

# PKCS #11 Cryptographic Token Interface Current Mechanisms Specification Version 2.40

## **Candidate OASIS Standard 01**

## **23 December 2014**

## **Specification URIs**

#### This version:

http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/cos01/pkcs11-curr-v2.40-cos01.doc (Authoritative)

http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/cos01/pkcs11-curr-v2.40-cos01.html http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/cos01/pkcs11-curr-v2.40-cos01.pdf

#### **Previous version:**

http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/cs01/pkcs11-curr-v2.40-cs01.doc (Authoritative)

http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/cs01/pkcs11-curr-v2.40-cs01.html http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/cs01/pkcs11-curr-v2.40-cs01.pdf

#### **Latest version:**

http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/pkcs11-curr-v2.40.doc (Authoritative) http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/pkcs11-curr-v2.40.html http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/pkcs11-curr-v2.40.pdf

#### **Technical Committee:**

**OASIS PKCS 11 TC** 

#### **Chairs:**

Robert Griffin (robert.griffin@rsa.com), EMC Corporation Valerie Fenwick (valerie.fenwick@oracle.com), Oracle

#### **Editors:**

Susan Gleeson (susan.gleeson@oracle.com), Oracle Chris Zimman (chris@wmpp.com), Individual

## **Related work:**

This specification is related to:

- PKCS #11 Cryptographic Token Interface Base Specification Version 2.40. Edited by Susan Gleeson and Chris Zimman. Latest version. http://docs.oasis-open.org/pkcs11/pkcs11base/v2.40/pkcs11-base-v2.40.html.
- PKCS #11 Cryptographic Token Interface Profiles Version 2.40. Edited by Tim Hudson. Latest version. http://docs.oasis-open.org/pkcs11/pkcs11-profiles/v2.40/pkcs11-profiles-v2.40.html.
- PKCS #11 Cryptographic Token Interface Historical Mechanisms Specification Version 2.40.
   Edited by Susan Gleeson and Chris Zimman. Latest version. http://docs.oasis-open.org/pkcs11-hist/v2.40/pkcs11-hist-v2.40.html.
- PKCS #11 Cryptographic Token Interface Usage Guide Version 2.40. Edited by John Leiseboer and Robert Griffin. Latest version. http://docs.oasis-open.org/pkcs11/pkcs11-ug/v2.40/pkcs11-ug-v2.40.html.

#### **Abstract:**

This document defines mechanisms that are anticipated for use with the current version of PKCS #11.

#### Status:

This document was last revised or approved by the OASIS PKCS 11 TC on the above date. The level of approval is also listed above. Check the "Latest version" location noted above for possible later revisions of this document. Any other numbered Versions and other technical work produced by the Technical Committee (TC) are listed at https://www.oasis-open.org/committees/tc home.php?wg abbrev=pkcs11#technical.

TC members should send comments on this specification to the TC's email list. Others should send comments to the TC's public comment list, after subscribing to it by following the instructions at the "Send A Comment" button on the TC's web page at https://www.oasis-open.org/committees/pkcs11/.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Technical Committee web page (https://www.oasis-open.org/committees/pkcs11/ipr.php).

#### **Citation format:**

When referencing this specification the following citation format should be used:

#### [PKCS11-curr-v2.40]

*PKCS #11 Cryptographic Token Interface Current Mechanisms Specification Version 2.40.* Edited by Susan Gleeson and Chris Zimman. 23 December 2014. Candidate OASIS Standard 01. http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/cos01/pkcs11-curr-v2.40-cos01.html. Latest version: http://docs.oasis-open.org/pkcs11/pkcs11-curr/v2.40/pkcs11-curr-v2.40.html.

## **Notices**

Copyright © OASIS Open 2015. All Rights Reserved.

All capitalized terms in the following text have the meanings assigned to them in the OASIS Intellectual Property Rights Policy (the "OASIS IPR Policy"). The full Policy may be found at the OASIS website.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published, and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this section are included on all such copies and derivative works. However, this document itself may not be modified in any way, including by removing the copyright notice or references to OASIS, except as needed for the purpose of developing any document or deliverable produced by an OASIS Technical Committee (in which case the rules applicable to copyrights, as set forth in the OASIS IPR Policy, must be followed) or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by OASIS or its successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and OASIS DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY OWNERSHIP RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

OASIS requests that any OASIS Party or any other party that believes it has patent claims that would necessarily be infringed by implementations of this OASIS Committee Specification or OASIS Standard, to notify OASIS TC Administrator and provide an indication of its willingness to grant patent licenses to such patent claims in a manner consistent with the IPR Mode of the OASIS Technical Committee that produced this specification.

OASIS invites any party to contact the OASIS TC Administrator if it is aware of a claim of ownership of any patent claims that would necessarily be infringed by implementations of this specification by a patent holder that is not willing to provide a license to such patent claims in a manner consistent with the IPR Mode of the OASIS Technical Committee that produced this specification. OASIS may include such claims on its website, but disclaims any obligation to do so.

OASIS takes no position regarding the validity or scope of any intellectual property or other rights that might be claimed to pertain to the implementation or use of the technology described in this document or the extent to which any license under such rights might or might not be available; neither does it represent that it has made any effort to identify any such rights. Information on OASIS' procedures with respect to rights in any document or deliverable produced by an OASIS Technical Committee can be found on the OASIS website. Copies of claims of rights made available for publication and any assurances of licenses to be made available, or the result of an attempt made to obtain a general license or permission for the use of such proprietary rights by implementers or users of this OASIS Committee Specification or OASIS Standard, can be obtained from the OASIS TC Administrator. OASIS makes no representation that any information or list of intellectual property rights will at any time be complete, or that any claims in such list are, in fact, Essential Claims.

The name "OASIS" is a trademark of OASIS, the owner and developer of this specification, and should be used only to refer to the organization and its official outputs. OASIS welcomes reference to, and implementation and use of, specifications, while reserving the right to enforce its marks against misleading uses. Please see <a href="https://www.oasis-open.org/policies-guidelines/trademark">https://www.oasis-open.org/policies-guidelines/trademark</a> for above guidance.

## **Table of Contents**

1	Introduction	12
	1.1 Terminology	12
	1.2 Definitions	12
	1.3 Normative References	13
	1.4 Non-Normative References	15
2	Mechanisms	18
	2.1 RSA	18
	2.1.1 Definitions	19
	2.1.2 RSA public key objects	20
	2.1.3 RSA private key objects	20
	2.1.4 PKCS #1 RSA key pair generation	22
	2.1.5 X9.31 RSA key pair generation	23
	2.1.6 PKCS #1 v1.5 RSA	
	2.1.7 PKCS #1 RSA OAEP mechanism parameters	24
	2.1.8 PKCS #1 RSA OAEP	25
	2.1.9 PKCS #1 RSA PSS mechanism parameters	26
	2.1.10 PKCS #1 RSA PSS	_
	2.1.11 ISO/IEC 9796 RSA	27
	2.1.12 X.509 (raw) RSA	27
	2.1.13 ANSI X9.31 RSA	28
	2.1.14 PKCS #1 v1.5 RSA signature with MD2, MD5, SHA-1, SHA-256, SHA-384, SHA-5 MD 128 or RIPE-MD 160	
	2.1.15 PKCS #1 v1.5 RSA signature with SHA-224	30
	2.1.16 PKCS #1 RSA PSS signature with SHA-224	30
	2.1.17 PKCS #1 RSA PSS signature with SHA-1, SHA-256, SHA-384 or SHA-512	
	2.1.18 ANSI X9.31 RSA signature with SHA-1	
	2.1.19 TPM 1.1b and TPM 1.2 PKCS #1 v1.5 RSA	31
	2.1.20 TPM 1.1b and TPM 1.2 PKCS #1 RSA OAEP	31
	2.1.21 RSA AES KEY WRAP	32
	2.1.22 RSA AES KEY WRAP mechanism parameters	
	2.1.23 FIPS 186-4	34
	2.2 DSA	34
	2.2.1 Definitions	34
	2.2.2 DSA public key objects	35
	2.2.3 DSA Key Restrictions	36
	2.2.4 DSA private key objects	36
	2.2.5 DSA domain parameter objects	37
	2.2.6 DSA key pair generation	38
	2.2.7 DSA domain parameter generation	38
	2.2.8 DSA probabilistic domain parameter generation	38
	2.2.9 DSA Shawe-Taylor domain parameter generation	39
	2.2.10 DSA base domain parameter generation	39
	2.2.11 DSA without hashing	39

2.2.12 DSA with SHA-1	40
2.2.13 FIPS 186-4	40
2.2.14 DSA with SHA-224	40
2.2.15 DSA with SHA-256	41
2.2.16 DSA with SHA-384	41
2.2.17 DSA with SHA-512	42
2.3 Elliptic Curve	42
2.3.1 EC Signatures	43
2.3.2 Definitions	44
2.3.3 ECDSA public key objects	44
2.3.4 Elliptic curve private key objects	45
2.3.5 Elliptic curve key pair generation	46
2.3.6 ECDSA without hashing	46
2.3.7 ECDSA with SHA-1	47
2.3.8 EC mechanism parameters	47
2.3.9 Elliptic curve Diffie-Hellman key derivation	50
2.3.10 Elliptic curve Diffie-Hellman with cofactor key derivation	50
2.3.11 Elliptic curve Menezes-Qu-Vanstone key derivation	51
2.3.12 ECDH AES KEY WRAP	51
2.3.13 ECDH AES KEY WRAP mechanism parameters	53
2.3.14 FIPS 186-4	53
2.4 Diffie-Hellman	53
2.4.1 Definitions	
2.4.2 Diffie-Hellman public key objects	54
2.4.3 X9.42 Diffie-Hellman public key objects	55
2.4.4 Diffie-Hellman private key objects	
2.4.5 X9.42 Diffie-Hellman private key objects	57
2.4.6 Diffie-Hellman domain parameter objects	58
2.4.7 X9.42 Diffie-Hellman domain parameters objects	
2.4.8 PKCS #3 Diffie-Hellman key pair generation	
2.4.9 PKCS #3 Diffie-Hellman domain parameter generation	
2.4.10 PKCS #3 Diffie-Hellman key derivation	60
2.4.11 X9.42 Diffie-Hellman mechanism parameters	60
2.4.12 X9.42 Diffie-Hellman key pair generation	
2.4.13 X9.42 Diffie-Hellman domain parameter generation	64
2.4.14 X9.42 Diffie-Hellman key derivation	
2.4.15 X9.42 Diffie-Hellman hybrid key derivation	64
2.4.16 X9.42 Diffie-Hellman Menezes-Qu-Vanstone key derivation	
2.5 Wrapping/unwrapping private keys	66
2.6 Generic secret key	68
2.6.1 Definitions	
2.6.2 Generic secret key objects	68
2.6.3 Generic secret key generation	
2.7 HMAC mechanisms	69
2.8.AES	60

2.8.1 Definitions	70
2.8.2 AES secret key objects	70
2.8.3 AES key generation	71
2.8.4 AES-ECB	71
2.8.5 AES-CBC	72
2.8.6 AES-CBC with PKCS padding	73
2.8.7 AES-OFB	73
2.8.8 AES-CFB	74
2.8.9 General-length AES-MAC	74
2.8.10 AES-MAC	74
2.8.11 AES-XCBC-MAC	75
2.8.12 AES-XCBC-MAC-96	75
2.9 AES with Counter	75
2.9.1 Definitions	75
2.9.2 AES with Counter mechanism parameters	76
2.9.3 AES with Counter Encryption / Decryption	76
2.10 AES CBC with Cipher Text Stealing CTS	77
2.10.1 Definitions	77
2.10.2 AES CTS mechanism parameters	77
2.11 Additional AES Mechanisms	
2.11.1 Definitions	77
2.12 AES-GCM Authenticated Encryption / Decryption	
2.12.1 AES-CCM authenticated Encryption / Decryption	
2.12.2 AES-GMAC	
2.12.3 AES GCM and CCM Mechanism parameters	80
2.12.4 AES-GCM authenticated Encryption / Decryption	
2.12.5 AES-CCM authenticated Encryption / Decryption	
2.13 AES CMAC	
2.13.1 Definitions	
2.13.2 Mechanism parameters	
2.13.3 General-length AES-CMAC	
2.13.4 AES-CMAC	
2.14 AES Key Wrap	
2.14.1 Definitions	
2.14.2 AES Key Wrap Mechanism parameters	
2.14.3 AES Key Wrap	
2.15 Key derivation by data encryption – DES & AES	
2.15.1 Definitions	
2.15.2 Mechanism Parameters	
2.15.3 Mechanism Description	
2.16 Double and Triple-length DES	
2.16.1 Definitions	
2.16.2 DES2 secret key objects	
2.16.3 DES3 secret key objects	
2.16.4 Double-length DES key generation	88

2.16.5 Triple-length DES Order of Operations	88
2.16.6 Triple-length DES in CBC Mode	88
2.16.7 DES and Triple length DES in OFB Mode	88
2.16.8 DES and Triple length DES in CFB Mode	89
2.17 Double and Triple-length DES CMAC	89
2.17.1 Definitions	90
2.17.2 Mechanism parameters	90
2.17.3 General-length DES3-MAC	90
2.17.4 DES3-CMAC	90
2.18 SHA-1	91
2.18.1 Definitions	
2.18.2 SHA-1 digest	
2.18.3 General-length SHA-1-HMAC	92
2.18.4 SHA-1-HMAC	92
2.18.5 SHA-1 key derivation	92
2.19 SHA-224	93
2.19.1 Definitions	93
2.19.2 SHA-224 digest	
2.19.3 General-length SHA-224-HMAC	
2.19.4 SHA-224-HMAC	94
2.19.5 SHA-224 key derivation	94
2.20 SHA-256	94
2.20.1 Definitions	
2.20.2 SHA-256 digest	
2.20.3 General-length SHA-256-HMAC	95
2.20.4 SHA-256-HMAC	
2.20.5 SHA-256 key derivation	95
2.21 SHA-384	95
2.21.1 Definitions	
2.21.2 SHA-384 digest	
2.21.3 General-length SHA-384-HMAC	
2.21.4 SHA-384-HMAC	96
2.21.5 SHA-384 key derivation	96
2.22 SHA-512	96
2.22.1 Definitions	96
2.22.2 SHA-512 digest	
2.22.3 General-length SHA-512-HMAC	97
2.22.4 SHA-512-HMAC	
2.22.5 SHA-512 key derivation	
2.23 SHA-512/224	
2.23.1 Definitions	
2.23.2 SHA-512/224 digest	
2.23.3 General-length SHA-512-HMAC	
2.23.4 SHA-512/224-HMAC	
2.23.5 SHA-512/224 key derivation	98

2.24 SHA-512/256	98
2.24.1 Definitions	99
2.24.2 SHA-512/256 digest	99
2.24.3 General-length SHA-512-HMAC	99
2.24.4 SHA-512/256-HMAC	99
2.24.5 SHA-512/256 key derivation	99
2.25 SHA-512/t	99
2.25.1 Definitions	100
2.25.2 SHA-512/t digest	
2.25.3 General-length SHA-512-HMAC	100
2.25.4 SHA-512/t-HMAC	100
2.25.5 SHA-512/t key derivation	101
2.26 PKCS #5 and PKCS #5-style password-based encryption (PBE)	101
2.26.1 Definitions	101
2.26.2 Password-based encryption/authentication mechanism parameters	101
2.26.3 PKCS #5 PBKDF2 key generation mechanism parameters	102
2.26.4 PKCS #5 PBKD2 key generation	
2.27 PKCS #12 password-based encryption/authentication mechanisms	
2.27.1 SHA-1-PBE for 3-key triple-DES-CBC	105
2.27.2 SHA-1-PBE for 2-key triple-DES-CBC	
2.27.3 SHA-1-PBA for SHA-1-HMAC	105
2.28 SSL	106
2.28.1 Definitions	
2.28.2 SSL mechanism parameters	
2.28.3 Pre-master key generation	108
2.28.4 Master key derivation	
2.28.5 Master key derivation for Diffie-Hellman	109
2.28.6 Key and MAC derivation	
2.28.7 MD5 MACing in SSL 3.0	
2.28.8 SHA-1 MACing in SSL 3.0	111
2.29 TLS 1.2 Mechanisms	111
2.29.1 Definitions	112
2.29.2 TLS 1.2 mechanism parameters	
2.29.3 TLS MAC	115
2.29.4 Master key derivation	
2.29.5 Master key derivation for Diffie-Hellman	116
2.29.6 Key and MAC derivation	
2.29.7 CKM_TLS12_KEY_SAFE_DERIVE	
2.29.8 Generic Key Derivation using the TLS PRF	
2.30 WTLS	
2.30.1 Definitions	
2.30.2 WTLS mechanism parameters	
2.30.3 Pre master secret key generation for RSA key exchange suite	
2.30.4 Master secret key derivation	
2.30.5 Master secret key derivation for Diffie-Hellman and Elliptic Curve Cryptography	123

2.30.6 WTLS PRF (pseudorandom function)	124
2.30.7 Server Key and MAC derivation	124
2.30.8 Client key and MAC derivation	125
2.31 Miscellaneous simple key derivation mechanisms	126
2.31.1 Definitions	126
2.31.2 Parameters for miscellaneous simple key derivation mechanisms	126
2.31.3 Concatenation of a base key and another key	
2.31.4 Concatenation of a base key and data	127
2.31.5 Concatenation of data and a base key	128
2.31.6 XORing of a key and data	129
2.31.7 Extraction of one key from another key	129
2.32 CMS	
2.32.1 Definitions	131
2.32.2 CMS Signature Mechanism Objects	131
2.32.3 CMS mechanism parameters	131
2.32.4 CMS signatures	132
2.33 Blowfish	133
2.33.1 Definitions	134
2.33.2 BLOWFISH secret key objects	
2.33.3 Blowfish key generation	135
2.33.4 Blowfish-CBC	
2.33.5 Blowfish-CBC with PKCS padding	135
2.34 Twofish	136
2.34.1 Definitions	
2.34.2 Twofish secret key objects	
2.34.3 Twofish key generation	
2.34.4 Twofish -CBC	
2.34.5 Twofish-CBC with PKCS padding	
2.35 CAMELLIA	
2.35.1 Definitions	
2.35.2 Camellia secret key objects	138
2.35.3 Camellia key generation	139
2.35.4 Camellia-ECB	
2.35.5 Camellia-CBC	
2.35.6 Camellia-CBC with PKCS padding	
2.35.7 General-length Camellia-MAC	
2.35.8 Camellia-MAC	
2.36 Key derivation by data encryption - Camellia	
2.36.1 Definitions	
2.36.2 Mechanism Parameters	
2.37 ARIA	
2.37.1 Definitions	
2.37.2 Aria secret key objects	
2.37.3 ARIA key generation	
2 37 4 ARIA-FCB	144

2.37.5 ARIA-CBC	144
2.37.6 ARIA-CBC with PKCS padding	145
2.37.7 General-length ARIA-MAC	146
2.37.8 ARIA-MAC	146
2.38 Key derivation by data encryption - ARIA	146
2.38.1 Definitions	147
2.38.2 Mechanism Parameters	147
2.39 SEED	147
2.39.1 Definitions	148
2.39.2 SEED secret key objects	149
2.39.3 SEED key generation	149
2.39.4 SEED-ECB	149
2.39.5 SEED-CBC	149
2.39.6 SEED-CBC with PKCS padding	150
2.39.7 General-length SEED-MAC	150
2.39.8 SEED-MAC	
2.40 Key derivation by data encryption - SEED	
2.40.1 Definitions	
2.40.2 Mechanism Parameters	
2.41 OTP	151
2.41.1 Usage overview	
2.41.2 Case 1: Generation of OTP values	
2.41.3 Case 2: Verification of provided OTP values	
2.41.4 Case 3: Generation of OTP keys	
2.41.5 OTP objects	
2.41.6 OTP-related notifications	
2.41.7 OTP mechanisms	
2.41.8 RSA SecurID	
2.41.9 RSA SecurID key generation	
2.41.10 RSA SecurID OTP generation and validation	
2.41.11 Return values	
2.41.12 OATH HOTP	
2.41.13 ActivIdentity ACTI	
2.41.14 ACTI OTP generation and validation	
2.42 CT-KIP	
2.42.1 Principles of Operation	
2.42.2 Mechanisms	
2.42.3 Definitions	
2.42.4 CT-KIP Mechanism parameters	
2.42.5 CT-KIP key derivation	
2.42.6 CT-KIP key wrap and key unwrap	
2.42.7 CT-KIP signature generation	
2.43 GOST	
2.44 GOST 28147-89	
2 44 1 Definitions	168

2.44.2 GOST 28147-89 secret key objects	168
2.44.3 GOST 28147-89 domain parameter objects	169
2.44.4 GOST 28147-89 key generation	170
2.44.5 GOST 28147-89-ECB	170
2.44.6 GOST 28147-89 encryption mode except ECB	171
2.44.7 GOST 28147-89-MAC	171
2.44.8 Definitions	
2.44.9 GOST R 34.11-94 domain parameter objects	173
2.44.10 GOST R 34.11-94 digest	173
2.44.11 GOST R 34.11-94 HMAC	174
2.45 GOST R 34.10-2001	174
2.45.1 Definitions	174
2.45.2 GOST R 34.10-2001 public key objects	175
2.45.3 GOST R 34.10-2001 private key objects	176
2.45.4 GOST R 34.10-2001 domain parameter objects	178
2.45.5 GOST R 34.10-2001 mechanism parameters	179
2.45.6 GOST R 34.10-2001 key pair generation	181
2.45.7 GOST R 34.10-2001 without hashing	181
2.45.8 GOST R 34.10-2001 with GOST R 34.11-94	
2.45.9 GOST 28147-89 keys wrapping/unwrapping with GOST R 34.10-2001	182
3 PKCS #11 Implementation Conformance	183
Appendix A. Acknowledgments	184
Appendix B. Manifest Constants	187
B.1 OTP Definitions	187
B.2 Object classes	187
B.3 Key types	187
B.4 Mechanisms	188
B.5 Attributes	195
B.6 Attribute constants	197
B.7 Other constants	197
B.8 Notifications	198
B.9 Return values	198
Appendix C. Revision History	201

## 1 Introduction

This document defines mechanisms that are anticipated to be used with the current version of PKCS #11. All text is normative unless otherwise labeled.

## 1.1 Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119]

## 1.2 Definitions

For the purposes of this standard, the following definitions apply. Please refer to the [PKCS#11-Base] for further definitions:

t this standard, tr	le following definitions apply. Please refer to the [PKC5#11-Base] fol
AES	Advanced Encryption Standard, as defined in FIPS PUB 197.
CAMELLIA	The Camellia encryption algorithm, as defined in RFC 3713.
BLOWFISH	The Blowfish Encryption Algorithm of Bruce Schneier, www.schneier.com.
CBC	Cipher-Block Chaining mode, as defined in FIPS PUB 81.
CDMF	Commercial Data Masking Facility, a block encipherment method specified by International Business Machines Corporation and based on DES.
CMAC	Cipher-based Message Authenticate Code as defined in [NIST sp800-38b] and [RFC 4493].
CMS	Cryptographic Message Syntax (see RFC 2630)
CT-KIP	Cryptographic Token Key Initialization Protocol (as defined in [[CT-KIP])
DES	Data Encryption Standard, as defined in FIPS PUB 46-3.
DSA	Digital Signature Algorithm, as defined in FIPS PUB 186-2.
EC	Elliptic Curve
ECB	Electronic Codebook mode, as defined in FIPS PUB 81.
ECDH	Elliptic Curve Diffie-Hellman.

**ECDSA** 

**ECMQV** 

GOST 28147-89

Elliptic Curve DSA, as in ANSI X9.62.

Elliptic Curve Menezes-Qu-Vanstone

and [RFC 4357] [RFC 4490], and RFC [4491].

The encryption algorithm, as defined in Part 2 [GOST 28147-89]

GOST R 34.11-94 Hash algorithm, as defined in [GOST R 34.11-94] and [RFC 4357], [RFC 4490], and [RFC 4491]. GOST R 34.10-2001 The digital signature algorithm, as defined in [GOST R 34.10-2001] and [RFC 4357], [RFC 4490], and [RFC 4491]. IV Initialization Vector. MAC Message Authentication Code. MQV Menezes-Qu-Vanstone OAEP Optimal Asymmetric Encryption Padding for RSA. **PKCS** Public-Key Cryptography Standards. PRF Pseudo random function. PTD Personal Trusted Device, as defined in MeT-PTD RSA The RSA public-key cryptosystem. SHA-1 The (revised) Secure Hash Algorithm with a 160-bit message digest, as defined in FIPS PUB 180-2. SHA-224 The Secure Hash Algorithm with a 224-bit message digest, as defined in RFC 3874. Also defined in FIPS PUB 180-2 with Change Notice 1. SHA-256 The Secure Hash Algorithm with a 256-bit message digest, as defined in FIPS PUB 180-2. The Secure Hash Algorithm with a 384-bit message digest, as SHA-384 defined in FIPS PUB 180-2. The Secure Hash Algorithm with a 512-bit message digest, as SHA-512 defined in FIPS PUB 180-2. SSL The Secure Sockets Layer 3.0 protocol. SO A Security Officer user. TLS Transport Layer Security. WIM Wireless Identification Module.

## 1.3 Normative References

WTLS

National Security Research Institute, Korea, "Block Cipher Algorithm ARIA",

Wireless Transport Layer Security.

URL: http://tools.ietf.org/html/rfc5794

[ARIA]

[BLOWFISH] B. Schneier. Description of a New Variable-Length Key, 64-Bit Block Cipher

(Blowfish), December 1993.

URL: https://www.schneier.com/paper-blowfish-fse.html

[CAMELLIA] M. Matsui, J. Nakajima, S. Moriai. A Description of the Camellia Encryption

Algorithm, April 2004.

URL: http://www.ietf.org/rfc/rfc3713.txt

[CDMF] Johnson, D.B The Commercial Data Masking Facility (CDMF) data privacy

algorithm, March 1994.

URL: http://ieeexplore.ieee.org/xpl/articleDetails.jsp?arnumber=5389557

[DH] W. Diffie, M. Hellman. New Directions in Cryptography. Nov, 1976.

URL: http://www-ee.stanford.edu/~hellman/publications/24.pdf

[FIPS PUB 81] NIST. FIPS 81: DES Modes of Operation. December 1980.

URL: http://csrc.nist.gov/publications/fips/fips81/fips81.htm

[FIPS PUB 186-4] NIST. FIPS 186-4: Digital Signature Standard. July 2013.

URL: http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.186-4.pdf

[FIPS PUB 197] NIST. FIPS 197: Advanced Encryption Standard. November 26, 2001.

URL: http://csrc.nist.gov/publications/fips/fips197/fips-197.pdf

[GOST] V. Dolmatov, A. Degtyarev. GOST R. 34.11-2012: Hash Function. August 2013.

URL: http://tools.ietf.org/html/rfc6986

[MD2] B. Kaliski. RSA Laboratories. The MD2 Message-Digest Algorithm. April, 1992.

URL: http://tools.ietf.org/html/rfc1319

[MD5] RSA Data Security. R. Rivest. The MD5 Message-Digest Algorithm. April, 1992.

URL: http://tools.ietf.org/html/rfc1319

**[OAEP]** M. Bellare, P. Rogaway. Optimal Asymmetric Encryption – How to Encrypt with

RSA. Nov 19, 1995.

URL: http://cseweb.ucsd.edu/users/mihir/papers/oae.pdf

[PKCS #11-Base] PKCS #11 Cryptographic Token Interface Base Specification Version 2.40.

Edited by Susan Gleeson and Chris Zimman. 23 December 2014. Candidate

OASIS Standard 01. http://docs.oasis-open.org/pkcs11/pkcs11-base/v2.40/cos01/pkcs11-base-v2.40-cos01.html. Latest version:

http://docs.oasis-open.org/pkcs11/pkcs11-base/v2.40/pkcs11-base-v2.40.html.

[PKCS #11-Hist] PKCS #11 Cryptographic Token Interface Historical Mechanisms Specification

*Version 2.40.* Edited by Susan Gleeson and Chris Zimman. 23 December 2014. Candidate OASIS Standard 01. http://docs.oasis-open.org/pkcs11/pkcs11-hist/v2.40/cos01/pkcs11-hist-v2.40-cos01.html. Latest version: http://docs.oasis-

open.org/pkcs11/pkcs11-hist/v2.40/pkcs11-hist-v2.40.html.

[PKCS #11-Prof] PKCS #11 Cryptographic Token Interface Profiles Version 2.40. Edited by Tim

Hudson. 23 December 2014. Candidate OASIS Standard 01. http://docs.oasis-open.org/pkcs11/pkcs11-profiles/v2.40/cos01/pkcs11-profiles-v2.40-cos01.html. Latest version: http://docs.oasis-open.org/pkcs11/pkcs11-profiles/v2.40/pkcs11-

profiles-v2.40.html.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP

14, RFC 2119, March 1997.

URL: http://www.ietf.org/rfc/rfc2119.txt.

[RIPEMD] H. Dobbertin, A. Bosselaers, B. Preneel. The hash function RIPEMD-160, Feb.

13, 2012.

URL: http://homes.esat.kuleuven.be/~bosselae/ripemd160.html

[SEED] KISA. SEED 128 Algorithm Specification. Sep 2003.

URL: http://seed.kisa.or.kr/html/egovframework/iwt/ds/ko/ref/%5B2%5D\_SEED+1

28\_Specification\_english\_M.pdf

[SHA-1] NIST. FIPS 180-4: Secure Hash Standard. March 2012.

URL: http://csrc.nist.gov/publications/fips/fips180-4/fips-180-4.pdf

[SHA-2] NIST. FIPS 180-4: Secure Hash Standard. March 2012.

URL: http://csrc.nist.gov/publications/fips/fips180-4/fips-180-4.pdf

[TWOFISH] B. Schneier, J. Kelsey, D. Whiting, C. Hall, N. Ferguson. Twofish: A 128-Bit Block

Cipher. June 15, 1998.

URL: https://www.schneier.com/paper-twofish-paper.pdf

### 1.4 Non-Normative References

[CAP-1.2] Common Alerting Protocol Version 1.2. 01 July 2010. OASIS Standard.

URL: http://docs.oasis-open.org/emergency/cap/v1.2/CAP-v1.2-os.html

[AES KEYWRAP] AES Key Wrap Specification (Draft)

URL: http://csrc.nist.gov/groups/ST/toolkit/documents/kms/key-wrap.pdf.

[ANSI C] ANSI/ISO. American National Standard for Programming Languages – C. 1990. [ANSI X9.31] Accredited Standards Committee X9. Digital Signatures Using Reversible Public

Key Cryptography for the Financial Services Industry (rDSA). 1998.

[ANSI X9.42] Accredited Standards Committee X9. Public Key Cryptography for the Financial

Services Industry: Agreement of Symmetric Keys Using Discrete Logarithm

Cryptography. 2003.

[ANSI X9.62] Accredited Standards Committee X9. Public Key Cryptography for the Financial

Services Industry: The Elliptic Curve Digital Signature Algorithm (ECDSA). 1998.

[ANSI X9.63] Accredited Standards Committee X9. Public Key Cryptography for the Financial

Services Industry: Key Agreement and Key Transport Using Elliptic Curve

Cryptography. 2001.

URL: http://webstore.ansi.org/RecordDetail.aspx?sku=X9.63-2011

**[CT-KIP]** RSA Laboratories. Cryptographic Token Key Initialization Protocol. Version 1.0,

December 2005.

URL: ftp://ftp.rsasecurity.com/pub/otps/ct-kip/ct-kip-v1-0.pdf.

[CC/PP] CCPP-STRUCT-VOCAB, G. Klyne, F. Reynolds, C., H. Ohto, J. Hjelm, M. H.

Butler, L. Tran, Editors, W3C Recommendation, 15 January 2004, URL: http://www.w3.org/TR/2004/REC-CCPP-struct-vocab-20040115/Latest version available at http://www.w3.org/TR/CCPP-struct-vocab/

[NIST AES CTS] National Institute of Standards and Technology, Addendum to NIST Special

Publication 800-38A, "Recommendation for Block Cipher Modes of Operation:

Three Variants of Ciphertext Stealing for CBC Mode"

URL: http://csrc.nist.gov/publications/nistpubs/800-38a/addendum-to-nist\_sp800-

38A.pdf

[PKCS #11-UG] PKCS #11 Cryptographic Token Interface Usage Guide Version 2.40. Edited by

John Leiseboer and Robert Griffin. 16 November 2014. OASIS Committee Note 02. http://docs.oasis-open.org/pkcs11/pkcs11-ug/v2.40/cn02/pkcs11-ug-v2.40-

cn02.html. Latest version: http://docs.oasis-open.org/pkcs11/pkcs11-

ug/v2.40/pkcs11-ug-v2.40.html.

[RFC 2865] Rigney et al, "Remote Authentication Dial In User Service (RADIUS)", IETF

RFC2865, June 2000.

URL: http://www.ietf.org/rfc/rfc2865.txt.

[RFC 3394] J. Schaad, R. Housley, Advanced Encryption Standard (AES) Key Wrap

Algorithm, September 2002.

URL: http://www.ietf.org/rfc/rfc3394.txt.

[RFC 3686] Housley, "Using Advanced Encryption Standard (AES) Counter Mode With IPsec

Encapsulating Security Payload (ESP)," IETF RFC 3686, January 2004.

URL: http://www.ietf.org/rfc/rfc3686.txt.

[RFC 3717] Matsui, et al, "A Description of the Camellia Encryption Algorithm," IETF RFC

3717, April 2004.

URL: http://www.ietf.org/rfc/rfc3713.txt.

[RFC 3610]	Whiting, D., Housley, R., and N. Ferguson, "Counter with CBC-MAC (CCM)", IETF RFC 3610, September 2003. URL: http://www.ietf.org/rfc/rfc3610.txt
[RFC 3874]	Smit et al, "A 224-bit One-way Hash Function: SHA-224," IETF RFC 3874, June 2004. URL: http://www.ietf.org/rfc/rfc3874.txt.
[RFC 3748]	Aboba et al, "Extensible Authentication Protocol (EAP)", IETF RFC 3748, June 2004. URL: http://www.ietf.org/rfc/rfc3748.txt.
[RFC 4269]	South Korean Information Security Agency (KISA) "The SEED Encryption Algorithm", December 2005. URL: ftp://ftp.rfc-editor.org/in-notes/rfc4269.txt
[RFC 4309]	Housley, R., "Using Advanced Encryption Standard (AES) CCM Mode with IPsec Encapsulating Security Payload (ESP)," IETF RFC 4309, December 2005. URL: http://www.ietf.org/rfc/rfc4309.txt
[RFC 4357]	V. Popov, I. Kurepkin, S. Leontiev "Additional Cryptographic Algorithms for Use with GOST 28147-89, GOST R 34.10-94, GOST R 34.10-2001, and GOST R 34.11-94 Algorithms", January 2006.
[RFC 4490]	S. Leontiev, Ed. G. Chudov, Ed. "Using the GOST 28147-89, GOST R 34.11-94,GOST R 34.10-94, and GOST R 34.10-2001 Algorithms with Cryptographic Message Syntax (CMS)", May 2006.
[RFC 4491]	S. Leontiev, Ed., D. Shefanovski, Ed., "Using the GOST R 34.10-94, GOST R 34.10-2001, and GOST R 34.11-94 Algorithms with the Internet X.509 Public Key Infrastructure Certificate and CRL Profile", May 2006.
[RFC 4493]	J. Song et al. <i>RFC 4493: The AES-CMAC Algorithm.</i> June 2006. URL: http://www.ietf.org/rfc/tfc4493.txt
[SEC 1]	Standards for Efficient Cryptography Group (SECG). Standards for Efficient Cryptography (SEC) 1: Elliptic Curve Cryptography. Version 1.0, September 20, 2000.
[SEC 2]	Standards for Efficient Cryptography Group (SECG). Standards for Efficient Cryptography (SEC) 2: Recommended Elliptic Curve Domain Parameters. Version 1.0, September 20, 2000.
[TLS]	[RFC2246] Dierks, T. and C. Allen, "The TLS Protocol Version 1.0", RFC 2246, January 1999. http://www.ietf.org/rfc/rfc2246.txt, superseded by [RFC4346] Dierks, T. and E. Rescorla, "The Transport Layer Security (TLS) Protocol Version 1.1", RFC 4346, April 2006. http://www.ietf.org/rfc/rfc4346.txt, which was superseded by [5246] Dierks, T. and E. Rescorla, "The Transport Layer Security (TLS) Protocol Version 1.2", RFC 5246, August 2008. URL: http://www.ietf.org/rfc/rfc5246.txt
[WIM]	WAP. Wireless Identity Module. — WAP-260-WIM-20010712-a. July 2001. URL: http://technical.openmobilealliance.org/tech/affiliates/LicenseAgreement.as p?DocName=/wap/wap-260-wim-20010712-a.pdf
[WPKI]	Wireless Application Protocol: Public Key Infrastructure Definition. — WAP-217-WPKI-20010424-a. April 2001. URL: http://technical.openmobilealliance.org/tech/affiliates/LicenseAgreement.as
[WTLS]	p?DocName=/wap/wap-217-wpki-20010424-a.pdf WAP. Wireless Transport Layer Security Version — WAP-261-WTLS-20010406-a. April 2001. URL: http://technical.openmobilealliance.org/tech/affiliates/LicenseAgreement.as
[X.500]	p?DocName=/wap/wap-261-wtls-20010406-a.pdf ITU-T. Information Technology — Open Systems Interconnection — The Directory: Overview of Concepts, Models and Services. February 2001. Identical to ISO/IEC 9594-1

[X.509]	ITU-T. Information Technology — Open Systems Interconnection — The
	Directory: Public-key and Attribute Certificate Frameworks. March 2000.
	Identical to ISO/IEC 9594-8
[X.680]	ITU-T. Information Technology — Abstract Syntax Notation One (ASN.1):
	Specification of Basic Notation. July 2002. Identical to ISO/IEC 8824-1
[X.690]	ITU-T. Information Technology — ASN.1 Encoding Rules: Specification of Basic
	Encoding Rules (BER), Canonical Encoding Rules (CER), and Distinguished
	Encoding Rules (DER). July 2002. Identical to ISO/IEC 8825-1

## 2 Mechanisms

A mechanism specifies precisely how a certain cryptographic process is to be performed. PKCS #11 implementations MAY use one of more mechanisms defined in this document.

The following table shows which Cryptoki mechanisms are supported by different cryptographic operations. For any particular token, of course, a particular operation may well support only a subset of the mechanisms listed. There is also no guarantee that a token which supports one mechanism for some operations supports any other mechanism for any other operation (or even supports that same mechanism for any other operation). For example, even if a token is able to create RSA digital signatures with the **CKM\_RSA\_PKCS** mechanism, it may or may not be the case that the same token can also perform RSA encryption with **CKM\_RSA\_PKCS**.

Each mechanism description is be preceded by a table, of the following format, mapping mechanisms to API functions.

		Functions					
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive

<sup>1</sup> SR = SignRecover, VR = VerifyRecover.

The remainder of this section will present in detail the mechanisms supported by Cryptoki and the parameters which are supplied to them.

In general, if a mechanism makes no mention of the ulMinKeyLen and ulMaxKeyLen fields of the CK\_MECHANISM\_INFO structure, then those fields have no meaning for that particular mechanism.

