

903 The HTTP message below uses the PollRequest reliability element, polling the Receiving RMP  
904 for the status of messages within the range of sequence numbers 0 to 20 of a particular group.  
905 The response to this PollRequest will identify which of those messages have been delivered  
906 (Acknowledged).

## Example 5 PollRequest Message embedded in HTTP Request

```
907 POST /abc/servlet/wsrEndpoint HTTP/1.0
908 Content-Type: text/xml; charset=utf-8
909 Host: 192.168.183.100
910 SOAPAction: ""
911 Content-Length: 432
912
913 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
914   <soap:Header>
915     <PollRequest
916       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
917       soap:mustUnderstand="1">
918       <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
919         <SequenceNumRange from="0" to="20"/>
920       </RefToMessageIds>
921     </PollRequest>
922   </soap:Header>
923   <soap:Body />
924 </soap:Envelope>
```

## 925 4.4 Response Element

926 The Response element indicates Acknowledgments and Faults for Reliable Messages. This  
927 element includes the following attributes:

- 928 · SOAP **mustUnderstand** attribute (see [Appendix A](#) for details)

929 The Response element SHALL include a list one or more elements in length containing a choice  
930 or choices from the following:

- 931 · **NonSequenceReply** element(s)
- 932 · **SequenceReplies** element(s)

933 When the Response occurs under the Response RM-Reply Pattern, the first element in this list  
934 describes the status of the received Reliable Message. In this case, when the SequenceReplies  
935 element is used, the first contained ReplyRange element will include the received Reliable  
936 Message within its range.

937 The Receiving RMP MAY bundle a Response element with a Request element when responding  
938 to a message that used the Callback RM-Reply Pattern. In this case, the response and the new  
939 Reliable Message MUST share a common destination URI. This enables the combination of an  
940 Acknowledgment Indication and the business response to the original message. This also allows  
941 a Receiving RMP to bundle an Acknowledgment Indication with another unrelated message to  
942 the Sending RMP to reduce network traffic. When combined in a single message, the Request  
943 and Response elements are treated separately from the perspective of the abstract model  
944 (**Section 2**); a Receiving RMP component handles the Request element and payload while a  
945 Sending RMP handles the Response element.

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	NonSequenceReply SequenceReplies

**Table 20 Response Element**

946 **Example 6** shows an instance of the Response element.

**Example 6 Response Element**

```

947 <Response
948   xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd"
949   xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/"
950   soap:mustUnderstand="1">
951   <NonSequenceReply groupId="mid://20040202.103832@wsr-sender.org" />
952   <SequenceReplies groupId="mid://20040202.103807@wsr-sender.org">
953     <ReplyRange from="1" to="4" />
954     <ReplyRange from="5" to="5" fault="wsrm:InvalidRequest" />
955     <ReplyRange from="6" to="42" />
956   </SequenceReplies>
957   <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"
958     fault="wsrm:PermanentProcessingFailure" />
959 </Response>

```

**960 4.4.1 Element: Response/NonSequenceReply**

961 An RM-Reply for a message that does not have a sequence number SHALL include a  
962 NonSequenceReply element. This element includes the following attributes:

- 963     • a **groupId** attribute
- 964     • a **fault** attribute

965 The @fault indicates a particular fault for the identified message. Without this attribute, the  
966 NonSequenceReply element is an Acknowledgment Indication for the message.

Cardinality	0 or more
Value	None
Attributes	groupId (URI) fault (QName)
Child elements	None

**Table 21 NonSequenceReply Element**

967 **4.4.1.1 Attribute: Response/NonSequenceReply@groupId**

968 This attribute specifies the group identifier of a message that did not have a sequence number. A  
969 NonSequenceReply element SHALL include the message's @groupId. This identification (the  
970 value) is of type URI as defined in [RFC2396].

971 **4.4.1.2 Attribute: Response/NonSequenceReply@fault**

972 This attribute indicates the code of a Reliable Messaging Fault encountered while processing the  
973 message. The Cardinality of this attribute is 0 or 1.

974 **4.4.2 Element: Response/SequenceReplies**

975 An RM-Reply for a group (or a subset thereof) whose messages had sequence numbers SHALL  
976 include a SequenceReplies element. This element contains a @groupId and 1 or more  
977 ReplyRange elements.

Cardinality	0 or more
Value	None
Attributes	groupId (URI)
Child elements	ReplyRange

**Table 22 SequenceReplies Element**

978 **4.4.2.1 Attribute: Response/SequenceReplies@groupId**

979 The @groupId specifies the message group for which its SequenceReplies element carries the  
980 status. A SequenceReplies element SHALL include the group's @groupId. This identification (the  
981 value) is of type URI as defined in [RFC2396].

982 **4.4.2.2 Element: Response/SequenceReplies/ReplyRange**

983 The ReplyRange element indicates a range of sequence numbers with a shared delivery status.  
984 The @fault indicates a particular, common fault all messages in the range share. Without this  
985 attribute, the ReplyRange element is an Acknowledgment Indication for all messages in the  
986 range.

Cardinality	1 or more
Value	None
Attributes	from (unsigned Long) to (unsigned Long) fault (QName)
Child elements	None

**Table 23 ReplyRange Element**

#### 987 **4.4.2.2.1 Attribute: Response/SequenceReplies/ReplyRange@from**

988 This attribute has same type and semantics as in the PollRequest element.

#### 989 **4.4.2.2.2 Attribute: Response/SequenceReplies/ReplyRange@to**

990 This attribute has same type and semantics as in the PollRequest element.

#### 991 **4.4.2.2.3 Attribute: Response/SequenceReplies/ReplyRange@fault**

992 This attribute indicates the code of a Reliable Messaging Fault encountered while processing all  
993 of the messages in the identified range. The Cardinality of this attribute is 0 or 1.

### 994 **4.4.3 Example**

995 The message below uses the Response reliability element, which in this case is carrying the  
996 response of a previous PollRequest element. The response acknowledges a message specified  
997 by the group identifier "mid://20040202.103811@wsr-sender.org" and messages for a group  
998 specified by the group identifier "mid://20040202.103832@wsr-sender.org" within the ranges of  
999 sequence numbers 0 to 14 and 16 to 20. The response also reports an RM Fault for a message  
1000 with sequence number 15 for the group.

#### **Example 7 RM-Reply message embedded in HTTP Response**

```
1001 HTTP/1.0 200 OK
1002 Server: WS-ReliabilityServer
1003 Date: Mon, 02 Feb 2004 10:38:32 GMT
1004 Content-Language: en
1005 Content-Type: text/xml; charset=utf-8
1006 Content-Length: 593
1007
1008 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1009   <soap:Header>
1010     <Response soap:mustUnderstand="1"
1011       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd">
1012       <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"/>
1013       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1014         <ReplyRange from="0" to="14"/>
1015         <ReplyRange from="15" to="15" fault="InvalidRequest"/>
1016         <ReplyRange from="16" to="20"/>
1017       </SequenceReplies>
1018     </Response>
1019   </soap:Header>
1020   <soap:Body />
1021 </soap:Envelope>
```

## 1022 **4.5 Fault Codes For Reliable Messaging Failures**

1023 The protocol defines two fault categories:

- 1024           · The Message Format fault set, which includes all faults generated because of a  
1025           malformed Reliable Message header.
- 1026           · The Message Processing fault set, which includes all faults generated while processing  
1027           the message.
- 1028   They are explained in detail in the following sections. The Receiving RMP returns these protocol-  
1029   specific fault codes within the Response header element. Reliable Message Faults are carried in  
1030   the SOAP Header and do not rely exclusively on the SOAP Fault model for the following reasons:
- 1031           · The SOAP Fault model does not allow batching of several faults in the same message.
- 1032           · RM Faults may be carried along with business messages that are unrelated to these  
1033           faults; they should not affect the processing of the SOAP body in such messages.
- 1034   The rules for processing faults are:
- 1035           · The Receiving RMP MUST NOT deliver a message for which an RM Fault is published.  
1036           Therefore, the Receiving RMP MUST NOT send an Acknowledgment Indication for such  
1037           a message.
- 1038           · If a Reliable Message sent over a SOAP Request-response MEP cannot be delivered to  
1039           the Consumer, the response of the SOAP MEP instance SHALL contain a SOAP Fault  
1040           (in the SOAP Body) in addition to the appropriate RM Fault (in the SOAP Header). If the  
1041           specific RM Fault encountered was due to a problem with the request header element,  
1042           the Receiving RMP MUST set the value of the soap:Fault@faultcode attribute to  
1043           "soap:Client" (for SOAP 1.1 messages) or the soap12:Fault/Code/Value element to  
1044           "soap12:Sender" (for SOAP 1.2 messages). If the specific RM Fault encountered was  
1045           due to a problem with processing by the Receiving RMP, the Receiving RMP MUST set  
1046           the value of the soap:Fault@faultcode attribute to "soap:Server" (for SOAP 1.1  
1047           messages) or the soap12:Fault/Code/Value element to "soap12:Receiver" (for SOAP  
1048           1.2 messages). The Sending RMP and Producer expect either a complete response or  
1049           a SOAP Fault when using the SOAP Request-response MEP; this requirement satisfies  
1050           those expectations. More details are given in **Section 3.2** and in the HTTP Binding  
1051           section (**Section 6**).
- 1052           · When a Reliable Message sent over a SOAP One-way MEP cannot be delivered to the  
1053           Consumer due to a failure in processing the RM headers, a SOAP Fault SHALL NOT be  
1054           returned. The HTTP binding section (**Section 6**) gives more details on the  
1055           recommended behavior in such case.
- 1056   The Fault codes described in **Sections 4.5.1** and **4.5.2** are allowed values for @fault in a  
1057   Response element.

