

# Web Services Coordination (WS-Coordination) Version 1.2

## **Committee Draft 02**

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#### **Abstract:**

The WS-Coordination specification describes an extensible framework for providing protocols that coordinate the actions of distributed applications. Such coordination protocols are used to support a number of applications, including those that need to reach consistent agreement on the outcome of distributed activities.

The framework defined in this specification enables an application service to create a context needed to propagate an activity to other services and to register for coordination protocols. The framework enables existing transaction processing, workflow, and other systems for coordination to hide their proprietary protocols and to operate in a heterogeneous environment.

Additionally this specification describes a definition of the structure of context and the requirements for propagating context between cooperating services.

#### Status:

This document was last revised or approved by the WS-TX TC on the above date. The level of approval is also listed above. Check the "Latest Approved Version" location noted above for possible later revisions of this document.

Technical Committee members should send comments on this specification to the Technical Committee's email list. Others should send comments to the Technical Committee by using the "Send A Comment" button on the Technical Committee's web page at www.oasisopen.org/committees/ws-tx.

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## 1 Introduction

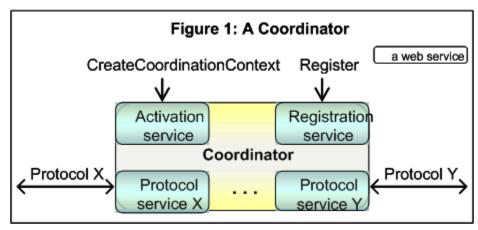
- 2 The current set of Web service specifications (SOAP [SOAP 1.1] [SOAP 1.2] and WSDL [WSDL])
- defines protocols for Web service interoperability. Web services increasingly tie together a large number
- 4 of participants forming large distributed computational units we refer to these computation units as
- 5 activities.

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- 6 The resulting activities are often complex in structure, with complex relationships between their
- 7 participants. The execution of such activities often takes a long time to complete due to business
- 8 latencies and user interactions.
- 9 This specification defines an extensible framework for coordinating activities using a coordinator and set
- 10 of coordination protocols. This framework enables participants to reach consistent agreement on the
- 11 outcome of distributed activities. The coordination protocols that can be defined in this framework can
- 12 accommodate a wide variety of activities, including protocols for simple short-lived operations and
- 13 protocols for complex long-lived business activities. For example, WS-AtomicTransaction [WSAT] and
- 14 WS-BusinessActivity [WSBA] specifications use and build upon this specification.
- Note that the use of the coordination framework is not restricted to transaction processing systems; a
- wide variety of protocols can be defined for distributed applications.

## 17 **1.1 Model**

- 18 This specification describes a framework for a coordination service (or coordinator) which consists of
- 19 these component services:
- 20 An Activation service with an operation that enables an application to create a coordination instance or
- 21 context.
- A Registration service with an operation that enables an application to register for coordination protocols.
- 23 A coordination type-specific set of coordination protocols.
- 24 This is illustrated below in Figure 1.



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- Applications use the Activation service to create the coordination context for an activity. Once a coordination context is acquired by an application, it is then sent by whatever appropriate means to another application.
- The context contains the necessary information to register into the activity specifying the coordination behavior that the application will follow.
- Additionally, an application that receives a coordination context may use the Registration service of the original application or may use one that is specified by an interposing, trusted coordinator. In this manner
- an arbitrary collection of Web services may coordinate their joint operation.

## 1.2 Composable Architecture

- 36 By using the XML [XML], SOAP [SOAP 1.1] [SOAP 1.2] and WSDL [WSDL] extensibility model, SOAP-
- 37 based and WSDL-based specifications are designed to be composed with each other to define a rich
- Web services environment. As such, WS-Coordination by itself does not define all the features required
- 39 for a complete solution. WS-Coordination is a building block that is used in conjunction with other
- 40 specifications and application-specific protocols to accommodate a wide variety of protocols related to the
- 41 operation of distributed Web services.
- 42 The Web service protocols defined in this specification should be used when interoperability is needed
- 43 across vendor implementations, trust domains, etc. Thus, the Web service protocols defined in this
- specification can be combined with proprietary protocols within the same application.

## 1.3 Extensibility

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- 46 The specification provides for extensibility and flexibility along two dimensions. The framework allows for:
  - The publication of new coordination protocols.
  - The selection of a protocol from a coordination type and the definition of extension elements that can be added to protocols and message flows.

Extension elements can be used to exchange application-specific data on top of message flows already defined in this specification. This addresses the need to exchange such data as transaction isolation levels or other information related to business-level coordination protocols. The data can be logged for auditing purposes, or evaluated to ensure that a decision meets certain business-specific constraints.

- To understand the syntax used in this specification, the reader should be familiar with the WSDL **[WSDL]** specification, including its HTTP and SOAP binding styles. All WSDL port type definitions provided here assume the existence of corresponding SOAP and HTTP bindings.
- Terms introduced in this specification are explained in the body of the specification and summarized in the glossary.