## **2.1 RSA**

Table 1, Mechanisms vs. Functions

	Functions						
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest		&	Derive
	Decrypt	Verify	٧R		Key/	Unwrap	
			'		Key		
					Pair		
CKM_RSA_PKCS_KEY_PAIR_GEN					✓		
CKM_RSA_X9_31_KEY_PAIR_GEN					✓		
CKM_RSA_PKCS	√2	√2	✓			✓	
CKM_RSA_PKCS_OAEP	√2					✓	
CKM_RSA_PKCS_PSS		√2					
CKM_RSA_9796		$\checkmark^2$	✓				
CKM_RSA_X_509	$\checkmark^2$	√2	✓			✓	
CKM_RSA_X9_31		$\checkmark^2$					
CKM_SHA1_RSA_PKCS		✓					
CKM_SHA256_RSA_PKCS		✓					
CKM_SHA384_RSA_PKCS		✓					

<sup>2</sup> Single-part operations only.

<sup>3</sup> Mechanism can only be used for wrapping, not unwrapping.

				Functions	3		
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest		&	Derive
	Decrypt	Verify	VR 1		Key/ Key	Unwrap	
					Pair		
CKM_SHA512_RSA_PKCS		✓					
CKM_SHA1_RSA_PKCS_PSS		✓					
CKM_SHA256_RSA_PKCS_PSS		✓					
CKM_SHA384_RSA_PKCS_PSS		✓					
CKM_SHA512_RSA_PKCS_PSS		✓					
CKM_SHA1_RSA_X9_31		✓					
CKM_RSA_PKCS_TPM_1_1	√2					✓	
CKM_RSA_PKCS_OAEP_TPM_1_1	$\checkmark^2$					✓	

## 2.1.1 Definitions

This section defines the RSA key type "CKK\_RSA" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of RSA key objects.

#### Mechanisms:

CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN

CKM\_RSA\_PKCS

CKM\_RSA\_9796

CKM\_RSA\_X\_509

CKM\_MD2\_RSA\_PKCS

CKM\_MD5\_RSA\_PKCS

CKM SHA1 RSA PKCS

CKM\_SHA224\_RSA\_PKCS

CKM\_SHA256\_RSA\_PKCS

CKM\_SHA384\_RSA\_PKCS

CKM\_SHA512\_RSA\_PKCS

CKM\_RIPEMD128\_RSA\_PKCS

CKM\_RIPEMD160\_RSA\_PKCS

CKM\_RSA\_PKCS\_OAEP

CKM RSA X9 31 KEY PAIR GEN

CKM\_RSA\_X9\_31

CKM\_SHA1\_RSA\_X9\_31

CKM\_RSA\_PKCS\_PSS

CKM\_SHA1\_RSA\_PKCS\_PSS

CKM\_SHA224\_RSA\_PKCS\_PSS

CKM\_SHA256\_RSA\_PKCS\_PSS

CKM\_SHA512\_RSA\_PKCS\_PSS

CKM SHA384 RSA PKCS PSS

CKM\_RSA\_PKCS\_TPM\_1\_1

CKM\_RSA\_PKCS\_OAEP\_TPM\_1\_1

CKM\_RSA\_AES\_KEY\_WRAP

## 2.1.2 RSA public key objects

RSA public key objects (object class **CKO\_PUBLIC\_KEY**, key type **CKK\_RSA**) hold RSA public keys. The following table defines the RSA public key object attributes, in addition to the common attributes defined for this object class:

Table 2, RSA Public Key Object Attributes

Attribute	Data type	Meaning
CKA_MODULUS <sup>1,4</sup>	Big integer	Modulus n
CKA_MODULUS_BITS <sup>2,3</sup>	CK_ULONG	Length in bits of modulus n
CKA_PUBLIC_EXPONENT <sup>1</sup>	Big integer	Public exponent e

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

Depending on the token, there may be limits on the length of key components. See PKCS #1 for more information on RSA keys.

The following is a sample template for creating an RSA public key object:

```
CK OBJECT CLASS class = CKO PUBLIC KEY;
CK KEY TYPE keyType = CKK RSA;
CK UTF8CHAR label[] = "An RSA public key object";
CK BYTE modulus[] = \{...\};
CK BYTE exponent[] = \{...\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
   {CKA CLASS, &class, sizeof(class)},
   {CKA KEY TYPE, &keyType, sizeof(keyType)},
   {CKA TOKEN, &true, sizeof(true)},
   {CKA LABEL, label, sizeof(label)-1},
   {CKA WRAP, &true, sizeof(true)},
   {CKA ENCRYPT, &true, sizeof(true)},
   {CKA MODULUS, modulus, sizeof(modulus)},
   {CKA PUBLIC EXPONENT, exponent, sizeof(exponent)}
};
```

## 2.1.3 RSA private key objects

RSA private key objects (object class **CKO\_PRIVATE\_KEY**, key type **CKK\_RSA**) hold RSA private keys. The following table defines the RSA private key object attributes, in addition to the common attributes defined for this object class:

Table 3, RSA Private Key Object Attributes

Attribute	Data type	Meaning
CKA_MODULUS <sup>1,4,6</sup>	Big integer	Modulus n
CKA_PUBLIC_EXPONENT <sup>4,6</sup>	Big integer	Public exponent e
CKA_PRIVATE_EXPONENT <sup>1,4,6,7</sup>	Big integer	Private exponent d
CKA_PRIME_1 <sup>4,6,7</sup>	Big integer	Prime p
CKA_PRIME_2 <sup>4,6,7</sup>	Big integer	Prime q
CKA_EXPONENT_1 <sup>4,6,7</sup>	Big integer	Private exponent d modulo p-1
CKA_EXPONENT_2 <sup>4,6,7</sup>	Big integer	Private exponent <i>d</i> modulo <i>q</i> -1
CKA_COEFFICIENT <sup>4,6,7</sup>	Big integer	CRT coefficient $q^{-1}$ mod $p$

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

Depending on the token, there may be limits on the length of the key components. See PKCS #1 for more information on RSA keys.

Tokens vary in what they actually store for RSA private keys. Some tokens store all of the above attributes, which can assist in performing rapid RSA computations. Other tokens might store only the **CKA\_MODULUS** and **CKA\_PRIVATE\_EXPONENT** values. Effective with version 2.40, tokens MUST also store CKA\_PUBLIC\_EXPONENT. This permits the retrieval of sufficient data to reconstitute the associated public key.

Because of this, Cryptoki is flexible in dealing with RSA private key objects. When a token generates an RSA private key, it stores whichever of the fields in Table 3 it keeps track of. Later, if an application asks for the values of the key's various attributes, Cryptoki supplies values only for attributes whose values it can obtain (*i.e.*, if Cryptoki is asked for the value of an attribute it cannot obtain, the request fails). Note that a Cryptoki implementation may or may not be able and/or willing to supply various attributes of RSA private keys which are not actually stored on the token. *E.g.*, if a particular token stores values only for the **CKA\_PRIVATE\_EXPONENT**, **CKA\_PRIME\_1**, and **CKA\_PRIME\_2** attributes, then Cryptoki is certainly *able* to report values for all the attributes above (since they can all be computed efficiently from these three values). However, a Cryptoki implementation may or may not actually do this extra computation. The only attributes from Table 3 for which a Cryptoki implementation is *required* to be able to return values are **CKA\_MODULUS** and **CKA\_PRIVATE\_EXPONENT**.

If an RSA private key object is created on a token, and more attributes from Table 3 are supplied to the object creation call than are supported by the token, the extra attributes are likely to be thrown away. If an attempt is made to create an RSA private key object on a token with insufficient attributes for that particular token, then the object creation call fails and returns CKR\_TEMPLATE\_INCOMPLETE.

Note that when generating an RSA private key, there is no **CKA\_MODULUS\_BITS** attribute specified. This is because RSA private keys are only generated as part of an RSA key *pair*, and the **CKA MODULUS BITS** attribute for the pair is specified in the template for the RSA public key.

The following is a sample template for creating an RSA private key object:

```
CK_OBJECT_CLASS class = CKO_PRIVATE_KEY;
CK_KEY_TYPE keyType = CKK_RSA;
CK_UTF8CHAR label[] = "An RSA private key object";
CK_BYTE subject[] = {\ldots\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow\rightarro
```

```
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &class, sizeof(class)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA LABEL, label, sizeof(label)-1},
  {CKA SUBJECT, subject, sizeof(subject)},
  {CKA ID, id, sizeof(id)},
  {CKA SENSITIVE, &true, sizeof(true)},
  {CKA DECRYPT, &true, sizeof(true)},
  {CKA SIGN, &true, sizeof(true)},
  {CKA MODULUS, modulus, sizeof(modulus)},
  {CKA PUBLIC EXPONENT, publicExponent,
        sizeof(publicExponent)},
  {CKA PRIVATE EXPONENT, privateExponent,
        sizeof(privateExponent) },
  {CKA PRIME 1, prime1, sizeof(prime1)},
  {CKA PRIME 2, prime2, sizeof(prime2)},
  {CKA EXPONENT 1, exponent1, sizeof(exponent1)},
  {CKA EXPONENT 2, exponent2, sizeof(exponent2)},
  {CKA COEFFICIENT, coefficient, sizeof(coefficient)}
};
```

## 2.1.4 PKCS #1 RSA key pair generation

The PKCS #1 RSA key pair generation mechanism, denoted **CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN**, is a key pair generation mechanism based on the RSA public-key cryptosystem, as defined in PKCS #1. It does not have a parameter.

The mechanism generates RSA public/private key pairs with a particular modulus length in bits and public exponent, as specified in the **CKA\_MODULUS\_BITS** and **CKA\_PUBLIC\_EXPONENT** attributes of the template for the public key. The **CKA\_PUBLIC\_EXPONENT** may be omitted in which case the mechanism shall supply the public exponent attribute using the default value of 0x10001 (65537). Specific implementations may use a random value or an alternative default if 0x10001 cannot be used by the token.

Note: Implementations strictly compliant with version 2.11 or prior versions may generate an error if this attribute is omitted from the template. Experience has shown that many implementations of 2.11 and prior did allow the **CKA\_PUBLIC\_EXPONENT** attribute to be omitted from the template, and behaved as described above. The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**,

**CKA\_MODULUS**, and **CKA\_PUBLIC\_EXPONENT** attributes to the new public key.

**CKA\_PUBLIC\_EXPONENT** will be copied from the template if supplied.

**CKR\_TEMPLATE\_INCONSISTENT** shall be returned if the implementation cannot use the supplied exponent value. It contributes the **CKA\_CLASS** and **CKA\_KEY\_TYPE** attributes to the new private key; it may also contribute some of the following attributes to the new private key: **CKA\_MODULUS**,

CKA\_PUBLIC\_EXPONENT, CKA\_PRIVATE\_EXPONENT, CKA\_PRIME\_1, CKA\_PRIME\_2, CKA\_EXPONENT\_1, CKA\_EXPONENT\_2, CKA\_COEFFICIENT. Other attributes supported by the RSA public and private key types (specifically, the flags indicating which functions the keys support) may also be specified in the templates for the keys, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

## 2.1.5 X9.31 RSA key pair generation

The X9.31 RSA key pair generation mechanism, denoted **CKM\_RSA\_X9\_31\_KEY\_PAIR\_GEN**, is a key pair generation mechanism based on the RSA public-key cryptosystem, as defined in X9.31.

It does not have a parameter.

The mechanism generates RSA public/private key pairs with a particular modulus length in bits and public exponent, as specified in the **CKA\_MODULUS\_BITS** and **CKA\_PUBLIC\_EXPONENT** attributes of the template for the public key.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_MODULUS, and CKA\_PUBLIC\_EXPONENT attributes to the new public key. It contributes the CKA\_CLASS and CKA\_KEY\_TYPE attributes to the new private key; it may also contribute some of the following attributes to the new private key: CKA\_MODULUS, CKA\_PUBLIC\_EXPONENT, CKA\_PRIVATE\_EXPONENT, CKA\_PRIME\_1, CKA\_PRIME\_2, CKA\_EXPONENT\_1, CKA\_EXPONENT\_2, CKA\_COEFFICIENT. Other attributes supported by the RSA public and private key types (specifically, the flags indicating which functions the keys support) may also be specified in the templates for the keys, or else are assigned default initial values. Unlike the CKM\_RSA\_PKCS\_KEY\_PAIR\_GEN mechanism, this mechanism is guaranteed to generate *p* and *q* values, CKA\_PRIME\_1 and CKA\_PRIME\_2 respectively, that meet the strong primes requirement of X9.31.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

#### 2.1.6 PKCS #1 v1.5 RSA

The PKCS #1 v1.5 RSA mechanism, denoted **CKM\_RSA\_PKCS**, is a multi-purpose mechanism based on the RSA public-key cryptosystem and the block formats initially defined in PKCS #1 v1.5. It supports single-part encryption and decryption; single-part signatures and verification with and without message recovery; key wrapping; and key unwrapping. This mechanism corresponds only to the part of PKCS #1 v1.5 that involves RSA; it does not compute a message digest or a DigestInfo encoding as specified for the md2withRSAEncryption and md5withRSAEncryption algorithms in PKCS #1 v1.5.

This mechanism does not have a parameter.

This mechanism can wrap and unwrap any secret key of appropriate length. Of course, a particular token may not be able to wrap/unwrap every appropriate-length secret key that it supports. For wrapping, the "input" to the encryption operation is the value of the **CKA\_VALUE** attribute of the key that is wrapped; similarly for unwrapping. The mechanism does not wrap the key type or any other information about the key, except the key length; the application must convey these separately. In particular, the mechanism contributes only the **CKA\_CLASS** and **CKA\_VALUE** (and **CKA\_VALUE\_LEN**, if the key has it) attributes to the recovered key during unwrapping; other attributes must be specified in the template.

Constraints on key types and the length of the data are summarized in the following table. For encryption, decryption, signatures and signature verification, the input and output data may begin at the same location in memory. In the table, *k* is the length in bytes of the RSA modulus.

Table 4, PKCS #1 v1.5 RSA: Key And Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt <sup>1</sup>	RSA public key	≤ <i>k</i> -11	k	block type 02
C_Decrypt <sup>1</sup>	RSA private key	k	≤ <i>k</i> -11	block type 02
C_Sign <sup>1</sup>	RSA private key	≤ <i>k</i> -11	k	block type 01
C_SignRecover	RSA private key	≤ <i>k</i> -11	k	block type 01
C_Verify <sup>1</sup>	RSA public key	$\leq k-11, k^2$	N/A	block type 01
C_VerifyRecover	RSA public key	k	≤ <i>k</i> -11	block type 01
C_WrapKey	RSA public key	≤ <i>k</i> -11	k	block type 02
C_UnwrapKey	RSA private key	k	≤ <i>k</i> -11	block type 02

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

## 2.1.7 PKCS #1 RSA OAEP mechanism parameters

## CK\_RSA\_PKCS\_MGF\_TYPE; CK\_RSA\_PKCS\_MGF\_TYPE\_PTR

**CK\_RSA\_PKCS\_MGF\_TYPE** is used to indicate the Message Generation Function (MGF) applied to a message block when formatting a message block for the PKCS #1 OAEP encryption scheme or the PKCS #1 PSS signature scheme. It is defined as follows:

The following MGFs are defined in PKCS #1. The following table lists the defined functions.

Table 5, PKCS #1 Mask Generation Functions

Source Identifier	Value
CKG_MGF1_SHA1	0x0000001UL
CKG_MGF1_SHA224	0x00000005UL
CKG_MGF1_SHA256	0x00000002UL
CKG_MGF1_SHA384	0x0000003UL
CKG_MGF1_SHA512	0x00000004UL

CK\_RSA\_PKCS\_MGF\_TYPE\_PTR is a pointer to a CK\_RSA\_PKCS\_MGF\_TYPE.

## ◆ CK\_RSA\_PKCS\_OAEP\_SOURCE\_TYPE; CK\_RSA\_PKCS\_OAEP\_SOURCE\_TYPE\_PTR

**CK\_RSA\_PKCS\_OAEP\_SOURCE\_TYPE** is used to indicate the source of the encoding parameter when formatting a message block for the PKCS #1 OAEP encryption scheme. It is defined as follows:

The following encoding parameter sources are defined in PKCS #1. The following table lists the defined sources along with the corresponding data type for the *pSourceData* field in the **CK RSA PKCS OAEP PARAMS** structure defined below.

Table 6, PKCS #1 RSA OAEP: Encoding parameter sources

<sup>2</sup> Data length, signature length.

Source Identifier	Value	Data Type
CKZ_DATA_SPECIFIED	0x0000001UL	Array of CK_BYTE containing the value of the encoding parameter. If the parameter is empty, pSourceData must be NULL and ulSourceDataLen must be zero.

CK\_RSA\_PKCS\_OAEP\_SOURCE\_TYPE\_PTR is a pointer to a CK\_RSA\_PKCS\_OAEP\_SOURCE\_TYPE.

## ◆ CK\_RSA\_PKCS\_OAEP\_PARAMS; CK\_RSA\_PKCS\_OAEP\_PARAMS\_PTR

**CK\_RSA\_PKCS\_OAEP\_PARAMS** is a structure that provides the parameters to the **CKM RSA PKCS OAEP** mechanism. The structure is defined as follows:

```
typedef struct CK_RSA_PKCS_OAEP_PARAMS {
    CK_MECHANISM_TYPE hashAlg;
    CK_RSA_PKCS_MGF_TYPE mgf;
    CK_RSA_PKCS_OAEP_SOURCE_TYPE source;
    CK_VOID_PTR pSourceData;
    CK_ULONG ulSourceDataLen;
} CK_RSA_PKCS_OAEP_PARAMS;
```

The fields of the structure have the following meanings:

hashAlg mechanism ID of the message digest algorithm used to calculate

the digest of the encoding parameter

mgf mask generation function to use on the encoded block

source of the encoding parameter

pSourceData data used as the input for the encoding parameter source

ulSourceDataLen length of the encoding parameter source input

CK RSA PKCS OAEP PARAMS PTR is a pointer to a CK RSA PKCS OAEP PARAMS.

#### **2.1.8 PKCS #1 RSA OAEP**

The PKCS #1 RSA OAEP mechanism, denoted **CKM\_RSA\_PKCS\_OAEP**, is a multi-purpose mechanism based on the RSA public-key cryptosystem and the OAEP block format defined in PKCS #1. It supports single-part encryption and decryption; key wrapping; and key unwrapping.

It has a parameter, a **CK RSA PKCS OAEP PARAMS** structure.

This mechanism can wrap and unwrap any secret key of appropriate length. Of course, a particular token may not be able to wrap/unwrap every appropriate-length secret key that it supports. For wrapping, the "input" to the encryption operation is the value of the **CKA\_VALUE** attribute of the key that is wrapped; similarly for unwrapping. The mechanism does not wrap the key type or any other information about the key, except the key length; the application must convey these separately. In particular, the mechanism contributes only the **CKA\_CLASS** and **CKA\_VALUE** (and **CKA\_VALUE\_LEN**, if the key has it) attributes to the recovered key during unwrapping; other attributes must be specified in the template.

Constraints on key types and the length of the data are summarized in the following table. For encryption and decryption, the input and output data may begin at the same location in memory. In the table, *k* is the length in bytes of the RSA modulus, and *hLen* is the output length of the message digest algorithm specified by the *hashAlg* field of the **CK RSA PKCS OAEP PARAMS** structure.

Table 7, PKCS #1 RSA OAEP: Key And Data Length

Function	Key type	Input length	Output length
C_Encrypt <sup>1</sup>	RSA public key	≤ <b>k-2-2</b> hLen	k
C_Decrypt <sup>1</sup>	RSA private key	k	≤ <i>k</i> -2-2 <i>h</i> Len
C_WrapKey	RSA public key	≤ <b>k-2-2</b> hLen	k
C_UnwrapKey	RSA private key	k	≤ <b>k-2-2</b> hLen

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

## 2.1.9 PKCS #1 RSA PSS mechanism parameters

### ◆ CK RSA PKCS PSS PARAMS; CK RSA PKCS PSS PARAMS PTR

**CK\_RSA\_PKCS\_PSS\_PARAMS** is a structure that provides the parameters to the **CKM RSA PKCS PSS** mechanism. The structure is defined as follows:

```
typedef struct CK_RSA_PKCS_PSS_PARAMS {
    CK_MECHANISM_TYPE hashAlg;
    CK_RSA_PKCS_MGF_TYPE mgf;
    CK_ULONG sLen;
} CK_RSA_PKCS_PSS_PARAMS;
```

The fields of the structure have the following meanings:

hashAlg

hash algorithm used in the PSS encoding; if the signature mechanism does not include message hashing, then this value must be the mechanism used by the application to generate the message hash; if the signature mechanism includes hashing, then this value must match the hash algorithm indicated by the signature mechanism

mqf

mask generation function to use on the encoded block

sLen

length, in bytes, of the salt value used in the PSS encoding; typical values are the length of the message hash and zero

CK RSA PKCS PSS PARAMS PTR is a pointer to a CK RSA PKCS PSS PARAMS.

## 2.1.10 PKCS #1 RSA PSS

The PKCS #1 RSA PSS mechanism, denoted **CKM\_RSA\_PKCS\_PSS**, is a mechanism based on the RSA public-key cryptosystem and the PSS block format defined in PKCS #1. It supports single-part signature generation and verification without message recovery. This mechanism corresponds only to the part of PKCS #1 that involves block formatting and RSA, given a hash value; it does not compute a hash value on the message to be signed.

It has a parameter, a **CK\_RSA\_PKCS\_PSS\_PARAMS** structure. The *sLen* field must be less than or equal to  $k^*$ -2-hLen and hLen is the length of the input to the C\_Sign or C\_Verify function.  $k^*$  is the length in bytes of the RSA modulus, except if the length in bits of the RSA modulus is one more than a multiple of 8, in which case  $k^*$  is one less than the length in bytes of the RSA modulus.

Constraints on key types and the length of the data are summarized in the following table. In the table, k is the length in bytes of the RSA.

Table 8, PKCS #1 RSA PSS: Key And Data Length

Function	Key type	Input length	Output length
C_Sign <sup>1</sup>	RSA private key	hLen	k
C_Verify <sup>1</sup>	RSA public key	hLen, k	N/A

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

### 2.1.11 ISO/IEC 9796 RSA

The ISO/IEC 9796 RSA mechanism, denoted **CKM\_RSA\_9796**, is a mechanism for single-part signatures and verification with and without message recovery based on the RSA public-key cryptosystem and the block formats defined in ISO/IEC 9796 and its annex A.

This mechanism processes only byte strings, whereas ISO/IEC 9796 operates on bit strings. Accordingly, the following transformations are performed:

- Data is converted between byte and bit string formats by interpreting the most-significant bit of the leading byte of the byte string as the leftmost bit of the bit string, and the least-significant bit of the trailing byte of the byte string as the rightmost bit of the bit string (this assumes the length in bits of the data is a multiple of 8).
- A signature is converted from a bit string to a byte string by padding the bit string on the left with 0 to
  7 zero bits so that the resulting length in bits is a multiple of 8, and converting the resulting bit string
  as above; it is converted from a byte string to a bit string by converting the byte string as above, and
  removing bits from the left so that the resulting length in bits is the same as that of the RSA modulus.

This mechanism does not have a parameter.

Constraints on key types and the length of input and output data are summarized in the following table. In the table, k is the length in bytes of the RSA modulus.

Table 9, ISO/IEC 9796 RSA: Key And Data Length

Function	Key type	Input length	Output length
C_Sign <sup>1</sup>	RSA private key	≤ <b></b> [ <i>k</i> /2]	k
C_SignRecover	RSA private key	≤ <b>_</b> <i>k</i> /2 <b>_</b>	k
C_Verify <sup>1</sup>	RSA public key	$\leq \lfloor k/2 \rfloor, k^2$	N/A
C_VerifyRecover	RSA public key	k	≤ <b>_ k</b> /2 <b>_</b>

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

## 2.1.12 X.509 (raw) RSA

The X.509 (raw) RSA mechanism, denoted **CKM\_RSA\_X\_509**, is a multi-purpose mechanism based on the RSA public-key cryptosystem. It supports single-part encryption and decryption; single-part signatures and verification with and without message recovery; key wrapping; and key unwrapping. All these operations are based on so-called "raw" RSA, as assumed in X.509.

"Raw" RSA as defined here encrypts a byte string by converting it to an integer, most-significant byte first, applying "raw" RSA exponentiation, and converting the result to a byte string, most-significant byte first. The input string, considered as an integer, must be less than the modulus; the output string is also less than the modulus.

<sup>2</sup> Data length, signature length.

<sup>2</sup> Data length, signature length.

This mechanism does not have a parameter.

This mechanism can wrap and unwrap any secret key of appropriate length. Of course, a particular token may not be able to wrap/unwrap every appropriate-length secret key that it supports. For wrapping, the "input" to the encryption operation is the value of the **CKA\_VALUE** attribute of the key that is wrapped; similarly for unwrapping. The mechanism does not wrap the key type, key length, or any other information about the key; the application must convey these separately, and supply them when unwrapping the key.

Unfortunately, X.509 does not specify how to perform padding for RSA encryption. For this mechanism, padding should be performed by prepending plaintext data with 0-valued bytes. In effect, to encrypt the sequence of plaintext bytes  $b_1$   $b_2$  ...  $b_n$  ( $n \le k$ ), Cryptoki forms  $P = 2^{n-1}b_1 + 2^{n-2}b_2 + ... + b_n$ . This number must be less than the RSA modulus. The k-byte ciphertext (k is the length in bytes of the RSA modulus) is produced by raising P to the RSA public exponent modulo the RSA modulus. Decryption of a k-byte ciphertext C is accomplished by raising C to the RSA private exponent modulo the RSA modulus, and returning the resulting value as a sequence of exactly k bytes. If the resulting plaintext is to be used to produce an unwrapped key, then however many bytes are specified in the template for the length of the key are taken *from the end* of this sequence of bytes.

Technically, the above procedures may differ very slightly from certain details of what is specified in X.509.

Executing cryptographic operations using this mechanism can result in the error returns CKR\_DATA\_INVALID (if plaintext is supplied which has the same length as the RSA modulus and is numerically at least as large as the modulus) and CKR\_ENCRYPTED\_DATA\_INVALID (if ciphertext is supplied which has the same length as the RSA modulus and is numerically at least as large as the modulus).

Constraints on key types and the length of input and output data are summarized in the following table. In the table, k is the length in bytes of the RSA modulus.

Function	Key type	Input length	Output length
C_Encrypt <sup>1</sup>	RSA public key	≤ <b>k</b>	k
C_Decrypt <sup>1</sup>	RSA private key	k	k
C Sign <sup>1</sup>	DSA privato kov	2 le	//

RSA private key

Table 10, X.509 (Raw) RSA: Key And Data Length

C_Decrypt <sup>1</sup>	RSA private key	k	k
C_Sign <sup>1</sup>	RSA private key	≤ <b>k</b>	k
C_SignRecover	RSA private key	≤ <b>k</b>	k
C_Verify <sup>1</sup>	RSA public key	$\leq k, k^2$	N/A
C_VerifyRecover	RSA public key	k	k
C_WrapKey	RSA public key	≤ <b>k</b>	k

C\_UnwrapKey

1 Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

 $\leq k$  (specified in template)

k

This mechanism is intended for compatibility with applications that do not follow the PKCS #1 or ISO/IEC 9796 block formats.

#### 2.1.13 ANSI X9.31 RSA

The ANSI X9.31 RSA mechanism, denoted **CKM\_RSA\_X9\_31**, is a mechanism for single-part signatures and verification without message recovery based on the RSA public-key cryptosystem and the block formats defined in ANSI X9.31.

This mechanism applies the header and padding fields of the hash encapsulation. The trailer field must be applied by the application.

<sup>2</sup> Data length, signature length.

This mechanism processes only byte strings, whereas ANSI X9.31 operates on bit strings. Accordingly, the following transformations are performed:

- Data is converted between byte and bit string formats by interpreting the most-significant bit of the leading byte of the byte string as the leftmost bit of the bit string, and the least-significant bit of the trailing byte of the byte string as the rightmost bit of the bit string (this assumes the length in bits of the data is a multiple of 8).
- A signature is converted from a bit string to a byte string by padding the bit string on the left with 0 to
  7 zero bits so that the resulting length in bits is a multiple of 8, and converting the resulting bit string
  as above; it is converted from a byte string to a bit string by converting the byte string as above, and
  removing bits from the left so that the resulting length in bits is the same as that of the RSA modulus.

This mechanism does not have a parameter.

Constraints on key types and the length of input and output data are summarized in the following table. In the table, *k* is the length in bytes of the RSA modulus. For all operations, the *k* value must be at least 128 and a multiple of 32 as specified in ANSI X9.31.

Table 11.	ANSI X9.31	RSA: Kev	And Data	Lenath

Function	Key type	Input length	Output length
C_Sign <sup>1</sup>	RSA private key	≤ <i>k</i> -2	k
C_Verify <sup>1</sup>	RSA public key	$\leq k-2, k^2$	N/A

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

# 2.1.14 PKCS #1 v1.5 RSA signature with MD2, MD5, SHA-1, SHA-256, SHA-384, SHA-512, RIPE-MD 128 or RIPE-MD 160

The PKCS #1 v1.5 RSA signature with MD2 mechanism, denoted **CKM\_MD2\_RSA\_PKCS**, performs single- and multiple-part digital signatures and verification operations without message recovery. The operations performed are as described initially in PKCS #1 v1.5 with the object identifier md2WithRSAEncryption, and as in the scheme RSASSA-PKCS1-v1\_5 in the current version of PKCS #1, where the underlying hash function is MD2.

Similarly, the PKCS #1 v1.5 RSA signature with MD5 mechanism, denoted **CKM\_MD5\_RSA\_PKCS**, performs the same operations described in PKCS #1 with the object identifier md5WithRSAEncryption. The PKCS #1 v1.5 RSA signature with SHA-1 mechanism, denoted **CKM\_SHA1\_RSA\_PKCS**, performs the same operations, except that it uses the hash function SHA-1 with object identifier sha1WithRSAEncryption.

Likewise, the PKCS #1 v1.5 RSA signature with SHA-256, SHA-384, and SHA-512 mechanisms, denoted CKM\_SHA256\_RSA\_PKCS, CKM\_SHA384\_RSA\_PKCS, and CKM\_SHA512\_RSA\_PKCS respectively, perform the same operations using the SHA-256, SHA-384 and SHA-512 hash functions with the object identifiers sha256WithRSAEncryption, sha384WithRSAEncryption and sha512WithRSAEncryption respectively.

The PKCS #1 v1.5 RSA signature with RIPEMD-128 or RIPEMD-160, denoted **CKM\_RIPEMD128\_RSA\_PKCS** and **CKM\_RIPEMD160\_RSA\_PKCS** respectively, perform the same operations using the RIPE-MD 128 and RIPE-MD 160 hash functions.

None of these mechanisms has a parameter.

Constraints on key types and the length of the data for these mechanisms are summarized in the following table. In the table, k is the length in bytes of the RSA modulus. For the PKCS #1 v1.5 RSA signature with MD2 and PKCS #1 v1.5 RSA signature with MD5 mechanisms, k must be at least 27; for the PKCS #1 v1.5 RSA signature with SHA-1 mechanism, k must be at least 31, and so on for other underlying hash functions, where the minimum is always 11 bytes more than the length of the hash value.

<sup>2</sup> Data length, signature length.

Table 12, PKCS #1 v1.5 RSA Signatures with Various Hash Functions: Key And Data Length

Function	Key type	Input length	Output length	Comments
C_Sign	RSA private key	any	k	block type 01
C_Verify	RSA public key	any, <i>k</i> ²	N/A	block type 01

<sup>2</sup> Data length, signature length.

For these mechanisms, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

## 2.1.15 PKCS #1 v1.5 RSA signature with SHA-224

The PKCS #1 v1.5 RSA signature with SHA-224 mechanism, denoted **CKM\_SHA224\_RSA\_PKCS**, performs similarly as the other **CKM\_SHAX\_RSA\_PKCS** mechanisms but uses the SHA-224 hash function.

## 2.1.16 PKCS #1 RSA PSS signature with SHA-224

The PKCS #1 RSA PSS signature with SHA-224 mechanism, denoted **CKM\_SHA224\_RSA\_PKCS\_PSS**, performs similarly as the other **CKM\_SHAX\_RSA\_PSS** mechanisms but uses the SHA-224 hash function.

# 2.1.17 PKCS #1 RSA PSS signature with SHA-1, SHA-256, SHA-384 or SHA-512

The PKCS #1 RSA PSS signature with SHA-1 mechanism, denoted **CKM\_SHA1\_RSA\_PKCS\_PSS**, performs single- and multiple-part digital signatures and verification operations without message recovery. The operations performed are as described in PKCS #1 with the object identifier id-RSASSA-PSS, i.e., as in the scheme RSASSA-PSS in PKCS #1 where the underlying hash function is SHA-1.

The PKCS #1 RSA PSS signature with SHA-256, SHA-384, and SHA-512 mechanisms, denoted CKM\_SHA256\_RSA\_PKCS\_PSS, CKM\_SHA384\_RSA\_PKCS\_PSS, and CKM\_SHA512\_RSA\_PKCS\_PSS respectively, perform the same operations using the SHA-256, SHA-384 and SHA-512 hash functions.

The mechanisms have a parameter, a  $CK_RSA_PKCS_PSS_PARAMS$  structure. The *sLen* field must be less than or equal to  $k^*$ -2-*hLen* where *hLen* is the length in bytes of the hash value.  $k^*$  is the length in bytes of the RSA modulus, except if the length in bits of the RSA modulus is one more than a multiple of 8, in which case  $k^*$  is one less than the length in bytes of the RSA modulus.

Constraints on key types and the length of the data are summarized in the following table. In the table, *k* is the length in bytes of the RSA modulus.

Table 13, PKCS #1 RSA PSS Signatures with Various Hash Functions: Key And Data Length

Function	Key type	Input length	Output length
C_Sign	RSA private key	any	k
C_Verify	RSA public key	any, <i>k</i> ²	N/A

<sup>2</sup> Data length, signature length.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

## 2.1.18 ANSI X9.31 RSA signature with SHA-1

The ANSI X9.31 RSA signature with SHA-1 mechanism, denoted **CKM\_SHA1\_RSA\_X9\_31**, performs single- and multiple-part digital signatures and verification operations without message recovery. The operations performed are as described in ANSI X9.31.

This mechanism does not have a parameter.

Constraints on key types and the length of the data for these mechanisms are summarized in the following table. In the table, *k* is the length in bytes of the RSA modulus. For all operations, the *k* value must be at least 128 and a multiple of 32 as specified in ANSI X9.31.

Table 14, ANSI X9.31 RSA Signatures with SHA-1: Key And Data Length

Function	Key type	Input length	Output length
C_Sign	RSA private key	any	k
C_Verify	RSA public key	any, <i>k</i> ²	N/A

<sup>2</sup> Data length, signature length.

For these mechanisms, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

#### 2.1.19 TPM 1.1b and TPM 1.2 PKCS #1 v1.5 RSA

The TPM 1.1b and TPM 1.2 PKCS #1 v1.5 RSA mechanism, denoted **CKM\_RSA\_PKCS\_TPM\_1\_1**, is a multi-use mechanism based on the RSA public-key cryptosystem and the block formats initially defined in PKCS #1 v1.5, with additional formatting rules defined in TCPA TPM Specification Version 1.1b. Additional formatting rules remained the same in TCG TPM Specification 1.2 The mechanism supports single-part encryption and decryption; key wrapping; and key unwrapping.

This mechanism does not have a parameter. It differs from the standard PKCS#1 v1.5 RSA encryption mechanism in that the plaintext is wrapped in a TCPA\_BOUND\_DATA (TPM\_BOUND\_DATA for TPM 1.2) structure before being submitted to the PKCS#1 v1.5 encryption process. On encryption, the version field of the TCPA\_BOUND\_DATA (TPM\_BOUND\_DATA for TPM 1.2) structure must contain 0x01, 0x01, 0x00, 0x00. On decryption, any structure of the form 0x01, 0x01, 0xXX, 0xYY may be accepted.

This mechanism can wrap and unwrap any secret key of appropriate length. Of course, a particular token may not be able to wrap/unwrap every appropriate-length secret key that it supports. For wrapping, the "input" to the encryption operation is the value of the **CKA\_VALUE** attribute of the key that is wrapped; similarly for unwrapping. The mechanism does not wrap the key type or any other information about the key, except the key length; the application must convey these separately. In particular, the mechanism contributes only the **CKA\_CLASS** and **CKA\_VALUE** (and **CKA\_VALUE\_LEN**, if the key has it) attributes to the recovered key during unwrapping; other attributes must be specified in the template.

Constraints on key types and the length of the data are summarized in the following table. For encryption and decryption, the input and output data may begin at the same location in memory. In the table, k is the length in bytes of the RSA modulus.

Table 15, TPM 1.1b and TPM 1.2 PKCS #1 v1.5 RSA: Key And Data Length

Function	Key type	Input length	Output length	
C_Encrypt <sup>1</sup>	RSA public key	≤ <i>k</i> -11-5	k	
C_Decrypt <sup>1</sup>	RSA private key	k	≤ <i>k</i> -11-5	
C_WrapKey	RSA public key	≤ <i>k</i> -11-5	k	
C_UnwrapKey	RSA private key	k	≤ <i>k</i> -11-5	

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

#### 2.1.20 TPM 1.1b and TPM 1.2 PKCS #1 RSA OAEP

The TPM 1.1b and TPM 1.2 PKCS #1 RSA OAEP mechanism, denoted **CKM\_RSA\_PKCS\_OAEP\_TPM\_1\_1**, is a multi-purpose mechanism based on the RSA public-key cryptosystem and the OAEP block format defined in PKCS #1, with additional formatting defined in TCPA

TPM Specification Version 1.1b. Additional formatting rules remained the same in TCG TPM Specification 1.2. The mechanism supports single-part encryption and decryption; key wrapping; and key unwrapping.

This mechanism does not have a parameter. It differs from the standard PKCS#1 OAEP RSA encryption mechanism in that the plaintext is wrapped in a TCPA\_BOUND\_DATA (TPM\_BOUND\_DATA for TPM 1.2) structure before being submitted to the encryption process and that all of the values of the parameters that are passed to a standard CKM\_RSA\_PKCS\_OAEP operation are fixed. On encryption, the version field of the TCPA\_BOUND\_DATA (TPM\_BOUND\_DATA for TPM 1.2) structure must contain 0x01, 0x01, 0x00, 0x00. On decryption, any structure of the form 0x01, 0x01, 0xXX, 0xYY may be accepted.

This mechanism can wrap and unwrap any secret key of appropriate length. Of course, a particular token may not be able to wrap/unwrap every appropriate-length secret key that it supports. For wrapping, the "input" to the encryption operation is the value of the **CKA\_VALUE** attribute of the key that is wrapped; similarly for unwrapping. The mechanism does not wrap the key type or any other information about the key, except the key length; the application must convey these separately. In particular, the mechanism contributes only the **CKA\_CLASS** and **CKA\_VALUE** (and **CKA\_VALUE\_LEN**, if the key has it) attributes to the recovered key during unwrapping; other attributes must be specified in the template.

Constraints on key types and the length of the data are summarized in the following table. For encryption and decryption, the input and output data may begin at the same location in memory. In the table, k is the length in bytes of the RSA modulus.

Table 16, TPM	1.1b and TPM 1.2	PKCS #1 RSA	OAEP: Key And	Data Length

Function	Key type	Input length	Output length
C_Encrypt <sup>1</sup>	RSA public key	≤ <i>k</i> -2-40-5	k
C_Decrypt <sup>1</sup>	RSA private key	k	≤ <i>k</i> -2-40-5
C_WrapKey	RSA public key	≤ <i>k</i> -2-40-5	k
C_UnwrapKey	RSA private key	k	≤ <i>k</i> -2-40-5

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of RSA modulus sizes, in bits.

