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# Web Services Business Activity (WS-BusinessActivity) Version 1.1

# **Committee Specification**

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#### **Abstract:**

The WS-BusinessActivity specification provides the definition of two Business Activity coordination types: AtomicOutcome or MixedOutcome, that are to be used with the extensible coordination framework described in the WS-Coordination specification. This specification also defines two specific Business Activity agreement coordination protocols for the Business Activity coordination types: BusinessAgreementWithParticipantCompletion, and BusinessAgreementWithCoordinatorCompletion. Developers can use these protocols when

building applications that require consistent agreement on the outcome of long-running distributed activities.

#### Status:

This document was last revised or approved by the WS-TX TC on the above date. The level of approval is also listed above. Check the "Latest Approved Version" location noted above for possible later revisions of this document.

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# 1 1 Introduction

The current set of Web service specifications [WSDL] [SOAP 1.1] [SOAP 1.2] define protocols for Web
 service interoperability. Web services increasingly tie together a number of participants forming large
 distributed applications. The resulting activities may have complex structure and relationships.

- 5 The WS-Coordination **[WSCOOR]** specification defines an extensible framework for defining coordination 6 types.
- 7 This specification provides the definition of two Business Activity coordination types used to coordinate 8 activities that apply business logic to handle exceptions that occur during the execution of activities of a 9 business process. Actions are applied immediately and are permanent. Compensating actions may be
- invoked in the event of an error. WS-BusinessActivity defines protocols that enable existing business process and work flow systems to wrap their proprietary mechanisms and interoperate across trust
- 12 boundaries and different vendor implementations.
- 13 To understand the protocols described in this specification, the following assumptions are made:
- The reader is familiar with the WS-Coordination [WSCOOR] specification which defines the framework for the Business Activity coordination protocols.
- The reader is familiar with WS-Addressing [WSADDR] and WS-Policy [WSPOLICY].
- 17 Business activities have the following characteristics:
- A business activity may consume many resources over a long duration.
- There may be a significant number of atomic transactions involved.
- Individual tasks within a business activity can be seen prior to the completion of the business activity, their results may have an impact outside of the computer system.
- Responding to a request may take a very long time. Human approval, assembly, manufacturing, or delivery may have to take place before a response can be sent.
- In the case where a business exception requires an activity to be logically undone, abort is
   typically not sufficient. Exception handling mechanisms may require business logic, for example
   in the form of a compensation task, to reverse the effects of a previously completed task.
- Participants in a business activity may be in different domains of trust where all trust relationships are established explicitly.
- 29 The Business Activity protocols defined in this specification have the following design points:
  - All state transitions are reliably recorded, including application state and coordination metadata.
- All non-terminal notifications are acknowledged in the protocol to ensure a consistent view of
   state between the coordinator and participant. A coordinator or participant may solicit the status
   of its partner or retry sending notifications in order to achieve this.
- Each notification is defined as an individual message. Transport level request/response retry and
   time out are not sufficient mechanisms to achieve end-to-end agreement coordination for long running activities.

### 37 **1.1 Model**

- 38 Business Activity coordination protocols provide the following flexibility:
- A business application may be partitioned into business activity scopes. A business activity scope is a business task consisting of a general-purpose computation carried out as a bounded set of operations on a collection of Web services that require a mutually agreed outcome. There may be any number of hierarchical nesting levels. Nested scopes:

43 Allow a business application to select which child tasks are included in the overall outcome 44 processing. For example, a business application might solicit an estimate from a number of 45 suppliers and choose a quote or bid based on lowest-cost. 46 Allow a business application to catch an exception thrown by a child task, apply an exception 47 handler, and continue processing even if something goes wrong. When a child completes its work, it may be associated with a compensation that is registered with the parent activity. 48 49 A participant task within a business activity may specify that it is leaving a business activity. This • provides the ability to exit a business activity and allows business programs to delegate 50 processing to other scopes. The participant list is dynamic and a participant may exit the protocol 51 at any time without waiting for the outcome of the protocol. 52 53 The Business Activity coordination protocols allow a participant task within a business activity to • specify its outcome directly without waiting for solicitation. Such a feature is generally useful when 54 A task fails so that the notification can be used by a business activity exception handler to modify 55 • the goals and drive processing in a timely manner. 56 57 The Business Activity coordination protocols allow participants in a coordinated business activity • 58 to perform "tentative" operations as a normal part of the activity. The result of such "tentative" operations may become visible before the activity is complete and may require business logic to 59 run in the event that the operation needs to be compensated. Such a feature is critical when the 60 61 joint work of a business activity requires many operations performed by independent services 62 over a long period of time.

## 63 **1.2 Composable Architecture**

By using the XML [XML],SOAP [SOAP 1.1] [SOAP 1.2] and WSDL [WSDL] extensibility model, SOAPbased and WSDL-based specifications are designed to work together to define a rich Web services
environment. As such, WS-BusinessActivity by itself does not define all features required for a complete
solution. WS-BusinessActivity is a building block used with other specifications of Web services (e.g.,
WS-Coordination [WSCOOR], WS-Security [WSSec]) and application-specific protocols that are able to
accommodate a wide variety of coordination protocols related to the coordination actions of distributed
applications.

### 71 1.3 Terminology

77

78

72 The uppercase key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD",

"SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as
 described in RFC2119 [RFC2119].

- 75 This specification uses an informal syntax to describe the XML grammar of the XML fragments below:
- The syntax appears as an XML instance, but the values indicate the data types instead of values.
  - Element names ending in "..." (such as <element.../> or <element...>) indicate that elements/attributes irrelevant to the context are being omitted.
- Attributed names ending in "..." (such as name=...) indicate that the values are specified below.
- Grammar in bold has not been introduced earlier in the document, or is of particular interest in an example.
- <!-- description --> is a placeholder for elements from some "other" namespace (like ##other in XSD).
- Characters are appended to elements, attributes, and <!-- descriptions --> as follows: "?" (0 or 1),
  "\*" (0 or more), "+" (1 or more). The characters "[" and "]" are used to indicate that contained
  items are to be treated as a group with respect to the "?", "\*", or "+" characters.
- The XML namespace prefixes (defined below) are used to indicate the namespace of the element
   being defined.

