



Web Services ReliableMessaging Policy Assertion (WS-RM Policy)

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Abstract:

This specification describes a domain-specific policy assertion for WS-ReliableMessaging [WS-RM] that that can be specified within a policy alternative as defined in WS-Policy Framework [WS-Policy].

By using the XML [XML], SOAP [SOAP 1.1], [SOAP 1.2] and WSDL [WSDL 1.1] extensibility models, the WS* specifications are designed to be composed with each other to provide a rich Web services environment. This by itself does not provide a negotiation solution for Web services. This is a building block that is used in conjunction with other Web service and application-specific protocols to accommodate a wide variety of policy exchange models.

Status:

This document is a Committee Draft.

This document was last revised or approved by the OASIS WS-RX Technical Committee on the above date. The level of approval is also listed above. Check the current location noted above for possible later revisions of this document.

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35 **Table of Contents**

36	1 Introduction.....	3
37	1.1 Goals and Requirements.....	3
38	1.1.1 Requirements.....	3
39	1.2 Notational Conventions.....	3
40	1.3 Namespace.....	3
41	1.4 Compliance.....	4
42	2 RM Policy Assertions.....	5
43	2.1 Assertion Model	5
44	2.2 Normative Outline.....	5
45	2.3 Assertion Attachment.....	6
46	2.4 Assertion Example.....	6
47	3 Security Considerations.....	8
48	4 References.....	9
49	4.1 Normative.....	9
50	4.2 Non Normative.....	9
51	A. Acknowledgments.....	10
52	B. XML Schema.....	11
53	C. Revision History.....	13
54	D. Notices.....	15

55 1 Introduction

56 This specification defines a domain-specific policy assertion for reliable messaging for use with WS-Policy
57 [\[WS-Policy\]](#) and WS-ReliableMessaging [\[WS-RM\]](#).

58 1.1 Goals and Requirements

59 1.1.1 Requirements

60 1.2 Notational Conventions

61 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
62 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described
63 in RFC 2119 [\[KEYWORDS\]](#).

64 This specification uses the following syntax to define normative outlines for messages:

- 65 • The syntax appears as an XML instance, but values in italics indicate data types instead of values.
- 66 • Characters are appended to elements and attributes to indicate cardinality:
 - 67 ○ "?" (0 or 1)
 - 68 ○ "*" (0 or more)
 - 69 ○ "+" (1 or more)
- 70 • The character "|" is used to indicate a choice between alternatives.
- 71 • The characters "[" and "]" are used to indicate that contained items are to be treated as a group
72 with respect to cardinality or choice.
- 73 • An ellipsis (i.e. "...") indicates a point of extensibility that allows other child, or attribute, content.
74 Additional children and/or attributes MAY be added at the indicated extension points but MUST
75 NOT contradict the semantics of the parent and/or owner, respectively. If an extension is not
76 recognized it SHOULD be ignored.
- 77 • XML namespace prefixes (See Section [Namespace](#)) are used to indicate the namespace of the
78 element being defined.

79 1.3 Namespace

80 The XML namespace [\[XML-ns\]](#) URI that MUST be used by implementations of this specification is:

81 `http://docs.oasis-open.org/ws-rx/wsrmp/200510`

82 Table 1 lists the XML namespaces that are used in this specification. The choice of any namespace prefix
83 is arbitrary and not semantically significant.

84 The following namespaces are used in this document:

85 *Table 1*

Prefix	Namespace	Specification
wsp	http://schemas.xmlsoap.org/ws/2004/09/policy	[WS-Policy]
wsrmp	http://docs.oasis-open.org/ws-rx/wsrmp/200510	This specification.

86 **1.4 Compliance**

87 An implementation is not compliant with this specification if it fails to satisfy one or more of the MUST or
88 REQUIRED level requirements defined herein. A SOAP Node MUST NOT use the XML namespace
89 identifier for this specification (listed in Section [Namespace](#)) within SOAP Envelopes unless it is compliant
90 with this specification.

91 Normative text within this specification takes precedence over normative outlines, which in turn take
92 precedence over the XML Schema [[XML-Schema Part1](#), [XML-Schema Part2](#)] descriptions.

93 2 RM Policy Assertions

94 WS-Policy Framework [WS-Policy] and WS-Policy Attachment [WS-PolicyAttachment] collectively define
95 a framework, model and grammar for expressing the requirements, and general characteristics of entities
96 in an XML Web services-based system. To enable an RM Destination and an RM Source to describe their
97 requirements for a given Sequence, this specification defines a single RM policy assertion that leverages
98 the WS-Policy framework.