#### 2.1.21 RSA AES KEY WRAP

The RSA AES key wrap mechanism, denoted **CKM\_RSA\_AES\_KEY\_WRAP**, is a mechanism based on the RSA public-key cryptosystem and the AES key wrap mechanism. It supports single-part key wrapping; and key unwrapping.

It has a parameter, a CK RSA AES KEY WRAP PARAMS structure.

The mechanism can wrap and unwrap a target asymmetric key of any length and type using an RSA key.

- A temporary AES key is used for wrapping the target key using CKM\_AES\_KEY\_WRAP\_PAD mechanism.
- The temporary AES key is wrapped with the wrapping RSA key using CKM\_RSA\_PKCS\_OAEP mechanism.

For wrapping, the mechanism -

- Generates temporary random AES key of ulAESKeyBits length. This key is not accessible to the user - no handle is returned.
- Wraps the AES key with the wrapping RSA key using CKM\_RSA\_PKCS\_OAEP with parameters of OAEPParams.

- Wraps the target key with the temporary AES key using CKM\_AES\_KEY\_WRAP\_PAD (RFC5649).
- Zeroizes the temporary AES key
- Concatenates two wrapped keys and outputs the concatenated blob.

The recommended format for an asymmetric target key being wrapped is as a PKCS8 PrivateKeyInfo

The use of Attributes in the PrivateKeyInfo structure is OPTIONAL. In case of conflicts between the object attribute template, and Attributes in the PrivateKeyInfo structure, an error should be thrown

For unwrapping, the mechanism -

- Splits the input into two parts. The first is the wrapped AES key, and the second is the wrapped target key. The length of the first part is equal to the length of the unwrapping RSA key.
- Un-wraps the temporary AES key from the first part with the private RSA key using CKM\_RSA\_PKCS\_OAEP with parameters of OAEPParams.
- Un-wraps the target key from the second part with the temporary AES key using CKM AES KEY WRAP PAD (RFC5649).
- Zeroizes the temporary AES key.
- Returns the handle to the newly unwrapped target key.

Table 17, CKM\_RSA\_AES\_KEY\_WRAP Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_RSA_AES_KEY_WRAP						<b>√</b>	
<sup>1</sup> SR = SignRecover, VR = VerifyRecover			•	•	•	•	

## 2.1.22 RSA AES KEY WRAP mechanism parameters

♦ CK RSA AES KEY WRAP PARAMS: CK RSA AES KEY WRAP PARAMS PTR

**CK\_RSA\_AES\_KEY\_WRAP\_PARAMS** is a structure that provides the parameters to the **CKM\_RSA\_AES\_KEY\_WRAP** mechanism. It is defined as follows:

The fields of the structure have the following meanings:

```
ulAESKeyBits length of the temporary AES key in bits. Can be only 128, 192 or 256.
```

pOAEPParams pointer to the parameters of the temporary AES key wrapping. See also the description of PKCS #1 RSA OAEP mechanism parameters.

CK\_RSA\_AES\_KEY\_WRAP\_PARAMS\_PTR is a pointer to a CK\_RSA\_AES\_KEY\_WRAP\_PARAMS.

## 2.1.23 FIPS 186-4

When CKM\_RSA\_PKCS is operated in FIPS mode, the length of the modulus SHALL only be 1024, 2048, or 3072 bits.

#### **2.2 DSA**

Table 18, DSA Mechanisms vs. Functions

				Func	tions		
Mechanism	Encrypt & Decrypt	Sign & Verif y	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_DSA_KEY_PAIR_GEN					✓		
CKM_DSA_PARAMETER_GEN					✓		
CKM_DSA_PROBABALISTIC_P ARAMETER_GEN					<b>√</b>		
CKM_DSA_SHAWE_TAYLOR_ PARAMETER_GEN					<b>√</b>		
CKM_DSA_FIPS_G_GEN					✓		
CKM_DSA		√2					
CKM_DSA_SHA1		✓					
CKM_DSA_SHA224		✓					
CKM_DSA_SHA256		✓					
CKM_DSA_SHA384		✓					
CKM_DSA_SHA512		✓					

#### 2.2.1 Definitions

This section defines the key type "CKK\_DSA" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of DSA key objects.

#### Mechanisms:

CKM\_DSA\_KEY\_PAIR\_GEN

CKM DSA

CKM\_DSA\_SHA1

CKM DSA SHA224

CKM\_DSA\_SHA256

CKM\_DSA\_SHA384

CKM DSA SHA512

CKM DSA PARAMETER GEN

CKM\_DSA\_PROBABLISTIC\_PARAMETER\_GEN

CKM DSA SHAWE TAYLOR PARAMETER GEN

CKM\_DSA\_FIPS\_G\_GEN

CK\_DSA\_PARAMETER\_GEN\_PARAM

CK\_DSA\_PARAMETER\_GEN\_PARAM is a structure which provides and returns parameters for the NIST FIPS 186-4 parameter generating algorithms.

The fields of the structure have the following meanings:

hash Mechanism value for the base hash used in PQG generation, Valid

values are CKM SHA1, CKM SHA224, CKM SHA256, CKM SHA384,

CKM SHA512.

pSeed Seed value used to generate PQ and G. This value is returned by

CKM\_DSA\_PROBABLISTIC\_PARAMETER\_GEN,

CKM\_DSA\_SHAWE\_TAYLOR\_PARAMETER\_GEN, and passed into

CKM\_DSA\_FIPS\_G\_GEN.

ulSeedLen Length of seed value.

*ullndex* Index value for generating G. Input for CKM\_DSA\_FIPS\_G\_GEN.

Ignored by CKM\_DSA\_PROBABALISTIC\_PARAMETER\_GEN and

CKM DSA SHAWE TAYLOR PARAMETER GEN.

## 2.2.2 DSA public key objects

DSA public key objects (object class **CKO\_PUBLIC\_KEY**, key type **CKK\_DSA**) hold DSA public keys. The following table defines the DSA public key object attributes, in addition to the common attributes defined for this object class:

Table 19, DSA Public Key Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,3</sup>	Big integer	Prime <i>p</i> (512 to 3072 bits, in steps of 64 bits)
CKA_SUBPRIME <sup>1,3</sup>	Big integer	Subprime <i>q</i> (160, 224 bits, or 256 bits)
CKA_BASE <sup>1,3</sup>	Big integer	Base g
CKA_VALUE <sup>1,4</sup>	Big integer	Public value <i>y</i>

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME**, **CKA\_SUBPRIME** and **CKA\_BASE** attribute values are collectively the "DSA domain parameters". See FIPS PUB 186-4 for more information on DSA keys.

The following is a sample template for creating a DSA public key object:

```
CK_OBJECT_CLASS class = CKO_PUBLIC_KEY;
CK_KEY_TYPE keyType = CKK_DSA;
CK_UTF8CHAR label[] = "A DSA public key object";
CK_BYTE prime[] = {...};
CK_BYTE subprime[] = {...};
CK_BYTE base[] = {...};
CK_BYTE value[] = {...};
CK_BYTE value[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
```

```
{CKA_PRIME, prime, sizeof(prime)},
{CKA_SUBPRIME, subprime, sizeof(subprime)},
{CKA_BASE, base, sizeof(base)},
{CKA_VALUE, value, sizeof(value)}
};
```

## 2.2.3 DSA Key Restrictions

FIPS PUB 186-4 specifies permitted combinations of prime and sub-prime lengths. They are:

Prime: 1024 bits, Subprime: 160
Prime: 2048 bits, Subprime: 224
Prime: 2048 bits, Subprime: 256
Prime: 3072 bits, Subprime: 256

Earlier versions of FIPS 186 permitted smaller prime lengths, and those are included here for backwards compatibility. An implementation that is compliant to FIPS 186-4 does not permit the use of primes of any length less than 1024 bits.

## 2.2.4 DSA private key objects

DSA private key objects (object class **CKO\_PRIVATE\_KEY**, key type **CKK\_DSA**) hold DSA private keys. The following table defines the DSA private key object attributes, in addition to the common attributes defined for this object class:

Table 20, DSA Private Key Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,4,6</sup>	Big integer	Prime <i>p</i> (512 to 1024 bits, in steps of 64 bits)
CKA_SUBPRIME <sup>1,4,6</sup>	Big integer	Subprime <i>q</i> (160 bits, 224 bits, or 256 bits)
CKA_BASE <sup>1,4,6</sup>	Big integer	Base g
CKA_VALUE <sup>1,4,6,7</sup>	Big integer	Private value x

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME**, **CKA\_SUBPRIME** and **CKA\_BASE** attribute values are collectively the "DSA domain parameters". See FIPS PUB 186-4 for more information on DSA keys.

Note that when generating a DSA private key, the DSA domain parameters are *not* specified in the key's template. This is because DSA private keys are only generated as part of a DSA key *pair*, and the DSA domain parameters for the pair are specified in the template for the DSA public key.

The following is a sample template for creating a DSA private key object:

```
{CKA_KEY_TYPE, &keyType, sizeof(keyType)},
{CKA_TOKEN, &true, sizeof(true)},
{CKA_LABEL, label, sizeof(label)-1},
{CKA_SUBJECT, subject, sizeof(subject)},
{CKA_ID, id, sizeof(id)},
{CKA_SENSITIVE, &true, sizeof(true)},
{CKA_SIGN, &true, sizeof(true)},
{CKA_PRIME, prime, sizeof(prime)},
{CKA_SUBPRIME, subprime, sizeof(subprime)},
{CKA_BASE, base, sizeof(base)},
{CKA_VALUE, value, sizeof(value)}
};
```

## 2.2.5 DSA domain parameter objects

DSA domain parameter objects (object class **CKO\_DOMAIN\_PARAMETERS**, key type **CKK\_DSA**) hold DSA domain parameters. The following table defines the DSA domain parameter object attributes, in addition to the common attributes defined for this object class:

Table 21, DSA Domain Parameter Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,4</sup>	Big integer	Prime <i>p</i> (512 to 1024 bits, in steps of 64 bits)
CKA_SUBPRIME <sup>1,4</sup>	Big integer	Subprime <i>q</i> (160 bits, 224 bits, or 256 bits)
CKA_BASE <sup>1,4</sup>	Big integer	Base g
CKA_PRIME_BITS <sup>2,3</sup>	CK_ULONG	Length of the prime value.

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME**, **CKA\_SUBPRIME** and **CKA\_BASE** attribute values are collectively the "DSA domain parameters". See FIPS PUB 186-4 for more information on DSA domain parameters.

To ensure backwards compatibility, if **CKA\_SUBPRIME\_BITS** is not specified for a call to **C\_GenerateKey**, it takes on a default based on the value of **CKA\_PRIME\_BITS** as follows:

- If CKA\_PRIME\_BITS is less than or equal to 1024 then CKA\_SUBPRIME\_BITS shall be 160 bits
- If CKA\_PRIME\_BITS equals 2048 then CKA\_SUBPRIME\_BITS shall be 224 bits
- If CKA\_PRIME\_BITS equals 3072 then CKA\_SUBPRIME\_BITS shall be 256 bits

The following is a sample template for creating a DSA domain parameter object:

```
CK_OBJECT_CLASS class = CKO_DOMAIN_PARAMETERS;
CK_KEY_TYPE keyType = CKK_DSA;
CK_UTF8CHAR label[] = "A DSA domain parameter object";
CK_BYTE prime[] = {...};
CK_BYTE subprime[] = {...};
CK_BYTE base[] = {...};
CK_BYTE base[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_PRIME, prime, sizeof(prime)},
```

```
{CKA_SUBPRIME, subprime, sizeof(subprime)},
{CKA_BASE, base, sizeof(base)},
};
```

## 2.2.6 DSA key pair generation

The DSA key pair generation mechanism, denoted **CKM\_DSA\_KEY\_PAIR\_GEN**, is a key pair generation mechanism based on the Digital Signature Algorithm defined in FIPS PUB 186-2.

This mechanism does not have a parameter.

The mechanism generates DSA public/private key pairs with a particular prime, subprime and base, as specified in the **CKA\_PRIME**, **CKA\_SUBPRIME**, and **CKA\_BASE** attributes of the template for the public key.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, and CKA\_VALUE attributes to the new public key and the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_PRIME, CKA\_SUBPRIME, CKA\_BASE, and CKA\_VALUE attributes to the new private key. Other attributes supported by the DSA public and private key types (specifically, the flags indicating which functions the keys support) may also be specified in the templates for the keys, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

## 2.2.7 DSA domain parameter generation

The DSA domain parameter generation mechanism, denoted **CKM\_DSA\_PARAMETER\_GEN**, is a domain parameter generation mechanism based on the Digital Signature Algorithm defined in FIPS PUB 186-2.

This mechanism does not have a parameter.

The mechanism generates DSA domain parameters with a particular prime length in bits, as specified in the **CKA\_PRIME\_BITS** attribute of the template.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_PRIME, CKA\_SUBPRIME, CKA\_BASE and CKA\_PRIME\_BITS attributes to the new object. Other attributes supported by the DSA domain parameter types may also be specified in the template, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

# 2.2.8 DSA probabilistic domain parameter generation

The DSA probabilistic domain parameter generation mechanism, denoted

**CKM\_DSA\_PROBABLISTIC\_PARAMETER\_GEN**, is a domain parameter generation mechanism based on the Digital Signature Algorithm defined in FIPS PUB 186-4, section Appendix A.1.1 Generation and Validation of Probable Primes..

This mechanism takes a **CK\_DSA\_PARAMETER\_GEN\_PARAM** which supplies the base hash and returns the seed (pSeed) and the length (ulSeedLen).

The mechanism generates DSA the prime and subprime domain parameters with a particular prime length in bits, as specified in the **CKA\_PRIME\_BITS** attribute of the template and the subprime length as specified in the **CKA\_SUBPRIME\_BITS** attribute of the template.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_PRIME, CKA\_SUBPRIME, CKA\_PRIME\_BITS, and CKA\_SUBPRIME\_BITS attributes to the new object. CKA\_BASE is not set by this call. Other attributes supported by the DSA domain parameter types may also be specified in the template, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

## 2.2.9 DSA Shawe-Taylor domain parameter generation

The DSA Shawe-Taylor domain parameter generation mechanism, denoted **CKM\_DSA\_SHAWE\_TAYLOR\_PARAMETER\_GEN**, is a domain parameter generation mechanism based on the Digital Signature Algorithm defined in FIPS PUB 186-4, section Appendix A.1.2 Construction and Validation of Provable Primes p and q.

This mechanism takes a **CK\_DSA\_PARAMETER\_GEN\_PARAM** which supplies the base hash and returns the seed (pSeed) and the length (ulSeedLen).

The mechanism generates DSA the prime and subprime domain parameters with a particular prime length in bits, as specified in the CKA\_PRIME\_BITS attribute of the template and the subprime length as specified in the CKA\_SUBPRIME\_BITS attribute of the template.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_PRIME, CKA\_SUBPRIME, CKA\_PRIME\_BITS, and CKA\_SUBPRIME\_BITS attributes to the new object. CKA\_BASE is not set by this call. Other attributes supported by the DSA domain parameter types may also be specified in the template, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

## 2.2.10 DSA base domain parameter generation

The DSA base domain parameter generation mechanism, denoted **CKM\_DSA\_FIPS\_G\_GEN**, is a base parameter generation mechanism based on the Digital Signature Algorithm defined in FIPS PUB 186-4, section Appendix A.2 Generation of Generator G.

This mechanism takes a **CK\_DSA\_PARAMETER\_GEN\_PARAM** which supplies the base hash the seed (pSeed) and the length (ulSeedLen) and the index value.

The mechanism generates the DSA base with the domain parameter specified in the **CKA\_PRIME** and **CKA\_SUBPRIME** attributes of the template.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_BASE** attributes to the new object. Other attributes supported by the DSA domain parameter types may also be specified in the template, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

# 2.2.11 DSA without hashing

The DSA without hashing mechanism, denoted **CKM\_DSA**, is a mechanism for single-part signatures and verification based on the Digital Signature Algorithm defined in FIPS PUB 186-2. (This mechanism corresponds only to the part of DSA that processes the 20-byte hash value; it does not compute the hash value.)

For the purposes of this mechanism, a DSA signature is a 40-byte string, corresponding to the concatenation of the DSA values *r* and *s*, each represented most-significant byte first.

It does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 22, DSA: Key And Data Length

Function	Key type	Input length	Output length
C_Sign <sup>1</sup>	DSA private key	20, 28, 32, 48, or 64 bits	2*length of subprime
C_Verify <sup>1</sup>	DSA public key	(20, 28, 32, 48, or 64 bits), (2*length of subprime) <sup>2</sup>	N/A

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

#### 2.2.12 DSA with SHA-1

The DSA with SHA-1 mechanism, denoted **CKM\_DSA\_SHA1**, is a mechanism for single- and multiple-part signatures and verification based on the Digital Signature Algorithm defined in FIPS PUB 186-2. This mechanism computes the entire DSA specification, including the hashing with SHA-1.

For the purposes of this mechanism, a DSA signature is a 40-byte string, corresponding to the concatenation of the DSA values *r* and *s*, each represented most-significant byte first.

This mechanism does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 23, DSA with SHA-1: Key And Data Length

Function	Key type	Input length	Output length
C_Sign	DSA private key	any	2*subprime length
C_Verify	DSA public key	any, 2*subprime length <sup>2</sup>	N/A

<sup>2</sup> Data length, signature length.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

#### 2.2.13 FIPS 186-4

When CKM\_DSA is operated in FIPS mode, only the following bit lengths of p and q, represented by L and N, SHALL be used:

L = 1024, N = 160

L = 2048, N = 224

L = 2048, N = 256

L = 3072, N = 256

#### 2.2.14 DSA with SHA-224

The DSA with SHA-1 mechanism, denoted **CKM\_DSA\_SHA224**, is a mechanism for single- and multiple-part signatures and verification based on the Digital Signature Algorithm defined in FIPS PUB 186-4. This mechanism computes the entire DSA specification, including the hashing with SHA-224.

For the purposes of this mechanism, a DSA signature is a string of length 2\* subprime, corresponding to the concatenation of the DSA values r and s, each represented most-significant byte first.

<sup>2</sup> Data length, signature length.

This mechanism does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 24, DSA with SHA-244: Key And Data Length

Function	Key type	Input length	Output length
C_Sign	DSA private key	any	2*subprime length
C_Verify	DSA public key	any, 2*subprime length <sup>2</sup>	N/A

<sup>&</sup>lt;sup>2</sup> Data length, signature length.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of DSA prime sizes, in bits.

#### 2.2.15 DSA with SHA-256

The DSA with SHA-1 mechanism, denoted **CKM\_DSA\_SHA256**, is a mechanism for single- and multiple-part signatures and verification based on the Digital Signature Algorithm defined in FIPS PUB 186-4. This mechanism computes the entire DSA specification, including the hashing with SHA-256.

For the purposes of this mechanism, a DSA signature is a string of length 2\*subprime, corresponding to the concatenation of the DSA values *r* and *s*, each represented most-significant byte first.

This mechanism does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 25, DSA with SHA-256: Key And Data Length

Function	Key type	Input length	Output length
C_Sign	DSA private key	any	2*subprime length
C_Verify	DSA public key	any, 2*subprime length <sup>2</sup>	N/A

<sup>&</sup>lt;sup>2</sup> Data length, signature length.

#### 2.2.16 DSA with SHA-384

The DSA with SHA-1 mechanism, denoted **CKM\_DSA\_SHA384**, is a mechanism for single- and multiple-part signatures and verification based on the Digital Signature Algorithm defined in FIPS PUB 186-4. This mechanism computes the entire DSA specification, including the hashing with SHA-384.

For the purposes of this mechanism, a DSA signature is a string of length 2\*subprime, corresponding to the concatenation of the DSA values *r* and *s*, each represented most-significant byte first.

This mechanism does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 26, DSA with SHA-384: Key And Data Length

Function	Key type	Input length	Output length
C_Sign	DSA private key	any	2*subprime length
C_Verify	DSA public key	any, 2*subprime length <sup>2</sup>	N/A

<sup>&</sup>lt;sup>2</sup> Data length, signature length.

### 2.2.17 DSA with SHA-512

The DSA with SHA-1 mechanism, denoted **CKM\_DSA\_SHA512**, is a mechanism for single- and multiple-part signatures and verification based on the Digital Signature Algorithm defined in FIPS PUB 186-4. This mechanism computes the entire DSA specification, including the hashing with SHA-512.

For the purposes of this mechanism, a DSA signature is a string of length 2\*subprime, corresponding to the concatenation of the DSA values *r* and *s*, each represented most-significant byte first.

This mechanism does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 27, DSA with SHA-512: Key And Data Length

Function	Key type	Input length	Output length
C_Sign	DSA private key	any	2*subprime length
C_Verify	DSA public key	any, 2*subprime length <sup>2</sup>	N/A

<sup>&</sup>lt;sup>2</sup> Data length, signature length.

# 2.3 Elliptic Curve

The Elliptic Curve (EC) cryptosystem (also related to ECDSA) in this document is the one described in the ANSI X9.62 and X9.63 standards developed by the ANSI X9F1 working group.

Table 28, Elliptic Curve Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_EC_KEY_PAIR_GEN (CKM_ECDSA_KEY_PAIR_GE N)					✓		
CKM_ECDSA		✓²					
CKM_ECDSA_SHA1		✓					
CKM_ECDH1_DERIVE							✓
CKM_ECDH1_COFACTOR_DE RIVE							✓
CKM_ECMQV_DERIVE							✓
CKM_ECDH_AES_KEY_WRAP						<b>√</b>	

Table 29, Mechanism Information Flags

CKF_EC_F_P	0x00100000UL	True if the mechanism can be used with EC domain parameters over $F_p$
CKF_EC_F_2M	0x00200000UL	True if the mechanism can be used
		with EC domain parameters over $F_{2m}$
CKF_EC_ECPARAMETERS	0x00400000UL	True if the mechanism can be used with EC domain parameters of the choice ecParameters
CKF_EC_NAMEDCURVE	0x0080000UL	True if the mechanism can be used with EC domain parameters of the choice namedCurve
CKF_EC_UNCOMPRESS	0x01000000UL	True if the mechanism can be used with elliptic curve point uncompressed
CKF_EC_COMPRESS	0x02000000UL	True if the mechanism can be used with elliptic curve point compressed

In these standards, there are two different varieties of EC defined:

- 1. EC using a field with an odd prime number of elements (i.e. the finite field  $F_0$ ).
- 2. EC using a field of characteristic two (i.e. the finite field  $F_{2m}$ ).

An EC key in Cryptoki contains information about which variety of EC it is suited for. It is preferable that a Cryptoki library, which can perform EC mechanisms, be capable of performing operations with the two varieties of EC, however this is not required. The **CK\_MECHANISM\_INFO** structure **CKF\_EC\_F\_P** flag identifies a Cryptoki library supporting EC keys over  $F_p$  whereas the **CKF\_EC\_F\_2M** flag identifies a Cryptoki library supporting EC keys over  $F_{2^m}$ . A Cryptoki library that can perform EC mechanisms must set either or both of these flags for each EC mechanism.

In these specifications there are also three representation methods to define the domain parameters for an EC key. Only the **ecParameters** and the **namedCurve** choices are supported in Cryptoki. The **CK\_MECHANISM\_INFO** structure **CKF\_EC\_ECPARAMETERS** flag identifies a Cryptoki library supporting the **ecParameters** choice whereas the **CKF\_EC\_NAMEDCURVE** flag identifies a Cryptoki library supporting the **namedCurve** choice. A Cryptoki library that can perform EC mechanisms must set either or both of these flags for each EC mechanism.

In these specifications, an EC public key (i.e. EC point *Q*) or the base point *G* when the **ecParameters** choice is used can be represented as an octet string of the uncompressed form or the compressed form. The **CK\_MECHANISM\_INFO** structure **CKF\_EC\_UNCOMPRESS** flag identifies a Cryptoki library supporting the uncompressed form whereas the **CKF\_EC\_COMPRESS** flag identifies a Cryptoki library supporting the compressed form. A Cryptoki library that can perform EC mechanisms must set either or both of these flags for each EC mechanism.

Note that an implementation of a Cryptoki library supporting EC with only one variety, one representation of domain parameters or one form may encounter difficulties achieving interoperability with other implementations.

If an attempt to create, generate, derive or unwrap an EC key of an unsupported curve is made, the attempt should fail with the error code CKR\_CURVE\_NOT\_SUPPORTED. If an attempt to create, generate, derive, or unwrap an EC key with invalid or of an unsupported representation of domain parameters is made, that attempt should fail with the error code CKR\_DOMAIN\_PARAMS\_INVALID. If an attempt to create, generate, derive, or unwrap an EC key of an unsupported form is made, that attempt should fail with the error code CKR\_TEMPLATE\_INCONSISTENT.

### 2.3.1 EC Signatures

For the purposes of these mechanisms, an ECDSA signature is an octet string of even length which is at most two times nLen octets, where nLen is the length in octets of the base point order n. The signature octets correspond to the concatenation of the ECDSA values r and s, both represented as an octet string of equal length of at most nLen with the most significant byte first. If r and s have different octet length,

the shorter of both must be padded with leading zero octets such that both have the same octet length. Loosely spoken, the first half of the signature is *r* and the second half is *s*. For signatures created by a token, the resulting signature is always of length 2*nLen*. For signatures passed to a token for verification, the signature may have a shorter length but must be composed as specified before.

If the length of the hash value is larger than the bit length of n, only the leftmost bits of the hash up to the length of n will be used. Any truncation is done by the token.

Note: For applications, it is recommended to encode the signature as an octet string of length two times nLen if possible. This ensures that the application works with PKCS#11 modules which have been implemented based on an older version of this document. Older versions required all signatures to have length two times nLen. It may be impossible to encode the signature with the maximum length of two times nLen if the application just gets the integer values of r and s (i.e. without leading zeros), but does not know the base point order n, because r and s can have any value between zero and the base point order n.

#### 2.3.2 Definitions

This section defines the key type "CKK\_ECDSA" and "CKK\_EC" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

#### Mechanisms:

```
Note: CKM_ECDSA_KEY_PAIR_GEN is deprecated in v2.11
CKM_ECDSA_KEY_PAIR_GEN
CKM_EC_KEY_PAIR_GEN
CKM_ECDSA
CKM_ECDSA
CKM_ECDSA_SHA1
CKM_ECDH1_DERIVE
CKM_ECDH1_COFACTOR_DERIVE
CKM_ECMQV_DERIVE
CKM_ECDH_AES_KEY_WRAP
CKD_NULL
CKD_SHA1_KDF
```

# 2.3.3 ECDSA public key objects

EC (also related to ECDSA) public key objects (object class **CKO\_PUBLIC\_KEY**, key type **CKK\_EC** or **CKK\_ECDSA**) hold EC public keys. The following table defines the EC public key object attributes, in addition to the common attributes defined for this object class:

Table 30, Elliptic Curve Public Key Object Attributes

Attribute	Data type	Meaning
CKA_EC_PARAMS <sup>1,3</sup> (CKA_ECDSA_PARAMS)	Byte array	DER-encoding of an ANSI X9.62 Parameters value
CKA_EC_POINT <sup>1,4</sup>	Byte array	DER-encoding of ANSI X9.62 ECPoint value Q

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_EC\_PARAMS** or **CKA\_ECDSA\_PARAMS** attribute value is known as the "EC domain parameters" and is defined in ANSI X9.62 as a choice of three parameter representation methods with the following syntax:

This allows detailed specification of all required values using choice **ecParameters**, the use of a **namedCurve** as an object identifier substitute for a particular set of elliptic curve domain parameters, or **implicitlyCA** to indicate that the domain parameters are explicitly defined elsewhere. The use of a **namedCurve** is recommended over the choice **ecParameters**. The choice **implicitlyCA** must not be used in Cryptoki.

The following is a sample template for creating an EC (ECDSA) public key object:

```
CK_OBJECT_CLASS class = CKO_PUBLIC_KEY;
CK_KEY_TYPE keyType = CKK_EC;
CK_UTF8CHAR label[] = "An EC public key object";
CK_BYTE ecParams[] = {...};
CK_BYTE ecPoint[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_EC_PARAMS, ecParams, sizeof(ecParams)},
    {CKA_EC_POINT, ecPoint, sizeof(ecPoint)}
};
```

# 2.3.4 Elliptic curve private key objects

EC (also related to ECDSA) private key objects (object class **CKO\_PRIVATE\_KEY**, key type **CKK\_EC** or **CKK\_ECDSA**) hold EC private keys. See Section 2.3 for more information about EC. The following table defines the EC private key object attributes, in addition to the common attributes defined for this object class:

Table 31, Elliptic Curve Private Key Object Attributes

Attribute	Data type	Meaning
CKA_EC_PARAMS <sup>1,4,6</sup>	Byte array	DER-encoding of an ANSI X9.62
(CKA_ECDSA_PARAMS)		Parameters value
CKA_VALUE <sup>1,4,6,7</sup>	Big integer	ANSI X9.62 private value d

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_EC\_PARAMS** or **CKA\_ECDSA\_PARAMS** attribute value is known as the "EC domain parameters" and is defined in ANSI X9.62 as a choice of three parameter representation methods with the following syntax:

This allows detailed specification of all required values using choice **ecParameters**, the use of a **namedCurve** as an object identifier substitute for a particular set of elliptic curve domain parameters, or

**implicitlyCA** to indicate that the domain parameters are explicitly defined elsewhere. The use of a **namedCurve** is recommended over the choice **ecParameters**. The choice **implicitlyCA** must not be used in Cryptoki.

Note that when generating an EC private key, the EC domain parameters are *not* specified in the key's template. This is because EC private keys are only generated as part of an EC key *pair*, and the EC domain parameters for the pair are specified in the template for the EC public key.

The following is a sample template for creating an EC (ECDSA) private key object:

```
CK OBJECT CLASS class = CKO PRIVATE KEY;
CK KEY TYPE keyType = CKK EC;
CK UTF8CHAR label[] = "An EC private key object";
CK BYTE subject[] = \{...\};
CK BYTE id[] = \{123\};
CK BYTE ecParams[] = {...};
CK BYTE value[] = \{...\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &class, sizeof(class)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA LABEL, label, sizeof(label)-1},
  {CKA SUBJECT, subject, sizeof(subject)},
  {CKA ID, id, sizeof(id)},
  {CKA SENSITIVE, &true, sizeof(true)},
  {CKA DERIVE, &true, sizeof(true)},
  {CKA EC PARAMS, ecParams, sizeof(ecParams)},
  {CKA VALUE, value, sizeof(value)}
};
```

# 2.3.5 Elliptic curve key pair generation

The EC (also related to ECDSA) key pair generation mechanism, denoted **CKM\_EC\_KEY\_PAIR\_GEN** or **CKM\_ECDSA\_KEY\_PAIR\_GEN**, is a key pair generation mechanism for EC.

This mechanism does not have a parameter.

The mechanism generates EC public/private key pairs with particular EC domain parameters, as specified in the **CKA\_EC\_PARAMS** or **CKA\_ECDSA\_PARAMS** attribute of the template for the public key. Note that this version of Cryptoki does not include a mechanism for generating these EC domain parameters.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, and CKA\_EC\_POINT attributes to the new public key and the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_EC\_PARAMS or

**CKA\_ECDSA\_PARAMS** and **CKA\_VALUE** attributes to the new private key. Other attributes supported by the EC public and private key types (specifically, the flags indicating which functions the keys support) may also be specified in the templates for the keys, or else are assigned default initial values.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure specify the minimum and maximum supported number of bits in the field sizes, respectively. For example, if a Cryptoki library supports only ECDSA using a field of characteristic 2 which has between  $2^{200}$  and  $2^{300}$  elements, then ulMinKeySize = 201 and ulMaxKeySize = 301 (when written in binary notation, the number  $2^{200}$  consists of a 1 bit followed by 200 0 bits. It is therefore a 201-bit number. Similarly,  $2^{300}$  is a 301-bit number).

# 2.3.6 ECDSA without hashing

Refer section 2.3.1 for signature encoding.

The ECDSA without hashing mechanism, denoted **CKM\_ECDSA**, is a mechanism for single-part signatures and verification for ECDSA. (This mechanism corresponds only to the part of ECDSA that processes the hash value, which should not be longer than 1024 bits; it does not compute the hash value.)

This mechanism does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 32, ECDSA: Key and Data Length

Function	Key type	Input length	Output length
C_Sign <sup>1</sup>	ECDSA private key	any <sup>3</sup>	2nLen
C_Verify <sup>1</sup>	ECDSA public key	any³, ≤2 <i>nLen</i> ²	N/A

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the minimum and maximum supported number of bits in the field sizes, respectively. For example, if a Cryptoki library supports only ECDSA using a field of characteristic 2 which has between 2<sup>200</sup> and 2<sup>300</sup> elements (inclusive), then *ulMinKeySize* = 201 and *ulMaxKeySize* = 301 (when written in binary notation, the number 2<sup>200</sup> consists of a 1 bit followed by 200 0 bits. It is therefore a 201-bit number. Similarly, 2<sup>300</sup> is a 301-bit number).

#### 2.3.7 ECDSA with SHA-1

Refer to section 2.3.1 for signature encoding.

The ECDSA with SHA-1 mechanism, denoted **CKM\_ECDSA\_SHA1**, is a mechanism for single- and multiple-part signatures and verification for ECDSA. This mechanism computes the entire ECDSA specification, including the hashing with SHA-1.

This mechanism does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 33, ECDSA with SHA-1: Key and Data Length

Function	Key type	Input length	Output length
C_Sign	ECDSA private key	any	2nLen
C_Verify	ECDSA public key	any, ≤2 <i>nLen</i> ²	N/A

<sup>2</sup> Data length, signature length.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the  $CK\_MECHANISM\_INFO$  structure specify the minimum and maximum supported number of bits in the field sizes, respectively. For example, if a Cryptoki library supports only ECDSA using a field of characteristic 2 which has between  $2^{200}$  and  $2^{300}$  elements, then ulMinKeySize = 201 and ulMaxKeySize = 301 (when written in binary notation, the number  $2^{200}$  consists of a 1 bit followed by 200 0 bits. It is therefore a 201-bit number. Similarly,  $2^{300}$  is a 301-bit number).

# 2.3.8 EC mechanism parameters

◆ CK\_EC\_KDF\_TYPE, CK\_EC\_KDF\_TYPE\_PTR

**CK\_EC\_KDF\_TYPE** is used to indicate the Key Derivation Function (KDF) applied to derive keying data from a shared secret. The key derivation function will be used by the EC key agreement schemes. It is defined as follows:

<sup>2</sup> Data length, signature length.

<sup>3</sup> Input the entire raw digest. Internally, this will be truncated to the appropriate number of bits.

The following table lists the defined functions.

Table 34, EC: Key Derivation Functions

Source Identifier
CKD_NULL
CKD_SHA1_KDF
CKD_SHA224_KDF
CKD_SHA256_KDF
CKD_SHA384_KDF
CKD_SHA512_KDF

The key derivation function **CKD\_NULL** produces a raw shared secret value without applying any key derivation function whereas the key derivation function **CKD\_SHA1\_KDF**, which is based on SHA-1, derives keying data from the shared secret value as defined in ANSI X9.63.

CK\_EC\_KDF\_TYPE\_PTR is a pointer to a CK\_EC\_KDF\_TYPE.

◆ CK\_ECDH1\_DERIVE\_PARAMS, CK\_ECDH1\_DERIVE\_PARAMS\_PTR

**CK\_ECDH1\_DERIVE\_PARAMS** is a structure that provides the parameters for the **CKM\_ECDH1\_DERIVE** and **CKM\_ECDH1\_COFACTOR\_DERIVE** key derivation mechanisms, where each party contributes one key pair. The structure is defined as follows:

```
typedef struct CK_ECDH1_DERIVE_PARAMS {
    CK_EC_KDF_TYPE kdf;
    CK_ULONG ulSharedDataLen;
    CK_BYTE_PTR pSharedData;
    CK_ULONG ulPublicDataLen;
    CK_BYTE_PTR pPublicData;
} CK_ECDH1 DERIVE PARAMS;
```

The fields of the structure have the following meanings:

kdf key derivation function used on the shared secret value

ulSharedDataLen the length in bytes of the shared info

pSharedData some data shared between the two parties

ulPublicDataLen the length in bytes of the other party's EC public key

pPublicData<sup>1</sup>

pointer to other party's EC public key value. A token MUST be able to accept this value encoded as a raw octet string (as per section A.5.2 of [ANSI X9.62]). A token MAY, in addition, support accepting this value as a DER-encoded ECPoint (as per section E.6 of [ANSI X9.62]) i.e. the same as a CKA\_EC\_POINT encoding. The calling application is responsible for converting the offered public key to the

<sup>1</sup> The encoding in V2.20 was not specified and resulted in different implementations choosing different encodings. Applications relying only on a V2.20 encoding (e.g. the DER variant) other than the one specified now (raw) may not work with all V2.30 compliant tokens.

compressed or uncompressed forms of these encodings if the token does not support the offered form.

With the key derivation function **CKD\_NULL**, *pSharedData* must be NULL and *ulSharedDataLen* must be zero. With the key derivation function **CKD\_SHA1\_KDF**, an optional *pSharedData* may be supplied, which consists of some data shared by the two parties intending to share the shared secret. Otherwise, *pSharedData* must be NULL and *ulSharedDataLen* must be zero.

CK\_ECDH1\_DERIVE\_PARAMS\_PTR is a pointer to a CK\_ECDH1\_DERIVE\_PARAMS.

#### ♦ CK\_ECMQV\_DERIVE\_PARAMS, CK\_ECMQV\_DERIVE\_PARAMS\_PTR

**CK\_ECMQV\_DERIVE\_PARAMS** is a structure that provides the parameters to the **CKM\_ECMQV\_DERIVE** key derivation mechanism, where each party contributes two key pairs. The structure is defined as follows:

```
typedef struct CK_ECMQV_DERIVE_PARAMS {
    CK_EC_KDF_TYPE kdf;
    CK_ULONG ulSharedDataLen;
    CK_BYTE_PTR pSharedData;
    CK_ULONG ulPublicDataLen;
    CK_BYTE_PTR pPublicData;
    CK_ULONG ulPrivateDataLen;
    CK_ULONG ulPrivateDataLen;
    CK_OBJECT_HANDLE hPrivateData;
    CK_ULONG ulPublicDataLen2;
    CK_BYTE_PTR pPublicData2;
    CK_OBJECT_HANDLE publicKey;
} CK_ECMQV_DERIVE_PARAMS;
```

The fields of the structure have the following meanings:

kdf	key derivation function used on the shared secret value
ulSharedDataLen	the length in bytes of the shared info
pSharedData	some data shared between the two parties
ulPublicDataLen	the length in bytes of the other party's first EC public key
pPublicData	pointer to other party's first EC public key value. Encoding rules are as per pPublicData of CK_ECDH1_DERIVE_PARAMS
ulPrivateDataLen	the length in bytes of the second EC private key
hPrivateData	key handle for second EC private key value
ulPublicDataLen2	the length in bytes of the other party's second EC public key
pPublicData2	pointer to other party's second EC public key value. Encoding rules are as per pPublicData of CK_ECDH1_DERIVE_PARAMS
publicKey	Handle to the first party's ephemeral public key

With the key derivation function **CKD\_NULL**, *pSharedData* must be NULL and *ulSharedDataLen* must be zero. With the key derivation function **CKD\_SHA1\_KDF**, an optional *pSharedData* may be supplied,

which consists of some data shared by the two parties intending to share the shared secret. Otherwise, *pSharedData* must be NULL and *ulSharedDataLen* must be zero.

