



# Devices Profile for Web Services Version 1.1

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### Abstract:

This profile defines a minimal set of implementation constraints to enable secure Web service messaging, discovery, description, and eventing on resource-constrained endpoints.

### Status:

This document was last revised or approved by the OASIS Web Services Discovery and Web Services Devices Profile (WS-DD) TC on the above date. The level of approval is also listed above. Check the "Latest Version" or "Latest Approved Version" location noted above for possible later revisions of this document.

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# 1 Introduction

The Web services architecture includes a suite of specifications that define rich functions and that may be composed to meet varied service requirements. To promote both interoperability between resource-constrained Web service implementations and interoperability with more flexible client implementations, this profile identifies a core set of Web service specifications in the following areas:

- Sending secure messages to and from a Web service
- Dynamically discovering a Web service
- Describing a Web service
- Subscribing to, and receiving events from, a Web service

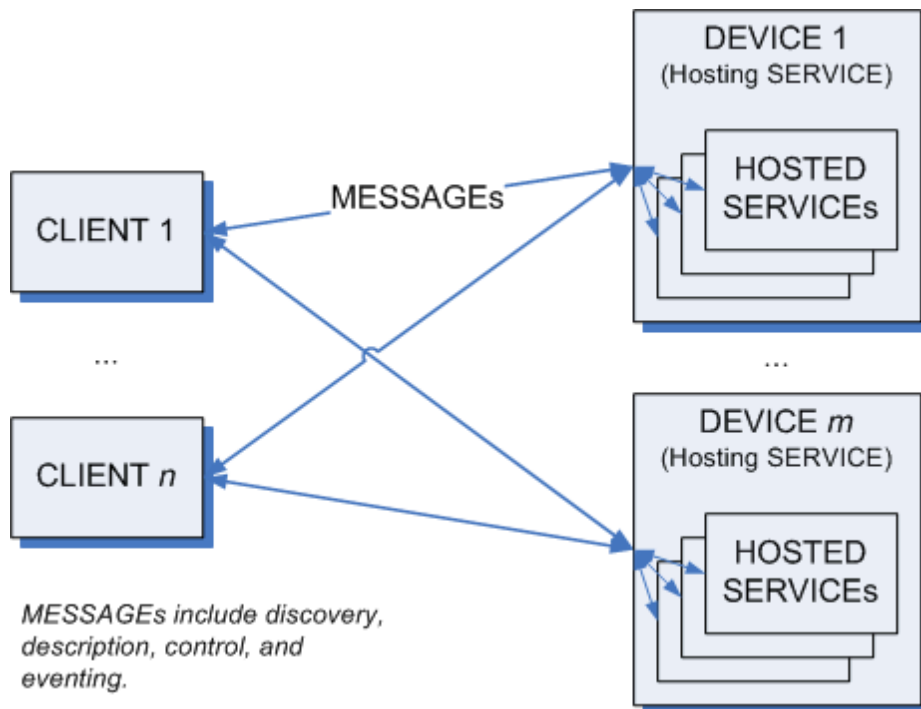
In each of these areas of scope, this profile defines minimal implementation requirements for compliant Web service implementations.

## 1.1 Requirements

This profile intends to meet the following requirements:

- Identify a minimal set of Web service specifications needed to enable secure messaging, dynamic discovery, description, and eventing.
- Constrain Web services protocols and formats so Web services can be implemented on peripheral-class and consumer electronics-class hardware.
- Define minimum requirements for compliance without constraining richer implementations.

## 1.2 Terminology



### 20 MESSAGE

21 Protocol elements that are exchanged, usually over a network, to affect a Web service. Always  
22 includes a SOAP ENVELOPE. Typically also includes transport framing information such as  
23 HTTP headers, TCP headers, and IP headers.  
24

## 25 SOAP ENVELOPE

26 An XML Infoset that consists of a document information item [XML Infoset] with exactly one  
27 member in its [children] property, which MUST be the SOAP Envelope [SOAP 1.2] element  
28 information item.

## 29 MIME SOAP ENVELOPE

30 A SOAP ENVELOPE serialized using MIME Multipart Serialization [MTOM].

## 31 TEXT SOAP ENVELOPE

32 A SOAP ENVELOPE serialized as application/soap+xml.

## 33 CLIENT

34 A network endpoint that sends MESSAGES to and/or receives MESSAGES from a SERVICE.

## 35 SERVICE

36 A software system that exposes its capabilities by receiving and/or sending MESSAGES on one  
37 or several network endpoints.

## 38 DEVICE

39 A distinguished type of SERVICE that hosts other SERVICES and sends and/or receives one or  
40 more specific types of MESSAGES.

## 41 HOSTED SERVICE

42 A distinguished type of SERVICE that is hosted by another SERVICE. The lifetime of the  
43 HOSTED SERVICE is a subset of the lifetime of its host. The HOSTED SERVICE is visible (not  
44 encapsulated) and is addressed separately from its host. Each HOSTED SERVICE has exactly  
45 one host. (The relationship is not transitive.)

## 46 SENDER

47 A CLIENT or SERVICE that sends a MESSAGE.

## 48 RECEIVER

49 A CLIENT or SERVICE that receives a MESSAGE.

## 50 1.3 Notational Conventions

51 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD  
52 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described  
53 in [RFC 2119].

- 54 • This specification uses the following syntax to define normative outlines for messages:
- 55 • The syntax appears as an XML instance, but values in italics indicate data types instead of literal  
56 values.
- 57 • Characters are appended to elements and attributes to indicate cardinality:
  - 58 ○ "?" (0 or 1)
  - 59 ○ "\*" (0 or more)
  - 60 ○ "+" (1 or more)
- 61 • The character "|" is used to indicate a choice between alternatives.
- 62 • The characters "(" and ")" are used to indicate that contained items are to be treated as a group  
63 with respect to cardinality or choice.
- 64 • The characters "[" and "]" are used to call out references and property names.
- 65 • Ellipses (i.e., "...") indicate points of extensibility. Additional children and/or attributes MAY be  
66 added at the indicated extension points but MUST NOT contradict the semantics of the parent  
67 and/or owner, respectively. By default, if a receiver does not recognize an extension, the receiver  
68 SHOULD ignore the extension; exceptions to this processing rule, if any, are clearly indicated  
69 below.

- XML namespace prefixes (see Table 1) are used to indicate the namespace of the element being defined.

This specification uses the **[action]** and Fault properties [\[WS-Addressing\]](#) to define faults.

Normative statements in this profile are called out explicitly as follows:

*Rnnn: Normative statement text goes here.*

where "nnnn" is replaced by the statement number. Each statement contains exactly one requirement level keyword (e.g., "MUST") and one conformance target keyword (e.g., "MESSAGE").

## 1.4 XML Namespaces

The XML namespace URI that MUST be used by implementations of this specification is:

<http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01>

Table 1 lists XML namespaces that are used in this specification. The choice of any namespace prefix is arbitrary and not semantically significant.

**Table 1: Prefixes and XML namespaces used in this specification.**

Prefix	XML Namespace	Specification(s)
soap	<a href="http://www.w3.org/2003/05/soap-envelope">http://www.w3.org/2003/05/soap-envelope</a>	[SOAP 1.2]
wsa	<a href="http://www.w3.org/2005/08/addressing">http://www.w3.org/2005/08/addressing</a>	[WS-Addressing]
wsd	<a href="http://docs.oasis-open.org/ws-dd/ns/discovery/2009/01">http://docs.oasis-open.org/ws-dd/ns/discovery/2009/01</a>	[WS-Discovery]
dpws	<a href="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01">http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01</a>	This profile
wsdl	<a href="http://schemas.xmlsoap.org/wsdl/">http://schemas.xmlsoap.org/wsdl/</a>	[WSDL 1.1]
wse	<a href="http://schemas.xmlsoap.org/ws/2004/08/eventing">http://schemas.xmlsoap.org/ws/2004/08/eventing</a>	[WS-Eventing]
wsp	<a href="http://www.w3.org/ns/ws-policy">http://www.w3.org/ns/ws-policy</a>	[WS-Policy, WS-PolicyAttachment]
wsx	<a href="http://schemas.xmlsoap.org/ws/2004/09/mex">http://schemas.xmlsoap.org/ws/2004/09/mex</a>	[WS-MetadataExchange]

## 1.5 Normative References

- [RFC 2119]** S. Bradner, *Key words for use in RFCs to Indicate Requirement Levels*, <http://www.ietf.org/rfc/rfc2119.txt>, IETF RFC 2119, March 1997.
- [AES/TLS]** P.Chown, *Advanced Encryption Standard (AES) Ciphersuites for Transport Layer Security (TLS)*, <http://www.ietf.org/rfc/rfc3268.txt>, IETF RFC 3268, June 2004.
- [BP 1.1, Section 4]** K. Ballinger, et al, *Basic Profile Version 1.1, Section 4: Service Description*, <http://www.ws-i.org/Profiles/BasicProfile-1.1-2004-08-24.html#description>, August 2004.
- [HTTP/1.1]** R.Fielding, et al, *Hypertext Transfer Protocol -- HTTP/1.1*, <http://www.ietf.org/rfc/rfc2616.txt>, IETF RFC 2616, June 1999.
- [HTTP Authentication]** J. Franks, et al, *HTTP Authentication: Basic and Digest Access Authentication*, <http://www.ietf.org/rfc/rfc2617.txt>, IETF RFC 2617, June 1999.
- [MIME]** N. Freed, et al, *Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies*, <http://www.ietf.org/rfc/rfc2045.txt>, IETF RFC 2045, November 1996.
- [MTOM]** N. Mendelsohn, et al, *SOAP Message Transmission Optimization Mechanism*, <http://www.w3.org/TR/2005/REC-soap12-mtom-20050125/>, January 2005.



101 **[RFC 4122]** P. Leach, et al, *A Universally Unique Identifier (UUID) URN Namespace*,  
102 <http://www.ietf.org/rfc/rfc4122.txt>, IETF RFC 4122, July 2005.

103 **[SHA]** *Secure Hash Standard*, [http://csrc.nist.gov/publications/fips/fips180-3/fips180-3\\_final.pdf](http://csrc.nist.gov/publications/fips/fips180-3/fips180-3_final.pdf), October 2008.

105 **[SOAP 1.2, Part 1]** M. Gudgin, et al, *SOAP Version 1.2 Part 1: Messaging Framework*,  
106 <http://www.w3.org/TR/2007/REC-soap12-part1-20070427/>, April 2007.

107 **[SOAP 1.2, Part 2]**

108 M. Gudgin, et al, *SOAP Version 1.2 Part 2: Adjuncts, Section 7: SOAP HTTP*  
109 *Binding*, <http://www.w3.org/TR/2007/REC-soap12-part2-20070427/#soapinhttp>,  
110 April 2007.

111 **[SOAP-over-UDP]** OASIS Committee Draft 02, *SOAP-over-UDP*, <http://docs.oasis-open.org/ws-dd/soapoverudp/1.1/cd-02/wsdd-soapoverudp-1.1-spec-cd-02.docx>, 27 January  
112 2009.

113

114 **[TLS]** T. Dierks, et al, *The TLS Protocol, Version 1.0*, <http://www.ietf.org/rfc/rfc2246.txt>,  
115 IETF RFC 2246, January 1999.

116 **[WS-Addressing]** W3C Recommendation, *Web Services Addressing 1.0 - Core*,  
117 <http://www.w3.org/TR/2006/REC-ws-addr-core-20060509>, 9 May, 2006.

118 **[WS-Discovery]** OASIS Committee Draft 02, *Web Services Dynamic Discovery (WS-Discovery)*,  
119 <http://docs.oasis-open.org/ws-dd/discovery/1.1/cd-02/wsdd-discovery-1.1-spec-cd-02.docx>, 27 January 2009.  
120

121 **[WSDL 1.1]** E. Christensen, et al, *Web Services Description Language (WSDL) 1.1*,  
122 <http://www.w3.org/TR/2001/NOTE-wsdl-20010315>, March 2001.