Examples starting with <?xml contain enough information to conform to this specification; others</li>
 examples are fragments and require additional information to be specified in order to conform.

### 91 **1.4 Namespace**

93

92 The XML namespace **[XML-ns]** URI that MUST be used by implementations of this specification is:

http://docs.oasis-open.org/ws-tx/wsba/2006/06

### 94 1.4.1 Prefix Namespace

95 The following namespaces are used in this document:

Prefix	Namespace		
wscoor http://docs.oasis-open.org/ws-tx/wscoor/2006/06			
wsba	http://docs.oasis-open.org/ws-tx/wsba/2006/06		

### 96 1.5 XSD and WSDL Files

97 Dereferencing the XML namespace defined in section 1.4 will produce the Resource Directory

98 Description Language (RDDL) **[RDDL]** document that describes this namespace, including the XML 99 schema **[XML-Schema1] [XML-Schema2]** and WSDL **[WSDL]** declarations associated with this

100 specification.

101 SOAP bindings for the WSDL **[WSDL]**, referenced in the RDDL **[RDDL]** document, MUST use 102 "document" for the *style* attribute.

### 103 **1.6 Protocol Elements**

104 The protocol elements define various extensibility points that allow other child or attribute content. 105 Additional children and/or attributes MAY be added at the indicated extension points but MUST NOT 106 contradict the semantics of the parent and/or owner, respectively. If a receiver does not recognize an 107 extension, the receiver SHOULD ignore the extension.

### 108 **1.7 Normative References**

109 Jonathan Borden, Tim Bray, eds. "Resource Directory Description Language [RDDL] 110 (RDDL) 2.0", http://www.openhealth.org/RDDL/20040118/rddl-20040118.html, 111 January 2004. 112 S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels", [RFC2119] 113 http://www.ietf.org/rfc/rfc2119.txt, IETF RFC 2119, March 1997. 114 [SOAP 1.1] W3C Note, "SOAP: Simple Object Access Protocol 1.1," http://www.w3.org/TR/2000/NOTE-SOAP-20000508/, 08 May 2000. 115 116 [SOAP 1.2] W3C Recommendation, "SOAP Version 1.2 Part 1: Messaging Framework", http://www.w3.org/TR/soap12-part1/, June 2003. 117 W3C Recommendation, "Extensible Markup Language (XML) 1.0 (Fourth 118 [XML] Edition),"http://www.w3.org/TR/2006/REC-xml-20060816, 16 August 2006. 119 120 [XML-ns] W3C Recommendation, "Namespaces in XML 1.0 (Second Edition)," 121 http://www.w3.org/TR/2006/REC-xml-names-20060816, 16 August 2006. W3C Recommendation, "XML Schema Part 1: Structures Second Edition," 122 [XML-Schema1] http://www.w3.org/TR/2004/REC-xmlschema-1-20041028, 28 October 2004. 123 W3C Recommendation, "XML Schema Part 2: Datatypes Second Edition," 124 [XML-Schema2] http://www.w3.org/TR/2004/REC-xmlschema-2-20041028, 28 October 2004. 125 126 [WSCOOR] Web Services Coordination (WS-Coordination), "http:/docs.oasis-open.org/ws-127 tx/wscoor/2006/06"

128 129	[WSDL]	Web Services Description Language (WSDL) 1.1 "http://www.w3.org/TR/2001/NOTE-wsdl-20010315"
130 131	[WSADDR]	Web Services Addressing (WS-Addressing) 1.0, W3C Recommendation, http://www.w3.org/2005/08/addressing
132 133 134	[WSPOLICY]	Web Services Policy Framework (WS-Policy), http://schemas.xmlsoap.org/ws/2004/09/policy/, VeriSign, Microsoft, Sonic Software, IBM, BEA Systems, SAP, September 2004
135 136 137	[WSPOLICYATTA	
138 139 140	[WSSec]	OASIS Standard 200401, March 2004, "Web Services Security: SOAP Message Security 1.0 (WS-Security 2004), "http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf
141 142 143	[WSSecPolicy]	Web Services Security Policy Language (WS-SecurityPolicy), http://schemas.xmlsoap.org/ws/2005/07/securitypolicy/, Microsoft, VeriSign, IBM, RSA Security, December 2002
144 145 146 147	[WSSecConv]	Web Services Secure Conversation Language (WS-SecureConversation), http://schemas.xmlsoap.org/ws/2005/02/sc/, OpenNetwork, Layer7, Netegrity, Microsoft, Reactivity, IBM, VeriSign, BEA Systems, Oblix, RSA Security, Ping Identity, Westbridge, Computer Associates, February 2005
148 149 150 151 152	[WSTrust]	Web Services Trust Language (WS-Trust), http://schemas.xmlsoap.org/ws/2005/02/trust/, OpenNetwork, Layer7, Netegrity, Microsoft, Reactivity, VeriSign, IBM, BEA Systems, Oblix, RSA Security, Ping Identity, Westbridge, Computer Associates, February 2005

# **2 Business Activity Context**

- 154 This section describes the Business Activity usage of WS-Coordination protocols.
- 155 WS-BusinessActivity builds on WS-Coordination **[WSCOOR]**, which defines an Activation service, a
- 156 Registration service, and a CoordinationContext type. Example message flows and a complete
- 157 description of creating and registering for coordinated activities is found in WS-Coordination [WSCOOR].
- 158 The Business Activity coordination context is a CoordinationContext type with a coordination type defined
- 159 in this specification. Business Activity application messages that propagate a coordination context MUST
- 160 use a Business Activity coordination context. If these application messages use a SOAP binding, the
- 161 Business Activity coordination context MUST flow as a SOAP header in the message.
- WS-BusinessActivity adds the following semantics to the CreateCoordinationContext operation on theActivation service:
- If the request includes the CurrentContext element, the target coordinator is interposed as a subordinate to the coordinator stipulated inside the CurrentContext element.
- If the request does not include a CurrentContext element, the target coordinator creates a new activity and acts as the root.
- 168 A coordination context MAY have an Expires element. This element specifies the period, measured from
- 169 the point in time at which the context was first created or received, after which a business activity MAY be
- terminated solely due to its length of operation. From that point forward, the coordinator MAY elect to
- unilaterally cancel or compensate the activity, as appropriate, so long as it has not made a close decision.
- 172 Similarly, a participant MAY elect to exit the activity so long as it has not already decided to complete.
- 173 A coordination context MAY have additional elements for extensibility.