CK\_ECMQV\_DERIVE\_PARAMS\_PTR is a pointer to a CK\_ECMQV\_DERIVE\_PARAMS.

### 2.3.9 Elliptic curve Diffie-Hellman key derivation

The elliptic curve Diffie-Hellman (ECDH) key derivation mechanism, denoted **CKM\_ECDH1\_DERIVE**, is a mechanism for key derivation based on the Diffie-Hellman version of the elliptic curve key agreement scheme, as defined in ANSI X9.63, where each party contributes one key pair all using the same EC domain parameters.

It has a parameter, a CK ECDH1 DERIVE PARAMS structure.

This mechanism derives a secret value, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. (The truncation removes bytes from the leading end of the secret value.) The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_FALSE, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the minimum and maximum supported number of bits in the field sizes, respectively. For example, if a Cryptoki library supports only EC using a field of characteristic 2 which has between 2<sup>200</sup> and 2<sup>300</sup> elements, then *ulMinKeySize* = 201 and *ulMaxKeySize* = 301 (when written in binary notation, the number 2<sup>200</sup> consists of a 1 bit followed by 200 0 bits. It is therefore a 201-bit number. Similarly, 2<sup>300</sup> is a 301-bit number).

# 2.3.10 Elliptic curve Diffie-Hellman with cofactor key derivation

The elliptic curve Diffie-Hellman (ECDH) with cofactor key derivation mechanism, denoted **CKM\_ECDH1\_COFACTOR\_DERIVE**, is a mechanism for key derivation based on the cofactor Diffie-Hellman version of the elliptic curve key agreement scheme, as defined in ANSI X9.63, where each party contributes one key pair all using the same EC domain parameters. Cofactor multiplication is computationally efficient and helps to prevent security problems like small group attacks.

It has a parameter, a **CK\_ECDH1\_DERIVE\_PARAMS** structure.

This mechanism derives a secret value, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. (The truncation removes bytes from the leading end of the secret value.) The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

This mechanism has the following rules about key sensitivity and extractability:

The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
default value.

- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to **CK\_FALSE**, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to **CK\_TRUE**, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the minimum and maximum supported number of bits in the field sizes, respectively. For example, if a Cryptoki library supports only EC using a field of characteristic 2 which has between 2<sup>200</sup> and 2<sup>300</sup> elements, then *ulMinKeySize* = 201 and *ulMaxKeySize* = 301 (when written in binary notation, the number 2<sup>200</sup> consists of a 1 bit followed by 200 0 bits. It is therefore a 201-bit number. Similarly, 2<sup>300</sup> is a 301-bit number).

## 2.3.11 Elliptic curve Menezes-Qu-Vanstone key derivation

The elliptic curve Menezes-Qu-Vanstone (ECMQV) key derivation mechanism, denoted **CKM\_ECMQV\_DERIVE**, is a mechanism for key derivation based the MQV version of the elliptic curve key agreement scheme, as defined in ANSI X9.63, where each party contributes two key pairs all using the same EC domain parameters.

It has a parameter, a **CK\_ECMQV\_DERIVE\_PARAMS** structure.

This mechanism derives a secret value, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. (The truncation removes bytes from the leading end of the secret value.) The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to **CK\_FALSE**, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to **CK\_TRUE**, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the  $CK\_MECHANISM\_INFO$  structure specify the minimum and maximum supported number of bits in the field sizes, respectively. For example, if a Cryptoki library supports only EC using a field of characteristic 2 which has between  $2^{200}$  and  $2^{300}$  elements, then ulMinKeySize = 201 and ulMaxKeySize = 301 (when written in binary notation, the number  $2^{200}$  consists of a 1 bit followed by 200 0 bits. It is therefore a 201-bit number. Similarly,  $2^{300}$  is a 301-bit number).

#### 2.3.12 ECDH AES KEY WRAP

The ECDH AES KEY WRAP mechanism, denoted **CKM\_ECDH\_AES\_KEY\_WRAP**, is a mechanism based on elliptic curve public-key crypto-system and the AES key wrap mechanism. It supports single-part key wrapping; and key unwrapping.

It has a parameter, a CK\_ECDH\_AES\_KEY\_WRAP\_PARAMS structure.

The mechanism can wrap and un-wrap an asymmetric target key of any length and type using an EC key.

- A temporary AES key is derived from a temporary EC key and the wrapping EC key using the **CKM\_ECDH1\_DERIVE** mechanism.
- The derived AES key is used for wrapping the target key using the CKM\_AES\_KEY\_WRAP\_PAD mechanism.

For wrapping, the mechanism -

- Generates a temporary random EC key (transport key) having the same parameters as the wrapping EC key (and domain parameters). Saves the transport key public key material.
- Performs ECDH operation using CKM\_ECDH1\_DERIVE with parameters of kdf, ulSharedDataLen and pSharedData using the private key of the transport EC key and the public key of wrapping EC key and gets the first ulAESKeyBits bits of the derived key to be the temporary AES key
- Wraps the target key with the temporary AES key using CKM\_AES\_KEY\_WRAP\_PAD (RFC5649).
- Zeroizes the temporary AES key and EC transport private key
- Concatenates public key material of the transport key and output the concatenated blob.

The recommended format for an asymmetric target key being wrapped is as a PKCS8 PrivateKeyInfo

The use of Attributes in the PrivateKeyInfo structure is OPTIONAL. In case of conflicts between the object attribute template, and Attributes in the PrivateKeyInfo structure, an error should be thrown.

For unwrapping, the mechanism -

- Splits the input into two parts. The first part is the public key material of the transport key and the second part is the wrapped target key. The length of the first part is equal to the length of the public key material of the unwrapping EC key
  - Note: since the transport key and the wrapping EC key share the same domain, the length of the public key material of the transport key is the same length of the public key material of the unwrapping EC key.
- Performs ECDH operation using CKM\_ECDH1\_DERIVE with parameters of kdf, ulSharedDataLen and pSharedData using the private part of unwrapping EC key and the public part of the transport EC key and gets first ulAESKeyBits bits of the derived key to be the temporary AES key
- Un-wraps the target key from the second part with the temporary AES key using CKM\_AES\_KEY\_WRAP\_PAD (RFC5649).
- Zeroizes the temporary AES key

Table 35, CKM\_ECDH\_AES\_KEY\_WRAP Mechanisms vs. Functions

				F	unctior	ns	
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_ECDH_AES_KEY_WRAP						✓	
<sup>1</sup> SR = SignRecover, VR = VerifyRecover	-	-	-	-		-	

## 2.3.13 ECDH AES KEY WRAP mechanism parameters

◆ CK ECDH AES KEY WRAP PARAMS; CK ECDH AES KEY WRAP PARAMS PTR

**CK\_ECDH\_AES\_KEY\_WRAP\_PARAMS** is a structure that provides the parameters to the **CKM\_ECDH\_AES\_KEY\_WRAP** mechanism. It is defined as follows:

```
typedef struct CK_ECDH_AES_KEY_WRAP_PARAMS {
    CK_ULONG ulAESKeyBits;
    CK_EC_KDF_TYPE kdf;
    CK_ULONG ulSharedDataLen;
    CK_BYTE_PTR pSharedData;
} CK_ECDH_AES_KEY_WRAP_PARAMS;
```

The fields of the structure have the following meanings:

ulAESKeyBitslength of the temporary AES key in bits. Can be only 128, 192 or 256.

Kdf key derivation function used on the shared secret value to generate AES key.

ulSharedDataLenthe length in bytes of the shared info

pSharedDataSome data shared between the two parties

CK\_ECDH\_AES\_KEY\_WRAP\_PARAMS\_PTR is a pointer to a CK\_ECDH\_AES\_KEY\_WRAP\_PARAMS.

#### 2.3.14 FIPS 186-4

When CKM\_ECDSA is operated in FIPS mode, the curves SHALL either be NIST recommended curves (with a fixed set of domain parameters) or curves with domain parameters generated as specified by ANSI X9.64. The NIST recommended curves are:

```
P-192, P-224, P-256, P-384, P-521
K-163, B-163, K-233, B-233
K-283, B-283, K-409, B-409
K-571, B-571
```

### 2.4 Diffie-Hellman

Table 36, Diffie-Hellman Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_DH_PKCS_KEY_PAIR_GEN					✓		
CKM_DH_PKCS_PARAMETER_GEN					✓		
CKM_DH_PKCS_DERIVE							✓
CKM_X9_42_DH_KEY_PAIR_GEN					✓		
CKM_X9_42_DH_PKCS_PARAMETER_GEN					✓		
CKM_X9_42_DH_DERIVE							✓
CKM_X9_42_DH_HYBRID_DERIVE							✓
CKM_X9_42_MQV_DERIVE							✓

#### 2.4.1 Definitions

This section defines the key type "CKK\_DH" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of [DH] key objects.

#### Mechanisms:

CKM\_DH\_PKCS\_KEY\_PAIR\_GEN
CKM\_DH\_PKCS\_DERIVE
CKM\_X9\_42\_DH\_KEY\_PAIR\_GEN
CKM\_X9\_42\_DH\_DERIVE
CKM\_X9\_42\_DH\_HYBRID\_DERIVE
CKM\_X9\_42\_MQV\_DERIVE
CKM\_DH\_PKCS\_PARAMETER\_GEN
CKM\_X9\_42\_DH\_PARAMETER\_GEN

# 2.4.2 Diffie-Hellman public key objects

Diffie-Hellman public key objects (object class **CKO\_PUBLIC\_KEY**, key type **CKK\_DH**) hold Diffie-Hellman public keys. The following table defines the Diffie-Hellman public key object attributes, in addition to the common attributes defined for this object class:

Table 37, Diffie-Hellman Public Key Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,3</sup>	Big integer	Prime p
CKA_BASE <sup>1,3</sup>	Big integer	Base g
CKA_VALUE <sup>1,4</sup>	Big integer	Public value y

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME** and **CKA\_BASE** attribute values are collectively the "Diffie-Hellman domain parameters". Depending on the token, there may be limits on the length of the key components. See PKCS #3 for more information on Diffie-Hellman keys.

The following is a sample template for creating a Diffie-Hellman public key object:

```
CK_UTF8CHAR label[] = "A Diffie-Hellman public key object";
CK_BYTE prime[] = {...};
CK_BYTE base[] = {...};
CK_BYTE value[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_PRIME, prime, sizeof(prime)},
    {CKA_BASE, base, sizeof(base)},
    {CKA_VALUE, value, sizeof(value)}
};
```

# 2.4.3 X9.42 Diffie-Hellman public key objects

X9.42 Diffie-Hellman public key objects (object class **CKO\_PUBLIC\_KEY**, key type **CKK\_X9\_42\_DH**) hold X9.42 Diffie-Hellman public keys. The following table defines the X9.42 Diffie-Hellman public key object attributes, in addition to the common attributes defined for this object class:

Table 38, X9.42 Diffie-Hellman Public Key Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,3</sup>	Big integer	Prime $p \ge 1024$ bits, in steps of 256 bits)
CKA_BASE <sup>1,3</sup>	Big integer	Base g
CKA_SUBPRIME <sup>1,3</sup>	Big integer	Subprime <i>q</i> (≥ 160 bits)
CKA_VALUE <sup>1,4</sup>	Big integer	Public value y

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME**, **CKA\_BASE** and **CKA\_SUBPRIME** attribute values are collectively the "X9.42 Diffie-Hellman domain parameters". See the ANSI X9.42 standard for more information on X9.42 Diffie-Hellman keys.

The following is a sample template for creating a X9.42 Diffie-Hellman public key object:

```
CK OBJECT CLASS class = CKO PUBLIC KEY;
CK KEY TYPE keyType = CKK X9 42 DH;
CK UTF8CHAR label[] = "A X9.42 Diffie-Hellman public key
        object";
CK BYTE prime[] = \{...\};
CK BYTE base[] = \{...\};
CK BYTE subprime[] = \{...\};
CK BYTE value[] = \{...\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &class, sizeof(class)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA LABEL, label, sizeof(label)-1},
  {CKA PRIME, prime, sizeof(prime)},
  {CKA BASE, base, sizeof(base)},
  {CKA SUBPRIME, subprime, sizeof(subprime)},
```

```
{CKA_VALUE, value, sizeof(value)}
};
```

# 2.4.4 Diffie-Hellman private key objects

Diffie-Hellman private key objects (object class **CKO\_PRIVATE\_KEY**, key type **CKK\_DH**) hold Diffie-Hellman private keys. The following table defines the Diffie-Hellman private key object attributes, in addition to the common attributes defined for this object class:

Table 39, Diffie-Hellman Private Key Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,4,6</sup>	Big integer	Prime p
CKA_BASE <sup>1,4,6</sup>	Big integer	Base g
CKA_VALUE <sup>1,4,6,7</sup>	Big integer	Private value x
CKA_VALUE_BITS <sup>2,6</sup>	CK_ULONG	Length in bits of private value x

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME** and **CKA\_BASE** attribute values are collectively the "Diffie-Hellman domain parameters". Depending on the token, there may be limits on the length of the key components. See PKCS #3 for more information on Diffie-Hellman keys.

Note that when generating a Diffie-Hellman private key, the Diffie-Hellman parameters are *not* specified in the key's template. This is because Diffie-Hellman private keys are only generated as part of a Diffie-Hellman key *pair*, and the Diffie-Hellman parameters for the pair are specified in the template for the Diffie-Hellman public key.

The following is a sample template for creating a Diffie-Hellman private key object:

```
CK OBJECT CLASS class = CKO PRIVATE KEY;
CK KEY TYPE keyType = CKK DH;
CK UTF8CHAR label[] = "A Diffie-Hellman private key object";
CK BYTE subject[] = \{...\};
CK BYTE id[] = \{123\};
CK BYTE prime[] = \{...\};
CK BYTE base[] = \{...\};
CK BYTE value[] = \{...\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &class, sizeof(class)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA LABEL, label, sizeof(label)-1},
  {CKA SUBJECT, subject, sizeof(subject)},
  {CKA ID, id, sizeof(id)},
  {CKA SENSITIVE, &true, sizeof(true)},
  {CKA DERIVE, &true, sizeof(true)},
  {CKA PRIME, prime, sizeof(prime)},
  {CKA BASE, base, sizeof(base)},
  {CKA VALUE, value, sizeof(value)}
};
```

## 2.4.5 X9.42 Diffie-Hellman private key objects

X9.42 Diffie-Hellman private key objects (object class **CKO\_PRIVATE\_KEY**, key type **CKK\_X9\_42\_DH**) hold X9.42 Diffie-Hellman private keys. The following table defines the X9.42 Diffie-Hellman private key object attributes, in addition to the common attributes defined for this object class:

Table 40, X9.42 Diffie-Hellman Private Key Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,4,6</sup>	Big integer	Prime $p \ge 1024$ bits, in steps of 256 bits)
CKA_BASE <sup>1,4,6</sup>	Big integer	Base g
CKA_SUBPRIME <sup>1,4,6</sup>	Big integer	Subprime <i>q</i> (≥ 160 bits)
CKA_VALUE <sup>1,4,6,7</sup>	Big integer	Private value x

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME**, **CKA\_BASE** and **CKA\_SUBPRIME** attribute values are collectively the "X9.42 Diffie-Hellman domain parameters". Depending on the token, there may be limits on the length of the key components. See the ANSI X9.42 standard for more information on X9.42 Diffie-Hellman keys.

Note that when generating a X9.42 Diffie-Hellman private key, the X9.42 Diffie-Hellman domain parameters are *not* specified in the key's template. This is because X9.42 Diffie-Hellman private keys are only generated as part of a X9.42 Diffie-Hellman key *pair*, and the X9.42 Diffie-Hellman domain parameters for the pair are specified in the template for the X9.42 Diffie-Hellman public key.

The following is a sample template for creating a X9.42 Diffie-Hellman private key object:

```
CK OBJECT CLASS class = CKO PRIVATE KEY;
CK KEY TYPE keyType = CKK X9 42 DH;
CK UTF8CHAR label[] = "A X9.42 Diffie-Hellman private key object";
CK BYTE subject[] = \{...\};
CK BYTE id[] = \{123\};
CK BYTE prime[] = \{...\};
CK BYTE base[] = \{...\};
CK BYTE subprime[] = \{...\};
CK BYTE value[] = \{...\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &class, sizeof(class)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA LABEL, label, sizeof(label)-1},
  {CKA SUBJECT, subject, sizeof(subject)},
  {CKA ID, id, sizeof(id)},
  {CKA SENSITIVE, &true, sizeof(true)},
  {CKA DERIVE, &true, sizeof(true)},
  {CKA PRIME, prime, sizeof(prime)},
  {CKA BASE, base, sizeof(base)},
  {CKA SUBPRIME, subprime, sizeof(subprime)},
  {CKA VALUE, value, sizeof(value)}
};
```

## 2.4.6 Diffie-Hellman domain parameter objects

Diffie-Hellman domain parameter objects (object class **CKO\_DOMAIN\_PARAMETERS**, key type **CKK\_DH**) hold Diffie-Hellman domain parameters. The following table defines the Diffie-Hellman domain parameter object attributes, in addition to the common attributes defined for this object class:

Table 41, Diffie-Hellman Domain Parameter Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,4</sup>	Big integer	Prime p
CKA_BASE <sup>1,4</sup>	Big integer	Base g
CKA_PRIME_BITS <sup>2,3</sup>	CK_ULONG	Length of the prime value.

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME** and **CKA\_BASE** attribute values are collectively the "Diffie-Hellman domain parameters". Depending on the token, there may be limits on the length of the key components. See PKCS #3 for more information on Diffie-Hellman domain parameters.

The following is a sample template for creating a Diffie-Hellman domain parameter object:

```
CK_OBJECT_CLASS class = CKO_DOMAIN_PARAMETERS;
CK_KEY_TYPE keyType = CKK_DH;
CK_UTF8CHAR label[] = "A Diffie-Hellman domain parameters object";
CK_BYTE prime[] = {...};
CK_BYTE base[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_PRIME, prime, sizeof(prime)},
    {CKA_BASE, base, sizeof(base)},
};
```

# 2.4.7 X9.42 Diffie-Hellman domain parameters objects

X9.42 Diffie-Hellman domain parameters objects (object class **CKO\_DOMAIN\_PARAMETERS**, key type **CKK\_X9\_42\_DH**) hold X9.42 Diffie-Hellman domain parameters. The following table defines the X9.42 Diffie-Hellman domain parameters object attributes, in addition to the common attributes defined for this object class:

Table 42, X9.42 Diffie-Hellman Domain Parameters Object Attributes

Attribute	Data type	Meaning
CKA_PRIME <sup>1,4</sup>	Big integer	Prime $p \ge 1024$ bits, in steps of 256 bits)
CKA_BASE <sup>1,4</sup>	Big integer	Base g
CKA_SUBPRIME <sup>1,4</sup>	Big integer	Subprime $q \ge 160$ bits)
CKA_PRIME_BITS <sup>2,3</sup>	CK_ULONG	Length of the prime value.
CKA_SUBPRIME_BITS <sup>2,3</sup>	CK_ULONG	Length of the subprime value.

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The **CKA\_PRIME**, **CKA\_BASE** and **CKA\_SUBPRIME** attribute values are collectively the "X9.42 Diffie-Hellman domain parameters". Depending on the token, there may be limits on the length of the domain

parameters components. See the ANSI X9.42 standard for more information on X9.42 Diffie-Hellman domain parameters.

The following is a sample template for creating a X9.42 Diffie-Hellman domain parameters object:

```
CK OBJECT CLASS class = CKO DOMAIN PARAMETERS;
CK KEY TYPE keyType = CKK \overline{X9} 42 DH;
CK UTF8CHAR label[] = "A X9.42 Diffie-Hellman domain
        parameters object";
CK BYTE prime[] = \{...\};
CK BYTE base[] = \{...\};
CK BYTE subprime[] = \{...\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &class, sizeof(class)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA LABEL, label, sizeof(label)-1},
  {CKA PRIME, prime, sizeof(prime)},
  {CKA BASE, base, sizeof(base)},
  {CKA SUBPRIME, subprime, sizeof(subprime)},
};
```

# 2.4.8 PKCS #3 Diffie-Hellman key pair generation

The PKCS #3 Diffie-Hellman key pair generation mechanism, denoted **CKM\_DH\_PKCS\_KEY\_PAIR\_GEN**, is a key pair generation mechanism based on Diffie-Hellman key agreement, as defined in PKCS #3. This is what PKCS #3 calls "phase I". It does not have a parameter.

The mechanism generates Diffie-Hellman public/private key pairs with a particular prime and base, as specified in the **CKA\_PRIME** and **CKA\_BASE** attributes of the template for the public key. If the **CKA\_VALUE\_BITS** attribute of the private key is specified, the mechanism limits the length in bits of the private value, as described in PKCS #3.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, and CKA\_VALUE attributes to the new public key and the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_PRIME, CKA\_BASE, and CKA\_VALUE (and the CKA\_VALUE\_BITS attribute, if it is not already provided in the template) attributes to the new private key; other attributes required by the Diffie-Hellman public and private key types must be specified in the templates.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Diffie-Hellman prime sizes, in bits.

# 2.4.9 PKCS #3 Diffie-Hellman domain parameter generation

The PKCS #3 Diffie-Hellman domain parameter generation mechanism, denoted **CKM\_DH\_PKCS\_PARAMETER\_GEN**, is a domain parameter generation mechanism based on Diffie-Hellman key agreement, as defined in PKCS #3.

It does not have a parameter.

The mechanism generates Diffie-Hellman domain parameters with a particular prime length in bits, as specified in the **CKA PRIME BITS** attribute of the template.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, **CKA\_PRIME**, **CKA\_BASE**, and **CKA\_PRIME\_BITS** attributes to the new object. Other attributes supported by the Diffie-Hellman domain parameter types may also be specified in the template, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Diffie-Hellman prime sizes, in bits.

## 2.4.10 PKCS #3 Diffie-Hellman key derivation

The PKCS #3 Diffie-Hellman key derivation mechanism, denoted **CKM\_DH\_PKCS\_DERIVE**, is a mechanism for key derivation based on Diffie-Hellman key agreement, as defined in PKCS #3. This is what PKCS #3 calls "phase II".

It has a parameter, which is the public value of the other party in the key agreement protocol, represented as a Cryptoki "Big integer" (*i.e.*, a sequence of bytes, most-significant byte first).

This mechanism derives a secret key from a Diffie-Hellman private key and the public value of the other party. It computes a Diffie-Hellman secret value from the public value and private key according to PKCS #3, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. (The truncation removes bytes from the leading end of the secret value.) The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

This mechanism has the following rules about key sensitivity and extractability<sup>2</sup>:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to CK\_FALSE, then the
  derived key will, too. If the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to
  CK\_TRUE, then the derived key has its CKA\_NEVER\_EXTRACTABLE attribute set to the opposite
  value from its CKA\_EXTRACTABLE attribute.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Diffie-Hellman prime sizes, in bits.

## 2.4.11 X9.42 Diffie-Hellman mechanism parameters

♦ CK\_X9\_42\_DH\_KDF\_TYPE, CK\_X9\_42\_DH\_KDF\_TYPE\_PTR

**CK\_X9\_42\_DH\_KDF\_TYPE** is used to indicate the Key Derivation Function (KDF) applied to derive keying data from a shared secret. The key derivation function will be used by the X9.42 Diffie-Hellman key agreement schemes. It is defined as follows:

The following table lists the defined functions.

Table 43, X9.42 Diffie-Hellman Key Derivation Functions

Source Identifier
CKD_NULL
CKD_SHA1_KDF_ASN1
CKD_SHA1_KDF_CONCATENATE

The key derivation function **CKD\_NULL** produces a raw shared secret value without applying any key derivation function whereas the key derivation functions **CKD\_SHA1\_KDF\_ASN1** and

pkcs11-curr-v2.40-cos01 Standards Track Work Product

<sup>2</sup> Note that the rules regarding the CKA\_SENSITIVE, CKA\_EXTRACTABLE, CKA\_ALWAYS\_SENSITIVE, and CKA\_NEVER\_EXTRACTABLE attributes have changed in version 2.11 to match the policy used by other key derivation mechanisms such as CKM\_SSL3\_MASTER\_KEY\_DERIVE.

**CKD\_SHA1\_KDF\_CONCATENATE**, which are both based on SHA-1, derive keying data from the shared secret value as defined in the ANSI X9.42 standard.

CK\_X9\_42\_DH\_KDF\_TYPE\_PTR is a pointer to a CK\_X9\_42\_DH\_KDF\_TYPE.

### ♦ CK X9 42 DH1 DERIVE PARAMS, CK X9 42 DH1 DERIVE PARAMS PTR

**CK\_X9\_42\_DH1\_DERIVE\_PARAMS** is a structure that provides the parameters to the **CKM\_X9\_42\_DH\_DERIVE** key derivation mechanism, where each party contributes one key pair. The structure is defined as follows:

```
typedef struct CK_X9_42_DH1_DERIVE_PARAMS {
    CK_X9_42_DH_KDF_TYPE kdf;
    CK_ULONG ulOtherInfoLen;
    CK_BYTE_PTR pOtherInfo;
    CK_ULONG ulPublicDataLen;
    CK_BYTE_PTR pPublicData;
} CK X9 42 DH1 DERIVE PARAMS;
```

The fields of the structure have the following meanings:

kdf key derivation function used on the shared secret value

ulOtherInfoLen the length in bytes of the other info

pOtherInfo some data shared between the two parties

ulPublicDataLen the length in bytes of the other party's X9.42 Diffie-Hellman public

key

pPublicData pointer to other party's X9.42 Diffie-Hellman public key value

With the key derivation function **CKD\_NULL**, *pOtherInfo* must be NULL and *ulOtherInfoLen* must be zero. With the key derivation function **CKD\_SHA1\_KDF\_ASN1**, *pOtherInfo* must be supplied, which contains an octet string, specified in ASN.1 DER encoding, consisting of mandatory and optional data shared by the two parties intending to share the shared secret. With the key derivation function **CKD\_SHA1\_KDF\_CONCATENATE**, an optional *pOtherInfo* may be supplied, which consists of some data shared by the two parties intending to share the shared secret. Otherwise, *pOtherInfo* must be NULL and *ulOtherInfoLen* must be zero.

CK X9 42 DH1 DERIVE PARAMS PTR is a pointer to a CK X9 42 DH1 DERIVE PARAMS.

### • CK X9 42 DH2 DERIVE PARAMS, CK X9 42 DH2 DERIVE PARAMS PTR

CK\_X9\_42\_DH2\_DERIVE\_PARAMS is a structure that provides the parameters to the CKM\_X9\_42\_DH\_HYBRID\_DERIVE and CKM\_X9\_42\_MQV\_DERIVE key derivation mechanisms, where each party contributes two key pairs. The structure is defined as follows:

```
typedef struct CK_X9_42_DH2_DERIVE_PARAMS {
    CK_X9_42_DH_KDF_TYPE kdf;
    CK_ULONG ulOtherInfoLen;
    CK_BYTE_PTR pOtherInfo;
    CK_ULONG ulPublicDataLen;
    CK_BYTE_PTR pPublicData;
    CK_ULONG ulPrivateDataLen;
    CK_ULONG ulPrivateDataLen;
    CK_OBJECT HANDLE hPrivateData;
```

```
CK_ULONG ulPublicDataLen2;
CK_BYTE_PTR pPublicData2;
} CK_X9_42_DH2_DERIVE_PARAMS;
```

The fields of the structure have the following meanings:

kdf	key derivation function used on the shared secret value
ulOtherInfoLen	the length in bytes of the other info
pOtherInfo	some data shared between the two parties
ulPublicDataLen	the length in bytes of the other party's first X9.42 Diffie-Hellman public key
pPublicData	pointer to other party's first X9.42 Diffie-Hellman public key value
ulPrivateDataLen	the length in bytes of the second X9.42 Diffie-Hellman private key
hPrivateData	key handle for second X9.42 Diffie-Hellman private key value
ulPublicDataLen2	the length in bytes of the other party's second X9.42 Diffie-Hellman public key
pPublicData2	pointer to other party's second X9.42 Diffie-Hellman public key value

With the key derivation function **CKD\_NULL**, *pOtherInfo* must be NULL and *ulOtherInfoLen* must be zero. With the key derivation function **CKD\_SHA1\_KDF\_ASN1**, *pOtherInfo* must be supplied, which contains an octet string, specified in ASN.1 DER encoding, consisting of mandatory and optional data shared by the two parties intending to share the shared secret. With the key derivation function **CKD\_SHA1\_KDF\_CONCATENATE**, an optional *pOtherInfo* may be supplied, which consists of some data shared by the two parties intending to share the shared secret. Otherwise, *pOtherInfo* must be NULL and *ulOtherInfoLen* must be zero.

CK X9 42 DH2 DERIVE PARAMS PTR is a pointer to a CK X9 42 DH2 DERIVE PARAMS.

### CK\_X9\_42\_MQV\_DERIVE\_PARAMS, CK\_X9\_42\_MQV\_DERIVE\_PARAMS\_PTR

CK\_X9\_42\_MQV\_DERIVE\_PARAMS is a structure that provides the parameters to the CKM\_X9\_42\_MQV\_DERIVE key derivation mechanism, where each party contributes two key pairs. The structure is defined as follows:

```
typedef struct CK_X9_42_MQV_DERIVE_PARAMS {
    CK_X9_42_DH_KDF_TYPE kdf;
    CK_ULONG ulOtherInfoLen;
    CK_BYTE_PTR pOtherInfo;
    CK_ULONG ulPublicDataLen;
    CK_BYTE_PTR pPublicData;
    CK_ULONG ulPrivateDataLen;
    CK_OBJECT_HANDLE hPrivateData;
    CK_ULONG ulPublicDataLen2;
    CK_BYTE_PTR pPublicData2;
    CK_BYTE_PTR pPublicData2;
    CK_OBJECT_HANDLE publicKey;
} CK_X9_42_MQV_DERIVE_PARAMS;
```

The fields of the structure have the following meanings:

kdf key derivation function used on the shared secret value

ulOtherInfoLen the length in bytes of the other info

pOtherInfo some data shared between the two parties

ulPublicDataLen the length in bytes of the other party's first X9.42 Diffie-Hellman

public key

pPublicData pointer to other party's first X9.42 Diffie-Hellman public key value

ulPrivateDataLen the length in bytes of the second X9.42 Diffie-Hellman private key

hPrivateData key handle for second X9.42 Diffie-Hellman private key value

ulPublicDataLen2 the length in bytes of the other party's second X9.42 Diffie-Hellman

public key

pPublicData2 pointer to other party's second X9.42 Diffie-Hellman public key

value

publicKey Handle to the first party's ephemeral public key

With the key derivation function **CKD\_NULL**, *pOtherInfo* must be NULL and *ulOtherInfoLen* must be zero. With the key derivation function **CKD\_SHA1\_KDF\_ASN1**, *pOtherInfo* must be supplied, which contains an octet string, specified in ASN.1 DER encoding, consisting of mandatory and optional data shared by the two parties intending to share the shared secret. With the key derivation function **CKD\_SHA1\_KDF\_CONCATENATE**, an optional *pOtherInfo* may be supplied, which consists of some data shared by the two parties intending to share the shared secret. Otherwise, *pOtherInfo* must be NULL and *ulOtherInfoLen* must be zero.

CK X9 42 MQV DERIVE PARAMS PTR is a pointer to a CK X9 42 MQV DERIVE PARAMS.

## 2.4.12 X9.42 Diffie-Hellman key pair generation

The X9.42 Diffie-Hellman key pair generation mechanism, denoted **CKM\_X9\_42\_DH\_KEY\_PAIR\_GEN**, is a key pair generation mechanism based on Diffie-Hellman key agreement, as defined in the ANSI X9.42 standard.

It does not have a parameter.

The mechanism generates X9.42 Diffie-Hellman public/private key pairs with a particular prime, base and subprime, as specified in the **CKA\_PRIME**, **CKA\_BASE** and **CKA\_SUBPRIME** attributes of the template for the public key.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, and CKA\_VALUE attributes to the new public key and the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_PRIME, CKA\_BASE, CKA\_SUBPRIME, and CKA\_VALUE attributes to the new private key; other attributes required by the X9.42 Diffie-Hellman public and private key types must be specified in the templates.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of X9.42 Diffie-Hellman prime sizes, in bits, for the **CKA PRIME** attribute.

## 2.4.13 X9.42 Diffie-Hellman domain parameter generation

The X9.42 Diffie-Hellman domain parameter generation mechanism, denoted **CKM\_X9\_42\_DH\_PARAMETER\_GEN**, is a domain parameters generation mechanism based on X9.42 Diffie-Hellman key agreement, as defined in the ANSI X9.42 standard.

It does not have a parameter.

The mechanism generates X9.42 Diffie-Hellman domain parameters with particular prime and subprime length in bits, as specified in the **CKA\_PRIME\_BITS** and **CKA\_SUBPRIME\_BITS** attributes of the template for the domain parameters.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_PRIME, CKA\_BASE, CKA\_SUBPRIME, CKA\_PRIME\_BITS and CKA\_SUBPRIME\_BITS attributes to the new object. Other attributes supported by the X9.42 Diffie-Hellman domain parameter types may also be specified in the template for the domain parameters, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of X9.42 Diffie-Hellman prime sizes, in bits.

## 2.4.14 X9.42 Diffie-Hellman key derivation

The X9.42 Diffie-Hellman key derivation mechanism, denoted **CKM\_X9\_42\_DH\_DERIVE**, is a mechanism for key derivation based on the Diffie-Hellman key agreement scheme, as defined in the ANSI X9.42 standard, where each party contributes one key pair, all using the same X9.42 Diffie-Hellman domain parameters.

It has a parameter, a CK\_X9\_42\_DH1\_DERIVE\_PARAMS structure.

This mechanism derives a secret value, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. (The truncation removes bytes from the leading end of the secret value.) The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template. Note that in order to validate this mechanism it may be required to use the **CKA\_VALUE** attribute as the key of a general-length MAC mechanism (e.g. **CKM SHA 1 HMAC GENERAL**) over some test data.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to CK\_FALSE, then the
  derived key will, too. If the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to
  CK\_TRUE, then the derived key has its CKA\_NEVER\_EXTRACTABLE attribute set to the opposite
  value from its CKA\_EXTRACTABLE attribute.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of X9.42 Diffie-Hellman prime sizes, in bits, for the **CKA\_PRIME** attribute.

# 2.4.15 X9.42 Diffie-Hellman hybrid key derivation

The X9.42 Diffie-Hellman hybrid key derivation mechanism, denoted

**CKM\_X9\_42\_DH\_HYBRID\_DERIVE**, is a mechanism for key derivation based on the Diffie-Hellman hybrid key agreement scheme, as defined in the ANSI X9.42 standard, where each party contributes two key pair, all using the same X9.42 Diffie-Hellman domain parameters.

It has a parameter, a CK X9 42 DH2 DERIVE PARAMS structure.

This mechanism derives a secret value, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. (The truncation removes bytes from the leading end of the secret value.) The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template. Note that in order to validate this mechanism it may be required to use the **CKA\_VALUE** attribute as the key of a general-length MAC mechanism (e.g. **CKM\_SHA\_1\_HMAC\_GENERAL**) over some test data.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to CK\_FALSE, then the
  derived key will, too. If the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to
  CK\_TRUE, then the derived key has its CKA\_NEVER\_EXTRACTABLE attribute set to the opposite
  value from its CKA\_EXTRACTABLE attribute.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of X9.42 Diffie-Hellman prime sizes, in bits, for the **CKA PRIME** attribute.

### 2.4.16 X9.42 Diffie-Hellman Menezes-Qu-Vanstone key derivation

The X9.42 Diffie-Hellman Menezes-Qu-Vanstone (MQV) key derivation mechanism, denoted **CKM\_X9\_42\_MQV\_DERIVE**, is a mechanism for key derivation based the MQV scheme, as defined in the ANSI X9.42 standard, where each party contributes two key pairs, all using the same X9.42 Diffie-Hellman domain parameters.

It has a parameter, a CK\_X9\_42\_MQV\_DERIVE\_PARAMS structure.

This mechanism derives a secret value, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. (The truncation removes bytes from the leading end of the secret value.) The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template. Note that in order to validate this mechanism it may be required to use the **CKA\_VALUE** attribute as the key of a general-length MAC mechanism (e.g. **CKM SHA 1 HMAC GENERAL**) over some test data.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_FALSE, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of X9.42 Diffie-Hellman prime sizes, in bits, for the **CKA\_PRIME** attribute.

# 2.5 Wrapping/unwrapping private keys

Cryptoki Versions 2.01 and up allow the use of secret keys for wrapping and unwrapping RSA private keys, Diffie-Hellman private keys, X9.42 Diffie-Hellman private keys, EC (also related to ECDSA) private keys and DSA private keys. For wrapping, a private key is BER-encoded according to PKCS #8's PrivateKeyInfo ASN.1 type. PKCS #8 requires an algorithm identifier for the type of the private key. The object identifiers for the required algorithm identifiers are as follows:

```
rsaEncryption OBJECT IDENTIFIER ::= { pkcs-1 1 }
dhKeyAgreement OBJECT IDENTIFIER ::= { pkcs-3 1 }
dhpublicnumber OBJECT IDENTIFIER ::= { iso(1) member-body(2)
        us(840) ansi-x942(10046) number-type(2) 1 }
id-ecPublicKey OBJECT IDENTIFIER ::= { iso(1) member-body(2)
        us(840) ansi-x9-62(10045) publicKeyType(2) 1 }
id-dsa OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) us(840) x9-57(10040) x9cm(4) 1 }
where
pkcs-1 OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) US(840) rsadsi(113549) pkcs(1) 1 }
pkcs-3 OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) US(840) rsadsi(113549) pkcs(1) 3 }
These parameters for the algorithm identifiers have the
        following types, respectively:
NULL
DHParameter ::= SEQUENCE {
  prime
                      INTEGER,
  base
                      INTEGER,
  privateValueLength INTEGER OPTIONAL
DomainParameters ::= SEQUENCE {
  prime
                      INTEGER,
                      INTEGER,
  base
  subprime
                      INTEGER,
  cofactor
                      INTEGER OPTIONAL,
  validationParms
                      ValidationParms OPTIONAL
}
ValidationParms ::= SEQUENCE {
                 BIT STRING, -- seed
  Seed
  PGenCounter
                             -- parameter verification
                 INTEGER
}
```

For the X9.42 Diffie-Hellman domain parameters, the **cofactor** and the **validationParms** optional fields should not be used when wrapping or unwrapping X9.42 Diffie-Hellman private keys since their values are not stored within the token.

For the EC domain parameters, the use of **namedCurve** is recommended over the choice **ecParameters**. The choice **implicitlyCA** must not be used in Cryptoki.

Within the PrivateKeyInfo type:

- RSA private keys are BER-encoded according to PKCS #1's RSAPrivateKey ASN.1 type. This type requires values to be present for all the attributes specific to Cryptoki's RSA private key objects. In other words, if a Cryptoki library does not have values for an RSA private key's CKA\_MODULUS, CKA\_PUBLIC\_EXPONENT, CKA\_PRIVATE\_EXPONENT, CKA\_PRIME\_1, CKA\_PRIME\_2, CKA\_EXPONENT\_1, CKA\_EXPONENT2, and CKA\_COEFFICIENT values, it must not create an RSAPrivateKey BER-encoding of the key, and so it must not prepare it for wrapping.
- Diffie-Hellman private keys are represented as BER-encoded ASN.1 type INTEGER.
- X9.42 Diffie-Hellman private keys are represented as BER-encoded ASN.1 type INTEGER.
- EC (also related with ECDSA) private keys are BER-encoded according to SECG SEC 1 ECPrivateKey ASN.1 type:

Since the EC domain parameters are placed in the PKCS #8's privateKeyAlgorithm field, the optional **parameters** field in an ECPrivateKey must be omitted. A Cryptoki application must be able to unwrap an ECPrivateKey that contains the optional **publicKey** field; however, what is done with this **publicKey** field is outside the scope of Cryptoki.