99 2.1 Assertion Model

100 The RM policy assertion indicates that the RM Source and RM Destination MUST use WS-
101 ReliableMessaging [WS-RM] to ensure reliable delivery of messages. Specifically, the WS-
102 ReliableMessaging protocol determines invariants maintained by the reliable messaging endpoints and
103 the directives used to track and manage the delivery of a Sequence of messages.

104 The assertion defines a maximum message number parameter that the RM Destination MAY include to
105 indicate the maximum message number the RM Destination will accept. This is useful for RM Destinations
106 that may be running in constrained environments that can not accept values as large as the default value
107 of a maximum unsigned long.

108 Finally, this assertion defines an acknowledgement interval parameter that the RM Destination MAY
109 include. Per WS-ReliableMessaging [WS-RM], acknowledgements are sent on return messages or sent
110 stand-alone. If a return message is not available to send an acknowledgement, an RM Destination MAY
111 wait for up to the acknowledgement interval before sending a stand-alone acknowledgement. If there are
112 no unacknowledged messages, the RM Destination MAY choose not to send an acknowledgement. This
113 parameter does not alter the formulation of messages or acknowledgements as transmitted; it does not
114 alter the meaning of the wsrmp:AckRequested directive. Its purpose is to communicate the timing of
115 acknowledgements so that the RM Source may tune appropriately.

116 The RM assertion parameters do not affect the messages which are sent on the wire.

117 2.2 Normative Outline

118 The normative outline for the RM version assertion is:

```
119 <wsrmp:RMAssertion [wsp:Optional="true"]? ... >  
120   <wsrmp:AcknowledgementInterval Milliseconds="xs:unsignedLong" ... /> ?  
121   <wsrmp:MaxMessageNumber Number="xs:unsignedLong" ... /> ?  
122   ...  
123 </wsrmp:RMAssertion>
```

124 The following describes additional, normative constraints on the outline listed above:

125 /wsrmp:RMAssertion

126 A policy assertion that specifies that WS-ReliableMessaging [WS-RM] protocol MUST be used for
127 a Sequence.

128 /wsrmp:RMAssertion/@wsp:Optional="true"

129 Per WS-Policy [WS-Policy], this is compact notation for two policy alternatives, one with and one
130 without the assertion. The intuition is that the behavior indicated by the assertion is optional, or in
131 this case, that WS-ReliableMessaging MAY be used.

132 /wsrmp:RMAssertion/wsrmp:AcknowledgementInterval

133 A parameter that specifies the duration after which the RM Destination will transmit an
 134 acknowledgement. If omitted, there is no implied value.

135 /wsrmp:RMAssertion/wsrmp:AcknowledgementInterval/@Milliseconds

136 The acknowledgement interval, specified in milliseconds.

137 /wsrmp:RMAssertion/wsrmp:MaxMessageNumber

138 A parameter that specifies the maximum message number that the RM Destination will accept. If
 139 omitted, the default value of the maximum unsigned long will be assumed.

140 /wsrmp:RMAssertion/wsrmp:MaxMessageNumber/@Number

141 The maximum message number.

142 2.3 Assertion Attachment

143 Because the RM policy assertion indicates endpoint behavior over an RM Sequence, the assertion has
 144 Endpoint Policy Subject [[WS-PolicyAttachment](#)].

145 WS-PolicyAttachment defines three WSDL [[WSDL 1.1](#)] policy attachment points with Endpoint Policy
 146 Subject:

- 147 • wsdl:portType – A policy expression containing the RM policy assertion MUST NOT be attached to
 148 a wsdl:portType; the RM policy assertion specifies a concrete behavior whereas the wsdl:portType is an
 149 abstract construct.
- 150 • wsdl:binding – A policy expression containing the RM policy assertion SHOULD be attached to a
 151 wsdl:binding.
- 152 • wsdl:port – A policy expression containing the RM policy assertion MAY be attached to a wsdl:port.

153 If the RM policy assertion appears in a policy expression attached to both a wsdl:port and its
 154 corresponding wsdl:binding, the parameters in the former MUST be used and the latter ignored.

