

² Web Services Reliable Messaging Policy ³ Assertion (WS-RM Policy) Version 1.2

4 Committee Specification 02

5 29 November 2008

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7 This Version:

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- 17 http://docs.oasis-open.org/ws-rx/wsrmp/v1.2/wsrmp.html
- 18 http://docs.oasis-open.org/ws-rx/wsrmp/v1.2/wsrmp.doc

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 - This specification replaces or supercedes:
 - WS-ReliableMessaging Policy v1.1
- 32 Declared XML Namespaces:
- 33 http://docs.oasis-open.org/ws-rx/wsrmp/200702

34 Abstract:

- This specification describes a domain-specific policy assertion for WS-ReliableMessaging [WS RM] that that can be specified within a policy alternative as defined in WS-Policy Framework
 [WS-Policy].
- By using the XML [XML], SOAP [SOAP 1.1], [SOAP 1.2] and WSDL [WSDL 1.1] extensibility models, the WS* specifications are designed to be composed with each other to provide a rich Web services environment. This by itself does not provide a negotiation solution for Web services. This is a building block that is used in conjunction with other Web service and application-specific protocols to accommodate a wide variety of policy exchange models.

43 Status:

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date. The level of approval is also listed above. Check the "Latest Version" or "Latest Approved
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119 **1** Introduction

120 This specification defines a domain-specific policy assertion for reliable messaging for use with WS-Policy

121 and WS-ReliableMessaging.

122 1.1 Terminology

123 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD 124 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described

125 in RFC 2119 [KEYWORDS].

- 126 This specification uses the following syntax to define normative outlines for messages:
- The syntax appears as an XML instance, but values in italics indicate data types instead of values.
- Characters are appended to elements and attributes to indicate cardinality:
- 129 o "?" (0 or 1)
- 130 o "*" (0 or more)
- 131 o "+" (1 or more)
- The character "|" is used to indicate a choice between alternatives.
- The characters "[" and "]" are used to indicate that contained items are to be treated as a group with respect to cardinality or choice.
- An ellipsis (i.e. "...") indicates a point of extensibility that allows other child, or attribute, content.
 Additional children and/or attributes MAY be added at the indicated extension points but MUST
 NOT contradict the semantics of the parent and/or owner, respectively. If an extension is not
 recognized it SHOULD be ignored.
- XML namespace prefixes (see section 1.4) are used to indicate the namespace of the element being defined.

141 Elements and Attributes defined by this specification are referred to in the text of this document using
142 XPath 1.0 [XPATH 1.0] expressions. Extensibility points are referred to using an extended version of this
143 syntax:

- An element extensibility point is referred to using {any} in place of the element name. This indicates that any element name can be used, from any namespace other than the wsrm: namespace.
- An attribute extensibility point is referred to using @{any} in place of the attribute name. This indicates that any attribute name can be used, from any namespace other than the wsrm: namespace.

150 **1.2 Normative**

151 152 153	[KEYWORDS]	S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels," RFC 2119, Harvard University, March 1997. http://www.ietf.org/rfc/rfc2119.txt
154 155	[SOAP 1.1]	W3C Note, "SOAP: Simple Object Access Protocol 1.1" 08 May 2000. http://www.w3.org/TR/2000/NOTE-SOAP-20000508/

156 157 158	[SOAP 1.2]	W3C Recommendation, "SOAP Version 1.2 Part 1: Messaging Framework" June 2003. http://www.w3.org/TR/2003/REC-soap12-part1-20030624/
159 160 161 162	[URI]	T. Berners-Lee, R. Fielding, L. Masinter, "Uniform Resource Identifiers (URI): Generic Syntax," RFC 3986, MIT/LCS, U.C. Irvine, Xerox Corporation, January 2005. http://ietf.org/rfc/rfc3986
163 164 165	[WS-RM]	OASIS Committee Specification 02, "Web Services Reliable Messaging (WS- ReliableMessaging)," November 2008. http://docs.oasis-open.org/ws-rx/wsrm/200702/wsrm-1.2-spec-cs-02.doc
166 167	[WSDL 1.1]	W3C Note, "Web Services Description Language (WSDL 1.1)," 15 March 2001. http://www.w3.org/TR/2001/NOTE-wsdl-20010315
168 169 170	[XML]	W3C Recommendation, "Extensible Markup Language (XML) 1.0 (Fourth Edition)", September 2006. http://www.w3.org/TR/REC-xml/
171 172	[XML-ns]	W3C Recommendation, "Namespaces in XML," 14 January 1999. http://www.w3.org/TR/1999/REC-xml-names-19990114/
173 174	[XML-Schema Par	t1] W3C Recommendation, "XML Schema Part 1: Structures," October 2004. http://www.w3.org/TR/xmlschema-1/
175 176	[XML-Schema Par	t2] W3C Recommendation, "XML Schema Part 2: Datatypes," October 2004. http://www.w3.org/TR/xmlschema-2/
177 178 179	[XPATH 1.0]	W3C Recommendation, "XML Path Language (XPath) Version 1.0," 16 November 1999. http://www.w3.org/TR/xpath