123 **[WSDL Binding for SOAP 1.2]**

124 K. Ballinger, et al, *WSDL 1.1 Binding Extension for SOAP 1.2*,  
125 <http://www.w3.org/Submission/2006/SUBM-wsdl11soap12-20060405/>, 5 April  
126 2006.

127 **[WS-Eventing]** D. Box, et al, *Web Services Eventing (WS-Eventing)*,  
128 <http://www.w3.org/Submission/2006/SUBM-WS-Eventing-20060315/>, 15 March  
129 2006.

130 **[WS-MetadataExchange]**

131 K. Ballinger, et al, *Web Services Metadata Exchange 1.1 (WS-*  
132 *MetadataExchange)*, [http://www.w3.org/Submission/2008/SUBM-WS-](http://www.w3.org/Submission/2008/SUBM-WS-MetadataExchange-20080813/)  
133 [MetadataExchange-20080813/](http://www.w3.org/Submission/2008/SUBM-WS-MetadataExchange-20080813/), 13 August 2008.

134 **[WS-Policy]** W3C Recommendation, *Web Services Policy 1.5 - Framework*,  
135 <http://www.w3.org/TR/2007/REC-ws-policy-20070904/>, 4 September 2007.

136 **[WS-PolicyAttachment]**

137 W3C Recommendation, *Web Services Policy 1.5 - Attachment*,  
138 <http://www.w3.org/TR/2007/REC-ws-policy-attach-20070904/>, 4 September  
139 2007.

140 **[WS-Transfer]** J. Alexander, et al, *Web Service Transfer (WS-Transfer)*,  
141 <http://www.w3.org/Submission/2006/SUBM-WS-Transfer-20060927/>, 27  
142 September 2006.

143 **[X.509.v3]** *ITU-T X.509.v3 Information technology - Open Systems Interconnection - The*  
144 *Directory: Public-key and attribute certificate frameworks (ISO/IEC/ITU 9594-8)*

145 **[XML Schema, Part 1]**

146 H. Thompson, et al, *XML Schema Part 1: Structures*,  
147 <http://www.w3.org/TR/2001/REC-xmlschema-1/20010502/>, May 2001.

148 **[XML Schema, Part 2]**

149 P. Biron, et al, *XML Schema Part 2: Datatypes*, [http://www.w3.org/TR/2001/REC-](http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/)  
150 [xmlschema-2-20010502/](http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/), May 2001.

151

152 **1.6 Non-Normative References**

153 **[IPv6 Autoconfig]** S. Thomson, et al, *IPv6 Stateless Address Autoconfiguration*,  
154 <http://www.ietf.org/rfc/2462.txt>, IETF RFC 2462, December 1998.

155 **[DHCP]** R. Droms, et al, *Dynamic Host Configuration Protocol*,  
156 <http://www.ietf.org/rfc/2131.txt>, IETF RFC 2131, March 1997.

157 **[XML Infoset]** J. Cowan, et al, *XML Information Set (Second Edition)*,  
158 <http://www.w3.org/TR/2004/REC-xml-infoset/20040204/>, February 2004.

159 **[WS-Security]** OASIS Standard Specification, *Web Services Security: SOAP Message Security*  
160 *1.1 (WS-Security 2004)*, [http://docs.oasis-open.org/wss/v1.1/wss-v1.1-spec-os-](http://docs.oasis-open.org/wss/v1.1/wss-v1.1-spec-os-SOAPMessageSecurity.pdf)  
161 [SOAPMessageSecurity.pdf](http://docs.oasis-open.org/wss/v1.1/wss-v1.1-spec-os-SOAPMessageSecurity.pdf), 1 February 2006.

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## 162 2 Messaging

163 The scope of this section is the following set of Web services specifications. All of the requirements in  
164 these specifications are included by reference except where superseded by normative statements herein:

- 165 • [SOAP 1.2, Part 1]
- 166 • [SOAP 1.2, Part 2]
- 167 • [SOAP-over-UDP]
- 168 • [HTTP/1.1]
- 169 • [WS-Addressing]
- 170 • [RFC 4122]
- 171 • [MTOM]

172 It is assumed that a DEVICE has obtained valid IPv4 and/or IPv6 addresses that do not conflict with other  
173 addresses on the network. Mechanisms for obtaining IP addresses are out of the scope of this profile. For  
174 more information, see [DHCP] and [IPv6 Autoconfig].

### 175 2.1 URI

176 *R0025: A SERVICE MAY fail to process any URI with more than MAX\_URI\_SIZE octets.*

177 *R0027: A SERVICE SHOULD NOT generate a URI with more than MAX\_URI\_SIZE octets.*

178 The constant MAX\_URI\_SIZE is defined in Appendix B -- Constants.

### 179 2.2 UDP

180 *R0029: A SERVICE SHOULD NOT send a SOAP ENVELOPE that has more octets than the MTU over*  
181 *UDP.*

182 To improve reliability, a SERVICE should minimize the size of SOAP ENVELOPEs sent over UDP.  
183 However, some SOAP ENVELOPEs may be larger than an MTU; for example, a signed Hello SOAP  
184 ENVELOPE. If a SOAP ENVELOPE is larger than an MTU, the underlying IP network stacks may  
185 fragment and reassemble the UDP packet.

186 *R5018: A SERVICE MAY reject a SOAP ENVELOPE received over UDP that has more than*  
187 *MAX\_UDP\_ENVELOPE\_SIZE octets.*

188 *R5019: A CLIENT MAY reject a SOAP ENVELOPE received over UDP that has more than*  
189 *MAX\_UDP\_ENVELOPE\_SIZE octets.*

190 Unlike TCP or HTTP messages, UDP datagrams must be received in one chunk, which may lead to  
191 excessive resource requirements when receiving large datagrams on small embedded systems. The  
192 constant MAX\_UDP\_ENVELOPE\_SIZE is defined in Appendix B -- Constants.

### 193 2.3 HTTP

194 *R0001: A SERVICE MUST support transfer-coding = "chunked".*

195 *R0012: A SERVICE MUST at least support the SOAP HTTP Binding.*

196 *R5000: A CLIENT MUST at least support the SOAP HTTP Binding.*

197 *R0013: A SERVICE MUST at least implement the Responding SOAP Node of the SOAP Request-*  
198 *Response Message Exchange Pattern (<http://www.w3.org/2003/05/soap/mep/request-response/>).*

199 *R0014: A SERVICE MAY choose not to implement the Responding SOAP Node of the SOAP Response*  
200 *Message Exchange Pattern (<http://www.w3.org/2003/05/soap/mep/soap-response/>).*

201 *R0015: A SERVICE MAY choose not to support the SOAP Web Method Feature.*

202 R0014 and R0015 relax requirements in [\[SOAP 1.2\]](#).

203 *R0030: A SERVICE MUST at least implement the Responding SOAP Node of an HTTP one-way*  
204 *Message Exchange Pattern where the SOAP ENVELOPE is carried in the HTTP Request and*  
205 *the HTTP Response has a Status Code of 202 Accepted and an empty Entity Body (no SOAP*  
206 *ENVELOPE).*

207 *R0017: A SERVICE MUST at least support Request Message SOAP ENVELOPEs and one-way SOAP*  
208 *ENVELOPEs that are delivered using HTTP POST.*

## 209 **2.4 SOAP Envelope**

210 *R0034: A SERVICE MUST at least receive and send SOAP 1.2 [\[SOAP 1.2\]](#) SOAP ENVELOPEs.*

211 *R0003: A SERVICE MAY reject a TEXT SOAP ENVELOPE with more than MAX\_ENVELOPE\_SIZE*  
212 *octets.*

213 *R0026: A SERVICE SHOULD NOT send a TEXT SOAP ENVELOPE with more than*  
214 *MAX\_ENVELOPE\_SIZE octets.*

215 Large SOAP ENVELOPEs are expected to be serialized using attachments.

216 *R5001: A SERVICE MUST at least support SOAP ENVELOPEs with UTF-8 encoding.*

217 *R5002: A SERVICE MAY choose not to accept SOAP ENVELOPEs with UTF-16 encoding.*

## 218 **2.5 WS-Addressing**

219 *R5005: A SERVICE MUST at least support WS-Addressing 1.0 [\[WS-Addressing\]](#).*

220 *R5006: A SERVICE MAY reject messages using other versions of WS-Addressing.*

221 Some underlying specifications (e.g., WS-Transfer [\[WS-Transfer\]](#)) explicitly allow other versions of WS-  
222 Addressing. DPWS applications should rely solely on WS-Addressing 1.0.

223 *R0004: A DEVICE SHOULD use a urn:uuid scheme IRI as the [address] property of its Endpoint*  
224 *Reference.*

225 *R0005: A DEVICE MUST use a stable, globally unique identifier that is constant across re-initializations of*  
226 *the device, and constant across network interfaces and IPv4/v6 addresses as the [address]*  
227 *property of its Endpoint Reference.*

228 *R0006: A DEVICE MUST persist the [address] property of its Endpoint Reference across re-initialization*  
229 *and changes in the metadata of the DEVICE and any SERVICES it hosts.*

230 Because the [address] property of an Endpoint Reference [\[WS-Addressing\]](#) is a SOAP-layer address,  
231 there is no requirement to use anything other than a UUID for the [address] property.

232 *R0042: A HOSTED SERVICE SHOULD use an HTTP transport address as the [address] property of its*  
233 *Endpoint References.*

234 Use of other possible values of [address] by a HOSTED SERVICE is out of scope of this profile.

235 *R0031: A SERVICE MUST NOT generate a `wsa:InvalidMessageInformationHeader` SOAP Fault if the*  
236 *[address] of the [reply endpoint] of an HTTP Request Message SOAP ENVELOPE is*  
237 *"<http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous>".*

238 *R0041: If an HTTP Request Message SOAP ENVELOPE generates a SOAP Fault, a SERVICE MAY*  
239 *discard the SOAP Fault if the [address] of the [fault endpoint] of the HTTP Request Message is*  
240 *not "<http://www.w3.org/2005/08/addressing/anonymous>".*

241 R0031 and R0041 ensure that messages with non-anonymous address in both the [reply endpoint] and  
242 the [fault endpoint] do not result in a fault being sent.

243 The SOAP HTTP Binding requires the Response Message SOAP ENVELOPE to be transmitted as the  
244 HTTP Response of the corresponding Request Message SOAP ENVELOPE.

245 *R0019: A SERVICE MUST include a Message Information Header representing a [relationship] property*  
246 *of type wsa:Reply in each Response Message SOAP ENVELOPE the service generates.*

247 Per WS-Addressing [WS-Addressing], a response SOAP ENVELOPE must include a wsa:RelatesTo  
248 SOAP ENVELOPE header block. Since "http://www.w3.org/2005/08/addressing/reply" is the default value  
249 for the [relationship] property, the RelationshipType attribute should be omitted from the wsa:RelatesTo  
250 SOAP ENVELOPE header block.

251 *R0040: A SERVICE MUST include a Message Information Header representing a [relationship] property*  
252 *of "http://www.w3.org/2005/08/addressing/reply" in each SOAP Fault SOAP ENVELOPE the*  
253 *service generates.*

## 254 2.6 Attachments

255 *R0022: If a SERVICE supports attachments, the SERVICE MUST support the HTTP Transmission*  
256 *Optimization Feature.*

257 The HTTP Transmission Optimization Feature implies support for the Optimized MIME Multipart  
258 Serialization and Abstract Transmission Optimization features.

259 *R0036: A SERVICE MAY reject a MIME SOAP ENVELOPE if the Content-Transfer-Encoding header field*  
260 *mechanism of any MIME part is not "binary".*

261 *R0037: A SERVICE MUST NOT send a MIME SOAP ENVELOPE unless the Content-Transfer-Encoding*  
262 *header field mechanism of every MIME part is "binary".*

263 Even for the SOAP Envelope, the "binary" Content-Transfer-Encoding mechanism is more appropriate  
264 than the "8bit" mechanism which is suitable only for data that may be represented as relatively short lines  
265 of at most 998 octets [MIME].