155 2.4 Assertion Example

156 Table 2 lists an example use of the RM policy assertion.

157 Table 2: Example policy with RM policy assertion

```

158 (01) <wsdl:definitions
159 (02)   targetNamespace="example.com"
160 (03)   xmlns:tns="example.com"
161 (04)   xmlns:wsoap="http://schemas.xmlsoap.org/wsdl/"
162 (05)   xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy"
163 (06)   xmlns:wsrmp="http://docs.oasis-open.org/ws-rx/wsrmp/200510"
164 (07)   xmlns:wssu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
165 wss-wssecurity-utility-1.0.xsd">
166 (08)
167 (09)   <wsp:UsingPolicy wsdl:required="true" />
168 (10)
169 (11)   <wsp:Policy wsu:Id="MyPolicy" >
170 (12)     <wsrmp:RMAssertion>
171 (13)       <wsrmp:AcknowledgementInterval Milliseconds="200" />
172 (14)     </wsrmp:RMAssertion>
173 (15)     <!-- omitted assertions -->
174 (16)   </wsp:Policy>
175 (17)
176 (18) <!-- omitted elements -->

```

```
177 (19)
178 (20) <wsdl:binding name="MyBinding" type="tns:MyPortType" >
179 (21)   <wsp:PolicyReference URI="#MyPolicy" />
180 (22)   <!-- omitted elements -->
181 (23) </wsdl:binding>
182 (24)
183 (25)</wsdl:definitions>
```

184 Line (09) in Table 2 indicates that WS-Policy [[WS-Policy](#)] is in use as a required extension.

185 Lines (11-16) are a policy expression that includes a RM policy assertion (Lines 12-14) to indicate that
186 WS-ReliableMessaging [[WS-RM](#)] must be used. Line (13) indicates the RM Destination may buffer
187 acknowledgements for up to two-tenths of a second.

188 Lines (20-23) are a WSDL [[WSDL 1.1](#)] binding. Line (21) indicates that the policy in Lines (11-16) applies
189 to this binding, specifically indicating that WS-ReliableMessaging must be used over all the messages in
190 the binding.

191 **3 Security Considerations**

192 It is strongly RECOMMENDED that policies and assertions be signed to prevent tampering.

193 It is RECOMMENDED that policies SHOULD NOT be accepted unless they are signed and have an
194 associated security token to specify the signer has proper claims for the given policy. That is, a relying
195 party shouldn't rely on a policy unless the policy is signed and presented with sufficient claims to pass the
196 relying parties acceptance criteria.

197 It should be noted that the mechanisms described in this document could be secured as part of a SOAP
198 message using WS-Security [[WSS](#)] or embedded within other objects using object-specific security
199 mechanisms.

200 **4 References**

201 **4.1 Normative**

202 **[KEYWORDS]**

203 S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels," RFC 2119, Harvard University,
204 March 1997.

205 **[SOAP 1.1]**

206 W3C Note, "SOAP: Simple Object Access Protocol 1.1" 08 May 2000.

207 **[SOAP 1.2]**

208 W3C Recommendation, "[SOAP Version 1.2 Part 1: Messaging Framework](#)" June 2003.

209 **[URI]**

210 T. Berners-Lee, R. Fielding, L. Masinter, "Uniform Resource Identifiers (URI): Generic Syntax," RFC 3986,
211 MIT/LCS, U.C. Irvine, Xerox Corporation, January 2005.

212 **[WS-RM]**

213 OASIS WS-RX Technical Committee Draft, "Web Services Reliable Messaging (WS-ReliableMessaging),"
214 September 2005.

215 **[WS-Policy]**

216 D. Box, et al, "Web Services Policy Framework (WS-Policy)," September 2004.

217 **[WS-PolicyAttachment]**

218 D. Box, et al, "Web Services Policy Attachment (WS-PolicyAttachment)," September 2004.

219 **[WSDL 1.1]**

220 W3C Note, "Web Services Description Language (WSDL 1.1)," 15 March 2001.

221 **[XML]**

222 W3C Recommendation, "[Extensible Markup Language \(XML\) 1.0 \(Second Edition\)](#)", October 2000.

223 **[XML-ns]**

224 W3C Recommendation, "Namespaces in XML," 14 January 1999.

225 **[XML-Schema Part1]**

226 W3C Recommendation, "XML Schema Part 1: Structures," 2 May 2001.

227 **[XML-Schema Part2]**

228 W3C Recommendation, "XML Schema Part 2: Datatypes," 2 May 2001.

229 **4.2 Non Normative**

230 **[WSS]**

231 OASIS Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)", Chris Kaler, Phillip
232 Hallam-Baker, Ronald Monzillo, eds, OASIS Standard 200401, March 2004.