# **3 Coordination Types and Protocols**

Business Activities support two coordination types and two protocol types. Either protocol type MAY beused with either coordination type.

177 One of the following two URIs MUST be used to specify a Business Activity CoordinationContext type:

```
178http://docs.oasis-open.org/ws-tx/wsba/2006/06/AtomicOutcome179http://docs.oasis-open.org/ws-tx/wsba/2006/06/MixedOutcome
```

A coordinator for an AtomicOutcome coordination type MUST direct all participants either to close or to
 compensate. A coordinator for a MixedOutcome coordination type MUST direct all participants to an
 outcome but MAY direct each individual participant to close or compensate. All Business Activity
 coordinators MUST implement the AtomicOutcome coordination type. A Business Activity coordinator
 MAY implement the MixedOutcome coordination type.

- The Coordination protocols for business activities are summarized below with names relative to the wsbabase name:
- BusinessAgreementWithParticipantCompletion: A participant registers for this protocol with its coordinator, so that its coordinator can manage it. A participant knows when it has completed all work for a business activity.
- BusinessAgreementWithCoordinatorCompletion: A participant registers for this protocol with its coordinator, so that its coordinator can manage it. A participant relies on its coordinator to tell it when it has received all requests to perform work within the business activity.

### 193 **3.1 Preconditions**

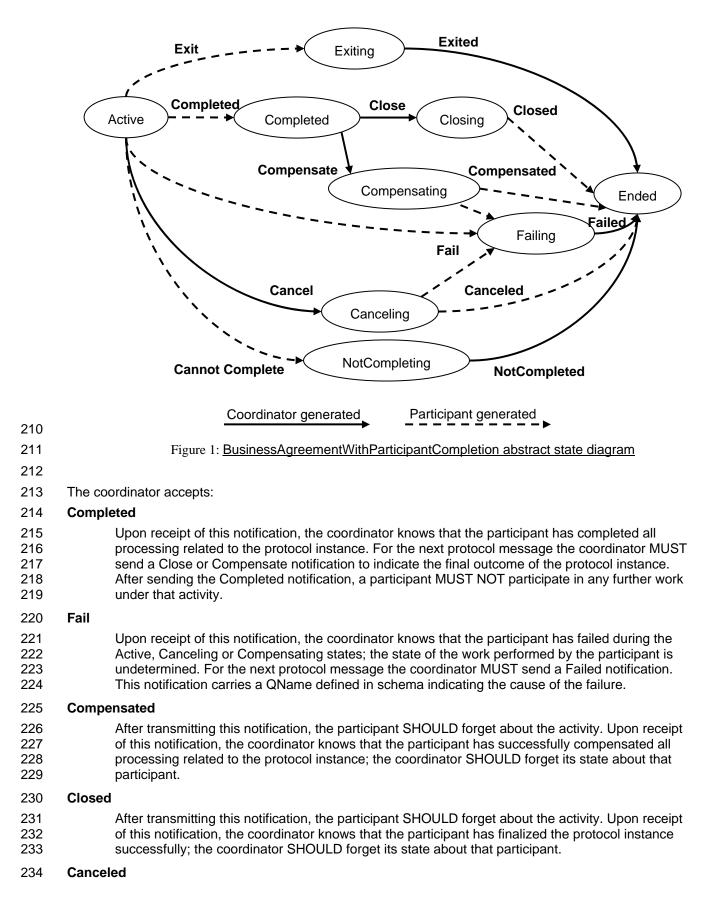
194 The correct operation of the protocols requires that a number of preconditions must be established prior 195 to the processing:

- The source SHOULD have knowledge of the destination's policies, if any, and the source
   SHOULD be capable of formulating messages that adhere to this policy.
- If a secure exchange of messages is required, then the source and destination MUST have
   appropriate security credentials (such as transport-level security credentials or security tokens) in
   order to protect messages.

# **3.2 BusinessAgreementWithParticipantCompletion Protocol**

The state diagram in Figure 1 illustrates the abstract behavior of the protocol between a coordinator and a participant. The states in the Figure 1 reflect the view an individual participant or coordinator has of its state in the protocol at a given point in time. As messages take time to be delivered, the views of the coordinator and a participant may temporarily differ. Omitted are details such as resending of messages or the exchange of error messages due to protocol error. Refer to Appendix B: State Tables for the Agreement Protocols for a detailed description of this protocol.

- 208 Participants that register for this protocol MUST use the following protocol identifier:
- 209 http://docs.oasis-open.org/ws-tx/wsba/2006/06/ParticipantCompletion



After transmitting this notification, the participant SHOULD forget about the activity. Upon receipt of this notification, the coordinator knows that the participant has successfully canceled all processing related to the protocol instance; the coordinator SHOULD forget its state about that participant.

#### 239 **Exit**

Upon receipt of this notification, the coordinator knows that the participant will no longer
participate in the business activity, and any pending work was discarded by the participant and
any work performed by the participant related to the protocol instance was successfully canceled.
For the next protocol message the coordinator MUST send an Exited notification. The Exit
message MAY be sent by a participant only from the Active or Completing states.

#### 245 CannotComplete

246Upon receipt of this notification, the coordinator knows that the participant has determined that it247cannot successfully complete all processing related to the protocol instance. Any pending work248was discarded by the participant and any work performed by the participant related to the protocol249instance was successfully canceled. For the next protocol message the coordinator MUST send a250NotCompleted notification. After sending the CannotComplete notification, a participant MUST251NOT participate in any further work under that activity. The CannotComplete message MAY be252sent by a participant only from the Active state.