266 While DPWS-compliant services are required to support binary encoded MIME parts at a minimum,  
267 R0036 allows for them to support others (non-DPWS compliant clients) if they choose. While a service  
268 might choose to support more than what is required in DPWS, a DPWS-compliant client cannot assume  
269 that the service it is interacting with supports anything beyond binary MIME parts.

270 *R0038: A SERVICE MAY reject a MIME SOAP ENVELOPE if the root part is not the first body part in the*  
271 *Multipart/Related entity.*

272 *R0039: A SERVICE MUST NOT send a MIME SOAP ENVELOPE unless root part is the first body part in*  
273 *the Multipart/Related entity.*

274 Per MTOM, the root part of the MIME SOAP ENVELOPE contains an XML representation of the modified  
275 SOAP Envelope, with additional parts that contain binary representations of each attachment. This root  
276 part must be the first part so a RECEIVER does not have to buffer attachments.

### 277 3 Discovery

278 The scope of this section is the following set of Web services specifications. All of the requirements in  
279 these specifications are included by reference except where superseded by normative statements herein:

- 280 • [WS-Discovery]

281 If a CLIENT and a SERVICE are not on the same subnet, the CLIENT may not be able to discover the  
282 SERVICE. However, if a CLIENT has an Endpoint Reference and transport address for a SERVICE  
283 through some other means, the CLIENT and SERVICE should be able to communicate within the scope  
284 of this profile.

285 *R1013: A DEVICE MUST be a compliant WS-Discovery [WS-Discovery] Target Service.*

286 *R1001: A HOSTED SERVICE SHOULD NOT be a Target Service.*

287 If each SERVICE were to participate in WS-Discovery, the network traffic generated by a relatively small  
288 number of DEVICES hosting a relatively small number of HOSTED SERVICES could overwhelm a  
289 bandwidth-limited network. Therefore, only DEVICES act as Target Services.

290 *R1019: A DEVICE MUST at least support the "http://docs.oasis-open.org/ws-  
291 dd/ns/discovery/2009/01/rfc3986" and "http://docs.oasis-open.org/ws-  
292 dd/ns/discovery/2009/01/strcmp0" Scope matching rules.*

293 *R1020: If a DEVICE includes Types in a Hello, Probe Match, or Resolve Match SOAP ENVELOPE, it  
294 MUST include the dpws:Device Type.*

295 Including the dpws:Device Type indicates a DEVICE supports the Devices Profile, and indicates a  
296 CLIENT may retrieve metadata about the DEVICE and its relationship to any HOSTED SERVICES using  
297 Get [WS-Transfer].

298 *R1009: A DEVICE MUST at least support receiving Probe and Resolve SOAP ENVELOPEs and sending  
299 Hello and Bye SOAP ENVELOPEs over multicast UDP.*

300 *R1016: A DEVICE MUST at least support sending Probe Match and Resolve Match SOAP ENVELOPEs  
301 over unicast UDP.*

302 *R1018: A DEVICE MAY ignore a multicast UDP Probe or Resolve SOAP ENVELOPE if the [address] of  
303 the [reply endpoint] is not "http://www.w3.org/2005/08/addressing/anonymous".*

304 WS-Discovery acknowledges that a CLIENT may include reply information in UDP Probe and Resolve  
305 SOAP ENVELOPEs to specify a transport other than SOAP over UDP. However, to establish a baseline  
306 for interoperability, DEVICES are required only to support UDP responses.

307 *R1015: A DEVICE MUST support receiving a Probe SOAP ENVELOPE as an HTTP Request at any  
308 HTTP transport address where the DEVICE endpoint is available.*

309 *R5021: A DEVICE MAY reject a unicast Probe SOAP ENVELOPE received as an HTTP Request if the  
310 [address] property of the [destination] is not "urn:docs-oasis-open:ws-dd:ns:discovery:2009:01".*

311 To support the scenario where a DEVICE has a known HTTP transport address, a CLIENT may send an  
312 ad-hoc Probe over HTTP to that address and expect to receive a ProbeMatches response, using the  
313 same message pattern as defined by the ProbeOp operation of the DiscoveryProxy portType in [WS-  
314 Discovery]. This requirement does not imply that the DEVICE must perform as a Discovery Proxy.

315 How the client obtains the DEVICE HTTP address is not defined in this specification, and this HTTP  
316 address does not necessarily relate to HOSTED SERVICE addresses.

317 *R1021: If a DEVICE matches a Probe SOAP ENVELOPE received as an HTTP Request, it MUST send a  
318 Probe Matches SOAP ENVELOPE response containing a Probe Match section representing the  
319 DEVICE.*

320 *R1022: If a DEVICE does not match a Probe SOAP ENVELOPE received as an HTTP Request, it MUST*  
321 *send a Probe Matches SOAP ENVELOPE response with no Probe Match sections.*

322 *R5022: If a DEVICE includes a Probe Match section as an HTTP Response as described in [R1021](#), it*  
323 *MUST include all of its Types and Scopes in the Probe Match section.*

324 DEVICES may omit their Types and Scopes in their UDP WS-Discovery messages to reduce message  
325 size and prevent fragmentation. However, they are obligated to return all Types and Scopes in their  
326 HTTP ProbeMatches messages as increased risk of packet loss due to fragmentation is not a  
327 consideration.

---

## 328 4 Description

329 The scope of this section is the following set of Web services specifications. All of the requirements in  
330 these specifications are included by reference except where superseded by normative statements herein:

- 331 • [XML Schema Part 1, Part 2]
- 332 • [WSDL 1.1]
- 333 • [BP 1.1, Section 4]
- 334 • [WSDL Binding for SOAP 1.2]
- 335 • [WS-MetadataExchange]
- 336 • [WS-Policy]
- 337 • [WS-PolicyAttachment]
- 338 • [WS-Transfer]

339 A DEVICE acts primarily as a metadata resource for device-wide data, and for the HOSTED SERVICES  
340 on the device. A CLIENT retrieves the XML representation of these characteristics by sending a WS-  
341 Transfer Get SOAP ENVELOPE to the DEVICE. The resulting metadata contains characteristics of the  
342 device and topology information relating the DEVICE to its HOSTED SERVICES. WS-Transfer Get is  
343 used here because the device-wide metadata is the XML representation of the DEVICE.

344 CLIENTs may also retrieve metadata for individual HOSTED SERVICES by sending a WS-  
345 MetadataExchange GetMetadata SOAP ENVELOPE to the HOSTED SERVICE. The resulting metadata  
346 contains limited topology information about the HOSTED SERVICE, its hosting DEVICE, its WSDL, and  
347 any additional sections specific to the type of service. GetMetadata is used here because the XML  
348 representation of the HOSTED SERVICE (possibly accessible with WS-Transfer Get) is not defined.

349 Through WSDL, this description also conveys the MESSAGES a HOSTED SERVICE is capable of  
350 receiving and sending. Through WS-Policy, description conveys the capabilities and requirements of a  
351 HOSTED SERVICE, particularly the transports over which it may be reached and its security capabilities.

352 *R5007: A DEVICE MUST support receiving a WS-Transfer Get SOAP ENVELOPE using the HTTP*  
353 *binding defined in this profile.*

354 *R2044: In a Get Response SOAP ENVELOPE, a DEVICE MUST include only a wsx:Metadata element in*  
355 *the SOAP ENVELOPE Body.*

356 All metadata from the device should be contained in the wsx:Metadata element in the Get Response.

357 *R2045: A DEVICE MAY generate a wsa:ActionNotSupported SOAP Fault in response to a Put, Delete, or*  
358 *Create SOAP ENVELOPE.*

359 A DEVICE is not required to support all of the operations defined in [WS-Transfer].

360 *R5008: A HOSTED SERVICE MUST support receiving a WS-MetadataExchange GetMetadata SOAP*  
361 *ENVELOPE using the HTTP binding defined in this profile.*

### 362 4.1 Characteristics

363 To express DEVICE characteristics that are typically fixed across all DEVICES of the same model by their  
364 manufacturer, this profile defines extensible ThisModel metadata as follows:

```
365 <dpws:ThisModel ...>  
366   <dpws:Manufacturer xml:lang="..."? >xs:string</dpws:Manufacturer>+  
367   <dpws:ManufacturerUrl>xs:anyURI</dpws:ManufacturerUrl?>  
368   <dpws:ModelName xml:lang="..."? >xs:string</dpws:ModelName>+  
369   <dpws:ModelNumber>xs:string</dpws:ModelNumber?>  
370   <dpws:ModelUrl>xs:anyURI</dpws:ModelUrl?>  
371   <dpws:PresentationUrl>xs:anyURI</dpws:PresentationUrl?>
```



372     ...  
 373     </dpws:ThisModel>  
 374     The following describes additional, normative constraints on the outline above:  
 375     dpws:ThisModel/ dpws:Manufacturer  
 376         Name of the manufacturer of the DEVICE. It MUST have fewer than MAX\_FIELD\_SIZE Unicode  
 377         characters, SHOULD be localized, and SHOULD be repeated for each supported locale.  
 378     dpws:ThisModel/ dpws:ManufacturerUrl  
 379         URL to a Web site for the manufacturer of the DEVICE. It MUST have fewer than  
 380         MAX\_URI\_SIZE octets.  
 381     dpws:ThisModel/ dpws:ModelName  
 382         User-friendly name for this model of device chosen by the manufacturer. It MUST have fewer  
 383         than MAX\_FIELD\_SIZE Unicode characters, SHOULD be localized, and SHOULD be repeated  
 384         for each supported locale.  
 385     dpws:ThisModel/ dpws:ModelNumber  
 386         Model number for this model of DEVICE. It MUST have fewer than MAX\_FIELD\_SIZE Unicode  
 387         characters.  
 388     dpws:ThisModel/ dpws:ModelUrl  
 389         URL to a Web site for this model of DEVICE. It MUST have fewer than MAX\_URI\_SIZE octets.  
 390     dpws:ThisModel/ dpws:PresentationUrl  
 391         URL to a presentation resource for this DEVICE. It MAY be relative to the HTTP transport  
 392         address over which metadata was retrieved, and MUST have fewer than MAX\_URI\_SIZE octets.  
 393         If PresentationUrl is specified, the DEVICE MAY provide the resource in multiple formats, but  
 394         MUST at least provide an HTML page. CLIENTs and DEVICEs MAY use HTTP content  
 395         negotiation [HTTP/1.1] to determine the format and content of the presentation resource.  
 396         DEVICEs that use a relative URL MAY use HTTP Redirection 3xx codes [HTTP/1.1] to direct  
 397         CLIENTs to a dedicated web server running on another port.

398     CORRECT:

```

399   <dpws:ThisModel
400     xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01" >
401     <dpws:Manufacturer>ACME Manufacturing</dpws:Manufacturer>
402     <dpws:ModelName xml:lang="en-GB" >ColourBeam 9</dpws:ModelName>
403     <dpws:ModelName xml:lang="en-US" >ColorBeam 9</dpws:ModelName>
404   </dpws:ThisModel>
  
```

405     A Dialect [WS-MetadataExchange] equal to "http://docs.oasis-open.org/ws-  
 406     dd/ns/dpws/2009/01/ThisModel" indicates an instance of the ThisModel metadata format.

407     No Identifier [WS-MetadataExchange] is defined for instances of the ThisModel metadata format.

408     *R2038: A DEVICE MUST have one Metadata Section with Dialect equal to "http://docs.oasis-  
 409     open.org/ws-dd/ns/dpws/2009/01/ThisModel" for its ThisModel metadata.*

410     *R2012: In any Get Response SOAP ENVELOPE, a DEVICE MUST include the Metadata Section with  
 411     Dialect equal to "http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/ThisModel".*

412     Get [WS-Transfer] is the interoperable means for a CLIENT to retrieve the resource representation data  
 413     for a DEVICE – which includes the ThisModel metadata for a DEVICE. A DEVICE may also provide other  
 414     means for a CLIENT to retrieve its ThisModel metadata.

