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Abstract:

This document indicates the policy assertions for use with [WS-Policy] which apply to WSS: SOAP Message Security [WSS10, WSS11], [WS-Trust] and [WS-SecureConversation]

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1 Introduction

- 2 WS-Policy defines a framework for allowing web services to express their constraints and requirements.
- 3 Such constraints and requirements are expressed as policy assertions. This document defines a set of
- 4 security policy assertions for use with the [WS-Policy] framework with respect to security features
- 5 provided in WSS: SOAP Message Security [WSS10, WSS11], [WS-Trust] and [WS-SecureConversation].
- 6 This document takes the approach of defining a base set of assertions that describe how messages are
- 7 to be secured. Flexibility with respect to token types, cryptographic algorithms and mechanisms used,
- 8 including using transport level security is part of the design and allows for evolution over time. The intent
- 9 is to provide enough information for compatibility and interoperability to be determined by web service
- participants along with all information necessary to actually enable a participant to engage in a secure
- 11 exchange of messages.
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Sections 11, 12 and all examples and all Appendices are non-normative.

1.1 Example

- Table 1 shows an "Effective Policy" example, including binding assertions and associated property
- assertions, token assertions and integrity and confidentiality assertions. This example has a scope of
- 17 [Endpoint Policy Subject], but for brevity the attachment mechanism is not shown.
- 18 Table 1: Example security policy.

```
19
     (01) <wsp:Policy xmlns:wsp="..." xmlns:sp="...">
20
     (02)
            <sp:SymmetricBinding>
21
     (03)
              <wsp:Policy>
22
     (04)
                <sp:ProtectionToken>
23
     (05)
                  <wsp:Policy>
24
     (06)
                     <sp:Kerberos sp:IncludeToken=".../IncludeToken/Once" />
25
     (07)
                       <wsp:Policy>
26
     (08)
                         <sp:WSSKerberosV5ApRegToken11/>
27
     (09)
                       <wsp:Policy>
28
     (10)
                     </sp:Kerberos>
29
     (11)
                  </wsp:Policy>
30
     (12)
                </sp:ProtectionToken>
31
     (13)
                <sp:SignBeforeEncrypting />
32
                <sp:EncryptSignature />
     (14)
33
              </wsp:Policy>
     (15)
34
            </sp:SymmetricBinding>
     (16)
35
     (17)
            <sp:SignedParts>
36
     (18)
              <sp:Body/>
37
     (19)
              <sp:Header
38
                 Namespace="http://schemas.xmlsoap.org/ws/2004/08/addressing"
39
40
            </sp:SignedParts>
     (20)
41
     (21)
            <sp:EncryptedParts>
42
     (22)
              <sp:Body/>
43
     (23)
            </sp:EncryptedParts>
```

 Line 1 in Table 1 indicates that this is a policy statement and that all assertions contained by the wsp:Policy element are required to be satisfied. Line 2 indicates the kind of security binding in force. Line 3 indicates a nested wsp:Policy element which contains assertions that qualify the behavior of the SymmetricBinding assertion. Line 4 indicates a ProtectionToken assertion. Line 5 indicates a nested wsp:Policy element which contains assertions indicating the type of token to be used for the ProtectionToken. Lines 6 to 10 indicate that a Kerberos V5 APREQ token is to be used by both parties in a message exchange for protection. Line 13 indicates that signatures are generated over plaintext rather than ciphertext. Line 14 indicates that the signature over the signed messages parts is required to be encrypted. Lines 17-20 indicate which message parts are to be covered by the primary signature; in this case the soap:Body element, indicated by Line 18 and any SOAP headers in the WS-Addressing namespace, indicated by line 19. Lines 21-23 indicate which message parts are to be encrypted; in this case just the soap:Body element, indicated by Line 22.

1.2 Namespaces

The XML namespace URI that MUST be used by implementations of this specification is:

http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512

Table 2 lists XML namespaces that are used in this specification. The choice of any namespace prefix is arbitrary and not semantically significant.

Table 2: Prefixes and XML Namespaces used in this specification.

Prefix	Namespace	Specification(s)
S	S http://schemas.xmlsoap.org/soap/envelope/ [SOAP]	
S12 http://www.w3.org/2003/05/soap-envelope		[SOAP12]
ds http://www.w3.org/2000/09/xmldsig#		[XML-Signature]
enc	http://www.w3.org/2001/04/xmlenc#	[XML-Encrypt]
wsu	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss- wssecurity-utility-1.0.xsd [WSS10]	
wsse http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss- [WSS10] wssecurity-secext-1.0.xsd		[WSS10]
wsse11 http://docs.oasis-open.org/wss/oasis-wss-wsecurity-secext- [WSS11] 1.1.xsd		[WSS11]
		[WS-Policy], [WS-PolicyAttachment]
xsd	sd http://www.w3.org/2001/XMLSchema [XML-Schema1], [XM Schema2]	
wst http://docs.oasis-open.org/ws-sx/ws-trust/200512 [WS-Trust]		[WS-Trust]
wsc	wsc http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512 [WS-SecureConversation/200512	
wsa http://www.w3.org/2005/08/addressing [WS-Address		[WS-Addressing]

sp	http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512	This specification
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65 1.3 Schema Files

A normative copy of the XML Schema [XML-Schema1, XML-Schema2] description for this specification can be retrieved from the following address:

http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512/ws-securitypolicy-1.2.xsd

1.4 Terminology

- 70 **Policy** A collection of policy alternatives.
- 71 **Policy Alternative** A collection of policy assertions.
- 72 **Policy Assertion** An individual requirement, capability, other property, or a behavior.
- 73 **Initiator** The role sending the initial message in a message exchange.
- 74 **Recipient** The targeted role to process the initial message in a message exchange.
- 75 **Security Binding** A set of properties that together provide enough information to secure a given
- 76 message exchange.

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- 77 **Security Binding Property** A particular aspect of securing an exchange of messages.
- 78 Security Binding Assertion A policy assertion that identifies the type of security binding being used to
- 79 secure an exchange of messages.
- 80 Security Binding Property Assertion A policy assertion that specifies a particular value for a particular
- aspect of securing an exchange of message.
- 82 **Assertion Parameter** An element of variability within a policy assertion.
- 83 **Token Assertion** -Describes a token requirement. Token assertions defined within a security binding are
- 84 used to satisfy protection requirements.
- 85 **Supporting Token** A token used to provide additional claims.

1.4.1 Notational Conventions

- The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD"
- 88 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in.
- This specification uses the following syntax to define outlines for assertions:
 - The syntax appears as an XML instance, but values in italics indicate data types instead of literal values.
- Characters are appended to elements and attributes to indicate cardinality:
 - o "?" (0 or 1)
 - o "*" (0 or more)
 - o "+" (1 or more)
 - The character "|" is used to indicate a choice between alternatives.
- The characters "(" and ")" are used to indicate that contained items are to be treated as a group with respect to cardinality or choice.
 - The characters "[" and "]" are used to call out references and property names.
- Ellipses (i.e., "...") indicate points of extensibility. Additional children and/or attributes MAY be added at the indicated extension points but MUST NOT contradict the semantics of the parent and/or owner, respectively. By default, if a receiver does not recognize an extension, the receiver

- SHOULD ignore the extension; exceptions to this processing rule, if any, are clearly indicated below.
 - XML namespace prefixes (see Table 2) are used to indicate the namespace of the element being defined

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- Elements and Attributes defined by this specification are referred to in the text of this document using XPath 1.0 expressions. Extensibility points are referred to using an extended version of this syntax:
- An element extensibility point is referred to using {any} in place of the element name. This
 indicates that any element name can be used, from any namespace other than the namespace of
 this specification.
 - An attribute extensibility point is referred to using @{any} in place of the attribute name. This indicates that any attribute name can be used, from any namespace other than the namespace of this specification.
- Extensibility points in the exemplar may not be described in the corresponding text.
- In this document reference is made to the wsu:Id attribute and the wsu:Created and wsu:Expires elements in a utility schema (http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd). The wsu:Id attribute and the wsu:Created and wsu:Expires elements were added to the utility schema with the intent that other specifications requiring such an ID type attribute or timestamp element could reference it (as is done here).

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WS-SecurityPolicy is designed to work with the general Web Services framework including WSDL service descriptions, UDDI businessServices and bindingTemplates and SOAP message structure and message processing model, and WS-SecurityPolicy should be applicable to any version of SOAP. The current SOAP 1.2 namespace URI is used herein to provide detailed examples, but there is no intention to limit the applicability of this specification to a single version of SOAP.

1.5 Normative References

130 131	[RFC2119]	S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels", RFC 2119, Harvard University, March 1997.
132		http://www.ietf.org/rfc/rfc2119.txt
133		
134	[SOAP]	W3C Note, "SOAP: Simple Object Access Protocol 1.1", 08 May 2000.
135		http://www.w3.org/TR/2000/NOTE-SOAP-20000508/
136		
137 138	[SOAP12]	W3C Recommendation, "SOAP 1.2 Part 1: Messaging Framework", 24 June 2003.
139		http://www.w3.org/TR/2003/REC-soap12-part1-20030624/
140		
141 142	[SOAPNorm]	W3C Working Group Note, "SOAP Version 1.2 Message Normalization", 8 October 2003.
143		http://www.w3.org/TR/2003/NOTE-soap12-n11n-20031008/
144		
145 146 147	[URI]	T. Berners-Lee, R. Fielding, L. Masinter, "Uniform Resource Identifiers (URI): Generic Syntax", RFC 3986, MIT/LCS, Day Software, Adobe Systems, January 2005.
148		http://www.ietf.org/rfc/rfc3986.txt

150 151	[RFC2068]	IETF Standard, "Hypertext Transfer Protocol HTTP/1.1" January 1997
152		http://www.ietf.org/rfc/rfc2068.txt
153		
154	[RFC2246]	IETF Standard, "The TLS Protocol", January 1999.
155		http://www.ietf.org/rfc/rfc2246.txt
156		
157 158	[WS-Addressing]	W3C Recommendation, "Web Services Addressing (WS-Addressing)", 9 May 2006.
159		http://www.w3.org/TR/2006/REC-ws-addr-core-20060509
160		
161 162	[WS-Policy]	W3C Member Submission "Web Services Policy 1.2 - Framework", 25 April 2006.
163		http://www.w3.org/Submission/2006/SUBM-WS-Policy-20060425/
164		
165 166	[WS-PolicyAttachment]	W3C Member Submission "Web Services Policy 1.2 - Attachment", 25 April 2006.
167 168		http://www.w3.org/Submission/2006/SUBM-WS-PolicyAttachment-20060425/
169		
170	[WS-Trust]	OASIS Committee Draft, "WS-Trust 1.3", September 2006
171		http://docs.oasis-open.org/ws-sx/ws-trust/200512
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173 174	[WS-SecureConversation]	OASIS Committee Draft, "WS-SecureConversation 1.3", September 2006
175		http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512
176		
177 178	[WSS10]	OASIS Standard, "OASIS Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)", March 2004.
179 180		http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf
181		
182 183	[WSS11]	OASIS Standard, "OASIS Web Services Security: SOAP Message Security 1.1 (WS-Security 2004)", February 2006.
184		http://www.oasis-open.org/committees/download.php/16790/wss-v1.1-
185		spec-os-SOAPMessageSecurity.pdf
186	T	
187 188	[WSS:UsernameToken1.0]	OASIS Standard, "Web Services Security: UsernameToken Profile", March 2004
189		http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-
190 191		token-profile-1.0.pdf
191	[WSS:UsernameToken1.1]	OASIS Standard, "Web Services Security: UsernameToken Profile
193	[vv 55.05emame rokem.1]	1.1", February 2006
194		http://www.oasis-open.org/committees/download.php/16782/wss-v1.1-
195		spec-os-UsernameTokenProfile.pdf

196		
197 198	[WSS:X509Token1.0]	OASIS Standard, "Web Services Security X.509 Certificate Token Profile", March 2004
199 200 201		http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0.pdf
202 203	[WSS:X509Token1.1]	OASIS Standard, "Web Services Security X.509 Certificate Token Profile", February 2006
204 205		http://www.oasis-open.org/committees/download.php/16785/wss-v1.1-spec-os-x509TokenProfile.pdf
206 207 208	[WSS:KerberosToken1.1]	OASIS Standard, "Web Services Security Kerberos Token Profile 1.1", February 2006
209 210		http://www.oasis-open.org/committees/download.php/16788/wss-v1.1-spec-os-KerberosTokenProfile.pdf
211 212 213	[WSS:SAMLTokenProfile1.0]	OASIS Standard, "Web Services Security: SAML Token Profile", December 2004
214 215		http://docs.oasis-open.org/wss/oasis-wss-saml-token-profile-1.0.pdf
216 217	[WSS:SAMLTokenProfile1.1]	OASIS Standard, "Web Services Security: SAML Token Profile 1.1", February 2006
218 219		http://www.oasis-open.org/committees/download.php/16768/wss-v1.1-spec-os-SAMLTokenProfile.pdf
220 221 222	[WSS:RELTokenProfile1.0]	OASIS Standard, "Web Services Security Rights Expression Language (REL) Token Profile", December 2004
223 224		http://docs.oasis-open.org/wss/oasis-wss-rel-token-profile-1.0.pdf
225 226	[WSS:RELTokenProfile1.1]	OASIS Standard, "Web Services Security Rights Expression Language (REL) Token Profile 1.1", February 2006
227 228		http://www.oasis-open.org/committees/download.php/16687/oasis-wss-rel-token-profile-1.1.pdf
229		
230 231	[XML-Encrypt]	W3C Recommendation, "XML Encryption Syntax and Processing", 10 December 2002.
232		http://www.w3.org/TR/2002/REC-xmlenc-core-20021210/
233		
234 235	[XML-Signature]	W3C Recommendation, "XML-Signature Syntax and Processing", 12 February 2002.
236		http://www.w3.org/TR/2002/REC-xmlenc-core-20021210/
237		
238 239	[XPATH]	W3C Recommendation "XML Path Language (XPath) Version 1.0", 16 November 1999.
240		http://www.w3.org/TR/1999/REC-xpath-19991116
241		

242 243	[XML-Schema1]	W3C Recommendation, "XML Schema Part 1: Structures Second Edition", 28 October 2004.
244		http://www.w3.org/TR/2004/REC-xmlschema-1-20041028/
245		
246 247	[XML-Schema2]	W3C Recommendation, "XML Schema Part 2: Datatypes Second Edition", 28 October 2004.
248		http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/
249		
250		
251	1.6 Non-Normative Re	eferences
252	None.	
253		

2 Security Policy Model

This specification defines policy assertions for the security properties for Web services. These assertions are primarily designed to represent the security characteristics defined in the WSS: SOAP Message Security [WSS10] [WSS11], [WS-Trust] and [WS-SecureConversation] specifications, but they can also be used for describing security requirements at a more general or transport-independent level.

The primary goal of this specification is to define an initial set of patterns or sets of assertions that represent common ways to describe how messages are secured on a communication path. The intent is to allow flexibility in terms of the tokens, cryptography, and mechanisms used, including leveraging transport security, but to be specific enough to ensure interoperability based on assertion matching.

It is a goal of the security policy model to leverage the WS-Policy framework's intersection algorithm for selecting policy alternatives and the attachment mechanism for associating policy assertions with web service artifacts. Consequently, wherever possible, the security policy assertions do not use parameters or attributes. This enables first-level, QName based assertion matching without security domain-specific knowledge to be done at the framework level. The first level matching is intended to provide a narrowed set of policy alternatives that are shared by the two parties attempting to establish a secure communication path.

In general, assertions defined in this specification allow additional attributes, based on schemas, to be added on to the assertion element as an extensibility mechanism but the WS-Policy framework will not match based on these attributes. Attributes specified on the assertion element that are not defined in this specification or in WS-Policy are to be treated as informational properties.

2.1 Security Assertion Model

The goal to provide richer semantics for combinations of security constraints and requirements and enable first-level QName matching, is enabled by the assertions defined in this specification being separated into simple patterns: what parts of a message are being secured (Protection Assertions), general aspects or pre-conditions of the security (Conditional Assertions), the security mechanism (Security Binding Assertions) that is used to provide the security, the token types and usage patterns (Supporting Token Assertions) used to provide additional claims, and token referencing and trust options (WSS and Trust Assertions).

To indicate the scope of protection, assertions identify message parts that are to be protected in a specific way, such as integrity or confidentiality protection, and are referred to as protection assertions.

The general aspects of security includes the relationships between or characteristics of the environment in which security is being applied, such as the tokens being used, which are for integrity or confidentiality protection and which are supporting, the applicable algorithms to use, etc.

The security binding assertion is a logical grouping which defines how the general aspects are used to protect the indicated parts. For example, that an asymmetric token is used with a digital signature to provide integrity protection, and that parts are encrypted with a symmetric key which is then encrypted

using the public key of the recipient. At its simplest form, the security binding restricts what can be placed in the wsse:Security header and the associated processing rules.

The intent of representing characteristics as assertions is so that QName matching will be sufficient to find common alternatives and so that many aspects of security can be factored out and re-used. For example, it may be common that the mechanism is constant for an endpoint, but that the parts protected vary by message action.

2.2 Nested Policy Assertions

Assertions may be used to further qualify a specific aspect of another assertion. For example, an assertion describing the set of algorithms to use may qualify the specific behavior of a security binding.

2.3 Security Binding Abstraction

As previously indicated, individual assertions are designed to be used in multiple combinations. The binding represents common usage patterns for security mechanisms. These Security Binding assertions are used to determine how the security is performed and what to expect in the wsse: Security header.

Bindings are described textually and enforced programmatically. This specification defines several bindings but others can be defined and agreed to for interoperability if participating parties support it.

A binding defines the following security characteristics:

- The minimum set of tokens that will be used and how they are bound to messages. Note that services might accept messages containing more tokens than those specified in policy.
- Any necessary key transport mechanisms
- Any required message elements (e.g. timestamps) in the wsse: Security header.
- The content and ordering of elements in the wsse:Security header. Elements not specified in the binding are not allowed.
- Various parameters, including those describing the algorithms to be used for canonicalization, signing and encryption.

Together the above pieces of information, along with the assertions describing conditions and scope, provide enough information to secure messages between an initiator and a recipient. A policy consumer has enough information to construct messages that conform to the service's policy and to process messages returned by the service. Note that a service may choose to reject messages despite them conforming to its policy, for example because a client certificate has been revoked. Note also that a service may choose to accept messages that do not conform to its policy.

The following list identifies the bindings defined in this specification. The bindings are identified primarily by the style of encryption used to protect the message exchange. A later section of this document provides details on the assertions for these bindings.

- TransportBinding (Section 7.3)
- SymmetricBinding (Section 7.4)
- AsymmetricBinding (Section 7.5)

336	3 Policy Considerations
337 338	The following sections discuss details of WS-Policy and WS-PolicyAttachment relevant to this specification.
339	3.1 Nested Policy
340 341 342	This specification makes extensive use of nested policy assertions as described in the Policy Assertion Nesting section of WS-Policy.
343	3.2 Policy Subjects
344 345 346	WS-PolicyAttachment defines various attachment points for policy. This section defines properties that are referenced later in this document describing the recommended or required attachment points for various assertions. In addition, Appendix A groups the various assertions according to policy subject.
347	Note: This specification does not define any assertions that have a scope of [Service Policy Subject].
348	[Message Policy Subject]
349 350	This property identifies a Message Policy Subject [WS-PolicyAttachment]. WS-PolicyAttachment defines seven WSDL [WSDL 1.1] policy attachment points with Message Policy Subject:
351 352	wadimoooga
353 354	wsdl:message A policy expression containing one or more assertions with Message Policy Subject MUST NOT be attached to a wsdl:message.
355	wsdl:portType/wsdl:operation/wsdl:input, ./wsdl:output, or ./wsdl:fault
356 357	A policy expression containing one or more assertions with Message Policy Subject MUST NOT be attached to a descendant of wsdl:portType.
358	wsdl:binding/wsdl:operation/wsdl:input, ./wsdl:output, or ./wsdl:fault
359 360	A policy expression containing one or more of the assertions with Message Policy Subject MUST be attached to a descendant of wsdl:binding.
361	[Operation Policy Subject]
362	A token assertion with Operation Policy Subject indicates usage of the token on a per-operation basis:
363	wsdl:portType/wsdl:operation
364 365	A policy expression containing one or more token assertions MUST NOT be attached to a wsdl:portType/wsdl:operation.
366	wsdl:binding/wsdl:operation
367 368	A policy expression containing one or more token assertions MUST be attached to a wsdl:binding/wsdl:operation.
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371	[Endpoint Policy Subject]
372 373	A token assertion instance with Endpoint Policy Subject indicates usage of the token for the entire set of messages described for the endpoint:
374	wsdl:portType

375 376	A policy expression containing one or more assertions with Endpoint Policy Subject MUST NOT be attached to a wsdl:portType.
377	wsdl:binding
378 379	A policy expression containing one or more of the assertions with Endpoint Policy Subject SHOULD be attached to a wsdl:binding.
380	wsdl:port
381 382	A policy expression containing one or more of the assertions with Endpoint Policy Subject MAY be attached to a wsdl:port

4 Protection Assertions

- The following assertions are used to identify *what* is being protected and the level of protection provided.
- These assertions SHOULD apply to [Message Policy Subject]. These assertions MAY apply to [Endpoint
- Policy Subject] or [Operation Policy Subject]. Where they apply to [Operation Policy Subject] they apply to
- all messages of that operation. Where they apply to [Endpoint Policy Subject] they apply to all operations
- 388 of that endpoint.

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- Note that when assertions defined in this section are present in a policy, the order of those assertions in
- that policy has no effect on the order of signature and encryption operations (see Section 6.3).

4.1 Integrity Assertions

- 392 Two mechanisms are defined for specifying the set of message parts to integrity protect. One uses
- 393 QNames to specify either message headers or the message body while the other uses XPath
- 394 expressions to identify any part of the message.

4.1.1 SignedParts Assertion

- The SignedParts assertion is used to specify the parts of the message outside of security headers that require integrity protection. This assertion can be satisfied using WSS: SOAP Message Security mechanisms or by mechanisms out of scope of SOAP message security, for example by sending the message over a secure transport protocol like HTTPS. The binding details the exact mechanism by
- which the protection is provided.

There MAY be multiple SignedParts assertions present. Multiple SignedParts assertions present within a policy alternative are equivalent to a single SignedParts assertion containing the union of all specified message parts. Note that this assertion does not require that a given part appear in a message, just that if such a part appears, it requires integrity protection.

Syntax

```
<sp:SignedParts xmlns:sp="..." ... >
  <sp:Body />?
  <sp:Header Name="xs:NCName"? Namespace="xs:anyURI" ... />*
    ...
</sp:SignedParts>
```

The following describes the attributes and elements listed in the schema outlined above:

/sp:SignedParts

This assertion specifies the parts of the message that need integrity protection. If no child elements are specified, all message headers targeted at the UltimateReceiver role [SOAP12] or actor [SOAP11] and the body of the message MUST be integrity protected.

/sp:SignedParts/sp:Body

Presence of this optional empty element indicates that the entire body, that is the soap:Body element, it's attributes and content, of the message needs to be integrity protected.

/sp:SignedParts/sp:Header

Presence of this optional element indicates a specific SOAP header, it's attributes and content (or set of such headers) needs to be protected. There may be multiple sp:Header elements within a single sp:SignedParts element. If multiple SOAP headers with the same local name but different

namespace names are to be integrity protected multiple sp:Header elements are needed, either as part of a single sp:SignedParts assertion or as part of separate sp:SignedParts assertions.