253 The participant accepts:

#### 254 Close

255 Upon receipt of this notification, the participant knows the protocol instance is to be ended 256 successfully. For the next protocol message the participant MUST send a Closed notification to 257 end the protocol instance.

#### 258 Cancel

Upon receipt of this notification, the participant knows that the work being done has to be canceled. For the next protocol message, the participant MUST send either a Canceled or Fail message. A Canceled message SHOULD be sent by the participant if the work is successfully canceled; this also ends the protocol instance. A Fail message SHOULD be sent by the participant if the work was not successfully canceled.

#### 264 Compensate

Upon receipt of this notification, the participant knows that the work being done should be
compensated. For the next protocol message the participant MUST send a Compensated or Fail
notification. A Compensated message SHOULD be sent by the participant if the work is
successfully compensated; this also ends the protocol instance. A Fail message SHOULD be
sent by the participant if the work was not successfully compensated.

#### 270 Failed

After transmitting this notification, the coordinator SHOULD forget about the participant. Upon receipt of this notification, the participant knows that the coordinator is aware of a failure and no further actions are required of the participant; the participant SHOULD forget the activity.

#### 274 Exited

After transmitting this notification, the coordinator SHOULD forget about the participant. Upon receipt of this notification, the participant knows that the coordinator is aware the participant will no longer participate in the activity; the participant SHOULD forget the activity.

#### 278 NotCompleted

- After transmitting this notification, the coordinator SHOULD forget about the participant. Upon receipt of this notification, the participant knows that the coordinator is aware that the participant cannot complete all processing related to the protocol instance and that the participant will no longer participate in the activity; the participant SHOULD forget the activity.
- 283

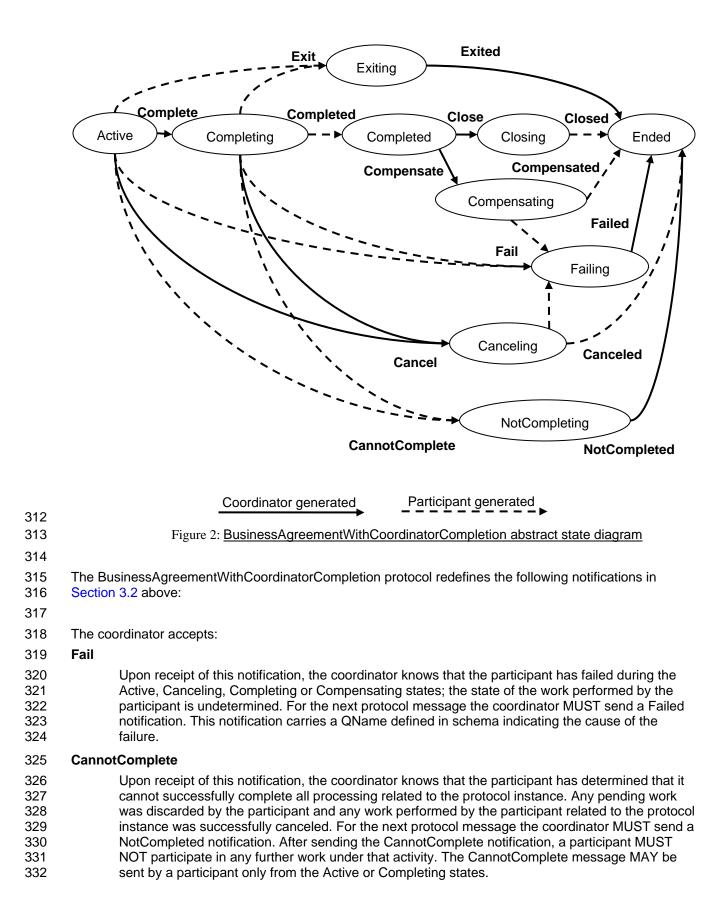
284 Both the coordinator and participant accept:

#### 285 GetStatus

- This message requests the current state of a coordinator or participant. In response the
   coordinator or participant returns a Status message containing a QName indicating which column
   of the state table [Appendix B: State Tables for the Agreement Protocols] the coordinator or
   participant is currently in. GetStatus never provokes a state change.
- 290 For example, a coordinator that is waiting for a participant to initiate the
- 291BusinessAgreementWithParticipantCompletion may use this message to confirm that the292participant is in one of the expected states: wsba:Active or wsba:Completed. If the participant has
- 293 forgotten the activity the Status response MUST be wsba:Ended.
- 294 Status
- This message is received in response to a GetStatus request. The message includes a QName indicating the state of the coordinator or participant to which the request was sent. For example, if a participant is in the closing state as indicated by the state table, it would return wsba:Closing.
- 298
- The coordinator may enter a condition in which it has sent a protocol message and it receives a protocol message from the participant that is consistent with the former state, not the current state. In this case, the coordinator MUST revert to the prior state, accept the notification from the participant, and continue the protocol from that point. If the participant detects this condition, it MUST discard the inconsistent protocol message from the coordinator.
- A party MUST be prepared to receive duplicate notifications. If a duplicate message is received it MUST be treated as specified in the state tables [Appendix B: State Tables for the Agreement Protocols].

### 306 **3.3 BusinessAgreementWithCoordinatorCompletion Protocol**

- 307 The BusinessAgreementWithCoordinatorCompletion protocol is the same as the
- 308 BusinessAgreementWithParticipantCompletion protocol, except that a participant relies on its coordinator 309 to tell it when it has received all requests to do work within the business activity.
- 310 Participants that register for this protocol MUST use the following protocol identifier:
- 311 http://docs.oasis-open.org/ws-tx/wsba/2006/06/CoordinatorCompletion



- In addition to the notifications in Section 3.2 above, the BusinessAgreementWithCoordinatorCompletion
   protocol adds the following notification:
- 335
- 336 The participant accepts:
- 337 Complete
- 338 Upon receipt of this notification the participant knows that it will receive no new requests for work 339 within the business activity. The participant completes application processing and if successful 340 MUST transmit a Completed notification. If unsuccessful the participant MUST transmit an Exit,
- 341 Fail, or CannotComplete notification.