415     *R2001: If a DEVICE changes any of its ThisModel metadata, it MUST increment the Metadata Version  
 416     exposed in Hello, Probe Match, and Resolve Match SOAP ENVELOPEs as  
 417     wsd:MetadataVersion.*

418     Caching for the ThisModel metadata is controlled by the wsd:MetadataVersion construct [WS-Discovery].

419 To express DEVICE characteristics that typically vary from one DEVICE to another of the same kind, this  
420 profile defines extensible ThisDevice metadata as follows:

```
421 <dpws:ThisDevice ...>
422   <dpws:FriendlyName xml:lang="..."? >xs:string</dpws:FriendlyName>+
423   <dpws:FirmwareVersion>xs:string</dpws:FirmwareVersion>?
424   <dpws:SerialNumber>xs:string</dpws:SerialNumber>?
425   ...
426 </dpws:ThisDevice>
```

427 The following describes additional, normative constraints on the outline above:

428 dpws:ThisDevice/dpws:FriendlyName

429 User-friendly name for this DEVICE. It MUST have fewer than MAX\_FIELD\_SIZE Unicode  
430 characters, SHOULD be localized, and SHOULD be repeated for each supported locale.

431 dpws:ThisDevice/dpws:FirmwareVersion

432 Firmware version for this DEVICE. It MUST have fewer than MAX\_FIELD\_SIZE Unicode  
433 characters.

434 dpws:ThisDevice/dpws:SerialNumber

435 Manufacturer-assigned serial number for this DEVICE. It MUST have fewer than  
436 MAX\_FIELD\_SIZE Unicode characters.

437 CORRECT:

```
438 <dpws:ThisDevice
439   xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01" >
440   <dpws:FriendlyName xml:lang="en-GB" >
441     ACME ColourBeam Printer
442   </dpws:FriendlyName>
443   <dpws:FriendlyName xml:lang="en-US" >
444     ACME ColorBeam Printer
445   </dpws:FriendlyName>
446 </dpws:ThisDevice>
```

447 A Dialect [[WS-MetadataExchange](#)] equal to "http://docs.oasis-open.org/ws-  
448 dd/ns/dpws/2009/01/ThisDevice" indicates an instance of the ThisDevice metadata format.

449 No Identifier [[WS-MetadataExchange](#)] is defined for instances of the ThisDevice metadata format.

450 *R2039: A DEVICE MUST have a Metadata Section with Dialect equal to "http://docs.oasis-open.org/ws-  
451 dd/ns/dpws/2009/01/ThisDevice" for its ThisDevice metadata.*

452 *R2014: In any Get Response SOAP ENVELOPE, a DEVICE MUST include the Metadata Section with  
453 Dialect equal to "http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/ThisDevice".*

454 CORRECT:

```
455 <soap:Envelope
456   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
457   xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01"
458   xmlns:wsm="http://schemas.xmlsoap.org/ws/2004/09/mex"
459   xmlns:wsa="http://www.w3.org/2005/08/addressing" >
460   <soap:Header>
461     <wsa:Action>
462       http://schemas.xmlsoap.org/ws/2004/09/transfer/GetResponse
463     </wsa:Action>
464     <wsa:RelatesTo>
465       urn:uuid:82204a83-52f6-475c-9708-174fa27659ec
466     </wsa:RelatesTo>
467     <wsa:To>
468       http://www.w3.org/2005/08/addressing/anonymous
469     </wsa:To>
```

```

470 </soap:Header>
471 <soap:Body>
472   <wsx:Metadata>
473     <wsx:MetadataSection
474       Dialect="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/ThisModel"
475     >
476       <dpws:ThisModel>
477         <dpws:Manufacturer>ACME Manufacturing</dpws:Manufacturer>
478         <dpws:ModelName xml:lang="en-GB" >
479           ColourBeam 9
480         </dpws:ModelName>
481         <dpws:ModelName xml:lang="en-US" >
482           ColorBeam 9
483         </dpws:ModelName>
484       </dpws:ThisModel>
485     </wsx:MetadataSection>
486     <wsx:MetadataSection
487       Dialect="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/ThisDevice"
488     >
489       <dpws:ThisDevice>
490         <dpws:FriendlyName xml:lang="en-GB" >
491           ACME ColourBeam Printer
492         </dpws:FriendlyName>
493         <dpws:FriendlyName xml:lang="en-US" >
494           ACME ColorBeam Printer
495         </dpws:FriendlyName>
496       </dpws:ThisDevice>
497     </wsx:MetadataSection>
498
499     <!-- Other Metadata Sections omitted for brevity. -->
500
501   </wsx:Metadata>
502 </soap:Body>
503 </soap:Envelope>

```

504 Get [[WS-Transfer](#)] is the interoperable means for a CLIENT to retrieve the resource representation data  
505 for a DEVICE – which includes the ThisDevice metadata for a DEVICE. A DEVICE may also provide  
506 other means for a CLIENT to retrieve its ThisDevice metadata.

507 *R2002: If a DEVICE changes any of its ThisDevice metadata, it MUST increment the Metadata Version*  
508 *exposed in Hello, Probe Match, and Resolve Match SOAP ENVELOPES as*  
509 *wsd:MetadataVersion.*

510 Caching for the ThisDevice metadata is controlled by the wsd:MetadataVersion construct [[WS-Discovery](#)].

## 511 4.2 Hosting

512 To express the relationship between a HOSTED SERVICE and its hosting DEVICE, this profile defines  
513 relationship metadata as follows:

```

514 <dpws:Relationship Type="xs:anyURI" ... >
515   (<dpws:Host>
516     <wsa:EndpointReference>endpoint-reference</wsa:EndpointReference>
517     <dpws:Types>list of xs:QName</dpws:Types>?
518     ...
519   </dpws:Host>)?
520   (<dpws:Hosted>
521     <wsa:EndpointReference>endpoint-reference</wsa:EndpointReference>+
522     <dpws:Types>list of xs:QName</dpws:Types>
523     <dpws:ServiceId>xs:anyURI</dpws:ServiceId>

```

```
524     ...
525     </dpws:Hosted>)*
526     ...
527 </dpws:Relationship>
```

528 The following describes additional, normative constraints on the outline above:

529 dpws:Relationship

530 This is a general mechanism for defining a relationship between two or more SERVICES.

531 dpws:Relationship/@Type

532 The type of the relationship. The nature of the relationship and the content of the  
533 dpws:Relationship element are determined by this value. This value should be compared directly,  
534 as a case-sensitive string, with no attempt to make a relative URI into an absolute URI, to  
535 unescape, or to otherwise canonicalize it.

536 dpws:Relationship/@Type = "http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/host"

537 This is a specific, hosting relationship type to indicate the relationship between a HOSTED  
538 SERVICE and its hosting DEVICE. This relationship type defines the following additional content:

539 dpws:Relationship/dpws:Host

540 This is a section describing a hosting DEVICE. At least one of ./dpws:Host or ./dpws:Hosted  
541 MUST be included.

542 dpws:Relationship/dpws:Host/wsa:EndpointReference

543 Endpoint Reference for the host, which includes the stable identifier for the host which MUST be  
544 persisted across re-initialization (see also R0005 and R0006). If ./dpws:Host is omitted, implied  
545 value is the Endpoint Reference of the DEVICE that returned this metadata in a Get Response  
546 SOAP ENVELOPE.

547 dpws:Relationship/dpws:Host/dpws:Types

548 Unordered set of Types implemented by the host. (See [WS-Discovery].) If omitted or ./dpws:Host  
549 is omitted, no implied value.

550 dpws:Relationship/dpws:Hosted

551 This is a section describing a HOSTED SERVICE. . It MUST be included by a DEVICE for each  
552 of its HOSTED SERVICES. It MUST be included by a HOSTED SERVICE for itself. For the  
553 hosting relationship type, if a host has more than one HOSTED SERVICE, including one  
554 relationship that lists all HOSTED SERVICES is equivalent to including multiple relationships that  
555 each list some subset of the HOSTED SERVICES.

556 dpws:Relationship/dpws:Hosted/wsa:EndpointReference

557 Endpoint References for a HOSTED SERVICE.

558 dpws:Relationship/dpws:Hosted/dpws:Types

559 Unordered set of Types implemented by a HOSTED SERVICE. All implemented Types SHOULD  
560 be included.

561 dpws:Relationship/dpws:Hosted/dpws:ServiceId

562 Identifier for a HOSTED SERVICE which MUST be persisted across re-initialization and MUST  
563 NOT be shared across multiple Hosted elements. ServiceId MUST be unique within a DEVICE.

564 This value should be compared directly, as a case-sensitive string, with no attempt to make a  
565 relative URI into an absolute URI, to unescape, or to otherwise canonicalize it.

566 CORRECT:

```
567 <dpws:Relationship
568   Type="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/host"
569   xmlns:img="http://printer.example.org/imaging"
570   xmlns:wsa="http://www.w3.org/2005/08/addressing"
571   xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01" >
572 <dpws:Hosted>
```

```

573 <wsa:EndpointReference>
574   <wsa:Address>http://172.30.184.244/print</wsa:Address>
575 </wsa:EndpointReference>
576 <dpws:Types>
577   img:PrintBasicPortType img:PrintAdvancedPortType
578 </dpws:Types>
579 <dpws:ServiceId>
580   http://printer.example.org/imaging/PrintService
581 </dpws:ServiceId>
582 </dpws:Hosted>
583 </dpws:Relationship>

```

584 A Dialect [[WS-MetadataExchange](#)] equal to "http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/Relationship" indicates an instance of the Relationship metadata format.

586 No Identifier [[WS-MetadataExchange](#)] is defined for instances of the Relationship metadata format.

587 *R2040: If a DEVICE has any HOSTED SERVICES, it MUST have at least one Metadata Section with*  
588 *Dialect equal to "http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/Relationship" for its*  
589 *Relationship metadata.*

590 *R2029: In any Get Response SOAP ENVELOPE, a DEVICE MUST include any Metadata Section(s) with*  
591 *Dialect equal to "http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/Relationship".*

592 Get [[WS-Transfer](#)] is the interoperable means for a CLIENT to retrieve the resource representation data  
593 for a DEVICE – which includes the relationship metadata for itself and HOSTED SERVICES.

594 *R5020: A HOSTED SERVICE MUST have one Metadata Section with http://docs.oasis-open.org/ws-*  
595 *dd/ns/dpws/2009/01/Relationship".*

596 GetMetadata [[WS-MetadataExchange](#)] is the interoperable means for a CLIENT to retrieve metadata for  
597 a HOSTED SERVICE – which includes the relationship metadata for itself and its hosting DEVICE.