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248 The following individuals were members of the committee during the development of this specification:

249 TBD

250 B. XML Schema

251 A normative copy of the XML Schema [XML-Schema Part1, XML-Schema Part2] description for this
252 specification may be retrieved from the following address:

253 <http://docs.oasis-open.org/ws-rx/wsrmp/200510/wsrmp-1.1-schema-200510.xsd>

254 The following copy is provided for reference.

```
255 <?xml version="1.0" encoding="UTF-8"?>
256 <!--
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292 MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.
293 -->
294 <xs:schema xmlns:tns="http://docs.oasis-open.org/ws-rx/wsrmp/200510"
295 xmlns:xs="http://www.w3.org/2001/XMLSchema"
296 targetNamespace="http://docs.oasis-open.org/ws-rx/wsrmp/200510"
297 elementFormDefault="qualified" attributeFormDefault="unqualified">
298   <xs:element name="RMAssertion">
299     <xs:complexType>
300       <xs:sequence>
301         <xs:element
302 name="AcknowledgementInterval" minOccurs="0">
303           <xs:complexType>
304             <xs:attribute
305 name="Milliseconds" type="xs:unsignedLong" use="required"/>

```

```

306                                     <xs:anyAttribute
307 namespace="##any" processContents="lax"/>
308                                     </xs:complexType>
309                                     </xs:element>
310                                     <xs:element name="MaxMessageNumber"
311 minOccurs="0">
312                                     <xs:complexType>
313                                     <xs:attribute
314 name="Number" type="xs:unsignedLong" use="required"/>
315                                     <xs:anyAttribute
316 namespace="##any" processContents="lax"/>
317                                     </xs:complexType>
318                                     </xs:element>
319                                     <xs:any namespace="##other"
320 processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
321                                     </xs:sequence>
322                                     <xs:anyAttribute namespace="##any"
323 processContents="lax"/>
324                                     </xs:complexType>
325                                     </xs:element>
326 </xs:schema>

```

C. Revision History

Revision	Date	By Whom	What
wd-01.doc	2005-07-06	Ümit Yalçinalp	Initial version created based on submission by the authors.
1.0-wd-01.swx	2005-09-01	Ümit Yalçinalp	Reformatted using Open Office
1.1-wd-01.swx	2005-09-18	Ümit Yalçinalp	Applied resolution i001 Applied resolution i015/16 (doc identifier) Partial application of i017, final yyyy/mm required, changed doc URI to TBD pending yyyy/mm Deleted original copyright section
1.1-wd-01.swx	2005-10-02	Anish Karmarkar	Applied resolution of i013 + minor editorial changes + fixed resolution of i017
1.1-wd-01.swx	2005-10-04	Ümit Yalçinalp	Applied actual value for yyyy/mm. Added resolution of i009
1.1-wd-01.swx	2005-10-06	Ümit Yalçinalp	Editorial fixes suggested by Anish Updated wd draft date to October 6th
1.1-wd-01.swx	2005-10-19	Ümit Yalçinalp	Editorial change to remove .swx suffix from doc id
wd-02	2005-11-03	Gilbert Pilz	Start wd-02 by changing title page from cd-01.
wd-02	2005-11-30	Gilbert Pilz	i072 – editorial nits
wd-02	2005-11-30	Gilbert Pilz	i074 - Use of [tcShortName] in artifact locations namespaces, etc
wd-02	2005-12-01	Gilbert Pilz	Updated fix to i074 to remove trailing '/' from wsrmp namespace.
wd-02	2005-12-01	Anish Karmarkar	Applied resolution for i022
wd-02	2005-12-01	Anish Karmarkar	Applied resolution for i024
wd-02	2005-12-01	Anish Karmarkar	Applied resolution for i054
wd-02	2005-12-01	Anish Karmarkar	Applied resolution of i073
wd-2	2005-12-05	Anish Karmarkar	Applied resolution of i055
wd-2	2005-12-05	Ümit Yalçinalp	Changed fixed date in footer to current date
wd-3	2005-12-21	Doug Davis	Added i050
wd-3	2005-12-23	Ümit Yalçinalp	I057 resolution

Revision	Date	By Whom	What
wd-3	2005-12-23	Ümit Yalçinalp	Changed the ref to WS-RM to the WS-RX committee draft instead of original version Fixed Dug's email address
wd-3	2005-12-23	Ümit Yalçinalp	I060 resolution
wd-03	2005-12-27	Gilbert Pilz	Remove schema example and put it in its own artifact (wsrmp-1.1-schema-200510.xsd). Convert source file to OpenDocument format. Make line numbers all the same style.
wd-03	2005-12-28	Anish Karmarkar	Included a section link to c:\temp\wsrmp-1.1-schema-200510.xsd
wd-03	2006-01-04	Gilbert Pilz	Fixed formatting of included section.
wd-03	2006-01-05	Gilbert Pilz	Fix closing tag of normative outline for RMAssertion.
wd-04	2006-11-11	Doug Davis	Minor tweaks/typos
cd-02	2006-01-13	Gilbert Pilz	Titles, boilerplate, etc. for cd-02

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