# 342 4 Policy Assertions

WS-Policy Framework [WSPOLICY] and WS-Policy Attachment [WSPOLICYATTACH] collectively
 define a framework, model and grammar for expressing the capabilities, requirements, and general
 characteristics of entities in an XML Web services-based system. To enable a Web service to describe
 Business Activity related capabilities and requirements of a service and its operations, this specification
 defines a pair of Business Agreement policy assertions that leverage the WS-Policy framework
 [WSPOLICY].

### 349 4.1 Assertion Models

The Business Activity policy assertions are provided by a Web service to qualify the Business Activity related processing of messages associated with the particular operation to which the assertions are scoped. The Business Activity policy assertions indicate:

• Whether the sender of an input message MAY or MUST include an AtomicOutcome coordination context flowed with the message. The coordination type of such a context MUST be the following:

355 http://docs.oasis-open.org/ws-tx/wsba/2006/06/AtomicOutcome

• Whether the sender of an input message MAY or MUST include a MixedOutcome coordination 357 context flowed with the message. The coordination type of such a context MUST be the following:

358 http://docs.oasis-open.org/ws-tx/wsba/2006/06/MixedOutcome

### 359 **4.2 Normative Outlines**

- 360 The normative outlines for the Business Activity policy assertions are:
- 361 <wsba:BAAtomicOutcomeAssertion [wsp:Optional="true"]? ... > 362 ...
- 363 </wsba:BAAtomicOutcomeAssertion>
- 364 The following describes additional, normative constraints on the outline listed above:

#### 365 /wsba:BAAtomicOutcomeAssertion

366A policy assertion that specifies that the sender of an input message MUST include a367coordination context for a Business Activity with AtomicOutcome coordination type flowed with368the message. From the perspective of the requester, the target service that processes the activity369MUST behave as if it had participated in the activity. For application messages that use a SOAP370binding, the Business Activity coordination context MUST flow as a SOAP header in the371message.

#### 372 /wsba: BAAtomicOutcomeAssertion/@wsp:Optional="true"

- Per WS-Policy [WSPOLICY], this is compact notation for two policy alternatives, one with and
   one without the assertion.
- 375 <wsba:BAMixedOutcomeAssertion [wsp:Optional="true"]? ... >
  376 ...
  377 </wsba:BAMixedOutcomeAssertion>
- 378 The following describes additional, normative constraints on the outline listed above:

#### 379 /wsba:BAMixedOutcomeAssertion

A policy assertion that specifies that the sender of an input message MUST include a
 coordination context for a Business Activity with MixedOutcome coordination type flowed with the
 message. From the perspective of the requester, the target service that processes the activity
 MUST behave as if it had participated in the activity. For application messages that use a SOAP

binding, the Business Activity coordination context MUST flow as a SOAP header in the message.

#### 386 /wsba: BAMixedOutcomeAssertion/@wsp:Optional="true"

387 Per WS-Policy [WSPOLICY], this is compact notation for two policy alternatives, one with and
 388 one without the assertion.

### 389 **4.3 Assertion Attachment**

- Because the Business Activity policy assertions indicate Business Activity related behavior for a single
   operation, the assertions have an Operation Policy Subject [WSPOLICYATTACH].
- WS-PolicyAttachment [WSPOLICYATTACH] defines two WSDL [WSDL] policy attachment points with
   an Operation Policy Subject:
- wsdl:portType/wsdl:operation A policy expression containing a Business Activity policy
   assertion MUST NOT be attached to a wsdl:portType; the Business Activity policy assertions
   specify a concrete behavior whereas the wsdl:portType is an abstract construct.
- wsdl:binding/wsdl:operation A policy expression containing a Business Activity policy assertion
   SHOULD be attached to a wsdl:binding.

### 399 **4.4 Assertion Example**

400 An example use of the Business Activity policy assertion follows:

- 401 (01) <wsdl:definitions 402 (02)targetNamespace="hotel.example.com" 403 (03)xmlns:tns="hotel.example.com" 404 (04)xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/" 405 (05)xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy" 406 (06) xmlns:wsba="http://docs.oasis-open.org/ws-tx/wsba/2006/06" 407 (07)xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-408 wssecurity-utility-1.0.xsd" > 409 <wsp:Policy wsu:Id="BAAtomicPolicy" > (08)410 (09)<wsba:BAAtomicOutcomeAssertion/> 411 (10)<!-- omitted assertions --> 412 (11)</wsp:Policy> 413 (12)<!-- omitted elements --> 414 (13)<wsdl:binding name="HotelBinding" type="tns:HotelPortType" > (14)415 <!-- omitted elements --> 416 (15)<wsdl:operation name="ReserveRoom" > 417 <wsp:PolicyReference URI="#BAAtomicPolicy" wsdl:required="true"/> (16)418 (17)<!-- omitted elements --> 419 (18)</wsdl:operation> 420 (19)</wsdl:binding> 421 (20)</wsdl:definitions>
- 422

- 423 Lines (8-11) are a policy expression that includes a Business Activity policy assertion (Line 9) to indicate
- 424 that a coordination context for a Business Activity with an AtomicOutcome, expressed in WS-Coordination 425 [WS-COOR] format, MUST be used.
- 426 Lines (13-19) are a WSDL [WSDL] binding. Line (16) indicates that the policy in Lines (8-11) applies to
- 427 this binding, specifically indicating that a coordination context for a Business Activity with an
- 428 AtomicOutcome MUST flow inside "ReserveRoom" messages.

# 429 **5 Security Considerations**

It is strongly RECOMMENDED that the communication between services be secured using the
mechanisms described in WS-Security [WSSec]. In order to properly secure messages, the body and all
relevant headers need to be included in the signature. Specifically, the <wscoor:CoordinationContext>
header needs to be signed with the body and other key message headers in order to "bind" the two
together.