This element only applies to SOAP header elements targeted to the same actor/role as the Security header impacted by the policy. If it is necessary to specify a requirement to sign specific SOAP Header elements targeted to a different actor/role, that may be accomplished using the sp:SignedElements assertion.

/sp:SignedParts/sp:Header/@Name

This optional attribute indicates the local name of the SOAP header to be integrity protected. If this attribute is not specified, all SOAP headers whose namespace matches the Namespace attribute are to be protected.

/sp:SignedParts/sp:Header/@Namespace

This required attribute indicates the namespace of the SOAP header(s) to be integrity protected.

4.1.2 SignedElements Assertion

The SignedElements assertion is used to specify arbitrary elements in the message that require integrity protection. This assertion can be satisfied using WSS: SOAP Message Security mechanisms or by mechanisms out of scope of SOAP message security, for example by sending the message over a secure transport protocol like HTTPS. The binding details the exact mechanism by which the protection is provided.

There MAY be multiple SignedElements assertions present. Multiple SignedElements assertions present within a policy alternative are equivalent to a single SignedElements assertion containing the union of all specified XPath expressions.

Syntax

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```
<sp:SignedElements XPathVersion="xs:anyURI"? xmlns:sp="..." ... >
    <sp:XPath>xs:string</sp:XPath>+
    ...
</sp:SignedElements>
```

The following describes the attributes and elements listed in the schema outlined above:

/sp:SignedElements

This assertion specifies the parts of the message that need integrity protection.

/sp:SignedElements/@XPathVersion

This optional attribute contains a URI which indicates the version of XPath to use. If no attribute is provided, then XPath 1.0 is assumed.

/sp:SignedElements/sp:XPath

This element contains a string specifying an XPath expression that identifies the nodes to be integrity protected. The XPath expression is evaluated against the S:Envelope element node of the message. Multiple instances of this element may appear within this assertion and should be treated as separate references in a signature when message security is used.

4.2 Confidentiality Assertions

Two mechanisms are defined for specifying the set of message parts to confidentiality protect. One uses

QNames to specify either message headers or the message body while the other uses XPath

expressions to identify any part of the message.

4.2.1 EncryptedParts Assertion

The EncryptedParts assertion is used to specify the parts of the message that require confidentiality. This assertion can be satisfied with WSS: SOAP Message Security mechanisms or by mechanisms out of scope of SOAP message security, for example by sending the message over a secure transport protocol like HTTPS. The binding details the exact mechanism by which the protection is provided.

There MAY be multiple EncryptedParts assertions present. Multiple EncryptedParts assertions present within a policy alternative are equivalent to a single EncryptedParts assertion containing the union of all specified message parts. Note that this assertion does not require that a given part appear in a message, just that if such a part appears, it requires confidentiality protection.

Syntax

```
<sp:EncryptedParts xmlns:sp="..." ... >
  <sp:Body/>?
  <sp:Header Name="xs:NCName"? Namespace="xs:anyURI" ... />*
    ...
</sp:EncryptedParts>
```

The following describes the attributes and elements listed in the schema outlined above:

/sp:EncryptedParts

This assertion specifies the parts of the message that need confidentiality protection. The single child element of this assertion specifies the set of message parts using an extensible dialect.

If no child elements are specified, the body of the message MUST be confidentiality protected.

/sp:EncryptedParts/sp:Body

Presence of this optional empty element indicates that the entire body of the message needs to be confidentiality protected. In the case where mechanisms from WSS: SOAP Message Security are used to satisfy this assertion, then the soap:Body element is encrypted using the #Content encryption type.

/sp:EncryptedParts/sp:Header

Presence of this optional element indicates that a specific SOAP header (or set of such headers) needs to be protected. There may be multiple sp:Header elements within a single Parts element. Each header or set of headers MUST be encrypted. Such encryption will encrypt such elements using WSS 1.1 Encrypted Headers. As such, if WSS 1.1 Encrypted Headers are not supported by a service, then this element cannot be used to specify headers that require encryption using message level security. If multiple SOAP headers with the same local name but different namespace names are to be encrypted then multiple sp:Header elements are needed, either as part of a single sp:EncryptedParts assertion or as part of separate sp:EncryptedParts assertions.

/sp:EncryptedParts/sp:Header/@Name

This optional attribute indicates the local name of the SOAP header to be confidentiality protected. If this attribute is not specified, all SOAP headers whose namespace matches the Namespace attribute are to be protected.

/sp:EncryptedParts/sp:Header/@Namespace

This required attribute indicates the namespace of the SOAP header(s) to be confidentiality protected.

4.2.2 EncryptedElements Assertion

The EncryptedElements assertion is used to specify arbitrary elements in the message that require confidentiality protection. This assertion can be satisfied using WSS: SOAP Message Security mechanisms or by mechanisms out of scope of SOAP message security, for example by sending the message over a secure transport protocol like HTTPS. The binding details the exact mechanism by which the protection is provided.

There MAY be multiple EncryptedElements assertions present. Multiple EncryptedElements assertions present within a policy alternative are equivalent to a single EncryptedElements assertion containing the union of all specified XPath expressions.

Syntax

The following describes the attributes and elements listed in the schema outlined above:

/sp:EncryptedElements

This assertion specifies the parts of the message that need confidentiality protection. Any such elements are subject to #Element encryption.

/sp:EncryptedElements/@XPathVersion

This optional attribute contains a URI which indicates the version of XPath to use. If no attribute is provided, then XPath 1.0 is assumed.

/sp:EncryptedElements/sp:XPath

This element contains a string specifying an XPath expression that identifies the nodes to be confidentiality protected. The XPath expression is evaluated against the S:Envelope element node of the message. Multiple instances of this element may appear within this assertion and should be treated as separate references.

4.2.3 ContentEncryptedElements Assertion

The ContentEncryptedElements assertion is used to specify arbitrary elements in the message that require confidentiality protection of their content. This assertion can be satisfied using WSS: SOAP Message Security mechanisms or by mechanisms out of scope of SOAP message security, for example by sending the message over a secure transport protocol like HTTPS. The binding details the exact mechanism by which the protection is provided.

There MAY be multiple ContentEncryptedElements assertions present. Multiple ContentEncryptedElements assertions present within a policy alternative are equivalent to a single ContentEncryptedElements assertion containing the union of all specified XPath expressions.

Syntax

The following describes the attributes and elements listed in the schema outlined above:

/sp:ContentEncryptedElements

This assertion specifies the parts of the message that need confidentiality protection. Any such elements are subject to #Content encryption.

556 /sp:ContentEncryptedElements/@XPathVersion

This optional attribute contains a URI which indicates the version of XPath to use.

/sp:ContentEncryptedElements/sp:XPath

This element contains a string specifying an XPath expression that identifies the nodes to be confidentiality protected. The XPath expression is evaluated against the S:Envelope element node of the message. Multiple instances of this element MAY appear within this assertion and should be treated as separate references.

4.3 Required Elements Assertion

A mechanism is defined for specifying, using XPath expressions, the set of header elements that a message MUST contain.

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Note: Specifications are expected to provide domain specific assertions that specify which headers are expected in a message. This assertion is provided for cases where such domain specific assertions have not been defined.

4.3.1 RequiredElements Assertion

The RequiredElements assertion is used to specify header elements that the message MUST contain.

This assertion specifies no security requirements.

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There MAY be multiple RequiredElements assertions present. Multiple RequiredElements assertions present within a policy alternative are equivalent to a single RequiredElements assertion containing the union of all specified XPath expressions.

Syntax

```
<sp:RequiredElements XPathVersion="xs:anyURI"? xmlns:sp="..." ... >
    <sp:XPath>xs:string</sp:XPath> +
        ...
</sp:RequiredElements>
```

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594 595 The following describes the attributes and elements listed in the schema outlined above:

/sp:RequiredElements

This assertion specifies the headers elements that MUST appear in a message.

/sp:RequiredElements/@XPathVersion

This optional attribute contains a URI which indicates the version of XPath to use. If no attribute is provided, then XPath 1.0 is assumed.

/sp:RequiredElements/sp:XPath

This element contains a string specifying an XPath expression that identifies the header elements that a message MUST contain. The XPath expression is evaluated against the S:Envelope/S:Header element node of the message. Multiple instances of this element may appear within this assertion and should be treated as a combined XPath expression.

4.3.2 RequiredParts Assertion

RequiredParts is a QName based alternative to the RequiredElements assertion (which is based on XPATH) for specifying header elements that MUST be present in the message. This assertion specifies no security requirements.

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There MAY be multiple RequiredParts assertions present. Multiple RequiredParts assertions present within a policy alternative are equivalent to a single RequiredParts assertion containing the union of all specified Header elements.

Syntax

```
<sp:RequiredParts XPathVersion="xs:anyURI"? xmlns:sp="..." ... >
    <sp:Header Name ="..." Namespace= "..." /> +
</sp:RequiredParts>
```

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The following describes the attributes and elements listed in the schema outlined above:

608 /sp:RequiredParts/sp:Header

This assertion specifies the headers elements that MUST be present in the message.

/sp:RequiredParts/sp:Header/@Name

This required attribute indicates the local name of the SOAPHeader that needs to be present in the message.

/sp:RequiredParts/sp:Header/@Namespace

This required attribute indicates the namespace of the SOAP header that needs to be present in the message.

5 Token Assertions

- Token assertions specify the type of tokens to use to protect or bind tokens and claims to the message.
- These assertions do not recommend usage of a Policy Subject. Assertions which contain them SHOULD
- recommend a policy attachment point. With the exception of transport token assertions, the token
- 620 assertions defined in this section are not specific to any particular security binding.

5.1 Token Inclusion

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- Any token assertion may also carry an optional sp:IncludeToken attribute. The schema type of this
- attribute is xs:anyURI. This attribute indicates whether the token should be included, that is written, in
- the message or whether cryptographic operations utilize an external reference mechanism to refer to the
- key represented by the token. This attribute is defined as a global attribute in the WS-SecurityPolicy
- 626 namespace and is intended to be used by any specification that defines token assertions.

5.1.1 Token Inclusion Values

The following table describes the set of valid token inclusion mechanisms supported by this specification:

http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512/IncludeToken/Never	The token MUST NOT be included in any messages sent between the initiator and the recipient; rather, an external reference to the token should be used.
http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512/IncludeToken/Once	The token MUST be included in only one message sent from the initiator to the recipient. References to the token MAY use an internal reference mechanism. Subsequent related messages sent between the recipient and the initiator may refer to the token using an external reference mechanism.
http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512/IncludeToken/AlwaysToRecipient	The token MUST be included in all messages sent from initiator to the recipient. The token MUST NOT be included in messages sent from the recipient to the initiator.
http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512/IncludeToken/AlwaysTolnitia tor	The token MUST be included in all messages sent from the recipient to the initiator. The token MUST NOT be included in messages sent from the initiator to the recipient.
http://docs.oasis-open.org/ws-sx/ws-securitypolicy/200512/IncludeToken/Always	The token MUST be included in all messages sent between the initiator and the recipient. This is the default behavior.

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- Note: In examples, the namespace URI is replaced with "..." for brevity. For example,
- 631 .../IncludeToken/Never is actually http://docs.oasis-open.org/ws-sx/ws-
- securitypolicy/200512/IncludeToken/Never. Other token inclusion URI values MAY be defined but are outof-scope of this specification.
- The default behavior characteristics defined by this specification if this attribute is not specified on a token assertion are .../IncludeToken/Always.

5.1.2 Token Inclusion and Token References

- A token assertion may carry a sp:IncludeToken attribute that requires that the token be included in the
- 638 message. The Web Services Security specifications [WSS10, WSS11] define mechanisms for how tokens
- are included in a message.
- Several Token assertions (see Section 5.3) support mechanisms for referencing tokens in addition to
- Direct References, for example external URI references or references using a Thumbprint.
- 642 Certain combination of sp:IncludeToken value and token reference assertions can result in a token
- appearing in a message more than once. For example, if a token assertion carries a sp:IncludeToken
- attribute with a value of '.../Always' and that token assertion also contains a nested
- sp:RequireEmbeddedTokenReference (see Section 5.3.3) assertion, then the token would be included
- twice in the message. While such combinations are not in error, they are probably best avoided for
- 647 efficiency reasons.

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- If a token assertion contains multiple reference assertions, then references to that token are required to
- 649 contain all the specified reference types. For example, if a token assertion contains nested
- 550 sp:RequireIssuerSerialReference and sp:RequireThumbprintReference assertions then references to that
- token contain both reference forms. Again, while such combinations are not in error, they are probably
- best avoided for efficiency reasons.

5.2 Token Properties

654 **5.2.1 [Derived Keys] Property**

- This boolean property specifies whether derived keys should be used as defined in WS-
- SecureConversation. If the value is 'true', derived keys MUST be used. If the value is 'false', derived keys
- MUST NOT be used. The value of this property applies to a specific token. The value of this property is
- 658 populated by assertions specific to the token. The default value for this property is 'false'.
- See the [Explicit Derived Keys] and [Implicit Derived Key] properties below for information on how
- particular forms of derived keys are specified.
- Where the key material associated with a token is asymmetric, this property applies to the use of
- symmetric keys encrypted with the key material associated with the token.

5.2.2 [Explicit Derived Keys] Property

- This boolean property specifies whether Explicit Derived Keys (see Section 7 of [WS-
- SecureConversation]) are allowed. If the value is 'true' then Explicit Derived Keys MAY be used. If the
- value is 'false' then Explicit Derived Keys MUST NOT be used.

5.2.3 [Implicit Derived Keys] Property

- This boolean property specifies whether Implicit Derived Keys (see Section 7.3 of [WS-
- SecureConversation]) are allowed. If the value is 'true' then Implicit Derived Keys MAY be used. If the
- value is 'false' then Implicit Derived Keys MUST NOT be used.

5.3 Token Assertion Types

The following sections describe the token assertions defined as part of this specification.

673 **5.3.1 UsernameToken Assertion**

This element represents a requirement to include a username token.

- There are cases where encrypting the UsernameToken is reasonable. For example:
 - 1. When transport security is not used.
 - 2. When a plaintext password is used.
 - 3. When a weak password hash is used.
 - 4. When the username needs to be protected, e.g. for privacy reasons.

When the UsernameToken is to be encrypted it SHOULD be listed as a

SignedEncryptedSupportingToken (Section 8.5), EndorsingEncryptedSupportingToken (Section 8.6) or SignedEndorsingEncryptedSupportingToken (Section 8.7).

Syntax

The following describes the attributes and elements listed in the schema outlined above:

/sp:UsernameToken

This identifies a UsernameToken assertion.

/sp:UsernameToken/@sp:IncludeToken

This optional attribute identifies the token inclusion value for this token assertion.

/sp:UsernameToken/wsp:Policy

This optional element identifies additional requirements for use of the sp:UsernameToken assertion.

/sp:UsernameToken/wsp:Policy/sp:NoPassword

This optional element is a policy assertion that indicates that the wsse:Password element MUST NOT be present in the Username token.

/sp:UsernameToken/wsp:Policy/sp:HashPassword

This optional element is a policy assertion that indicates that the wsse:Password element MUST be present in the Username token and that the content of the wsse:Password element MUST contain a hash of the timestamp, nonce and password as defined in [WSS: Username Token Profile].

/sp:UsernameToken/wsp:Policy/sp:RequireDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] properties for this token to 'true'.

724 /sp:UsernameToken/wsp:Policy/sp:RequireExplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.

/sp:UsernameToken/wsp:Policy/sp:RequireImplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.

/sp:UsernameToken/wsp:Policy/sp:WssUsernameToken10

This optional element is a policy assertion that indicates that a Username token should be used as defined in [WSS:UsernameTokenProfile1.0].

/sp:UsernameToken/wsp:Policy/sp:WssUsernameToken11

This optional element is a policy assertion that indicates that a Username token should be used as defined in [WSS:UsernameTokenProfile1.1].

5.3.2 IssuedToken Assertion

This element represents a requirement for an issued token, which is one issued by some token issuer using the mechanisms defined in WS-Trust. This assertion is used in 3rd party scenarios. For example, the initiator may need to request a SAML token from a given token issuer in order to secure messages sent to the recipient.

Syntax

The following describes the attributes and elements listed in the schema outlined above:

/sp:IssuedToken

This identifies an IssuedToken assertion.

/sp:IssuedToken/@sp:IncludeToken

This optional attribute identifies the token inclusion value for this token assertion.

/sp:IssuedToken/sp:Issuer

This optional element, of type wsa:EndpointReferenceType, contains a reference to the issuer for the issued token.

/sp:IssuedToken/sp:RequestSecurityTokenTemplate

This required element contains elements which MUST be copied into the wst:SecondaryParameters of the RST request sent to the specified issuer. Note: the initiator is not required to understand the contents of this element.

See Appendix B for details of the content of this element.

772	/sp:IssuedToken/sp:RequestSecurityTokenTemplate/@TrustVersion
773 774	This optional attribute contains a URI identifying the version of WS-Trust referenced by the contents of this element.
775	/sp:IssuedToken/wsp:Policy
776	This optional element identifies additional requirements for use of the sp:IssuedToken assertion.
777	/sp:IssuedToken/wsp:Policy/sp:RequireDerivedKeys
778 779	This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] properties for this token to 'true'.
780	/sp:IssuedToken/wsp:Policy/sp:RequireExplicitDerivedKeys
781 782	This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.
783	/sp:IssuedToken/wsp:Policy/sp:RequireImplicitDerivedKeys
784 785	This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.
786	/sp:IssuedToken/wsp:Policy/sp:RequireInternalReference
787 788	This optional element is a policy assertion that indicates whether an internal reference is required when referencing this token.
789	Note: This reference will be supplied by the issuer of the token.
790	/sp:IssuedToken/wsp:Policy/sp:RequireExternalReference
791 792 793	This optional element is a policy assertion that indicates whether an external reference is required when referencing this token. Note: This reference will be supplied by the issuer of the token.
794 795 796 797	Note: The IssuedToken may or may not be associated with key material and such key material may be symmetric or asymmetric. The Binding assertion will imply the type of key associated with this token. Services may also include information in the sp:RequestSecurityTokenTemplate element to explicitly define the expected key type. See Appendix B for details of the sp:RequestSecurityTokenTemplate element.
799	5.3.3 X509Token Assertion

This element represents a requirement for a binary security token carrying an X509 token.

801 Syntax

```
802
           <sp:X509Token sp:IncludeToken="xs:anyURI"? xmlns:sp="..." ... >
803
             <wsp:Policy xmlns:wsp="...">
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805
                 <sp:RequireDerivedKeys ... /> |
806
                 <sp:RequireExplicitDerivedKeys ... /> |
807
                 <sp:RequireImplicitDerivedKeys ... />
808
809
               <sp:RequireKeyIdentifierReference ... /> ?
810
               <sp:RequireIssuerSerialReference ... /> ?
               <sp:RequireEmbeddedTokenReference ... /> ?
811
812
               <sp:RequireThumbprintReference ... /> ?
813
814
                 <sp:WssX509V3Token10 ... /> |
815
                <sp:WssX509Pkcs7Token10 ... /> |
                <sp:WssX509PkiPathV1Token10 ... /> |
816
817
                <sp:WssX509V1Token11 ... /> |
818
                <sp:WssX509V3Token11 ... /> |
819
                <sp:WssX509Pkcs7Token11 ... /> |
820
                 <sp:WssX509PkiPathV1Token11 ... />
821
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823
             </wsp:Policy> ?
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825
           </sp:X509Token>
```

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The following describes the attributes and elements listed in the schema outlined above:

/sp:X509Token

This identifies an X509Token assertion.

/sp:X509Token/@sp:IncludeToken

This optional attribute identifies the token inclusion value for this token assertion.

/sp:X509Token/wsp:Policy

This optional element identifies additional requirements for use of the sp:X509Token assertion.

/sp:X509Token/wsp:Policy/sp:RequireDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] properties for this token to 'true'.

/sp:X509Token/wsp:Policy/sp:RequireExplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.

/sp:X509Token/wsp:Policy/sp:RequireImplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.

/sp:X509Token/wsp:Policy/sp:RequireKeyIdentifierReference

This optional element is a policy assertion that indicates that a key identifier reference is required when referencing this token.

/sp:X509Token/wsp:Policy/sp:RequireIssuerSerialReference

This optional element is a policy assertion that indicates that an issuer serial reference is required when referencing this token.

/sp:X509Token/wsp:Policy/sp:RequireEmbeddedTokenReference

This optional element is a policy assertion that indicates that an embedded token reference is required when referencing this token.

852 /sp:X509Token/wsp:Policy/sp:RequireThumbprintReference

This optional element is a policy assertion that indicates that a thumbprint reference is required when referencing this token.

/sp:X509Token/wsp:Policy/sp:WssX509V3Token10

This optional element is a policy assertion that indicates that an X509 Version 3 token should be used as defined in [WSS:X509TokenProfile1.0].

/sp:X509Token/wsp:Policy/sp:WssX509Pkcs7Token10

This optional element is a policy assertion that indicates that an X509 PKCS7 token should be used as defined in [WSS:X509TokenProfile1.0].

/sp:X509Token/wsp:Policy/sp:WssX509PkiPathV1Token10

This optional element is a policy assertion that indicates that an X509 PKI Path Version 1 token should be used as defined in [WSS:X509TokenProfile1.0].

/sp:X509Token/wsp:Policy/sp:WssX509V1Token11

This optional element is a policy assertion that indicates that an X509 Version 1 token should be used as defined in [WSS:X509TokenProfile1.1].

/sp:X509Token/wsp:Policy/sp:WssX509V3Token11

This optional element is a policy assertion that indicates that an X509 Version 3 token should be used as defined in [WSS:X509TokenProfile1.1].

/sp:X509Token/wsp:Policy/sp:WssX509Pkcs7Token11

This optional element is a policy assertion that indicates that an X509 PKCS7 token should be used as defined in [WSS:X509TokenProfile1.1].

/sp:X509Token/wsp:Policy/sp:WssX509PkiPathV1Token11

This optional element is a policy assertion that indicates that an X509 PKI Path Version 1 token should be used as defined in [WSS:X509TokenProfile1.1].

5.3.4 KerberosToken Assertion

This element represents a requirement for a Kerberos token [WSS:KerberosToken1.1].

Syntax

The following describes the attributes and elements listed in the schema outlined above:

/sp:KerberosToken

899 This identifies a KerberosV5ApReqToken assertion. 900 /sp:KerberosToken/@sp:IncludeToken 901 This optional attribute identifies the token inclusion value for this token assertion. 902 /sp:KerberosToken/wsp:Policy 903 This optional element identifies additional requirements for use of the sp:KerberosToken 904 assertion. 905 /sp:KerberosToken/wsp:Policy/sp:RequireDerivedKeys 906 This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] 907 and [Implicit Derived Keys] properties for this token to 'true'. 908 /sp:KerberosToken/wsp:Policy/sp:RequireExplicitDerivedKeys 909 This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] 910 properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'. 911 /sp:KerberosToken/wsp:Policy/sp:RequireImplicitDerivedKeys 912 This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] 913 properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'. 914 /sp:KerberosToken/wsp:Policy/sp:RequireKeyIdentifierReference 915 This optional element is a policy assertion that indicates that a key identifier reference is required 916 when referencing this token. 917 /sp:KerberosToken/wsp:Policy/sp:WssKerberosV5ApRegToken11 918

This optional element is a policy assertion that indicates that a Kerberos Version 5 AP-REQ token should be used as defined in [WSS:KerberosTokenProfile1.1].

/sp:KerberosToken/wsp:Policy/sp:WssGssKerberosV5ApReqToken11

This optional element is a policy assertion that indicates that a GSS Kerberos Version 5 AP-REQ token should be used as defined in [WSS:KerberosTokenProfile1.1].

5.3.5 SpnegoContextToken Assertion

This element represents a requirement for a SecurityContextToken obtained by executing an n-leg RST/RSTR SPNEGO binary negotiation protocol with the Web Service, as defined in WS-Trust.

Syntax

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The following describes the attributes and elements listed in the schema outlined above:

/sp:SpnegoContextToken

This identifies a SpnegoContextToken assertion.

/sp:SpnegoContextToken/@sp:IncludeToken

944 This optional attribute identifies the token inclusion value for this token assertion.

945 /sp:SpnegoContextToken/sp:Issuer

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This optional element, of type wsa: EndpointReferenceType, contains a reference to the issuer for the Spnego Context Token.

/sp:SpnegoContextToken/wsp:Policy

This optional element identifies additional requirements for use of the sp:SpnegoContextToken assertion.

/sp:SpnegoContextToken/wsp:Policy/sp:RequireDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] properties for this token to 'true'.

/sp:SpnegoContextToken/wsp:Policy/sp:RequireExplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.

/sp:SpnegoContextToken/wsp:Policy/sp:RequireImplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.

5.3.6 SecurityContextToken Assertion

This element represents a requirement for a SecurityContextToken token.

Syntax

The following describes the attributes and elements listed in the schema outlined above:

978 /sp:SecurityContextToken

This identifies a SecurityContextToken assertion.

/sp:SecurityContextToken/@sp:IncludeToken

This optional attribute identifies the token inclusion value for this token assertion.

/sp:SecurityContextToken/wsp:Policy

This optional element identifies additional requirements for use of the sp:SecurityContextToken assertion.

/sp:SecurityContextToken/wsp:Policy/sp:RequireDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] properties for this token to 'true'.

/sp: Security Context Token/wsp: Policy/sp: Require Explicit Derived Keys

This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.

/sp:SecurityContextToken/wsp:Policy/sp:RequireImplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.

/sp:SecurityContextToken/wsp:Policy/sp:RequireExternalUriReference

This optional element is a policy assertion that indicates that an external URI reference is required when referencing this token.

/sp:SecurityContextToken/wsp:Policy/sp:SC200502SecurityContextToken

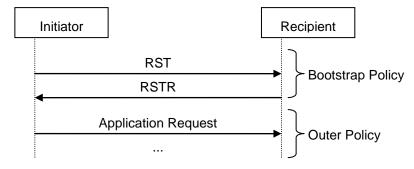
This optional element is a policy assertion that indicates that a Security Context Token should be used as defined in [WS-SecureConversation].

Note: This assertion does not describe how to obtain a Security Context Token but rather assumes that both parties have the token already or have agreed separately on a mechanism for obtaining the token. If a definition of the mechanism for obtaining the Security Context Token is desired in policy, then either the sp:SecureConversationToken or the sp:IssuedToken assertion should be used instead.

5.3.7 SecureConversationToken Assertion

This element represents a requirement for a Security Context Token retrieved from the indicated issuer address. If the sp:Issuer address is absent, the protocol MUST be executed at the same address as the service endpoint address.

Note: This assertion describes the token accepted by the target service. Because this token is issued by the target service and may not have a separate port (with separate policy), this assertion SHOULD contain a bootstrap policy indicating the security binding and policy that is used when requesting this token from the target service. That is, the bootstrap policy is used to obtain the token and then the current (outer) policy is used when making requests with the token. This is illustrated in the diagram below.



Syntax