## 1.4 Terminology

- The uppercase key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as
- 62 described in [RFC2119].
  - This specification uses an informal syntax to describe the XML grammar of the XML fragments below:
    - The syntax appears as an XML instance, but the values indicate the data types instead of values.
    - Element names ending in "..." (such as <element.../> or <element...>) indicate that elements/attributes irrelevant to the context are being omitted.
    - Attributed names ending in "..." (such as name=...) indicate that the values are specified below.
    - Grammar in bold has not been introduced earlier in the document, or is of particular interest in an example.
      - <!-- description --> is a placeholder for elements from some "other" namespace (like ##other in XSD).
      - Characters are appended to elements, attributes, and <!-- descriptions --> as follows: "?" (0 or 1), "\*" (0 or more), "+" (1 or more). The characters "[" and "]" are used to indicate that contained items are to be treated as a group with respect to the "?", "\*", or "+" characters.
      - The XML namespace prefixes (defined below) are used to indicate the namespace of the element being defined.
      - Examples starting with <?xml contain enough information to conform to this specification; others examples are fragments and require additional information to be specified in order to conform.

## 1.5 Namespace

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80 The XML namespace [XML-ns] URI that MUST be used by implementations of this specification is:

http://docs.oasis-open.org/ws-tx/wscoor/2006/06

## 1.5.1 Prefix Namespace

The following namespaces are used in this document:

Prefix	Namespace
S11	http://schemas.xmlsoap.org/soap/envelope
S12	http://www.w3.org/2003/05/soap-envelope
Wscoor	http://docs.oasis-open.org/ws-tx/wscoor/2006/06
Wsa	http://www.w3.org/2005/08/addressing

## 1.6 XSD and WSDL Files

- 85 Dereferencing the XML namespace defined in Section 1.5 will produce the Resource Directory
- 86 Description Language (RDDL) [RDDL] document that describes this namespace, including the XML
- 87 schema [XML-Schema1] [XML-Schema2] and WSDL [WSDL] declarations associated with this
- 88 specification.
- 89 SOAP bindings for the WSDL [WSDL], referenced in the RDDL [RDDL] document, MUST use
- 90 "document" for the *style* attribute.
- 91 There should be no inconsistencies found between any of the normative text within this specification, the
- 92 normative outlines, the XML Schema definitions, and the WSDL descriptions, and so no general
- 93 precedence rule is defined. If an inconsistency is observed then it should be reported as a comment on
- 94 the specification as described in the "Status" section above.

#### 1.7 Coordination Protocol Elements

- 96 The protocol elements define various extensibility points that allow other child or attribute content.
- 97 Additional children and/or attributes MAY be added at the indicated extension points but MUST NOT
- 98 contradict the semantics of the parent and/or owner, respectively. If a receiver does not recognize an
- 99 extension, the receiver SHOULD ignore the extension.

## 1.8 Conformance

- An implementation is not conformant with this specification if it fails to satisfy one or more of the MUST or
- 102 REQUIRED level requirements defined herein. A SOAP Node MUST NOT use elements and attributes of
- the declared XML Namespace (listed on the title page) for this specification within SOAP Envelopes
- unless it is conformant with this specification.

#### 1.9 Normative References

106	[RDDL]	Jonathan Borden, Tim Bray, eds. "Resource Directory Description Language
107		(RDDL) 2.0", http://www.openhealth.org/RDDL/20040118/rddl-20040118.html,
108		January 2004.
109	[RFC2119]	S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels",
110		http://www.ietf.org/rfc/rfc2119.txt, IETF RFC 2119, March 1997.
111	[SOAP 1.1]	W3C Note, "SOAP: Simple Object Access Protocol 1.1,"
112	- <b>-</b>	http://www.w3.org/TR/2000/NOTE-SOAP-20000508, 08 May 2000.