180 1.3 Non Normative

181 182 183	[RDDL 2.0]	Jonathan Borden, Tim Bray, eds. "Resource Directory Description Language (RDDL) 2.0," January 2004 http://www.openhealth.org/RDDL/20040118/rddl-20040118.html
184 185 186	[SecurityPolicy]	OASIS Committee Specification 01, "WS-SecurityPolicy 1.3", November 2008 http://docs.oasis-open.org/ws-sx/ws-securitypolicy/v1.3/cs/ws-securitypolicy-1.3- spec-cs-01.doc
187 188 189	[WS-Policy]	W3C Recommendation, "Web Services Policy 1.5 - Framework," September 2007. http://www.w3.org/TR/2007/REC-ws-policy-20070904
190 191 192	[WS-PolicyAttach	nent] W3C Recommendation, "Web Services Policy 1.5 - Attachment," September 2007. http://www.w3.org/TR/2007/REC-ws-policy-attach-20070904
193 194 195 196 197	[WS-Security]	Anthony Nadalin, Chris Kaler, Phillip Hallam-Baker, Ronald Monzillo, eds. "OASIS Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)", OASIS Standard 200401, March 2004. http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message- security-1.0.pdf
198 199 200 201 202		Anthony Nadalin, Chris Kaler, Phillip Hallam-Baker, Ronald Monzillo, eds. "OASIS Web Services Security: SOAP Message Security 1.1 (WS-Security 2004)", OASIS Standard 200602, February 2006. http://docs.oasis-open.org/wss/v1.1/wss-v1.1-spec-os-SOAPMessageSecurity.pdf

203 1.4 Namespace

204 The XML namespace [XML-ns] URI that MUST be used by implementations of this specification is:

- 205 http://docs.oasis-open.org/ws-rx/wsrmp/200702
- Dereferencing the above URI will produce the Resource Directory Description Language [RDDL 2.0]
 document that describes this namespace.

Table 1 lists the XML namespaces that are used in this specification. The choice of any namespace prefix is arbitrary and not semantically significant.

210 Table 1

Prefix	Namespace	Specification
wsdl	http://schemas.xmlsoap.org/wsdl/	[WSDL 1.1]
wsp	http://www.w3.org/ns/ws-policy	WS-Policy 1.5
wsrmp	http://docs.oasis-open.org/ws-rx/wsrmp/200702	This specification.
wsu	http://docs.oasis-open.org/wss/2004/01/oasis-200401- wss-wssecurity-utility-1.0.xsd	WS-Security-Utility Schema

The normative schema for WS-ReliableMessaging can be found linked from the namespace document that is located at the namespace URI specified above.

213 All sections explicitly noted as examples are informational and are not to be considered normative.

214 **1.5 Conformance**

- 215 An implementation is not compliant with this specification if it fails to satisfy one or more of the MUST or
- 216 REQUIRED level requirements defined herein. A SOAP Node MUST NOT use the XML namespace
- identifier for this specification (listed in section 1.4) within SOAP Envelopes unless it is compliant with thisspecification.
- 219 Normative text within this specification takes precedence over normative outlines, which in turn take
- 220 precedence over the XML Schema [XML-Schema Part1, XML-Schema Part2] descriptions.

221 2 RM Policy Assertions

222 WS-Policy Framework and WS-Policy Attachment [WS-PolicyAttachment] collectively define a framework,

223 model and grammar for expressing the requirements, and general characteristics of entities in an XML

224 Web services-based system. To enable an RM Destination and an RM Source to describe their

225 requirements for a given Sequence, this specification defines a single RM policy assertion that leverages

226 the WS-Policy framework.

227 2.1 Assertion Model

228 The RM policy assertion indicates that the RM Source and RM Destination MUST use WS-

229 ReliableMessaging to ensure reliable delivery of messages. Specifically, the WS-ReliableMessaging

230 protocol determines invariants maintained by the reliable messaging endpoints and the directives used to

231 track and manage the delivery of a Sequence of messages.