```
1018
            <sp:SecureConversationToken sp:IncludeToken="xs:anyURI"? xmlns:sp="..." ... >
1019
              <sp:Issuer>wsa:EndpointReferenceType</sp:Issuer>?
1020
              <wsp:Policy xmlns:wsp="...">
1021
1022
                  <sp:RequireDerivedKeys ... /> |
1023
                  <sp:RequireImplicitDerivedKeys ... /> |
1024
                  <sp:RequireExplicitDerivedKeys ... />
1025
1026
               <sp:RequireExternalUriReference ... /> ?
               <sp:SC200502SecurityContextToken ... /> ?
1027
1028
                <sp:BootstrapPolicy ... > ?
1029
                  <wsp:Policy> ... </wsp:Policy>
1030
                </sp:BootstrapPolicy>
1031
              </wsp:Policy> ?
1032
1033
            </sp:SecureConversationToken>
```

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The following describes the attributes and elements listed in the schema outlined above:

/sp:SecureConversationToken

This identifies a SecureConversationToken assertion.

/sp:SecureConversationToken/@sp:IncludeToken

This optional attribute identifies the token inclusion value for this token assertion.

/sp:SecureConversationToken/sp:Issuer

This optional element, of type wsa:EndpointReferenceType, contains a reference to the issuer for the Security Context Token.

1043 /sp:SecureConversationToken/wsp:Policy

This optional element identifies additional requirements for use of the sp:SecureConversationToken assertion.

/sp:SecureConversationToken/wsp:Policy/sp:RequireDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] properties for this token to 'true'.

/sp:SecureConversationToken/wsp:Policy/sp:RequireExplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.

/sp:SecureConversationToken/wsp:Policy/sp:RequireImplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.

/sp:SecureConversationToken/wsp:Policy/sp:RequireExternalUriReference

This optional element is a policy assertion that indicates that an external URI reference is required when referencing this token.

/sp:SecureConversationToken/wsp:Policy/sp:SC200502SecurityContextToken

This optional element is a policy assertion that indicates that a Security Context Token should be used as obtained using the protocol defined in [WS-SecureConversation].

/sp:SecureConversationToken/wsp:Policy/sp:BootstrapPolicy

This optional element is a policy assertion that contains the policy indicating the requirements for obtaining the Security Context Token.

/sp:SecureConversationToken/wsp:Policy/sp:BootstrapPolicy/wsp:Policy

1069

This element contains the security binding requirements for obtaining the Security Context Token. It will typically contain a security binding assertion (e.g. sp:SymmetricBinding) along with protection assertions (e.g. sp:SignedParts) describing the parts of the RST/RSTR messages that are to be protected.

Example

```
1070
            <wsp:Policy xmlns:wsp="..." xmlns:sp="...">
1071
              <sp:SymmetricBinding>
1072
                <wsp:Policy>
1073
                  <sp:ProtectionToken>
1074
                    <wsp:Policy>
1075
                      <sp:SecureConversationToken>
1076
                        <sp:Issuer>
1077
                          <wsa:Address>http://example.org/sts</wsa:Address>
1078
                        </sp:Issuer>
1079
                        <wsp:Policy>
1080
                          <sp:SC10SecurityContextToken />
1081
                          <sp:BootstrapPolicy>
1082
                            <wsp:Policy>
1083
                              <sp:AsymmetricBinding>
1084
                                <wsp:Policy>
1085
                                  <sp:InitiatorToken>
1086
1087
                                  </sp:InitiatorToken>
1088
                                  <sp:RecipientToken>
1089
1090
                                  </sp:RecipientToken>
1091
                                </wsp:Policy>
1092
                              </sp:AsymmetricBinding>
1093
                              <sp:SignedParts>
1094
1095
                              </sp:SignedParts>
1096
1097
                            </wsp:Policy>
1098
                          </sp:BootstrapPolicy>
1099
                        </wsp:Policy>
1100
                      </sp:SecureConversationToken>
1101
                    </wsp:Policy>
1102
                  </sp:ProtectionToken>
1103
1104
                </wsp:Policy>
1105
              </sp:SymmetricBinding>
1106
              <sp:SignedParts>
1107
1108
              </sp:SignedParts>
1109
1110
            </wsp:Policy>
```

5.3.8 SamlToken Assertion

- 1112 This element represents a requirement for a SAML token.
- 1113 **Syntax**

1111

```
1114
            <sp:SamlToken sp:IncludeToken="xs:anyURI"? xmlns:sp="..." ... >
1115
              <wsp:Policy xmlns:wsp="...">
1116
1117
                  <sp:RequireDerivedKeys ... /> |
1118
                  <sp:RequireImplicitDerivedKeys ... /> |
1119
                  <sp:RequireExplicitDerivedKeys ... />
1120
1121
                <sp:RequireKeyIdentifierReference ... /> ?
1122
1123
                  <sp:WssSamlV11Token10 ... /> |
1124
                  <sp:WssSamlV11Token11 ... /> |
1125
                  <sp:WssSamlV20Token11 ... />
1126
1127
1128
              </wsp:Policy> ?
1129
1130
            </sp:SamlToken>
```

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The following describes the attributes and elements listed in the schema outlined above:

1133 /sp:SamlToken

This identifies a SamlToken assertion.

1135 /sp:SamlToken/@sp:IncludeToken

This optional attribute identifies the token inclusion value for this token assertion.

/sp:SamlToken/wsp:Policy

This optional element identifies additional requirements for use of the sp:SamlToken assertion.

/sp:SamlToken/wsp:Policy/sp:RequireDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] properties for this token to 'true'.

/sp:SamlToken/wsp:Policy/sp:RequireExplicitDerivedKevs

This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.

/sp:SamlToken/wsp:Policy/sp:RequireImplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.

/sp:SamlToken/wsp:Policy/sp:RequireKeyIdentifierReference

This optional element is a policy assertion that indicates that a key identifier reference is required when referencing this token.

/sp:SamlToken/wsp:Policy/sp:WssSamlV11Token10

This optional element is a policy assertion that identifies that a SAML Version 1.1 token should be used as defined in [WSS:SAMLTokenProfile1.0].

/sp:SamlToken/wsp:Policy/sp:WssSamlV11Token11

This optional element is a policy assertion that identifies that a SAML Version 1.1 token should be used as defined in [WSS:SAMLTokenProfile1.1].

/sp:SamlToken/wsp:Policy/sp:WssSamlV20Token11

This optional element is a policy assertion that identifies that a SAML Version 2.0 token should be used as defined in [WSS:SAMLTokenProfile1.1].

1160

- 1161 Note: This assertion does not describe how to obtain a SAML Token but rather assumes that both parties
- have the token already or have agreed separately on a mechanism for obtaining the token. If a definition
- of the mechanism for obtaining the SAML Token is desired in policy, the sp:IssuedToken assertion should
- 1164 be used instead.

5.3.9 RelToken Assertion

This element represents a requirement for a REL token.

Syntax

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```
1168
            <sp:RelToken sp:IncludeToken="xs:anyURI"? xmlns:sp="..." ... >
1169
              <wsp:Policy xmlns:wsp="...">
1170
                  <sp:RequireDerivedKeys ... /> |
1171
1172
                  <sp:RequireImplicitDerivedKeys ... /> |
1173
                  <sp:RequireExplicitDerivedKeys ... />
1174
1175
                <sp:RequireKeyIdentifierReference ... /> ?
1176
1177
                  <sp:WssRelV10Token10 ... /> |
1178
                  <sp:WssRelV20Token10 ... /> |
1179
                  <sp:WssRelV10Token11 ... /> |
1180
                  <sp:WssRelV20Token11 ... />
1181
1182
1183
              </wsp:Policy> ?
1184
1185
            </sp:RelToken>
```

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The following describes the attributes and elements listed in the schema outlined above:

1188 /sp:RelToken

This identifies a RelToken assertion.

1190 /sp:RelToken/@sp:IncludeToken

This optional attribute identifies the token inclusion value for this token assertion.

1192 /sp:RelToken/wsp:Policy

This optional element identifies additional requirements for use of the sp:RelToken assertion.

/sp:RelToken/wsp:Policy/sp:RequireDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys], [Explicit Derived Keys] and [Implicit Derived Keys] property for this token to 'true'.

/sp:RelToken/wsp:Policy/sp:RequireExplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Explicit Derived Keys] properties for this token to 'true' and the [Implicit Derived Keys] property for this token to 'false'.

/sp:RelToken/wsp:Policy/sp:RequireImplicitDerivedKeys

This optional element is a policy assertion that sets the [Derived Keys] and [Implicit Derived Keys] properties for this token to 'true' and the [Explicit Derived Keys] property for this token to 'false'.

/sp:RelToken/wsp:Policy/sp:RequireKeyIdentifierReference

This optional element is a policy assertion that indicates that a key identifier reference is required when referencing this token.

/sp:RelToken/wsp:Policy/sp:WssRelV10Token10

This optional element is a policy assertion that identifies that a REL Version 1.0 token should be used as defined in [WSS:RELTokenProfile1.0].

1209 /sp:RelToken/wsp:Policy/sp:WssRelV20Token10

This optional element is a policy assertion that identifies that a REL Version 2.0 token should be used as defined in [WSS:RELTokenProfile1.0].

/sp:RelToken/wsp:Policy/sp:WssRelV10Token11

This optional element is a policy assertion that identifies that a REL Version 1.0 token should be used as defined in [WSS:RELTokenProfile1.1].

/sp:RelToken/wsp:Policy/sp:WssRelV20Token11

This optional element is a policy assertion that identifies that a REL Version 2.0 token should be used as defined in [WSS:RELTokenProfile1.1].

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Note: This assertion does not describe how to obtain a REL Token but rather assumes that both parties have the token already or have agreed separately on a mechanism for obtaining the token. If a definition of the mechanism for obtaining the REL Token is desired in policy, the sp:IssuedToken assertion should be used instead.

5.3.10 HttpsToken Assertion

This element represents a requirement for a transport binding to support the use of HTTPS.

1225 **Syntax**

```
1226
            <sp:HttpsToken xmlns:sp="..." ... >
1227
              <wsp:Policy xmlns:wsp="...">
1228
1229
                  <sp:HttpBasicAuthentication /> |
1230
                  <sp:HttpDigestAuthentication /> |
1231
                  <sp:RequireClientCertificate /> |
1232
1233
               )?
1234
1235
              </wsp:Policy> ?
1236
1237
            </sp:HttpsToken>
```

The following describes the attributes and elements listed in the schema outlined above:

1239 /sp:HttpsToken

This identifies an Https assertion stating that use of the HTTPS protocol specification is supported.

/sp:HttpsToken/wsp:Policy

This optional element identifies additional requirements for use of the sp:HttpsToken assertion.

/sp:HttpsToken/wsp:Policy/sp:HttpBasicAuthentication

This optional element is a policy assertion that indicates that the client MUST use HTTP Basic Authentication [RFC2068] to authenticate to the service.

/sp:HttpsToken/wsp:Policy/sp:HttpDigestAuthentication

This optional element is a policy assertion that indicates that the client MUST use HTTP Digest Authentication [RFC2068] to authenticate to the service.

/sp:HttpsToken/wsp:Policy/sp:RequireClientCertificate

This optional element is a policy assertion that indicates that the client MUST provide a certificate when negotiating the HTTPS session.

6 Security Binding Properties

- This section defines the various properties or conditions of a security binding, their semantics, values and defaults where appropriate. Properties are used by a binding in a manner similar to how variables are
- used in code. Assertions populate, (or set) the value of the property (or variable). When an assertion that
- populates a value of a property appears in a policy, that property is set to the value indicated by the
- assertion. The security binding then uses the value of the property to control its behavior. The properties
- 1259 listed here are common to the various security bindings described in Section 7. Assertions that define
- values for these properties are defined in Section 7. The following properties are used by the security
- 1261 binding assertions.

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6.1 [Algorithm Suite] Property

- This property specifies the algorithm suite required for performing cryptographic operations with
- symmetric or asymmetric key based security tokens. An algorithm suite specifies actual algorithms and
- allowed key lengths. A policy alternative will define what algorithms are used and how they are used. This
- property defines the set of available algorithms. The value of this property is typically referenced by a
- security binding and is used to specify the algorithms used for all message level cryptographic operations
- 1268 performed under the security binding.
- Note: In some cases, this property MAY be referenced under a context other than a security binding and
- 1270 used to control the algorithms used under that context. For example, supporting token assertions define
- 1271 such a context. In such contexts, the specified algorithms still apply to message level cryptographic
- 1272 operations.
- 1273 An algorithm suite defines values for each of the following operations and properties:
- [Sym Sig] Symmetric Key Signature
- [Asym Sig] Signature with an asymmetric key
- 1276 [Dig] Digest
- 1277 ◆ [Enc] Encryption
- 1278 [Sym KW] Symmetric Key Wrap
- 1279 [Asym KW] Asymmetric Key Wrap
- [Comp Key] Computed key
- [Enc KD] Encryption key derivation
- [Sig KD] Signature key derivation
- [Min SKL] Minimum symmetric key length
- [Max SKL] Maximum symmetric key length
- 1285 [Min AKL] Minimum asymmetric key length
- [Max AKL] Maximum asymmetric key length

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1288 The following table provides abbreviations for the algorithm URI used in the table below:

Abbreviation	Algorithm URI
HmacSha1	http://www.w3.org/2000/09/xmldsig#hmac-sha1
RsaSha1	http://www.w3.org/2000/09/xmldsig#rsa-sha1
Sha1	http://www.w3.org/2000/09/xmldsig#sha1
Sha256	http://www.w3.org/2001/04/xmlenc#sha256
Sha512	http://www.w3.org/2001/04/xmlenc#sha512

Aes128	http://www.w3.org/2001/04/xmlenc#aes128-cbc
A65120	
Aes192	http://www.w3.org/2001/04/xmlenc#aes192-cbc
Aes256	http://www.w3.org/2001/04/xmlenc#aes256-cbc
TripleDes	http://www.w3.org/2001/04/xmlenc#tripledes-cbc
KwAes128	http://www.w3.org/2001/04/xmlenc#kw-aes128
KwAes192	http://www.w3.org/2001/04/xmlenc#kw-aes192
KwAes256	http://www.w3.org/2001/04/xmlenc#kw-aes256
KwTripleDes	http://www.w3.org/2001/04/xmlenc#kw-tripledes
KwRsaOaep	http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p
KwPca15	http://www.w3.org/2001/04/vmlenc#rea_1.5

KwRsa15 http://www.w3.org/2001/04/xmlenc#rsa-1_5

PSha1 http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1 PSha1L128 http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1 http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1 PSha1L256 http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1

XPath http://www.w3.org/TR/1999/REC-xpath-19991116

XPath20 http://www.w3.org/2002/06/xmldsig-filter2
C14n http://www.w3.org/2001/10/xml-c14n#
ExC14n http://www.w3.org/2001/10/xml-exc-c14n#
SNT http://www.w3.org/TR/soap12-n11n

http://docs.oasis-open.org/wss/2004/xx/oasis-2004xx-wss-soap-message-

STRT10 security-1.0#STR-Transform

AbsXPath http://docs.oasis-open.org/...TBD.../AbsXPath

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The tables below show all the base algorithm suites defined by this specification. This table defines values for properties which are common for all suites:

Property	Algorithm / Value
[Sym Sig]	HmacSha1
[Asym Sig]	RsaSha1
[Comp Key]	PSha1
[Max SKL]	256
[Min AKL]	1024
[Max AKL]	4096

This table defines additional properties whose values can be specified along with the default value for that property.

Property	Algorithm / Value
[C14n Algorithm]	ExC14n
[Soap Norm]	None
[STR Trans]	None
[XPath]	None

This table defines values for the remaining components for each algorithm suite.

Algorithm Suite	[Dig]	[Enc]	[Sym KW]	[Asym KW]	[Enc KD]	[Sig KD]	[Min SKL]
Basic256	Sha1	Aes256	KwAes256	KwRsaOaep	PSha1L256	PSha1L192	256
Basic192	Sha1	Aes192	KwAes192	KwRsaOaep	PSha1L192	PSha1L192	192
Basic128	Sha1	Aes128	KwAes128	KwRsaOaep	PSha1L128	PSha1L128	128
TripleDes	Sha1	TripleDes	KwTripleDes	KwRsaOaep	PSha1L192	PSha1L192	192
Basic256Rsa15	Sha1	Aes256	KwAes256	KwRsa15	PSha1L256	PSha1L192	256
Basic192Rsa15	Sha1	Aes192	KwAes192	KwRsa15	PSha1L192	PSha1L192	192
Basic128Rsa15	Sha1	Aes128	KwAes128	KwRsa15	PSha1L128	PSha1L128	128
TripleDesRsa15	Sha1	TripleDes	KwTripleDes	KwRsa15	PSha1L192	PSha1L192	192
Basic256Sha256	Sha256	Aes256	KwAes256	KwRsaOaep	PSha1L256	PSha1L192	256
Basic192Sha256	Sha256	Aes192	KwAes192	KwRsaOaep	PSha1L192	PSha1L192	192
Basic128Sha256	Sha256	Aes128	KwAes128	KwRsaOaep	PSha1L128	PSha1L128	128
TripleDesSha256	Sha256	TripleDes	KwTripleDes	KwRsaOaep	PSha1L192	PSha1L192	192
Basic256Sha256Rsa15	Sha256	Aes256	KwAes256	KwRsa15	PSha1L256	PSha1L192	256
Basic192Sha256Rsa15	Sha256	Aes192	KwAes192	KwRsa15	PSha1L192	PSha1L192	192
Basic128Sha256Rsa15	Sha256	Aes128	KwAes128	KwRsa15	PSha1L128	PSha1L128	128
TripleDesSha256Rsa15	Sha256	TripleDes	KwTripleDes	KwRsa15	PSha1L192	PSha1L192	192

6.2 [Timestamp] Property

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This boolean property specifies whether a wsu: Timestamp element is present in the wsse: Security header. If the value is 'true', the timestamp element MUST be present and MUST be integrity protected either by transport or message level security. If the value is 'false', the timestamp element MUST NOT be present. The default value for this property is 'false'.

6.3 [Protection Order] Property

This property indicates the order in which integrity and confidentiality are applied to the message, in cases where both integrity and confidentiality are required:

EncryptBeforeSigning	Signature MUST computed over ciphertext. Encryption key and signing key MUST be derived from the same source key unless distinct keys are provided, see Section 7.5 on the AsymmetricBinding.
SignBeforeEncrypting	Signature MUST be computed over plaintext. The resulting signature SHOULD be encrypted. Supporting signatures MUST be over the plain text signature.

1303 The default value for this property is 'SignBeforeEncrypting'.

6.4 [Signature Protection] Property

This boolean property specifies whether the signature must be encrypted. If the value is 'true', the primary signature MUST be encrypted and any signature confirmation elements MUST also be encrypted. If the value is 'false', the primary signature MUST NOT be encrypted and any signature confirmation elements MUST NOT be encrypted. The default value for this property is 'false'.

6.5 [Token Protection] Property

- 1310 This boolean property specifies whether signatures must cover the token used to generate that signature.
- 1311 If the value is 'true', then each token used to generate a signature MUST be covered by that signature. If
- the value is 'false', then the token MUST NOT be covered by the signature. Note that in cases where
- derived keys are used the 'main' token, and NOT the derived key token, is covered by the signature. It is
- recommended that assertions that define values for this property apply to [Endpoint Policy Subject]. The
- default value for this property is 'false'.

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6.6 [Entire Header and Body Signatures] Property

- This boolean property specifies whether signature digests over the SOAP body and SOAP headers must
- only cover the entire body and entire header elements. If the value is 'true', then each digest over the
- SOAP body MUST be over the entire SOAP body element and not a descendant of that element. In
- addition each digest over a SOAP header MUST be over an actual header element and not a descendant
- of a header element. This restriction does not specifically apply to the wsse:Security header. However
- signature digests over child elements of the wsse:Security header MUST be over the entire child element
- and not a descendent of that element. If the value is 'false', then signature digests MAY be over a
- descendant of the SOAP Body or a descendant of a header element. Setting the value of this property to
- 1325 'true' mitigates against some possible re-writing attacks. It is recommended that assertions that define
- values for this property apply to [Endpoint Policy Subject]. The default value for this property is 'false'.