113	[SOAP 1.2]	W3C Recommendation, "SOAP Version 1.2 Part 1: Messaging Framework
114 115		(Second Edition)", http://www.w3.org/TR/2007/REC-soap12-part1-20070427/, April 2007.
116	[XML]	W3C Recommendation, "Extensible Markup Language (XML) 1.0 (Fourth
117		Edition), "http://www.w3.org/TR/2006/REC-xml-20060816, 16 August 2006.
118 119	[XML-ns]	W3C Recommendation, "Namespaces in XML 1.0 (Second Edition)," http://www.w3.org/TR/2006/REC-xml-names-20060816, 16 August 2006.
120	[XML-Schema1]	W3C Recommendation, "XML Schema Part 1: Structures Second Edition,"
121		http://www.w3.org/TR/2004/REC-xmlschema-1-20041028, 28 October 2004.
122 123	[XML-Schema2]	W3C Recommendation, "XML Schema Part 2: Datatypes Second Edition," http://www.w3.org/TR/2004/REC-xmlschema-2-20041028, 28 October 2004.
124 125	[WSADDR]	Web Services Addressing (WS-Addressing) 1.0, W3C Recommendation, http://www.w3.org/2005/08/addressing.
126	[WSDL]	Web Services Description Language (WSDL) 1.1
127		http://www.w3.org/TR/2001/NOTE-wsdl-20010315.
128 129	[WSPOLICY]	W3C Recommendation, Web Services Policy 1.5 – Framework (WS-Policy), http://www.w3.org/TR/2007/REC-ws-policy-20070904/, September 2007.
130	[WSSec]	OASIS Standard 200401, March 2004, "Web Services Security: SOAP Message
131		Security 1.0 (WS-Security 2004)", http://docs.oasis-open.org/wss/2004/01/oasis-
132		200401-wss-soap-message-security-1.0.pdf.
133 134		OASIS Standard, February 2006, Web Services Security: SOAP Message Security 1.1 (WS-Security 2004), http://www.oasis-
135		open.org/committees/download.php/16790/wss-v1.1-spec-os-
136		SOAPMessageSecurity.pdf.
137	[WSSecPolicy]	OASIS Committee Draft 01, WS-SecurityPolicy 1.3, http://docs.oasis-
138	[,1	open.org/ws-sx/ws-securitypolicy/200802, July 2008.
139	[WSSecConv]	OASIS Committee Draft 01, WS-SecureConversation 1.4, http://docs.oasis-
140	-	open.org/ws-sx/ws-secureconversation/200512, July 2008.
141	[WSTrust]	OASIS Committee Draft 01, WS-Trust 1.4, http://docs.oasis-open.org/ws-sx/ws-
142		trust/200802, June 2008.
143	1.10 Non-norma	ative References
144		
145	[WSAT]	OASIS Public Review Draft, Web Services Atomic Transaction (WS-
146		AtomicTransaction) 1.2, http://docs.oasis-open.org/ws-tx/wsat/2006/06, August
147		2008.
148	[WSBA]	OASIS Public Review Draft, Web Services Business Activity (WS-
149		BusinessActivity) 1.2, http://docs.oasis-open.org/ws-tx/wsba/2006/06, August
150		2008.

## 2 Coordination Context

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The CoordinationContext is used by applications to pass Coordination information to parties involved in an activity. CoordinationContext elements are propagated to parties which may need to register Participants for the activity. Context propagation may be accomplished using application-defined mechanisms -- e.g. as a header element of a SOAP application message sent to such parties. (Conveying a context in an application message is commonly referred to as flowing the context.) A CoordinationContext provides access to a coordination registration service, a coordination type, and relevant extensions.

The following is an example of a CoordinationContext supporting a transaction service:

```
160
          <?xml version="1.0" encoding="utf-8"?>
161
           <S11:Envelope xmlns:S11="http://www.w3.org/2003/05/soap-envelope">
162
               <S11:Header>
163
164
                   <wscoor:CoordinationContext</pre>
165
                       xmlns:wsa="http://www.w3.org/2005/08/addressing"
166
                       xmlns:wscoor="http://docs.oasis-open.org/ws-tx/wscoor/2006/06"
167
                       xmlns:myApp="http://www.example.com/myApp"
168
                       S11:mustUnderstand="true">
169
                       <wscoor:Identifier>
170
                            http://Fabrikam123.com/SS/1234
171
                       </wscoor:Identifier>
172
                       <wscoor:Expires>3000</wscoor:Expires>
173
                       <wscoor:CoordinationType>
174
                          http://docs.oasis-open.org/ws-tx/wsat/2006/06
175
                       </wscoor:CoordinationType>
176
                       <wscoor:RegistrationService>
177
                           <wsa:Address>
178
                            http://Business456.com/mycoordinationservice/registration
179
                           </wsa:Address>
180
                           <wsa:ReferenceParameters>
181
                             <myApp:BetaMark> ... </myApp:BetaMark>
182
                             <myApp:EBDCode> ... </myApp:EBDCode>
183
                           </wsa:ReferenceParameters>
184
                       </wscoor:RegistrationService>
185
                       <myApp:IsolationLevel>
186
                             RepeatableRead
187
                       </myApp:IsolationLevel>
188
                   </wscoor:CoordinationContext>
189
190
               </S11:Header>
191
               </S11:Body>
192
193
              </S11:Body >
194
           </S11:Envelope>
195
```

When an application propagates an activity using a coordination service, applications MUST include aCoordinationContext in the message.

When a context is exchanged as a SOAP header, the mustUnderstand attribute MUST be present and its value MUST be true.

## 3 Coordination Service

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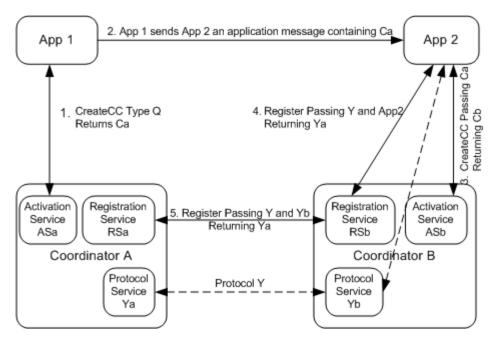
201 The Coordination service (or coordinator) is an aggregation of the following services:

- Activation service: Defines a CreateCoordinationContext operation that allows a CoordinationContext to be created. The exact semantics are defined in the specification that defines the coordination type. The Coordination service MAY support the Activation service.
- Registration service: Defines a Register operation that allows a Web service to register to participate in a coordination protocol. The Coordination service MUST support the Registration service.
- A set of coordination protocol services for each supported coordination type. These are defined in the specification that defines the coordination type.