## 1058   **4.5.1 Message Format Faults**

- 1059   The Receiving RMP publishes these faults when the message format of the Reliable Messaging  
1060   Headers is either invalid or wrong.

Local part name	Description and Cause(s)
InvalidRequest	<p>The Request element is wrong or invalid. Examples are:</p> <ol style="list-style-type: none"> <li>1. Any of the mandatory elements such as MessageId, ExpiryTime or ReplyPattern are missing.</li> <li>2. AckRequested, DuplicateElimination or MessageOrder elements appear twice.</li> <li>3. The soap:mustUnderstand attribute is missing.</li> </ol>
InvalidPollRequest	<p>The PollRequest element is wrong or invalid. Examples are:</p> <ol style="list-style-type: none"> <li>1. The soap:mustUnderstand attribute is missing.</li> <li>2. The RefToMessageIds element is missing.</li> </ol>
InvalidMessageId	<p>Used in any of the following cases:</p> <ol style="list-style-type: none"> <li>1. @groupId (for MessageId or RefToMessageIds) is not present or is present with an invalid value.</li> <li>2. @number in SequenceNum element is not present or is present with an invalid value.</li> <li>3. Attributes (from and to) of SequenceNumRange are not present or are present with invalid values.</li> </ol>
InvalidMessageParameters	<p>Used in any of the following cases:</p> <ol style="list-style-type: none"> <li>1. The @groupExpiryTime is wrong or invalid.</li> <li>2. The @groupMaxIdleDuration is wrong or invalid.</li> <li>3. Both group parameters are present.</li> <li>4. SequenceNum@last exists but is not one of the allowed {false true} values.</li> </ol>
InvalidReplyPattern	<p>Used in either of the following cases:</p> <ol style="list-style-type: none"> <li>1. The ReplyPattern format is wrong or invalid.</li> <li>2. The ReplyTo element is missing for the Callback pattern.</li> </ol>
InvalidExpiryTime	<p>The ExpiryTime format is wrong or invalid.</p>

**Table 24 Invalid Message Format Fault Code Values**

1061 **Note:**

- 1062 Cases exist in which the Receiving RMP is unable to send RM Fault Indications for messages  
 1063 with invalid message headers, such as:
- 1064 · The ReplyTo element is missing or invalid in the Callback and asynchronous Poll cases.
  - 1065 · The MessageId element is missing for the Request element.
  - 1066 · The RefToMessageIds is missing for the PollRequest element.

1067 **4.5.2 Message Processing Faults**

1068 The Receiving RMP publishes these faults when there is an error processing a valid Reliable  
 1069 Messaging message.

Local part name	Description and Cause(s)
FeatureNotSupported	The Receiving RMP receives a message with an RM feature that it does not support. An example is an RM message with a MessageOrder element sent to a Receiving RMP that doesn't support Guaranteed Message Ordering.
PermanentProcessingFailure	Permanent and fatal processing failures such as: <ol style="list-style-type: none"> <li>1. Persistence Storage failures.</li> <li>2. Message Delivery failures.</li> </ol> A PermanentProcessingFailure fault indicates that the failure is fatal and subsequent retries of the same message will also fail.
MessageProcessingFailure	Used in transient failure cases such as: <ol style="list-style-type: none"> <li>1. The number of buffered requests exceeded the maximum limit.</li> <li>2. The number of threads reached the maximum limit, etc.</li> <li>3. The Deliver operation fails.</li> </ol> A transient fault, unlike a permanent fault, is temporary; the message may succeed after a subsequent retry.
GroupAborted	All processing for the group associated with the reliable message request has been aborted by the Receiving RMP. The Receiving RMP MUST NOT deliver subsequent messages within that group.

**Table 25 Messaging Processing Failure Fault Code Values**



## 1070 4.5.3 RM Fault Examples

### Example 8 RM Fault Indication for Reliable Messaging

```
1071 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1072   <soap:Header>
1073     <Response soap:mustUnderstand="1"
1074       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd">
1075       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1076         <ReplyRange from="1" to="1" fault="InvalidRequest" />
1077       </SequenceReplies>
1078     </Response>
1079   </soap:Header>
1080   <soap:Body />
1081 </soap:Envelope>
```

1082 If the PollRequest element in **Example 4** was missing the soap:mustUnderstand attribute, the  
1083 InvalidPollRequest fault may be sent as follows.

### Example 9 RM Fault Indication for PollRequest message

```
1084 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1085   <soap:Header>
1086     <Response soap:mustUnderstand="1"
1087       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd">
1088       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1089         <ReplyRange from="0" to="5" fault="InvalidPollRequest"/>
1090         <ReplyRange from="15" to="20" fault="InvalidPollRequest"/>
1091       </SequenceReplies>
1092       <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"
1093         fault="InvalidPollRequest"/>
1094       <SequenceReplies groupId="mid://20040202.103807@wsr-sender.org/">
1095         <ReplyRange from="713" to="6150" fault="InvalidPollRequest"/>
1096       </SequenceReplies>
1097     </Response>
1098   </soap:Header>
1099   <soap:Body />
1100 </soap:Envelope>
```

## 1101 4.6 Extensibility Features of Schema

1102 The core schema for this specification (associated in **Section 1.3, Table 2**, with the "wsrm"  
1103 namespace prefix) specifies extension mechanisms for some schema elements.

1104 The following elements (which have a complex sequence type) allow the presence of zero or  
1105 more extension elements (of type xs:anyType; that is, any type not defined in this core  
1106 namespace is allowed) at the beginning of the sequence, as well as zero or more extension  
1107 attributes (with similar namespace restrictions):

- 1108 . Request

- 1109       · Response
- 1110       · PollRequest
- 1111       · NonSequenceReply
- 1112       · SequenceReplies
- 1113       · ReplyRange
- 1114      The extensibility of the ReplyTo elements (**Sections 4.2.3.2** and **4.3.1**) is somewhat different; it is
- 1115      described in the appropriate sections above.

---

## 1116 5 Operational Aspects and Semantics

### 1117 5.1 Message Group Life Cycle

#### 1118 5.1.1 Group Termination

1119 Being able to know when a group may be terminated and its persistent resources reclaimed is  
1120 essential for keeping the resource footprint of reliability low. However, this section is not just  
1121 about efficient management of resources: it describes normative behavioral rules for RMPs when  
1122 handling group termination.

1123 Termination of a group in the Sending RMP and in the Receiving RMP are two distinct events,  
1124 not synchronized by any special message but instead occurring as the result of rules applying  
1125 separately to the Sending and Receiving RMPs. As a consequence, the termination of a group  
1126 may occur at quite different times on the Sending RMP and the Receiving RMP. However, the  
1127 lack of synchronization allowed by these termination rules is not consequential.

1128 Groups undergoing termination on the Sending RMP and the Receiving RMP pass through the  
1129 following states:

##### 1130 **Group complete:**

- 1131       • The Sending RMP considers a group complete when all of its messages have been sent  
1132       and the last sent message has an ending marker (SequenceNum@last="true" or it has a  
1133       sequence number with the maximum value). Note that completeness occurs even if not  
1134       all of the group's messages have been either acknowledged or faulted (in case  
1135       GuaranteedDelivery is enabled).
- 1136       • The Receiving RMP considers a group complete when a message with an ending  
1137       marker has been received and all previous messages for this group also have been  
1138       received (no number missing in the sequence) although not necessarily delivered yet.