In the event that a participant communicates frequently with a coordinator, it is RECOMMENDED that a security context be established using the mechanisms described in WS-Trust **[WSTrust]** and WS-

437 SecureConversation [WSSecConv] allowing for potentially more efficient means of authentication.

438 It is common for communication with coordinators to exchange multiple messages. As a result, the usage 439 profile is such that it is susceptible to key attacks. For this reason it is strongly RECOMMENDED that the 440 keys be changed frequently. This "re-keying" can be effected a number of ways. The following list outlines 441 four common techniques:

- Attaching a nonce to each message and using it in a derived key function with the shared secret
- Using a derived key sequence and switch "generations"
- Closing and re-establishing a security context (not possible for delegated keys)
- Exchanging new secrets between the parties (not possible for delegated keys)

446 It should be noted that the mechanisms listed above are independent of the Security Context Token
447 (SCT) and secret returned when the coordination context is created. That is, the keys used to secure the
448 channel may be independent of the key used to prove the right to register with the activity.

The security context MAY be re-established using the mechanisms described in WS-Trust **[WSTrust]** and WS-SecureConversation **[WSSecConv]**. Similarly, secrets MAY be exchanged using the mechanisms described in WS-Trust **[WSTrust]**. Note, however, that the current shared secret SHOULD NOT be used to encrypt the new shared secret. Derived keys, the preferred solution from this list, MAY be specified using the mechanisms described in WS-SecureConversation **[WSSecConv]**.

- The following list summarizes common classes of attacks that apply to this protocol and identifies the mechanism to prevent/mitigate the attacks:
- Message alteration Alteration is prevented by including signatures of the message information using WS-Security [WSSec].
- 458
   Message disclosure Confidentiality is preserved by encrypting sensitive data using WS 459 Security [WSSec].
- 460 Key integrity Key integrity is maintained by using the strongest algorithms possible (by comparing secured policies see WS-Policy [WSPOLICY] and WS-SecurityPolicy
   462 [WSSecPolicy]).
- 463 Authentication Authentication is established using the mechanisms described in WS-Security
   464 [WSSec] and WS-Trust [WSTrust]. Each message is authenticated using the mechanisms
   465 described in WS-Security [WSSec].
- Accountability Accountability is a function of the type of and string of the key and algorithms
   being used. In many cases, a strong symmetric key provides sufficient accountability. However, in
   some environments, strong PKI signatures are required.
- 469
   Availability Many services are subject to a variety of availability attacks. Replay is a common attack and it is RECOMMENDED that this be addressed as described in the next bullet. Other attacks, such as network-level denial of service attacks are harder to avoid and are outside the scope of this specification. That said, care should be taken to ensure that minimal processing be performed prior to any authenticating sequences.
- **Replay** Messages may be replayed for a variety of reasons. To detect and eliminate this attack,
   mechanisms should be used to identify replayed messages such as the timestamp/nonce

476outlined in WS-Security [WSSec]. Alternatively, and optionally, other technologies, such as477sequencing, can also be used to prevent replay of application messages.

# **6 Use of WS-Addressing Headers**

The protocols defined in WS-BusinessActivity use a "one way" message exchange pattern consisting of a sequence of notification messages between a coordinator and a participant. There are two types of notification messages used in these protocols:

- A notification message is a terminal message when it indicates the end of a
   coordinator/participant relationship. Closed, Compensated, Canceled, Exited, NotCompleted
   and Failed are terminal messages as are the protocol faults defined in WS-Coordination
   [WSCOOR].
- A notification message is a non-terminal message when it does not indicate the end of a coordinator/participant relationship. Completed, Close, Compensate, Cancel, Exit, CannotComplete and Fail are non-terminal messages.
- The following statements define addressing interoperability requirements for the respective BusinessActivity message types:
- 491 Non-terminal notification messages
- 492 MUST include a [source endpoint] property whose [address] property is not set to
   493 'http://www.w3.org/2005/08/addressing/anonymous' or
   494 'http://www.w3.org/2005/08/addressing/none'
- 495 Both terminal and non-terminal notification messages
- 496
   MUST include a [reply endpoint] property whose [address] property is set to 'http://www.w3.org/2005/08/addressing/none'
- 498 Notification messages used in WS-BusinessActivity protocols MUST include as the [action] property an 499 action URI that consists of the wsba namespace URI concatenated with the "/" character and the element
- 500 name of the message. For example:
- 501 http://docs.oasis-open.org/ws-tx/wsba/2006/06/Complete

502 Notification messages are normally addressed according to section 3.3 of WS-Addressing 1.0 - Core 503 [WSADDR] by both coordinators and participants using the Endpoint References initially obtained during the Register-RegisterResponse exchange. If a [source endpoint] property is present in a notification 504 message, it MAY be used by the recipient. Cases exist where a coordinator or participant has forgotten 505 an activity that is completed and needs to respond to a resent protocol message. In such cases, the 506 [source endpoint] property SHOULD be used as described in section 3.3 of WS-Addressing 1.0 -- Core 507 508 [WSADDR]. Permanent loss of connectivity between a coordinator and a participant in an in-doubt state 509 can result in data corruption.

- 510 Protocol faults raised by a coordinator or participant during the processing of a notification message are
- 511 terminal notifications and MUST be composed using the same mechanisms as other terminal notification 512 messages.
- 513 All messages are delivered using connections initiated by the sender.

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# **B. State Tables for the Agreement Protocols**

554 The following state tables show state transitions that occur in the receiver when a protocol message is 555 received or in the sender when a protocol message is sent.

556 Each cell in the tables uses the following convention:

557

Legend
Action to take
Next state

558

559 Each state supports a number of possible events. Expected events are processed by taking the

560 prescribed action and transitioning of the next state. Unexpected protocol messages MUST result in a

- fault message as defined in the state tables. These faults MUST use a standard fault code defined inWS-Coordination [WS-COOR].
- 563 The following rules need to be applied when reading the state tables in this document:
- For the period of time that a protocol message is in transit the sender and recipient states will be different.
- 566 The sender of a protocol message transitions to the "next state" when the message is first sent.
- 567 The recipient of a protocol message transitions to the "next state" when the message is first 568 received.
- As described earlier in this document, if the coordinator receives a protocol message from the participant that is consistent with the former state of the coordinator then the coordinator reverts to its prior state, accepts the notification from the participant, and continues the protocol from that point.
- 573 The GetStatus and Status protocol messages are not included in the tables as these never result in a 574 change of state.
- 575 These tables present the view of a coordinator or participant with respect to a single partner. A
- 576 coordinator with multiple participants can be understood as a collection of independent coordinator state 577 machines, each with its own state.