6.7 [Security Header Layout] Property

This property indicates which layout rules to apply when adding items to the security header. The following table shows which rules are defined by this specification.

Strict	Items are added to the security header following the numbered layout rules described below according to a general principle of 'declare before use'.
Lax	Items are added to the security header in any order that conforms to WSS: SOAP Message Security
LaxTimestampFirst	As Lax except that the first item in the security header MUST be a wsse:Timestamp. Note that the [Timestamp] property MUST also be set to 'true' in this case.
LaxTimestampLast	As Lax except that the last item in the security header MUST be a wsse:Timestamp. Note that the [Timestamp] property MUST also be set to 'true' in this case.

6.7.1 Strict Layout Rules for WSS 1.0

- 1. Tokens that are included in the message MUST be declared before use. For example:
 - a. A local signing token MUST occur before the signature that uses it.
 - b. A local token serving as the source token for a derived key token MUST occur before that derived key token.

c. A local encryption token MUST occur before the reference list that points to xenc:EncryptedData elements that use it.
 d. If the same token is used for both signing and encryption, then it should appear.

- d. If the same token is used for both signing and encryption, then it should appear before the ds:Signature and xenc:ReferenceList elements in the security header that are generated using the token.
- 2. Signed elements inside the security header MUST occur before the signature that signs them. For example:
 - a. A timestamp MUST occur before the signature that signs it.
 - b. A Username token (usually in encrypted form) MUST occur before the signature that signs it.
 - c. A primary signature MUST occur before the supporting token signature that signs the primary signature's signature value element.
- 3. When an element in a security header is encrypted, the resulting xenc:EncryptedData element has the same order requirements as the source plain text element, unless requirement 4 indicates otherwise. For example, an encrypted primary signature MUST occur before any supporting token signature per 2.c above and an encrypted token has the same ordering requirements as the unencrypted token.

If there are any encrypted elements in the message then a top level xenc:ReferenceList element or a top level xenc:EncryptedKey element which contains an xenc:ReferenceList element MUST be present in the security header. The xenc:ReferenceList or xenc:EncryptedKey MUST occur before any xenc:EncryptedData elements in the security header that are referenced from the reference list. Strict Layout Rules for WSS 1.1

- 1. Tokens that are included in the message MUST be declared before use. For example:
 - a. A local signing token MUST occur before the signature that uses it.
 - b. A local token serving as the source token for a derived key token MUST occur before that derived key token.
 - c. A local encryption token MUST occur before the reference list that points to xenc:EncryptedData elements that use it.
 - d. If the same token is used for both signing and encryption, then it should appear before the ds:Signature and xenc:ReferenceList elements in the security header that are generated using the token.
- 2. Signed elements inside the security header MUST occur before the signature that signs them. For example:
 - a. A timestamp MUST occur before the signature that signs it.
 - b. A Username token (usually in encrypted form) MUST occur before the signature that signs it.
 - c. A primary signature MUST occur before the supporting token signature that signs the primary signature's signature value element.
 - d. A wsse11:SignatureConfirmation element MUST occur before the signature that signs it.
- 3. When an element in a security header is encrypted, the resulting xenc:EncryptedData element has the same order requirements as the source plain text element, unless requirement 4 indicates otherwise. For example, an encrypted primary signature MUST occur before any supporting token signature per 2.c above and an encrypted token has the same ordering requirements as the unencrypted token.
- 4. If there are any encrypted elements in the message then a top level xenc:ReferenceList element MUST be present in the security header. The xenc:ReferenceList MUST occur before any xenc:EncryptedData elements in the security header that are referenced from the reference list. However, the xenc:ReferenceList is not required to appear before independently encrypted tokens such as the xenc:EncryptedKey token as defined in WSS.

1385 1386	5.	An xenc:EncryptedKey element without an internal reference list [WSS: SOAP Message Security 1.1] MUST obey rule 1 above.

7 Security Binding Assertions

The appropriate representation of the different facets of security mechanisms requires distilling the common primitives (to enable reuse) and then combining the primitive elements into patterns. The policy scope of assertions defined in this section is the policy scope of their containing element.

7.1 AlgorithmSuite Assertion

This assertion indicates a requirement for an algorithm suite as defined under the [Algorithm Suite] property described in Section 6.1. The scope of this assertion is defined by its containing assertion.

Syntax

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1395
            <sp:AlgorithmSuite xmlns:sp="..." ... >
1396
              <wsp:Policy xmlns:wsp="...">
1397
               (<sp:Basic256 ... /> |
1398
                <sp:Basic192 ... />
1399
                <sp:Basic128 ... /> |
1400
               <sp:TripleDes ... /> |
1401
               <sp:Basic256Rsa15 ... />
1402
               <sp:Basic192Rsa15 ... />
               <sp:Basic128Rsa15 ... />
1403
1404
               <sp:TripleDesRsa15 ... />
1405
                <sp:Basic256Sha256 ... />
1406
               <sp:Basic192Sha256 ... />
1407
               <sp:Basic128Sha256 ... /> |
1408
               <sp:TripleDesSha256 ... /> |
1409
               <sp:Basic256Sha256Rsa15 ... /> |
1410
               <sp:Basic192Sha256Rsa15 ... /> |
1411
                <sp:Basic128Sha256Rsa15 ... /> |
1412
                <sp:TripleDesSha256Rsa15 ... /> |
1413
1414
               <sp:InclusiveC14N ... /> ?
1415
               <sp:SOAPNormalization10 ... /> ?
1416
               <sp:STRTransform10 ... /> ?
1417
               (<sp:XPath10 ... /> |
1418
                <sp:XPathFilter20 ... /> |
1419
                <sp:AbsXPath ... /> |
1420
1421
1422
              </wsp:Policy>
1423
1424
            </sp:AlgorithmSuite>
```

The following describes the attributes and elements listed in the schema outlined above:

/sp:AlgorithmSuite

This identifies an AlgorithmSuite assertion.

/sp:AlgorithmSuite/wsp:Policy

This element contains one or more policy assertions that indicate the specific algorithm suite to use.

/sp:AlgorithmSuite/wsp:Policy/sp:Basic256

This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic256'.

/sp:AlgorithmSuite/wsp:Policy/sp:Basic192

1435 1436	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic192'.
1437	/sp:AlgorithmSuite/wsp:Policy/sp:Basic128
1438 1439	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic128'.
1440	/sp:AlgorithmSuite/wsp:Policy/sp:TripleDes
1441 1442	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'TripleDes'.
1443	/sp:AlgorithmSuite/wsp:Policy/sp:Basic256Rsa15
1444 1445	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic256Rsa15'.
1446	/sp:AlgorithmSuite/wsp:Policy/sp:Basic192Rsa15
1447 1448	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic192Rsa15'.
1449	/sp:AlgorithmSuite/wsp:Policy/sp:Basic128Rsa15
1450 1451	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic128Rsa15'.
1452	/sp:AlgorithmSuite/wsp:Policy/sp:TripleDesRsa15
1453 1454	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'TripleDesRsa15'.
1455	/sp:AlgorithmSuite/wsp:Policy/sp:Basic256Sha256
1456 1457	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic256Sha256'.
1458	/sp:AlgorithmSuite/wsp:Policy/sp:Basic192Sha256
1459 1460	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic192Sha256'.
1461	/sp:AlgorithmSuite/wsp:Policy/sp:Basic128Sha256
1462 1463	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic128Sha256'.
1464	/sp:AlgorithmSuite/wsp:Policy/sp:TripleDesSha256
1465 1466	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'TripleDesSha256'.
1467	/sp:AlgorithmSuite/wsp:Policy/sp:Basic256Sha256Rsa15
1468 1469	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic256Sha256Rsa15'.
1470	/sp:AlgorithmSuite/wsp:Policy/sp:Basic192Sha256Rsa15
1471 1472	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic192Sha256Rsa15'.
1473	/sp:AlgorithmSuite/wsp:Policy/sp:Basic128Sha256Rsa15
1474 1475	This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'Basic128Sha256Rsa15'.
1476	/sp:AlgorithmSuite/wsp:Policy/sp:TripleDesSha256Rsa15

This optional element is a policy assertion that indicates that the [Algorithm Suite] property is set to 'TripleDesSha256Rsa15'.

1479 /sp:AlgorithmSuite/wsp:Policy/sp:InclusiveC14N

This optional element is a policy assertion that indicates that the [C14N] property of an algorithm suite is set to 'C14N'. Note: as indicated in Section 6.1 the default value of the [C14N] property is 'ExcC14N'.

/sp:AlgorithmSuite/wsp:Policy/sp:SoapNormalization10

This optional element is a policy assertion that indicates that the [SOAP Norm] property is set to 'SNT'.

/sp:AlgorithmSuite/wsp:Policy/sp:STRTransform10

This optional element is a policy assertion that indicates that the [STR Transform] property is set to 'STRT10'.

/sp:AlgorithmSuite/wsp:Policy/sp:XPath10

This optional element is a policy assertion that indicates that the [XPath] property is set to 'XPath'.

/sp:AlgorithmSuite/wsp:Policy/sp:XPathFilter20

This optional element is a policy assertion that indicates that the [XPath] property is set to 'XPath20'.

/sp:AlgorithmSuite/wsp:Policy/sp:AbsXPath

This optional element is a policy assertion that indicates that the [XPath] property is set to 'AbsXPath' (see AbsoluteLocationPath in [XPATH]).

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7.2 Layout Assertion

This assertion indicates a requirement for a particular security header layout as defined under the [Security Header Layout] property described in Section 6.7. The scope of this assertion is defined by its containing assertion.

1502 **Syntax**

```
1503
            <sp:Layout xmlns:sp="..." ... >
1504
              <wsp:Policy xmlns:wsp="...">
                <sp:Strict ... /> |
1505
                <sp:Lax ... /> |
1506
                <sp:LaxTsFirst ... /> |
1507
1508
                <sp:LaxTsLast ... /> |
1509
1510
              </wsp:Policy>
1511
1512
            </sp:Layout>
```

1513 1514

1516

The following describes the attributes and elements listed in the schema outlined above:

1515 /sp:Layout

This identifies a Layout assertion.

1517 /sp:Layout/wsp:Policy

This element contains one or more policy assertions that indicate the specific security header layout to use.

1520 /sp:Layout/wsp:Policy/sp:Strict

This optional element is a policy assertion that indicates that the [Security Header Layout] property is set to 'Strict'.

1523 /sp:Layout/wsp:Policy/sp:Lax

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This optional element is a policy assertion that indicates that the [Security Header Layout] property is set to 'Lax'.

1526 /sp:Layout/wsp:Policy/sp:LaxTsFirst

This optional element is a policy assertion that indicates that the [Security Header Layout] property is set to 'LaxTimestampFirst'. Note that the [Timestamp] property MUST also be set to 'true' by the presence of an sp:IncludeTimestamp assertion.

/sp:Layout/wsp:Policy/sp:LaxTsLast

This optional element is a policy assertion that indicates that the [Security Header Layout] property is set to 'LaxTimestampLast'. Note that the [Timestamp] property MUST also be set to 'true' by the presence of an sp:IncludeTimestamp assertion.

7.3 TransportBinding Assertion

The TransportBinding assertion is used in scenarios in which message protection and security correlation is provided by means other than WSS: SOAP Message Security, for example by a secure transport like HTTPS. Specifically, this assertion indicates that the message is protected using the means provided by the transport. This binding has one binding specific token property; [Transport Token]. This assertion MUST apply to [Endpoint Policy Subject].

Syntax

```
1541
            <sp:TransportBinding xmlns:sp="..." ... >
1542
              <wsp:Policy xmlns:wsp="...">
1543
                <sp:TransportToken ... >
1544
                  <wsp:Policy> ... </wsp:Policy>
1545
1546
                </sp:TransportToken>
1547
                <sp:AlgorithmSuite ... > ... </sp:AlgorithmSuite>
1548
                <sp:Layout ... > ... </sp:Layout> ?
1549
               <sp:IncludeTimestamp ... /> ?
1550
1551
              </wsp:Policy>
1552
1553
            </sp:TransportBinding>
```

The following describes the attributes and elements listed in the schema outlined above:

1556 /sp:TransportBinding

This identifies a TransportBinding assertion.

/sp:TransportBinding/wsp:Policy

This indicates a nested wsp:Policy element that defines the behavior of the TransportBinding assertion.

/sp:TransportBinding/wsp:Policy/sp:TransportToken

This required element is a policy assertion that indicates a requirement for a Transport Token. The specified token populates the [Transport Token] property and indicates how the transport is secured.

/sp:TransportBinding/wsp:Policy/sp:TransportToken/wsp:Policy

This indicates a nested policy that identifies the type of Transport Token to use.

1567 /sp:TransportBinding/wsp:Policy/sp:AlgorithmSuite

This required element is a policy assertion that indicates a value that populates the [Algorithm Suite] property. See Section 6.1 for more details.

1570 /sp:TransportBinding/wsp:Policy/sp:Layout

This optional element is a policy assertion that indicates a value that populates the [Security Header Layout] property. See Section 6.7 for more details.

/sp:TransportBinding/wsp:Policy/sp:IncludeTimestamp

This optional element is a policy assertion that indicates that the [Timestamp] property is set to 'true'.

7.4 Symmetric Binding Assertion

The SymmetricBinding assertion is used in scenarios in which message protection is provided by means defined in WSS: SOAP Message Security. This binding has two binding specific token properties; [Encryption Token] and [Signature Token]. If the message pattern requires multiple messages, this binding defines that the [Encryption Token] used from initiator to recipient is also used from recipient to initiator. Similarly, the [Signature Token] used from initiator to recipient is also use from recipient to initiator. If a sp:ProtectionToken assertion is specified, the specified token populates both token properties and is used as the basis for both encryption and signature in both directions. This assertion SHOULD apply to [Endpoint Policy Subject]. This assertion MAY apply to [Operation Policy Subject].

Syntax

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```
1586
            <sp:SymmetricBinding xmlns:sp="..." ... >
1587
              <wsp:Policy xmlns:wsp="...">
1588
1589
                  <sp:EncryptionToken ... >
1590
                    <wsp:Policy> ... </wsp:Policy>
1591
                  </sp:EncryptionToken>
1592
                  <sp:SignatureToken ... >
1593
                    <wsp:Policy> ... </wsp:Policy>
1594
                  </sp:SignatureToken>
1595
1596
                  <sp:ProtectionToken ... >
1597
                    <wsp:Policy> ... </wsp:Policy>
1598
                  </sp:ProtectionToken>
1599
1600
                <sp:AlgorithmSuite ... > ... </sp:AlgorithmSuite>
1601
                <sp:Layout ... > ... </sp:Layout> ?
1602
                <sp:IncludeTimestamp ... /> ?
1603
                <sp:EncryptBeforeSigning ... /> ?
                <sp:EncryptSignature ... /> ?
1604
1605
                <sp:ProtectTokens ... /> ?
1606
                <sp:OnlySignEntireHeadersAndBody ... /> ?
1607
1608
              </wsp:Policy>
1609
1610
            </sp:SymmetricBinding>
```

The following describes the attributes and elements listed in the schema outlined above:

/sp:SymmetricBinding

This identifies a SymmetricBinding assertion.

1615 /sp:SymmetricBinding/wsp:Policy

This indicates a nested wsp:Policy element that defines the behavior of the SymmetricBinding assertion.

/sp:SymmetricBinding/wsp:Policy/sp:EncryptionToken

1619 1620 1621	This optional element is a policy assertion that indicates a requirement for an Encryption Token. The specified token populates the [Encryption Token] property and is used for encryption. It is an error for both an sp:EncryptionToken and an sp:ProtectionToken assertion to be specified.
1622	/sp:SymmetricBinding/wsp:Policy/sp:EncryptionToken/wsp:Policy
1623	The policy contained here MUST identify exactly one token to use for encryption.
1624	/sp:SymmetricBinding/wsp:Policy/sp:SignatureToken
1625 1626 1627 1628	This optional element is a policy assertion that indicates a requirement for a Signature Token. The specified token populates the [Signature Token] property and is used for the message signature. It is an error for both an sp:SignatureToken and an sp:ProtectionToken assertion to be specified.
1629	/sp:SymmetricBinding/wsp:Policy/sp:SignatureToken/wsp:Policy
1630	The policy contained here MUST identify exactly one token to use for signatures.
1631	/sp:SymmetricBinding/wsp:Policy/sp:ProtectionToken
1632 1633 1634 1635 1636	This optional element is a policy assertion that indicates a requirement for a Protection Token. The specified token populates the [Encryption Token] and [Signature Token properties] and is used for the message signature and for encryption. It is an error for both an sp:ProtectionToken assertion and either an sp:EncryptionToken assertion or an sp:SignatureToken assertion to be specified.
1637	/sp:SymmetricBinding/wsp:Policy/sp:ProtectionToken/wsp:Policy
1638	The policy contained here MUST identify exactly one token to use for protection.
1639	/sp:SymmetricBinding/wsp:Policy/sp:AlgorithmSuite
1640 1641	This required element is a policy assertion that indicates a value that populates the [Algorithm Suite] property. See Section 6.1 for more details.
1642	/sp:SymmetricBinding/wsp:Policy/sp:Layout
1643 1644	This optional element is a policy assertion that indicates a value that populates the [Security Header Layout] property. See Section 6.7 for more details.
1645	/sp:SymmetricBinding/wsp:Policy/sp:IncludeTimestamp
1646 1647	This optional element is a policy assertion that indicates that the [Timestamp] property is set to 'true'.
1648	/sp:SymmetricBinding/wsp:Policy/sp:EncryptBeforeSigning
1649 1650	This optional element is a policy assertion that indicates that the [Protection Order] property is set to 'EncryptBeforeSigning'.
1651	/sp:SymmetricBinding/wsp:Policy/sp:EncryptSignature
1652 1653	This optional element is a policy assertion that indicates that the [Signature Protection] property is set to 'true'.
1654	/sp:SymmetricBinding/wsp:Policy/sp:ProtectTokens
1655 1656	This optional element is a policy assertion that indicates that the [Token Protection] property is set to 'true'.
1657	/sp:SymmetricBinding/wsp:Policy/sp:OnlySignEntireHeadersAndBody
1658 1659	This optional element is a policy assertion that indicates that the [Entire Header And Body Signatures] property is set to 'true'.

7.5 AsymmetricBinding Assertion

The AsymmetricBinding assertion is used in scenarios in which message protection is provided by means defined in WSS: SOAP Message Security using asymmetric key (Public Key) technology. Commonly used asymmetric algorithms, such as RSA, allow the same key pair to be used for both encryption and signature. However it is also common practice to use distinct keys for encryption and signature, because of their different lifecycles.

This binding enables either of these practices by means of four binding specific token properties: [Initiator Signature Token], [Initiator Encryption Token], [Recipient Signature Token] and [Recipient Encryption Token].

If the same key pair is used for signature and encryption, then [Initiator Signature Token] and [Initiator Encryption Token] will both refer to the same token. Likewise [Recipient Signature Token] and [Recipient Encryption Token] will both refer to the same token.

If distinct key pairs are used for signature and encryption then [Initiator Signature Token] and [Initiator Encryption Token] will refer to different tokens. Likewise [Recipient Signature Token] and [Recipient Encryption Token] will refer to different tokens.

If the message pattern requires multiple messages, the [Initiator Signature Token] is used for the message signature from initiator to the recipient. The [Initiator Encryption Token] is used for the response message encryption from recipient to the initiator. The [Recipient Signature Token] is used for the response message signature from recipient to the initiator. The [Recipient Encryption Token] is used for the message encryption from initiator to the recipient. Note that in each case, the token is associated with the party (initiator or recipient) who knows the secret.

This assertion SHOULD apply to [Endpoint Policy Subject]. This assertion MAY apply to [Operation Policy Subject].

Syntax

```
1688
            <sp:AsymmetricBinding xmlns:sp="..." ... >
1689
              <wsp:Policy xmlns:wsp="...">
1690
1691
                 <sp:InitiatorToken>
1692
                  <wsp:Policy> ... </wsp:Policy>
1693
                 </sp:InitiatorToken>
1694
1695
                 <sp:InitiatorSignatureToken>
1696
                   <wsp:Policy> ... </wsp:Policy>
1697
                 </sp:InitiatorSignatureToken>
1698
                 <sp:InitiatorEncryptionToken>
1699
                   <wsp:Policy> ... </wsp:Policy>
1700
                 </sp:InitiatorEncryptionToken>
1701
1702
1703
                 <sp:RecipientToken>
1704
                   <wsp:Policy> ... </wsp:Policy>
1705
                 </sp:RecipientToken>
1706
1707
                 <sp:RecipientSignatureToken>
1708
                   <wsp:Policy> ... </wsp:Policy>
1709
                 </sp:RecipientSignatureToken>
1710
                 <sp:RecipientEncryptionToken>
1711
                   <wsp:Policy> ... </wsp:Policy>
```

```
1712
                  </sp:RecipientEncryptionToken>
1713
1714
                 <sp:AlgorithmSuite ... > ... </sp:AlgorithmSuite>
1715
                 <sp:Layout ... > ... </sp:Layout> ?
1716
                 <sp:IncludeTimestamp ... /> ?
1717
                 <sp:EncryptBeforeSigning ... /> ?
1718
                 <sp:EncryptSignature ... /> ?
1719
                 <sp:ProtectTokens ... /> ?
1720
                 <sp:OnlySignEntireHeadersAndBody ... /> ?
1721
1722
               </wsp:Policy>
1723
1724
             </sp:AsymmetricBinding>
1725
1726
        The following describes the attributes and elements listed in the schema outlined above:
1727
        /sp:AsymmetricBinding
1728
           This identifies a AsymmetricBinding assertion.
1729
        /sp:AsymmetricBinding/wsp:Policy
1730
           This indicates a nested wsp: Policy element that defines the behavior of the AsymmetricBinding
1731
           assertion.
1732
        /sp:AsymmetricBinding/wsp:Policy/sp:InitiatorToken
```

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This optional element is a policy assertion that indicates a requirement for an Initiator Token. The specified token populates the [Initiator Signature Token] and [Initiator Encryption Token] properties and is used for the message signature from initiator to recipient, and encryption from recipient to initiator.

/sp:AsymmetricBinding/wsp:Policy/sp:InitiatorToken/wsp:Policy

The policy contained here MUST identify one or more token assertions.

/sp:AsymmetricBinding/wsp:Policy/sp:InitiatorToken/wsp:Policy/sp:InitiatorSignatureToken

This optional element is a policy assertion that indicates a requirement for an Initiator Signature Token. The specified token populates the [Initiator Signature Token] property and is used for the message signature from initiator to recipient.

/sp:AsymmetricBinding/wsp:Policy/sp:InitiatorToken/wsp:Policy/sp:InitiatorSignatureToken/wsp:Policy

The policy contained here MUST identify one or more token assertions.

/sp:AsymmetricBinding/wsp:Policy/sp:InitiatorToken/wsp:Policy/sp:InitiatorEncryptionToken

This optional element is a policy assertion that indicates a requirement for an Initiator Encryption Token. The specified token populates the [Initiator Encryption Token] property and is used for the message encryption from recipient to initiator.