##### 1139 **Group closed:**

- 1140       • When a group is closed in the Sending RMP, the RMP expects to send no new  
1141       message in this group. However, the RMP MAY resend messages as needed if  
1142       GuaranteedDelivery is enabled. If a new message is submitted for a closed group, the  
1143       Sending RMP MUST notify the Producer that the group is closed and MUST NOT send  
1144       the message.
- 1145       • When a group is closed in the Receiving RMP, the RMP expects to receive no new  
1146       message for this group. After a group is closed and before it is "removed" (see definition  
1147       below), a Receiving RMP MUST NOT deliver messages received with this group  
1148       identifier, whether or not they are duplicates of previous messages and regardless of  
1149       whether they result from a resend of previously failed messages initiated before closing  
1150       on the Sending RMP (in case GuaranteedDelivery is enabled).

##### 1151 **Note:**

1152 Due to time-out, a group may be closed without being complete. Once complete, a group will  
1153 close (see termination rules).

## 1154 **Group Removed:**

1155 Group removal occurs at the time the group is closed or afterward. Intuitively, a group is removed  
1156 when a Receiving RMP does not need to remember anything about this group, i.e., when there is  
1157 no need to check for duplicates of its messages in the future (for example, when all of its  
1158 messages have expired).

1159       · When a group is removed in the Sending RMP, the RMP is NOT REQUIRED to verify  
1160 that future submitted messages are improperly associated with the removed group and  
1161 MAY treat them as part of a new group. However, the Sending RMP is responsible for  
1162 generating group identifiers, and it SHOULD generate values unique enough to avoid  
1163 later reuse of the group identifier of a removed group (for example, generation  
1164 mechanisms including a timestamp will make reuse impossible).

1165       · When a group is removed in the Receiving RMP, the RMP is no longer supposed to  
1166 remember anything about this group. In particular, the group identifier is discarded from  
1167 the RMP state. When receiving a message with same group identifier as a removed  
1168 group, a Receiving RMP is NOT REQUIRED to confirm whether or not this group  
1169 identifier value has already been used; the RMP MAY treat such a message as part of a  
1170 new group.

## 1171 **5.1.2 Group Termination Parameters**

1172 Two RM Agreement Items, GroupExpiryTime and GroupMaxIdleDuration, determine when a  
1173 group can be terminated. These two items are considered Group Termination parameters that  
1174 control the persistence of the group data. The corresponding message header attributes are  
1175 @groupExpiryTime and @groupMaxIdleDuration respectively. The following requirements pertain  
1176 to these header attributes:

1177       a) The first message in a group (the one with  
1178 Request/MessageId/SequenceNum@number=0) indicates which Group Termination (time-  
1179 out) parameter is in use for the group. However, the Receiving RMP MUST use the first  
1180 message received for this group to indicate which termination parameter is associated with  
1181 this group.

1182       · If the first message in the sequence of a group has neither group time-out parameter  
1183 present, the group will be terminated according to condition T3, T4 or T5.

1184       · If the first message has one of the two time-out parameters present (either  
1185 @groupExpiryTime or @groupMaxIdleDuration), the group will be subject to  
1186 termination rules T1 or T2 described below.

1187       · The Receiving RMP MUST return an InvalidMessageParameters fault if both group  
1188 persistence parameters are present in any request message.

1189       · If @groupExpiryTime is in use, the Sending RMP MUST NOT send a message in that  
1190 group with an ExpiryTime value greater than @groupExpiryTime.

1191       b) The group termination parameter sent on the first message in the group SHALL be used  
1192 on all subsequent messages in that group and SHALL be assigned a value.

1193       c) If the Receiving RMP receives a message with a group termination parameter that is not  
1194 consistent with the termination parameter used in previous messages for this group, the  
1195 Receiving RMP MUST return an InvalidMessageParameters fault.

1196       When the group is ordered, the fault SHALL be returned for the message with lowest  
1197 sequence number that was found inconsistent in the group. If the group is not required to  
1198 be ordered, the fault SHALL be returned for the first message received that was found  
1199 inconsistent in the group.

1200 d) The Sending RMP MAY modify either time-out parameter, sending a subsequent  
1201 message with the new value. When applying termination rules, the Sending RMP MUST  
1202 use the value in the message with the highest sequence number sent for the group. The  
1203 Receiving RMP MUST use the value from the message with the highest sequence number  
1204 received for the group.

1205 e) @groupMaxIdleDuration can be either increased or decreased without restriction. The  
1206 Sending RMP may increase or decrease @groupExpiryTime as long as it is never less than  
1207 the max(ExpiryTime) of the messages sent for the group so far.

1208 The Receiving RMP MUST publish an InvalidMessageParameters Fault for a message with  
1209 a @groupExpiryTime value less than the max(ExpiryTime) of the messages previously  
1210 received for the group.

### 1211 **5.1.3 Termination Rules**

1212 Termination is the process by which an RMP discontinues the use of a group, allowing the RMP  
1213 to reclaim resources used by the group. Termination typically involves two steps that may occur  
1214 at different times: closing and removal. Removal of a group may happen some time after it is  
1215 closed, allowing an RMP to filter out potential duplicate messages. The general rule is that a  
1216 group is removed once all of its messages have expired. If we define max(ExpiryTime) as the  
1217 maximum date and time of all ExpiryTime values of the messages sent for a group (on the  
1218 Sender side) or received for a group (on the Receiver side), a group will not be removed before  
1219 max(ExpiryTime) occurs.

1220 There are two general indicators an RMP will use to terminate a group:

- 1221 a) Message Marker: Information within a message (either  
1222 Request/MessageId/SequenceNum@last="true" or the maximum sequence number)  
1223 indicates the last message for the group. This is used by termination rules T3, T4.
- 1224 b) Timing: Either the group's lifespan expired or its idle time exceeded a time-out. This is  
1225 used by termination rules T1, T2. Or due to message expiration, a group with the ordering  
1226 requirement cannot be delivered. This is used by termination rule T5.

1227 These termination rules apply to both ordered and unordered groups. However, these rules do  
1228 not apply to groups that contain a single message with no sequence number.

#### 1229 **5.1.3.1 Termination by expiration (T1):**

1230 Context:

1231 The group specified @groupExpiryTime.

1232 Receiver side:

1233 Triggering event: @groupExpiryTime is in the past.

1234 The RMP MUST close and remove the group.

1235 Sender side:

1236 Triggering event: @groupExpiryTime is in the past (note: in this case, max(ExpiryTime) also is  
1237 past).

1238 The RMP MUST close and remove the group.

1239 **5.1.3.2 Termination by idle time-out (T2):**

1240 Context:

1241 The group specified @groupMaxIdleDuration.

1242 Receiver side:

1243 Triggering event: The time since the last received message for the group is over  
1244 @groupMaxIdleDuration.

1245 The RMP MUST close the group. But unlike T1, some of its past messages may not have expired  
1246 yet. In case Duplicate Elimination is required, the RMP MUST NOT remove the group until max  
1247 (ExpiryTime) is reached in order to make sure all potential duplicates for the group will not be  
1248 delivered.

1249 Sender side:

1250 Triggering event: The time since the last sent message for the group is over  
1251 @groupMaxIdleDuration.

1252 The RMP MUST close the group. If GuaranteedDelivery was required, the RMP MUST remove  
1253 the group once it has received either acknowledgment or notification of delivery failure for all sent  
1254 messages. If no GuaranteedDelivery was required, the RMP MUST remove the group  
1255 immediately.

1256 **5.1.3.3 Termination by completeness (T3):**

1257 Context:

1258 No specific context.

1259 Receiver side:

1260 Triggering event: The RMP receives a message marked last  
1261 (Request/MessageId/SequenceNum@last="true"). If all previous messages for the group have  
1262 been received, the group is closed immediately. Alternately, the group is closed when the RMP  
1263 receives the last missing message in the group.

1264 The RMP MUST close the group. However, its removal is done according to T1 or T2 depending  
1265 on which time-out parameter was specified for the group. If no time-out parameter was specified,  
1266 the group is removed once all of its messages have expired, i.e., the date and time max  
1267 (ExpiryTime) has passed.

1268 **Note:**

1269 In the case in which a message is received with an ending marker before all previous messages  
1270 have been received, the group remains active. No termination process is initiated yet.

1271 Sender side:

1272 Triggering event: The RMP sends a message marked last.

1273 All messages of the group have been sent. The RMP MUST close the group. If  
1274 GuaranteedDelivery was required, the RMP MUST remove the group once it has received either  
1275 acknowledgment or notification of delivery failure for all sent messages. If GuaranteedDelivery  
1276 was not required, the RMP MUST remove the group immediately.