598 A DEVICE or HOSTED SERVICE may provide other means for a CLIENT to retrieve its relationship  
599 metadata.

600 CORRECT:

```

601 <soap:Envelope
602   xmlns:gen="http://example.org/general"
603   xmlns:img="http://printer.example.org/imaging"
604   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
605   xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01"
606   xmlns:wsx="http://schemas.xmlsoap.org/ws/2004/09/mex"
607   xmlns:wsa="http://www.w3.org/2005/08/addressing" >
608   <soap:Header>
609     <wsa:Action>
610       http://schemas.xmlsoap.org/ws/2004/09/transfer/GetResponse
611     </wsa:Action>
612     <wsa:RelatesTo>
613       urn:uuid:82204a83-52f6-475c-9708-174fa27659ec
614     </wsa:RelatesTo>
615     <wsa:To>
616       http://www.w3.org/2005/08/addressing/anonymous
617     </wsa:To>
618   </soap:Header>
619   <soap:Body>
620     <wsx:Metadata>
621       <wsx:MetadataSection
622         Dialect
623         ="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/Relationship"
624       >
625     </dpws:Relationship

```

```

626     Type="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/host" >
627     <dpws:Hosted>
628         <wsa:EndpointReference>
629             <wsa:Address>http://172.30.184.244/print</wsa:Address>
630         </wsa:EndpointReference>
631         <wsa:EndpointReference>
632             <wsa:Address>http://[fdaa:23]/print1</wsa:Address>
633         </wsa:EndpointReference>
634         <dpws:Types>
635             img:PrintBasicPortType img:PrintAdvancedPortType
636         </dpws:Types>
637         <dpws:ServiceId>
638             http://printer.example.org/imaging/PrintService
639         </dpws:ServiceId>
640     </dpws:Hosted>
641     <dpws:Hosted>
642         <wsa:EndpointReference>
643             <wsa:Address>http://172.30.184.244/scan</wsa:Address>
644         </wsa:EndpointReference>
645         <wsa:EndpointReference>
646             <wsa:Address>http://[fdaa:24]/scan</wsa:Address>
647         </wsa:EndpointReference>
648         <dpws:Types>img:ScanBasicPortType</dpws:Types>
649         <dpws:ServiceId>
650             http://printer.example.org/imaging/ScanService
651         </dpws:ServiceId>
652     </dpws:Hosted>
653 </dpws:Relationship>
654 </wsx:MetadataSection>
655
656 <!-- Other Metadata Sections omitted for brevity. -->
657
658 </wsx:Metadata>
659 </soap:Body>
660 </soap:Envelope>

```

661 *R2030: If a DEVICE changes any of its relationship metadata, it MUST increment the Metadata Version*  
662 *exposed in Hello, Probe Match, and Resolve Match SOAP ENVELOPES as*  
663 *wsd:MetadataVersion.*

664 Caching for relationship metadata is controlled by the wsd:MetadataVersion construct [[WS-Discovery](#)].

665 *R2042: A DEVICE MUST NOT change its relationship metadata based on temporary changes in the*  
666 *network availability of the SERVICES described by the metadata.*

667 Relationship metadata is intended to model fairly static relationships and should not change if a SERVICE  
668 becomes temporarily unavailable. As in the general case, any CLIENT attempting to contact such a  
669 SERVICE will need to deal with an Endpoint Unavailable Fault [[WS-Addressing](#)], connection refusal, or  
670 other network indication that the SERVICE is unavailable.

## 671 4.3 WSDL

672 *R2004: If a HOSTED SERVICE exposes Notifications, its portType MUST include Notification and/or*  
673 *Solicit-Response Operations describing those Notifications.*

674 R2004 relaxes R2303 in [[BP 1.1, Section 4](#)].

675 *R2019: A HOSTED SERVICE MUST at least include a document-literal Binding for SOAP 1.2 over HTTP*  
676 *for each portType in its WSDL.*

677 Because the document-literal SOAP Binding is more general than an rpc-literal SOAP Binding, there is no  
678 requirement to use anything other than the document-literal Binding.

679 **R2028: A HOSTED SERVICE is not required to include any WSDL bindings for SOAP 1.1 in its WSDL.**

680 Since this profile brings SOAP 1.2 into scope, it is sufficient to bind to that version of SOAP. There is no  
681 requirement to bind to other SOAP versions and thus R2028 updates R2401 in [BP 1.1, Section 4] to  
682 SOAP 1.2.

683 Addressing information for a HOSTED SERVICE is included in relationship metadata. For the mandatory  
684 SOAP 1.2 binding (R2019), there is no requirement to re-express this information in a WSDL Service and  
685 Port, since the endpoint reference used in the relationship metadata refers to this binding by default. The  
686 use of WSDL Services and Ports may still be necessary for other bindings not covered by this profile.

687 **R2023: If a HOSTED SERVICE receives a MESSAGE that is inconsistent with its WSDL description, the  
688 HOSTED SERVICE SHOULD generate a SOAP Fault with a Code Value of "Sender", unless a  
689 "MustUnderstand" or "VersionMismatch" Fault is generated.**

690 **R2024: If a HOSTED SERVICE receives a MESSAGE that is inconsistent with its WSDL description, the  
691 HOSTED SERVICE MUST check for "VersionMismatch", "MustUnderstand", and "Sender" fault  
692 conditions in that order.**

693 Statements R2023 and R2024 update R2724 and R2725 [BP 1.1, Section 4] to SOAP 1.2.

694 **R2031: A HOSTED SERVICE MUST have at least one Metadata Section with  
695 Dialect="http://schemas.xmlsoap.org/wsdl/".**

696 For clarity, separation of levels of abstraction, and/or reuse of standardized components, WSDL may be  
697 authored in a style that separates different elements of a Service Definition into separate documents  
698 which may be imported or included as needed. Each separate document may be available at the URL in  
699 the xs:include/@schemaLocation, xs:import/@schemaLocation, or wsdl:import/@location or may be  
700 included in a separate XML Schema or WSDL Metadata Section.

701 GetMetadata [WS-MetadataExchange] is the interoperable means for a CLIENT to retrieve metadata for  
702 a HOSTED SERVICE – which includes the WSDL for a HOSTED SERVICE. A HOSTED SERVICE may  
703 provide other means for a CLIENT to retrieve its WSDL.

704 There is no requirement for a HOSTED SERVICE to store its WSDL and include it in-line in a Get  
705 Response SOAP ENVELOPE. The WSDL may be stored at a different location, and the HOSTED  
706 SERVICE may include a reference to it in a Get Response SOAP ENVELOPE.

707 CORRECT:

```
708 <soap:Envelope
709   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
710   xmlns:wsx="http://schemas.xmlsoap.org/ws/2004/09/mex"
711   xmlns:wsa="http://www.w3.org/2005/08/addressing" >
712   <soap:Header>
713     <wsa:Action>
714       http://schemas.xmlsoap.org/ws/2004/09/mex/GetMetadata/Response
715     </wsa:Action>
716     <wsa:RelatesTo>
717       urn:uuid:82204a83-52f6-475c-9708-174fa27659ec
718     </wsa:RelatesTo>
719     <wsa:To>
720       http://www.w3.org/2005/08/addressing/anonymous
721     </wsa:To>
722   </soap:Header>
723   <soap:Body>
724     <wsx:Metadata>
725       <wsx:MetadataSection
726         Dialect="http://schemas.xmlsoap.org/wsdl" >
727         <wsx:MetadataReference>
728           <wsa:Address>http://172.30.184.244/print</wsa:Address>
```

```

729     <wsa:ReferenceParameters>
730         <x:Acme xmlns:x="urn:acme.com:webservices">
731             WSDL
732         </x:Acme>
733     </wsa:ReferenceParameters>
734     </wsx:MetadataReference>
735 </wsx:MetadataSection>
736
737     <!-- Other Metadata Sections omitted for brevity. -->
738
739 </wsx:Metadata>
740 </soap:Body>
741 </soap:Envelope>

```

## 742 4.4 WS-Policy

743 To indicate that a SERVICE is compliant with this profile, this profile defines the following WS-Policy [WS-  
744 Policy] assertion:

```
745 <dpws:Profile wsp:Optional="true"? ... />
```

746 The following describes additional, normative constraints on the outline above:

747 dpws:Profile

748 Assertion indicating compliance with this profile is required. This assertion has Endpoint Policy  
749 Subject [WS-PolicyAttachment]: a policy expression containing this assertion MAY be attached to  
750 a wsdl:port, SHOULD be attached to a wsdl:binding, but MUST NOT be attached to a  
751 wsdl:portType; the latter is prohibited because the assertion specifies a concrete behavior  
752 whereas the wsdl:portType is an abstract construct.

753 dpws:Profile/@wsp:Optional="true"

754 Per WS-Policy [WS-Policy], this is compact notation for two policy alternatives, one with and one  
755 without the assertion. The intuition is that the behavior indicated by the assertion is optional, or in  
756 this case, that the SERVICE supports but does not require compliance with this profile.

757 CORRECT:

```

758 <wsp:Policy
759     xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01"
760     xmlns:wsp="http://www.w3.org/ns/ws-policy" >
761     <dpws:Profile />
762 </wsp:Policy>

```

763 **R2037: A SERVICE MUST include the dpws:Profile assertion in its policy.**

764 This assertion has Endpoint Policy Subject: a policy expression containing this assertion MAY be  
765 attached to a wsdl:port, SHOULD be attached to a wsdl:binding, but MUST NOT be attached to a  
766 wsdl:portType; the latter is prohibited because this assertion specifies concrete behavior whereas the  
767 wsdl:portType is an abstract construct.

768 **R2041: If a SERVICE uses wsp:PolicyReference/@URI to attach a policy identified by an absolute IRI,**  
769 **the SERVICE MUST have a Metadata Section with Dialect equal to "http://www.w3.org/ns/ws-**  
770 **policy" and Identifier equal to that IRI.**

771 **R2025: If a SERVICE uses wsp:PolicyReference/@URI to attach a policy identified by an absolute IRI,**  
772 **then in a Get Response SOAP ENVELOPE, the SERVICE MUST include the Metadata Section**  
773 **with Dialect equal to "http://www.w3.org/ns/ws-policy" and Identifier equal to that IRI.**

774 **R2035: If a SERVICE uses wsp:PolicyReference/@URI to attach a policy identified by a relative IRI, the**  
775 **SERVICE MUST embed that policy as a child of wsdl:definitions, and the policy MUST have a**  
776 **@wsu:Id containing that IRI.**

777 **R2036: A SERVICE MUST NOT use @wsp:PolicyURIs to attach policy.**



778 Because all components in WSDL are extensible via elements [BP 1.1, Section 4], attachment using  
779 wsp:PolicyReference/@URI is sufficient.

780 Get [WS-Transfer] is the interoperable means for a CLIENT to retrieve attached policy.

781 CORRECT:

```
782 <soap:Envelope
783   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
784   xmlns:wSDL="http://schemas.xmlsoap.org/wSDL/"
785   xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01"
786   xmlns:wsp="http://www.w3.org/ns/ws-policy"
787   xmlns:wsx="http://schemas.xmlsoap.org/ws/2004/09/mex"
788   xmlns:wsa="http://www.w3.org/2005/08/addressing" >
789 <soap:Header>
790   <wsa:Action>
791     http://schemas.xmlsoap.org/ws/2004/09/transfer/GetResponse
792   </wsa:Action>
793   <wsa:RelatesTo>
794     urn:uuid:82204a83-52f6-475c-9708-174fa27659ec
795   </wsa:RelatesTo>
796   <wsa:To>
797     http://www.w3.org/2005/08/addressing/anonymous
798   </wsa:To>
799 </soap:Header>
800 <soap:Body>
801   <wsx:Metadata>
802     <wsx:MetadataSection
803       Dialect="http://schemas.xmlsoap.org/wSDL/" >
804       <wSDL:definitions
805         targetNamespace="http://acme.example.com/colorbeam"
806         xmlns:image="http://printer.example.org/imaging" >
807         <wsp:Policy wsu:Id="DpPolicy" >
808           <dpws:Profile />
809         </wsp:Policy>
810
811         <!-- Other WSDL components omitted for brevity. -->
812
813         <wSDL:binding name="PrintBinding" type="image:PrintPortType" >
814           <wsp:PolicyReference URI="#DpPolicy"
815             wSDL:required="true" />
816           <!-- Other WSDL components omitted for brevity. -->
817         </wSDL:binding>
818       </wSDL:definitions>
819     </wsx:MetadataSection>
820
821     <!-- Other Metadata Sections omitted for brevity. -->
822
823   </wsx:Metadata>
824 </soap:Body>
825 </soap:Envelope>
```

---

## 5 Eventing

827 The scope of this section is the following set of Web services specifications. All of the requirements in  
828 these specifications are included by reference except where superseded by normative statements herein:

- 829 • [\[WS-Eventing\]](#)

### 5.1 Subscription

831 *R3009: A HOSTED SERVICE MUST at least support Push Delivery Mode indicated by*  
832 *"http://schemas.xmlsoap.org/ws/2004/08/eventing/DeliveryModes/Push".*

833 The Push Delivery Mode [\[WS-Eventing\]](#) is the default Delivery Mode and indicates the Event Source  
834 (HOSTED SERVICE) will push Notifications to the Event Sink (CLIENT).