/sp:AsymmetricBinding/wsp:Policy/sp:InitiatorToken/wsp:Policy/sp:InitiatorEncryptionToken/wsp:Policy

The policy contained here MUST identify one or more token assertions.

1751 /sp:AsymmetricBinding/wsp:Policy/sp:RecipientToken

> This optional element is a policy assertion that indicates a requirement for a Recipient Token. The specified token populates the [Recipient Signature Token] and [Recipient Encryption Token] property and is used for encryption from initiator to recipient, and for the message signature from recipient to initiator.

/sp:AsymmetricBinding/wsp:Policy/sp:RecipientToken/wsp:Policy

The policy contained here MUST identify one or more token assertions.

/sp:AsymmetricBinding/wsp:Policy/sp:RecipientToken/wsp:Policy/sp:RecipientSignatureToken

1759 1760 1761	This optional element is a policy assertion that indicates a requirement for a Recipient Signature Token. The specified token populates the [Recipient Signature Token] property and is used for the message signature from Recipient to recipient.
1762	/sp:AsymmetricBinding/wsp:Policy/sp:RecipientToken/wsp:Policy/sp:RecipientSignatureToken/wsp:Policy
1763	The policy contained here MUST identify one or more token assertions.
1764	/sp:AsymmetricBinding/wsp:Policy/sp:RecipientToken/wsp:Policy/sp:RecipientEncryptionToken
1765 1766 1767	This optional element is a policy assertion that indicates a requirement for a Recipient Encryption Token. The specified token populates the [Recipient Encryption Token] property and is used for the message encryption from recipient to Recipient.
1768	/sp:AsymmetricBinding/wsp:Policy/sp:RecipientToken/wsp:Policy/sp:RecipientEncryptionToken/wsp:Policy
1769	The policy contained here MUST identify one or more token assertions.
1770	/sp:AsymmetricBinding/wsp:Policy/sp:AlgorithmSuite
1771 1772	This required element is a policy assertion that indicates a value that populates the [Algorithm Suite] property. See Section 6.1 for more details.
1773	/sp:AsymmetricBinding/wsp:Policy/sp:Layout
1774 1775	This optional element is a policy assertion that indicates a value that populates the [Security Header Layout] property. See Section 6.7 for more details.
1776	/sp:AsymmetricBinding/wsp:Policy/sp:IncludeTimestamp
1777 1778	This optional element is a policy assertion that indicates that the [Timestamp] property is set to 'true'.
1779	/sp:AsymmetricBinding/wsp:Policy/sp:EncryptBeforeSigning
1780 1781	This optional element is a policy assertion that indicates that the [Protection Order] property is set to 'EncryptBeforeSigning'.
1782	/sp:AsymmetricBinding/wsp:Policy/sp:EncryptSignature
1783 1784	This optional element is a policy assertion that indicates that the [Signature Protection] property is set to 'true'.
1785	/sp:AsymmetricBinding/wsp:Policy/sp:ProtectTokens
1786 1787	This optional element is a policy assertion that indicates that the [Token Protection] property is set to 'true'.
1788	/sp:AsymmetricBinding/wsp:Policy/sp:OnlySignEntireHeadersAndBody
1789 1790	This optional element is a policy assertion that indicates that the [Entire Header And Body Signatures] property is set to 'true'.

8 Supporting Tokens

Security Bindings use tokens to secure the message exchange. The Security Binding will require one to create a signature using the token identified in the Security Binding policy. This signature will here-to-fore be referred to as the "message signature". Additional tokens may be specified to augment the claims provided by the token associated with the "message signature" provided by the Security Binding. This section defines seven properties related to supporting token requirements which may be referenced by a Security Binding: [Supporting Tokens], [Signed Supporting Tokens], [Endorsing Supporting Tokens], [Signed Endorsing Supporting Tokens], [Endorsing Encrypted Supporting Tokens] and [Signed Endorsing Encrypted Supporting Tokens]. Seven assertions are defined to populate those properties: SupportingTokens, SignedSupportingTokens, EndorsingSupportingTokens, SignedEndorsingSupportingTokens, SignedEncryptedSupportingTokens,

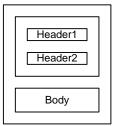
EndorsingEncryptedSupportingTokens and SignedEndorsingEncryptedSupportingTokens. These assertions SHOULD apply to [Endpoint Policy Subject]. These assertions MAY apply to [Message Policy Subject] or [Operation Policy Subject].

Supporting tokens may be specified at a different scope than the binding assertion which provides support for securing the exchange. For instance, a binding is specified at the scope of an endpoint, while the supporting tokens might be defined at the scope of a message. When assertions that populate this property are defined in overlapping scopes, the sender should merge the requirements by including all tokens from the outer scope and any additional tokens for a specific message from the inner scope.

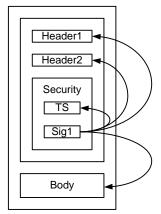
In cases where multiple tokens are specified that sign and/or encrypt overlapping message parts, all the tokens should sign and encrypt the various message parts. In such cases ordering of elements (tokens, signatures, reference lists etc.) in the security header would be used to determine which order signature and encryptions occurred in.

Policy authors need to ensure that the tokens they specify as supporting tokens can satisfy any additional constraints defined by the supporting token assertion. For example, if the supporting token assertion specifies message parts that need to be encrypted, the specified tokens need to be capable of encryption.

To illustrate the different ways that supporting tokens may be bound to the message, let's consider a message with three components: Header1, Header2, and Body.



Even before any supporting tokens are added, each binding requires that the message is signed using a token satisfying the required usage for that binding, and that the signature (Sig1) covers important parts

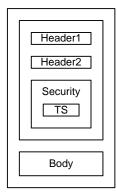


1831 1832

1833

Note: if required, the initiator may also include in the Security header the token used as the basis for the message signature (Sig1), not shown in the diagram.

1834 If transport security is used, only the message timestamp (TS) is included in the Security header as 1835 illustrated below:



1836

1837 1838

1839

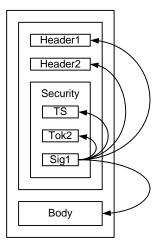
8.1 SupportingTokens Assertion

Supporting tokens are included in the security header and may optionally include additional message parts to sign and/or encrypt.

Syntax

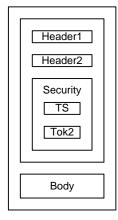
```
1840
1841
               <sp:SupportingTokens xmlns:sp="..." ... >
1842
                  <wsp:Policy xmlns:wsp="...">
1843
                    [Token Assertion]+
1844
                    <sp:AlgorithmSuite ... > ... </sp:AlgorithmSuite> ?
1845
                       <sp:SignedParts ... > ... </sp:SignedParts> |
<sp:SignedElements ... > ... </sp:SignedElements> |
1846
1847
1848
                       <sp:EncryptedParts ... > ... </sp:EncryptedParts> |
<sp:EncryptedElements ... > ... </sp:EncryptedElements> |
1849
1850
1851
1852
                  </wsp:Policy>
1853
1854
               </sp:SupportingTokens>
```

1856	The following describes the attributes and elements listed in the schema outlined above:
1857	/sp:SupportingTokens
1858 1859	This identifies a SupportingTokens assertion. The specified tokens populate the [Supporting Tokens] property.
1860	/sp:SupportingTokens/wsp:Policy
1861	This describes additional requirements for satisfying the SupportingTokens assertion.
1862	/sp:SupportingTokens/wsp:Policy/[Token Assertion]
1863	The policy MUST identify one or more token assertions.
1864	/sp:SupportingTokens/wsp:Policy/sp:AlgorithmSuite
1865 1866 1867	This optional element is a policy assertion that follows the schema outlined in Section 7.1 and describes the algorithms to use for cryptographic operations performed with the tokens identified by this policy assertion.
1868	/sp:SupportingTokens/wsp:Policy/sp:SignedParts
1869 1870 1871	This optional element is a policy assertion that follows the schema outlined in Section 4.1.1 and describes additional message parts that MUST be included in the signature generated with the token identified by this policy assertion.
1872	/sp:SupportingTokens/wsp:Policy/sp:SignedElements
1873 1874 1875	This optional element is a policy assertion that follows the schema outlined in Section 4.1.2 and describes additional message elements that MUST be included in the signature generated with the token identified by this policy assertion.
1876	/sp:SupportingTokens/wsp:Policy/sp:EncryptedParts
1877 1878 1879	This optional element is a policy assertion that follows the schema outlined in Section 4.2.1 and describes additional message parts that MUST be encrypted using the token identified by this policy assertion.
1880	/sp:SupportingTokens/wsp:Policy/sp:EncryptedElements
1881 1882 1883	This optional element is a policy assertion that follows the schema outlined in Section 4.2.2 and describes additional message elements that MUST be encrypted using the token identified by this policy assertion.
1884	8.2 SignedSupportingTokens Assertion
1885 1886 1887	Signed tokens are included in the "message signature" as defined above and may optionally include additional message parts to sign and/or encrypt. The diagram below illustrates how the attached token (Tok2) is signed by the message signature (Sig1):



If transport security is used, the token (Tok2) is included in the Security header as illustrated below:

1890 1891



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1893

Syntax

```
1894
               <sp:SignedSupportingTokens xmlns:sp="..." ... >
1895
                 <wsp:Policy xmlns:wsp="...">
1896
                    [Token Assertion]+
1897
                    <sp:AlgorithmSuite ... > ... </sp:AlgorithmSuite> ?
1898
1899
                      <sp:SignedParts ... > ... </sp:SignedParts> |
<sp:SignedElements ... > ... </sp:SignedElements> |
1900
1901
                      <sp:EncryptedParts ... > ... </sp:EncryptedParts> |
<sp:EncryptedElements ... > ... </sp:EncryptedElements> |
1902
1903
1904
1905
                 </wsp:Policy>
1906
1907
               </sp:SignedSupportingTokens>
```

1908 1909

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The following describes the attributes and elements listed in the schema outlined above:

1910 /sp:SignedSupportingTokens

This identifies a SignedSupportingTokens assertion. The specified tokens populate the [Signed Supporting Tokens] property.

/sp:SignedSupportingTokens/wsp:Policy

This describes additional requirements for satisfying the SignedSupportingTokens assertion.

1915 /sp:SignedSupportingTokens/wsp:Policy/[Token Assertion]

The policy MUST identify one or more token assertions.

/sp:SignedSupportingTokens/wsp:Policy/sp:AlgorithmSuite

This optional element is a policy assertion that follows the schema outlined in Section 7.1 and describes the algorithms to use for cryptographic operations performed with the tokens identified by this policy assertion.

/sp:SignedSupportingTokens/wsp:Policy/sp:SignedParts

This optional element is a policy assertion that follows the schema outlined in Section 4.1.1 and describes additional message parts that MUST be included in the signature generated with the token identified by this policy assertion.

/sp:SignedSupportingTokens/wsp:Policy/sp:SignedElements

This optional element is a policy assertion that follows the schema outlined in Section 4.1.2 and describes additional message elements that MUST be included in the signature generated with the token identified by this policy assertion.

/sp:SignedSupportingTokens/wsp:Policy/sp:EncryptedParts

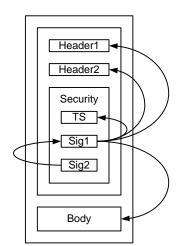
This optional element is a policy assertion that follows the schema outlined in Section 4.2.1 and describes additional message parts that MUST be encrypted using the token identified by this policy assertion.

/sp:SignedSupportingTokens/wsp:Policy/sp:EncryptedElements

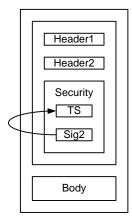
This optional element is a policy assertion that follows the schema outlined in Section 4.2.2 and describes additional message elements that MUST be encrypted using the token identified by this policy assertion.

8.3 EndorsingSupportingTokens Assertion

Endorsing tokens sign the message signature, that is they sign the entire ds:Signature element produced from the message signature and may optionally include additional message parts to sign and/or encrypt. The diagram below illustrates how the endorsing signature (Sig2) signs the message signature (Sig1):



If transport security is used, the signature (Sig2) MUST cover the message timestamp as illustrated below:



Syntax

The following describes the attributes and elements listed in the schema outlined above:

/sp:EndorsingSupportingTokens

This identifies an EndorsingSupportingTokens assertion. The specified tokens populate the [Endorsing Supporting Tokens] property.

/sp:EndorsingSupportingTokens/wsp:Policy

This describes additional requirements for satisfying the EndorsingSupportingTokens assertion.

/sp:EndorsingSupportingTokens/wsp:Policy/[Token Assertion]

The policy MUST identify one or more token assertions.

/sp:EndorsingSupportingTokens/wsp:Policy/sp:AlgorithmSuite

This optional element is a policy assertion that follows the schema outlined in Section 7.1 and describes the algorithms to use for cryptographic operations performed with the tokens identified by this policy assertion.

/sp:EndorsingSupportingTokens/wsp:Policy/sp:SignedParts

This optional element is a policy assertion that follows the schema outlined in Section 4.1.1 and describes additional message parts that MUST be included in the signature generated with the token identified by this policy assertion.

/sp:EndorsingSupportingTokens/wsp:Policy/sp:SignedElements

This optional element is a policy assertion that follows the schema outlined in Section 4.1.2 and describes additional message elements that MUST be included in the signature generated with the token identified by this policy assertion.

/sp:EndorsingSupportingTokens/wsp:Policy/sp:EncryptedParts

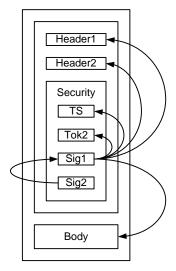
This optional element is a policy assertion that follows the schema outlined in Section 4.2.1 and describes additional message parts that MUST be encrypted using the token identified by this policy assertion.

/sp:EndorsingSupportingTokens/wsp:Policy/sp:EncryptedElements

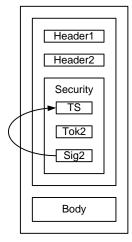
This optional element is a policy assertion that follows the schema outlined in Section 4.2.2 and describes additional message elements that MUST be encrypted using the token identified by this policy assertion.

8.4 SignedEndorsingSupportingTokens Assertion

Signed endorsing tokens sign the entire ds:Signature element produced from the message signature and are themselves signed by that message signature, that is both tokens (the token used for the message signature and the signed endorsing token) sign each other. This assertion may optionally include additional message parts to sign and/or encrypt. The diagram below illustrates how the signed token (Tok2) is signed by the message signature (Sig1) and the endorsing signature (Sig2) signs the message signature (Sig1):



If transport security is used, the token (Tok2) is included in the Security header and the signature (Sig2) should cover the message timestamp as illustrated below:



```
2005 Syntax
```

```
2006
            <sp:SignedEndorsingSupportingTokens xmlns:sp="..." ... >
2007
              <wsp:Policy xmlns:wsp="...">
2008
                [Token Assertion]+
2009
                <sp:AlgorithmSuite ... > ... </sp:AlgorithmSuite> ?
2010
2011
                  <sp:SignedParts ... > ... </sp:SignedParts> |
                  <sp:SignedElements ... > ... </sp:SignedElements> |
2012
2013
                  <sp:EncryptedParts ... > ... </sp:EncryptedParts> |
2014
                  <sp:EncryptedElements ... > ... </sp:EncryptedElements> |
2015
2016
2017
              </wsp:Policy>
2018
2019
            </sp:SignedEndorsingSupportingTokens>
```

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- The following describes the attributes and elements listed in the schema outlined above:
- 2022 /sp:SignedEndorsingSupportingTokens
 - This identifies a SignedEndorsingSupportingTokens assertion. The specified tokens populate the [Signed Endorsing Supporting Tokens] property.
- 2025 /sp:SignedEndorsingSupportingTokens/wsp:Policy
 - This describes additional requirements for satisfying the EndorsingSupportingTokens assertion.
- 2027 /sp:SignedEndorsingSupportingTokens/wsp:Policy/[Token Assertion]
 - The policy MUST identify one or more token assertions.
 - /sp:SignedEndorsingSupportingTokens/wsp:Policy/sp:AlgorithmSuite

This optional element is a policy assertion that follows the schema outlined in Section 7.1 and describes the algorithms to use for cryptographic operations performed with the tokens identified by this policy assertion.

/sp:SignedEndorsingSupportingTokens/wsp:Policy/sp:SignedParts

This optional element is a policy assertion that follows the schema outlined in Section 4.1.1 and describes additional message parts that MUST be included in the signature generated with the token identified by this policy assertion.

/sp:SignedEndorsingSupportingTokens/wsp:Policy/sp:SignedElements

This optional element follows the schema outlined in Section 4.1.2 and describes additional message elements that MUST be included in the signature generated with the token identified by this policy assertion.

/sp:SignedEndorsingSupportingTokens/wsp:Policy/sp:EncryptedParts

This optional element is a policy assertion that follows the schema outlined in Section 4.2.1 and describes additional message parts that MUST be encrypted using the token identified by this policy assertion.

/sp:SignedEndorsingSupportingTokens/wsp:Policy/sp:EncryptedElements

This optional element is a policy assertion that follows the schema outlined in Section 4.2.2 and describes additional message elements that MUST be encrypted using the token identified by this policy assertion.

8.5 SignedEncryptedSupportingTokens Assertion 2049 2050 Signed, encrypted supporting tokens are Signed supporting tokens (See section 8.2) that are also 2051 encrypted when they appear in the wsse:SecurityHeader. Element Encryption SHOULD be used for 2052 encrypting the supporting tokens. 2053 The syntax for the sp:SignedEncryptedSupportingTokens differs from the syntax of 2054 sp:SignedSupportingTokens only in the name of the assertion itself. All nested policy is as per the 2055 sp:SignedSupportingTokens assertion. 8.6 EndorsingEncryptedSupportingTokens Assertion 2056 2057 Endorsing, encrypted supporting tokens are Endorsing supporting tokens (See section 8.3) that are also 2058 encrypted when they appear in the wsse:SecurityHeader. Element Encryption SHOULD be used for 2059 encrypting the supporting tokens. 2060 The syntax for the sp:EndorsingEncryptedSupportingTokens differs from the syntax of 2061 sp:EndorsingSupportingTokens only in the name of the assertion itself. All nested policy is as per the 2062 sp:EndorsingSupportingTokens assertion. 8.7 SignedEndorsingEncryptedSupportingTokens Assertion 2063 2064 Signed, endorsing, encrypted supporting tokens are signed, endorsing supporting tokens (See section 8.4) that are also encrypted when they appear in the wsse:SecurityHeader. Element Encryption SHOULD 2065 2066 be used for encrypting the supporting tokens. 2067 The syntax for the sp:SignedEndorsingEncryptedSupportingTokens differs from the syntax of 2068 sp:SignedEndorsingSupportingTokens only in the name of the assertion itself. All nested policy is as per 2069 the sp:SignedEndorsingSupportingTokens assertion. 8.8 Interaction between [Token Protection] property and supporting 2070 token assertions 2071 2072 If [Token Protection] (see Section 6.5) is true, then each signature covers the token that generated that signature and the following statements hold with respect to the various tokens that sign or are signed: 2073 2074 The message signature, generated from the [Initiator Token] in the Asymmetric Binding case or 2075 the [Signature Token] in the Symmetric binding case, covers that token. 2076 Endorsing signatures cover the main signature and the endorsing token. 2077 For signed, endorsing supporting tokens, the supporting token is signed twice, once by the 2078 message signature and once by the endorsing signature. 2079 In addition, signed supporting tokens are covered by the message signature, although this is independent 2080 of [Token Protection].

8.9 Example

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Example policy containing supporting token assertions:

2083 <!-- Example Endpoint Policy -->

```
2084
            <wsp:Policy xmlns:wsp="...">
2085
              <sp:SymmetricBinding xmlns:sp="...">
2086
                <wsp:Policy>
2087
                  <sp:ProtectionToken>
2088
                    <sp:IssuedToken sp:IncludeToken=".../IncludeToken/Once" >
2089
                      <sp:Issuer>...</sp:Issuer>
2090
                      <sp:RequestSecurityTokenTemplate>
2091
2092
                      </sp:RequestSecurityTokenTemplate>
2093
                    </sp:IssuedToken>
2094
                  </sp:ProtectionToken>
2095
                  <sp:AlgorithmSuite>
2096
                    <wsp:Policy>
2097
                      <sp:Basic256 />
2098
                    </wsp:Policy>
2099
                  </sp:AlgorithmSuite>
2100
2101
                </wsp:Policy>
2102
              </sp:SymmetricBinding>
2103
2104
              <sp:SignedSupportingTokens>
2105
                <wsp:Policy>
2106
                  <sp:UsernameToken sp:IncludeToken=".../IncludeToken/Once" />
2107
                </wsp:Policy>
2108
              </sp:SignedSupportingTokens>
2109
              <sp:SignedEndorsingSupportingTokens>
2110
                <wsp:Policy>
2111
                  <sp:X509Token sp:IncludeToken=".../IncludeToken/Once" >
2112
                    <wsp:Policy>
2113
                      <sp:WssX509v3Token10 />
2114
                    </wsp:Policy>
2115
                  </sp:X509Token>
2116
                </wsp:Policy>
2117
              </sp:SignedEndorsingSupportingTokens>
2118
2119
            </wsp:Policy>
```

The sp:SignedSupportingTokens assertion in the above policy indicates that a Username Token must be included in the security header and covered by the message signature. The sp:SignedEndorsingSupportingTokens assertion indicates that an X509 certificate must be included in the security header and covered by the message signature. In addition, a signature over the message signature based on the key material associated with the X509 certificate must be included in the security header.

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9 WSS: SOAP Message Security Options

- There are several optional aspects to the WSS: SOAP Message Security specification that are
- 2128 independent of the trust and token taxonomies. This section describes another class of properties and
- 2129 associated assertions that indicate the supported aspects of WSS: SOAP Message Security. The
- 2130 assertions defined here MUST apply to [Endpoint Policy Subject].
- The properties and assertions dealing with token references defined in this section indicate whether the
- initiator and recipient MUST be able to process a given reference mechanism, or whether the initiator and
- 2133 recipient MAY send a fault if such references are encountered.
- 2135 Note: This approach is chosen because:

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- A) [WSS: SOAP Message Security] allows for multiple equivalent reference mechanisms to be used in a single reference.
 - B) In a multi-message exchange, a token may be referenced using different mechanisms depending on which of a series of messages is being secured.
- If a message sent to a recipient does not adhere to the recipient's policy the recipient MAY raise a wsse:InvalidSecurity fault.

WSS: SOAP Message Security 1.0 Properties

2145 [Direct References]

- This property indicates whether the initiator and recipient MUST be able to process direct token references (by ID or URI reference). This property always has a value of 'true'. i.e. All implementations
- 2148 MUST be able to process such references.

2150 [Key Identifier References]

- This boolean property indicates whether the initiator and recipient MUST be able to process key-specific
- 2152 identifier token references. A value of 'true' indicates that the initiator and recipient MUST be able to
- 2153 generate and process such references. A value of 'false' indicates that the initiator and recipient MUST
- 2154 NOT generate such references and that the initiator and recipient MAY send a fault if such references are
- encountered. This property has a default value of 'false'.

[Issuer Serial References]

- This boolean property indicates whether the initiator and recipient MUST be able to process references using the issuer and token serial number. A value of 'true' indicates that the initiator and recipient MUST be able to process such references. A value of 'false' indicates that the initiator and recipient MUST NOT generate such references and that the initiator and recipient MAY send a fault if such references are
- encountered. This property has a default value of 'false'.