1277 **5.1.3.4 Termination by sequence exhaustion (T4):**

1278 Context:

1279 No specific context.

1280 Receiver side:

1281 Triggering event: The RMP receives a message with a sequence number of the maximum value.

1282 If all previous messages for the group have been received, the group is closed immediately.

1283 Alternately, the group is closed when the RMP receives the last missing message in the group.

1284 The group closing and removal follow the rules in T3, the message with the maximum sequence  
1285 number acting as a message with the ending mark.

1286 **Note:**

1287 In case a message is received with the maximum sequence number before all previous  
1288 messages have been received, the group remains active. No termination process is initiated yet.

1289 Sender side:

1290 Triggering event: The RMP sends a message with a sequence number with the maximum value.

1291 The group closing and removal follow the rules in T3, the message with the maximum sequence  
1292 number acting as a message with the ending mark.

1293 **5.1.3.5 Termination by ordering failure (T5):**

1294 Context:

1295 The group requires the Guaranteed Message Ordering reliability feature.

1296 Receiving side:

1297 Triggering event: In an ordered group, a received message expires before delivery or faults with

1298 a fault code other than MessageProcessingFailure. If all previous messages for the group have

1299 been received, the group is closed immediately. Alternately, the group is closed when the RMP

1300 receives the last missing message in the group.

1301 The RMP MUST close the group. The group is removed according to rule T3.

1302 Sender Side:

1303 Triggering event: In an ordered group, an unacknowledged message expires or the RMP

1304 receives an RM Fault for this Reliable Message with a fault code other than

1305 MessageProcessingFailure.

1306 The RMP MUST close the group. The group is removed according to rule T3.

1307 **5.1.3.6 Summary of Group Termination Rules**

1308 Conditions for terminating a group in a Receiving RMP:

<b>Group Closing</b>	<b>Group Removal</b>
When @groupExpiryTime has passed.	(after closing) When @groupExpiryTime has passed.
When the @groupMaxIdleDuration time-out has expired.	(after closing) When Max(ExpiryTime) has passed.
When a group is complete.	(after closing) When Max(ExpiryTime) has passed.
When a group is ordered AND an undelivered message expires or faults.	(after closing) When Max(ExpiryTime) has passed.

**Table 26 Conditions for terminating a group – Receiving RMP**

1309 Conditions for terminating a group in a Sending RMP:

<b>Group Closing</b>	<b>Group Removal</b>
When @groupExpiryTime has passed.	(after closing) When @groupExpiryTime has passed.
When the @groupMaxIdleDuration time-out has expired.	(after closing) In case GuaranteedDelivery is not required, remove the group immediately. Otherwise, remove it if all messages have been either acknowledged or faulted.
When a group is complete.	(after closing) In case GuaranteedDelivery is not required, remove the group immediately. Otherwise, remove it if all messages have been either acknowledged or faulted.
When a group is ordered AND an unacknowledged message expires or faults.	(after closing) Remove the group after all messages have been either acknowledged or faulted.

**Table 27 Conditions for terminating a group – Sending RMP**

## 1310 5.2 Attachments

1311 When an RMP implementing this specification uses the W3C Note “SOAP Messages with  
1312 Attachments” specification [SOAP with Attachments], it MUST follow the following rules:

- 1313 1) The Sending RMP MUST include the whole SOAP envelope containing the WS-  
1314 Reliability header elements in the first MIME part.
- 1315 2) It MUST set the charset parameter of the Content-Type header of the first MIME part to  
1316 either UTF-8 or UTF-16.
- 1317 3) It MAY include zero or more additional MIME parts in a Reliable Message.
- 1318 4) The Receiving RMP MUST deliver all MIME parts in a Reliable Message to the  
1319 Consumer.



---

## 1320 6 HTTP Binding

1321 This section specifies two normative bindings of WS-Reliability header elements to SOAP header  
1322 blocks carried in messages using HTTP as a transport protocol:

1323     • SOAP 1.1 over HTTP POST binding: An implementation of WS-Reliability MAY support  
1324 mapping the WS-Reliability header elements as SOAP header blocks in accordance  
1325 with the SOAP 1.1 HTTP Binding specified in Section 6 of [SOAP 1.1]. In that case, the  
1326 SOAP Request-response MEP defined in this specification will map to an HTTP request-  
1327 response. The SOAP One-way MEP, as defined in **Section 2.3**, maps to the request of  
1328 an HTTP request-response.

1329     • SOAP 1.2 over HTTP POST binding: An implementation of WS-Reliability MAY support  
1330 mapping the WS-Reliability header elements as SOAP header blocks in accordance  
1331 with the SOAP 1.2 HTTP binding for the Request-Response MEP specified in Section 7,  
1332 "SOAP HTTP Binding", of [SOAP 1.2 Part 2].

1333 If a Reliable Message request is invoked using SOAP 1.1, all subsequent message exchanges  
1334 pertaining to that Message Identifier MUST use the SOAP 1.1 protocol. In addition, when an  
1335 HTTP binding is used, it is RECOMMENDED the RMP comply with WS-I BP 1.1 [WS-I BP 1.1].  
1336 When no WSDL describes the messages being exchanged, the previous WS-I conformance  
1337 requirements should be understood as conformance to the subset of the profile requirements  
1338 pertaining to the message artifact only.

1339 In case a message encounters a failure in processing the RM headers, the requirements for Fault  
1340 handling in **Section 4.5** apply. When using SOAP 1.1, conformance to the WS-I Basic Profile 1.1  
1341 requires the following:

- 1342     • For SOAP One-way HTTP binding: the HTTP response entity-body SHALL be empty. If  
1343 the RM Fault is a Message Format fault, the HTTP status code SHOULD be "400 Bad  
1344 Request" (see R1113 in [WS-I BP 1.1]); otherwise, the RM fault is a Message  
1345 Processing fault and the status code SHOULD be "500 Internal Server Error".
- 1346     • For SOAP Request-response HTTP binding: the HTTP response contains a SOAP Fault  
1347 element and has the "500 Internal Server Error" HTTP status code (see R1126 in [WS-I  
1348 BP 1.1]).

1349 These two requirements for Fault handling apply to all message exchanges described in this  
1350 section and its sub-sections.

1351 If a ReplyTo element present in a Request element or Poll Request header element sent using  
1352 the SOAP 1.1 protocol uses the wsrn:BareURI (the default, described in **Sections 4.2.3.2.2** and  
1353 **4.3.1.2**) reference scheme and uses the 'http:' URL scheme, the Receiving RMP MUST send the  
1354 WS-Reliability response using the HTTP binding specified in Section 6 of SOAP 1.1.

1355 If a Reliable Message request is invoked using SOAP 1.2, all subsequent message exchanges  
1356 pertaining to its Message Identifier MUST use the SOAP 1.2 protocol.

1357 If a ReplyTo element present in a Request element or Poll Request header element sent using  
1358 the SOAP 1.2 protocol uses the wsrn:BareURI reference scheme and uses the 'http:' URL  
1359 scheme, the the Receiving RMP MUST send the WS-Reliability response using the HTTP  
1360 binding for Request-Response MEP specified in SOAP 1.2.

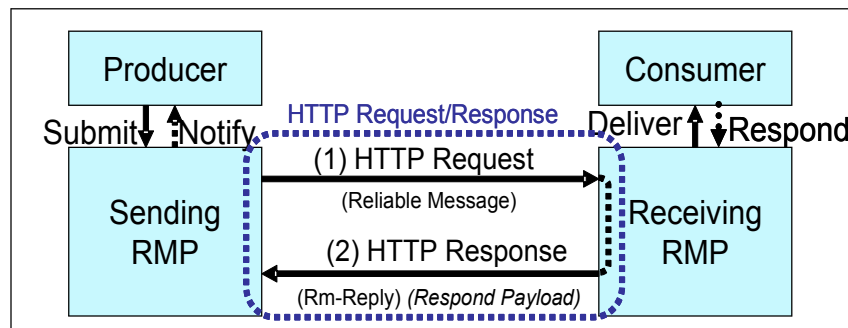
1361 The following subsections specify the mapping of WS-Reliability header elements to HTTP  
1362 request and response messages for the three RM-Reply Patterns. The Poll RM-Reply Pattern  
1363 has two variations: synchronous and asynchronous.

1364 The value of the ReplyPattern/Value element identifies the specific RM-Reply Pattern in use (see  
1365 **Section 4.2.3.1** for details).

1366 This specification requires the transport layer to deliver messages to the reliability layer without  
1367 corruption. When a request message contains the AckRequested element, the Receiving RMP  
1368 MUST send an RM-Reply (an Acknowledgment Indication or an RM Fault Indication) for that  
1369 request. For the Callback and Poll RM-Reply Patterns, a Response element can contain multiple  
1370 Acknowledgment and/or RM Fault Indications.