DSA private keys are represented as BER-encoded ASN.1 type INTEGER.

Once a private key has been BER-encoded as a PrivateKeyInfo type, the resulting string of bytes is encrypted with the secret key. This encryption must be done in CBC mode with PKCS padding.

Unwrapping a wrapped private key undoes the above procedure. The CBC-encrypted ciphertext is decrypted, and the PKCS padding is removed. The data thereby obtained are parsed as a PrivateKeyInfo type, and the wrapped key is produced. An error will result if the original wrapped key does not decrypt properly, or if the decrypted unpadded data does not parse properly, or its type does not match the key type specified in the template for the new key. The unwrapping mechanism contributes

only those attributes specified in the PrivateKeyInfo type to the newly-unwrapped key; other attributes must be specified in the template, or will take their default values.

Earlier drafts of PKCS #11 Version 2.0 and Version 2.01 used the object identifier

with associated parameters

```
DSAParameters ::= SEQUENCE {
  prime1 INTEGER, -- modulus p
  prime2 INTEGER, -- modulus q
  base INTEGER -- base g
}
```

for wrapping DSA private keys. Note that although the two structures for holding DSA domain parameters appear identical when instances of them are encoded, the two corresponding object identifiers are different.

# 2.6 Generic secret key

Table 44, Generic Secret Key Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_GENERIC _SECRET_KEY _GEN					<b>√</b>		

#### 2.6.1 Definitions

This section defines the key type "CKK\_GENERIC\_SECRET" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

Mechanisms:

CKM\_GENERIC\_SECRET\_KEY\_GEN

# 2.6.2 Generic secret key objects

Generic secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_GENERIC\_SECRET**) hold generic secret keys. These keys do not support encryption or decryption; however, other keys can be derived from them and they can be used in HMAC operations. The following table defines the generic secret key object attributes, in addition to the common attributes defined for this object class:

These key types are used in several of the mechanisms described in this section.

Table 45, Generic Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value (arbitrary length)
CKA_VALUE_LEN <sup>2,3</sup>	CK_ULONG	Length in bytes of key value

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The following is a sample template for creating a generic secret key object:

```
CK_OBJECT_CLASS class = CKO_SECRET_KEY;
CK_KEY_TYPE keyType = CKK_GENERIC_SECRET;
CK_UTF8CHAR label[] = "A generic secret key object";
CK_BYTE value[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_DERIVE, &true, sizeof(true)},
    {CKA_VALUE, value, sizeof(value)}
};
```

CKA\_CHECK\_VALUE: The value of this attribute is derived from the key object by taking the first three bytes of the SHA-1 hash of the generic secret key object's CKA\_VALUE attribute.

# 2.6.3 Generic secret key generation

The generic secret key generation mechanism, denoted **CKM\_GENERIC\_SECRET\_KEY\_GEN**, is used to generate generic secret keys. The generated keys take on any attributes provided in the template passed to the **C\_GenerateKey** call, and the **CKA\_VALUE\_LEN** attribute specifies the length of the key to be generated.

It does not have a parameter.

The template supplied must specify a value for the **CKA\_VALUE\_LEN** attribute. If the template specifies an object type and a class, they must have the following values:

```
CK_OBJECT_CLASS = CKO_SECRET_KEY;
CK_KEY_TYPE = CKK_GENERIC_SECRET;
```

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of key sizes, in bits.

#### 2.7 HMAC mechanisms

Refer to **RFC2104** and **FIPS 198** for HMAC algorithm description.. The HMAC secret key shall correspond to the PKCS11 generic secret key type or the mechanism specific key types (see mechanism definition). Such keys, for use with HMAC operations can be created using C\_CreateObject or C\_GenerateKey.

The RFC also specifies test vectors for the various hash function based HMAC mechanisms described in the respective hash mechanism descriptions. The RFC should be consulted to obtain these test vectors.

#### **2.8 AES**

For the Advanced Encryption Standard (AES) see [FIPS PUB 197].

Table 46, AES Mechanisms vs. Functions

				Function	ıs		
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest		&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap	
					Key		
					Pair		
CKM_AES_KEY_GEN					<b>✓</b>		
CKM_AES_ECB	✓					✓	
CKM_AES_CBC	✓					✓	
CKM_AES_CBC_PAD	✓					✓	
CKM_AES_MAC_GENERAL		✓					
CKM_AES_MAC		✓					
CKM_AES_OFB	✓					✓	
CKM_AES_CFB64	✓					✓	
CKM_AES_CFB8	✓					✓	
CKM_AES_CFB128	✓					✓	
CKM_AES_CFB1	✓					✓	
CKM_AES_XCBC_MAC		✓					
CKM_AES_XCBC_MAC_96		✓					

### 2.8.1 Definitions

This section defines the key type "CKK\_AES" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

#### Mechanisms:

CKM\_AES\_KEY\_GEN

CKM\_AES\_ECB

CKM\_AES\_CBC

CKM\_AES\_MAC

CKM\_AES\_MAC\_GENERAL

CKM\_AES\_CBC\_PAD

CKM\_AES\_OFB

CKM\_AES\_CFB64

CKM\_AES\_CFB8

CKM\_AES\_CFB128

CKM\_AES\_CFB1

CKM AES XCBC MAC

CKM\_AES\_XCBC\_MAC\_96

# 2.8.2 AES secret key objects

AES secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_AES**) hold AES keys. The following table defines the AES secret key object attributes, in addition to the common attributes defined for this object class:

Table 47, AES Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value (16, 24, or 32 bytes)
CKA_VALUE_LEN <sup>2,3,6</sup>	CK_ULONG	Length in bytes of key value

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The following is a sample template for creating an AES secret key object:

```
CK_OBJECT_CLASS class = CKO_SECRET_KEY;
CK_KEY_TYPE keyType = CKK_AES;
CK_UTF8CHAR label[] = "An AES secret key object";
CK_BYTE value[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_ENCRYPT, &true, sizeof(true)},
    {CKA_VALUE, value, sizeof(value)}
};
```

CKA\_CHECK\_VALUE: The value of this attribute is derived from the key object by taking the first three bytes of the ECB encryption of a single block of null (0x00) bytes, using the default cipher associated with the key type of the secret key object.

# 2.8.3 AES key generation

The AES key generation mechanism, denoted **CKM\_AES\_KEY\_GEN**, is a key generation mechanism for NIST's Advanced Encryption Standard.

It does not have a parameter.

The mechanism generates AES keys with a particular length in bytes, as specified in the **CKA VALUE LEN** attribute of the template for the key.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the AES key type (specifically, the flags indicating which functions the key supports) may be specified in the template for the key, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.8.4 **AES-ECB**

AES-ECB, denoted **CKM\_AES\_ECB**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on NIST Advanced Encryption Standard and electronic codebook mode.

It does not have a parameter.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports. For wrapping, the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size minus one null bytes so that the resulting length is a multiple of the block size. The output data is the same length as the padded input data. It does not wrap the key type, key length, or any other information about the key; the application must convey these separately.

For unwrapping, the mechanism decrypts the wrapped key, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one, and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

Constraints on key types and the length of data are summarized in the following table:

Table 48, AES-ECB: Key And Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	AES	multiple of block size	same as input length	no final part
C_Decrypt	AES	multiple of block size	same as input length	no final part
C_WrapKey	AES	any	input length rounded up to multiple of block size	
C_UnwrapKey	AES	multiple of block size	determined by type of key being unwrapped or CKA_VALUE_LEN	

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.8.5 **AES-CBC**

AES-CBC, denoted **CKM\_AES\_CBC**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on NIST's Advanced Encryption Standard and cipher-block chaining mode.

It has a parameter, a 16-byte initialization vector.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports. For wrapping, the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size minus one null bytes so that the resulting length is a multiple of the block size. The output data is the same length as the padded input data. It does not wrap the key type, key length, or any other information about the key; the application must convey these separately.

For unwrapping, the mechanism decrypts the wrapped key, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one, and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

Constraints on key types and the length of data are summarized in the following table:

Table 49, AES-CBC: Key And Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	AES	multiple of block size	same as input length	no final part
C_Decrypt	AES	multiple of block size	same as input length	no final part
C_WrapKey	AES	any	input length rounded up to multiple of the block size	
C_UnwrapKey	AES	multiple of block size	determined by type of key being unwrapped or CKA_VALUE_LEN	

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

### 2.8.6 AES-CBC with PKCS padding

AES-CBC with PKCS padding, denoted **CKM\_AES\_CBC\_PAD**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on NIST's Advanced Encryption Standard; cipher-block chaining mode; and the block cipher padding method detailed in PKCS #7

It has a parameter, a 16-byte initialization vector.

The PKCS padding in this mechanism allows the length of the plaintext value to be recovered from the ciphertext value. Therefore, when unwrapping keys with this mechanism, no value should be specified for the **CKA\_VALUE\_LEN** attribute.

In addition to being able to wrap and unwrap secret keys, this mechanism can wrap and unwrap RSA, Diffie-Hellman, X9.42 Diffie-Hellman, EC (also related to ECDSA) and DSA private keys (see Section 2.5 for details). The entries in the table below for data length constraints when wrapping and unwrapping keys do not apply to wrapping and unwrapping private keys.

Constraints on key types and the length of data are summarized in the following table:

Table 50, AES-CBC with PKCS Padding: Key And Data Length

Function	Key type	Input length	Output length
C_Encrypt	AES	any	input length rounded up to multiple of the block size
C_Decrypt	AES	multiple of block size	between 1 and block size bytes shorter than input length
C_WrapKey	AES	any	input length rounded up to multiple of the block size
C_UnwrapKey	AES	multiple of block size	between 1 and block length bytes shorter than input length

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.8.7 **AES-OFB**

AES-OFB, denoted CKM\_AES\_OFB. It is a mechanism for single and multiple-part encryption and decryption with AES. AES-OFB mode is described in [NIST sp800-38a].

It has a parameter, an initialization vector for this mode. The initialization vector has the same length as the block size.

Constraints on key types and the length of data are summarized in the following table:

Table 51, AES-OFB: Key And Data Length

Function	Key type	Input length	Output length	Comments	
C_Encrypt	AES	any	same as input length	no final part	
C_Decrypt	AES	any	same as input length	no final part	

For this mechanism the CK\_MECHANISM\_INFO structure is as specified for CBC mode.

#### 2.8.8 **AES-CFB**

Cipher AES has a cipher feedback mode, AES-CFB, denoted CKM\_AES\_CFB8, CKM\_AES\_CFB64, and CKM\_AES\_CFB128. It is a mechanism for single and multiple-part encryption and decryption with AES. AES-OFB mode is described [NIST sp800-38a].

It has a parameter, an initialization vector for this mode. The initialization vector has the same length as the block size.

Constraints on key types and the length of data are summarized in the following table:

Table 52, AES-CFB: Key And Data Length

Function	Key type	Input length	Output length	Comments	
C_Encrypt	AES	any	same as input length	no final part	
C_Decrypt	AES	any	same as input length	no final part	

For this mechanism the CK\_MECHANISM\_INFO structure is as specified for CBC mode.

### 2.8.9 General-length AES-MAC

General-length AES-MAC, denoted **CKM\_AES\_MAC\_GENERAL**, is a mechanism for single- and multiple-part signatures and verification, based on NIST Advanced Encryption Standard as defined in FIPS PUB 197 and data authentication as defined in FIPS PUB 113.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS** structure, which specifies the output length desired from the mechanism.

The output bytes from this mechanism are taken from the start of the final AES cipher block produced in the MACing process.

Constraints on key types and the length of data are summarized in the following table:

Table 53, General-length AES-MAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	AES	any	0-block size, as specified in parameters
C_Verify	AES	any	0-block size, as specified in parameters

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.8.10 AES-MAC

AES-MAC, denoted by **CKM\_AES\_MAC**, is a special case of the general-length AES-MAC mechanism. AES-MAC always produces and verifies MACs that are half the block size in length.

It does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 54, AES-MAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	AES	Any	½ block size (8 bytes)
C_Verify	AES	Any	½ block size (8 bytes)

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.8.11 AES-XCBC-MAC

AES-XCBC-MAC, denoted **CKM\_AES\_XCBC\_MAC**, is a mechanism for single and multiple part signatures and verification; based on NIST's Advanced Encryption Standard and [RFC 3566].

It does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 55, AES-XCBC-MAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	AES	Any	16 bytes
C_Verify	AES	Any	16 bytes

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.8.12 AES-XCBC-MAC-96

AES-XCBC-MAC-96, denoted **CKM\_AES\_XCBC\_MAC-96**, is a mechanism for single and multiple part signatures and verification; based on NIST's Advanced Encryption Standard and [RFC 3566].

It does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 56, AES-XCBC-MAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	AES	Any	12 bytes
C_Verify	AES	Any	12 bytes

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.9 AES with Counter

Table 57, AES with Counter Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_AES_CTR	<b>√</b>					✓	·

#### 2.9.1 Definitions

Mechanisms:

### 2.9.2 AES with Counter mechanism parameters

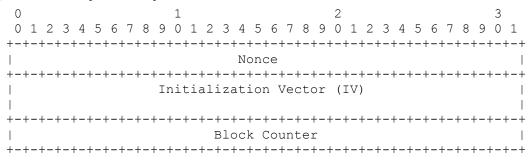
#### ◆ CK AES CTR PARAMS; CK AES CTR PARAMS PTR

**CK\_AES\_CTR\_PARAMS** is a structure that provides the parameters to the **CKM\_AES\_CTR** mechanism. It is defined as follows:

ulCounterBits specifies the number of bits in the counter block (cb) that shall be incremented. This number shall be such that 0 < ulCounterBits <= 128. For any values outside this range the mechanism shall return **CKR\_MECHANISM\_PARAM\_INVALID**.

It's up to the caller to initialize all of the bits in the counter block including the counter bits. The counter bits are the least significant bits of the counter block (cb). They are a big-endian value usually starting with 1. The rest of 'cb' is for the nonce, and maybe an optional IV.

E.g. as defined in [RFC 3686]:



This construction permits each packet to consist of up to  $2^{32}$ -1 blocks = 4,294,967,295 blocks = 68,719,476,720 octets.

CK\_AES\_CTR\_PARAMS\_PTR is a pointer to a CK\_AES\_CTR\_PARAMS.

### 2.9.3 AES with Counter Encryption / Decryption

Generic AES counter mode is described in NIST Special Publication 800-38A and in RFC 3686. These describe encryption using a counter block which may include a nonce to guarantee uniqueness of the counter block. Since the nonce is not incremented, the mechanism parameter must specify the number of counter bits in the counter block.

The block counter is incremented by 1 after each block of plaintext is processed. There is no support for any other increment functions in this mechanism.

If an attempt to encrypt/decrypt is made which will cause an overflow of the counter block's counter bits, then the mechanism shall return **CKR\_DATA\_LEN\_RANGE**. Note that the mechanism should allow the final post increment of the counter to overflow (if it implements it this way) but not allow any further processing after this point. E.g. if ulCounterBits = 2 and the counter bits start as 1 then only 3 blocks of data can be processed.

# 2.10 AES CBC with Cipher Text Stealing CTS

Ref [NIST AES CTS]

This mode allows unpadded data that has length that is not a multiple of the block size to be encrypted to the same length of cipher text.

Table 58, AES CBC with Cipher Text Stealing CTS Mechanisms vs. Functions

		Functions					
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_AES_CTS	✓					✓	

### 2.10.1 Definitions

Mechanisms:

CKM\_AES\_CTS

### 2.10.2 AES CTS mechanism parameters

It has a parameter, a 16-byte initialization vector.

Table 59, AES-CTS: Key And Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	AES	Any, ≥ block size (16 bytes)	same as input length	no final part
C_Decrypt	AES	any, ≥ block size (16 bytes)	same as input length	no final part

### 2.11 Additional AES Mechanisms

Table 60, Additional AES Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_AES_GCM	✓					✓	
CKM_AES_CCM	✓					✓	
CKM_AES_GMAC		✓					✓

### 2.11.1 Definitions

Mechanisms:

CKM\_AES\_GCM CKM\_AES\_CCM CKM AES GMAC

### 2.12 AES-GCM Authenticated Encryption / Decryption

Generic GCM mode is described in [GCM]. To set up for AES-GCM use the following process, where *K* (key) and *AAD* (additional authenticated data) are as described in [GCM].

#### Encrypt:

- Set the IV length *ullvLen* in the parameter block.
- Set the IV data plv in the parameter block. plV may be NULL if ullvLen is 0.
- Set the AAD data pAAD and size ulAADLen in the parameter block. pAAD may be NULL if ulAADLen is 0.
- Set the tag length *ulTagBits* in the parameter block.
- Call C\_EncryptInit() for CKM\_AES\_GCM mechanism with parameters and key K.
- Call C\_Encrypt(), or C\_EncryptUpdate()\*3 C\_EncryptFinal(), for the plaintext obtaining ciphertext and authentication tag output.

#### Decrypt:

- . Set the IV length *ullvLen* in the parameter block.
- Set the IV data plv in the parameter block. plV may be NULL if ullvLen is 0.
- Set the AAD data *pAAD* and size *ulAADLen* in the parameter block. *pAAD may* be NULL if ulAADLen is 0.
- Set the tag length ulTagBits in the parameter block.
- Call C\_DecryptInit() for **CKM\_AES\_GCM** mechanism with parameters and key *K*.
- Call C\_Decrypt(), or C\_DecryptUpdate()\*1 C\_DecryptFinal(), for the ciphertext, including the appended tag, obtaining plaintext output. Note: since **CKM\_AES\_GCM** is an AEAD cipher, no data should be returned until C\_Decrypt() or C\_DecryptFinal().

In *plv* the least significant bit of the initialization vector is the rightmost bit. *ullvLen* is the length of the initialization vector in bytes.

The tag is appended to the cipher text and the least significant bit of the tag is the rightmost bit and the tag bits are the rightmost *ulTagBits* bits.

The key type for K must be compatible with **CKM\_AES\_ECB** and the C\_EncryptInit/C\_DecryptInit calls shall behave, with respect to K, as if they were called directly with **CKM\_AES\_ECB**, K and NULL parameters.

# 2.12.1 AES-CCM authenticated Encryption / Decryption

For IPsec (RFC 4309) and also for use in ZFS encryption. Generic CCM mode is described in [RFC 3610].

To set up for AES-CCM use the following process, where K (key), nonce and additional authenticated data are as described in [RFC 3610].

#### Encrypt:

• Set the message/data length *ulDataLen* in the parameter block.

<sup>3</sup> 

<sup>&</sup>quot;\*" indicates 0 or more calls may be made as required

- Set the nonce length *ulNonceLen* and the nonce data *pNonce* in the parameter block. *pNonce* may be NULL *if ulNonceLen* is 0.
- Set the AAD data *pAAD* and size *ulAADLen* in the parameter block. *pAAD may* be NULL if *ulAADLen* is 0.
- Set the MAC length *ulMACLen* in the parameter block.
- Call C\_EncryptInit() for CKM\_AES\_CCM mechanism with parameters and key K.
- Call C\_Encrypt(),C\_DecryptUpdate(), or C\_EncryptFinal(), for the plaintext obtaining ciphertext output obtaining the final ciphertext output and the MAC. The total length of data processed must be ulDataLen. The output length will be ulDataLen + ulMACLen.

#### Decrypt:

- Set the message/data length *ulDataLen* in the parameter block. This length should not include the length of the MAC that is appended to the cipher text.
- Set the nonce length *ulNonceLen* and the nonce data *pNonce* in the parameter block. *pNonce* may be NULL *if ulNonceLen* is 0.
- Set the AAD data pAAD and size ulAADLen in the parameter block. pAAD may be NULL if ulAADLen is 0.
- Set the MAC length *ulMACLen* in the parameter block.
- Call C\_DecryptInit() for CKM\_AES\_CCM mechanism with parameters and key K.
- Call C\_Decrypt(), C\_DecryptUpdate(), or C\_DecryptFinal(), for the ciphertext, including the appended MAC, obtaining plaintext output. The total length of data processed must be ulDataLen + ulMACLen. Note: since CKM\_AES\_CCM is an AEAD cipher, no data should be returned until C\_Decrypt() or C\_DecryptFinal().

The key type for *K* must be compatible with **CKM\_AES\_ECB** and the C\_EncryptInit/C\_DecryptInit calls shall behave, with respect to K, as if they were called directly with **CKM\_AES\_ECB**, *K* and NULL parameters.

#### 2.12.2 **AES-GMAC**

AES-GMAC, denoted **CKM\_AES\_GMAC**, is a mechanism for single and multiple-part signatures and verification. It is described in NIST Special Publication 800-38D [GMAC]. GMAC is a special case of GCM that authenticates only the Additional Authenticated Data (AAD) part of the GCM mechanism parameters. When HMAC is used with C\_Sign or C\_Verify, pData points to the AAD. HMAC does not use plaintext or ciphertext.

The signature produced by HMAC, also referred to as a Tag, is 16 bytes long.

Its single mechanism parameter is a 12 byte initialization vector (IV).

Constraints on key types and the length of data are summarized in the following table:

Table 61, AES-GMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_AES	< 2^64	16 bytes
C_Verify	CKK_AES	< 2^64	16 bytes

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

### 2.12.3 AES GCM and CCM Mechanism parameters

### ◆ CK GCM PARAMS; CK GCM PARAMS PTR

CK\_GCM\_PARAMS is a structure that provides the parameters to the CKM\_AES\_GCM mechanism. It is defined as follows:

```
typedef struct CK_GCM_PARAMS {
   CK_BYTE_PTR pIv;
   CK_ULONG ullvLen;
   CK_BYTE_PTR pAAD;
   CK_ULONG ulAADLen;
   CK_ULONG ulTagBits;
} CK_GCM_PARAMS;
```

The fields of the structure have the following meanings:

plv pointer to initialization vector

ullvLen length of initialization vector in bytes. The length of the initialization

vector can be any number between 1 and 256. 96-bit (12 byte) IV values can be processed more efficiently, so that length is recommended for situations in which efficiency is critical.

pAAD pointer to additional authentication data. This data is authenticated

but not encrypted.

ulAADLen length of pAAD in bytes.

ulTagBits length of authentication tag (output following cipher text) in bits. Can

be any value between 0 and 128.

**CK\_GCM\_PARAMS\_PTR** is a pointer to a CK\_GCM\_PARAMS.

### ◆ CK CCM PARAMS; CK CCM PARAMS PTR

**CK\_CCM\_PARAMS** is a structure that provides the parameters to the **CKM\_AES\_CCM** mechanism. It is defined as follows:

```
typedef struct CK_CCM_PARAMS {
    CK_ULONG ulDataLen; /*plaintext or ciphertext*/
    CK_BYTE_PTR pNonce;
    CK_ULONG ulNonceLen;
    CK_BYTE_PTR pAAD;
    CK_ULONG ulAADLen;
    CK_ULONG ulMACLen;
} CK_CCM_PARAMS;
```

The fields of the structure have the following meanings, where L is the size in bytes of the data length's length (2 < L < 8):

ulDataLen length of the data where 0 <= ulDataLen < 28L.

pNonce the nonce.

ulNonceLen length of pNonce (<= 15-L) in bytes.

pAAD Additional authentication data. This data is authenticated but not

encrypted.

ulAADLen length of pAuthData in bytes.

ulMACLen length of the MAC (output following cipher text) in bytes. Valid

values are 4, 6, 8, 10, 12, 14, and 16.

CK CCM PARAMS PTR is a pointer to a CK CCM PARAMS.

### 2.12.4 AES-GCM authenticated Encryption / Decryption

Generic GCM mode is described in [GCM]. To set up for AES-GCM use the following process, where *K* (key) and *AAD* (additional authenticated data) are as described in [GCM].

#### Encrypt:

- Set the IV length *ullvLen* in the parameter block.
- Set the IV data plv in the parameter block. plV may be NULL if ullvLen is 0.
- Set the AAD data *pAAD* and size *ulAADLen* in the parameter block. *pAAD m*ay be NULL if *ulAADLen* is 0.
- Set the tag length ulTagBits in the parameter block.
- Call C\_EncryptInit() for **CKM\_AES\_GCM** mechanism with parameters and key *K*.
- Call C\_Encrypt(), or C\_EncryptUpdate()\*4 C\_EncryptFinal(), for the plaintext obtaining ciphertext and authentication tag output.

#### Decrypt:

- . Set the IV length *ullvLen* in the parameter block.
- Set the IV data plv in the parameter block. plV may be NULL if ullvLen is 0.
- Set the AAD data *pAAD* and size *ulAADLen* in the parameter block. *pAAD m*ay be NULL if ulAADLen is 0.
- Set the tag length *ulTagBits* in the parameter block.
- Call C DecryptInit() for CKM AES GCM mechanism with parameters and key K.
- Call C\_Decrypt(), or C\_DecryptUpdate()\*1 C\_DecryptFinal(), for the ciphertext, including the appended tag, obtaining plaintext output.

In *plv* the least significant bit of the initialization vector is the rightmost bit. *ullvLen* is the length of the initialization vector in bytes.

The tag is appended to the cipher text and the least significant bit of the tag is the rightmost bit and the tag bits are the rightmost *ulTagBits* bits.

The key type for K must be compatible with **CKM\_AES\_ECB** and the C\_EncryptInit/C\_DecryptInit calls shall behave, with respect to K, as if they were called directly with **CKM\_AES\_ECB**, K and NULL parameters.

# 2.12.5 AES-CCM authenticated Encryption / Decryption

For IPsec (RFC 4309) and also for use in ZFS encryption. Generic CCM mode is described in [RFC 3610].

To set up for AES-CCM use the following process, where K (key), nonce and additional authenticated data are as described in [RFC 3610].

<sup>4 &</sup>quot;\*" indicates 0 or more calls may be made as required

#### Encrypt:

- Set the message/data length *ulDataLen* in the parameter block.
- Set the nonce length *ulNonceLen* and the nonce data *pNonce* in the parameter block. *pNonce* may be NULL *if ulNonceLen* is 0.
- Set the AAD data pAAD and size ulAADLen in the parameter block. pAAD may be NULL if ulAADLen is 0.
- Set the MAC length *ulMACLen* in the parameter block.
- Call C\_EncryptInit() for CKM\_AES\_CCM mechanism with parameters and key K.
- Call C\_Encrypt(), or C\_DecryptUpdate()\*<sup>4</sup> C\_EncryptFinal(), for the plaintext obtaining ciphertext output obtaining the final ciphertext output and the MAC. The total length of data processed must be *ulDataLen*. The output length will be *ulDataLen* + *ulMACLen*.

#### Decrypt:

- Set the message/data length *ulDataLen* in the parameter block. This length should not include the length of the MAC that is appended to the cipher text.
- Set the nonce length *ulNonceLen* and the nonce data *pNonce* in the parameter block. *pNonce* may be NULL *if ulNonceLen* is 0.
- Set the AAD data pAAD and size ulAADLen in the parameter block. pAAD may be NULL if ulAADLen is 0.
- Set the MAC length *ulMACLen* in the parameter block.
- Call C\_DecryptInit() for CKM\_AES\_CCM mechanism with parameters and key K.
- Call C\_Decrypt(), or C\_DecryptUpdate()\*<sup>4</sup> C\_DecryptFinal(), for the ciphertext, including the appended MAC, obtaining plaintext output. The total length of data processed must be *ulDataLen* + *ulMACLen*

The key type for *K* must be compatible with **CKM\_AES\_ECB** and the C\_EncryptInit/C\_DecryptInit calls shall behave, with respect to K, as if they were called directly with **CKM\_AES\_ECB**, *K* and NULL parameters.

#### 2.13 AES CMAC

Table 62, Mechanisms vs. Functions

				Function	s		
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest		&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap	
					Key		
					Pair		
CKM_AES_CMAC_GENERAL		✓					
CKM_AES_CMAC		✓					

<sup>1</sup> SR = SignRecover, VR = VerifyRecover

#### 2.13.1 Definitions

#### Mechanisms:

CKM\_AES\_CMAC\_GENERAL CKM\_AES\_CMAC

### 2.13.2 Mechanism parameters

CKM\_AES\_CMAC\_GENERAL uses the existing **CK\_MAC\_GENERAL\_PARAMS** structure. CKM\_AES\_CMAC does not use a mechanism parameter.

### 2.13.3 General-length AES-CMAC

General-length AES-CMAC, denoted **CKM\_AES\_CMAC\_GENERAL**, is a mechanism for single- and multiple-part signatures and verification, based on [NIST SP800-38B] and [RFC 4493].

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS** structure, which specifies the output length desired from the mechanism.

The output bytes from this mechanism are taken from the start of the final AES cipher block produced in the MACing process.

Constraints on key types and the length of data are summarized in the following table:

Table 63, General-length AES-CMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_AES	any	0-block size, as specified in parameters
C_Verify	CKK_AES	any	0-block size, as specified in parameters

References [NIST SP800-38B] and [RFC 4493] recommend that the output MAC is not truncated to less than 64 bits. The MAC length must be specified before the communication starts, and must not be changed during the lifetime of the key. It is the caller's responsibility to follow these rules.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

#### 2.13.4 **AES-CMAC**

AES-CMAC, denoted **CKM\_AES\_CMAC**, is a special case of the general-length AES-CMAC mechanism. AES-MAC always produces and verifies MACs that are a full block size in length, the default output length specified by [RFC 4493].

Constraints on key types and the length of data are summarized in the following table:

Table 64, AES-CMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_AES	any	Block size (16 bytes)
C_Verify	CKK_AES	any	Block size (16 bytes)

References [NIST SP800-38B] and [RFC 4493] recommend that the output MAC is not truncated to less than 64 bits. The MAC length must be specified before the communication starts, and must not be changed during the lifetime of the key. It is the caller's responsibility to follow these rules.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of AES key sizes, in bytes.

# 2.14 AES Key Wrap

Table 65, AES Key Wrap Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	&		Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_AES_KEY_WRAP						✓	
CKM_AES_KEY_WRAP_PAD	✓						
1	•	•		•	•		

<sup>&</sup>lt;sup>1</sup>SR = SignRecover, VR = VerifyRecover

#### 2.14.1 Definitions

Mechanisms:

CKM\_AES\_KEY\_WRAP CKM AES KEY WRAP PAD

### 2.14.2 AES Key Wrap Mechanism parameters

The mechanisms will accept an optional mechanism parameter as the Initialization vector which, if present, must be a fixed size array of 8 bytes, and, if NULL, will use the default initial value defined in Section 2.2.3.1 of [AES KEYWRAP].

The type of this parameter is CK\_BYTE\_PTR and the pointer points to the array of 8 bytes to be used as the initial value. The length shall be either 0 and the pointer NULL, or 8, and the pointer non-NULL.

### 2.14.3 AES Key Wrap

The mechanisms support only single-part operations, single part wrapping and unwrapping, and single-part encryption and decryption.

The CKM\_AES\_KEY\_WRAP mechanism can wrap a key of any length. A key whose length is not a multiple of the AES Key Wrap block size (8 bytes) will be zero padded to fit. The CKM\_AES\_KEY\_WRAP mechanism can only encrypt a block of data whose size is an exact multiple of the AES Key Wrap algorithm block size.

The CKM\_AES\_KEY\_WRAP\_PAD mechanism can wrap a key or block of data of any length. It does the usual padding of inputs (keys or data blocks) that are not multiples of the AES Key Wrap algorithm block size, always producing wrapped output that is larger than the input key/data to be wrapped. This padding is done by the token before being passed to the AES key wrap algorithm, which adds an 8 byte AES Key Wrap algorithm block of data.

# 2.15 Key derivation by data encryption – DES & AES

These mechanisms allow derivation of keys using the result of an encryption operation as the key value. They are for use with the C\_DeriveKey function.

Table 66, Key derivation by data encryption Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_DES_ECB_ENCRYPT_DATA							✓
CKM_DES_CBC_ENCRYPT_DATA							✓
CKM_DES3_ECB_ENCRYPT_DATA							✓

				Function	S		
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	<b>&amp;</b>	Digest	I/au/	&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/ Key	Unwrap	
					Pair		
CKM_DES3_CBC_ENCRYPT_DATA							✓
CKM_AES_ECB_ENCRYPT_DATA							✓
CKM_AES_CBC_ENCRYPT_DATA							✓

#### 2.15.1 Definitions

CKM\_DES\_ECB\_ENCRYPT\_DATA
CKM\_DES\_CBC\_ENCRYPT\_DATA

Mechanisms:

```
CKM_DES3_ECB_ENCRYPT_DATA
  CKM DES3 CBC ENCRYPT DATA
  CKM_AES_ECB_ENCRYPT_DATA
  CKM_AES_CBC_ENCRYPT_DATA
typedef struct CK DES CBC ENCRYPT DATA PARAMS {
  CK BYTE
               iv[8];
  CK BYTE PTR pData;
  CK ULONG
               length;
} CK DES CBC ENCRYPT DATA PARAMS;
typedef CK DES CBC ENCRYPT DATA PARAMS CK PTR
        CK DES CBC ENCRYPT DATA PARAMS PTR;
typedef struct CK AES CBC ENCRYPT DATA PARAMS {
               iv[16];
  CK BYTE
  CK BYTE PTR pData;
  CK ULONG
               length;
} CK AES CBC ENCRYPT DATA PARAMS;
typedef CK AES CBC ENCRYPT DATA PARAMS CK PTR
CK AES CBC ENCRYPT DATA PARAMS PTR;
```

#### 2.15.2 Mechanism Parameters

Uses CK\_KEY\_DERIVATION\_STRING\_DATA as defined in section 2.31.2

Table 67, Mechanism Parameters

CKM_DES_ECB_ENCRYPT_DATA CKM_DES3_ECB_ENCRYPT_DATA	Uses CK_KEY_DERIVATION_STRING_DATA structure. Parameter is the data to be encrypted and must be a multiple of 8 bytes long.
CKM_AES_ECB_ENCRYPT_DATA	Uses CK_KEY_DERIVATION_STRING_DATA structure. Parameter is the data to be encrypted and must be a multiple of 16 long.
CKM_DES_CBC_ENCRYPT_DATA CKM_DES3_CBC_ENCRYPT_DATA	Uses CK_DES_CBC_ENCRYPT_DATA_PARAMS. Parameter is an 8 byte IV value followed by the data.

	The data value part must be a multiple of 8 bytes long.
CKM_AES_CBC_ENCRYPT_DATA	Uses CK_AES_CBC_ENCRYPT_DATA_PARAMS. Parameter is an 16 byte IV value followed by the data. The data value part must be a multiple of 16 bytes long.

### 2.15.3 Mechanism Description

The mechanisms will function by performing the encryption over the data provided using the base key. The resulting cipher text shall be used to create the key value of the resulting key. If not all the cipher text is used then the part discarded will be from the trailing end (least significant bytes) of the cipher text data. The derived key shall be defined by the attribute template supplied but constrained by the length of cipher text available for the key value and other normal PKCS11 derivation constraints.

Attribute template handling, attribute defaulting and key value preparation will operate as per the SHA-1 Key Derivation mechanism in section 2.18.5.

If the data is too short to make the requested key then the mechanism returns CKR\_DATA\_LEN\_RANGE.

### 2.16 Double and Triple-length DES

Table 68, Double and Triple-Length DES Mechanisms vs. Functions

				Function	S		
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest		&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap	
					Key		
					Pair		
CKM_DES2_KEY_GEN					<b>√</b>		
CKM_DES3_KEY_GEN					<b>✓</b>		
CKM_DES3_ECB	<b>√</b>					✓	
CKM_DES3_CBC	✓					✓	
CKM_DES3_CBC_PAD	✓					✓	
CKM_DES3_MAC_GENERAL		✓					
CKM_DES3_MAC		✓					

#### 2.16.1 Definitions

This section defines the key type "CKK\_DES2" and "CKK\_DES3" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

#### Mechanisms:

CKM\_DES2\_KEY\_GEN

CKM\_DES3\_KEY\_GEN

CKM\_DES3\_ECB

CKM\_DES3\_CBC

CKM DES3 MAC

CKM\_DES3\_MAC\_GENERAL

CKM\_DES3\_CBC\_PAD

### 2.16.2 DES2 secret key objects

DES2 secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_DES2**) hold double-length DES keys. The following table defines the DES2 secret key object attributes, in addition to the common attributes defined for this object class:

Table 69, DES2 Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value (always 16 bytes long)

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

DES2 keys must always have their parity bits properly set as described in FIPS PUB 46-3 (*i.e.*, each of the DES keys comprising a DES2 key must have its parity bits properly set). Attempting to create or unwrap a DES2 key with incorrect parity will return an error.

The following is a sample template for creating a double-length DES secret key object:

```
CK_OBJECT_CLASS class = CKO_SECRET_KEY;
CK_KEY_TYPE keyType = CKK_DES2;
CK_UTF8CHAR label[] = "A DES2 secret key object";
CK_BYTE value[16] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_ENCRYPT, &true, sizeof(true)},
    {CKA_VALUE, value, sizeof(value)}
};
```

CKA\_CHECK\_VALUE: The value of this attribute is derived from the key object by taking the first three bytes of the ECB encryption of a single block of null (0x00) bytes, using the default cipher associated with the key type of the secret key object.

# 2.16.3 DES3 secret key objects

DES3 secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_DES3**) hold triple-length DES keys. The following table defines the DES3 secret key object attributes, in addition to the common attributes defined for this object class:

Table 70, DES3 Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value (always 24 bytes long)

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

DES3 keys must always have their parity bits properly set as described in FIPS PUB 46-3 (*i.e.*, each of the DES keys comprising a DES3 key must have its parity bits properly set). Attempting to create or unwrap a DES3 key with incorrect parity will return an error.

The following is a sample template for creating a triple-length DES secret key object:

```
CK_OBJECT_CLASS class = CKO_SECRET_KEY;
CK_KEY_TYPE keyType = CKK_DES3;
CK_UTF8CHAR label[] = "A DES3 secret key object";
CK_BYTE value[24] = {...};
```

CKA\_CHECK\_VALUE: The value of this attribute is derived from the key object by taking the first three bytes of the ECB encryption of a single block of null (0x00) bytes, using the default cipher associated with the key type of the secret key object.

### 2.16.4 Double-length DES key generation

The double-length DES key generation mechanism, denoted **CKM\_DES2\_KEY\_GEN**, is a key generation mechanism for double-length DES keys. The DES keys making up a double-length DES key both have their parity bits set properly, as specified in FIPS PUB 46-3.

It does not have a parameter.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the double-length DES key type (specifically, the flags indicating which functions the key supports) may be specified in the template for the key, or else are assigned default initial values.

Double-length DES keys can be used with all the same mechanisms as triple-DES keys: **CKM\_DES3\_ECB**, **CKM\_DES3\_CBC**, **CKM\_DES3\_CBC\_PAD**, **CKM\_DES3\_MAC\_GENERAL**, and **CKM\_DES3\_MAC**. Triple-DES encryption with a double-length DES key is equivalent to encryption with a triple-length DES key with K1=K3 as specified in FIPS PUB 46-3.

When double-length DES keys are generated, it is token-dependent whether or not it is possible for either of the component DES keys to be "weak" or "semi-weak" keys.