Figure 2 illustrates an example of how two application services (App1 and App2) with their own coordinators (CoordinatorA and CoordinatorB) interact as the activity propagates between them. The protocol Y and services Ya and Yb are specific to a coordination type, which are not defined in this specification.

- App1 sends a CreateCoordinationContext for coordination type Q, getting back a Context Ca that contains the activity identifier A1, the coordination type Q and an Endpoint Reference to CoordinatorA's Registration service RSa.
- 2. App1 then sends an application message to App2 containing the Context Ca.
- App2 prefers to use CoordinatorB instead of CoordinatorA, so it uses CreateCoordinationContext
  with Ca as an input to interpose CoordinatorB. CoordinatorB creates its own CoordinationContext
  Cb that contains the same activity identifier and coordination type as Ca but with its own
  Registration service RSb.
- 4. App2 determines the coordination protocols supported by the coordination type Q and then Registers for a coordination protocol Y at CoordinatorB, exchanging Endpoint References for App2 and the protocol service Yb. This forms a logical connection between these Endpoint References that the protocol Y can use.
- 5. This registration causes CoordinatorB to decide to immediately forward the registration onto CoordinatorA's Registration service RSa, exchanging Endpoint References for Yb and the protocol service Ya. This forms a logical connection between these Endpoint References that the protocol Y can use.

Figure 2: Two applications with their own coordinators



It should be noted that in this example several actions are taken that are not required by this specification, but which may be defined by the coordination type specification or are implementation or configuration choices. Specifications of coordination types and coordination protocols that need to constrain the subcoordination behavior of implementations SHOULD state these requirements in their specification.

#### 3.1 Activation Service

- The Activation service creates a new activity and returns its coordination context.
- 238 An application sends:

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- 239 CreateCoordinationContext
  - The structure and semantics of this message are defined in Section 3.1.1.
- 241 The activation service returns:
- 242 CreateCoordinationContextResponse
  - The structure and semantics of this message is defined in Section 3.1.2

#### 3.1.1 CreateCoordinationContext

This request is used to create a coordination context that supports a coordination type (i.e., a service that provides a set of coordination protocols). This command is required when using a network-accessible Activation service in heterogeneous environments that span vendor implementations. To fully understand the semantics of this operation it is necessary to read the specification where the coordination type is defined (e.g. WS-AtomicTransaction).

The following pseudo schema defines this element:

```
251
252
252
253
253
254
255
255
256
256

<pr
```

Expires is an optional element which represents the remaining expiration for the CoordinationContext as an unsigned integer in milliseconds to be measured from the point at which the context was first received.

260 /CreateCoordinationContext/CoordinationType

> This provides the unique identifier for the desired coordination type for the activity (e.g., a URI to the Atomic Transaction coordination type).

/CreateCoordinationContext/Expires

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Optional. The expiration for the returned CoordinationContext expressed as an unsigned integer in milliseconds.

/CreateCoordinationContext/CurrentContext

Optional. If absent, the Activation Service creates a coordination context representing a new, independent activity. If present, the Activation Service creates a coordination context representing a new activity which is related to the existing activity identified by the current coordination context contained in this element. Some examples of potential uses of this type of relationship include interposed subordinate coordination, protocol bridging and coordinator replication.

272 /CreateCoordinationContext /{any}

Extensibility elements may be used to convey additional information.

274 /CreateCoordinationContext /@{any}

Extensibility attributes may be used to convey additional information.

276 A CreateCoordinationContext message can be as simple as the following example.

```
<CreateCoordinationContext>
278
              <CoordinationType>
279
                   http://docs.oasis-open.org/ws-tx/wsat/2006/06
280
              </CoordinationType>
          </CreateCoordinationContext>
```

## 3.1.2 CreateCoordinationContextResponse

This returns the CoordinationContext that was created.

The following pseudo schema defines this element:

```
<CreateCoordinationContextResponse ...>
   <CoordinationContext> ... </CoordinationContext>
</CreateCoordinationContextResponse>
```

/CreateCoordinationContext/CoordinationContext

This is the created coordination context.

291 /CreateCoordinationContext /{any}

Extensibility elements may be used to convey additional information.

/CreateCoordinationContext /@{any}

Extensibility attributes may be used to convey additional information.

The following example illustrates a response:

```
295
296
          <CreateCoordinationContextResponse>
297
               <CoordinationContext>
298
                   <Identifier>
299
                        http://Business456.com/tm/context1234
300
                   </Identifier>
301
                   <CoordinationType>
302
                        http://docs.oasis-open.org/ws-tx/wsat/2006/06
303
                   </CoordinationType>
304
                   <RegistrationService>
305
                        <wsa:Address>
306
                             http://Business456.com/tm/registration
```