# **B.1 Participant view of BusinessAgreementWithParticipantCompletion**

#### 

			BusinessA	greemen	tWithParticipa	ntCompletic	on protocol			
					(Participant Vi	ew)				
					S	tates				
Inbound Events	Active	Canceling	Completed	Closing	Compensating	Failing (Active, Canceling)	Failing (Compensat- ing)	NotCompleting	Exiting	Ended
Cancel		Ignore	Resend Completed	Ignore	Ignore	Resend Fail	Ignore	Resend CannotComplete	Resend Exit	Send Canceled
	Canceling	Canceling	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Close	Invalid State	Invalid State		Ignore	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Send Closed
	Active	Canceling	Closing	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Compensate	Invalid State	Invalid State		Invalid State	Ignore	Invalid State	Resend Fail	Invalid State	Invalid State	Send Compensated
	Active	Canceling	Compensating	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Failed	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Forget	Invalid State	Invalid State	Ignore
	Active	Canceling	Completed	Closing	Compensating	Ended	Ended	NotCompleting	Exiting	Ended
Exited	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Ignore
	Active	Canceling	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Ended	Ended
NotCompleted	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Invalid State	Ignore
	Active	Canceling	Completed	Closing	Compensating	Failing-*	Failing- Compensating	Ended	Exiting	Ended

		Busines	sAgreeme	ntWithPa	rticipantComp	letion protocol			
	-			(Particip	ant View)				
					States				
Outbound Events	Active	Canceling	Completed	Closing	Compensating	Failing (Active, Canceling, Compensating)	NotCompleting	Exiting	Ende
Exit	Exiting	Invalid State Canceling	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	Exiting	Invalio State Endeo
Completed	Completed	Invalid State Canceling	Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Invalio State Endeo
Fail	Failing- Active	Failing- Canceling	Invalid State Completed	Invalid State Closing	Failing- Compensating	Failing-*	Invalid State NotCompleting	Invalid State Exiting	Invalie State Endee
CannotComplete	NotCompleting	<i>Invalid</i> <i>State</i> Canceling	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	NotCompleting	Invalid State Exiting	Invali State Ende
Canceled	Invalid State Active	<i>Forget</i> Ended	Invalid State Completed	Invalid State Closing	<i>Invalid</i> <i>State</i> Compensating	Invalid State Failing-*	<i>Invalid</i> <i>State</i> NotCompleting	<i>Invalid</i> <i>State</i> Exiting	Ende
Closed	Invalid State Active	Invalid State Canceling	Invalid State Completed	<i>Forget</i> Ended	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Ende
Compensated	Invalid State Active	Invalid State Canceling	Invalid State Completed	Invalid State Closing	Forget	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Ende

# 586 **B.2 Coordinator view of BusinessAgreementWithParticipantCompletion**

#### 587

			-		ithParticipan ordinator Vie	•	•			
					Sta	ites				
Inbound Events	Active	Canceling	Completed	Closing	Compensating	Failing (Active, Canceling)	Failing (Compensat- ing)	NotCompleting	Exiting	Ended
Exit			Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore	Resend Exited
	Exiting	Exiting	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Completed			Ignore	Resend Close	Resend Compensate	Invalid State	Ignore	Invalid State	Invalid State	lgnore
	Completed	Completed	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Fail			Invalid State	Invalid State		Ignore	Ignore	Invalid State	Invalid State	Resend Failed
	Failing- Active	Failing- Canceling	Completed	Closing	Failing- Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
CannotComplete			Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore	Invalid State	Resend NotComplete
	NotCompleting	NotCompleting	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompletng	Exiting	Ended
Canceled	Invalid State	Forget	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore
	Active	Ended	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Closed	Invalid State	Invalid State	Invalid State	Forget	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore
	Active	Canceling	Completed	Ended	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Compensated	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Invalid State	Invalid State	Invalid State	Invalid State	Ignore
	Active	Canceling	Completed	Closing	Ended	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended

500
000

589

		Busin	essagreem		ParticipantCo	mpletion prot	0001					
		States										
Outbound Events	Active	Canceling	Completed	Closing	Compensating	Failing (Active, Canceling, Compensating)	NotCompleting	Exiting	Ende			
Cancel	Concelling	Consoling	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invali State			
Close	Canceling Invalid State Active	Canceling Invalid State Canceling	Completed	Closing	Compensating Invalid State Compensating	Failing-* Invalid State Failing-*	NotCompleting Invalid State NotCompleting	Exiting Invalid State Exiting	Ende Inval State Ende			
Compensate	Invalid State Active	Invalid State Canceling	Compensating	Invalid State Closing	Compensating	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Inval State Ende			
Failed	Invalid State Active	Invalid State Canceling	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Forget Ended	<i>Invalid</i> <i>State</i> NotCompleting	Invalid State Exiting	Ende			
Exited	Invalid State Active	Invalid State Canceling	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	<i>Forget</i> Ended	Ende			
NotCompleted	Invalid State Active	Invalid State Canceling	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	Forget Ended	Invalid State Exiting	Ende			

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# **B.3 Participant view of BusinessAgreementWithCoordinatorCompletion**