835 *R3017: If a HOSTED SERVICE does not understand the [address] of the Notify To of a Subscribe SOAP*  
836 *ENVELOPE, the HOSTED SERVICE MUST generate a wsa:DestinationUnreachable SOAP Fault*  
837 *in place of a SubscribeResponse message.*

838 *R3018: If a HOSTED SERVICE does not understand the [address] of the End To of a Subscribe SOAP*  
839 *ENVELOPE, the HOSTED SERVICE MUST generate a wsa:DestinationUnreachable SOAP Fault*  
840 *in place of a SubscribeResponse message.*

841 R3017 and R3018 do not ensure that a HOSTED SERVICE can contact an event sink, but they do  
842 provide a mechanism for the event source to fault on unsupported URI schemes or addresses it knows it  
843 cannot contact.

844 *R5003: If a HOSTED SERVICE generates a wsa:DestinationUnreachable SOAP Fault under R3017 or*  
845 *R3018, the SOAP Fault Detail MUST be the EndTo or NotifyTo Endpoint Reference Address that*  
846 *the HOSTED SERVICE did not understand.*

847 [R5003](#) allows a client to distinguish between a SOAP Fault generated due to an unreachable [destination]  
848 information header in the Subscribe message, and a SOAP Fault generated due to an unreachable  
849 NotifyTo or EndTo address.

850 *R3019: If a HOSTED SERVICE cannot deliver a Notification SOAP ENVELOPE to an Event Sink, the*  
851 *HOSTED SERVICE MAY terminate the corresponding Subscription.*

852 *R5004: If a HOSTED SERVICE terminates a subscription (per R3019), the HOSTED SERVICE SHOULD*  
853 *send a Subscription End SOAP ENVELOPE with a Status of*  
854 *"http://schemas.xmlsoap.org/ws/2004/08/eventing/DeliveryFailure".*

#### 5.1.1 Filtering

856 To enable subscribing to one or more Notifications exposed by a HOSTED SERVICE, this profile defines  
857 a Filter Dialect designated "http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/Action".

- 858 • A Filter in this Dialect contains a white space-delimited list of URIs that indicate the [action]  
859 property of desired Notifications.
- 860 • The content of a Filter in this Dialect is defined as xs:list/@itemType="xs:anyURI" [[XML Schema](#)  
861 [Part 2](#)].
- 862 • A Filter in this Dialect evaluates to true for an Output Message of a Notification or Solicit-  
863 Response operation if and only if a URI in the Filter matches the [action] property of the Message  
864 using the "http://docs.oasis-open.org/ws-dd/ns/discovery/2009/01/rfc3986" matching rule [[WS-](#)  
865 [Discovery](#)].
- 866 • A Filter in this Dialect with no URIs specified will always evaluate to false for all messages.

867 The Action Dialect uses the RFC 3986 prefix matching rule so CLIENTs can subscribe to a related set of  
868 Notifications by including the common prefix of the [action] property of those Notifications. Typically, the

869 Notifications within a WSDL portType [WSDL 1.1] will share a common [action] property prefix, and  
 870 specifying that prefix with the Action Dialect will be a convenient means to subscribe to all Notifications  
 871 defined by a portType.

872 *R3008: A HOSTED SERVICE MUST at least support Filtering by the Dialect "http://docs.oasis-*  
 873 *open.org/ws-dd/ns/dpws/2009/01/Action".*

874 CORRECT:

```

875 <soap:Envelope
876   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
877   xmlns:wsa="http://www.w3.org/2005/08/addressing"
878   xmlns:wse="http://schemas.xmlsoap.org/ws/2004/08/eventing" >
879   <soap:Header>
880     <wsa:Action>
881       http://schemas.xmlsoap.org/ws/2004/08/eventing/Subscribe
882     </wsa:Action>
883     <wsa:MessageID>
884       urn:uuid:314bea3b-03af-47a1-8284-f495497f1e33
885     </wsa:MessageID>
886     <wsa:ReplyTo>
887       <wsa:Address>
888         http://www.w3.org/2005/08/addressing/anonymous
889       </wsa:Address>
890     </wsa:ReplyTo>
891     <wsa:To>http://172.30.184.244/print</wsa:To>
892   </soap:Header>
893   <soap:Body>
894     <wse:Subscribe>
895       <wse:Delivery>
896         <wse:NotifyTo>
897           <wsa:Address>
898             urn:uuid:3726983d-02de-4d41-8207-d028ae92ce3d
899           </wsa:Address>
900         </wse:NotifyTo>
901       </wse:Delivery>
902       <wse:Expires>PT10M</wse:Expires>
903       <wse:Filter
904         Dialect="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/Action"
905       >
906         http://printer.example.org/imaging/PrintBasicPortType/JobEndState
907         http://printer.example.org/imaging/PrintBasicPortType/PrinterState
908       </wse:Filter>
909     </wse:Subscribe>
910   </soap:Body>
911 </soap:Envelope>
  
```

912 *R3011: A HOSTED SERVICE MUST NOT generate a wse:FilteringNotSupported SOAP Fault in*  
 913 *response to a Subscribe SOAP ENVELOPE.*

914 A HOSTED SERVICE must support filtering, at least by [action], so the Filtering Not Supported SOAP  
 915 Fault is not appropriate.

916 To indicate that a HOSTED SERVICE does not expose any Notifications that would match the contents of  
 917 a Filter with the Action Dialect, this profile defines the following SOAP Fault:

[action]	http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01/fault
[Code]	Soap:Sender
[Subcode]	dpws:FilterActionNotSupported

[Reason]	E.g., "no notifications match the supplied filter"
[Detail]	(None defined.)

918 *R3020: If none of the Notifications exposed by a HOSTED SERVICE match the [action] values in a*  
919 *Subscribe SOAP ENVELOPE Filter whose Dialect is "http://docs.oasis-open.org/ws-*  
920 *dd/ns/dpws/2009/01/Action", the HOSTED SERVICE SHOULD generate a*  
921 *dpws:FilterActionNotSupported SOAP Fault.*

## 922 **5.2 Subscription Duration and Renewal**

923 *R3016: A HOSTED SERVICE MUST NOT generate a wse:UnsupportedExpirationType SOAP Fault in*  
924 *response to a Subscribe or Renew SOAP ENVELOPE with an Expiration type of xs:duration.*

925 *R3013: A HOSTED SERVICE MAY generate a wse:UnsupportedExpirationType SOAP Fault in response*  
926 *to a Subscribe or Renew SOAP ENVELOPE with an Expiration of type xs:dateTime.*

927 Event Sources are required to have an internal clock, but there is no requirement that the clock be  
928 synchronized with clients or other HOSTED SERVICES. Event Sources are only required to support  
929 Expirations expressed in duration, but they should attempt to match the type of the Subscription  
930 Expiration when possible. If the value or type of the Expiration is unacceptable, the Event Source may  
931 select an appropriate Expiration and return it in the Subscribe Response or Renew Response.

932 *R3015: A HOSTED SERVICE MAY generate a wsa>ActionNotSupported SOAP Fault in response to a*  
933 *Get Status SOAP ENVELOPE.*

934 Event Sources are not required to support retrieving subscription status.

---

## 935 6 Security

936 This section defines a RECOMMENDED baseline for interoperable security between a DEVICE and a  
937 CLIENT. A DEVICE (or CLIENT) is free to support other security mechanisms in place of this mechanism  
938 as specified by WSDL [[WSDL 1.1](#)], policies [[WS-Policy](#)], or by other means.

939 In the absence of an explicit indication stating that a different security mechanism is to be used, the  
940 default security mechanism is determined by the transport addresses of the DEVICE: HTTP transport  
941 addresses (URLs) indicate the device supports no security, and HTTPS transport addresses indicate the  
942 device supports the security profile defined in this section.

943 A DEVICE may support at most one security profile.

944 This scope of this section is the following set of Web services specifications. All of the requirements in  
945 these specifications are included by reference except where superseded by normative statements herein:

- 946 • [[AES/TLS](#)]
- 947 • [[HTTP Authentication](#)]
- 948 • [[SHA](#)]
- 949 • [[TLS](#)]
- 950 • [[RFC 4122](#)]
- 951 • [[X.509.v3](#)]
- 952 • [[WS-Discovery](#)]

### 953 6.1 Terminology

954 Compact Signature

955 A WS-Discovery Compact Signature [[WS-Discovery](#)] is evidence of authenticity of the  
956 unencrypted contents of a WS-Discovery message. The Compact Signature is included inside  
957 the unencrypted message.

958 Secure Channel

959 A Secure Channel is a point-to-point transport-level TLS/SSL connection established between a  
960 CLIENT and a SERVICE. Messages transmitted through a Secure Channel receive some  
961 security protection, but that protection does not extend beyond the CLIENT and SERVICE that  
962 established the channel.

### 963 6.2 Model

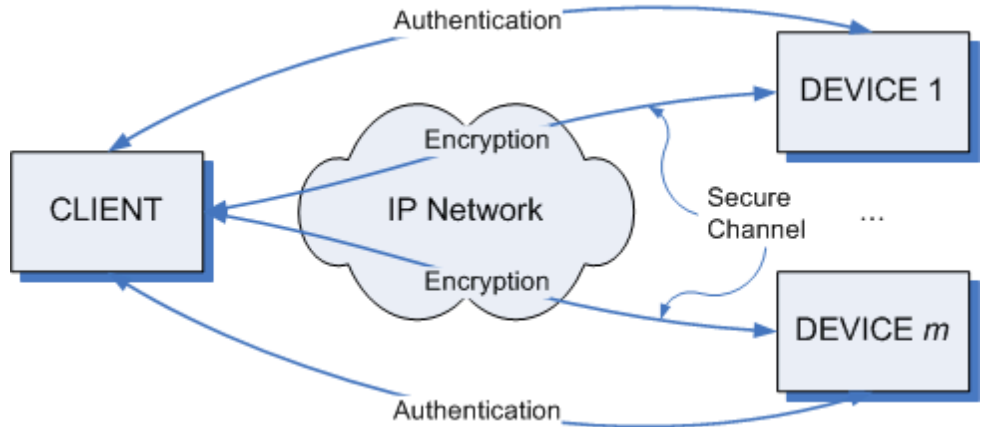
964 The security profile defined in this section has two parts: optional message-level signatures for UDP WS-  
965 Discovery traffic, and mandatory transport-level encryption for metadata and control traffic.

966 WS-Discovery Compact Signatures allow a CLIENT to verify the integrity of multicast or unicast WS-  
967 Discovery messages, and to identify WS-Discovery traffic that was signed by a DEVICE with a specific  
968 cryptographic credential.

969 TLS/SSL is used to establish a point-to-point Secure Channel between a CLIENT and a DEVICE, and  
970 provides a mechanism for each participant to authenticate the identity of the other, and to verify the  
971 integrity of the exchanged messages. It also provides confidentiality for all messages sent in the Secure  
972 Channel established between the CLIENT and the DEVICE.

973 A DEVICE uses an x.509.v3 certificate as its credential, and it uses this credential to sign WS-Discovery  
974 messages and to establish TLS/SSL connections. A DEVICE may require CLIENT authentication in the  
975 form of x.509.v3 certificates negotiated in the TLS/SSL connection, or username/password credentials  
976 communicated through HTTP Authentication after the TLS/SSL connection is established.

977 A DEVICE uses TLS/SSL to secure its HTTP traffic, and HOSTED SERVICES may also use TLS/SSL to  
 978 secure their HTTP traffic. A DEVICE may use a physical HTTPS address, or a logical address and  
 979 HTTPS xAddrs. If a DEVICE and its HOSTED SERVICES are all reachable at the same address and  
 980 port, a CLIENT and DEVICE may reuse a TLS/SSL connection for multiple operations.  
 981



982  
 983 The organization of CLIENT and DEVICE credentials, mechanism for provisioning them, and criteria for  
 984 distinguishing valid and invalid credentials is out of scope of this profile.