1371 For simplicity, the detailed examples show only the use of SOAP 1.1. However, the figures that  
1372 show the mapping of WS-Reliability elements to HTTP POST request messages and HTTP  
1373 response messages apply to both the SOAP 1.1 over HTTP POST binding and the SOAP 1.2  
1374 over HTTP POST binding.

## 1375 **6.1 Reliable Messaging with Response RM-Reply Pattern**



**Figure 9 Response RM-Reply Pattern**

1376 As described in general for this RM-Reply Pattern (**Section 2.4.1**), the Receiving RMP MUST  
1377 return the RM-Reply with the HTTP response on the same HTTP connection used by the  
1378 Sending RMP to send the request. This is illustrated in **Figure 9**.

- 1379 • In (1), the Sending RMP initiates an HTTP connection and sends a Message using the  
1380 HTTP POST method, as in **Example 10**.
- 1381 • In (2), using the same connection, the Receiving RMP sends back to the Sending RMP  
1382 an HTTP response containing an RM-Reply; in **Example 11**, the RM-Reply is an  
1383 Acknowledgment Indication.

## Example 10 Request Message with Response RM-Reply Pattern

```
1384 POST /abc/servlet/wsrEndpoint HTTP/1.0
1385 Content-Type: text/xml; charset=utf-8
1386 Host: 192.168.183.100
1387 SOAPAction: ""
1388 Content-Length: 755
1389
1390 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1391   <soap:Header>
1392     <Request
1393       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
1394       soap:mustUnderstand="1">
1395       <MessageId groupId="mid://20040202.103832@wsr-sender.org">
1396         <SequenceNum number="0"
1397           groupExpiryTime="2005-02-02T03:00:33-31:00" />
1398       </MessageId>
1399       <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
1400       <ReplyPattern>
1401         <Value>Response</Value>
1402       </ReplyPattern>
1403       <AckRequested/>
1404       <DuplicateElimination/>
1405       <MessageOrder/>
1406     </Request>
1407   </soap:Header>
1408   <soap:Body>
1409     <Request xmlns="http://example.org/wsr">Request Message</Request>
1410   </soap:Body>
1411 </soap:Envelope>
```

### Example 11 Acknowledgment Indication with Response RM-Reply Pattern

```
1412 HTTP/1.0 200 OK
1413 Server: WS-ReliabilityServer
1414 Date: Mon, 02 Feb 2004 10:38:32 GMT
1415 Content-Language: en
1416 Content-Type: text/xml; charset=utf-8
1417 Content-Length: 414
1418
1419 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1420   <soap:Header>
1421     <Response soap:mustUnderstand="1"
1422       xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">
1423       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1424         <ReplyRange from="0" to="0"/>
1425       </SequenceReplies>
1426     </Response>
1427   </soap:Header>
1428   <soap:Body />
1429 </soap:Envelope>
```

## 1430 6.2 Reliable Messaging with Callback RM-Reply Pattern

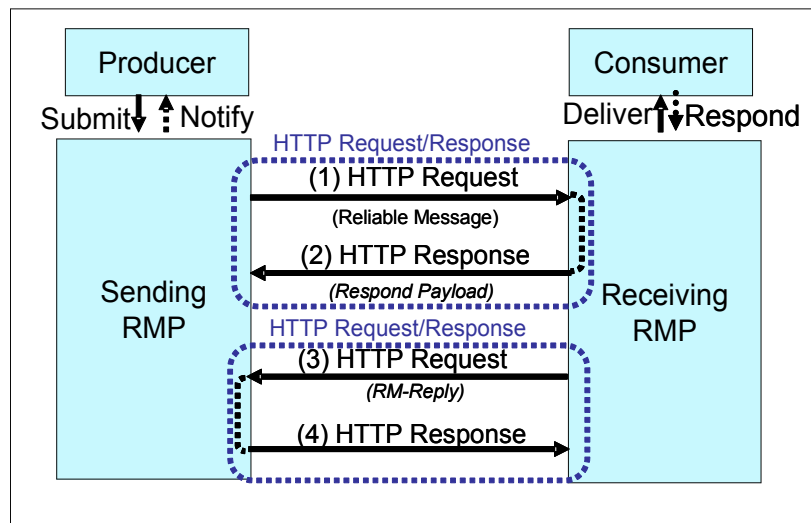


Figure 10 Callback RM-Reply Pattern

1431 As described in general for this RM-Reply Pattern (Section 2.4.2) and as illustrated in Figure 10,  
1432 two distinct HTTP request/response exchanges are involved.

- 1433     • In (1), the Sending RMP initiates a new HTTP request and sends a Reliable Message  
1434       with the Callback RM Reply Pattern. **Example 12** shows such an HTTP message.
- 1435     • In (2), the HTTP response may have an empty entity-body (in case of a SOAP One-way  
1436       MEP instance).
- 1437     • In (3), the Receiving RMP MUST return the RM-Reply on an HTTP connection different  
1438       from the one the Sending RMP used to send the message. The direction of the HTTP

1439 connection used by the Receiving RMP is from the Receiving RMP to the Sending RMP.  
1440 **Example 14** shows an Acknowledgment Indication as the RM-Reply.

- 1441 · In (4), there is no HTTP entity-body unless the RM-Reply was bundled with a new  
1442 Reliable Message on a SOAP Request-response MEP instance.

#### Example 12 Request Message with Callback RM-Reply Pattern

```
1443 POST /abc/servlet/wsrEndpoint HTTP/1.0
1444 Content-Type: text/xml; charset=utf-8
1445 Host: 192.168.183.100
1446 SOAPAction: ""
1447 Content-Length: 863
1448
1449 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1450   <soap:Header>
1451     <Request
1452       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
1453       soap:mustUnderstand="1">
1454       <MessageId groupId="mid://20040202.103832@wsr-sender.org">
1455         <SequenceNum number="0"
1456           groupExpiryTime="2005-02-02T03:00:33-31:00" />
1457       </MessageId>
1458       <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
1459       <ReplyPattern>
1460         <Value>Callback</Value>
1461         <ReplyTo>
1462           <BareURI>http://wsr-sender.org/abc/wsrListener</BareURI>
1463         </ReplyTo>
1464       </ReplyPattern>
1465       <AckRequested/>
1466       <DuplicateElimination/>
1467       <MessageOrder/>
1468     </Request>
1469   </soap:Header>
1470   <soap:Body>
1471     <Request xmlns="http://example.org/wsr">Request Message</Request>
1472   </soap:Body>
1473 </soap:Envelope>
```

#### Example 13 HTTP response with no content

```
1474 HTTP/1.0 200 OK
1475 Server: WS-ReliabilityServer
1476 Date: Mon, 02 Feb 2004 10:38:32 GMT
1477 Content-Language: en
1478 Content-Type: text/xml; charset=utf-8
1479 Content-Length: 0
```

### Example 14 Acknowledgment Indication with Callback RM-Reply Pattern

```
1480 POST /abc/wsrmlistener HTTP/1.0
1481 Content-Type: text/xml; charset=utf-8
1482 Host: 192.168.183.200
1483 SOAPAction: ""
1484 Content-Length: 414
1485
1486 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1487   <soap:Header>
1488     <Response soap:mustUnderstand="1"
1489       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd">
1490       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1491         <ReplyRange from="0" to="0"/>
1492       </SequenceReplies >
1493     </Response>
1494   </soap:Header>
1495   <soap:Body />
1496 </soap:Envelope>
```

## 1497 6.3 Reliable Messaging with Poll RM-Reply Pattern

1498 The general rules for this RM-Reply Pattern are described in **Section 2.4.3**. When the Sending  
1499 RMP issues a PollRequest, the Receiving RMP MAY return the RM-Reply on the HTTP  
1500 connection used to send the PollRequest message (synchronous), or it MAY return the RM-Reply  
1501 on a different HTTP connection (asynchronous). Whether the RM-Reply corresponding to the  
1502 PollRequest is synchronous or asynchronous depends on the presence of a ReplyTo element in  
1503 the PollRequest element.