2164 [External URI References]

- 2165 This boolean property indicates whether the initiator and recipient MUST be able to process references to
- 2166 tokens outside the message using URIs. A value of 'true' indicates that the initiator and recipient MUST
- be able to process such references. A value of 'false' indicates that the initiator and recipient MUST NOT

generate such references and that the initiator and recipient MAY send a fault if such references are encountered. This property has a default value of 'false'.

2170 [Embedded Token References]

This boolean property indicates whether the initiator and recipient MUST be able to process references that contain embedded tokens. A value of 'true' indicates that the initiator and recipient MUST be able to process such references. A value of 'false' indicates that the initiator and recipient MUST NOT generate such references and that the initiator and recipient MAY send a fault if such references are encountered. This property has a default value of 'false'.

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WSS: SOAP Message Security 1.1 Properties

[Thumbprint References]

This boolean property indicates whether the initiator and recipient MUST be able to process references using token thumbprints. A value of 'true' indicates that the initiator and recipient MUST be able to process such references. A value of 'false' indicates that the initiator and recipient MUST NOT generate such references and that the initiator and recipient MAY send a fault if such references are encountered. This property has a default value of 'false'.

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[EncryptedKey References]

This boolean property indicates whether the initiator and recipient MUST be able to process references using EncryptedKey references. A value of 'true' indicates that the initiator and recipient MUST be able to process such references. A value of 'false' indicates that the initiator and recipient MUST NOT generate such references and that the initiator and recipient MAY send a fault if such references are encountered. This property has a default value of 'false'.

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[Signature Confirmation]

This boolean property specifies whether wssell:SignatureConfirmation elements should be used as defined in WSS: Soap Message Security 1.1. If the value is 'true',

wssell:SignatureConfirmation elements MUST be used and signed by the message signature. If the value is 'false', signature confirmation elements MUST NOT be used. The value of this property applies to all signatures that are included in the security header. This property has a default value of 'false'.

9.1 Wss10 Assertion

The Wss10 assertion allows you to specify which WSS: SOAP Message Security 1.0 options are supported.

Syntax

```
2203
            <sp:Wss10 xmlns:sp="..." ... >
2204
              <wsp:Policy xmlns:wsp="...">
2205
               <sp:MustSupportRefKeyIdentifier ... /> ?
2206
               <sp:MustSupportRefIssuerSerial ... /> ?
               <sp:MustSupportRefExternalURI ... /> ?
2207
2208
                <sp:MustSupportRefEmbeddedToken ... /> ?
2209
2210
              </wsp:Policy>
2211
2212
            </sp:Wss10>
```

2213 2214

The following describes the attributes and elements listed in the schema outlined above:

```
2215
         /sp:Wss10
2216
            This identifies a WSS10 assertion.
2217
         /sp:Wss10/wsp:Policy
2218
2219
         This indicates a policy that controls WSS: SOAP Message Security 1.0
2220
         options./sp:Wss10/wsp:Policy/sp:MustSupportRefKeyIdentifier
2221
                 This optional element is a policy assertion indicates that the [Key Identifier References] property
2222
                 is set to 'true'.
2223
         /sp:Wss10/wsp:Policy/sp:MustSupportRefIssuerSerial
2224
                 This optional element is a policy assertion indicates that the [Issuer Serial References] property is
2225
                 set to 'true'.
2226
         /sp:Wss10/wsp:Policy/sp:MustSupportRefExternalURI
2227
                 This optional element is a policy assertion indicates that the [External URI References] property is
2228
                 set to 'true'.
2229
         /sp:Wss10/wsp:Policy/sp:MustSupportRefEmbeddedToken
2230
                 This optional element is a policy assertion indicates that the [Embedded Token References]
2231
                 property is set to 'true'.
         9.2 Wss11 Assertion
2232
2233
```

The Wss11 assertion allows you to specify which WSS: SOAP Message Security 1.1 options are supported.

2235 **Syntax**

2234

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```
2236
             <sp:Wss11 xmlns:sp="..." ... >
2237
               <wsp:Policy xmlns:wsp="...">
2238
                 <sp:MustSupportRefKeyIdentifier ... /> ?
2239
                 <sp:MustSupportRefIssuerSerial ... /> ?
2240
                 <sp:MustSupportRefExternalURI ... /> ?
                 <sp:MustSupportRefEmbeddedToken ... /> ?
<sp:MustSupportRefThumbprint ... /> ?
2241
2242
2243
                 <sp:MustSupportRefEncryptedKey ... /> ?
2244
                 <sp:RequireSignatureConfirmation ... /> ?
2245
2246
               </wsp:Policy>
2247
             </sp:Wss11>
```

The following describes the attributes and elements listed in the schema outlined above:

2250 /sp:Wss11

This identifies an WSS11 assertion.

2252 /sp:Wss11/wsp:Policy

This indicates a policy that controls WSS: SOAP Message Security 1.1 options.

/sp:Wss11/wsp:Policy/sp:MustSupportRefKeyIdentifier

This optional element is a policy assertion indicates that the [Key Identifier References] property is set to 'true'.

/sp:Wss11/wsp:Policy/sp:MustSupportRefIssuerSerial

This optional element is a policy assertion indicates that the [Issuer Serial References] property is set to 'true'.

2260	/sp:Wss11/wsp:Policy/sp:MustSupportRefExternalURI
2261 2262	This optional element is a policy assertion indicates that the [External URI References] property is set to 'true'.
2263	/sp:Wss11/wsp:Policy/sp:MustSupportRefEmbeddedToken
2264 2265	This optional element is a policy assertion indicates that the [Embedded Token References] property is set to 'true'.
2266	/sp:Wss11/wsp:Policy/sp:MustSupportRefThumbprint
2267 2268	This optional element is a policy assertion indicates that the [Thumbprint References] property is set to 'true'.
2269	/sp:Wss11/wsp:Policy/sp:MustSupportRefEncryptedKey
2270 2271	This optional element is a policy assertion indicates that the [EncryptedKey References] property is set to 'true'.
2272	/sp:Wss11/wsp:Policy/sp:RequireSignatureConfirmation
2273 2274	This optional element is a policy assertion indicates that the [Signature Confirmation] property is set to 'true'.

2275 10 WS-Trust Options

This section defines the various policy assertions related to exchanges based on WS-Trust, specifically with client and server challenges and entropy behaviors. These assertions relate to interactions with a Security Token Service and may augment the behaviors defined by the Binding Property Assertions defined in Section 6. The assertions defined here MUST apply to [Endpoint Policy Subject].

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WS-Trust 1.0 Properties

[Client Challenge]

This boolean property indicates whether client challenges are supported. A value of 'true' indicates that a wst:SignChallenge element is supported inside of an RST sent by the client to the server. A value of 'false' indicates that a wst:SignChallenge is not supported. There is no change in the number of messages exchanged by the client and service in satisfying the RST. This property has a default value of 'false'

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2294

2295

[Server Challenge]

This boolean property indicates whether server challenges are supported. A value of 'true' indicates that a wst:SignChallenge element is supported inside of an RSTR sent by the server to the client. A value of 'false' indicates that a wst:SignChallenge is not supported. A challenge issued by the server may increase the number of messages exchanged by the client and service in order to accommodate the wst:SignChallengeResponse element sent by the client to the server in response to the wst:SignChallenge element. A final RSTR containing the issued token will follow subsequent to the server receiving the wst:SignChallengeResponse element. This property has a default value of 'false'.

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2306

[Client Entropy]

This boolean property indicates whether client entropy is required to be used as key material for a requested proof token. A value of 'true' indicates that client entropy is required. A value of 'false' indicates that client entropy is not required. This property has a default value of 'false'.

23022303

[Server Entropy]

This boolean property indicates whether server entropy is required to be used as key material for a requested proof token. A value of 'true' indicates that server entropy is required. A value of 'false' indicates that server entropy is not required. This property has a default value of 'false'.

Note: If both the [Client Entropy] and [Server Entropy] properties are set to true, Client and server entropy are combined to produce a computed key using the Computed Key algorithm defined by the [Algorithm Suite] property.

23102311

[Issued Tokens]

- This boolean property indicates whether the wst: IssuedTokens header is supported as described in
- WS-Trust. A value of 'true' indicates that the wst:IssuedTokens header is supported. A value of 'false'
- indicates that the $wst: {\tt IssuedTokens}$ header is not supported. This property has a default value of
- 2315 'false'.

2316 [Collection]

This boolean property specifies whether a wst:RequestSecurityTokenCollection element is present. A value of 'true' indicates that the wst:RequestSecurityTokenCollection element MUST be present and MUST be integrity protected either by transport or message level security. A value of 'false' indicates that the wst:RequestSecurityTokenCollection element MUST NOT be present. This property has a default value of 'false'.

2322

2323

2324

10.1 Trust10 Assertion

The Trust10 assertion allows you to specify which WS-Trust 1.0 options are supported.

2325 **Syntax**

```
2326
            <sp:Trust10 xmlns:sp="..." ... >
2327
              <wsp:Policy xmlns:wsp="...">
2328
                <sp:MustSupportClientChallenge</pre>
2329
                <sp:MustSupportServerChallenge ... />?
2330
                <sp:RequireClientEntropy ... />?
2331
                <sp:RequireServerEntropy</pre>
2332
                <sp:MustSupportIssuedTokens ... />?
2333
                <sp:RequireRequestSecurityTokenCollection />?
2334
2335
              </wsp:Policy>
2336
2337
            </sp:Trust10 ... >
```

- The following describes the attributes and elements listed in the schema outlined above:
- 2340 /sp:Trust10
- This identifies a Trust10 assertion.
- 2342 /sp:Trust10/wsp:Policy
- This indicates a policy that controls WS-Trust 1.0 options.
- 2344 /sp:Trust10/wsp:Policy/sp:MustSupportClientChallenge
- This optional element is a policy assertion indicates that the [Client Challenge] property is set to true'.
- 2347 /sp:Trust10/wsp:Policy/sp:MustSupportServerChallenge
- This optional element is a policy assertion indicates that the [Server Challenge] property is set to true'.
- 2350 /sp:Trust10/wsp:Policy/sp:RequireClientEntropy
- This optional element is a policy assertion indicates that the [Client Entropy] property is set to 'true'.
- 2353 /sp:Trust10/wsp:Policy/sp:RequireServerEntropy
- This optional element is a policy assertion indicates that the [Server Entropy] property is set to true'.
- 2356 /sp:Trust10/wsp:Policy/sp:MustSupportIssuedTokens
- This optional element is a policy assertion indicates that the [Issued Tokens] property is set to true'.
- 2359 /sp:Trust10/wsp:Policy/sp:RequireRequestSecurityTokenCollection
- This optional element is a policy assertion that indicates that the [Collection] property is set to true'.

11 Guidance on creating new assertions and assertion extensibility

This non-normative appendix provides guidance for designers of new assertions intended for use with this specification.

11.1 General Design Points

Prefer Distinct Qnames

- Parameterize using nested policy where possible.
- Parameterize using attributes and/or child elements where necessary.

11.2 Detailed Design Guidance

Assertions in WS-SP are XML elements that are identified by their QName. Matching of assertions per WS-Policy is performed by matching element QNames. Matching does not take into account attributes that are present on the assertion element. Nor does it take into account child elements except for wsp:Policy elements. If a wsp:Policy element is present, then matching occurs against the assertions nested inside that wsp:Policy element recursively (see Policy Assertion Nesting [WS-Policy]).

When designing new assertions for use with WS-SP, the above matching behaviour needs to be taken into account. In general, multiple assertions with distinct QNames are preferably to a single assertion that uses attributes and/or content to distinguish different cases. For example, given two possible assertion designs;

```
Design 1

<A1/>
<A2/>
<A3/>
<A3/>

Design 2.

<A Parameter='1' />
<A Parameter='2' />
<A Parameter='3' />
```

then design 1. would generally be prefered because it allows the policy matching logic to provide more accurate matches between policies.

A good example of design 1 is the token assertions defined in Section 5. The section defines 10 distinct token assertions, rather than a single sp:Token assertion with, for example, a TokenType attribute. These distinct token assertions make policy matching much more useful as less false positives are generated when performing policy matching.

There are cases where using attributes or child elements as parameters in assertion design is reasonable. Examples include cases when implementations are expected to understand all the values for a given parameter and when encoding the parameter information into the assertion QName would result in an unmanageable number of assertions. A good example is the sp:IncludeToken attribute that appears

2406 on the various token assertions. Five possible values are currently specified for the sp:IncludeToken 2407 attribute and implementations are expected to understand the meaning of all 5 values. If this information 2408 was encoded into the assertion QNames, each existing token assertion would require five variants, one 2409 for each Uri value which would result in 45 assertions just for the tokens defined in Section 5. 2410 2411 Nested policy is ideal for encoding parameters that can be usefully matched using policy matching. For 2412 example, the token version assertions defined in Section 5 use such an approach. The overall token type 2413 assertion is parameterized by the nested token version assertions. Policy matching can use these 2414 parameters to find matches between policies where the broad token type is support by both parties but 2415 they might not support the same specific versions. 2416 2417 Note, when designing assertions for new token types such assertions SHOULD allow the 2418 sp:IncludeToken attribute and SHOULD allow nested policy.

2421 It is strongly recommended that policies and assertions be signed to prevent tampering. 2422 It is recommended that policies should not be accepted unless they are signed and have an associated 2423 security token to specify the signer has proper claims for the given policy. That is, a party shouldn't rely 2424 on a policy unless the policy is signed and presented with sufficient claims. It is further recommended that 2425 the entire policy exchange mechanism be protected to prevent man-in-the-middle downgrade attacks. 2426 2427 It should be noted that the mechanisms described in this document could be secured as part of a SOAP 2428 message using WSS: SOAP Message Security [WSS10, WSS11] or embedded within other objects using 2429 object-specific security mechanisms. 2430 2431 It is recommended that policies not specify two (or more) SignedSupportingTokens or 2432 SignedEndorsingSupportingTokens of the same token type. Messages conforming to such policies are 2433 subject to modification which may be undetectable. 2434 2435 It is recommended that policies specify the OnlySignEntireHeadersAndBody assertion along with the rest 2436 of the policy in order to combat certain XML substitution attacks.

12 Security Considerations

A. Assertions and WS-PolicyAttachment 2437 2438 This non-normative appendix classifies assertions according to their suggested scope in WSDL 1.1 per 2439 Section 4 of [WS-PolicyAttachment]. See Figure 1 in Section 4.1 of [WS-PolicyAttachment] for a graphical 2440 representation of the relationship between policy scope and WSDL. Unless otherwise noted above, any 2441 assertion that is listed under multiple [Policy Subjects] below MUST only apply to only one [Policy 2442 Subject] in a WSDL 1.1 hierarchy for calculating an Effective Policy. A.1 Endpoint Policy Subject Assertions 2443 A.1.1 Security Binding Assertions 2444 2445 TransportBinding Assertion (Section 7.3) 2446 SymmetricBinding Assertion (Section 7.4) 2447 AsymmetricBinding Assertion (Section 7.5) A.1.2 Token Assertions 2448 2449 SupportingTokens Assertion (Section 8.1) 2450 SignedSupportingTokens Assertion (Section 8.2) 2451 EndorsingSupportingTokens Assertion (Section 8.3) 2452 SignedEndorsingSupportingTokens Assertion (Section 8.4) 2453 SignedEncryptedSupportingTokens Assertion (Section 8.5) 2454 EndorsingEncryptedSupportingTokens Assertion (Section 8.6) 2455 SignedEndorsingEncryptedSupportingTokens Assertion (Section 8.7) A.1.3 WSS: SOAP Message Security 1.0 Assertions 2456 2457 Wss10 Assertion (Section 9.1) A.1.4 WSS: SOAP Message Security 1.1 Assertions 2458 2459 Wss11 Assertion (Section 9.2) A.1.5 Trust 1.0 Assertions 2460 2461 Trust10 Assertion (Section 10.1) A.2 Operation Policy Subject Assertions 2462 A.2.1 Security Binding Assertions 2463 2464 SymmetricBinding Assertion (Section 7.4) 2465 AsymmetricBinding Assertion (Section 7.5) **A.2.2 Supporting Token Assertions** 2466 2467 SupportingTokens Assertion (Section 8.1) 2468 SignedSupportingTokens Assertion (Section 8.2)

2469	EndorsingSupportingTokens Assertion	(Section 8.3)
2470	SignedEndorsingSupportingTokens Assertion	(Section 8.4)
2471	SignedEncryptedSupportingTokens Assertion	(Section 8.5)
2472	EndorsingEncryptedSupportingTokens Assertion	(Section 8.6)
2473	SignedEndorsingEncryptedSupportingTokens Assertion	(Section 8.7)
2474	A.3 Message Policy Subject Assertions	
2475	A.3.1 Supporting Token Assertions	
2476	SupportingTokens Assertion	(Section 8.1)
2477	SignedSupportingTokens Assertion	(Section 8.2)
2478	EndorsingSupportingTokens Assertion	(Section 8.3)
2479	SignedEndorsingSupportingTokens Assertion	(Section 8.4)
2480	SignedEncryptedSupportingTokens Assertion	(Section 8.5)
2481	EndorsingEncryptedSupportingTokens Assertion	(Section 8.6)
2482	SignedEndorsingEncryptedSupportingTokens Assertion	(Section 8.7)
2483	A.3.2 Protection Assertions	
2484	SignedParts Assertion	(Section 4.1.1)
2485	SignedElements Assertion	(Section 4.1.2)
2486	EncryptedParts Assertion	(Section 4.2.1)
2487	EncryptedElements Assertion	(Section 4.2.2)
2488	ContentEncryptedElements Assertion	(Section 4.2.3)
2489	RequiredElements Assertion	(Section 4.3.1)
2490	RequiredParts Assertion	(Section 4.3.2)
2491	A.4 Assertions With Undefined Policy So	ubject
2492 2493 2494 2495 2496	The assertions listed in this section do not have a defined point inside some other assertion which does have a defined polic assertions in the specification that have independent section assertions. Many of the assertions previously listed in this approach assertional nested assertions.	cy subject. This list is derived from nested as. It is not a complete list of nested
2497	A.4.1 General Assertions	
2498	AlgorithmSuite Assertion	(Section 7.1)
2499	Layout Assertion	(Section 7.2)
2500	A.4.2 Token Usage Assertions	
2501 2502	See the nested assertions under the TransportBinding, Symassertions.	metricBinding and AssymetricBinding
2503	A.4.3 Token Assertions	
2504	UsernameToken Assertion	(Section 5.3.1)

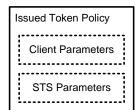
2505	IssuedToken Assertion	(Section 5.3.2)
2506	X509Token Assertion	(Section 5.3.3)
2507	KerberosToken Assertion	(Section 5.3.4)
2508	SpnegoContextToken Assertion	(Section 5.3.5)
2509	SecurityContextToken Assertion	(Section 5.3.6)
2510	SecureConversationToken Assertion	(Section 5.3.7)
2511	SamlToken Assertion	(Section 5.3.8)
2512	RelToken Assertion	(Section 5.3.9)
2513	HttpsToken Assertion	(Section 5.3.10)

2514 B. Issued Token Policy

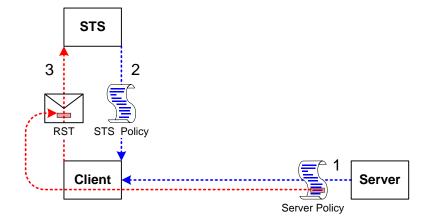
The section provides further detail about behavior associated with the IssuedToken assertion in section 5.3.2.

The issued token security model involves a three-party setup. There's a target Server, a Client, and a trusted third party called a Security Token Service or STS. Policy flows from Server to Client, and from STS to Client. Policy may be embedded inside an Issued Token assertion, or acquired out-of-band. There may be an explicit trust relationship between the Server and the STS. There must be a trust relationship between the Client and the STS.

The Issued Token policy assertion includes two parts: 1) client-specific parameters that must be understood and processed by the client and 2) STS specific parameters which are to be processed by the STS. The format of the Issued Token policy assertion is illustrated in the figure below.



The client-specific parameters of the Issued Token policy assertion along with the remainder of the server policy are consumed by the client. The STS specific parameters of the Issued Token policy assertion are passed on to the STS by copying the parameters directly into the wst:SecondaryParameters of the RST request sent by the Client to the STS as illustrated in the figure below.



Before the Client sends the RST to the STS, it will need to obtain the policy for the STS. This will help to formulate the RST request and will include any security-specific requirements of the STS.

The Client may augment or replace the contents of the RST made to the STS based on the Client-specific parameters received from the Issued Token policy assertion contained in the Server policy, from policy it received for the STS, or any other local parameters.

2541 2542 2543 2544	The Issued Token Policy Assertion contains elements which must be understood by the Client. The assertion contains one element which contains a list of arbitrary elements which should be sent along to the STS by copying the elements as-is directly into the wst:SecondaryParameters of the RST request sent by the Client to the STS following the protocol defined in WS-Trust.
2545	
2546 2547 2548	Elements inside the sp:RequestSecurityTokenTemplate element MUST conform to WS-Trust [WS-Trust]. All items are optional, since the Server and STS may already have a pre-arranged relationship which specifies some or all of the conditions and constraints for issued tokens.

C. Strict Security Header Layout Examples

The following sections describe the security header layout for specific bindings when applying the 'Strict' layout rules defined in Section 6.7.

C.1 Transport Binding

This section describes how the 'Strict' security header layout rules apply to the Transport Binding.

C.1.1 Policy

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The following example shows a policy indicating a Transport Binding, an Https Token as the Transport Token, an algorithm suite, a requirement to include tokens in the supporting signatures, a username token attached to the message, and finally an X509 token attached to the message and endorsing the message signature. No message protection requirements are described since the transport covers all message parts.