### 985 6.3 Integrity

986 Integrity is the process that protects MESSAGES against tampering while in transit. Integrity MUST  
 987 adhere to the following requirements:

988	<i>R5015: If a SERVICE uses TLS/SSL or WS-Discovery Compact Signatures, it MUST provide Integrity (as defined in this section) for any TLS/SSL connections or signatures, respectively.</i>
990	<i>R4000: A SERVICE MUST not send a SOAP ENVELOPE without protecting the integrity of any Message Information Header blocks matching the following XPath expressions: (a) /soap:Envelope/soap:Header/wsa:Action, (b) /soap:Envelope/soap:Header/wsa:MessageID, (c) /soap:Envelope/soap:Header/wsa:To, (d) /soap:Envelope/soap:Header/wsa:ReplyTo, (e) /soap:Envelope/soap:Header/wsa:RelatesTo, and (f) /soap:Envelope/soap:Header/*[@isReferenceParameter='true'].</i>
996	<i>R4063: A SERVICE MAY reject a SOAP ENVELOPE that has unprotected Message Information Header blocks.</i>
998	<i>R4001: A SERVICE MUST not send a SOAP ENVELOPE (including SOAP Faults) without protecting the integrity of the SOAP ENVELOPE Body in conjunction with any Message Information Block(s) from R4000.</i>
1001	<i>R4064: A SERVICE MAY reject a SOAP ENVELOPE that does not protect the integrity of the SOAP ENVELOPE Body.</i>

1003 In this profile, the integrity of UDP discovery SOAP ENVELOPES is protected using message-level  
 1004 signatures, while the integrity of other MESSAGES is protected using a Secure Channel.

### 1005 6.4 Confidentiality

1006 Confidentiality is the process by which sensitive information is protected against unauthorized disclosure  
 1007 while in transit. Confidentiality MUST adhere to the following requirements:

1008	<i>R5016: If a SERVICE uses TLS/SSL, it MUST provide Confidentiality (as defined in this section) for any TLS/SSL connections.</i>
1010	<i>R4002: A SERVICE MUST NOT send a SOAP ENVELOPE without encrypting the SOAP ENVELOPE Body.</i>

1012 *R4067: A SERVICE MAY reject a SOAP ENVELOPE that does not encrypt the SOAP ENVELOPE Body.*

1013 In this profile, UDP WS-Discovery MESSAGES are not treated as confidential. Confidential MESSAGES  
1014 are encrypted using a Secure Channel.

## 1015 **6.5 Authentication**

1016 Authentication is the process by which the identity of the sender is determined by the recipient.  
1017 Authentication MUST adhere to the following requirements:

1018 *R4004: A SENDER MUST authenticate itself to a RECEIVER using credentials acceptable to the*  
1019 *RECEIVER.*

1020 In this profile, authentication is done using certificates or a combination of certificates and HTTP  
1021 authentication. If multicast messages are secured, the following additional requirements apply:

1022 *R4005: On multicast MESSAGES, a CLIENT MUST use an authentication credential that is suitable for all*  
1023 *DEVICES that could legitimately process the multicast MESSAGE.*

1024 *R5023: If a SERVICE uses TLS/SSL, it MUST provide Authentication (as defined in this section) for any*  
1025 *TLS/SSL connections.*

## 1026 **6.6 Trust**

1027 The distribution of the credentials needed for establishing the trust relationship is out of the scope of this  
1028 profile.

1029 *R4008: A SERVICE MAY use additional mechanisms to verify the authenticity of the SENDER of any*  
1030 *received MESSAGE by analyzing information provided by the lower networking layers.*

1031 For example, a SERVICE may authenticate only CLIENTs whose IP address exists in a preconfigured list.

## 1032 **6.7 DEVICE Behavior**

1033 *R4014: A DEVICE MAY require authentication of a CLIENT.*

1034 *R4017: A CLIENT MAY ignore MESSAGES received during discovery that have no signature or a*  
1035 *nonverifiable signature.*

1036 *R4018: A DEVICE SHOULD cache authentication information for a CLIENT as valid as long as the*  
1037 *DEVICE is connected to the CLIENT.*

1038 *R5009: If a DEVICE uses a physical transport address for the [address] property of its Endpoint*  
1039 *Reference, it MUST be an HTTPS scheme IRI.*

1040 *R5010: A SERVICE MAY use an HTTP scheme IRI for the [address] property of its Endpoint Reference.*

## 1041 **6.8 Security for Discovery**

1042 In the discovery phase, the client learns of the existence of the device on the network. Subsequently, the  
1043 identity of the device is verified, and the device is connected to the client.

1044 *R5011: A DEVICE SHOULD sign its UDP discovery traffic using WS-Discovery Compact Signatures [WS-*  
1045 *Discovery] to provide CLIENTs with a mechanism to verify the integrity of the messages, and to*  
1046 *authenticate the DEVICE as the signor of the messages.*

1047 WS-Discovery Compact Signatures use WS-Security [WS-Security] to generate a cryptographic signature  
1048 that can be used by a CLIENT to verify the validity of the unencrypted message.

1049 In cases where CLIENTs persist enough information about the credentials and presence of security on a  
1050 DEVICE to protect against impersonation, the DEVICE may not sign its discovery messages.

1051 *R5012: A DEVICE MUST NOT advertise HTTP scheme addresses the xAddrs fields of WS-Discovery*  
1052 *messages.*

1053 **Probe**

1054 A CLIENT initiates the discovery process by probing the network for a DEVICE it is interested in.

1055 *R4032: A DEVICE MUST NOT send a Probe Match SOAP ENVELOPE if the DEVICE is outside the local*  
1056 *subnet of the CLIENT, and the Probe SOAP ENVELOPE was sent using the multicast binding as*  
1057 *defined in WS-Discovery section 2.4.*

1058 *R4065: A CLIENT MUST discard a Probe Match SOAP ENVELOPE if it is received MATCH\_TIMEOUT*  
1059 *seconds or more later than the last corresponding Probe SOAP ENVELOPE was sent.*

1060 **Resolve**

1061 *R4036: A DEVICE MUST NOT send a Resolve Match SOAP ENVELOPE if the DEVICE is outside the*  
1062 *local subnet of the CLIENT, and the Resolve SOAP ENVELOPE was sent using the multicast*  
1063 *binding as defined in WS-Discovery section 2.4*

1064 *R4066: A CLIENT MUST discard a Resolve Match SOAP ENVELOPE if it is received MATCH\_TIMEOUT*  
1065 *seconds or more later than the last corresponding Resolve SOAP ENVELOPE was sent.*

1066 **6.9 Authentication**

1067 The authentication step that follows discovery verifies the credentials of the DEVICE and CLIENT in a  
1068 secure manner. Credentials may be cached on the DEVICE and/or CLIENT to simplify subsequent  
1069 authentications.

1070 **6.9.1 Transport Layer Security (TLS/SSL)**

1071 TLS/SSL provides mutual authentication of CLIENT and DEVICE as well as the establishment of a  
1072 Secure Channel over which MESSAGEs are exchanged in a secure manner.

1073 *R4039: A CLIENT MUST initiate authentication with the DEVICE by setting up a TLS/SSL session.*

1074 *R4042: Following the establishment of a TLS/SSL Secure Channel, subsequent MESSAGE exchanges*  
1075 *over HTTP SHOULD use the existing TLS/SSL session.*

1076 **6.9.2 Certificates**

1077 *R4043: Each DEVICE SHOULD have its own, unique Certificate.*

1078 The Certificate contains information pertinent to the specific device including its public key. Typically,  
1079 certificates are issued by a trusted authority or a delegate (2nd tier) or a delegate of the delegate.

1080 *R4045: The format of the certificate MUST follow the common standard X.509v3.*

1081 An example of a self-signed X.509 certificate is shown below. in this case, the Subject field contains the  
1082 UUID in string representation format (i.e., not represented numerically).

Type	Element	Usage	Example
Basic Elements	Version	TLS	3
	Certificate Serial Number		1234567
	Certificate Algorithm Identifier		RSA
	Issuer		a7731471-4b54-4a64-942c-7d481dcb9614
	Validity Period		11/09/2001 - 01/07/2015
	Subject	UUID	a7731471-4b54-4a64-942c-7d481dcb9614



	Subject Public Key Information		rsaEncryption 1024 10888232e76740bd873462ea2c64ca1d a6f9112656a34b949d32cede0e476547 84ba0f7e62e143429d3217ee45ce5304 308e65a6eee6474cb4d9a3c0295c8267 761661ccba7546a09d5f03a8ea3b1160 dac9fb6e6ba94e54b6c8ee892e492f4c e3a96bbd9d7b4c4bb98b7c052ff361ba cee01718122c4f0d826efc123bb1b03d
Extensions	Extended Key Usage	Server Authentication	1.3.6.1.5.5.7.3.1
		Client Authentication	1.3.6.1.5.5.7.3.2
Signature	Certificate Authority's Digital Signature		5938f9908916cca32321916a184a6e75 2becb14fb99c4f33a03b03c3c752117c 91b8fb163d3541fca78bca235908ba69 1f7e36004a2d499a8e23951bd8af961d 36be05307ec34467a7c66fbb7fb5e49c 25e8dbdae4084ca9ba244b5bc1a377e5 262b9ef543ce47ad8a6b1d28c9138d0a dc8f5e3b469e42a5842221f9cf0a50d1

1083

1084 Certificate management is out of the scope of this profile.

### 1085 **6.9.3 DEVICE Authentication with TLS/SSL**

1086 X.509 certificates are the only mechanism for a CLIENT to authenticate a DEVICE or a HOSTED  
1087 SERVICE (if TLS/SSL is supported on that HOSTED SERVICE).

1088 *R5017: If a SERVICE uses TLS/SSL, it MUST authenticate itself to a CLIENT by supplying an X.509v3*  
1089 *certificate during the TLS/SSL handshake.*

### 1090 **6.9.4 CLIENT Authentication with TLS/SSL**

1091 *R4071: If the CLIENT and the SERVICE exchanged certificates during the TLS/SSL handshake, and the*  
1092 *SERVICE as well as the CLIENT were able to verify the certificates, the CLIENT and SERVICE*  
1093 *are mutually authenticated, and no further steps SHALL be required.*

1094 *R4046: A SERVICE MAY require HTTP Authentication step after the TLS/SSL handshake, if the*  
1095 *SERVICE was not able to verify the certificate, or if the CLIENT did not provide a certificate*  
1096 *during the TLS/SSL handshake.*

1097 X.509 certificates are the preferred mechanism for authenticating a client, but in cases where x.509 client  
1098 certificates are unavailable or where validation is infeasible, the DEVICE may use HTTP Authentication to  
1099 request client credentials.

1100 *R4048: If the HTTP authentication is successful, and the CLIENT presents a certificate to the SERVICE,*  
1101 *the SERVICE SHOULD cache the certificate in its local certificate store of trusted certificates for*  
1102 *future authentication of the CLIENT.*

1103 R4048 avoids the need for HTTP authentication for subsequent connections.

## 1104 6.9.5 CLIENT Authentication with HTTP Authentication

1105 HTTP authentication requires credentials in the form of username and password. It is assumed that how  
1106 the CLIENT and SERVICE share knowledge of the username and password is out-of-band and beyond  
1107 the scope of this profile.

1108 Because the authentication is performed over the Secure Channel established during TLS/SSL  
1109 handshake and after the CLIENT has authenticated the SERVICE, HTTP Basic authentication may be  
1110 used safely.

1111 *R4050: If a SERVICE requires HTTP authentication, the SERVICE SHALL challenge the CLIENT using*  
1112 *the HTTP 401 response code.*

1113 *R4051: A CLIENT MUST authenticate using one of the options listed in the HTTP-Authenticate header.*

1114 *R4052: HTTP Authentication MUST use the following parameters for username and password of the*  
1115 *HTTP Request: UserName, PIN / Password.*

1116 The UserName is supplied to the SERVICE during HTTP authentication and MAY be used for  
1117 establishing multiple access control classes, such as administrators, users, and guests. The naming and  
1118 use of UserName is implementation-dependent and out of the scope of this profile.