### 1504 6.3.1 Synchronous Poll RM-Reply Pattern

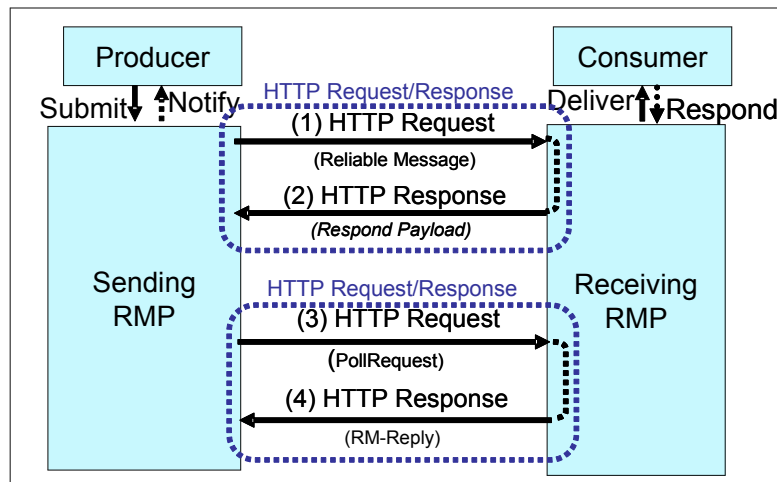


Figure 11 Synchronous Poll RM-Reply Pattern

1505 **Figure 11** illustrates the synchronous variant of the Poll RM Reply Pattern.

- 1506 · In (1), the Sending RMP initiates a new HTTP Request and sends a Reliable Message  
1507 with the Poll RM-Reply Pattern.

- 1508       · In (2), the HTTP response may have an empty entity-body (in case of a SOAP One-way  
1509       MEP instance).
- 1510       · In (3), at a later time the Sending RMP initiates a different HTTP Request to send a  
1511       PollRequest message. The PollRequest does not include the ReplyTo element (see  
1512       **Example 15**).
- 1513       · In (4), the Receiving RMP returns the RM-Reply in an HTTP response on the same  
1514       HTTP connection used to send the PollRequest, as illustrated in **Figure 11**. The HTTP  
1515       response (4) includes an RM-Reply (e.g., an Acknowledgment Indication as in **Example**  
1516       **16**).

#### Example 15 PollRequest message with Synchronous Poll RM-Reply Pattern

```
1517 POST /abc/servlet/wsrmlistener HTTP/1.0
1518 Content-Type: text/xml; charset=utf-8
1519 Host: 192.168.183.100
1520 SOAPAction: ""
1521 Content-Length: 433
1522
1523 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1524   <soap:Header>
1525     <PollRequest
1526       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
1527       soap:mustUnderstand="1">
1528       <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
1529         <SequenceNumRange from="0" to="20"/>
1530       </RefToMessageIds>
1531     </PollRequest>
1532   </soap:Header>
1533   <soap:Body />
1534 </soap:Envelope>
```

### Example 16 Synchronous Acknowledgment Indication

```

1535 HTTP/1.0 200 OK
1536 Server: WS-ReliabilityServer
1537 Date: Mon, 02 Feb 2004 10:38:32 GMT
1538 Content-Language: en
1539 Content-Type: text/xml; charset=utf-8
1540 Content-Length: 456
1541
1542 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1543   <soap:Header>
1544     <Response soap:mustUnderstand="1"
1545       xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">
1546       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1547         <ReplyRange from="0" to="14"/>
1548         <ReplyRange from="16" to="20"/>
1549       </SequenceReplies>
1550     </Response>
1551   </soap:Header>
1552   <soap:Body />
1553 </soap:Envelope>

```

### 1554 6.3.2 Asynchronous Poll RM-Reply Pattern

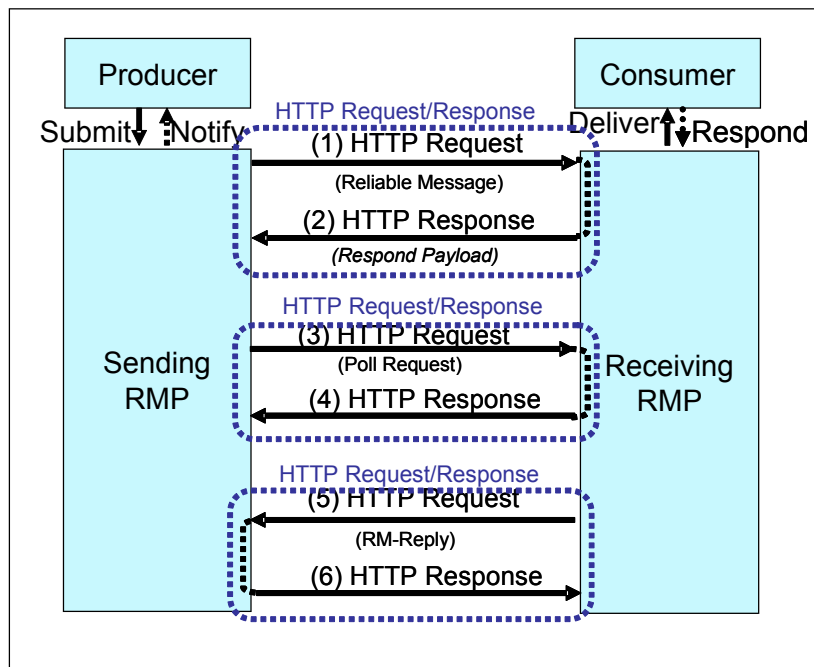


Figure 12 Asynchronous Poll RM-Reply Pattern

1555 **Figure 12** illustrates the asynchronous variant of the Poll RM Reply Pattern.

- 1556 • In (1), the Sending RMP initiates a new HTTP Request and sends a Reliable Message
- 1557 with the Poll RM-Reply Pattern.



- 1558       · In (2), the HTTP response may have an empty entity-body (in the case of a SOAP One-  
1559       way MEP instance).
- 1560       · In (3), the Sending RMP initiates a new HTTP request and sends a PollRequest  
1561       message. Note that in **Example 17**, the PollRequest element has a ReplyTo element.
- 1562       · In (4), the HTTP response (4) has no HTTP entity-body (see **Example 13**).
- 1563       · In (5), the Receiving RMP sends the RM-Reply in a different HTTP request to the  
1564       listener identified by the ReplyTo element (see **Example 18**).
- 1565       · In (6), the HTTP response has no HTTP entity-body (see **Example 13**).

#### Example 17 PollRequest message with Asynchronous Poll RM-Reply Pattern

```

1566 POST /abc/servlet/wsrmlistener HTTP/1.0
1567 Content-Type: text/xml; charset=utf-8
1568 Host: 192.168.183.100
1569 SOAPAction: ""
1570 Content-Length: 553
1571
1572 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1573   <soap:Header>
1574     <PollRequest
1575       xmlns="http://docs.oasis-open.org/wsrml/2004/06/ws-reliability-1.1.xsd"
1576       soap:mustUnderstand="1">
1577       <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
1578         <SequenceNumRange from="0" to="20"/>
1579       </RefToMessageIds>
1580       <ReplyTo>
1581         <BareURI>http://wsr-sender.org/xyz/servlet/wsrmlistener
1582         </BareURI>
1583       </ReplyTo>
1584     </PollRequest>
1585   </soap:Header>
1586   <soap:Body />
1587 </soap:Envelope>

```

## Example 18 Asynchronous Acknowledgment Indication

```
1588 POST /xyz/servlet/wsrmlistener HTTP/1.0
1589 Content-Type: text/xml; charset=utf-8
1590 Host: 192.168.183.200
1591 SOAPAction: ""
1592 Content-Length: 456
1593
1594 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1595   <soap:Header>
1596     <Response soap:mustUnderstand="1"
1597       xmlns="http://docs.oasis-open.org/wsrml/2004/06/ws-reliability-1.1.xsd">
1598       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1599         <ReplyRange from="0" to="14"/>
1600         <ReplyRange from="16" to="20"/>
1601       </SequenceReplies>
1602     </Response>
1603   </soap:Header>
1604   <soap:Body />
1605 </soap:Envelope>
```

---

## 1606 7 Conformance

1607 In order to conform to this specification, an implementation must satisfy all of the following  
1608 conditions:

- 1609       · It has implemented all required syntax, features and behaviors.
- 1610       · It complies with the following interpretation of the keywords OPTIONAL and MAY: as  
1611       stated in [RFC2119], when these keywords apply to the behavior of the implementation,  
1612       the implementation is free to support these behaviors or not.
- 1613       · It MUST be capable of processing the prescribed failure mechanism for those optional  
1614       features it has chosen to implement. If an RMP conforming to this requirement has  
1615       implemented an optional feature, syntax or behavior defined in this specification, it can  
1616       interoperate with another implementation that has not.
- 1617       · It MUST be capable of generating the prescribed failure mechanism for those optional  
1618       features it has not chosen to implement. If an RMP conforming to this requirement has  
1619       not implemented an optional feature, syntax or behavior defined in this specification, it  
1620       can interoperate with another implementation that has.