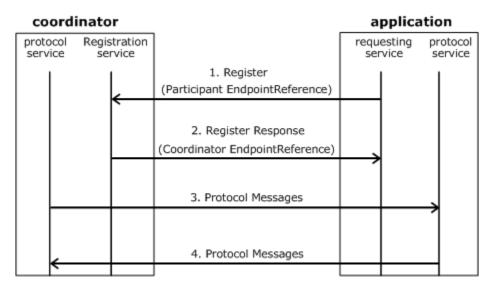
```
307
                        </wsa:Address>
308
                        <wsa:ReferenceParameters>
309
                           <myapp:PrivateInstance>
310
                             1234
311
                          </myapp:PrivateInstance>
312
                        </wsa:ReferenceParameters>
313
                   </RegistrationService>
314
               </CoordinationContext>
315
           </CreateCoordinationContextResponse>
```

## 3.2 Registration Service

- Once an application has a coordination context from its chosen coordinator, it can register for the activity.
- The interface provided to an application registering for an activity and for an interposed coordinator registering for an activity is the same.
- 320 The requester sends:
- 321 Register

316

- The syntax and semantics of this message are defined in Section 3.2.1.
- 323 The coordinator's registration service responds with:
- 324 Registration Response
- 325 The syntax and semantics of this message are defined in Section 3.2.2.
- 326 Figure 3: The usage of Endpoint References during registration



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- In Figure 3, the coordinator provides the Registration Endpoint Reference in the CoordinationContext during the CreateCoordinationContext operation. The requesting service receives the Registration service Endpoint Reference in the CoordinationContext in an application message.
- 1.) The Register message targets this Endpoint Reference and includes the participant protocol serviceEndpoint Reference as a parameter.
- The RegisterResponse includes the coordinator's protocol service Endpoint Reference.
- 334 3. & 4.) At this point, both sides have the Endpoint References of the other's protocol service, so the protocol messages can target the other side.
- These Endpoint References may contain (opaque) wsa:ReferenceParameters to fully qualify the target protocol service endpoint. Endpoint References MUST be interpreted according to the rules defined in WS-Addressing 1.0 Core [WSADDR].

- A Registration service is not required to detect duplicate Register requests and MAY treat each Register message as a request to register a distinct participant.
- 341 A participant MAY send multiple Register requests to a Registration service. For example, it may retry a
- Register request following a lost RegisterResponse, or it may fail and restart after registering successfully
- 343 but before performing any recoverable work.
- 344 If a participant sends multiple Register requests for the same activity, the participant MUST be prepared
- 345 to correctly handle duplicate protocol messages from the coordinator. One simple strategy for
- 346 accomplishing this is for the participant to generate a unique reference parameter for each participant
- 347 Endpoint Reference that it provides in a Register request. The manner in which the participant handles
- 348 duplicate protocol messages depends on the specific coordination type and coordination protocol.

## 3.2.1 Register Message

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The Register request is used to do the following:

- Participant selection and registration in a particular Coordination protocol under the current coordination type supported by the Coordination Service.
- Exchange Endpoint References. Each side of the coordination protocol (participant and coordinator) supplies an Endpoint Reference.

Participants MAY register for multiple Coordination protocols by issuing multiple Register operations. WS-Coordination assumes that transport protocols provide for message batching if required.

The following pseudo schema defines this element:

/Register/Protocolldentifier

This URI provides the identifier of the coordination protocol selected for registration.

/Register/ParticipantProtocolService

The Endpoint Reference that the registering participant wants the coordinator to use for the Coordination protocol (See WS-Addressing [WSADDR]).

/Register/{any}

Extensibility elements may be used to convey additional information.

370 / Register/@{any}

Extensibility attributes may be used to convey additional information.

The following is an example registration message:

```
373
          <Register>
374
              <ProtocolIdentifier>
375
                  http://docs.oasis-open.org/ws-tx/wsat/2006/06/Volatile2PC
376
              </ProtocolIdentifier>
377
              <ParticipantProtocolService>
378
                   <wsa:Address>
379
                       http://Adventure456.com/participant2PCservice
380
                   </wsa:Address>
381
                   <wsa:ReferenceParameters>
382
                       <BetaMark> AlphaBetaGamma </BetaMark>
383
                   </wsa:ReferenceParameters>
384
              </ParticipantProtocolService>
385
          </Register>
```

## 3.2.2 RegistrationResponse Message

387 The response to the registration message contains the coordinator's Endpoint Reference.

The following pseudo schema defines this element:

/RegisterResponse/CoordinatorProtocolService

The Endpoint Reference that the Coordination service wants the registered participant to use for the Coordination protocol.

/RegisterResponse/{any}

Extensibility elements may be used to convey additional information.

/RegisterResponse /@{any}

Extensibility attributes may be used to convey additional information.

The following is an example of a RegisterResponse message:

```
401
          <RegisterResponse>
402
            <CoordinatorProtocolService>
403
              <wsa:Address>
404
                 http://Business456.com/mycoordinationservice/coordinator
405
              </wsa:Address>
406
              <wsa:ReferenceParameters>
407
                 <myapp:MarkKey> %%F03CA2B%% </myapp:MarkKey>
408
              </wsa:ReferenceParameters>
409
            </CoordinatorProtocolService>
410
          </RegisterResponse>
```

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## **4 Coordination Faults**

413 WS-Coordination faults MUST include as the [action] property the following fault action URI:

```
http://docs.oasis-open.org/ws-tx/wscoor/2006/06/fault
```

- 415 The protocol faults defined in this section are generated if the condition stated in the preamble is met.
- When used by a specification that references this specification, these faults are targeted at a destination
- 417 endpoint according to the protocol fault handling rules defined for that specification.
- The definitions of faults in this section use the following properties:
- 419 [Code] The fault code.