#### 594

					(Partio	cipant View)					
						States					
Inbound Events	Active	Canceling	Completing	Completed	Closing	Compensating	Failing (Active, Canceling, Completing)	Failing (Compensat- ing)	NotCompleting	Exiting	Ended
Cancel		lgnore		Resend Completed	lgnore	Ignore	Resend Fail	Ignore	Resend CannotComplete	Resend Exit	Send Canceled
	Canceling	Canceling	Canceling	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Complete		Ignore	Ignore	Resend Completed	lgnore	Ignore	Resend Fail	Ignore	Resend CannotComplete	Resend Exit	Send Fail
	Completing	Canceling	Completing	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Close	Invalid State	Invalid State	Invalid State		Ignore	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Send Closed
	Active	Canceling	Completing	Closing	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Compensate	Invalid State	Invalid State	Invalid State		Invalid State	Ignore	Invalid State	Resend Fail	Invalid State	Invalid State	Send Compensate
	Active	Canceling	Completing	Compensating	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Failed	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Forget	Invalid State	Invalid State	lgnore
	Active	Canceling	Completing	Completed	Closing	Compensating	Ended	Ended	NotCompleting	Exiting	Ended
Exited	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Ignore
	Active	Canceling	Completing	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Ended	Ended
NotCompleted	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Invalid State	Ignore
	Active	Canceling	Completing	Completed	Closing	Compensating	Failing-*	Failing- Compensating	Ended	Exiting	Ended

597	

596

		Busi	nessAgreei		Coordina Cipant Vi	itorCompletio	on protocol			
				(,	•	States				
Outbound Events	Active	Canceling	Completing	Completed	Closing	Compensating	Failing (Active, Canceling, Completing, Compensating)	NotCompleting	Exiting	Ended
Exit	Exiting	Invalid State Canceling	Exiting	<i>Invalid</i> <i>State</i> Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	Exiting	<i>Invalid</i> <i>State</i> Ended
Completed	Invalid State Active	Invalid State Canceling	Completed	Completed	Invalid State Closing	<i>Invalid</i> <i>State</i> Compensating	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Invalid State Ended
Fail	Failing- Active	Failing- Canceling	Failing- Completing	<i>Invalid</i> <i>State</i> Completed	Invalid State Closing	Failing- Compensating	Failing-*	Invalid State NotCompleting	Invalid State Exiting	Invalid State Ended
CannotComplete	NotCompleting	<i>Invalid</i> State Canceling	NotCompleting	<i>Invalid</i> <i>State</i> Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	NotCompleting	<i>Invalid</i> <i>State</i> Exiting	<i>Invalid</i> <i>State</i> Ended
Canceled	Invalid State Active	<i>Forget</i> Ended	<i>Invalid</i> <i>State</i> Completing	<i>Invalid</i> <i>State</i> Completed	Invalid State Closing	<i>Invalid</i> <i>State</i> Compensating	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Ended
Closed	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Invalid State	Invalid State	Invalid State	Invalid State	Ends 1
Compensated	Active Invalid State Active	Canceling Invalid State Canceling	Completing Invalid State Completing	Completed Invalid State Completed	Ended Invalid State Closing	Compensating Forget Ended	Failing-* Invalid State Failing-*	NotCompleting Invalid State NotCompleting	Exiting Invalid State Exiting	Ended

# 600 B.4 Coordinator view of BusinessAgreementWithCoordinatorCompletion

#### 601

	BusinessAgreementWithCoordinatorCompletion protocol											
(Coordinator View)												
Inbound Events	States											
	Active	Canceling (Active)	Canceling (Completing)	Completing	Completed	Closing	Compensating	Failing (Active, Canceling, Completing)	Failing (Compensat- ing)	NotCompleting	Exiting	Ended
Exit					Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore	Resend Exited
	Exiting	Exiting	Exiting	Exiting	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Completed	Invalid State	Invalid State			Ignore	Resend Close	Resend Compensate	Invalid State	Ignore	Invalid State	Invalid State	Ignore
	Active	Canceling- Active	Completed	Completed	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Fail					Invalid State	Invalid State		Ignore	Ignore	Invalid State	Invalid State	Resend Failed
	Failing- Active	Failing- Canceling	Failing- Canceling	Failing- Completing	Completed	Closing	Failing- Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
CannotComplete					Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore	Invalid State	Resend NotCompleted
	NotCompleting	NotCompleting	NotCompleting	NotCompleting	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Canceled	Invalid State	Forget	Forget	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore
	Active	Ended	Ended	Completing	Completed	Closing	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Closed	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Ignore
	Active	Canceling- Active	Canceling- Completing	Completing	Completed	Ended	Compensating	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended
Compensated	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Invalid State	Forget	Invalid State	Invalid State	Invalid State	Invalid State	Ignore
	Active	Canceling- Active	Canceling- Completing	Completing	Completed	Closing	Ended	Failing-*	Failing- Compensating	NotCompleting	Exiting	Ended

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-----

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BusinessAgreementWithCoordinatorCompletion protocol (Coordinator View)											
Outbound Events	States										
	Active	Canceling (Active, Completing)	Completing	Completed	Closing	Compensating	Failing (Active, Canceling, Completing, Compensating)	NotCompleting	Exiting	Ended	
Cancel	Canceling- Active	Canceling-*	Canceling- Completing	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	<i>Invalid</i> <i>State</i> NotCompleting	<i>Invalid</i> <i>State</i> Exiting	<i>Invalid</i> <i>State</i> Ended	
Complete	Completing	Invalid State Canceling-*	Completing	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	<i>Invalid</i> <i>State</i> Exiting	<i>Invalid</i> <i>State</i> Ended	
Close	Invalid State Active	Invalid State Canceling-*	Invalid State Completing	Closing	Closing	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Invalid State Ended	
Compensate	Invalid State Active	Invalid State Canceling-*	Invalid State Completing	Compensating	Invalid State Closing	Compensating	Invalid State Failing-*	Invalid State NotCompleting	Invalid State Exiting	Invalid State Ended	
Failed	Invalid State Active	Invalid State Canceling-*	Invalid State Completing	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Forget	Invalid State NotCompleting	Invalid State Exiting	Ended	
Exited	Invalid State Active	Invalid State Canceling-*	Invalid State Completing	Invalid State Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	Invalid State NotCompleting	Forget Ended	Ended	
NotCompleted	Invalid State Active	Invalid State Canceling-*	<i>Invalid</i> <i>State</i> Completing	<i>Invalid</i> <i>State</i> Completed	Invalid State Closing	Invalid State Compensating	Invalid State Failing-*	<i>Forget</i> Ended	<i>Invalid</i> <i>State</i> Exiting	Ended	

606