232 2.2 Normative Outline

233 The normative outline for the RM assertion is:

```
234
         <wsrmp:RMAssertion [wsp:Optional="true"]? ... >
235
           <wsp:Policy>
236
             [ <wsrmp:SequenceSTR/> |
237
               <wsrmp:SequenceTransportSecurity/> ] ?
238
             <wsrmp:DeliveryAssurance>
239
               <wsp:Policy>
240
                 [ <wsrmp:ExactlyOnce/> |
241
                   <wsrmp:AtLeastOnce/> |
242
                   <wsrmp:AtMostOnce/> ]
243
                 <wsrmp:InOrder/> ?
244
               </wsp:Policy>
245
             </wsrmp:DeliveryAssurance> ?
246
           </wsp:Policy>
247
           . . .
248
         </wsrmp:RMAssertion>
```

- 249 The following describes the content model of the RMAssertion element.
- 250 /wsrmp:RMAssertion
- A policy assertion that specifies that WS-ReliableMessaging protocol MUST be used when sending messages.
- 253 /wsrmp:RMAssertion/@wsp:Optional="true"
- 254 Per WS-Policy, this is compact notation for two policy alternatives, one with and one without the
- assertion. The intuition is that the behavior indicated by the assertion is optional, or in this case,
- that WS-ReliableMessaging MAY be used.
- 257 /wsrmp:RMAssertion/wsp:Policy
- 258 This required element allows for the inclusion of nested policy assertions.
- 259 /wsrmp:RMAssertion/wsp:Policy/wsrmp:SequenceSTR
- 260 When present, this assertion defines the requirement that an RM Sequence MUST be bound to an
- 261 explicit token that is referenced from a wsse: SecurityTokenReference in the
- 262 CreateSequence message. See section 2.5.1.

263 /wsrmp:RMAssertion/wsp:Policy/wsrmp:SequenceTransportSecurity

- 264 When present, this assertion defines the requirement that an RM Sequence MUST be bound to
- 265 the session(s) of the underlying transport-level protocol used to carry the CreateSequence and
- 266 CreateSequenceResponse message. When present, this assertion MUST be used in
- 267 conjunction with the sp:TransportBinding assertion, see section 2.5.2.

268 /wsrmp:RMAssertion/wsp:Policy/wsrmp:DeliveryAssurance

- 269 This expression, which may be omitted, describes the message delivery quality of service between
- 270 the RM and application layer. When used by an RM Destination it expresses the delivery 271 assurance in effect between the RM Destination and its corresponding application destination
- assurance in effect between the RM Destination and its corresponding application destination, and
 it also indicates requirements on any RM Source that transmits messages to this RM destination.
- 273 Conversely when used by an RM Source it expresses the delivery assurance in effect between the
- 274 RM Source and its corresponding application source, as well as indicating requirements on any
- 275 RM Destination that receives messages from this RM Source. In either case the delivery
- 276assurance does not affect the messages transmitted on the wire. Absence of this expression from277a wsrmp:RMAssertion policy assertion simply means that the endpoint has chosen not to
- 278 advertise its delivery assurance characteristics.
- Note that when there are multiple policy alternatives of the RM Assertion, the Delivery Assuranceon each MUST NOT conflict.

281 /wsrmp:RMAssertion/wsp:Policy/wsrmp:DeliveryAssurance/wsp:Policy

- 282 This required element identifies additional requirements for the use of the
- 283 wsrmp:DeliveryAssurance.
- 284 /wsrmp:RMAssertion/wsp:Policy/wsrmp:DeliveryAssurance/wsp:Policy/wsrmp:ExactlyOnce
- 285 This expresses the ExactlyOnce Delivery Assurance defined in [WS-RM].
- 286 /wsrmp:RMAssertion/wsp:Policy/wsrmp:DeliveryAssurance/wsp:Policy/wsrmp:AtLeastOnce
- 287 This expresses the AtLeastOnce Delivery Assurance defined in [WS-RM].
- 288 /wsrmp:RMAssertion/wsp:Policy/wsrmp:DeliveryAssurance/wsp:Policy/wsrmp:AtMostOnce
- 289 This expresses the AtMostOnce Delivery Assurance defined in [WS-RM].

290 /wsrmp:RMAssertion/wsp:Policy/wsrmp:DeliveryAssurance/wsp:Policy/wsrmp:InOrder

- 291 This expresses the InOrder Delivery Assurance defined in [WS-RM].
- 292 /wsrmp:RMAssertion/{any}
- This is an extensibility mechanism to allow different (extensible) types of information, based on a schema, to be passed.
- 295 /wsrmp:RMAssertion/@{any}
- This is an extensibility mechanism to allow different (extensible) types of information, based on a schema, to be passed.