```
2560
            <wsp:Policy xmlns:wsp="..." xmlns:sp="...">
2561
              <sp:TransportBinding>
2562
                <wsp:Policy>
2563
                  <sp:TransportToken>
2564
                    <wsp:Policy>
2565
                      <sp:HttpsToken />
2566
                    </wsp:Policy>
2567
                  </sp:TransportToken>
2568
                  <sp:AlgorithmSuite>
2569
                    <wsp:Policy>
2570
2571
                      <sp:Basic256 />
                    </wsp:Policy>
2572
                  </sp:AlgorithmSuite>
2573
                  <sp:Layout>
2574
                    <wsp:Policy>
2575
                      <sp:Strict />
2576
                    </wsp:Policy>
2577
                  </sp:Layout>
2578
                  <sp:IncludeTimestamp />
2579
                </wsp:Policy>
2580
              </sp:TransportBinding>
2581
              <sp:SignedSupportingTokens>
2582
                <wsp:Policy>
2583
                  <sp:UsernameToken sp:IncludeToken=".../IncludeToken/Once" />
2584
                </wsp:Policy>
2585
              </sp:SignedSupportingTokens>
2586
              <sp:SignedEndorsingSupportingTokens>
2587
                <wsp:Policy>
2588
                  <sp:X509Token sp:IncludeToken=".../IncludeToken/Once">
2589
                    <wsp:Policy>
2590
                      <sp:WssX509v3Token10 />
2591
                    </wsp:Policy>
2592
                  </sp:X509Token>
2593
                </wsp:Policy>
2594
              </sp:SignedEndorsingSupportingTokens>
2595
              <sp:Wss11>
2596
                <sp:RequireSignatureConfirmation />
2597
              </sp:Wss11>
2598
            </wsp:Policy>
```

This policy is used as the basis for the examples shown in the subsequent section describing the security header layout for this binding.

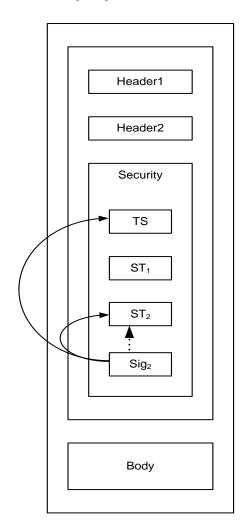
C.1.2 Initiator to Recipient Messages

Messages sent from initiator to recipient have the following layout for the security header:

1. A wsu: Timestamp element.

- 2. Any tokens contained in the [Signed Supporting Tokens] property.
- 3. Any tokens contained in the [Signed Endorsing Supporting Tokens] property each followed by the corresponding signature. Each signature MUST cover the wsu:Timestamp element from 1 above and SHOULD cover any other unique identifier for the message in order to prevent replays. If [Token Protection] is 'true', the signature MUST also cover the supporting token. If [Derived Keys] is 'true' and the supporting token is associated with a symmetric key, then a Derived Key Token, based on the supporting token, appears between the supporting token and the signature.
- 4. Any signatures for tokens contained in the [Endorsing Supporting Tokens] property. Each signature MUST cover the wsu:Timestamp element from 1 above and SHOULD cover at least some other unique identifier for the message in order to prevent replays. If [Token Protection] is 'true', the signature MUST also cover the supporting token. If [Derived Keys] is 'true' and the supporting token is associated with a symmetric key, then a Derived Key Token, based on the supporting token, appears before the signature.

The following diagram illustrates the security header layout for the initiator to recipient message:



The outer box shows that the entire message is protected (signed and encrypted) by the transport. The arrows on the left from the box labeled Sig₂ indicate the parts signed by the supporting token labeled ST₂, namely the message timestamp labeled TS and the token used as the basis for the signature labeled ST₂. The dotted arrow indicates the token that was used as the basis for the signature. In general, the ordering of the items in the security header follows the most optimal layout for a receiver to process its contents.

Example:

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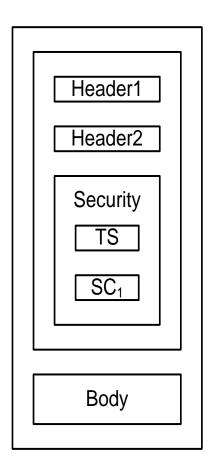
Initiator to recipient message

```
2627
            <S:Envelope xmlns:S="..." xmlns:wsse="..." xmlns:wsu="..." xmlns:ds="...">
2628
              <S:Header>
2629
2630
                <wsse:Security>
2631
                  <wsu:Timestamp wsu:Id="timestamp">
2632
                    <wsu:Created>[datetime]</wsu:Created>
2633
                    <wsu:Expires>[datetime]</wsu:Expires>
2634
                  </wsu:Timestamp>
2635
                  <wsse:UsernameToken wsu:Id='SomeSignedToken' >
2636
2637
                  </wsse:UsernameToken>
2638
                  <wsse:BinarySecurityToken wsu:Id="SomeSignedEndorsingToken" >
2639
2640
                  </wsse:BinarySecurityToken>
2641
                  <ds:Signature>
2642
                    <ds:SignedInfo>
2643
                      <ds:References>
2644
                        <ds:Reference URI="#timestamp" />
2645
                        <ds:Reference URI="#SomeSignedEndorsingToken" />
2646
                      </ds:References>
2647
                   </ds:SignedInfo>
2648
                   <ds:SignatureValue>...</ds:SignatureValue>
2649
                    <ds:KeyInfo>
2650
                      <wsse:SecurityTokenReference>
2651
                        <wsse:Reference URI="#SomeSignedEndorsingToken" />
2652
                      </wsse:SecurityTokenReference>
2653
                    </ds:KeyInfo>
2654
                  </ds:Signature>
2655
2656
               </wsse:Security>
2657
2658
              </S:Header>
2659
              <S:Body>
2660
2661
              </S:Body>
2662
            </S:Envelope>
```

C.1.3 Recipient to Initiator Messages

Messages sent from recipient to initiator have the following layout for the security header:

- 1. A wsu: Timestamp element.
- 2. If the [Signature Confirmation] property has a value of 'true', then a wssell:SignatureConfirmation element for each signature in the corresponding message sent from initiator to recipient. If there are no signatures in the corresponding message from the initiator to the recipient, then a wssell:SignatureConfirmation element with no Value attribute.
- The following diagram illustrates the security header layout for the recipient to initiator message:



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The outer box shows that the entire message is protected (signed and encrypted) by the transport. One wssell:SignatureConfirmation element labeled SC₁ corresponding to the signature in the initial message illustrated previously is included. In general, the ordering of the items in the security header follows the most optimal layout for a receiver to process its contents.

2677 Example:

Recipient to initiator message

```
2679
            <S:Envelope xmlns:S="..." xmlns:wsse="..." xmlns:wsu="..." xmlns:wsse11="...">
2680
              <S:Header>
2681
2682
                <wsse:Security>
2683
                  <wsu:Timestamp wsu:Id="timestamp">
2684
                   <wsu:Created>[datetime]</wsu:Created>
2685
                   <wsu:Expires>[datetime]</wsu:Expires>
2686
                  </wsu:Timestamp>
2687
                  <wssell:SignatureConfirmation Value="..." />
2688
2689
                </wsse:Security>
2690
2691
              </s:Header>
2692
              <S:Body>
2693
2694
              </S:Body>
2695
            </S:Envelope>
```

C.2 Symmetric Binding

This section describes how the 'Strict' security header layout rules apply to the Symmetric Binding.

C.2.1 Policy

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The following example shows a policy indicating a Symmetric Binding, a symmetric key based IssuedToken provided as the Protection Token, an algorithm suite, a requirement to encrypt the message parts before signing, a requirement to encrypt the message signature, a requirement to include tokens in the message signature and the supporting signatures, a username token attached to the message, and finally an X509 token attached to the message and endorsing the message signature. Minimum message protection requirements are described as well.

```
2705
            <!-- Example Endpoint Policy -->
2706
            <wsp:Policy xmlns:wsp="..." xmlns:sp="...">
2707
              <sp:SymmetricBinding>
2708
                <wsp:Policy>
2709
                  <sp:ProtectionToken>
2710
                    <sp:IssuedToken sp:IncludeToken=".../IncludeToken/Once" >
2711
                      <sp:Issuer>...</sp:Issuer>
2712
                      <sp:RequestSecurityTokenTemplate>
2713
2714
                      </sp:RequestSecurityTokenTemplate>
2715
                    </sp:IssuedToken>
2716
                  </sp:ProtectionToken>
2717
                  <sp:AlgorithmSuite>
2718
                    <wsp:Policy>
2719
                      <sp:Basic256 />
2720
                    </wsp:Policy>
2721
                  </sp:AlgorithmSuite>
2722
                  <sp:Layout>
2723
                    <wsp:Policy>
2724
                      <sp:Strict />
2725
                    </wsp:Policy>
2726
                  </sp:Layout>
2727
                  <sp:IncludeTimestamp />
2728
                  <sp:EncryptBeforeSigning />
2729
                  <sp:EncryptSignature />
2730
                  <sp:ProtectTokens />
2731
                  </wsp:Policy>
2732
              </sp:SymmetricBinding>
2733
              <sp:SignedSupportingTokens>
2734
                <wsp:Policy>
2735
                  <sp:UsernameToken sp:IncludeToken=".../IncludeToken/Once" />
2736
                </wsp:Policy>
2737
              </sp:SignedSupportingTokens>
2738
              <sp:SignedEndorsingSupportingTokens>
2739
                <wsp:Policy>
2740
                  <sp:X509Token sp:IncludeToken=".../IncludeToken/Once">
2741
                    <wsp:Policy>
2742
                      <sp:WssX509v3Token10 />
2743
                    </wsp:Policy>
2744
                  </sp:X509Token>
2745
                </wsp:Policy>
2746
              </sp:SignedEndorsingSupportingTokens>
2747
              <sp:\Wss11>
2748
                <wsp:Policy>
2749
                  <sp:RequireSignatureConfirmation />
2750
                </wsp:Policy>
2751
              </sp:Wss11>
2752
            </wsp:Policy>
2753
```

```
2754
2755
            <!-- Example Message Policy -->
2756
            <wsp:Policy xmlns:wsp="..." xmlns:sp="...">
2757
              <sp:SignedParts>
2758
                <sp:Header Name="Header1" Namespace="..." />
2759
                <sp:Header Name="Header2" Namespace="..." />
2760
                <sp:Body/>
2761
              </sp:SignedParts>
2762
              <sp:EncryptedParts>
2763
                <sp:Header Name="Header2" Namespace="..." />
2764
                <sp:Body/>
2765
              </sp:EncryptedParts>
2766
            </wsp:Policy>
```

This policy is used as the basis for the examples shown in the subsequent section describing the security header layout for this binding.

C.2.2 Initiator to Recipient Messages

Messages sent from initiator to recipient have the following layout for the security header:

- 1. A wsu: Timestamp element if [Timestamp] is 'true'.
- 2. If the sp:IncludeToken attribute on the [Encryption Token] is .../IncludeToken/Once or .../IncludeToken/Always, then the [Encryption Token].
- 3. If [Derived Keys] is 'true', then a Derived Key Token, based on the [Encryption Token]. This Derived Key Token is used for encryption.
- 4. A reference list including references to encrypted items. If [Signature Protection] is 'true', then the reference list MUST include a reference to the message signature. If [Protection Order] is 'SignBeforeEncrypting', then the reference list MUST include a reference to all the message parts specified in the EncryptedParts assertions in the policy. If [Derived Keys] is 'true', then the key in the token from 3 above MUST be used, otherwise the key in the [Encryption Token].
- 5. Any tokens from the [Signed Supporting Tokens] and [Signed Endorsing Supporting Tokens] properties whose sp:IncludeToken attribute is .../IncludeToken/Once or .../IncludeToken/Always.
- 6. If the [Signature Token] is not the same as the [Encryption Token], and the sp:IncludeToken attribute on the [Signature Token] is .../IncludeToken/Once or .../IncludeToken/Always, then the [Signature Token].
- 7. If [Derived Keys] is 'true', then a Derived Key Token based on the [Signature Token]. This Derived Key Token is used for signature.
- 8. A signature over the wsu:Timestamp from 1 above, any tokens from 5 above regardless of whether they are included in the message, and any message parts specified in SignedParts assertions in the policy. If [Token Protection] is 'true', the signature MUST cover the [Signature Token] regardless of whether it is included in the message. If [Derived Keys] is 'true', the key in the token from 7 above MUST be used, otherwise the key in the [Signature Token] from 6 above.
- 9. Signatures covering the main signature from 8 above for any tokens from the [Endorsing Supporting Tokens] and [Signed Endorsing Supporting Tokens] properties. If [Token Protection] is 'true', the signature MUST also cover the endorsing token. If [Derived Keys] is 'true' and the endorsing token is associated with a symmetric key, then a Derived Key Token, based on the endorsing token, appears before the signature.
- 10. If [Protection Order] is 'EncryptBeforeSigning', then a reference list referencing all the message parts specified in EncryptedParts assertions in the policy. If [Derived Keys] is 'true', then the key in the token from 3 above MUST be used, otherwise the key in the [Encryption Token] from 2 above.

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The arrows on the right indicate parts that were signed as part of the message signature labeled Sig_1 . The dashed arrows on the left from the box labeled Sig_2 indicate the parts signed by the supporting token labeled ST_2 , namely the message signature labeled Sig_1 and the token used as the basis for the signature labeled ST_2 . The arrows on the left from boxes labeled Ref_1 indicate references to parts encrypted using a key based on the Shared Secret Token labeled ST_1 . The dotted arrows inside the box labeled Security indicate the token that was used as the basis for each cryptographic operation. In general, the ordering of the items in the security header follows the most optimal layout for a receiver to process its contents.

2814 Example:

Initiator to recipient message using EncryptBeforeSigning:

```
2824
                <wssel1:EncryptedHeader wsu:Id="enc Header2">
2825
                  <!-- Plaintext Header2
2826
                  <x:Header2 wsu:Id="Header2" >
2827
2828
                  </x:Header2>
2829
                  -->
2830
2831
                </wssell:EncryptedHeader>
2832
2833
                <wsse:Security>
2834
                  <wsu:Timestamp wsu:Id="Timestamp">
2835
                    <wsu:Created>...</wsu:Created>
2836
                    <wsu:Expires>...</wsu:Expires>
2837
                  </wsu:Timestamp>
2838
                  <saml:Assertion AssertionId=" SharedSecretToken" ...>
2839
2840
                  </saml:Assertion>
2841
                  <xenc:ReferenceList>
2842
                    <xenc:DataReference URI="#enc Signature" />
2843
                    <xenc:DataReference URI="#enc SomeUsernameToken" />
2844
2845
                  </re>
2846
                  <xenc:EncryptedData ID="enc SomeUsernameToken" >
2847
                    <!-- Plaintext UsernameToken
2848
                    <wsse:UsernameToken wsu:Id="SomeUsernameToken" >
2849
2850
                    </wsse:UsernameToken>
2851
                    -->
2852
2853
                    <ds:KeyInfo>
2854
                      <wsse:SecurityTokenReference>
2855
                        <wsse:Reference URI="# SharedSecretToken" />
2856
                      </wsse:SecurityTokenReference>
2857
                    </ds:KeyInfo>
2858
                  </xenc:EncryptedData>
2859
                  <wsse:BinarySecurityToken wsu:Id="SomeSupportingToken" >
2860
2861
                  </wsse:BinarySecurityToken>
2862
                  <xenc:EncryptedData ID="enc Signature">
2863
                    <!-- Plaintext Signature
2864
                    <ds:Signature Id="Signature">
2865
                      <ds:SignedInfo>
2866
                        <ds:References>
2867
                          <ds:Reference URI="#Timestamp" >...</ds:Reference>
2868
                          <ds:Reference URI="#SomeUsernameToken" >...</ds:Reference>
2869
                          <ds:Reference URI="#SomeSupportingToken" >...</ds:Reference>
                          <ds:Reference URI="# SharedSecretToken" >...</ds:Reference>
2870
2871
                          <ds:Reference URI="#Header1" >...</ds:Reference>
2872
                          <ds:Reference URI="#Header2" >...</ds:Reference>
2873
                          <ds:Reference URI="#Body" >...</ds:Reference>
2874
                        </ds:References>
2875
                      </ds:SignedInfo>
2876
                      <ds:SignatureValue>...</ds:SignatureValue>
2877
                      <ds:KeyInfo>
2878
                        <wsse:SecurityTokenReference>
2879
                          <wsse:Reference URI="# SharedSecretToken" />
2880
                        </wsse:SecurityTokenReference>
2881
                      </ds:KeyInfo>
2882
                    </ds:Signature>
2883
                    -->
2884
2885
                    <ds:KeyInfo>
2886
                      <wsse:SecurityTokenReference>
2887
                        <wsse:Reference URI="# SharedSecretToken" />
```

```
2888
                      </wsse:SecurityTokenReference>
2889
                    </ds:KeyInfo>
2890
                  </xenc:EncryptedData>
2891
                  <ds:Signature>
2892
                    <ds:SignedInfo>
2893
                      <ds:References>
2894
                        <ds:Reference URI="#Signature" >...</ds:Reference>
2895
                        <ds:Reference URI="#SomeSupportingToken" >...</ds:Reference>
2896
                      </ds:References>
2897
                    </ds:SignedInfo>
2898
                    <ds:SignatureValue>...</ds:SignatureValue>
2899
                    <ds:KeyInfo>
2900
                      <wsse:SecurityTokenReference>
2901
                        <wsse:Reference URI="#SomeSupportingToken" />
2902
                      </wsse:SecurityTokenReference>
2903
                    </ds:KeyInfo>
2904
                  </ds:Signature>
2905
                  <xenc:ReferenceList>
2906
                    <xenc:DataReference URI="#enc Body" />
2907
                    <xenc:DataReference URI="#enc Header2" />
2908
2909
                  </xenc:ReferenceList>
2910
                </wsse:Security>
2911
             </S:Header>
2912
              <S:Body wsu:Id="Body">
2913
                <xenc:EncryptedData Id="enc Body">
2914
2915
                  <ds:KeyInfo>
2916
                   <wsse:SecurityTokenReference>
2917
                      <wsse:Reference URI="# SharedSecretToken" />
2918
                    </wsse:SecurityTokenReference>
2919
                  </ds:KeyInfo>
2920
                </xenc:EncryptedData>
2921
              </s:Body>
2922
            </S:Envelope>
```

C.2.3 Recipient to Initiator Messages

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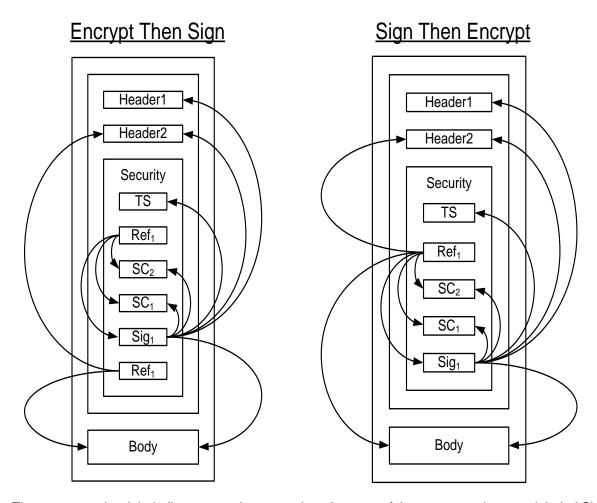
2940

2941

- Messages send from recipient to initiator have the following layout for the security header:
 - 1. A wsu: Timestamp element if [Timestamp] is 'true'.
 - 2. If the sp:IncludeToken attribute on the [Encryption Token] is .../IncludeToken/Always, then the [Encryption Token].
 - 3. If [Derived Keys] is 'true', then a Derived Key Token, based on the [Encryption Token]. This Derived Key Token is used for encryption.
 - 4. A reference list including references to encrypted items. If [Signature Protection] is 'true', then the reference list MUST include a reference to the message signature from 6 below, and the wssell:SignatureConfirmation elements from 5 below if any. If [Protection Order] is 'SignBeforeEncrypting', then the reference list MUST include a reference to all the message parts specified in the EncryptedParts assertions in the policy. If [Derived Keys] is 'true', then the key in the token from 2 above MUST be used, otherwise the key in the [Encryption Token] from 2 above.
 - 5. If [Signature Confirmation] is 'true' then a wssell:SignatureConfirmation element for each signature in the corresponding message sent from initiator to recipient. If there are no signatures in the corresponding message from the initiator to the recipient, then a wssell:SignatureConfirmation element with no Value attribute.
 - 6. If the [Signature Token] is not the same as the [Encryption Token], and the sp:IncludeToken attribute on the [Signature Token] is .../IncludeToken/Always, then the [Signature Token].

- 7. If [Derived Keys] is 'true', then a Derived Key Token, based on the [Signature Token]. This Derived Key Token is used for signature.
 - 8. A signature over the wsu:Timestamp from 1 above, any wssell:SignatureConfirmation elements from 5 above, and all the message parts specified in SignedParts assertions in the policy. If [Token Protection] is 'true', the signature MUST also cover the [Signature Token] regardless of whether it is included in the message. If [Derived Keys] is 'true', the key in the token from 6 above MUST be used, otherwise the key in the [Signature Token].
 - 9. If [Protection Order] is 'EncryptBeforeSigning' then a reference list referencing all the message parts specified in EncryptedParts assertions in the policy. If [Derived Keys] is 'true', then the key in the Derived Key Token from 3 above MUST be used, otherwise the key in the [Encryption Token].

The following diagram illustrates the security header layout for the recipient to initiator message:



The arrows on the right indicate parts that were signed as part of the message signature labeled Sig_1 . The arrows on the left from boxes labeled Ref_1 indicate references to parts encrypted using a key based on the [SharedSecret Token] (not shown in these diagrams as it is referenced as an external token). Two wssell:SignatureConfirmation elements labeled SC_1 and SC_2 corresponding to the two signatures in the initial message illustrated previously is included. In general, the ordering of the items in the security header follows the most optimal layout for a receiver to process its contents. The rules used to determine this ordering are described in Appendix C.

2963 Example:

Recipient to initiator message using EncryptBeforeSigning:

```
2965
            <S:Envelope>
2966
              <S:Header>
2967
                <x:Header1 wsu:Id="Header1" >
2968
2969
                </x:Header1>
2970
                <wssel1:EncryptedHeader wsu:Id="enc Header2">
2971
                 <!-- Plaintext Header2
2972
                  <x:Header2 wsu:Id="Header2" >
2973
2974
                  </x:Header2>
2975
                  -->
2976
2977
                </wssell:EncryptedHeader>
2978
2979
                <wsse:Security>
2980
                  <wsu:Timestamp wsu:Id="Timestamp">
2981
                    <wsu:Created>...</wsu:Created>
2982
                    <wsu:Expires>...</wsu:Expires>
2983
                  </wsu:Timestamp>
2984
                  <xenc:ReferenceList>
2985
                    <xenc:DataReference URI="#enc Signature" />
2986
                    <xenc:DataReference URI="#enc SigConf1" />
2987
                    <xenc:DataReference URI="#enc SigConf2" />
2988
2989
                  </xenc:ReferenceList>
2990
                  <xenc:EncryptedData ID="enc SigConf1" >
2991
                    <!-- Plaintext SignatureConfirmation
2992
                    <wssel1:SignatureConfirmation wsu:Id="SigConf1" >
2993
2994
                    </wssell:SignatureConfirmation>
2995
2996
2997
                  </xenc:EncryptedData>
2998
                  <xenc:EncryptedData ID="enc SigConf2" >
2999
                    <!-- Plaintext SignatureConfirmation
3000
                    <wssel1:SignatureConfirmation wsu:Id="SigConf2" >
3001
3002
                    </wssell:SignatureConfirmation>
3003
                    -->
3004
3005
                  </xenc:EncryptedData>
```

```
3006
3007
                  <xenc:EncryptedData Id="enc Signature">
3008
                    <!-- Plaintext Signature
3009
                    <ds:Signature Id="Signature">
3010
                      <ds:SignedInfo>
3011
                        <ds:References>
3012
                          <ds:Reference URI="#Timestamp" >...</ds:Reference>
3013
                          <ds:Reference URI="#SigConf1" >...</ds:Reference>
3014
                          <ds:Reference URI="#SigConf2" >...</ds:Reference>
3015
                          <ds:Reference URI="#Header1" >...</ds:Reference>
3016
                          <ds:Reference URI="#Header2" >...</ds:Reference>
3017
                          <ds:Reference URI="#Body" >...</ds:Reference>
3018
                        </ds:References>
3019
                      </ds:SignedInfo>
3020
                      <ds:SignatureValue>...</ds:SignatureValue>
3021
                      <ds:KeyInfo>
3022
                        <wsse:SecurityTokenReference>
3023
                          <wsse:Reference URI="# SomeIssuedToken" />
3024
                        </wsse:SecurityTokenReference>
3025
                      </ds:KeyInfo>
3026
                    </ds:Signature>
3027
3028
                    </xenc:EncryptedData>
3029
3030
                    <ds:KeyInfo>
3031
                      <wsse:SecurityTokenReference>
3032
                        <wsse:Reference URI="# SomeIssuedToken" />
3033
                      </wsse:SecurityTokenReference>
3034
                    </ds:KeyInfo>
3035
                  <xenc:EncryptedData>
3036
                  <xenc:ReferenceList>
3037
                    <xenc:DataReference URI="#enc Body" />
3038
                    <xenc:DataReference URI="#enc Header2" />
3039
3040
                  </xenc:ReferenceList>
3041
               </xenc:EncryptedData>
3042
                </wsse:Security>
3043
              </S:Header>
3044
              <S:Body wsu:Id="Body">
3045
                <xenc:EncryptedData Id="enc Body">
3046
3047
                  <ds:KeyInfo>
3048
                    <wsse:SecurityTokenReference>
3049
                      <wsse:Reference URI="# SomeIssuedToken" />
3050
                   </wsse:SecurityTokenReference>
3051
                  </ds:KeyInfo>
3052
                </xenc:EncryptedData>
3053
              </S:Body>
3054
            </s:Envelope>
```

C.3 Asymmetric Binding

This section describes how the 'Strict' security header layout rules apply to the Asymmetric Binding.

C.3.1 Policy

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The following example shows a policy indicating an Asymmetric Binding, an X509 token as the [Initiator Token], an X509 token as the [Recipient Token], an algorithm suite, a requirement to encrypt the message parts before signing, a requirement to encrypt the message signature, a requirement to include tokens in the message signature and the supporting signatures, a requirement to include wssell:SignatureConfirmation elements, a username token attached to the message, and finally

an X509 token attached to the message and endorsing the message signature. Minimum message protection requirements are described as well.

3063