### 2.16.5 Triple-length DES Order of Operations

Triple-length DES encryptions are carried out as specified in FIPS PUB 46-3: encrypt, decrypt, encrypt. Decryptions are carried out with the opposite three steps: decrypt, encrypt, decrypt. The mathematical representations of the encrypt and decrypt operations are as follows:

```
DES3-E(\{K1, K2, K3\}, P) = E(K3, D(K2, E(K1, P)))
DES3-D(\{K1, K2, K3\}, C) = D(K1, E(K2, D(K3, P)))
```

### 2.16.6 Triple-length DES in CBC Mode

Triple-length DES operations in CBC mode, with double or triple-length keys, are performed using outer CBC as defined in X9.52. X9.52 describes this mode as TCBC. The mathematical representations of the CBC encrypt and decrypt operations are as follows:

```
DES3-CBC-E(\{K1, K2, K3\}, P) = E(K3, D(K2, E(K1, P + I)))
DES3-CBC-D(\{K1, K2, K3\}, C) = D(K1, E(K2, D(K3, P))) + I
```

The value *I* is either an 8-byte initialization vector or the previous block of cipher text that is added to the current input block. The addition operation is used is addition modulo-2 (XOR).

### 2.16.7 DES and Triple length DES in OFB Mode

Table 71, DES and Triple Length DES in OFB Mode Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_DES_OFB64	✓						
CKM_DES_OFB8	<b>√</b>						
CKM_DES_CFB64	<b>√</b>						
CKM_DES_CFB8	✓						

Cipher DES has a output feedback mode, DES-OFB, denoted **CKM\_DES\_OFB8** and **CKM DES\_OFB64**. It is a mechanism for single and multiple-part encryption and decryption with DES.

It has a parameter, an initialization vector for this mode. The initialization vector has the same length as the block size.

Constraints on key types and the length of data are summarized in the following table:

Table 72, OFB: Key And Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	CKK_DES, CKK_DES2, CKK_DES3	any	same as input length	no final part
C_Decrypt	CKK_DES, CKK_DES2, CKK_DES3	any	same as input length	no final part

For this mechanism the **CK\_MECHANISM\_INFO** structure is as specified for CBC mode.

### 2.16.8 DES and Triple length DES in CFB Mode

Cipher DES has a cipher feedback mode, DES-CFB, denoted **CKM\_DES\_CFB8** and **CKM\_DES\_CFB64**. It is a mechanism for single and multiple-part encryption and decryption with DES.

It has a parameter, an initialization vector for this mode. The initialization vector has the same length as the block size.

Constraints on key types and the length of data are summarized in the following table:

Table 73, CFB: Key And Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	CKK_DES, CKK_DES2, CKK_DES3	any	same as input length	no final part
C_Decrypt	CKK_DES, CKK_DES2, CKK_DES3	any	same as input length	no final part

For this mechanism the **CK\_MECHANISM\_INFO** structure is as specified for CBC mode.

# 2.17 Double and Triple-length DES CMAC

Table 74, Double and Triple-length DES CMAC Mechanisms vs. Functions

Functions					s		
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest	., ,	&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap	
					Key Pair		
OLGAL DEGG OLAGO OFNEDAL					Ган		
CKM_DES3_CMAC_GENERAL		<b>V</b>					
CKM_DES3_CMAC		<b>√</b>					

<sup>1</sup> SR = SignRecover, VR = VerifyRecover.

The following additional DES3 mechanisms have been added.

#### 2.17.1 Definitions

Mechanisms:

CKM\_DES3\_CMAC\_GENERAL CKM DES3 CMAC

### 2.17.2 Mechanism parameters

CKM\_DES3\_CMAC\_GENERAL uses the existing **CK\_MAC\_GENERAL\_PARAMS** structure. CKM\_DES3\_CMAC does not use a mechanism parameter.

### 2.17.3 General-length DES3-MAC

General-length DES3-CMAC, denoted **CKM\_DES3\_CMAC\_GENERAL**, is a mechanism for single- and multiple-part signatures and verification with DES3 or DES2 keys, based on [NIST sp800-38b].

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS** structure, which specifies the output length desired from the mechanism.

The output bytes from this mechanism are taken from the start of the final DES3 cipher block produced in the MACing process.

Constraints on key types and the length of data are summarized in the following table:

Table 75, General-length DES3-CMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_DES3 CKK_DES2	any	0-block size, as specified in parameters
C_Verify	CKK_DES3 CKK_DES2	any	0-block size, as specified in parameters

Reference [NIST sp800-38b] recommends that the output MAC is not truncated to less than 64 bits (which means using the entire block for DES). The MAC length must be specified before the communication starts, and must not be changed during the lifetime of the key. It is the caller's responsibility to follow these rules.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used

#### 2.17.4 DES3-CMAC

DES3-CMAC, denoted **CKM\_DES3\_CMAC**, is a special case of the general-length DES3-CMAC mechanism. DES3-MAC always produces and verifies MACs that are a full block size in length, since the DES3 block length is the minimum output length recommended by [NIST sp800-38b].

Constraints on key types and the length of data are summarized in the following table:

Table 76, DES3-CMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_DES3 CKK_DES2	any	Block size (8 bytes)
C_Verify	CKK_DES3 CKK_DES2	any	Block size (8 bytes)

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

### 2.18 SHA-1

Table 77, SHA-1 Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_SHA_1				✓			
CKM_SHA_1_HMAC_GENERAL		✓					
CKM_SHA_1_HMAC		✓					
CKM_SHA1_KEY_DERIVATION							<b>√</b>

#### 2.18.1 Definitions

Mechanisms:

CKM\_SHA\_1

CKM\_SHA\_1\_HMAC

CKM\_SHA\_1\_HMAC\_GENERAL

CKM\_SHA1\_KEY\_DERIVATION

CKK\_SHA\_1\_HMAC

### 2.18.2 SHA-1 digest

The SHA-1 mechanism, denoted **CKM\_SHA\_1**, is a mechanism for message digesting, following the Secure Hash Algorithm with a 160-bit message digest defined in FIPS PUB 180-2.

It does not have a parameter.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 78, SHA-1: Data Length

Function	Input length	Digest length
C_Digest	any	20

### 2.18.3 General-length SHA-1-HMAC

The general-length SHA-1-HMAC mechanism, denoted **CKM\_SHA\_1\_HMAC\_GENERAL**, is a mechanism for signatures and verification. It uses the HMAC construction, based on the SHA-1 hash function. The keys it uses are generic secret keys and CKK\_SHA\_1\_HMAC.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS**, which holds the length in bytes of the desired output. This length should be in the range 0-20 (the output size of SHA-1 is 20 bytes). Signatures (MACs) produced by this mechanism will be taken from the start of the full 20-byte HMAC output.

Table 79, General-length SHA-1-HMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	generic secret	any	0-20, depending on parameters
C_Verify	generic secret	any	0-20, depending on parameters

#### 2.18.4 SHA-1-HMAC

The SHA-1-HMAC mechanism, denoted **CKM\_SHA\_1\_HMAC**, is a special case of the general-length SHA-1-HMAC mechanism in Section 2.18.3.

It has no parameter, and always produces an output of length 20.

### 2.18.5 SHA-1 key derivation

SHA-1 key derivation, denoted **CKM\_SHA1\_KEY\_DERIVATION**, is a mechanism which provides the capability of deriving a secret key by digesting the value of another secret key with SHA-1.

The value of the base key is digested once, and the result is used to make the value of derived secret key.

- If no length or key type is provided in the template, then the key produced by this mechanism will be a generic secret key. Its length will be 20 bytes (the output size of SHA-1).
- If no key type is provided in the template, but a length is, then the key produced by this mechanism will be a generic secret key of the specified length.
- If no length was provided in the template, but a key type is, then that key type must have a well-defined length. If it does, then the key produced by this mechanism will be of the type specified in the template. If it doesn't, an error will be returned.
- If both a key type and a length are provided in the template, the length must be compatible with that key type. The key produced by this mechanism will be of the specified type and length.

If a DES, DES2, or CDMF key is derived with this mechanism, the parity bits of the key will be set properly.

If the requested type of key requires more than 20 bytes, such as DES3, an error is generated.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_FALSE, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to

CK\_TRUE, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

#### 2.19 SHA-224

Table 80, SHA-224 Mechanisms vs. Functions

				Function	S		
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_SHA224				✓			
CKM_SHA224_HMAC		✓					
CKM_SHA224_HMAC_GENERAL		✓					
CKM_SHA224_RSA_PKCS		✓					
CKM_SHA224_RSA_PKCS_PSS		✓					
CKM_SHA224_KEY_DERIVATION							✓

#### 2.19.1 Definitions

Mechanisms:

CKM SHA224

CKM\_SHA224\_HMAC

CKM SHA224 HMAC GENERAL

CKM\_SHA224\_KEY\_DERIVATION

CKK\_SHA224\_HMAC

### 2.19.2 SHA-224 digest

The SHA-224 mechanism, denoted **CKM\_SHA224**, is a mechanism for message digesting, following the Secure Hash Algorithm with a 224-bit message digest defined in 0.

It does not have a parameter.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 81, SHA-224: Data Length

Function	Input length	Digest length
C_Digest	any	28

### 2.19.3 General-length SHA-224-HMAC

The general-length SHA-224-HMAC mechanism, denoted **CKM\_SHA224\_HMAC\_GENERAL**, is the same as the general-length SHA-1-HMAC mechanism except that it uses the HMAC construction based on the SHA-224 hash function and length of the output should be in the range 0-28. The keys it uses are generic secret keys and CKK\_SHA224\_HMAC. FIPS-198 compliant tokens may require the key length to be at least 14 bytes; that is, half the size of the SHA-224 hash output.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS**, which holds the length in bytes of the desired output. This length should be in the range 0-28 (the output size of SHA-224 is 28 bytes). FIPS-198 compliant tokens may constrain the output length to be at least 4 or 14 (half the maximum length).

Signatures (MACs) produced by this mechanism will be taken from the start of the full 28-byte HMAC output.

Table 82, General-length SHA-224-HMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	generic secret	Any	0-28, depending on parameters
C_Verify	generic secret	Any	0-28, depending on parameters

#### 2.19.4 SHA-224-HMAC

The SHA-224-HMAC mechanism, denoted **CKM\_SHA224\_HMAC**, is a special case of the general-length SHA-224-HMAC mechanism.

It has no parameter, and always produces an output of length 28.

### 2.19.5 SHA-224 key derivation

SHA-224 key derivation, denoted **CKM\_SHA224\_KEY\_DERIVATION**, is the same as the SHA-1 key derivation mechanism in Section 12.21.5 except that it uses the SHA-224 hash function and the relevant length is 28 bytes.

#### 2.20 SHA-256

Table 83, SHA-256 Mechanisms vs. Functions

	Functions							
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive	
CKM_SHA256				✓				
CKM_SHA256_HMAC_GENERAL		✓						
CKM_SHA256_HMAC		✓						
CKM_SHA256_KEY_DERIVATION							✓	

#### 2.20.1 Definitions

Mechanisms:

CKM\_SHA256

CKM\_SHA256\_HMAC

CKM SHA256 HMAC GENERAL

CKM SHA256 KEY DERIVATION

CKK\_SHA256\_HMAC

### 2.20.2 SHA-256 digest

The SHA-256 mechanism, denoted **CKM\_SHA256**, is a mechanism for message digesting, following the Secure Hash Algorithm with a 256-bit message digest defined in FIPS PUB 180-2.

It does not have a parameter.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 84, SHA-256: Data Length

Function	Input length	Digest length
C_Digest	any	32

### 2.20.3 General-length SHA-256-HMAC

The general-length SHA-256-HMAC mechanism, denoted **CKM\_SHA256\_HMAC\_GENERAL**, is the same as the general-length SHA-1-HMAC mechanism in Section 2.18.3, except that it uses the HMAC construction based on the SHA-256 hash function and length of the output should be in the range 0-32. The keys it uses are generic secret keys and CKK\_SHA256\_HMAC. FIPS-198 compliant tokens may require the key length to be at least 16 bytes; that is, half the size of the SHA-256 hash output.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS**, which holds the length in bytes of the desired output. This length should be in the range 0-32 (the output size of SHA-256 is 32 bytes). FIPS-198 compliant tokens may constrain the output length to be at least 4 or 16 (half the maximum length). Signatures (MACs) produced by this mechanism will be taken from the start of the full 32-byte HMAC output.

Table 85, General-length SHA-256-HMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	generic secret	Any	0-32, depending on parameters
C_Verify	generic secret	Any	0-32, depending on parameters

#### 2.20.4 SHA-256-HMAC

The SHA-256-HMAC mechanism, denoted **CKM\_SHA256\_HMAC**, is a special case of the general-length SHA-256-HMAC mechanism in Section 2.20.3.

It has no parameter, and always produces an output of length 32.

# 2.20.5 SHA-256 key derivation

SHA-256 key derivation, denoted CKM\_SHA256\_KEY\_DERIVATION, is the same as the SHA-1 key derivation mechanism in Section 2.18.5, except that it uses the SHA-256 hash function and the relevant length is 32 bytes.

#### 2.21 SHA-384

Table 86, SHA-384 Mechanisms vs. Functions

	Functions							
	Encrypt	Sign	SR		Gen.	Wrap		
Mechanism	&	&	& <sub>1</sub>	Digest	161	&	Derive	
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap		
					Key Pair			
CKM_SHA384				<b>✓</b>	ı alı			
CKM_SHA384_HMAC_GENERAL		<b>√</b>						
CKM_SHA384_HMAC		<b>√</b>						
CKM_SHA384_KEY_DERIVATION							<b>✓</b>	

#### 2.21.1 Definitions

CKM\_SHA384 CKM\_SHA384\_HMAC CKM\_SHA384\_HMAC\_GENERAL CKK SHA384 HMAC

### 2.21.2 SHA-384 digest

The SHA-384 mechanism, denoted **CKM\_SHA384**, is a mechanism for message digesting, following the Secure Hash Algorithm with a 384-bit message digest defined in FIPS PUB 180-2.

It does not have a parameter.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 87, SHA-384: Data Length

Function	Input length	Digest length
C_Digest	any	48

### 2.21.3 General-length SHA-384-HMAC

The general-length SHA-384-HMAC mechanism, denoted **CKM\_SHA384\_HMAC\_GENERAL**, is the same as the general-length SHA-1-HMAC mechanism in Section 2.18.3, except that it uses the HMAC construction based on the SHA-384 hash function and length of the output should be in the range 0-48.

#### 2.21.4 SHA-384-HMAC

The SHA-384-HMAC mechanism, denoted **CKM\_SHA384\_HMAC**, is a special case of the general-length SHA-384-HMAC mechanism.

It has no parameter, and always produces an output of length 48.

### 2.21.5 SHA-384 key derivation

SHA-384 key derivation, denoted **CKM\_SHA384\_KEY\_DERIVATION**, is the same as the SHA-1 key derivation mechanism in Section 2.18.5, except that it uses the SHA-384 hash function and the relevant length is 48 bytes.

#### 2.22 SHA-512

Table 88, SHA-512 Mechanisms vs. Functions

	Functions							
	Encrypt	Sign	SR		Gen.	Wrap		
Mechanism	&	&	<b>&amp;</b>	Digest	Voul	&	Derive	
	Decrypt	Verify	VR <sup>1</sup>		Key/ Key	Unwrap		
					Pair			
CKM_SHA512				<b>√</b>				
CKM_SHA512_HMAC_GENERAL		✓						
CKM_SHA512_HMAC		✓						
CKM_SHA512_KEY_DERIVATION							✓	

#### 2.22.1 Definitions

CKM\_SHA512 CKM SHA512 HMAC CKM\_SHA512\_HMAC\_GENERAL CKM\_SHA512\_KEY\_DERIVATION

CKK\_SHA512\_HMAC

### 2.22.2 SHA-512 digest

The SHA-512 mechanism, denoted **CKM\_SHA512**, is a mechanism for message digesting, following the Secure Hash Algorithm with a 512-bit message digest defined in FIPS PUB 180-2.

It does not have a parameter.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 89, SHA-512: Data Length

Function	Input length	Digest length
C_Digest	any	64

### 2.22.3 General-length SHA-512-HMAC

The general-length SHA-512-HMAC mechanism, denoted **CKM\_SHA512\_HMAC\_GENERAL**, is the same as the general-length SHA-1-HMAC mechanism in Section 2.18.3, except that it uses the HMAC construction based on the SHA-512 hash function and length of the output should be in the range 0-64.

#### 2.22.4 SHA-512-HMAC

The SHA-512-HMAC mechanism, denoted **CKM\_SHA512\_HMAC**, is a special case of the general-length SHA-512-HMAC mechanism.

It has no parameter, and always produces an output of length 64.

### 2.22.5 SHA-512 key derivation

SHA-512 key derivation, denoted **CKM\_SHA512\_KEY\_DERIVATION**, is the same as the SHA-1 key derivation mechanism in Section 2.18.5, except that it uses the SHA-512 hash function and the relevant length is 64 bytes.

#### 2.23 SHA-512/224

Table 90, SHA-512/224 Mechanisms vs. Functions

	Functions							
	Encrypt	Sign	SR		Gen.	Wrap		
Mechanism	& Decrypt	& Verify	& VR <sup>1</sup>	Digest	Key/ Key Pair	& Unwrap	Derive	
CKM_SHA512_224				✓				
CKM_SHA512_224_HMAC_GENERAL		✓						
CKM_SHA512_224_HMAC		✓						
CKM_SHA512_224_KEY_DERIVATION							✓	

### 2.23.1 Definitions

CKM SHA512 224

CKM\_SHA512\_224\_HMAC
CKM\_SHA512\_224\_HMAC\_GENERAL
CKM\_SHA512\_224\_KEY\_DERIVATION

CKK\_SHA512\_224\_HMAC

### 2.23.2 SHA-512/224 digest

The SHA-512/224 mechanism, denoted **CKM\_SHA512\_224**, is a mechanism for message digesting, following the Secure Hash Algorithm defined in FIPS PUB 180-4, section 5.3.6. It is based on a 512-bit message digest with a distinct initial hash value and truncated to 224 bits. **CKM\_SHA512\_224** is the same as **CKM\_SHA512\_T** with a parameter value of 224.

It does not have a parameter.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 91, SHA-512/224: Data Length

Function	Input length	Digest length
C_Digest	any	28

### 2.23.3 General-length SHA-512-HMAC

The general-length SHA-512/224-HMAC mechanism, denoted **CKM\_SHA512\_224\_HMAC\_GENERAL**, is the same as the general-length SHA-1-HMAC mechanism in Section 2.18.3, except that it uses the HMAC construction based on the SHA-512/224 hash function and length of the output should be in the range 0-28.

#### 2.23.4 SHA-512/224-HMAC

The SHA-512-HMAC mechanism, denoted **CKM\_SHA512\_224\_HMAC**, is a special case of the general-length SHA-512/224-HMAC mechanism.

It has no parameter, and always produces an output of length 28.

# 2.23.5 SHA-512/224 key derivation

The SHA-512/224 key derivation, denoted **CKM\_SHA512\_224\_KEY\_DERIVATION**, is the same as the SHA-512 key derivation mechanism in section 2.25.5, except that it uses the SHA-512/224 hash function and the relevant length is 28 bytes.

#### 2.24 SHA-512/256

Table 92, SHA-512/256 Mechanisms vs. Functions

	Functions							
	Encrypt	Sign	SR		Gen.	Wrap		
Mechanism	&	&	&	Digest		&	Derive	
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap		
					Key			
					Pair			
CKM_SHA512_256				✓				
CKM_SHA512_256_HMAC_GENERAL		✓						
CKM_SHA512_256_HMAC		✓						
CKM_SHA512_256_KEY_DERIVATION							✓	

#### 2.24.1 Definitions

CKM\_SHA512\_256

CKM\_SHA512\_256\_HMAC

CKM SHA512 256 HMAC GENERAL

CKM\_SHA512\_256\_KEY\_DERIVATION

CKK\_SHA512\_256\_HMAC

### 2.24.2 SHA-512/256 digest

The SHA-512/256 mechanism, denoted **CKM\_SHA512\_256**, is a mechanism for message digesting, following the Secure Hash Algorithm defined in FIPS PUB 180-4, section 5.3.6. It is based on a 512-bit message digest with a distinct initial hash value and truncated to 256 bits. **CKM\_SHA512\_256** is the same as **CKM\_SHA512\_T** with a parameter value of 256.

It does not have a parameter.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 93, SHA-512/256: Data Length

Function	Input length	Digest length
C_Digest	any	32

### 2.24.3 General-length SHA-512-HMAC

The general-length SHA-512/256-HMAC mechanism, denoted **CKM\_SHA512\_256\_HMAC\_GENERAL**, is the same as the general-length SHA-1-HMAC mechanism in Section 2.18.3, except that it uses the HMAC construction based on the SHA-512/256 hash function and length of the output should be in the range 0-32.

#### 2.24.4 SHA-512/256-HMAC

The SHA-512-HMAC mechanism, denoted **CKM\_SHA512\_256\_HMAC**, is a special case of the general-length SHA-512/256-HMAC mechanism.

It has no parameter, and always produces an output of length 32.

### 2.24.5 SHA-512/256 key derivation

The SHA-512/256 key derivation, denoted **CKM\_SHA512\_256\_KEY\_DERIVATION**, is the same as the SHA-512 key derivation mechanism in section 2.25.5, except that it uses the SHA-512/256 hash function and the relevant length is 32 bytes.

#### 2.25 SHA-512/t

Table 94, SHA-512 / t Mechanisms vs. Functions

	Functions							
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive	
CKM_SHA512_T				✓				
CKM_SHA512_T_HMAC_GENERAL		✓						

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_SHA512_T_HMAC		✓					
CKM_SHA512_T_KEY_DERIVATION							✓

### 2.25.1 Definitions

CKM\_SHA512\_T CKM\_SHA512\_T\_HMAC CKM\_SHA512\_T\_HMAC\_GENERAL CKM\_SHA512\_T\_KEY\_DERIVATION

CKK\_SHA512\_T\_HMAC

### 2.25.2 SHA-512/t digest

The SHA-512/t mechanism, denoted **CKM\_SHA512\_T**, is a mechanism for message digesting, following the Secure Hash Algorithm defined in FIPS PUB 180-4, section 5.3.6. It is based on a 512-bit message digest with a distinct initial hash value and truncated to t bits.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS**, which holds the value of t in bits. The length in bytes of the desired output should be in the range of  $0-\lceil t/8 \rceil$ , where 0 < t < 512, and t < > 384.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 95, SHA-512/256: Data Length

Function	Input length	Digest length
C_Digest	any	\[ \tag{t/8} \], where 0 < t < 512, and t <> 384

# 2.25.3 General-length SHA-512-HMAC

The general-length SHA-512/256-HMAC mechanism, denoted **CKM\_SHA512\_T\_HMAC\_GENERAL**, is the same as the general-length SHA-1-HMAC mechanism in Section 2.18.3, except that it uses the HMAC construction based on the SHA-512/t hash function and length of the output should be in the range  $0 - \lceil t/8 \rceil$ , where 0 < t < 512, and t <> 384.

#### 2.25.4 SHA-512/t-HMAC

The SHA-512-HMAC mechanism, denoted **CKM\_SHA512\_T\_HMAC**, is a special case of the general-length SHA-512/256-HMAC mechanism.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS**, which holds the value of t in bits. The length in bytes of the desired output should be in the range of  $0-\lceil t/8 \rceil$ , where 0 < t < 512, and t <> 384.

### 2.25.5 SHA-512/t key derivation

The SHA-512/256 key derivation, denoted **CKM\_SHA512\_T\_KEY\_DERIVATION**, is the same as the SHA-512 key derivation mechanism in section 2.25.5, except that it uses the SHA-512/256 hash function and the relevant length is  $\lceil t/8 \rceil$  bytes, where 0 < t < 512, and t <> 384.

### 2.26 PKCS #5 and PKCS #5-style password-based encryption (PBE)

The mechanisms in this section are for generating keys and IVs for performing password-based encryption. The method used to generate keys and IVs is specified in PKCS #5.

Table 96, PKCS 5 Mechanisms vs. Functions

	Functions							
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive	
CKM_PBE_SHA1_DES3_EDE_CBC					✓			
CKM_PBE_SHA1_DES2_EDE_CBC					<b>√</b>			
CKM_PBA_SHA1_WITH_SHA1_HMAC					✓			
CKM_PKCS5_PBKD2					✓			

#### 2.26.1 Definitions

Mechanisms:

CKM\_PBE\_SHA1\_DES3\_EDE\_CBC
CKM\_PBE\_SHA1\_DES2\_EDE\_CBC
CKM\_PKCS5\_PBKD2
CKM\_PBA\_SHA1\_WITH\_SHA1\_HMAC

# 2.26.2 Password-based encryption/authentication mechanism parameters

### ◆ CK\_PBE\_PARAMS; CK\_PBE\_PARAMS\_PTR

**CK\_PBE\_PARAMS** is a structure which provides all of the necessary information required by the CKM\_PBE mechanisms (see PKCS #5 and PKCS #12 for information on the PBE generation mechanisms) and the CKM\_PBA\_SHA1\_WITH\_SHA1\_HMAC mechanism. It is defined as follows:

```
typedef struct CK_PBE_PARAMS {
   CK_BYTE_PTR pInitVector;
   CK_UTF8CHAR_PTR pPassword;
   CK_ULONG ulPasswordLen;
   CK_BYTE_PTR pSalt;
   CK_ULONG ulSaltLen;
   CK_ULONG ulIteration;
} CK_PBE_PARAMS;
```

The fields of the structure have the following meanings:

plnitVector pointer to the location that receives the 8-byte initialization vector (IV), if an IV is required;

pPassword points to the password to be used in the PBE key generation;

ulPasswordLen length in bytes of the password information;

pSalt points to the salt to be used in the PBE key generation;

ulSaltLen length in bytes of the salt information;

ullteration number of iterations required for the generation.

CK\_PBE\_PARAMS\_PTR is a pointer to a CK\_PBE\_PARAMS.

### 2.26.3 PKCS #5 PBKDF2 key generation mechanism parameters

◆ CK\_PKCS5\_PBKD2\_PSEUDO\_RANDOM\_FUNCTION\_TYPE;
CK\_PKCS5\_PBKD2\_PSEUDO\_RANDOM\_FUNCTION\_TYPE\_PTR

**CK\_PKCS5\_PBKD2\_PSEUDO\_RANDOM\_FUNCTION\_TYPE** is used to indicate the Pseudo-Random Function (PRF) used to generate key bits using PKCS #5 PBKDF2. It is defined as follows:

typedef CK\_ULONG CK\_PKCS5\_PBKD2\_PSEUDO\_RANDOM\_FUNCTION\_TYPE;

The following PRFs are defined in PKCS #5 v2.1. The following table lists the defined functions.

Table 97, PKCS #5 PBKDF2 Key Generation: Pseudo-random functions

PRF Identifier	Value	Parameter Type
CKP_PKCS5_PBKD2_HMAC_SHA1	0x0000001UL	No Parameter. <i>pPrfData</i> must be NULL and <i>ulPrfDataLen</i> must be zero.
CKP_PKCS5_PBKD2_HMAC_GOSTR3411	0x00000002UL	This PRF uses GOST R34.11-94 hash to produce secret key value. pPrfData should point to DERencoded OID, indicating GOSTR34.11-94 parameters. uIPrfDataLen holds encoded OID length in bytes. If pPrfData is set to NULL_PTR, then id-GostR3411-94-CryptoProParamSet parameters will be used (RFC 4357, 11.2), and uIPrfDataLen must be 0.
CKP_PKCS5_PBKD2_HMAC_SHA224	0x00000003UL	No Parameter. <i>pPrfData</i> must be NULL and <i>ulPrfDataLen</i> must be zero.
CKP_PKCS5_PBKD2_HMAC_SHA256	0x00000004UL	No Parameter. <i>pPrfData</i> must be NULL and <i>ulPrfDataLen</i> must be zero.
CKP_PKCS5_PBKD2_HMAC_SHA384	0x0000005UL	No Parameter. <i>pPrfData</i> must be NULL and <i>ulPrfDataLen</i> must be zero.
CKP_PKCS5_PBKD2_HMAC_SHA512	0x00000006UL	No Parameter. <i>pPrfData</i> must be NULL and <i>ulPrfDataLen</i> must be zero.

CKP_PKCS5_PBKD2_HMAC_SHA512_224	0x00000007UL	No Parameter. <i>pPrfData</i> must be NULL and <i>ulPrfDataLen</i> must be zero.
CKP_PKCS5_PBKD2_HMAC_SHA512_256	0x00000008UL	No Parameter. <i>pPrfData</i> must be NULL and <i>ulPrfDataLen</i> must be zero.

CK\_PKCS5\_PBKD2\_PSEUDO\_RANDOM\_FUNCTION\_TYPE\_PTR is a pointer to a CK\_PKCS5\_PBKD2\_PSEUDO\_RANDOM\_FUNCTION\_TYPE.

### ◆ CK\_PKCS5\_PBKDF2\_SALT\_SOURCE\_TYPE; CK PKCS5 PBKDF2 SALT SOURCE TYPE PTR

**CK\_PKCS5\_PBKDF2\_SALT\_SOURCE\_TYPE** is used to indicate the source of the salt value when deriving a key using PKCS #5 PBKDF2. It is defined as follows:

```
typedef CK ULONG CK PKCS5 PBKDF2 SALT SOURCE TYPE;
```

The following salt value sources are defined in PKCS #5 v2.1. The following table lists the defined sources along with the corresponding data type for the *pSaltSourceData* field in the **CK\_PKCS5\_PBKD2\_PARAM** structure defined below.

Table 98, PKCS #5 PBKDF2 Key Generation: Salt sources

Source Identifier	Value	Data Type
CKZ_SALT_SPECIFIED	0x00000001	Array of CK_BYTE containing the value of the salt value.

CK\_PKCS5\_PBKDF2\_SALT\_SOURCE\_TYPE\_PTR is a pointer to a CK\_PKCS5\_PBKDF2\_SALT\_SOURCE\_TYPE.

### ◆ CK PKCS5 PBKD2 PARAMS; CK PKCS5 PBKD2 PARAMS PTR

**CK\_PKCS5\_PBKD2\_PARAMS** is a structure that provides the parameters to the **CKM\_PKCS5\_PBKD2** mechanism. The structure is defined as follows:

```
typedef struct CK_PKCS5_PBKD2_PARAMS {
    CK_PKCS5_PBKDF2_SALT_SOURCE_TYPE saltSource;
    CK_VOID_PTR pSaltSourceData;
    CK_ULONG ulSaltSourceDataLen;
    CK_ULONG iterations;
    CK_PKCS5_PBKD2_PSEUDO_RANDOM_FUNCTION_TYPE prf;
    CK_VOID_PTR pPrfData;
    CK_ULONG ulPrfDataLen;
    CK_UTF8CHAR_PTR pPassword;
    CK_ULONG_PTR ulPasswordLen;
} CK_PKCS5_PBKD2_PARAMS;
```

The fields of the structure have the following meanings:

saltSource source of the salt value

pSaltSourceData data used as the input for the salt source

ulSaltSourceDataLen length of the salt source input

iterations number of iterations to perform when generating each block of

random data

prf pseudo-random function used to generate the key

pPrfData data used as the input for PRF in addition to the salt value

ulPrfDataLen length of the input data for the PRF

pPassword points to the password to be used in the PBE key generation

ulPasswordLen length in bytes of the password information

CK\_PKCS5\_PBKD2\_PARAMS\_PTR is a pointer to a CK\_PKCS5\_PBKD2\_PARAMS.

### 2.26.4 PKCS #5 PBKD2 key generation

PKCS #5 PBKDF2 key generation, denoted **CKM\_PKCS5\_PBKD2**, is a mechanism used for generating a secret key from a password and a salt value. This functionality is defined in PKCS#5 as PBKDF2.

It has a parameter, a **CK\_PKCS5\_PBKD2\_PARAMS** structure. The parameter specifies the salt value source, pseudo-random function, and iteration count used to generate the new key.

Since this mechanism can be used to generate any type of secret key, new key templates must contain the **CKA\_KEY\_TYPE** and **CKA\_VALUE\_LEN** attributes. If the key type has a fixed length the **CKA\_VALUE\_LEN** attribute may be omitted.

# 2.27 PKCS #12 password-based encryption/authentication mechanisms

The mechanisms in this section are for generating keys and IVs for performing password-based encryption or authentication. The method used to generate keys and IVs is based on a method that was specified in PKCS #12.

We specify here a general method for producing various types of pseudo-random bits from a password, p; a string of salt bits, s; and an iteration count, c. The "type" of pseudo-random bits to be produced is identified by an identification byte, ID, the meaning of which will be discussed later.

Let H be a hash function built around a compression function  $f: \mathbb{Z}_2^u \times \mathbb{Z}_2^v \to \mathbb{Z}_2^u$  (that is, H has a chaining variable and output of length u bits, and the message input to the compression function of H is v bits). For MD2 and MD5, u=128 and v=512; for SHA-1, u=160 and v=512.

We assume here that u and v are both multiples of 8, as are the lengths in bits of the password and salt strings and the number n of pseudo-random bits required. In addition, u and v are of course nonzero.

- 1. Construct a string, D (the "diversifier"), by concatenating v/8 copies of ID.
- 2. Concatenate copies of the salt together to create a string S of length  $v \cdot \lceil s/v \rceil$  bits (the final copy of the salt may be truncated to create S). Note that if the salt is the empty string, then so is S.
- 3. Concatenate copies of the password together to create a string P of length  $v \cdot \lceil p/v \rceil$  bits (the final copy of the password may be truncated to create P). Note that if the password is the empty string, then so is P.
- 4. Set I=S||P| to be the concatenation of S and P.
- 5. Set *j*=[*n*/*u*].
- 6. For i=1, 2, ..., j, do the following:
  - a. Set  $A = H^c(D||I)$ , the  $c^{th}$  hash of D||I|. That is, compute the hash of D||I|; compute the hash of that hash; etc.; continue in this fashion until a total of c hashes have been computed, each on the result of the previous hash.

- b. Concatenate copies of  $A_i$  to create a string B of length v bits (the final copy of  $A_i$  may be truncated to create B).
- c. Treating I as a concatenation  $I_0$ ,  $I_1$ , ...,  $I_{k-1}$  of v-bit blocks, where  $k = \lceil s/v \rceil + \lceil p/v \rceil$ , modify I by setting  $I_j = (I_j + B + 1) \mod 2^v$  for each j. To perform this addition, treat each v-bit block as a binary number represented most-significant bit first.
- 7. Concatenate  $A_1, A_2, ..., A_i$  together to form a pseudo-random bit string, A.
- 8. Use the first *n* bits of *A* as the output of this entire process.

When the password-based encryption mechanisms presented in this section are used to generate a key and IV (if needed) from a password, salt, and an iteration count, the above algorithm is used. To generate a key, the identifier byte *ID* is set to the value 1; to generate an IV, the identifier byte *ID* is set to the value 2.

When the password based authentication mechanism presented in this section is used to generate a key from a password, salt, and an iteration count, the above algorithm is used. The identifier byte *ID* is set to the value 3.

### 2.27.1 SHA-1-PBE for 3-key triple-DES-CBC

SHA-1-PBE for 3-key triple-DES-CBC, denoted **CKM\_PBE\_SHA1\_DES3\_EDE\_CBC**, is a mechanism used for generating a 3-key triple-DES secret key and IV from a password and a salt value by using the SHA-1 digest algorithm and an iteration count. The method used to generate the key and IV is described above. Each byte of the key produced will have its low-order bit adjusted, if necessary, so that a valid 3-key triple-DES key with proper parity bits is obtained.

It has a parameter, a **CK\_PBE\_PARAMS** structure. The parameter specifies the input information for the key generation process and the location of the application-supplied buffer which will receive the 8-byte IV generated by the mechanism.

The key and IV produced by this mechanism will typically be used for performing password-based encryption.

### 2.27.2 SHA-1-PBE for 2-key triple-DES-CBC

SHA-1-PBE for 2-key triple-DES-CBC, denoted **CKM\_PBE\_SHA1\_DES2\_EDE\_CBC**, is a mechanism used for generating a 2-key triple-DES secret key and IV from a password and a salt value by using the SHA-1 digest algorithm and an iteration count. The method used to generate the key and IV is described above. Each byte of the key produced will have its low-order bit adjusted, if necessary, so that a valid 2-key triple-DES key with proper parity bits is obtained.

It has a parameter, a **CK\_PBE\_PARAMS** structure. The parameter specifies the input information for the key generation process and the location of the application-supplied buffer which will receive the 8-byte IV generated by the mechanism.

The key and IV produced by this mechanism will typically be used for performing password-based encryption.

#### 2.27.3 SHA-1-PBA for SHA-1-HMAC

SHA-1-PBA for SHA-1-HMAC, denoted **CKM\_PBA\_SHA1\_WITH\_SHA1\_HMAC**, is a mechanism used for generating a 160-bit generic secret key from a password and a salt value by using the SHA-1 digest algorithm and an iteration count. The method used to generate the key is described above.

It has a parameter, a **CK\_PBE\_PARAMS** structure. The parameter specifies the input information for the key generation process. The parameter also has a field to hold the location of an application-supplied buffer which will receive an IV; for this mechanism, the contents of this field are ignored, since authentication with SHA-1-HMAC does not require an IV.

The key generated by this mechanism will typically be used for computing a SHA-1 HMAC to perform password-based authentication (not *password-based encryption*). At the time of this writing, this is primarily done to ensure the integrity of a PKCS #12 PDU.

### 2.28 SSL

Table 99, SSL Mechanisms vs. Functions

	Functions						
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest	.,	&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap	
					Key Pair		
CKM_SSL3_PRE_MASTER_KEY_GEN					✓		
CKM_SSL3_MASTER_KEY_DERIVE							✓
CKM_SSL3_MASTER_KEY_DERIVE_DH							✓
CKM_SSL3_KEY_AND_MAC_DERIVE							✓
CKM_SSL3_MD5_MAC		✓					
CKM_SSL3_SHA1_MAC		✓					

#### 2.28.1 Definitions

#### Mechanisms:

CKM\_SSL3\_PRE\_MASTER\_KEY\_GEN
CKM\_SSL3\_MASTER\_KEY\_DERIVE
CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE
CKM\_SSL3\_MASTER\_KEY\_DERIVE\_DH
CKM\_SSL3\_MD5\_MAC
CKM\_SSL3\_SHA1\_MAC

### 2.28.2 SSL mechanism parameters

### ♦ CK\_SSL3\_RANDOM\_DATA

CK\_SSL3\_RANDOM\_DATA is a structure which provides information about the random data of a client and a server in an SSL context. This structure is used by both the CKM\_SSL3\_MASTER\_KEY\_DERIVE and the CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE mechanisms. It is defined as follows:

```
typedef struct CK_SSL3_RANDOM_DATA {
   CK_BYTE_PTR pClientRandom;
   CK_ULONG ulClientRandomLen;
   CK_BYTE_PTR pServerRandom;
   CK_ULONG ulServerRandomLen;
} CK_SSL3_RANDOM_DATA;
```

The fields of the structure have the following meanings:

pClientRandom pointer to the client's random data

ulClientRandomLen length in bytes of the client's random data

pServerRandom pointer to the server's random data

ulServerRandomLen length in bytes of the server's random data

### ♦ CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS; CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS\_PTR

CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS is a structure that provides the parameters to the CKM SSL3 MASTER KEY DERIVE mechanism. It is defined as follows:

```
typedef struct CK_SSL3_MASTER_KEY_DERIVE_PARAMS {
   CK_SSL3_RANDOM_DATA RandomInfo;
   CK_VERSION_PTR pVersion;
} CK_SSL3_MASTER_KEY_DERIVE_PARAMS;
```

The fields of the structure have the following meanings:

RandomInfo client's and server's random data information.

pVersion pointer to a **CK\_VERSION** structure which receives the SSL

protocol version information

CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS\_PTR is a pointer to a CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS.