298 2.3 Assertion Attachment

- 299 The RM policy assertion is allowed to have the following Policy Subjects [WS-PolicyAttachment]:
- 300 Endpoint Policy Subject
- 301 Message Policy Subject

- 302 WS-PolicyAttachment defines a set of WSDL/1.1 policy attachment points for each of the above Policy
- 303 Subjects. Since an RM policy assertion specifies a concrete behavior, it MUST NOT be attached to the 304 abstract WSDL policy attachment points.
- The following is the list of WSDL/1.1 elements whose scope contains the Policy Subjects allowed for an RM policy assertion but which MUST NOT have RM policy assertions attached:
- wsdl:message
- 308 wsdl:portType/wsdl:operation/wsdl:input
- wsdl:portType/wsdl:operation/wsdl:output
- wsdl:portType/wsdl:operation/wsdl:fault
- wsdl:portType

The following is the list of WSDL/1.1 elements whose scope contains the Policy Subjects allowed for an RM policy assertion and which MAY have RM policy assertions attached:

- wsdl:port
- wsdl:binding
- wsdl:binding/wsdl:operation/wsdl:input
- wsdl:binding/wsdl:operation/wsdl:output
- wsdl:binding/wsdl:operation/wsdl:fault
- 319 If an RM policy assertion is attached to any of:
- wsdl:binding/wsdl:operation/wsdl:input
- wsdl:binding/wsdl:operation/wsdl:output
- wsdl:binding/wsdl:operation/wsdl:fault

then an RM policy assertion, specifying wsp:Optional="true" MUST be attached to the corresponding wsdl:binding or wsdl:port, indicating that the endpoint supports WS-RM. Any messages, regardless of whether they have an attached Message Policy Subject RM policy assertion, MAY be sent to that endpoint using WS-RM. Additionally, the receiving endpoint MUST NOT reject any message belonging to a Sequence, simply because there was no Message Policy Subject RM policy assertion attached to that message. There might be certain RM implementations that are incapable of applying RM Quality of Service (QoS) semantics on a per-message basis. In order to ensure the broadest interoperability, when an endpoint decorates its WSDL with RM policy assertions using Message Policy Subject, it MUST also be prepared to accept that all messages sent to that endpoint might be sent within the context of an RM Sequence, regardless of whether the corresponding wsdl:input, wsdl:output or wsdl:fault had an attached RM policy assertion.

Rather than turn away messages that were unnecessarily sent with RM semantics, the receiving endpointdescribed by the WSDL MUST accept these messages.

336 By attaching an RM policy assertion that specifies wsp:Optional="true" to the corresponding endpoint

that has attached RM policy assertions at the Message Policy Subject level, the endpoint is describing the above constraint in policy.

339 In the case where an optional RM Assertion applies to an output message, there is no requirement on the

340 client to support an RM Destination implementation

341 **2.4 Assertion Example**

342 Table 2 lists an example use of the RM policy assertion.

343 Table 2: Example policy with RM policy assertion

```
344
         (01) <wsdl:definitions
345
         (02)
                 targetNamespace="example.com"
346
         (03)
                 xmlns:tns="example.com"
347
         (04)
                 xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
348
         (05)
                 xmlns:wsp="http://www.w3.org/ns/ws-policy"
349
         (06)
                 xmlns:wsrmp="http://docs.oasis-open.org/ws-rx/wsrmp/200702"
350
         (07)
                 xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
351
         wssecurity-utility-1.0.xsd">
352
         (08)
353
         (09) <wsp:UsingPolicy wsdl:required="true" />
354
         (10)
355
         (11) <wsp:Policy wsu:Id="MyPolicy" >
356
                <wsrmp:RMAssertion>
         (12)
357
         (13)
                  <wsp:Policy/>
358
         (14)
                </wsrmp:RMAssertion>
359
         (15)
                <!-- omitted assertions -->
360
         (16) </wsp:Policy>
361
         (17)
362
         (18) <!-- omitted elements -->
363
         (19)
364
         (20) <wsdl:binding name="MyBinding" type="tns:MyPortType" >
365
         (21)
                <wsp:PolicyReference URI="#MyPolicy" />
366
         (22)
                <!-- omitted elements -->
367
         (23) </wsdl:binding>
368
         (24)
369
         (25) </wsdl:definitions>
```

370 Line (09) in Table 2 indicates that WS-Policy is in use as a required extension.

Lines (11-16) are a policy expression that includes a RM policy assertion (lines 12-14) to indicate that WS-ReliableMessaging must be used.