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- 420 [Subcode] The fault subcode.
- 421 [Reason] A human readable explanation of the fault.
- 422 [Detail] The detail element. If absent, no detail element is defined for the fault.
- For SOAP 1.2 **[SOAP 1.2]**, the [Code] property MUST be either "Sender" or "Receiver". These properties
- 424 are serialized into text XML as follows:

SOAP Version	Sender	Receiver
SOAP 1.2	S12:Sender	S12:Receiver

The properties above bind to a SOAP 1.2 [SOAP 1.2] fault as follows:

```
428
           <S12:Envelope>
429
            <S12:Header>
430
              <wsa:Action>
431
                 http://docs.oasis-open.org/ws-tx/wscoor/2006/06/fault
432
              </wsa:Action>
433
              <!-- Headers elided for clarity. -->
434
            </S12:Header>
435
            <S12:Body>
436
             <S12:Fault>
437
              <S12:Code>
438
                <S12:Value>[Code]</S12:Value>
439
                <S12:Subcode>
440
                 <S12:Value>[Subcode]</S12:Value>
441
                </S12:Subcode>
442
              </S12:Code>
443
              <S12:Reason>
444
                <S12:Text xml:lang="en">[Reason]</S12:Text>
445
              </S12:Reason>
446
              <S12:Detail>
447
                [Detail]
448
449
              </S12:Detail>
450
             </S12:Fault>
451
            </S12:Body>
452
           </S12:Envelope>
```

The properties bind to a SOAP 1.1 [SOAP 1.1] fault as follows:

458	<faultstring xml:lang="en">[Reason]</faultstring>				
459					
460					
461					
461					

## 4.1 Invalid State

- This fault is sent by either the coordinator or a participant to indicate that the endpoint that generated the
- 464 fault has received a message that is not valid for its current state. This is an unrecoverable condition.
- 465 Properties:

462

470

- 466 [Code] Sender
- 467 [Subcode] wscoor:InvalidState
- 468 [Reason] The message was invalid for the current state of the activity.
- 469 [Detail] unspecified

## 4.2 Invalid Protocol

- 471 This fault is sent by either the coordinator or a participant to indicate that the endpoint that generated the
- 472 fault received a message which is invalid for the protocols supported by the endpoint. This is an
- 473 unrecoverable condition.
- 474 Properties:
- 475 [Code] Sender
- 476 [Subcode] wscoor:InvalidProtocol
- 477 [Reason] The protocol is invalid or is not supported by the coordinator.

## 478 4.3 Invalid Parameters

- 479 This fault is sent by either the coordinator or a participant to indicate that the endpoint that generated the
- 480 fault received invalid parameters on or within a message. This is an unrecoverable condition.
- 481 Properties:
- 482 [Code] Sender
- 483 [Subcode] wscoor:InvalidParameters
- [Reason] The message contained invalid parameters and could not be processed.

#### 485 4.4 Cannot Create Context

- This fault is sent by the Activation Service to the sender of a CreateCoordinationContext to indicate that a
- 487 context could not be created.
- 488 Properties:

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- 489 [Code] Sender
- 490 [Subcode] wscoor:CannotCreateContext
- 491 [Reason] CoordinationContext could not be created.
- 492 [Detail] unspecified

## 4.5 Cannot Register Participant

- This fault is sent by the Registration Service to the sender of a Register to indicate that the Participant
- 495 could not be registered.

496 Properties:
497 [Code] Sender
498 [Subcode] wscoor:CannotRegisterParticipant
499 [Reason] Participant could not be registered.
500 [Detail] unspecified

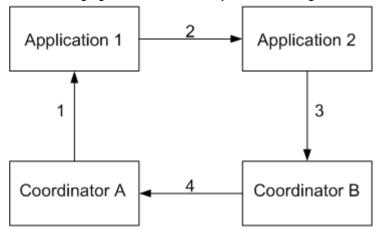
# **5 Security Model**

The primary goals of security with respect to WS-Coordination are to:

- 1. ensure only authorized principals can create coordination contexts
- 2. ensure only authorized principals can register with an activity
- 3. ensure only legitimate coordination contexts are used to register
- 4. enable existing security infrastructures to be leveraged
- 5. allow principal authorization to be based on federated identities

These goals build on the general security requirements for integrity, confidentiality, and authentication, each of which is provided by the foundations built using the Web service security specifications such as WS-Security [WSSec] and WS-Trust [WSTrust].

511 The following figure illustrates a fairly common usage scenario:



In the figure above, step 1 involves the creation and subsequent communication between the creator of the context and the coordinator A (root). It should be noted that this may be a private or local communication. Step 2 involves the delegation of the right to register with the activity using the information from the coordination context and subsequent application messages between two applications (and may include middleware involvement) which are participants in the activity. Step 3 involves delegation of the right to register with the activity to coordinator B (subordinate) that manages all access to the activity on behalf of the second, and possibly other parties. Again note that this may also be a private or local communication. Step 4 involves registration with the coordinator A by the coordinator B and proof that registration rights were delegated.