♦ CK\_SSL3\_KEY\_MAT\_OUT; CK\_SSL3\_KEY\_MAT\_OUT\_PTR

**CK\_SSL3\_KEY\_MAT\_OUT** is a structure that contains the resulting key handles and initialization vectors after performing a C\_DeriveKey function with the **CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE** mechanism. It is defined as follows:

```
typedef struct CK_SSL3_KEY_MAT_OUT {
    CK_OBJECT_HANDLE hClientMacSecret;
    CK_OBJECT_HANDLE hServerMacSecret;
    CK_OBJECT_HANDLE hClientKey;
    CK_OBJECT_HANDLE hServerKey;
    CK_BYTE_PTR pIVClient;
    CK_BYTE_PTR pIVServer;
} CK_SSL3_KEY_MAT_OUT;
```

The fields of the structure have the following meanings:

hClientMacSecret key handle for the resulting Client MAC Secret key

hServerMacSecret key handle for the resulting Server MAC Secret key

hClientKey key handle for the resulting Client Secret key

hServerKey key handle for the resulting Server Secret key

pIVClient pointer to a location which receives the initialization vector (IV)

created for the client (if any)

pIVServer pointer to a location which receives the initialization vector (IV)

created for the server (if any)

CK SSL3 KEY MAT OUT PTR is a pointer to a CK SSL3 KEY MAT OUT.

### ♦ CK\_SSL3\_KEY\_MAT\_PARAMS; CK\_SSL3\_KEY\_MAT\_PARAMS\_PTR

**CK\_SSL3\_KEY\_MAT\_PARAMS** is a structure that provides the parameters to the **CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE** mechanism. It is defined as follows:

```
typedef struct CK_SSL3_KEY_MAT_PARAMS {
   CK_ULONG ulMacSizeInBits;
   CK_ULONG ulKeySizeInBits;
   CK_ULONG ulIVSizeInBits;
   CK_BBOOL bIsExport;
   CK_SSL3_RANDOM_DATA RandomInfo;
   CK_SSL3_KEY_MAT_OUT_PTR pReturnedKeyMaterial;
} CK_SSL3_KEY_MAT_PARAMS;
```

The fields of the structure have the following meanings:

ulMacSizeInBits the length (in bits) of the MACing keys agreed upon during the

protocol handshake phase

ulKeySizeInBits the length (in bits) of the secret keys agreed upon during the

protocol handshake phase

ullVSizeInBits the length (in bits) of the IV agreed upon during the protocol

handshake phase. If no IV is required, the length should be set to 0

blsExport a Boolean value which indicates whether the keys have to be

derived for an export version of the protocol

RandomInfo client's and server's random data information.

pReturnedKeyMaterial points to a CK SSL3 KEY MAT OUT structures which receives

the handles for the keys generated and the IVs

CK\_SSL3\_KEY\_MAT\_PARAMS\_PTR is a pointer to a CK\_SSL3\_KEY\_MAT\_PARAMS.

### 2.28.3 Pre-master key generation

Pre-master key generation in SSL 3.0, denoted **CKM\_SSL3\_PRE\_MASTER\_KEY\_GEN**, is a mechanism which generates a 48-byte generic secret key. It is used to produce the "pre\_master" key used in SSL version 3.0 for RSA-like cipher suites.

It has one parameter, a CK\_VERSION structure, which provides the client's SSL version number.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template, or else are assigned default values.

The template sent along with this mechanism during a **C\_GenerateKey** call may indicate that the object class is **CKO\_SECRET\_KEY**, the key type is **CKK\_GENERIC\_SECRET**, and the **CKA\_VALUE\_LEN** attribute has value 48. However, since these facts are all implicit in the mechanism, there is no need to specify any of them.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure both indicate 48 bytes.

### 2.28.4 Master key derivation

Master key derivation in SSL 3.0, denoted **CKM\_SSL3\_MASTER\_KEY\_DERIVE**, is a mechanism used to derive one 48-byte generic secret key from another 48-byte generic secret key. It is used to produce

the "master\_secret" key used in the SSL protocol from the "pre\_master" key. This mechanism returns the value of the client version, which is built into the "pre\_master" key as well as a handle to the derived "master\_secret" key.

It has a parameter, a **CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS** structure, which allows for the passing of random data to the token as well as the returning of the protocol version number which is part of the pre-master key. This structure is defined in Section 2.28.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template: otherwise they are assigned default values.

The template sent along with this mechanism during a **C\_DeriveKey** call may indicate that the object class is **CKO\_SECRET\_KEY**, the key type is **CKK\_GENERIC\_SECRET**, and the **CKA\_VALUE\_LEN** attribute has value 48. However, since these facts are all implicit in the mechanism, there is no need to specify any of them.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to CK\_FALSE, then the
  derived key will, too. If the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to
  CK\_TRUE, then the derived key has its CKA\_NEVER\_EXTRACTABLE attribute set to the opposite
  value from its CKA\_EXTRACTABLE attribute.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure both indicate 48 bytes.

Note that the **CK\_VERSION** structure pointed to by the **CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS** structure's *pVersion* field will be modified by the **C\_DeriveKey** call. In particular, when the call returns, this structure will hold the SSL version associated with the supplied pre\_master key.

Note that this mechanism is only useable for cipher suites that use a 48-byte "pre\_master" secret with an embedded version number. This includes the RSA cipher suites, but excludes the Diffie-Hellman cipher suites.

# 2.28.5 Master key derivation for Diffie-Hellman

Master key derivation for Diffie-Hellman in SSL 3.0, denoted **CKM\_SSL3\_MASTER\_KEY\_DERIVE\_DH**, is a mechanism used to derive one 48-byte generic secret key from another arbitrary length generic secret key. It is used to produce the "master\_secret" key used in the SSL protocol from the "pre\_master" key.

It has a parameter, a **CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS** structure, which allows for the passing of random data to the token. This structure is defined in Section 2.28. The *pVersion* field of the structure must be set to NULL\_PTR since the version number is not embedded in the "pre\_master" key as it is for RSA-like cipher suites.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template, or else are assigned default values.

The template sent along with this mechanism during a **C\_DeriveKey** call may indicate that the object class is **CKO\_SECRET\_KEY**, the key type is **CKK\_GENERIC\_SECRET**, and the **CKA\_VALUE\_LEN** attribute has value 48. However, since these facts are all implicit in the mechanism, there is no need to specify any of them.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to **CK\_FALSE**, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to **CK\_TRUE**, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure both indicate 48 bytes.

Note that this mechanism is only useable for cipher suites that do not use a fixed length 48-byte "pre\_master" secret with an embedded version number. This includes the Diffie-Hellman cipher suites, but excludes the RSA cipher suites.

## 2.28.6 Key and MAC derivation

Key, MAC and IV derivation in SSL 3.0, denoted **CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE**, is a mechanism used to derive the appropriate cryptographic keying material used by a "CipherSuite" from the "master\_secret" key and random data. This mechanism returns the key handles for the keys generated in the process, as well as the IVs created.

It has a parameter, a **CK\_SSL3\_KEY\_MAT\_PARAMS** structure, which allows for the passing of random data as well as the characteristic of the cryptographic material for the given CipherSuite and a pointer to a structure which receives the handles and IVs which were generated. This structure is defined in Section 2.28.

This mechanism contributes to the creation of four distinct keys on the token and returns two IVs (if IVs are requested by the caller) back to the caller. The keys are all given an object class of **CKO SECRET KEY**.

The two MACing keys ("client\_write\_MAC\_secret" and "server\_write\_MAC\_secret") are always given a type of **CKK\_GENERIC\_SECRET**. They are flagged as valid for signing, verification, and derivation operations.

The other two keys ("client\_write\_key" and "server\_write\_key") are typed according to information found in the template sent along with this mechanism during a **C\_DeriveKey** function call. By default, they are flagged as valid for encryption, decryption, and derivation operations.

IVs will be generated and returned if the *ullVSizeInBits* field of the **CK\_SSL3\_KEY\_MAT\_PARAMS** field has a nonzero value. If they are generated, their length in bits will agree with the value in the *ullVSizeInBits* field.

All four keys inherit the values of the CKA\_SENSITIVE, CKA\_ALWAYS\_SENSITIVE, CKA\_EXTRACTABLE, and CKA\_NEVER\_EXTRACTABLE attributes from the base key. The template provided to C\_DeriveKey may not specify values for any of these attributes which differ from those held by the base key.

Note that the CK\_SSL3\_KEY\_MAT\_OUT structure pointed to by the CK\_SSL3\_KEY\_MAT\_PARAMS structure's *pReturnedKeyMaterial* field will be modified by the C\_DeriveKey call. In particular, the four key handle fields in the CK\_SSL3\_KEY\_MAT\_OUT structure will be modified to hold handles to the newly-created keys; in addition, the buffers pointed to by the CK\_SSL3\_KEY\_MAT\_OUT structure's *pIVClient* and *pIVServer* fields will have IVs returned in them (if IVs are requested by the caller). Therefore, these two fields must point to buffers with sufficient space to hold any IVs that will be returned.

This mechanism departs from the other key derivation mechanisms in Cryptoki in its returned information. For most key-derivation mechanisms, **C\_DeriveKey** returns a single key handle as a result of a successful completion. However, since the **CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE** mechanism returns all of its key handles in the **CK\_SSL3\_KEY\_MAT\_OUT** structure pointed to by the

**CK\_SSL3\_KEY\_MAT\_PARAMS** structure specified as the mechanism parameter, the parameter *phKey* passed to **C\_DeriveKey** is unnecessary, and should be a NULL\_PTR.

If a call to **C\_DeriveKey** with this mechanism fails, then *none* of the four keys will be created on the token.

## 2.28.7 MD5 MACing in SSL 3.0

MD5 MACing in SSL3.0, denoted **CKM\_SSL3\_MD5\_MAC**, is a mechanism for single- and multiple-part signatures (data authentication) and verification using MD5, based on the SSL 3.0 protocol. This technique is very similar to the HMAC technique.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS**, which specifies the length in bytes of the signatures produced by this mechanism.

Constraints on key types and the length of input and output data are summarized in the following table:

Table 100, MD5 MACing in SSL 3.0: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	generic secret	any	4-8, depending on parameters
C_Verify	generic secret	any	4-8, depending on parameters

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of generic secret key sizes, in bits.

## 2.28.8 SHA-1 MACing in SSL 3.0

SHA-1 MACing in SSL3.0, denoted **CKM\_SSL3\_SHA1\_MAC**, is a mechanism for single- and multiple-part signatures (data authentication) and verification using SHA-1, based on the SSL 3.0 protocol. This technique is very similar to the HMAC technique.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS**, which specifies the length in bytes of the signatures produced by this mechanism.

Constraints on key types and the length of input and output data are summarized in the following table:

Table 101, SHA-1 MACing in SSL 3.0: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	generic secret	any	4-8, depending on parameters
C_Verify	generic secret	any	4-8, depending on parameters

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of generic secret key sizes, in bits.

#### 2.29 TLS 1.2 Mechanisms

Details for TLS 1.2 and its key derivation and MAC mechanisms can be found in [TLS 1.2]. TLS 1.2 mechanisms differ from TLS 1.0 and 1.1 mechanisms in that the base hash used in the underlying TLS PRF (pseudo-random function) can be negotiated. Therefore each mechanism parameter for the TLS 1.2 mechanisms contains a new value in the parameters structure to specify the hash function.

This section also specifies CKM\_TLS\_MAC which should be used in place of **CKM\_TLS\_PRF** to calculate the verify\_data in the TLS "finished" message.

This section also specifies **CKM\_TLS\_KDF** that can be used in place of **CKM\_TLS\_PRF** to implement key material exporters.

Table 102, TLS 1.2 Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_TLS12_MASTER_KEY_DERIVE							✓
CKM_TLS12_MASTER_KEY_DERIVE_DH							✓
CKM_TLS12_KEY_AND_MAC_DERIVE							✓
CKM_TLS12_KEY_SAFE_DERIVE							✓
CKM_TLS10_MAC_SERVER		✓					
CKM_TLS10_MAC_CLIENT		✓					
CKM_TLS_KDF							✓
CKM_TLS12_MAC		✓					

#### 2.29.1 Definitions

#### Mechanisms:

CKM\_TLS12\_MASTER\_KEY\_DERIVE
CKM\_TLS12\_MASTER\_KEY\_DERIVE\_DH
CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE
CKM\_TLS12\_KEY\_SAFE\_DERIVE
CKM\_TLS10\_MAC\_SERVER
CKM\_TLS10\_MAC\_CLIENT
CKM\_TLS\_KDF
CKM\_TLS12\_MAC

## 2.29.2 TLS 1.2 mechanism parameters

♦ CK\_TLS12\_MASTER\_KEY\_DERIVE\_PARAMS; CK\_TLS12\_MASTER\_KEY\_DERIVE\_PARAMS\_PTR

**CK\_TLS12\_MASTER\_KEY\_DERIVE\_PARAMS** is a structure that provides the parameters to the **CKM\_TLS12\_MASTER\_KEY\_DERIVE** mechanism. It is defined as follows:

```
typedef struct CK_TLS12_MASTER_KEY_DERIVE_PARAMS {
   CK_SSL3_RANDOM_DATA RandomInfo;
   CK_VERSION_PTR pVersion;
   CK_MECHANISM_TYPE prfHashMechanism;
} CK_TLS12_MASTER_KEY_DERIVE_PARAMS;
```

The fields of the structure have the following meanings:

RandomInfo client's and server's random data information.

pVersion pointer to a **CK\_VERSION** structure which receives the SSL protocol version information

CK\_TLS12\_MASTER\_KEY\_DERIVE\_PARAMS\_PTR is a pointer to a CK\_TLS12\_MASTER\_KEY\_DERIVE\_PARAMS.

### ♦ CK\_TLS12\_KEY\_MAT\_PARAMS; CK\_TLS12\_KEY\_MAT\_PARAMS\_PTR

**CK\_TLS12\_KEY\_MAT\_PARAMS** is a structure that provides the parameters to the **CKM TLS12 KEY AND MAC DERIVE** mechanism. It is defined as follows:

```
typedef struct CK_TLS12_KEY_MAT_PARAMS {
   CK_ULONG ulMacSizeInBits;
   CK_ULONG ulKeySizeInBits;
   CK_ULONG ulIVSizeInBits;
   CK_BBOOL bIsExport;
   CK_SSL3_RANDOM_DATA RandomInfo;
   CK_SSL3_KEY_MAT_OUT_PTR pReturnedKeyMaterial;
   CK_MECHANISM_TYPE prfHashMechanism;
} CK_TLS12_KEY_MAT_PARAMS;
```

The fields of the structure have the following meanings:

ulMacSizeInBits the length (in bits) of the MACing keys agreed upon during the

protocol handshake phase. If no MAC key is required, the length

should be set to 0.

ulKeySizeInBits the length (in bits) of the secret keys agreed upon during the

protocol handshake phase

ullVSizeInBits the length (in bits) of the IV agreed upon during the protocol

handshake phase. If no IV is required, the length should be set to 0

blsExport must be set to CK\_FALSE because export cipher suites must not be

used in TLS 1.1 and later.

RandomInfo client's and server's random data information.

pReturnedKeyMaterial points to a CK\_SSL3\_KEY\_MAT\_OUT structures which receives

the handles for the keys generated and the IVs

prfHashMechanism base hash used in the underlying TLS1.2 PRF operation used to

derive the master key.

#### CK\_TLS12\_KEY\_MAT\_PARAMS\_PTR is a pointer to a CK\_TLS12\_KEY\_MAT\_PARAMS.

#### ♦ CK TLS KDF PARAMS; CK TLS KDF PARAMS PTR

**CK\_TLS\_KDF\_PARAMS** is a structure that provides the parameters to the CKM\_TLS\_KDF mechanism. It is defined as follows:

```
typedef struct CK_TLS_KDF_PARAMS {
   CK_MECHANISM_TYPE prfMechanism;
   CK_BYTE_PTR pLabel;
   CK_ULONG ulLabelLength;
   CK_SSL3_RANDOM_DATA RandomInfo;
   CK_BYTE_PTR pContextData;
   CK_ULONG ulContextDataLength;
} CK_TLS_KDF_PARAMS;
```

The fields of the structure have the following meanings:

prfMechanism the hash mechanism used in the TLS1.2 PRF construct or

CKM\_TLS\_PRF to use with the TLS1.0 and 1.1 PRF construct.

pLabel a pointer to the label for this key derivation

ulLabelLength length of the label in bytes

RandomInfo the random data for the key derivation

pContextData a pointer to the context data for this key derivation. NULL\_PTR if not

present

ulContextDataLength length of the context data in bytes. 0 if not present.

#### CK\_TLS\_MAC\_PARAMS; CK\_TLS\_MAC\_PARAMS\_PTR

**CK\_TLS\_MAC\_PARAMS** is a structure that provides the parameters to the **CKM\_TLS\_MAC** mechanism. It is defined as follows:

```
typedef struct CK_TLS_MAC_PARAMS {
   CK_MECHANISM_TYPE prfMechanism;
   CK_ULONG ulMacLength;
   CK_ULONG ulServerOrClient;
} CK_TLS_MAC_PARAMS;
```

The fields of the structure have the following meanings:

prfMechanism the hash mechanism used in the TLS12 PRF construct or

CKM\_TLS\_PRF to use with the TLS1.0 and 1.1 PRF construct.

ulMacLength the length of the MAC tag required or offered. Always 12 octets in

TLS 1.0 and 1.1. Generally 12 octets, but may be negotiated to a

longer value in TLS1.2.

ulServerOrClient 1 to use the label "server finished", 2 to use the label "client

finished". All other values are invalid.

#### 2.29.3 TLS MAC

The TLS MAC mechanism is used to generate integrity tags for the TLS "finished" message. It replaces the use of the **CKM\_TLS\_PRF** function for TLS1.0 and 1.1 and that mechanism is deprecated.

**CKM\_TLS\_MAC** takes a parameter of CK\_TLS\_MAC\_PARAMS. To use this mechanism with TLS1.0 and TLS1.1, use **CKM\_TLS\_PRF** as the value for *prfMechanism* in place of a hash mechanism. Note: Although **CKM\_TLS\_PRF** is deprecated as a mechanism for C\_DeriveKey, the manifest value is retained for use with this mechanism to indicate the use of the TLS1.0/1.1 pseudo-random function.

In TLS1.0 and 1.1 the "finished" message verify\_data (i.e. the output signature from the MAC mechanism) is always 12 bytes. In TLS1.2 the "finished" message verify\_data is a minimum of 12 bytes, defaults to 12 bytes, but may be negotiated to longer length.

Table 103, General-length TLS MAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	generic secret	any	>=12 bytes
C_Verify	generic secret	any	>=12 bytes

## 2.29.4 Master key derivation

Master key derivation in TLS 1.0, denoted **CKM\_TLS\_MASTER\_KEY\_DERIVE**, is a mechanism used to derive one 48-byte generic secret key from another 48-byte generic secret key. It is used to produce the "master\_secret" key used in the TLS protocol from the "pre\_master" key. This mechanism returns the value of the client version, which is built into the "pre\_master" key as well as a handle to the derived "master\_secret" key.

It has a parameter, a **CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS** structure, which allows for the passing of random data to the token as well as the returning of the protocol version number which is part of the pre-master key. This structure is defined in Section 2.28.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template, or else are assigned default values.

The mechanism also contributes the CKA\_ALLOWED\_MECHANISMS attribute consisting only of CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE, CKM\_TLS12\_KEY\_SAFE\_DERIVE, CKM\_TLS12\_KDF and CKM\_TLS12\_MAC.

The template sent along with this mechanism during a **C\_DeriveKey** call may indicate that the object class is **CKO\_SECRET\_KEY**, the key type is **CKK\_GENERIC\_SECRET**, and the **CKA\_VALUE\_LEN** attribute has value 48. However, since these facts are all implicit in the mechanism, there is no need to specify any of them.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.

Similarly, if the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to CK\_FALSE, then the
derived key will, too. If the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to
CK\_TRUE, then the derived key has its CKA\_NEVER\_EXTRACTABLE attribute set to the opposite
value from its CKA\_EXTRACTABLE attribute.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure both indicate 48 bytes.

Note that the **CK\_VERSION** structure pointed to by the **CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS** structure's *pVersion* field will be modified by the **C\_DeriveKey** call. In particular, when the call returns, this structure will hold the SSL version associated with the supplied pre\_master key.

Note that this mechanism is only useable for cipher suites that use a 48-byte "pre\_master" secret with an embedded version number. This includes the RSA cipher suites, but excludes the Diffie-Hellman cipher suites.

## 2.29.5 Master key derivation for Diffie-Hellman

Master key derivation for Diffie-Hellman in TLS 1.0, denoted **CKM\_TLS\_MASTER\_KEY\_DERIVE\_DH**, is a mechanism used to derive one 48-byte generic secret key from another arbitrary length generic secret key. It is used to produce the "master\_secret" key used in the TLS protocol from the "pre\_master" key.

It has a parameter, a **CK\_SSL3\_MASTER\_KEY\_DERIVE\_PARAMS** structure, which allows for the passing of random data to the token. This structure is defined in Section 2.28. The *pVersion* field of the structure must be set to NULL\_PTR since the version number is not embedded in the "pre\_master" key as it is for RSA-like cipher suites.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template, or else are assigned default values.

The mechanism also contributes the CKA\_ALLOWED\_MECHANISMS attribute consisting only of CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE, CKM\_TLS12\_KEY\_SAFE\_DERIVE, CKM\_TLS12\_KDF and CKM\_TLS12\_MAC.

The template sent along with this mechanism during a **C\_DeriveKey** call may indicate that the object class is **CKO\_SECRET\_KEY**, the key type is **CKK\_GENERIC\_SECRET**, and the **CKA\_VALUE\_LEN** attribute has value 48. However, since these facts are all implicit in the mechanism, there is no need to specify any of them.

This mechanism has the following rules about key sensitivity and extractability:

- The CKA\_SENSITIVE and CKA\_EXTRACTABLE attributes in the template for the new key can both
  be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some
  default value.
- If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_FALSE, then the derived key
  will as well. If the base key has its CKA\_ALWAYS\_SENSITIVE attribute set to CK\_TRUE, then the
  derived key has its CKA\_ALWAYS\_SENSITIVE attribute set to the same value as its
  CKA\_SENSITIVE attribute.
- Similarly, if the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to CK\_FALSE, then the
  derived key will, too. If the base key has its CKA\_NEVER\_EXTRACTABLE attribute set to
  CK\_TRUE, then the derived key has its CKA\_NEVER\_EXTRACTABLE attribute set to the opposite
  value from its CKA\_EXTRACTABLE attribute.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure both indicate 48 bytes.

Note that this mechanism is only useable for cipher suites that do not use a fixed length 48-byte "pre\_master" secret with an embedded version number. This includes the Diffie-Hellman cipher suites, but excludes the RSA cipher suites.

## 2.29.6 Key and MAC derivation

Key, MAC and IV derivation in TLS 1.0, denoted **CKM\_TLS\_KEY\_AND\_MAC\_DERIVE**, is a mechanism used to derive the appropriate cryptographic keying material used by a "CipherSuite" from the "master\_secret" key and random data. This mechanism returns the key handles for the keys generated in the process, as well as the IVs created.

It has a parameter, a **CK\_SSL3\_KEY\_MAT\_PARAMS** structure, which allows for the passing of random data as well as the characteristic of the cryptographic material for the given CipherSuite and a pointer to a structure which receives the handles and IVs which were generated. This structure is defined in Section 2.28.

This mechanism contributes to the creation of four distinct keys on the token and returns two IVs (if IVs are requested by the caller) back to the caller. The keys are all given an object class of **CKO SECRET KEY**.

The two MACing keys ("client\_write\_MAC\_secret" and "server\_write\_MAC\_secret") (if present) are always given a type of **CKK\_GENERIC\_SECRET**. They are flagged as valid for signing and verification.

The other two keys ("client\_write\_key" and "server\_write\_key") are typed according to information found in the template sent along with this mechanism during a **C\_DeriveKey** function call. By default, they are flagged as valid for encryption, decryption, and derivation operations.

For **CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE**, IVs will be generated and returned if the *ullVSizeInBits* field of the **CK\_SSL3\_KEY\_MAT\_PARAMS** field has a nonzero value. If they are generated, their length in bits will agree with the value in the *ullVSizeInBits* field.

Note Well: CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE produces both private (key) and public (IV) data. It is possible to "leak" private data by the simple expedient of decreasing the length of private data requested. E.g. Setting ulMacSizeInBits and ulKeySizeInBits to 0 (or other lengths less than the key size) will result in the private key data being placed in the destination designated for the IV's. Repeated calls with the same master key and same RandomInfo but with differing lengths for the private key material will result in different data being leaked.<

All four keys inherit the values of the CKA\_SENSITIVE, CKA\_ALWAYS\_SENSITIVE, CKA\_EXTRACTABLE, and CKA\_NEVER\_EXTRACTABLE attributes from the base key. The template provided to C\_DeriveKey may not specify values for any of these attributes which differ from those held by the base key.

Note that the CK\_SSL3\_KEY\_MAT\_OUT structure pointed to by the CK\_SSL3\_KEY\_MAT\_PARAMS structure's *pReturnedKeyMaterial* field will be modified by the C\_DeriveKey call. In particular, the four key handle fields in the CK\_SSL3\_KEY\_MAT\_OUT structure will be modified to hold handles to the newly-created keys; in addition, the buffers pointed to by the CK\_SSL3\_KEY\_MAT\_OUT structure's *pIVClient* and *pIVServer* fields will have IVs returned in them (if IVs are requested by the caller). Therefore, these two fields must point to buffers with sufficient space to hold any IVs that will be returned.

This mechanism departs from the other key derivation mechanisms in Cryptoki in its returned information. For most key-derivation mechanisms, **C\_DeriveKey** returns a single key handle as a result of a successful completion. However, since the **CKM\_SSL3\_KEY\_AND\_MAC\_DERIVE** mechanism returns all of its key handles in the **CK\_SSL3\_KEY\_MAT\_OUT** structure pointed to by the **CK\_SSL3\_KEY\_MAT\_PARAMS** structure specified as the mechanism parameter, the parameter *phKey* passed to **C\_DeriveKey** is unnecessary, and should be a NULL\_PTR.

If a call to **C\_DeriveKey** with this mechanism fails, then *none* of the four keys will be created on the token.

#### 2.29.7 CKM TLS12 KEY SAFE DERIVE

CKM\_TLS12\_KEY\_SAFE\_DERIVE is identical to CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE except that it shall never produce IV data, and the ullvSizeInBits field of CK\_TLS12\_KEY\_MAT\_PARAMS is ignored and treated as 0. All of the other conditions and behavior described for

CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE, with the exception of the black box warning, apply to this mechanism.

CKM\_TLS12\_KEY\_SAFE\_DERIVE is provided as a separate mechanism to allow a client to control the export of IV material (and possible leaking of key material) through the use of the CKA\_ALLOWED\_MECHANISMS key attribute.

## 2.29.8 Generic Key Derivation using the TLS PRF

**CKM\_TLS\_KDF** is the mechanism defined in RFC5705. It uses the TLS key material and TLS PRF function to produce additional key material for protocols that want to leverage the TLS key negotiation mechanism. **CKM\_TLS\_KDF** has a parameter of **CK\_TLS\_KDF\_PARAMS**. If the protocol using this mechanism does not use context information, the *pContextData* field shall be set to NULL\_PTR and the *ulContextDataLength* field shall be set to 0.

To use this mechanism with TLS1.0 and TLS1.1, use **CKM\_TLS\_PRF** as the value for *prfMechanism* in place of a hash mechanism. Note: Although **CKM\_TLS\_PRF** is deprecated as a mechanism for C\_DeriveKey, the manifest value is retained for use with this mechanism to indicate the use of the TLS1.0/1.1 Pseudo-random function.

This mechanism can be used to derive multiple keys (e.g. similar to CKM\_TLS12\_KEY\_AND\_MAC\_DERIVE) by first deriving the key stream as a CKK\_GENERIC\_SECRET of the necessary length and doing subsequent derives against that derived key stream using the CKM EXTRACT KEY FROM KEY mechanism to split the key stream into the actual operational keys.

The mechanism should not be used with the labels defined for use with TLS, but the token does not enforce this behavior.

This mechanism has the following rules about key sensitivity and extractability:

- If the original key has its CKA\_SENSITIVE attribute set to CK\_TRUE, so does the derived key. If not, then the derived key's CKA\_SENSITIVE attribute is set either from the supplied template or from the original key.
- Similarly, if the original key has its CKA\_EXTRACTABLE attribute set to CK\_FALSE, so does the
  derived key. If not, then the derived key's CKA\_EXTRACTABLE attribute is set either from the
  supplied template or from the original key.
- The derived key's **CKA\_ALWAYS\_SENSITIVE** attribute is set to CK\_TRUE if and only if the original key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_TRUE.
- Similarly, the derived key's CKA\_NEVER\_EXTRACTABLE attribute is set to CK\_TRUE if and only if the original key has its CKA\_NEVER\_EXTRACTABLE attribute set to CK\_TRUE.

#### **2.30 WTLS**

Details can be found in [WTLS].

When comparing the existing TLS mechanisms with these extensions to support WTLS one could argue that there would be no need to have distinct handling of the client and server side of the handshake. However, since in WTLS the server and client use different sequence numbers, there could be instances (e.g. when WTLS is used to protect asynchronous protocols) where sequence numbers on the client and server side differ, and hence this motivates the introduced split.

Table 104. WTLS Mechanisms vs. Functions

	Functions						
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest		&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/ Key Pair	Unwrap	
CKM_WTLS_PRE_MASTER_KEY_GEN					✓		
CKM_WTLS_MASTER_KEY_DERIVE							✓
CKM_WTLS_MASTER_KEY_DERIVE_DH_ECC							✓
CKM_WTLS_SERVER_KEY_AND_MAC_DERIVE							✓
CKM_WTLS_CLIENT_KEY_AND_MAC_DERIVE							✓
CKM_WTLS_PRF							✓

#### 2.30.1 Definitions

#### Mechanisms:

```
CKM_WTLS_PRE_MASTER_KEY_GEN
CKM_WTLS_MASTER_KEY_DERIVE
CKM_WTLS_MASTER_KEY_DERIVE_DH_ECC
CKM_WTLS_PRF
CKM_WTLS_SERVER_KEY_AND_MAC_DERIVE
CKM_WTLS_CLIENT_KEY_AND_MAC_DERIVE
```

# 2.30.2 WTLS mechanism parameters

#### ♦ CK\_WTLS\_RANDOM\_DATA; CK\_WTLS\_RANDOM\_DATA\_PTR

**CK\_WTLS\_RANDOM\_DATA** is a structure, which provides information about the random data of a client and a server in a WTLS context. This structure is used by the **CKM\_WTLS\_MASTER\_KEY\_DERIVE** mechanism. It is defined as follows:

```
typedef struct CK_WTLS_RANDOM_DATA {
   CK_BYTE_PTR pClientRandom;
   CK_ULONG ulClientRandomLen;
   CK_BYTE_PTR pServerRandom;
   CK_ULONG ulServerRandomLen;
} CK_WTLS_RANDOM_DATA;
```

The fields of the structure have the following meanings:

pClientRandom pointer to the client's random data

pClientRandomLen length in bytes of the client's random data

*pServerRaondom* pointer to the server's random data

ulServerRandomLen length in bytes of the server's random data

CK\_WTLS\_RANDOM\_DATA\_PTR is a pointer to a CK\_WTLS\_RANDOM\_DATA.

# ♦ CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS; CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS\_PTR

**CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS** is a structure, which provides the parameters to the **CKM WTLS MASTER KEY DERIVE** mechanism. It is defined as follows:

The fields of the structure have the following meanings:

DigestMechanism the mechanism type of the digest mechanism to be used (possible

types can be found in [WTLS])

RandomInfo Client's and server's random data information

pVersion pointer to a CK BYTE which receives the WTLS protocol version

information

CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS\_PTR is a pointer to a CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS.

## CK\_WTLS\_PRF\_PARAMS; CK\_WTLS\_PRF\_PARAMS\_PTR

**CK\_WTLS\_PRF\_PARAMS** is a structure, which provides the parameters to the **CKM\_WTLS\_PRF** mechanism. It is defined as follows:

The fields of the structure have the following meanings:

Digest Mechanism the mechanism type of the digest mechanism to be used (possible

types can be found in [WTLS])

pSeed pointer to the input seed

ulSeedLen length in bytes of the input seed

pLabel pointer to the identifying label

ulLabelLen length in bytes of the identifying label

pOutput pointer receiving the output of the operation

pulOutputLen

pointer to the length in bytes that the output to be created shall have, has to hold the desired length as input and will receive the calculated length as output

CK\_WTLS\_PRF\_PARAMS\_PTR is a pointer to a CK\_WTLS\_PRF\_PARAMS.

### ♦ CK\_WTLS\_KEY\_MAT\_OUT; CK\_WTLS\_KEY\_MAT\_OUT\_PTR

**CK\_WTLS\_KEY\_MAT\_OUT** is a structure that contains the resulting key handles and initialization vectors after performing a C\_DeriveKey function with the

CKM\_WTLS\_SERVER\_KEY\_AND\_MAC\_DERIVE or with the CKM WTLS CLIENT KEY AND MAC DERIVE mechanism. It is defined as follows:

```
typedef struct CK_WTLS_KEY_MAT_OUT {
   CK_OBJECT_HANDLE hMacSecret;
   CK_OBJECT_HANDLE hKey;
   CK_BYTE_PTR pIV;
} CK_WTLS KEY MAT OUT;
```

The fields of the structure have the following meanings:

hMacSecret Key handle for the resulting MAC secret key

hKey Key handle for the resulting secret key

pIV Pointer to a location which receives the initialization vector (IV)

created (if any)

CK\_WTLS\_KEY\_MAT\_OUT \_PTR is a pointer to a CK\_WTLS\_KEY\_MAT\_OUT.

### ◆ CK\_WTLS\_KEY\_MAT\_PARAMS; CK\_WTLS\_KEY\_MAT\_PARAMS\_PTR

CK\_WTLS\_KEY\_MAT\_PARAMS is a structure that provides the parameters to the CKM\_WTLS\_SERVER\_KEY\_AND\_MAC\_DERIVE and the CKM\_WTLS\_CLIENT\_KEY\_AND\_MAC\_DERIVE mechanisms. It is defined as follows:

```
typedef struct CK WTLS KEY MAT PARAMS {
  CK MECHANISM TYPE
                           DigestMechanism;
  CK ULONG
                           ulMacSizeInBits;
  CK ULONG
                           ulKeySizeInBits;
  CK ULONG
                           ulIVSizeInBits;
  CK ULONG
                           ulSequenceNumber;
  CK BBOOL
                           blsExport;
  CK WTLS RANDOM DATA
                           RandomInfo;
  CK WTLS KEY MAT OUT PTR pReturnedKeyMaterial;
} CK WTLS KEY MAT PARAMS;
```

The fields of the structure have the following meanings:

Digest Mechanism the mechanism type of the digest mechanism to be used (possible

types can be found in [WTLS])

ulMaxSizeInBits the length (in bits) of the MACing key agreed upon during the

protocol handshake phase

ulKeySizeInBits the length (in bits) of the secret key agreed upon during the

handshake phase

ullVSizeInBits the length (in bits) of the IV agreed upon during the handshake

phase. If no IV is required, the length should be set to 0.

ulSequenceNumber the current sequence number used for records sent by the client

and server respectively

blsExport a boolean value which indicates whether the keys have to be

derives for an export version of the protocol. If this value is true (i.e., the keys are exportable) then *ulKeySizeInBits* is the length of the key in bits before expansion. The length of the key after expansion is determined by the information found in the template sent along with this mechanism during a C\_DeriveKey function call (either the **CKA\_KEY\_TYPE** or the **CKA\_VALUE\_LEN** attribute).

RandomInfo client's and server's random data information

pReturnedKeyMaterial points to a CK\_WTLS\_KEY\_MAT\_OUT structure which receives

the handles for the keys generated and the IV

CK\_WTLS\_KEY\_MAT\_PARAMS\_PTR is a pointer to a CK\_WTLS\_KEY\_MAT\_PARAMS.

## 2.30.3 Pre master secret key generation for RSA key exchange suite

Pre master secret key generation for the RSA key exchange suite in WTLS denoted **CKM\_WTLS\_PRE\_MASTER\_KEY\_GEN**, is a mechanism, which generates a variable length secret key. It is used to produce the pre master secret key for RSA key exchange suite used in WTLS. This mechanism returns a handle to the pre master secret key.

It has one parameter, a **CK\_BYTE**, which provides the client's WTLS version.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE** and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template, or else are assigned default values.

The template sent along with this mechanism during a **C\_GenerateKey** call may indicate that the object class is **CKO\_SECRET\_KEY**, the key type is **CKK\_GENERIC\_SECRET**, and the **CKA\_VALUE\_LEN** attribute indicates the length of the pre master secret key.

For this mechanism, the ulMinKeySize field of the **CK\_MECHANISM\_INFO** structure shall indicate 20 bytes.

# 2.30.4 Master secret key derivation

Master secret derivation in WTLS, denoted **CKM\_WTLS\_MASTER\_KEY\_DERIVE**, is a mechanism used to derive a 20 byte generic secret key from variable length secret key. It is used to produce the master secret key used in WTLS from the pre master secret key. This mechanism returns the value of the client version, which is built into the pre master secret key as well as a handle to the derived master secret key.

It has a parameter, a **CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS** structure, which allows for passing the mechanism type of the digest mechanism to be used as well as the passing of random data to the token as well as the returning of the protocol version number which is part of the pre master secret key.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template, or else are assigned default values.

The template sent along with this mechanism during a **C\_DeriveKey** call may indicate that the object class is **CKO SECRET KEY**, the key type is **CKK GENERIC SECRET**, and the **CKA VALUE LEN** 

attribute has value 20. However, since these facts are all implicit in the mechanism, there is no need to specify any of them.

This mechanism has the following rules about key sensitivity and extractability:

The **CKA\_SENSITIVE** and **CKA\_EXTRACTABLE** attributes in the template for the new key can both be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some default value.

If the base key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_FALSE, then the derived key will as well. If the base key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_TRUE, then the derived key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to the same value as its **CKA\_SENSITIVE** attribute.

Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_FALSE, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure both indicate 20 bytes.

Note that the **CK\_BYTE** pointed to by the **CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS** structure's *pVersion* field will be modified by the **C\_DeriveKey** call. In particular, when the call returns, this byte will hold the WTLS version associated with the supplied pre master secret key.

Note that this mechanism is only useable for key exchange suites that use a 20-byte pre master secret key with an embedded version number. This includes the RSA key exchange suites, but excludes the Diffie-Hellman and Elliptic Curve Cryptography key exchange suites.

# 2.30.5 Master secret key derivation for Diffie-Hellman and Elliptic Curve Cryptography

Master secret derivation for Diffie-Hellman and Elliptic Curve Cryptography in WTLS, denoted **CKM\_WTLS\_MASTER\_KEY\_DERIVE\_DH\_ECC**, is a mechanism used to derive a 20 byte generic secret key from variable length secret key. It is used to produce the master secret key used in WTLS from the pre master secret key. This mechanism returns a handle to the derived master secret key.