373 Lines (20-23) are a WSDL binding. Line (21) indicates that the policy in lines (11-16) applies to this

binding, specifically indicating that WS-ReliableMessaging must be used over all the messages in thebinding.

376 2.5 Sequence Security Policy

WS-SecurityPolicy [SecurityPolicy] provides a framework and grammar for expressing the security
 requirements and characteristics of entities in a XML web services based system. The following assertions
 MAY be used in conjunction with WS-SecurityPolicy to express additional security requirements particular

380 to RM Sequences.

381 2.5.1 RM Assertion with Sequence STR Assertion

This version of the RM assertion includes the requirement that an RM Sequence MUST be bound to an
 explicit token that is referenced from a wsse: SecurityTokenReference in the CreateSequence
 message.

This assertion MUST apply to [Endpoint Policy Subject]. The normative outline for this form of the Sequence STR Assertion is:

```
387 <wsrmp:RMAssertion [wsp:Optional="true"]? ...>
388 <wsp:Policy>
```

389<wsrmp:SequenceSTR/>390<wsp:Policy>391</wsrmp:RMAssertion>

- **392** The following describes the content model of the SequenceSTR element.
- 393 /wsrmp:SequenceSTR

A policy assertion that specifies security requirements which MUST be used with an RM Sequence that are particular to WS-RM and beyond what can be expressed in WS-SecurityPolicy.

396 **2.5.2 RM Assertion with Sequence Transport Security Assertion**

This version of the RM assertion includes the requirement that an RM Sequence MUST be bound to the session(s) of the underlying transport-level security protocol (e.g. SSL/TLS) used to carry the

399 CreateSequence **and** CreateSequenceResponse **messages**.

400 This assertion MUST apply to [Endpoint Policy Subject]. This assertion MUST be used in conjunction with

- 401 the sp:TransportBinding assertion that requires the use of some transport-level security mechanism
- 402 (e.g. sp:HttpsToken).
- 403 The normative outline for this form of the RM Assertion with the Sequence Transport Security Assertion is:

404	<wsp:policy></wsp:policy>			
405	<pre><wsp:exactlyone></wsp:exactlyone></pre>			
406	<wsp:all></wsp:all>			
407	<pre><wsrm:rmassertion [wsp:optional="true"]="">></wsrm:rmassertion></pre>			
408	<wsp:policy></wsp:policy>			
409	<pre></pre> wsrmp:SequenceTransportSecurity/>			
410				
411				
412	<sp:transportbinding></sp:transportbinding>			
413				
414				
415	<wsp:all></wsp:all>			
416	<wsp:exactlyone></wsp:exactlyone>			
417				

418 The following describes the content model of the SequenceTransportSecurity element.

419 /wsrmp:SequenceTransportSecurity

420 A policy assertion that specifies that any Sequences targeted to the indicated endpoint MUST be

bound to the underlying session(s) of the transport-level security used to carry messages related to theSequence.

423 This form of the RM Assertion says that an endpoint MAY have RM as an option but always requires

424 HTTPS to be used. All the SequenceTransportSecurity assertion indicates is that RM's rules for

425 protecting the Sequence over TLS are followed.

426 **3 Security Considerations**

427 It is strongly RECOMMENDED that policies and assertions be signed to prevent tampering.

428 It is RECOMMENED that policies SHOULD NOT be accepted unless they are signed and have an

429 associated security token to specify the signer has proper claims for the given policy. That is, a relying

430 party shouldn't rely on a policy unless the policy is signed and presented with sufficient claims to pass the

- 431 relying parties acceptance criteria.
- 432 It should be noted that the mechanisms described in this document could be secured as part of a SOAP
- 433 message using WS-Security [WS-Security] or embedded within other objects using object-specific security 434 mechanisms.

435 Appendix A. Schema

A normative copy of the XML Schema [XML-Schema Part1, XML-Schema Part2] description for this
 specification may be retrieved from the following address:

438 http://docs.oasis-open.org/ws-rx/wsrmp/200702/wsrmp-1.1-schema-200702.xsd

439 The following copy is provided for reference.

440	xml version="1.0" encoding="UTF-8"?		
441	<pre><!-- Copyright(C) OASIS(R) 1993-2007. All Rights Reserved.</pre--></pre>		
442	OASIS trademark, IPR and other policies apply>		
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497 Appendix B. Acknowledgments

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