It should be noted that many different coordination topologies may exist which may leverage different security technologies, infrastructures, and token formats. Consequently an appropriate security model must allow for different topologies, usage scenarios, delegation requirements, and security configurations.

To achieve these goals, the security model for WS-Coordination leverages the infrastructure provided by WS-Security [WSSec], WS-Trust [WSTrust], WS-Policy [WSPOLICY], and WS-SecureConversation [WSSecConv]: Services have policies specifying their requirements and requestors provide claims (either implicit or explicit) and the requisite proof of those claims.

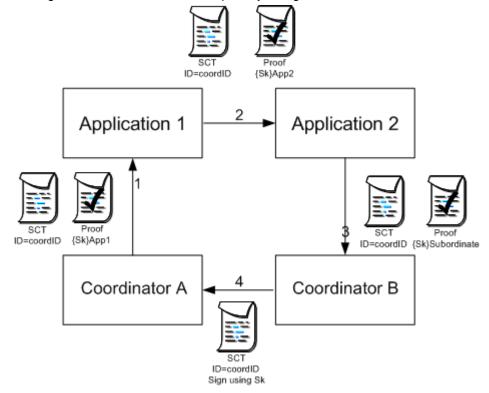
There are a number of different mechanisms which can be used to affect the previously identified goals. However, this specification RECOMMENDS a simple mechanism, which is described here, for use in interoperability scenarios.

## 5.1 CoordinationContext Creation

- When a coordination context is created (step 1 above) the message is secured using the mechanisms described in WS-Security. If the required claims are proven, as described by WS-Policy [WSPOLICY], then the coordination context is created.
- A set of claims, bound to the identity of the coordination context's creator, and maintained by the coordinator, are associated with the creation of the coordination context. The creator of the context MUST obtain these claims from the coordinator. Before responding with the claims, the coordinator requires proof of the requestor's identity.
  - Additionally, the coordinator provides a shared secret which is used to indicate authorization to register with the coordination context by other parties. The secret is communicated using a security token and a <wst:RequestSecurityTokenResponse> element inside a <wst:IssuedTokens> header. The security token and hence the secret is scoped to a particular coordination context using the textual value of a <wscoor:Identifier> element in a <wsp:AppliesTo> element in the
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## 5.2 Registration Rights Delegation

- Secret delegation is performed by propagation of the security token that was created by the root
- Coordinator. This involves using the <code><wst:IssuedTokens></code> header containing a
- 550 <wst:RequestSecurityTokenResponse> element. The entire header SHOULD be encrypted for the new participant.
  - The participants can then use the shared secret using WS-Security by providing a signature based on the key/secret to authenticate and authorize the right to register with the activity that created the coordination context.
  - The figure below illustrates this simple key delegation model:



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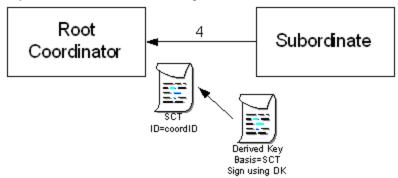
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As illustrated in the figure above, the coordinator A, root in this case, (or its delegate) creates a security context token (cordID) representing the right to register and returns (using the mechanisms defined in WS-Trust [WSTrust]) that token to Application 1 (or its delegate) (defined in WS-SecureConversation [WSSecConv]) and a session key (Sk) encrypted for Application 1 inside of a proof token. This key allows Application 1 (or its delegate) to prove it is authorized to use the SCT. Application 1 (or its delegate) decrypts the session key (Sk) and encrypts it for Application 2 its delegate. Application 2 (or its delegate) performs the same act encrypting the key for the subordinate. Finally, coordinator B, subordinate in this case, proves its right to the SCT by including a signature using Sk.

It is RECOMMENDED by this specification that the key/secret never actually be used to secure a message. Instead, keys derived from this secret SHOULD be used to secure a message, as described in WS-SecureConversation [WSSecConv]. This technique is used to maximize the strength of the key/secret as illustrated in the figure below:



# 6 Security Considerations

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- 572 It is strongly RECOMMENDED that the communication between services be secured using the
- 573 mechanisms described in WS-Security [WSSec]. In order to properly secure messages, the body and all
- relevant headers need to be included in the signature. Specifically, the <wscoor:CoordinationContext>
- 575 header needs to be signed with the body and other key message headers in order to "bind" the two
- 576 together. This will ensure that the coordination context is not tampered. In addition the reference
- 577 parameters within an Endpoint Reference may be encrypted to ensure their privacy.
- 578 In the event that a participant communicates frequently with a coordinator, it is RECOMMENDED that a
- 579 security context be established using the mechanisms described in WS-Trust [WSTrust] and WS-
- 580 SecureConversation [WSSecConv] allowing for potentially more efficient means of authentication.
- It is common for communication with coordinators to exchange multiple messages. As a result, the usage profile is such that it is susceptible to key attacks. For this reason it is strongly RECOMMENDED that the keys used to secure the channel be changed frequently. This "re-keying" can be effected a number of
- ways. The following list outlines four common techniques:
  - Attaching a nonce to each message and using it in a derived key function with the shared secret
  - Using a derived key sequence and switch "generations"
  - · Closing and re-establishing a security context
  - Exchanging new secrets between the parties

It should be noted that the mechanisms listed above are independent of the Security Context Token (SCT) and secret returned when the coordination context is created. That is, the keys used to secure the channel may be independent of the key used to prove the right to register with the coordination context.