1119 *R4053: If no UserName is provided, "admin" SHALL be used as the default UserName.*

1120 The purpose of the PIN / Password is to authenticate the CLIENT to the DEVICE during the HTTP  
1121 authentication.

1122 *R4054: The RECOMMENDED size of a PIN / Password is at least 8 characters using at least a 32*  
1123 *character alphabet.*

1124 *R4055: The PIN / Password that is unique to the SERVICE SHALL be conveyed to the CLIENT out-of-*  
1125 *band. The methods of conveying the PIN out-of-band are out of the scope of this profile.*

1126 *R4056: To reduce the attack surface, the SERVICE and CLIENT MAY limit the number of failed*  
1127 *authentication attempts as well as the time interval successive attempts are made for one*  
1128 *TLS/SSL session.*

## 1129 6.10 Secure Channel

1130 A Secure Channel at the transport level is used to secure traffic between CLIENT and SERVICE.

1131 *R4057: All secure communication for Description, Control, and Eventing between the CLIENT and*  
1132 *SERVICE MUST use a Secure Channel.*

1133 *R4072: A SERVICE MUST support receiving and responding to a Probe SOAP ENVELOPE over HTTP*  
1134 *using a Secure Channel.*

1135 *R4073: A SERVICE MAY ignore a Probe SOAP ENVELOPE sent over HTTP that does not use a Secure*  
1136 *Channel.*

1137 *R5013: A CLIENT MAY use a Secure Channel to contact multiple SERVICES if they can be reached at*  
1138 *the same address and port. As prescribed by R1015, a CLIENT may send a Probe over HTTP;*  
1139 *this Probe and ProbeMatches are sent using the Secure Channel.*

## 1140 6.11 TLS/SSL Ciphersuites

1141 *R4059: It is the responsibility of the sender to convert the embedded URL to use HTTPS as different*  
1142 *transport security mechanisms can be negotiated.*

1143 *R4060: A SERVICE MUST support the following TLS Ciphersuite: TLS\_RSA\_WITH\_RC4\_128\_SHA.*

1144 *R4061: It is recommended that a SERVICE also support the following TLS Ciphersuite:*  
1145 *TLS\_RSA\_WITH\_AES\_128\_CBC\_SHA.*

1146 *R4062: Additional Ciphersuites MAY be supported. They are negotiated during the TLS/SSL handshake.*

1147 Where appropriate, DEVICES are encouraged to support additional Ciphersuites that rely on more robust  
1148 security technology, such as the SHA-2 [SHA] family of hashing standards.

1149 *R5014: A SERVICE SHOULD NOT negotiate any of the following TLS/SSL Ciphersuites: (a)*  
1150 *TLS\_RSA\_WITH\_NULL\_SHA, (b) SSL\_RSA\_WITH\_NULL\_SHA, (c) any Ciphersuite with*  
1151 *DH\_anon in their symbolic name, (d) any Ciphersuites with MD5 in their symbolic name.*

---

## 1152 7 Conformance

1153 An endpoint MAY implement more than one of the roles defined herein. An endpoint is not compliant with  
1154 this specification if it fails to satisfy one or more of the MUST or REQUIRED level requirements defined  
1155 herein for the roles it implements.

1156 Normative text within this specification takes precedence over normative outlines, which in turn take  
1157 precedence over the XML Schema [[XML Schema Part 1](#), [Part 2](#)] descriptions, which in turn take  
1158 precedence over examples.

---

## 1159 A. Acknowledgements

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1201

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1220

## B. Constants

1221 The following constants are used throughout this profile. The values listed below supersede other values  
 1222 defined in other specifications listed below.

Constant	Value	Specification
APP_MAX_DELAY	2,500 milliseconds	[WS-Discovery]
DISCOVERY_PORT	3702	[WS-Discovery]
MATCH_TIMEOUT	10 seconds	[WS-Discovery]
MAX_ENVELOPE_SIZE	32,767 octets	This profile
MAX_UDP_ENVELOPE_SIZE	4,096 octets	This profile
MAX_FIELD_SIZE	256 Unicode characters	This profile
MAX_URI_SIZE	2,048 octets	This profile
MULTICAST_UDP_REPEAT	1	[SOAP-over-UDP]
UDP_MAX_DELAY	250 milliseconds	[SOAP-over-UDP]
UDP_MIN_DELAY	50 milliseconds	[SOAP-over-UDP]
UDP_UPPER_DELAY	450 milliseconds	[SOAP-over-UDP]
UNICAST_UDP_REPEAT	1	[SOAP-over-UDP]

1223

## C. Declaring Discovery Types in WSDL

1224 Solutions built on DPWS often define portTypes implemented by Hosted Services, and a discovery-layer  
1225 portType implemented by the Host Service so the presence of these functional services can be  
1226 determined at the discovery layer. The binding between a service-layer type and its discovery-layer type  
1227 can be defined purely in descriptive text, but this appendix provides an optional mechanism to declare a  
1228 discovery-layer type inside WSDL that can be consumed and understood by tools.

1229 This appendix defines an @dpws:DiscoveryType attribute to annotate the WSDL 1.1 portType [WSDL  
1230 1.1] for the service-layer type. The normative outline for @dpws:DiscoveryType is:

```
1231 <wsdl:definitions ...>  
1232   [<wsdl:portType [dpws:DiscoveryType="xs:QName"]? >  
1233     ...  
1234     </wsdl:portType>]*  
1235 </wsdl:definitions>
```

1236 The following describes additional, normative constraints to the outline listed above:

1237 /wsdl:definitions/wsdl:portType/@dpws:DiscoveryType

1238       The name of the portType to be advertised by the Host Service to indicate that this device  
1239       supports the annotated Hosted Service portType.

1240       If omitted, no implied value

1241 This mechanism is only suitable in cases where a functional service type is bound to a single discovery-  
1242 layer type, and authors of more complex type topologies may express the relationship between service  
1243 and discovery types through normative text or through other means.

1244 Example usage follows. PrintDeviceType is the discovery-layer type for PrintPortType.

```
1245 <wsdl:definitions  
1246   xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"  
1247   xmlns:dpws="http://docs.oasis-open.org/ws-dd/ns/dpws/2009/01"  
1248   targetNamespace="http://printer.example.com/imaging"  
1249   xmlns:tns="http://printer.example.com/imaging">  
1250  
1251   <wsdl:portType name="PrintPortType"  
1252     dpws:DiscoveryType="tns:PrintDeviceType">  
1253  
1254     <!-- Contents omitted for brevity -->  
1255  
1256   </wsdl:portType>  
1257  
1258   <!-- Define PrintDeviceType to be empty -->  
1259   <wsdl:portType name="PrintDeviceType" />  
1260  
1261 </wsdl:definitions>
```



1262

## D. Revision History

1263 [optional; should not be included in OASIS Standards]

1264

Revision	Date	Editor	Changes Made
wd-01	09/16/2008	Dan Driscoll	Converted input specification to OASIS template.
wd-02	10/08/2008	Dan Driscoll	Resolved the following issues: <ul style="list-style-type: none"> <li>• 001: Clarify R4032 and R4036 w.r.t. other multicast bindings</li> <li>• 002: Define matching for empty Action filter</li> <li>• 003: Fault Action should use lowercase 'f'</li> <li>• 004: Faulting to non-anonymous endpoints</li> <li>• 005: SOAP Binding should apply to clients</li> <li>• 013: Restrict encoding of SOAP messages to UTF-8</li> <li>• 016: Edit R0042</li> <li>• 028: Review constants</li> <li>• 045: EndpointReference subelement</li> <li>• 061: Assign an OASIS namespace for the specifications</li> </ul>
wd-02	10/14/2008	Dan Driscoll	<ul style="list-style-type: none"> <li>• Changed document format from doc to docx</li> <li>• Fixed "authoritative reference"</li> </ul>
wd-02	10/14/2008	Dan Driscoll	<ul style="list-style-type: none"> <li>• Changed version number to 1.1</li> <li>• Removed "related work" section</li> </ul>
wd-02	10/14/2008	Dan Driscoll	<ul style="list-style-type: none"> <li>• Changed copyrights from 2007 to 2008</li> </ul>
wd-03	12/12/2008	Dan Driscoll	<ul style="list-style-type: none"> <li>• Changed draft from cd-01 to wd-03</li> <li>• Updated dates to 2008/12/12</li> <li>• Updated namespace to 2009/01</li> <li>• Issue 098: Update namespace</li> <li>• Editorial: Changed 'wsdp' prefix to 'dpws'</li> </ul>
wd-03	12/12/2008	Dan Driscoll Antoine Mensch	<ul style="list-style-type: none"> <li>• 011: Fix SERVICE terminology</li> <li>• 015: Remove R0007</li> <li>• 024: Fix Directed Discovery</li> </ul>

			<ul style="list-style-type: none"> <li>• 029: Fix SERVICE/DEVICE for WS-Policy</li> <li>• 038: Contents of Host EPR</li> <li>• 039: Recursive hosting</li> <li>• 055: WS-Addressing 1.0</li> <li>• 070: HTTP content negotiation for PresentationUrl</li> <li>• 071: Update to WS-Policy 1.5</li> <li>• 073: Clarify "stable" identifier</li> <li>• 074: Clarify R0036/R0037</li> <li>• 075: Clarify "Target Service"</li> <li>• 077: Remove R3010 as redundant</li> <li>• 080: Secure all WS-A headers</li> <li>• 084: Faulting behavior on Subscribe</li> <li>• 085: Get/GetMetadata</li> <li>• 092: Split R3019</li> <li>• 093: Remove R3012</li> <li>• 094: Clean up expiration type/value switching</li> <li>• 095: Clarify expiration value switching</li> <li>• 109: Update references</li> </ul>
wd-03	1/2/2009	Dan Driscoll	<ul style="list-style-type: none"> <li>• 032: Describe security composability</li> <li>• 051: Generalize security</li> <li>• 112: Remove WS-Security reference</li> <li>• 113: Cleanup Network Model</li> <li>• 114: Remove security negotiation</li> <li>• 115: Replace R4070 with switches on HTTPS ID/xAddr</li> <li>• 138: Create introduction and concrete description of security profile</li> <li>• 139: Remove protocol negotiation</li> <li>• 140: Clean up HTTP Authentication</li> </ul>
wd-03	1/21/2009	Antoine Mensch	<ul style="list-style-type: none"> <li>• Issue 012</li> <li>• Issue 040</li> <li>• Issue 046</li> <li>• Issue 117</li> <li>• Issue 127</li> <li>• Issue 128</li> <li>• Issue 135</li> <li>• Issue 143</li> </ul>
cd-02	1/21/2009	Dan Driscoll	<ul style="list-style-type: none"> <li>• Changed draft from wd-03 to cd-02</li> </ul>

Candidate			<ul style="list-style-type: none"> <li>• Updated date, copyrights</li> <li>• Updated WS-Discovery and SOAP-over-UDP references to CD-02</li> <li>• 072: Fix HOSTEDSERVICE</li> <li>• 083: Fix R0031 and wsa:ReplyTo</li> <li>• 130: Make FilterActionNotSupported recommended, not mandatory</li> <li>• 132: Define relative PresentationUrl</li> <li>• 134: Make Types/Scopes mandatory in directed ProbeMatches</li> <li>• 137: Add Appendix C</li> <li>• More security edits (see Section 7)</li> </ul>
cd-02 Candidate	1/26/2009	Dan Driscoll	<ul style="list-style-type: none"> <li>• Fixed WS-DD committee site links</li> <li>• Added TC participants to Appendix A; remove company names to meet OASIS rules</li> <li>• Removed "Last Approved Version"</li> </ul>
cd-02	1/27/2009	Dan Driscoll	<ul style="list-style-type: none"> <li>• Updated to reflect CD-02 status</li> </ul>

1265