```
3065
            <!-- Example Endpoint Policy -->
            <wsp:Policy xmlns:wsp="..." xmlns:sp="...">
3066
              <sp:AsymmetricBinding>
3067
3068
                <wsp:Policy>
3069
                  <sp:RecipientToken>
3070
                    <wsp:Policy>
3071
                      <sp:X509Token sp:IncludeToken=".../IncludeToken/Always" />
3072
                    </wsp:Policy>
3073
                 </sp:RecipientToken>
3074
                 <sp:InitiatorToken>
3075
                   <wsp:Policy>
3076
                     <sp:X509Token sp:IncludeToken=".../IncludeToken/Always" />
3077
                   </wsp:Policy>
3078
                 </sp:InitiatorToken>
3079
                 <sp:AlgorithmSuite>
3080
                   <wsp:Policy>
3081
                     <sp:Basic256 />
3082
                   </wsp:Policy>
3083
                 </sp:AlgorithmSuite>
3084
                 <sp:Layout>
3085
                    <wsp:Policy>
3086
                      <sp:Strict />
3087
                    </wsp:Policy>
3088
                 </sp:Layout>
3089
                 <sp:IncludeTimestamp />
3090
                 <sp:EncryptBeforeSigning />
3091
                 <sp:EncryptSignature />
3092
                 <sp:ProtectTokens />
3093
               </wsp:Policy>
3094
              </sp:AsymmetricBinding>
3095
              <sp:SignedEncryptedSupportingTokens>
3096
                <wsp:Policy>
3097
                  <sp:UsernameToken sp:IncludeToken=".../IncludeToken/Once" />
3098
                </wsp:Policy>
3099
              </sp:SignedEncryptedSupportingTokens>
3100
              <sp:SignedEndorsingSupportingTokens>
3101
                <wsp:Policy>
3102
                  <sp:X509Token sp:IncludeToken=".../IncludeToken/Once">
3103
                    <wsp:Policy>
3104
                      <sp:WssX509v3Token10 />
3105
                    </wsp:Policy>
3106
                  </sp:X509Token>
3107
                </wsp:Policy>
3108
              </sp:SignedEndorsingSupportingTokens>
3109
              <sp:\Wss11>
3110
                <wsp:Policy>
3111
                  <sp:RequireSignatureConfirmation />
3112
                </wsp:Policy>
3113
              </sp:Wss11>
3114
            </wsp:Policy>
3115
3116
```

```
3117
            <!-- Example Message Policy -->
3118
            <wsp:All xmlns:wsp="..." xmlns:sp="...">
3119
              <sp:SignedParts>
3120
                <sp:Header Name="Header1" Namespace="..." />
3121
                <sp:Header Name="Header2" Namespace="..." />
3122
                <sp:Body/>
3123
              </sp:SignedParts>
3124
              <sp:EncryptedParts>
3125
                <sp:Header Name="Header2" Namespace="..." />
3126
                <sp:Body/>
3127
              </sp:EncryptedParts>
3128
            </wsp:All>
```

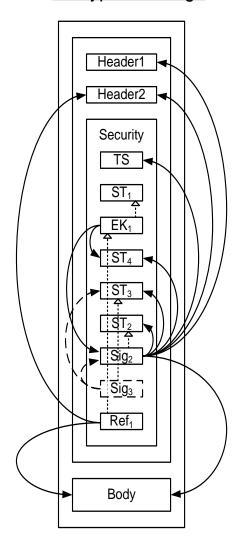
This policy is used as the basis for the examples shown in the subsequent section describing the security header layout for this binding.

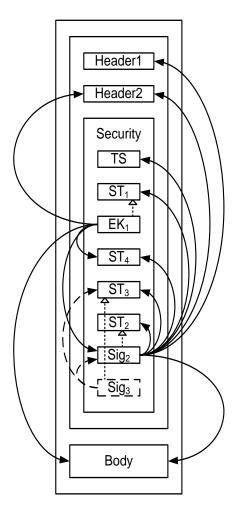
C.3.2 Initiator to Recipient Messages

- Messages sent from initiator to recipient have the following layout:
 - 1. A wsu: Timestamp element if [Timestamp] is 'true'.
 - 2. If a [Recipient Token] is specified, and the associated sp:IncludeToken attribute is .../IncludeToken/Once or .../IncludeToken/Always, then the [Recipient Token].
 - 3. If a [Recipient Token] is specified and [Protection Order] is 'SignBeforeEncrypting' or [SignatureProtection] is 'true' then an xenc:EncryptedKey element, containing a key encrypted for the recipient. The xenc:EncryptedKey element MUST include an xenc:ReferenceList containing a reference to all the message parts specified in EncryptedParts assertions in the policy. If [Signature Protection] is 'true' then the reference list MUST contain a reference to the message signature from 6 below. It is an error if [Signature Protection] is 'true' and there is not a message signature.
 - 4. Any tokens from the supporting tokens properties (as defined in section 8) whose sp:IncludeToken attribute is .../IncludeToken/Once or .../IncludeToken/Always.
 - 5. If an [Initiator Token] is specified, and the associated sp:IncludeToken attribute is .../IncludeToken/Once or .../IncludeToken/Always, then the [Initiator Token].
 - 6. A signature based on the key in the [Initiator Token] if specified, over the wsu:Timestamp from 1 above, any tokens from 4 above regardless of whether they are included in the message, and any message parts specified in SignedParts assertions in the policy. If [Token Protection] is 'true', the signature MUST also cover the [Initiator Token] regardless of whether it is included in the message.
 - 7. Signatures for tokens from the [Endorsing Supporting Tokens] and [Signed Endorsing Supporting Tokens] properties. If [Derived Keys] is 'true' and the supporting token is associated with a symmetric key, then a Derived Key Token, based on the supporting token, appears before the signature. If [Token Protection] is 'true', the signature MUST also cover the supporting token regardless of whether it is included in the message.
 - 8. If a [Recipient Token] is specified and [Protection Order] is 'EncryptBeforeSigning' then if [Signature Protection] is 'false' then an xenc:EncryptedKey element, containing a key encrypted for the recipient and a reference list, else if [Signature Protection] is 'true', a reference list. The reference list includes a reference to all the message parts specified in EncryptedParts assertions in the policy. The encrypted parts MUST reference the key contained in the xenc:EncryptedKey element from 3 above.

Encrypt Then Sign

Sign Then Encrypt





The arrows on the right indicate parts that were signed as part of the message signature labeled Sig_2 using the [Initiator Token] labeled ST_2 . The dashed arrows on the left from the box labeled Sig_3 indicate the parts signed by the supporting token ST_3 , namely the message signature Sig_2 and the token used as the basis for the signature labeled ST_3 . The arrows on the left from boxes labeled EK_1 indicate references to parts encrypted using a key encrypted for the [Recipient Token] labeled ST_1 . The arrows on the left from boxes labeled Ref_1 indicate additional references to parts encrypted using the key contained in the encrypted key labeled EK_1 . The dotted arrows inside the box labeled Security indicate the token used as the basis for each cryptographic operation. In general, the ordering of the items in the security header follows the most optimal layout for a receiver to process its contents. The rules used to determine this ordering are described in Appendix C.

Note: In most typical scenarios, the recipient key is not included in the message, but rather the encrypted key contains an external reference to the token containing the encryption key. The diagram illustrates how one might attach a security token related to the encrypted key for completeness. One possible use-

case for this approach might be a stack which does not support the STR Dereferencing Transform, but wishes to include the encryption token in the message signature.

Initiator to recipient message Example

3183

3184 <S:Envelope xmlns:S="..." xmlns:x="..." xmlns:wsu="..."</pre>

```
3185
               xmlns:wsse11="..." xmlns:wsse="..." xmlns:xenc="..." xmlns:ds="...">
3186
              <S:Header>
3187
                <x:Header1 wsu:Id="Header1" >
3188
3189
                </x:Header1>
3190
                <wssel1:EncryptedHeader wsu:Id="enc Header2">
3191
                  <!-- Plaintext Header2
3192
                  <x:Header2 wsu:Id="Header2" >
3193
3194
                  </x:Header2>
3195
                  -->
3196
3197
                </wssell:EncryptedHeader>
3198
3199
                <wsse:Security>
3200
                  <wsu:Timestamp wsu:Id="Timestamp">
3201
                    <wsu:Created>...</wsu:Created>
3202
                    <wsu:Expires>...</wsu:Expires>
3203
                  </wsu:Timestamp>
3204
                  <wsse:BinarySecurityToken wsu:Id="RecipientToken" >
3205
3206
                  </wsse:BinarySecurityToken>
3207
                  <xenc:EncryptedKey wsu:Id="RecipientEncryptedKey" >
3208
3209
                    <xenc:ReferenceList>
3210
                      <xenc:DataReference URI="#enc Signature" />
3211
                      <xenc:DataReference URI="#enc SomeUsernameToken" />
3212
3213
                    </xenc:ReferenceList>
3214
                  </xenc:EncryptedKey>
3215
                  <xenc:EncryptedData ID="enc SomeUsernameToken" >
3216
                    <!-- Plaintext UsernameToken
3217
                    <wsse:UsernameToken wsu:Id="SomeUsernameToken" >
3218
3219
                    </wsse:UsernameToken>
3220
                    -->
3221
                    . . .
3222
                  </xenc:EncryptedData>
3223
                  <wsse:BinarySecurityToken wsu:Id="SomeSupportingToken" >
3224
3225
                  </wsse:BinarySecurityToken>
3226
                  <wsse:BinarySecurityToken wsu:Id="InitiatorToken" >
3227
3228
                  </wsse:BinarySecurityToken>
3229
                  <xenc:EncryptedData ID="enc Signature">
3230
                    <!-- Plaintext Signature
3231
                    <ds:Signature Id="Signature">
3232
                      <ds:SignedInfo>
3233
                        <ds:References>
3234
                          <ds:Reference URI="#Timestamp" >...</ds:Reference>
3235
                          <ds:Reference URI="#SomeUsernameToken" >...</ds:Reference>
3236
                          <ds:Reference URI="#SomeSupportingToken" >...</ds:Reference>
3237
                          <ds:Reference URI="#InitiatorToken" >...</ds:Reference>
3238
                          <ds:Reference URI="#Header1" >...</ds:Reference>
3239
                          <ds:Reference URI="#Header2" >...</ds:Reference>
3240
                          <ds:Reference URI="#Body" >...</ds:Reference>
3241
                        </ds:References>
3242
                      </ds:SignedInfo>
3243
                      <ds:SignatureValue>...</ds:SignatureValue>
3244
                      <ds:KeyInfo>
3245
                        <wsse:SecurityTokenReference>
3246
                          <wsse:Reference URI="#InitiatorToken" />
3247
                        </wsse:SecurityTokenReference>
3248
                      </ds:KeyInfo>
```

```
3249
                    </ds:Signature>
3250
                    -->
3251
                    . . .
3252
                  </xenc:EncryptedData>
3253
                  <ds:Signature>
3254
                    <ds:SignedInfo>
3255
                      <ds:References>
3256
                        <ds:Reference URI="#Signature" >...</ds:Reference>
3257
                        <ds:Reference URI="#SomeSupportingToken" >...</ds:Reference>
3258
                      </ds:References>
3259
                    </ds:SignedInfo>
3260
                    <ds:SignatureValue>...</ds:SignatureValue>
3261
                    <ds:KeyInfo>
3262
                      <wsse:SecurityTokenReference>
3263
                        <wsse:Reference URI="#SomeSupportingToken" />
3264
                      </wsse:SecurityTokenReference>
3265
                    </ds:KeyInfo>
3266
                  </ds:Signature>
3267
                  <xenc:ReferenceList>
3268
                    <xenc:DataReference URI="#enc Body" />
3269
                    <xenc:DataReference URI="#enc Header2" />
3270
3271
                  </xenc:ReferenceList>
3272
                </wsse:Security>
3273
              </S:Header>
3274
              <S:Body wsu:Id="Body">
3275
                <xenc:EncryptedData Id="enc Body">
3276
3277
                  <ds:KeyInfo>
3278
                    <wsse:SecurityTokenReference>
3279
                      <wsse:Reference URI="#RecipientEncryptedKey" />
3280
                    </wsse:SecurityTokenReference>
3281
                  </ds:KeyInfo>
3282
                </xenc:EncryptedData>
3283
              </S:Body>
3284
            </S:Envelope>
```

C.3.3 Recipient to Initiator Messages

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Messages sent from recipient to initiator have the following layout:

- 1. A wsu: Timestamp element if [Timestamp] is 'true'.
- 2. If an [Initiator Token] is specified, and the associated sp:IncludeToken attribute is .../IncludeToken/Always, then the [Initiator Token].
- 3. If an [Initiator Token] is specified and [Protection Order] is 'SignBeforeEncrypting' or [SignatureProtection] is 'true' then an xenc:EncryptedKey element, containing a key encrypted for the initiator. The xenc:EncryptedKey element MUST include an xenc:ReferenceList containing a reference to all the message parts specified in EncryptedParts assertions in the policy. If [Signature Protection] is 'true' then the reference list MUST also contain a reference to the message signature from 6 below, if any and references to the wssell:SignatureConfirmation elements from 4 below, if any.
- 4. If [Signature Confirmation] is 'true', then a wssell:SignatureConfirmation element for each signature in the corresponding message sent from initiator to recipient. If there are no signatures in the corresponding message from the initiator to the recipient, then a wssell:SignatureConfirmation element with no Value attribute.
- 5. If a [Recipient Token] is specified, and the associated sp:IncludeToken attribute is .../IncludeToken/Always, then the [Recipient Token].

- 3306 3307 3308 3309 3310 3311
- 3312
- 3313

- 3314
- The following diagram illustrates the security header layout for the recipient to initiator messages:

6. If a [Recipient Token] is specified, then a signature based on the key in the [Recipient Token],

Protection] is 'true' then the signature MUST also cover the [Recipient Token].

7. If an [Initiator Token] is specified and [Protection Order] is 'EncryptBeforeSigning' then if

over the wsu: Timestamp from 1 above, the wssell: SignatureConfirmation elements

from 4 above, and any message parts specified in SignedParts assertions in the policy. If [Token

[Signature Protection] is 'false' then an xenc:EncryptedKey element, containing a key encrypted

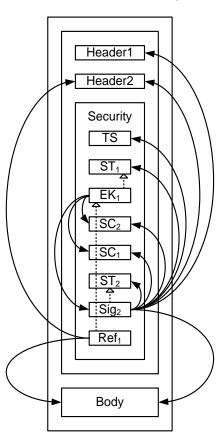
reference list includes a reference to all the message parts specified in EncryptedParts assertions

for the recipient and a reference list, else if [Signature Protection] is 'true', a reference list. The

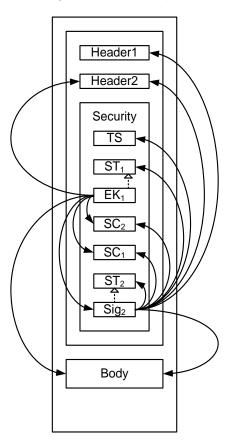
in the policy. The encrypted parts MUST reference the key contained in the xenc: EncryptedKey

Encrypt Then Sign

element from 3 above.



Sign Then Encrypt



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The arrows on the right indicate parts that were signed as part of the message signature labeled Sig₂ using the [Recipient Token] labeled ST₂. The arrows on the left from boxes labeled EK₁ indicate references to parts encrypted using a key encrypted for the [Recipient Token] labeled ST₁. The arrows on the left from boxes labeled Ref₁ indicate additional references to parts encrypted using the key contained in the encrypted key labeled EK₁. The dotted arrows inside the box labeled Security indicate the token used as the basis for each cryptographic operation. Two wssell:SignatureConfirmation elements labeled SC₁ and SC₂ corresponding to the two signatures in the initial message illustrated previously is included. In general, the ordering of the items in the security header follows the most optimal layout for a receiver to process its contents. The rules used to determine this ordering are described in Appendix C.

Recipient to initiator message Example:

```
3326
            <S:Envelope xmlns:S="..." xmlns:x="..." xmlns:wsu="..."
3327
              xmlns:wsse11="..." xmlns:wsse="...
3328
              xmlns:xenc="..." xmlns:ds="...">
3329
              <S:Header>
3330
                <x:Header1 wsu:Id="Header1" >
3331
3332
                </x:Header1>
3333
                <wssel1:EncryptedHeader wsu:Id="enc Header2">
3334
                 <!-- Plaintext Header2
3335
                  <x:Header2 wsu:Id="Header2" >
3336
3337
                  </x:Header2>
3338
                  -->
3339
3340
                </wssell:EncryptedHeader>
3341
3342
                <wsse:Security>
3343
                  <wsu:Timestamp wsu:Id="Timestamp">
3344
                   <wsu:Created>...</wsu:Created>
3345
                    <wsu:Expires>...</wsu:Expires>
3346
                  </wsu:Timestamp>
3347
                  <wsse:BinarySecurityToken wsu:Id="InitiatorToken" >
3348
3349
                  </wsse:BinarySecurityToken>
3350
                  <xenc:EncryptedKey wsu:Id="InitiatorEncryptedKey" >
3351
3352
                    <xenc:ReferenceList>
3353
                      <xenc:DataReference URI="#enc Signature" />
3354
                      <xenc:DataReference URI="#enc SigConf1" />
3355
                      <xenc:DataReference URI="#enc SigConf2" />
3356
3357
                    </xenc:ReferenceList>
3358
                  </xenc:EncryptedKey>
3359
                  <xenc:EncryptedData ID="enc SigConf2" >
3360
                    <!-- Plaintext SignatureConfirmation
3361
                    <wssel1:SignatureConfirmation wsu:Id="SigConf2" ...>
3362
3363
                    </wssell:SignatureConfirmation>
3364
                    -->
3365
3366
                  </xenc:EncryptedData>
3367
                  <xenc:EncryptedData ID="enc SigConf1" >
3368
                    <!-- Plaintext SignatureConfirmation
3369
                    <wssel1:SignatureConfirmation wsu:Id="SigConf1" ...>
3370
3371
                    </wssell:SignatureConfirmation>
3372
3373
                    . . .
3374
                  </xenc:EncryptedData>
3375
                  <wsse:BinarySecurityToken wsu:Id="RecipientToken" >
3376
3377
                  </wsse:BinarySecurityToken>
3378
```

```
3379
                  <xenc:EncryptedData ID="enc Signature">
3380
                    <!-- Plaintext Signature
3381
                    <ds:Signature Id="Signature">
3382
                      <ds:SignedInfo>
3383
                        <ds:References>
3384
                          <ds:Reference URI="#Timestamp" >...</ds:Reference>
3385
                          <ds:Reference URI="#SigConf1" >...</ds:Reference>
3386
                          <ds:Reference URI="#SigConf2" >...</ds:Reference>
3387
                          <ds:Reference URI="#RecipientToken" >...</ds:Reference>
3388
                          <ds:Reference URI="#Header1" >...</ds:Reference>
3389
                          <ds:Reference URI="#Header2" >...</ds:Reference>
3390
                          <ds:Reference URI="#Body" >...</ds:Reference>
3391
                        </ds:References>
3392
                      </ds:SignedInfo>
3393
                      <ds:SignatureValue>...</ds:SignatureValue>
3394
                      <ds:KeyInfo>
3395
                        <wsse:SecurityTokenReference>
3396
                          <wsse:Reference URI="#RecipientToken" />
3397
                        </wsse:SecurityTokenReference>
3398
                      </ds:KeyInfo>
3399
                    </ds:Signature>
3400
                    -->
3401
3402
                  </xenc:EncryptedData>
3403
                  <xenc:ReferenceList>
3404
                    <xenc:DataReference URI="#enc Body" />
3405
                    <xenc:DataReference URI="#enc Header2" />
3406
3407
                  </xenc:ReferenceList>
3408
                </wsse:Security>
3409
              </S:Header>
3410
              <S:Body wsu:Id="Body">
3411
                <xenc:EncryptedData Id="enc Body">
3412
3413
                  <ds:KeyInfo>
3414
                    <wsse:SecurityTokenReference>
3415
                      <wsse:Reference URI="#InitiatorEncryptedKey" />
3416
                    </wsse:SecurityTokenReference>
3417
                  </ds:KeyInfo>
3418
                </xenc:EncryptedData>
3419
              </S:Body>
3420
            </s:Envelope>
```

D. Signed and Encrypted Elements in the Security 3421 Header 3422 3423 This section lists the criteria for when various child elements of the Security header are signed and/or 3424 encrypted at the message level including whether they are signed by the message signature or a 3425 supporting signature. It assumes that there are no sp:SignedElements and no 3426 sp:EncryptedElements assertions in the policy. If such assertions are present in the policy then additional child elements of the security header might be signed and/or encrypted. 3427 D.1 Elements signed by the message signature 3428 3429 1. The wsu: Timestamp element (Section 6.2). 3430 2. All wssel1: SignatureConfirmation elements (Section 9). 3. 3431 Security Tokens corresponding to [Initiator Signature Token], [Recipient Signature Token], 3432 [Initiator Encryption Token], [Recipient Encryption Token], [Signature Token] or [Encryption Token] when [Token Protection] has a value of 'true' (Section 6.5). 3433 3434 4. Security Tokens corresponding to [Signed Supporting Tokens] (see Section 8.2) or [Signed 3435 Endorsing Supporting Tokens] (Section 8.5). D.2 Elements signed by all endorsing signatures 3436 3437 1. The ds: Signature element that forms the message signature (Section 8.3). 3438 2. The wsu: Timestamp element in the case of a transport binding (Section 8.3). D.3 Elements signed by a specific endorsing signature 3439 1. 3440 Security Tokens corresponding to [Endorsing Supporting Tokens] or [Signed Endorsing 3441 Supporting Tokens] when [Token Protection] has a value of 'true' (Section 8.8). D.4 Elements that are encrypted 3442 3443 1. The ds:Signature element that forms the message signature when [Signature Protection] 3444 has a value of 'true' (Section 6.4). 3445 2. All wssell: SignatureConfirmation elements when [Signature Protection] has a value 3446 of 'true' (Section 6.4). 3447 3. A wsse: UsernameToken may be encrypted when a transport binding is not being used 3448 (Section 5.3.1).

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3602