- The security context MAY be re-established using the mechanisms described in WS-Trust [WSTrust] and WS-SecureConversation [WSSecConv]. Similarly, secrets MAY be exchanged using the mechanisms described in WS-Trust [WSTrust]. Note, however, that the current shared secret SHOULD NOT be used to encrypt the new shared secret. Derived keys, the preferred solution from this list, MAY be specified using the mechanisms described in WS-SecureConversation [WSSecConv].
- The following list summarizes common classes of attacks that apply to this protocol and identifies the mechanism to prevent/mitigate the attacks:
  - Message alteration Alteration is prevented by including signatures of the message information using WS-Security [WSSec].
  - Message disclosure Confidentiality is preserved by encrypting sensitive data using WS-Security [WSSec].
  - Key integrity Key integrity is maintained by using the strongest algorithms possible (by comparing secured policies – see WS-Policy [WSPOLICY] and WS-SecurityPolicy [WSSecPolicy]).
  - Authentication Authentication is established using the mechanisms described in WS-Security [WSSec] and WS-Trust [WSTrust]. Each message is authenticated using the mechanisms described in WS-Security [WSSec].
  - **Accountability** Accountability is a function of the type of and string of the key and algorithms being used. In many cases, a strong symmetric key provides sufficient accountability. However, in some environments, strong PKI signatures are required.
  - Availability Many services are subject to a variety of availability attacks. Replay is a common attack and it is RECOMMENDED that this be addressed as described in the next bullet. Other attacks, such as network-level denial of service attacks are harder to avoid and are outside the

- scope of this specification. That said, care should be taken to ensure that minimal processing be performed prior to any authenticating sequences.
- **Replay** Messages may be replayed for a variety of reasons. To detect and eliminate this attack, mechanisms should be used to identify replayed messages such as the timestamp/nonce outlined in WS-Security **[WSSec]**. Alternatively, and optionally, other technologies, such as sequencing, can also be used to prevent replay of application messages.

# 7 Use of WS-Addressing Headers

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- The protocols defined in WS-Coordination use a "request-response" message exchange pattern. The messages used in these protocols can be classified into two types:
  - Request messages: CreateCoordinationContext and Register.
  - Reply messages: CreateCoordinationContextResponse and RegisterResponse and the protocol faults defined in Section 4 of this specification.
- Request messages used in WS-Coordination protocols MUST be constructed in accordance with section 3.3 of WS-Addressing 1.0 Core **[WSADDR]**.
- Reply and fault messages used in WS-Coordination protocols MUST be constructed in accordance with section 3.4 of WS-Addressing 1.0 Core [WSADDR].
- Request and reply messages MUST include as the [action] property an action URI that consists of the wscoor namespace URI concatenated with the "/" character and the element name of the message. For example:

http://docs.oasis-open.org/ws-tx/wscoor/2006/06/Register

# 8 Glossary

- The following definitions are used throughout this specification:
- 637 Activation service: This supports a CreateCoordinationContext operation that is used by participants to
- 638 create a CoordinationContext.
- 639 CoordinationContext: Contains the activity identifier, its coordination type that represents the collection
- of behaviors supported by the activity and a Registration service Endpoint Reference that participants can
- use to register for one or more of the protocols supported by that activity's coordination type.
- 642 Coordination protocol: The definition of the coordination behavior and the messages exchanged
- between the coordinator and a participant playing a specific role within a coordination type. WSDL
- definitions are provided, along with sequencing rules for the messages. The definition of coordination
- protocols are provided in additional specification (e.g., WS-AtomicTransaction).
- 646 Coordination type: A defined set of coordination behaviors, including how the service accepts context
- 647 creations and coordination protocol registrations, and drives the coordination protocols associated with
- 648 the activity.

- 649 Coordination service (or Coordinator): This service consists of an activation service, a registration
- service, and a set of coordination protocol services.
- Participant: A service that is carrying out a computation within the activity. A participant receives the
- 652 CoordinationContext and can use it to register for coordination protocols.
- Registration service: This supports a Register operation that is used by participants to register for any of
- the coordination protocols supported by a coordination type, such as WS-AtomicTransaction [WSAT]
- 655 Two-Phase Commit (2PC) or WS-BusinessActivity [WSBA]
- 656 BusinessAgreementWithCoordinatorCompletion.
- 657 **Web service:** A Web service is a computational service, accessible via messages of definite,
- 658 programming-language-neutral and platform-neutral format, and which has no special presumption that
- the results of the computation are used primarily for display by a user-agent.

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