---

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---

## 1683 Appendix A.Schema (Normative)

1684 The schemas for this specification have the following URLs and are located using the filenames  
1685 shown in the table:

Schema Namespace URL	File name	Prefix
<a href="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd</a>	ws-reliability-1.1.xsd	wsrn
<a href="http://docs.oasis-open.org/wsrn/2004/06/reference-1.1.xsd">http://docs.oasis-open.org/wsrn/2004/06/reference-1.1.xsd</a>	reference-1.1.xsd	ref
<a href="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd">http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd</a>	fnp-1.1.xsd	fnp
<a href="http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd">http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd</a>	wsrmp-1.1.xsd	wsrmp

**Table 28 WS-Reliability Schema Prefixes**

1686 RMPs MUST include the SOAP mustUnderstand attribute (defined in the same namespace used  
1687 for the soap:Envelope element) in all Reliable Messaging specified header blocks and MUST  
1688 observe the following restrictions:

- 1689     · For SOAP 1.1, the mustUnderstand attribute value is restricted to "1".
- 1690     · For SOAP 1.2, the mustUnderstand attribute value is restricted to "1" or "true".

---

1691 **Appendix B.WS-Reliability Features, Properties**  
1692 **and Compositors (Normative and Optional)**

1693 **B.1. Introduction**

1694 Users of a Web Service need to be aware of the reliability capabilities (RM capabilities) the  
1695 service supports or requires. One practical location to advertise these capabilities is in the service  
1696 description (WSDL document), which allows publishing both abstract service definitions and  
1697 concrete protocol details (bindings). This allows clients (including other Web services) to easily  
1698 obtain information about specific capabilities (such as guaranteed delivery, duplicate elimination,  
1699 message ordering, and the supported reply patterns) of a specific Web service before calling the  
1700 service. While bundling RM capabilities with the service description may not be desirable in all  
1701 cases, this convenient approach often should be appropriate. The WSDL annotation mechanism  
1702 described here adds such capability assertions in a flexible way.

1703 WS-Reliability uses the WSDL 1.1 extensibility points to define an extensible framework  
1704 consisting of features, properties and compositors. This framework addresses the needs of a  
1705 reliable Web service to advertise its capabilities and the composability of those capabilities.

1706 The following extensibility elements are relevant to RM capabilities:

- 1707 · **feature** – see [Appendix B.3.2](#).
- 1708 · **property** – see [Appendix B.3.3](#).
- 1709 · **compositor** – see [Appendix B.3.1](#).

1710 An annotation composed with the above extensibility elements will specify the reliability features  
1711 and properties associated with specific WSDL constructs. Features and properties represent RM  
1712 capabilities; compositors specify how these capabilities are composed.

1713 This would, for example, allow a Web service description to advertise that clients invoking the  
1714 service must use duplicate elimination or message ordering.

1715 **B.2. Conformance**

1716 Implementations of WS-Reliability are expected (though not required) to understand the WSDL  
1717 extensibility points defined in this section.

1718 Understanding these extensibility points promotes interoperability: a service advertises its  
1719 supported and required features when its WSDL document contains these extensibility points.  
1720 Therefore it is RECOMMENDED that implementations recognize, understand and support these  
1721 extensibility points.

1722 It is also possible for services to advertise features through other channels (such as UDDI) in  
1723 addition to these extensibility points.

## 1724 B.3. WSDL Extensibility Elements

### 1725 B.3.1. Composer

1726 The compositor semantics describe how features and properties are composed for the enclosing  
1727 component (or WSDL 1.1 element). The compositor's semantics determine whether the usage of  
1728 composed elements by a client to the service is required or optional. All of the RM capabilities  
1729 represented by these elements must be supported by the service. A compositor element can  
1730 occur as a child element of wsdl11:portType, wsdl11:operation (which itself may be a child of  
1731 wsdl11:portType or wsdl11:binding), wsdl11:binding, wsdl11:service and wsdl11:port. The  
1732 compositor element uses the extensibility defined by WSDL 1.1. A compositor element specifies  
1733 the semantics for combining its children elements. These children elements can be additional  
1734 compositors, features, properties or extensibility elements.

1735 A compositor element is expressed by the following pseudo-syntax:

```
1736 <fnp:compositor uri="..." name="NCName"?>  
1737 [fnp:feature/> | <fnp:property/> | <fnp:compositor/> |  
1738   <extensibility-element/>]+  
1739 </fnp:compositor>
```

1740 The uri attribute of the compositor specifies its semantics. Four different compositors (URIs) and  
1741 their capability-related semantics are described below. It is possible to provide additional  
1742 compositors by using other URIs. The possibility of additional compositors and the existence of  
1743 extensibility points (represented by "<extensibility-element>") make the framework extensible.  
1744 The optional @name identifies the compositor. An element built with such compositors  
1745 represents an RM capability.

1746     • **all:** this compositor specifies that a service invocation **MUST** comply with all of the  
1747 children elements representing RM capability assertions. This compositor is identified by  
1748 the URI:

1749 <http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/all>

1750     • **choice:** this compositor specifies that a service invocation **MUST** comply with exactly  
1751 one of the possibly many children elements representing RM capability assertions. This  
1752 compositor is identified by the URI:

1753 <http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/choice>

1754     • **one-or-more:** this compositor specifies that a service invocation **MUST** comply with at  
1755 least one of the possibly many children elements representing RM capability assertions.  
1756 This compositor is identified by the URI:

1757 <http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/one-or-more>

1758     • **zero-or-more:** this compositor specifies that a service invocation **MAY** comply with one  
1759 or more of the children elements representing RM capability assertions. This compositor  
1760 is identified by the URI:

1761 <http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/zero-or-more>

1762 Examples for each compositor are provided in **Appendix B.7** below.

1763 Compositors specified at different WSDL components are implicitly aggregated using the 'all'  
1764 compositor at the dependent WSDL component. Consider the example below:



```

1765 <wsdl11:definitions>
1766   ...
1767   <wsdl11:portType name="myPortType">
1768     <fnp:compositor uri="..." name="A">
1769       ...
1770     </fnp:compositor>
1771     ...
1772   </wsdl11:portType>
1773   <wsdl11:binding name="myBinding" type="myPortType">
1774     <fnp:compositor uri="..." name="B">
1775       ...
1776     </fnp:compositor>
1777     ...
1778   <wsdl11:binding>
1779     <wsdl11:service name="myService">
1780       <wsdl11:port name="myPort" binding="myBinding">
1781         ...
1782       </wsdl11:port>
1783     </wsdl11:service>
1784 </wsdl11:definitions>

```

1785 The compositor specified at the wsdl11:portType "myPortType" and the compositor specified at  
1786 wsdl11:binding "myBinding" are aggregated at the dependent wsdl11:port "myPort" using the 'all'  
1787 compositor. The equivalent compositor at "myPort" is

```

1788 <fnp:compositor
1789   uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1790   <fnp:compositor uri="..." name="A">
1791   </fnp:compositor>
1792   <fnp:compositor uri="..." name="B">
1793     ...
1794   </fnp:compositor>
1795 </fnp:compositor>

```

### 1796 **B.3.2. Feature**

1797 A feature describes an abstract RM capability or assertion associated with a WSDL element. A  
1798 feature can occur only as a child of a compositor.

1799 The enclosing compositor(s) define(s) whether or not the usage of a feature is required. A feature  
1800 is identified by a URI. Recognizing the URI of a feature implies understanding the feature  
1801 identified by that URI.

1802 A feature element is expressed by the following pseudo-syntax:

```

1803 <fnp:feature uri="...">
1804   [<fnp:compositor/> | <extensibility-element/>]*
1805 </fnp:feature>

```

### 1806 **B.3.3. Property**

1807 A property is identified by a QName. A property is an assertion or constraint on a specific RM  
1808 capability and its value(s). A property can occur only as a child of a compositor.

1809 Typically, properties are (but are not required to be) associated with a feature and are described  
1810 in a feature specification. The QName identifier of a property uniquely identifies the property.  
1811 Recognizing the property QName identifier implies understanding the semantics associated with  
1812 that property. The property QName identifier typically points to a global XML Schema element  
1813 declaration. A property specification typically specifies the schema containing this global element  
1814 declaration. There may be a constraint on the set of values a property can have; such a  
1815 constraint is specified by a QName identifying an XML Schema type.

```
1816 <fnp:property name="xs:QName">  
1817   [<fnp:value>xs:anyType</fnp:value> |  
1818     <fnp:constraint>xs:QName</fnp:constraint>]  
1819   [<extensibility-element/>]*  
1820 </fnp:property>
```

### 1821 **B.4. WS-Reliability Feature**

1822 The WS-Reliability feature is identified by the URI

1823 <http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd>

1824 This feature URI identifies the WS-Reliability specification. Understanding this URI implies  
1825 understanding the WS-Reliability specification.

### 1826 **B.5. WS-Reliability Properties**

1827 This section identifies properties for the WS-Reliability specification. Typically these properties  
1828 are scoped within the feature identified by the URI

1829 <http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd>

#### 1830 **B.5.1. Guaranteed Delivery Property**

1831 This property is identified by the QName "wsrmp:GuaranteedDelivery" and corresponds to the  
1832 semantics specified by the WS-Reliability guaranteed delivery semantics. The type of this  
1833 property is "xs:boolean".

#### 1834 **B.5.2. Duplicate Elimination Property**

1835 This property is identified by the QName "wsrmp:NoDuplicateDelivery" and corresponds to the  
1836 semantics specified by the WS-Reliability duplicate elimination semantics. The type of this  
1837 property is "xs:boolean".

#### 1838 **B.5.3. Message Ordering Property**

1839 This property is identified by the QName "wsrmp:OrderedDelivery" and corresponds to the  
1840 semantics specified by the WS-Reliability message ordering semantics. The type of this property  
1841 is "xs:boolean".

## 1842 B.5.4. Reply Pattern Property

1843 This property is identified by the QName "wsrmfp:ReplyPattern" and corresponds to the  
1844 semantics specified by the WS-Reliability reply pattern options. The type of this property is  
1845 "xs:string". (values: Response, Poll, Callback)

## 1846 B.6. Compositor Examples

### 1847 B.6.1. Example for the "all" compositor

```
1848 <wsdl11:portType name="Example-1">
1849   <fnp:compositor
1850     uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1851     <fnp:feature
1852       uri="http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd"
1853       <fnp:compositor uri=
1854         "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1855         <fnp:property name="wsrmfp:NoDuplicateDelivery">
1856           <fnp:value>true</fnp:value>
1857         </fnp:property>
1858         <fnp:property name="wsrmfp:OrderedDelivery">
1859           <fnp:value>true</fnp:value>
1860         </fnp:property>
1861         <fnp:property name="wsrmfp:GuaranteedDelivery">
1862           <fnp:value>true</fnp:value>
1863         </fnp:property>
1864       </fnp:compositor>
1865     </fnp:feature>
1866   </fnp:compositor>
1867   ...
1868 </wsdl11:portType>
```

1869 In the example above, the reliability feature identified by URI "[http://docs.oasis-](http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd)  
1870 [open.org/wsrn/2004/06/wsrnfp-1.1.xsd](http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd)" is required by the portType. This feature consists of  
1871 three properties, all of which are required because of the semantics of the 'all' compositor that  
1872 composes the three properties.

## 1873 B.6.2. Example for the "choice" compositor:

```
1874 <wsdl111:binding name="Example-2">
1875   <fnp:compositor
1876     uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1877     <fnp:feature
1878       uri="http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd"
1879       <fnp:compositor uri=
1880         "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/choice">
1881         <fnp:property name="wsrnf:ReplyPattern">
1882           <value>Response</value>
1883         </fnp:property>
1884         <fnp:property name="wsrnf:ReplyPattern">
1885           <value>Callback</value>
1886         </fnp:property>
1887         <fnp:property name="wsrnf:ReplyPattern">
1888           <value>Poll</value>
1889         </fnp:property>
1890       </fnp:compositor>
1891     </fnp:feature>
1892   </fnp:compositor>
1893   ...
1894 </wsdl111:binding>
```

1895 In the example above, the reliability feature identified by URI "[http://docs.oasis-](http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd)  
1896 [open.org/wsrn/2004/06/wsrnfp-1.1.xsd](http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd)" is required by the portType. This feature consists of  
1897 three properties composed by the 'choice' compositor; the client must choose one.

1898 **B.6.3. Example for the "one-or-more" compositor:**

```
1899 <wsdl11:portType name="Example-3">
1900   <fnp:compositor
1901     uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1902     <fnp:feature
1903       uri="http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd" >
1904       <fnp:compositor uri=
1905         "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/one-or-more">
1906         <fnp:property name="wsrnfp:NoDuplicateDelivery">
1907           <fnp:value>true</fnp:value>
1908         </fnp:property>
1909         <fnp:property name="wsrnfp:OrderedDelivery">
1910           <fnp:value>true</fnp:value>
1911         </fnp:property>
1912         <fnp:property name="wsrnfp:GuaranteedDelivery">
1913           <fnp:value>true</fnp:value>
1914         </fnp:property>
1915       </fnp:compositor>
1916     </fnp:feature>
1917   </fnp:compositor>
1918   ...
1919 </wsdl11:portType>
```

1920 **B.6.4. Example for the "zero-or-more" compositor:**

```
1921 <wsdl11:portType name="Example-4">
1922   <fnp:compositor
1923     uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1924     <fnp:feature
1925       uri="http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd"
1926       <fnp:compositor uri=
1927         "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/zero-or-more">
1928         <fnp:property name="wsrnfp:NoDuplicateDelivery">
1929           <fnp:value>true</fnp:value>
1930         </fnp:property>
1931         <fnp:property name="wsrnfp:OrderedDelivery">
1932           <fnp:value>true</fnp:value>
1933         </fnp:property>
1934         <fnp:property name="wsrnfp:GuaranteedDelivery">
1935           <fnp:value>true</fnp:value>
1936         </fnp:property>
1937       </fnp:compositor>
1938     </fnp:feature>
1939   </fnp:compositor>
1940   ...
1941 </wsdl11:portType>
```

---

## 1942 **Appendix C.Acknowledgments**

1943 The following individuals were members of the committee during the development of this  
1944 specification:

- 1945 □ David Ingham, Arjuna Technologies Limited
- 1946 □ Joseph Chiusano, Booz Allen Hamilton
- 1947 □ Peter Furniss, Choreology Ltd
- 1948 □ Jeff Turpin, Cyclone Commerce
- 1949 □ Pramila Mullan, France Telecom
- 1950 □ Jacques Durand, Fujitsu
- 1951 □ Kazunori Iwasa (Secretary), Fujitsu
- 1952 □ Tom Rutt (Chair), Fujitsu
- 1953 □ Jishnu Mukerji, Hewlett-Packard
- 1954 □ Robert Freund, Hitachi
- 1955 □ Eisaku Nishiyama, Hitachi
- 1956 □ Nobuyuki Yamamoto, Hitachi
- 1957 □ Ben Bloch, Individual
- 1958 □ Mark Hansen, Individual
- 1959 □ Paolo Romano, Individual
- 1960 □ Dock Allen, Mitre Corporation
- 1961 □ Junichi Tatemura, NEC Corporation
- 1962 □ Alan Weissberger, NEC Corporation
- 1963 □ Magdolna Gerendai, Nokia
- 1964 □ Szabolcs Payrits, Nokia
- 1965 □ Mark Peel, Novell
- 1966 □ Sunil Kunisetty (Secretary), Oracle
- 1967 □ Anish Karmarkar, Oracle
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- 1970 □ Pete Wenzel, SeeBeyond Technology Corporation
- 1971 □ Doug Bunting (Secretary), Sun Microsystems

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- 1973 □ Chi-Yuen Ng, University of Hong Kong
- 1974 □ Patrick Yee, University of Hong Kong
- 1975 □ Prasad Yendluri, webMethods, Inc.
- 1976 □ Scott Werden, WRQ, Inc.

1977 And the following people made contributions to produce Ver 1.0 of this specification:

- 1978 Colleen Evans, Sonic Software Corporation / Dave Chappell, Sonic Software Corporation / Doug
- 1979 Bunting, Sun Microsystems, Inc. / George Tharakan, Sun Microsystems, Inc. / Hisashi
- 1980 Shimamura, NEC Corporation / Jacques Durand, Fujitsu Software Corporation / Jeff Mischkinsky,
- 1981 Oracle Corporation / Katsutoshi Nihei, NEC Corporation / Kazunori Iwasa, Fujitsu Limited / Martin
- 1982 Chapman, Oracle Corporation / Masayoshi Shimamura, Fujitsu Limited / Nicholas Kassem, Sun
- 1983 Microsystems, Inc. / Nobuyuki Yamamoto, Hitachi Limited / Sunil Kunisetty, Oracle Corporation /
- 1984 Tetsuya Hashimoto, Hitachi Limited / Tom Rutt, Fujitsu Software Corporation / Yoshihide
- 1985 Nomura, Fujitsu Limited / Akira Ochi, Fujitsu Limited / Hirotaka Hara, Fujitsu Limited / Hiroyuki
- 1986 Tomisawa, Hitachi Limited / Katsuhisa Nakazato, Fujitsu Limited / Masahiko Narita, Fujitsu
- 1987 Limited / Nobuyuki Saji, NEC Corporation / Shuichi Imabayashi, Fujitsu Limited

1988

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