It has a parameter, a **CK\_WTLS\_MASTER\_KEY\_DERIVE\_PARAMS** structure, which allows for the passing of the mechanism type of the digest mechanism to be used as well as random data to the token. The *pVersion* field of the structure must be set to NULL\_PTR since the version number is not embedded in the pre master secret key as it is for RSA-like key exchange suites.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key (as well as the **CKA\_VALUE\_LEN** attribute, if it is not supplied in the template). Other attributes may be specified in the template, or else are assigned default values.

The template sent along with this mechanism during a **C\_DeriveKey** call may indicate that the object class is **CKO\_SECRET\_KEY**, the key type is **CKK\_GENERIC\_SECRET**, and the **CKA\_VALUE\_LEN** attribute has value 20. However, since these facts are all implicit in the mechanism, there is no need to specify any of them.

This mechanism has the following rules about key sensitivity and extractability:

The **CKA\_SENSITIVE** and **CKA\_EXTRACTABLE** attributes in the template for the new key can both be specified to be either CK\_TRUE or CK\_FALSE. If omitted, these attributes each take on some default value.

If the base key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_FALSE, then the derived key will as well. If the base key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_TRUE, then the derived key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to the same value as its **CKA\_SENSITIVE** attribute.

Similarly, if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_FALSE, then the derived key will, too. If the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE, then the derived key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to the *opposite* value from its **CKA\_EXTRACTABLE** attribute.

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure both indicate 20 bytes.

Note that this mechanism is only useable for key exchange suites that do not use a fixed length 20-byte pre master secret key with an embedded version number. This includes the Diffie-Hellman and Elliptic Curve Cryptography key exchange suites, but excludes the RSA key exchange suites.

## 2.30.6 WTLS PRF (pseudorandom function)

PRF (pseudo random function) in WTLS, denoted **CKM\_WTLS\_PRF**, is a mechanism used to produce a securely generated pseudo-random output of arbitrary length. The keys it uses are generic secret keys.

It has a parameter, a **CK\_WTLS\_PRF\_PARAMS** structure, which allows for passing the mechanism type of the digest mechanism to be used, the passing of the input seed and its length, the passing of an identifying label and its length and the passing of the length of the output to the token and for receiving the output.

This mechanism produces securely generated pseudo-random output of the length specified in the parameter.

This mechanism departs from the other key derivation mechanisms in Cryptoki in not using the template sent along with this mechanism during a **C\_DeriveKey** function call, which means the template shall be a NULL\_PTR. For most key-derivation mechanisms, **C\_DeriveKey** returns a single key handle as a result of a successful completion. However, since the **CKM\_WTLS\_PRF** mechanism returns the requested number of output bytes in the **CK\_WTLS\_PRF\_PARAMS** structure specified as the mechanism parameter, the parameter *phKey* passed to **C\_DeriveKey** is unnecessary, and should be a NULL\_PTR.

If a call to **C\_DeriveKey** with this mechanism fails, then no output will be generated.

# 2.30.7 Server Key and MAC derivation

Server key, MAC and IV derivation in WTLS, denoted

**CKM\_WTLS\_SERVER\_KEY\_AND\_MAC\_DERIVE**, is a mechanism used to derive the appropriate cryptographic keying material used by a cipher suite from the master secret key and random data. This mechanism returns the key handles for the keys generated in the process, as well as the IV created.

It has a parameter, a **CK\_WTLS\_KEY\_MAT\_PARAMS** structure, which allows for the passing of the mechanism type of the digest mechanism to be used, random data, the characteristic of the cryptographic material for the given cipher suite, and a pointer to a structure which receives the handles and IV which were generated.

This mechanism contributes to the creation of two distinct keys and returns one IV (if an IV is requested by the caller) back to the caller. The keys are all given an object class of **CKO\_SECRET\_KEY**.

The MACing key (server write MAC secret) is always given a type of **CKK\_GENERIC\_SECRET**. It is flagged as valid for signing, verification and derivation operations.

The other key (server write key) is typed according to information found in the template sent along with this mechanism during a **C\_DeriveKey** function call. By default, it is flagged as valid for encryption, decryption, and derivation operations.

An IV (server write IV) will be generated and returned if the *ullVSizeInBits* field of the **CK\_WTLS\_KEY\_MAT\_PARAMS** field has a nonzero value. If it is generated, its length in bits will agree with the value in the *ullVSizeInBits* field

Both keys inherit the values of the CKA SENSITIVE, CKA ALWAYS SENSITIVE,

**CKA\_EXTRACTABLE**, and **CKA\_NEVER\_EXTRACTABLE** attributes from the base key. The template provided to **C\_DeriveKey** may not specify values for any of these attributes that differ from those held by the base key.

Note that the CK\_WTLS\_KEY\_MAT\_OUT structure pointed to by the CK\_WTLS\_KEY\_MAT\_PARAMS structure's *pReturnedKeyMaterial* field will be modified by the C\_DeriveKey call. In particular, the two key handle fields in the CK\_WTLS\_KEY\_MAT\_OUT structure will be modified to hold handles to the newlycreated keys; in addition, the buffer pointed to by the CK\_WTLS\_KEY\_MAT\_OUT structure's *pIV* field will

have the IV returned in them (if an IV is requested by the caller). Therefore, this field must point to a buffer with sufficient space to hold any IV that will be returned.

This mechanism departs from the other key derivation mechanisms in Cryptoki in its returned information. For most key-derivation mechanisms, **C\_DeriveKey** returns a single key handle as a result of a successful completion. However, since the **CKM\_WTLS\_SERVER\_KEY\_AND\_MAC\_DERIVE** mechanism returns all of its key handles in the **CK\_WTLS\_KEY\_MAT\_OUT** structure pointed to by the **CK\_WTLS\_KEY\_MAT\_PARAMS** structure specified as the mechanism parameter, the parameter *phKey* passed to **C\_DeriveKey** is unnecessary, and should be a **NULL\_PTR**.

If a call to **C\_DeriveKey** with this mechanism fails, then *none* of the two keys will be created.

## 2.30.8 Client key and MAC derivation

Client key, MAC and IV derivation in WTLS, denoted **CKM\_WTLS\_CLIENT\_KEY\_AND\_MAC\_DERIVE**, is a mechanism used to derive the appropriate cryptographic keying material used by a cipher suite from the master secret key and random data. This mechanism returns the key handles for the keys generated in the process, as well as the IV created.

It has a parameter, a **CK\_WTLS\_KEY\_MAT\_PARAMS** structure, which allows for the passing of the mechanism type of the digest mechanism to be used, random data, the characteristic of the cryptographic material for the given cipher suite, and a pointer to a structure which receives the handles and IV which were generated.

This mechanism contributes to the creation of two distinct keys and returns one IV (if an IV is requested by the caller) back to the caller. The keys are all given an object class of **CKO\_SECRET\_KEY**.

The MACing key (client write MAC secret) is always given a type of **CKK\_GENERIC\_SECRET**. It is flagged as valid for signing, verification and derivation operations.

The other key (client write key) is typed according to information found in the template sent along with this mechanism during a **C\_DeriveKey** function call. By default, it is flagged as valid for encryption, decryption, and derivation operations.

An IV (client write IV) will be generated and returned if the *ullVSizeInBits* field of the **CK\_WTLS\_KEY\_MAT\_PARAMS** field has a nonzero value. If it is generated, its length in bits will agree with the value in the *ullVSizeInBits* field

Both keys inherit the values of the CKA\_SENSITIVE, CKA\_ALWAYS\_SENSITIVE, CKA\_EXTRACTABLE, and CKA\_NEVER\_EXTRACTABLE attributes from the base key. The template provided to C\_DeriveKey may not specify values for any of these attributes that differ from those held by the base key.

Note that the **CK\_WTLS\_KEY\_MAT\_OUT** structure pointed to by the **CK\_WTLS\_KEY\_MAT\_PARAMS** structure's *pReturnedKeyMaterial* field will be modified by the **C\_DeriveKey** call. In particular, the two key handle fields in the **CK\_WTLS\_KEY\_MAT\_OUT** structure will be modified to hold handles to the newlycreated keys; in addition, the buffer pointed to by the **CK\_WTLS\_KEY\_MAT\_OUT** structure's *pIV* field will have the IV returned in them (if an IV is requested by the caller). Therefore, this field must point to a buffer with sufficient space to hold any IV that will be returned.

This mechanism departs from the other key derivation mechanisms in Cryptoki in its returned information. For most key-derivation mechanisms, **C\_DeriveKey** returns a single key handle as a result of a successful completion. However, since the **CKM\_WTLS\_CLIENT\_KEY\_AND\_MAC\_DERIVE** mechanism returns all of its key handles in the **CK\_WTLS\_KEY\_MAT\_OUT** structure pointed to by the **CK\_WTLS\_KEY\_MAT\_PARAMS** structure specified as the mechanism parameter, the parameter *phKey* passed to **C DeriveKey** is unnecessary, and should be a NULL PTR.

If a call to **C\_DeriveKey** with this mechanism fails, then *none* of the two keys will be created.

# 2.31 Miscellaneous simple key derivation mechanisms

Table 105, Miscellaneous simple key derivation Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_CONCATENATE_BASE_AND_KEY							✓
CKM_CONCATENATE_BASE_AND_DATA							✓
CKM_CONCATENATE_DATA_AND_BASE							✓
CKM_XOR_BASE_AND_DATA							✓
CKM_EXTRACT_KEY_FROM_KEY							✓

#### 2.31.1 Definitions

Mechanisms:

CKM\_CONCATENATE\_BASE\_AND\_DATA
CKM\_CONCATENATE\_DATA\_AND\_BASE
CKM\_XOR\_BASE\_AND\_DATA
CKM\_EXTRACT\_KEY\_FROM\_KEY
CKM\_CONCATENATE\_BASE\_AND\_KEY

# 2.31.2 Parameters for miscellaneous simple key derivation mechanisms

◆ CK\_KEY\_DERIVATION\_STRING\_DATA; CK\_KEY\_DERIVATION\_STRING\_DATA\_PTR

CK\_KEY\_DERIVATION\_STRING\_DATA provides the parameters for the CKM\_CONCATENATE\_BASE\_AND\_DATA, CKM\_CONCATENATE\_DATA\_AND\_BASE, and CKM\_XOR\_BASE\_AND\_DATA mechanisms. It is defined as follows:

```
typedef struct CK_KEY_DERIVATION_STRING_DATA {
   CK_BYTE_PTR pData;
   CK_ULONG ullen;
} CK_KEY_DERIVATION_STRING_DATA;
```

The fields of the structure have the following meanings:

pData pointer to the byte string

ulLen length of the byte string

CK\_KEY\_DERIVATION\_STRING\_DATA\_PTR is a pointer to a CK\_KEY\_DERIVATION\_STRING\_DATA.

♦ CK\_EXTRACT\_PARAMS; CK\_EXTRACT\_PARAMS\_PTR

**CK\_EXTRACT\_PARAMS** provides the parameter to the **CKM\_EXTRACT\_KEY\_FROM\_KEY** mechanism. It specifies which bit of the base key should be used as the first bit of the derived key. It is defined as follows:

CK\_EXTRACT\_PARAMS\_PTR is a pointer to a CK\_EXTRACT\_PARAMS.

## 2.31.3 Concatenation of a base key and another key

This mechanism, denoted **CKM\_CONCATENATE\_BASE\_AND\_KEY**, derives a secret key from the concatenation of two existing secret keys. The two keys are specified by handles; the values of the keys specified are concatenated together in a buffer.

This mechanism takes a parameter, a **CK\_OBJECT\_HANDLE**. This handle produces the key value information which is appended to the end of the base key's value information (the base key is the key whose handle is supplied as an argument to **C\_DeriveKey**).

For example, if the value of the base key is 0x01234567, and the value of the other key is 0x89ABCDEF, then the value of the derived key will be taken from a buffer containing the string 0x0123456789ABCDEF.

- If no length or key type is provided in the template, then the key produced by this mechanism will be a generic secret key. Its length will be equal to the sum of the lengths of the values of the two original keys.
- If no key type is provided in the template, but a length is, then the key produced by this mechanism will be a generic secret key of the specified length.
- If no length is provided in the template, but a key type is, then that key type must have a well-defined length. If it does, then the key produced by this mechanism will be of the type specified in the template. If it doesn't, an error will be returned.
- If both a key type and a length are provided in the template, the length must be compatible with that key type. The key produced by this mechanism will be of the specified type and length.

If a DES, DES2, DES3, or CDMF key is derived with this mechanism, the parity bits of the key will be set properly.

If the requested type of key requires more bytes than are available by concatenating the two original keys' values, an error is generated.

This mechanism has the following rules about key sensitivity and extractability:

- If either of the two original keys has its **CKA\_SENSITIVE** attribute set to CK\_TRUE, so does the derived key. If not, then the derived key's **CKA\_SENSITIVE** attribute is set either from the supplied template or from a default value.
- Similarly, if either of the two original keys has its CKA\_EXTRACTABLE attribute set to CK\_FALSE, so does the derived key. If not, then the derived key's CKA\_EXTRACTABLE attribute is set either from the supplied template or from a default value.
- The derived key's CKA\_ALWAYS\_SENSITIVE attribute is set to CK\_TRUE if and only if both of the
  original keys have their CKA\_ALWAYS\_SENSITIVE attributes set to CK\_TRUE.
- Similarly, the derived key's **CKA\_NEVER\_EXTRACTABLE** attribute is set to CK\_TRUE if and only if both of the original keys have their **CKA\_NEVER\_EXTRACTABLE** attributes set to CK\_TRUE.

# 2.31.4 Concatenation of a base key and data

This mechanism, denoted **CKM\_CONCATENATE\_BASE\_AND\_DATA**, derives a secret key by concatenating data onto the end of a specified secret key.

This mechanism takes a parameter, a **CK\_KEY\_DERIVATION\_STRING\_DATA** structure, which specifies the length and value of the data which will be appended to the base key to derive another key.

For example, if the value of the base key is 0x01234567, and the value of the data is 0x89ABCDEF, then the value of the derived key will be taken from a buffer containing the string 0x0123456789ABCDEF.

- If no length or key type is provided in the template, then the key produced by this mechanism will be a generic secret key. Its length will be equal to the sum of the lengths of the value of the original key and the data.
- If no key type is provided in the template, but a length is, then the key produced by this mechanism will be a generic secret key of the specified length.
- If no length is provided in the template, but a key type is, then that key type must have a well-defined length. If it does, then the key produced by this mechanism will be of the type specified in the template. If it doesn't, an error will be returned.
- If both a key type and a length are provided in the template, the length must be compatible with that key type. The key produced by this mechanism will be of the specified type and length.

If a DES, DES2, DES3, or CDMF key is derived with this mechanism, the parity bits of the key will be set properly.

If the requested type of key requires more bytes than are available by concatenating the original key's value and the data, an error is generated.

This mechanism has the following rules about key sensitivity and extractability:

- If the base key has its CKA\_SENSITIVE attribute set to CK\_TRUE, so does the derived key. If not, then the derived key's CKA\_SENSITIVE attribute is set either from the supplied template or from a default value.
- Similarly, if the base key has its CKA\_EXTRACTABLE attribute set to CK\_FALSE, so does the
  derived key. If not, then the derived key's CKA\_EXTRACTABLE attribute is set either from the
  supplied template or from a default value.
- The derived key's **CKA\_ALWAYS\_SENSITIVE** attribute is set to CK\_TRUE if and only if the base key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_TRUE.
- Similarly, the derived key's **CKA\_NEVER\_EXTRACTABLE** attribute is set to CK\_TRUE if and only if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE.

## 2.31.5 Concatenation of data and a base key

This mechanism, denoted **CKM\_CONCATENATE\_DATA\_AND\_BASE**, derives a secret key by prepending data to the start of a specified secret key.

This mechanism takes a parameter, a **CK\_KEY\_DERIVATION\_STRING\_DATA** structure, which specifies the length and value of the data which will be prepended to the base key to derive another key.

For example, if the value of the base key is 0x01234567, and the value of the data is 0x89ABCDEF, then the value of the derived key will be taken from a buffer containing the string 0x89ABCDEF01234567.

- If no length or key type is provided in the template, then the key produced by this mechanism will be a generic secret key. Its length will be equal to the sum of the lengths of the data and the value of the original key.
- If no key type is provided in the template, but a length is, then the key produced by this mechanism will be a generic secret key of the specified length.
- If no length is provided in the template, but a key type is, then that key type must have a well-defined length. If it does, then the key produced by this mechanism will be of the type specified in the template. If it doesn't, an error will be returned.
- If both a key type and a length are provided in the template, the length must be compatible with that key type. The key produced by this mechanism will be of the specified type and length.

If a DES, DES2, DES3, or CDMF key is derived with this mechanism, the parity bits of the key will be set properly.

If the requested type of key requires more bytes than are available by concatenating the data and the original key's value, an error is generated.

This mechanism has the following rules about key sensitivity and extractability:

- If the base key has its CKA\_SENSITIVE attribute set to CK\_TRUE, so does the derived key. If not, then the derived key's CKA\_SENSITIVE attribute is set either from the supplied template or from a default value.
- Similarly, if the base key has its CKA\_EXTRACTABLE attribute set to CK\_FALSE, so does the
  derived key. If not, then the derived key's CKA\_EXTRACTABLE attribute is set either from the
  supplied template or from a default value.
- The derived key's CKA\_ALWAYS\_SENSITIVE attribute is set to CK\_TRUE if and only if the base key has its CKA ALWAYS SENSITIVE attribute set to CK\_TRUE.
- Similarly, the derived key's **CKA\_NEVER\_EXTRACTABLE** attribute is set to CK\_TRUE if and only if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE.

## 2.31.6 XORing of a key and data

XORing key derivation, denoted **CKM\_XOR\_BASE\_AND\_DATA**, is a mechanism which provides the capability of deriving a secret key by performing a bit XORing of a key pointed to by a base key handle and some data.

This mechanism takes a parameter, a **CK\_KEY\_DERIVATION\_STRING\_DATA** structure, which specifies the data with which to XOR the original key's value.

For example, if the value of the base key is 0x01234567, and the value of the data is 0x89ABCDEF, then the value of the derived key will be taken from a buffer containing the string 0x88888888.

- If no length or key type is provided in the template, then the key produced by this mechanism will be a
  generic secret key. Its length will be equal to the minimum of the lengths of the data and the value of
  the original key.
- If no key type is provided in the template, but a length is, then the key produced by this mechanism will be a generic secret key of the specified length.
- If no length is provided in the template, but a key type is, then that key type must have a well-defined length. If it does, then the key produced by this mechanism will be of the type specified in the template. If it doesn't, an error will be returned.
- If both a key type and a length are provided in the template, the length must be compatible with that key type. The key produced by this mechanism will be of the specified type and length.

If a DES, DES3, or CDMF key is derived with this mechanism, the parity bits of the key will be set properly.

If the requested type of key requires more bytes than are available by taking the shorter of the data and the original key's value, an error is generated.

This mechanism has the following rules about key sensitivity and extractability:

- If the base key has its CKA\_SENSITIVE attribute set to CK\_TRUE, so does the derived key. If not, then the derived key's CKA\_SENSITIVE attribute is set either from the supplied template or from a default value.
- Similarly, if the base key has its CKA\_EXTRACTABLE attribute set to CK\_FALSE, so does the
  derived key. If not, then the derived key's CKA\_EXTRACTABLE attribute is set either from the
  supplied template or from a default value.
- The derived key's **CKA\_ALWAYS\_SENSITIVE** attribute is set to CK\_TRUE if and only if the base key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_TRUE.
- Similarly, the derived key's **CKA\_NEVER\_EXTRACTABLE** attribute is set to CK\_TRUE if and only if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE.

# 2.31.7 Extraction of one key from another key

Extraction of one key from another key, denoted **CKM\_EXTRACT\_KEY\_FROM\_KEY**, is a mechanism which provides the capability of creating one secret key from the bits of another secret key.

This mechanism has a parameter, a CK\_EXTRACT\_PARAMS, which specifies which bit of the original key should be used as the first bit of the newly-derived key.

We give an example of how this mechanism works. Suppose a token has a secret key with the 4-byte value 0x329F84A9. We will derive a 2-byte secret key from this key, starting at bit position 21 (i.e., the value of the parameter to the CKM EXTRACT KEY FROM KEY mechanism is 21).

- 1. We write the key's value in binary: 0011 0010 1001 1111 1000 0100 1010 1001. We regard this binary string as holding the 32 bits of the key, labeled as b0, b1, ..., b31.
- 2. We then extract 16 consecutive bits (i.e., 2 bytes) from this binary string, starting at bit b21. We obtain the binary string 1001 0101 0010 0110.
- 3. The value of the new key is thus 0x9526.

Note that when constructing the value of the derived key, it is permissible to wrap around the end of the binary string representing the original key's value.

If the original key used in this process is sensitive, then the derived key must also be sensitive for the derivation to succeed.

- If no length or key type is provided in the template, then an error will be returned.
- If no key type is provided in the template, but a length is, then the key produced by this mechanism will be a generic secret key of the specified length.
- If no length is provided in the template, but a key type is, then that key type must have a well-defined length. If it does, then the key produced by this mechanism will be of the type specified in the template. If it doesn't, an error will be returned.
- If both a key type and a length are provided in the template, the length must be compatible with that key type. The key produced by this mechanism will be of the specified type and length.

If a DES, DES2, DES3, or CDMF key is derived with this mechanism, the parity bits of the key will be set properly.

If the requested type of key requires more bytes than the original key has, an error is generated.

This mechanism has the following rules about key sensitivity and extractability:

- If the base key has its CKA\_SENSITIVE attribute set to CK\_TRUE, so does the derived key. If not, then the derived key's CKA\_SENSITIVE attribute is set either from the supplied template or from a default value.
- Similarly, if the base key has its **CKA\_EXTRACTABLE** attribute set to CK\_FALSE, so does the derived key. If not, then the derived key's **CKA\_EXTRACTABLE** attribute is set either from the supplied template or from a default value.
- The derived key's **CKA\_ALWAYS\_SENSITIVE** attribute is set to CK\_TRUE if and only if the base key has its **CKA\_ALWAYS\_SENSITIVE** attribute set to CK\_TRUE.
- Similarly, the derived key's **CKA\_NEVER\_EXTRACTABLE** attribute is set to CK\_TRUE if and only if the base key has its **CKA\_NEVER\_EXTRACTABLE** attribute set to CK\_TRUE.

#### 2.32 CMS

Table 106, CMS Mechanisms vs. Functions

		Functions					
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_CMS_SIG		<b>√</b>	<b>√</b>				

#### 2.32.1 Definitions

Mechanisms:

CKM\_CMS\_SIG

# 2.32.2 CMS Signature Mechanism Objects

These objects provide information relating to the CKM\_CMS\_SIG mechanism. CKM\_CMS\_SIG mechanism object attributes represent information about supported CMS signature attributes in the token. They are only present on tokens supporting the **CKM\_CMS\_SIG** mechanism, but must be present on those tokens.

Table 107, CMS Signature Mechanism Object Attributes

Attribute	Data type	Meaning
CKA_REQUIRED_CMS_ATTRIBUTE S	Byte array	Attributes the token always will include in the set of CMS signed attributes
CKA_DEFAULT_CMS_ATTRIBUTES	Byte array	Attributes the token will include in the set of CMS signed attributes in the absence of any attributes specified by the application
CKA_SUPPORTED_CMS_ATTRIBUT ES	Byte array	Attributes the token may include in the set of CMS signed attributes upon request by the application

The contents of each byte array will be a DER-encoded list of CMS **Attributes** with optional accompanying values. Any attributes in the list shall be identified with its object identifier, and any values shall be DER-encoded. The list of attributes is defined in ASN.1 as:

The client may not set any of the attributes.

# 2.32.3 CMS mechanism parameters

#### CK CMS SIG PARAMS, CK CMS SIG PARAMS PTR

**CK\_CMS\_SIG\_PARAMS** is a structure that provides the parameters to the **CKM\_CMS\_SIG** mechanism. It is defined as follows:

```
typedef struct CK CMS SIG PARAMS {
CK OBJECT HANDLE
                      certificateHandle;
CK MECHANISM PTR
                      pSigningMechanism;
CK MECHANISM PTR
                      pDigestMechanism;
CK UTF8CHAR PTR
                      pContentType;
CK BYTE PTR
                      pRequestedAttributes;
CK ULONG
                      ulRequestedAttributesLen;
CK BYTE PTR
                      pRequiredAttributes;
CK ULONG
                      ulRequiredAttributesLen;
} CK CMS SIG PARAMS;
```

The fields of the structure have the following meanings:

certificateHandle Object handle for a certificate associated with the signing key. The

token may use information from this certificate to identify the signer in the **SignerInfo** result value. CertificateHandle may be NULL\_PTR if the certificate is not available as a PKCS #11 object or if the calling application leaves the choice of certificate completely to the

token.

pSigningMechanism Mechanism to use when signing a constructed CMS

SignedAttributes value. E.g. CKM\_SHA1\_RSA\_PKCS.

pDigestMechanism Mechanism to use when digesting the data. Value shall be

NULL\_PTR when the digest mechanism to use follows from the

pSigningMechanism parameter.

pContentType NULL-terminated string indicating complete MIME Content-type of

message to be signed; or the value NULL\_PTR if the message is a MIME object (which the token can parse to determine its MIME Content-type if required). Use the value "application/octet-stream" if the MIME type for the message is unknown or undefined. Note that the pContentType string shall conform to the syntax specified in RFC 2045, i.e. any parameters needed for correct presentation of the content by the token (such as, for example, a non-default "charset") must be present. The token must follow rules and procedures defined in RFC 2045 when presenting the content.

pRequestedAttributes Pointer to DER-encoded list of CMS Attributes the caller requests to

be included in the signed attributes. Token may freely ignore this list

or modify any supplied values.

ulRequestedAttributesLen Length in bytes of the value pointed to by pRequestedAttributes

pRequiredAttributes Pointer to DER-encoded list of CMS Attributes (with accompanying

values) required to be included in the resulting signed attributes. Token must not modify any supplied values. If the token does not support one or more of the attributes, or does not accept provided values, the signature operation will fail. The token will use its own default attributes when signing if both the pRequestedAttributes and

pRequiredAttributes field are set to NULL\_PTR.

*ulRequiredAttributesLen*Length in bytes, of the value pointed to by pRequiredAttributes.

# 2.32.4 CMS signatures

The CMS mechanism, denoted **CKM\_CMS\_SIG**, is a multi-purpose mechanism based on the structures defined in PKCS #7 and RFC 2630. It supports single- or multiple-part signatures with and without message recovery. The mechanism is intended for use with, e.g., PTDs (see MeT-PTD) or other capable tokens. The token will construct a CMS **SignedAttributes** value and compute a signature on this value. The content of the **SignedAttributes** value is decided by the token, however the caller can suggest some attributes in the parameter *pRequestedAttributes*. The caller can also require some attributes to be present through the parameters *pRequiredAttributes*. The signature is computed in accordance with the parameter *pSigningMechanism*.

When this mechanism is used in successful calls to **C\_Sign** or **C\_SignFinal**, the *pSignature* return value will point to a DER-encoded value of type **SignerInfo**. **SignerInfo** is defined in ASN.1 as follows (for a complete definition of all fields and types, see RFC 2630):

SignerInfo ::= SEQUENCE {

```
version CMSVersion,
sid SignerIdentifier,
digestAlgorithm DigestAlgorithmIdentifier,
signedAttrs [0] IMPLICIT SignedAttributes OPTIONAL,
signatureAlgorithm SignatureAlgorithmIdentifier,
signature SignatureValue,
unsignedAttrs [1] IMPLICIT UnsignedAttributes
OPTIONAL }
```

The *certificateHandle* parameter, when set, helps the token populate the **sid** field of the **SignerInfo** value. If *certificateHandle* is NULL\_PTR the choice of a suitable certificate reference in the **SignerInfo** result value is left to the token (the token could, e.g., interact with the user).

This mechanism shall not be used in calls to **C\_Verify** or **C\_VerifyFinal** (use the *pSigningMechanism* mechanism instead).

For the *pRequiredAttributes* field, the token may have to interact with the user to find out whether to accept a proposed value or not. The token should never accept any proposed attribute values without some kind of confirmation from its owner (but this could be through, e.g., configuration or policy settings and not direct interaction). If a user rejects proposed values, or the signature request as such, the value CKR\_FUNCTION\_REJECTED shall be returned.

When possible, applications should use the **CKM\_CMS\_SIG** mechanism when generating CMS-compatible signatures rather than lower-level mechanisms such as **CKM\_SHA1\_RSA\_PKCS**. This is especially true when the signatures are to be made on content that the token is able to present to a user. Exceptions may include those cases where the token does not support a particular signing attribute. Note however that the token may refuse usage of a particular signature key unless the content to be signed is known (i.e. the **CKM\_CMS\_SIG** mechanism is used).

When a token does not have presentation capabilities, the PKCS #11-aware application may avoid sending the whole message to the token by electing to use a suitable signature mechanism (e.g. **CKM\_RSA\_PKCS**) as the *pSigningMechanism* value in the **CK\_CMS\_SIG\_PARAMS** structure, and digesting the message itself before passing it to the token.

PKCS #11-aware applications making use of tokens with presentation capabilities, should attempt to provide messages to be signed by the token in a format possible for the token to present to the user. Tokens that receive multipart MIME-messages for which only certain parts are possible to present may fail the signature operation with a return value of **CKR\_DATA\_INVALID**, but may also choose to add a signing attribute indicating which parts of the message were possible to present.

#### 2.33 Blowfish

Blowfish, a secret-key block cipher. It is a Feistel network, iterating a simple encryption function 16 times. The block size is 64 bits, and the key can be any length up to 448 bits. Although there is a complex initialization phase required before any encryption can take place, the actual encryption of data is very efficient on large microprocessors.

Table 108, Blowfish Mechanisms vs. Functions

	Functions						
Mechanism	Encrypt & Decrypt	&	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_BLOWFISH_CBC	1					✓	
CKM_BLOWFISH_CBC_PAD	✓					1	

#### 2.33.1 Definitions

This section defines the key type "CKK\_BLOWFISH" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

Mechanisms:

```
CKM_BLOWFISH_KEY_GEN
CKM_BLOWFISH_CBC
CKM_BLOWFISH_CBC_PAD
```

# 2.33.2 BLOWFISH secret key objects

Blowfish secret key objects (object class CKO\_SECRET\_KEY, key type CKK\_BLOWFISH) hold Blowfish keys. The following table defines the Blowfish secret key object attributes, in addition to the common attributes defined for this object class:

Table 109, BLOWFISH Secret Key Object

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value the key can be any length up to 448 bits. Bit length restricted to a byte array.
CKA_VALUE_LEN <sup>2,3</sup>	CK_ULONG	Length in bytes of key value

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The following is a sample template for creating an Blowfish secret key object:

## 2.33.3 Blowfish key generation

The Blowfish key generation mechanism, denoted **CKM\_BLOWFISH\_KEY\_GEN**, is a key generation mechanism Blowfish.

It does not have a parameter.

The mechanism generates Blowfish keys with a particular length, as specified in the **CKA\_VALUE\_LEN** attribute of the template for the key.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the key type (specifically, the flags indicating which functions the key supports) may be specified in the template for the key, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of key sizes in bytes.

## 2.33.4 Blowfish-CBC

Blowfish-CBC, denoted **CKM\_BLOWFISH\_CBC**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping.

It has a parameter, a 8-byte initialization vector.

This mechanism can wrap and unwrap any secret key. For wrapping, the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size minus one null bytes so that the resulting length is a multiple of the block size. The output data is the same length as the padded input data. It does not wrap the key type, key length, or any other information about the key; the application must convey these separately.

For unwrapping, the mechanism decrypts the wrapped key, and truncates the result according to the CKA\_KEY\_TYPE attribute of the template and, if it has one, and the key type supports it, the CKA\_VALUE\_LEN attribute of the template. The mechanism contributes the result as the CKA\_VALUE attribute of the new key; other attributes required by the key type must be specified in the template.

Constraints on key types and the length of data are summarized in the following table:

Table 110,	BLUWFIS	H-CBC: I	ey and	i Data	Lengtn

Function	Key type	Input Length	Output Length
C_Encrypt	BLOWFISH	Multiple of block size	Same as input length
C_Decrypt	BLOWFISH	Multiple of block size	Same as input length
C_WrapKey	BLOWFISH	Any	Input length rounded up to multiple of the block size
C_UnwrapKey	BLOWFISH	Multiple of block size	Determined by type of key being unwrapped or CKA_VALUE_LEN

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of BLOWFISH key sizes, in bytes.

# 2.33.5 Blowfish-CBC with PKCS padding

Blowfish-CBC-PAD, denoted CKM\_BLOWFISH\_CBC\_PAD, is a mechanism for single- and multiple-part encryption and decryption, key wrapping and key unwrapping, cipher-block chaining mode and the block cipher padding method detailed in PKCS #7.

It has a parameter, a 8-byte initialization vector.

The PKCS padding in this mechanism allows the length of the plaintext value to be recovered from the ciphertext value. Therefore, when unwrapping keys with this mechanism, no value should be specified for the **CKA\_VALUE\_LEN** attribute.

The entries in the table below for data length constraints when wrapping and unwrapping keys do not apply to wrapping and unwrapping private keys.

Constraints on key types and the length of data are summarized in the following table:

Table 111, BLOWFISH-CBC with PKCS Padding: Key and Data Length

Function	Key type	Input Length	Output Length
C_Encrypt	BLOWFISH	Any	Input length rounded up to multiple of the block size
C_Decrypt	BLOWFISH	Multiple of block size	Between 1 and block length block size bytes shorter than input length
C_WrapKey	BLOWFISH	Any	Input length rounded up to multiple of the block size
C_UnwrapKey	BLOWFISH	Multiple of block size	Between 1 and block length block size bytes shorter than input length

#### 2.34 Twofish

Ref. https://www.schneier.com/twofish.html

#### 2.34.1 Definitions

This section defines the key type "CKK\_TWOFISH" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

Mechanisms:

CKM\_TWOFISH\_CBC
CKM\_TWOFISH\_CBC\_PAD

# 2.34.2 Twofish secret key objects

Twofish secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_TWOFISH**) hold Twofish keys. The following table defines the Twofish secret key object attributes, in addition to the common attributes defined for this object class:

Table 112, Twofish Secret Key Object

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value 128-, 192-, or 256-bit key
CKA_VALUE_LEN <sup>2,3</sup>	CK_ULONG	Length in bytes of key value

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes

The following is a sample template for creating an TWOFISH secret key object:

```
{CKA_KEY_TYPE, &keyType, sizeof(keyType)},
{CKA_TOKEN, &true, sizeof(true)},
{CKA_LABEL, label, sizeof(label)-1},
{CKA_ENCRYPT, &true, sizeof(true)},
{CKA_VALUE, value, sizeof(value)}
};
```

## 2.34.3 Twofish key generation

The Twofish key generation mechanism, denoted **CKM\_TWOFISH\_KEY\_GEN**, is a key generation mechanism Twofish.

It does not have a parameter.

The mechanism generates Blowfish keys with a particular length, as specified in the **CKA\_VALUE\_LEN** attribute of the template for the key.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the key type (specifically, the flags indicating which functions the key supports) may be specified in the template for the key, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of key sizes, in bytes.

#### 2.34.4 Twofish -CBC

Twofish-CBC, denoted **CKM\_TWOFISH\_CBC**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping.

It has a parameter, a 16-byte initialization vector.

# 2.34.5 Twofish-CBC with PKCS padding

Twofish-CBC-PAD, denoted CKM\_TWOFISH\_CBC\_PAD, is a mechanism for single- and multiple-part encryption and decryption, key wrapping and key unwrapping, cipher-block chaining mode and the block cipher padding method detailed in PKCS #7.

It has a parameter, a 16-byte initialization vector.

The PKCS padding in this mechanism allows the length of the plaintext value to be recovered from the ciphertext value. Therefore, when unwrapping keys with this mechanism, no value should be specified for the **CKA\_VALUE\_LEN** attribute.

#### 2.35 CAMELLIA

Camellia is a block cipher with 128-bit block size and 128-, 192-, and 256-bit keys, similar to AES. Camellia is described e.g. in IETF RFC 3713.

Table 113. Camellia Mechanisms vs. Functions

	Functions						
l.,	Encrypt	Sign	SR	5.	Gen.	Wrap	
Mechanism	& Decrypt	& Verify	& VR <sup>1</sup>	Digest	Key/ Key Pair	& Unwrap	Derive
CKM_CAMELLIA_KEY_GEN					✓		
CKM_CAMELLIA_ECB	✓					✓	
CKM_CAMELLIA_CBC	✓					✓	
CKM_CAMELLIA_CBC_PAD	✓					✓	

	Functions							
	Encrypt	Sign	SR		Gen.	Wrap		
Mechanism	&	&	&	Digest	161	&	Derive	
	Decrypt	Verify	VR <sup>1</sup>		Key/ Key	Unwrap		
					Pair			
CKM_CAMELLIA_MAC_GENERAL		<b>√</b>			. an			
CKM_CAMELLIA_MAC		✓						
CKM_CAMELLIA_ECB_ENCRYPT_DATA							✓	
CKM_CAMELLIA_CBC_ENCRYPT_DATA							✓	

#### 2.35.1 Definitions

This section defines the key type "CKK\_CAMELLIA" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

#### Mechanisms:

```
CKM_CAMELLIA_KEY_GEN
CKM_CAMELLIA_ECB
CKM_CAMELLIA_CBC
CKM_CAMELLIA_MAC
CKM_CAMELLIA_MAC_GENERAL
CKM_CAMELLIA_CBC_PAD
```

# 2.35.2 Camellia secret key objects

Camellia secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_CAMELLIA**) hold Camellia keys. The following table defines the Camellia secret key object attributes, in addition to the common attributes defined for this object class:

Table 114, Camellia Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value (16, 24, or 32 bytes)
CKA_VALUE_LEN <sup>2,3,6</sup>	CK_ULONG	Length in bytes of key value

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes.

The following is a sample template for creating a Camellia secret key object:

```
CK_OBJECT_CLASS class = CKO_SECRET_KEY;
CK_KEY_TYPE keyType = CKK_CAMELLIA;
CK_UTF8CHAR label[] = "A Camellia secret key object";
CK_BYTE value[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_ENCRYPT, &true, sizeof(true)},
    {CKA_VALUE, value, sizeof(value)}
```

## 2.35.3 Camellia key generation

The Camellia key generation mechanism, denoted CKM\_CAMELLIA\_KEY\_GEN, is a key generation mechanism for Camellia.

It does not have a parameter.

The mechanism generates Camellia keys with a particular length in bytes, as specified in the **CKA\_VALUE\_LEN** attribute of the template for the key.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the Camellia key type (specifically, the flags indicating which functions the key supports) may be specified in the template for the key, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Camellia key sizes, in bytes.

#### 2.35.4 Camellia-ECB

Camellia-ECB, denoted **CKM\_CAMELLIA\_ECB**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on Camellia and electronic codebook mode. It does not have a parameter.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports. For wrapping, the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size minus one null bytes so that the resulting length is a multiple of the block size. The output data is the same length as the padded input data. It does not wrap the key type, key length, or any other information about the key; the application must convey these separately.

For unwrapping, the mechanism decrypts the wrapped key, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one, and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

Constraints on key types and the length of data are summarized in the following table:

Table 115, Camellia-ECB: Key and Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	CKK_CAMELLIA	multiple of block size	same as input length	no final part
C_Decrypt	CKK_CAMELLIA	multiple of block size	same as input length	no final part
C_WrapKey	CKK_CAMELLIA	any	input length rounded up to multiple of block size	
C_UnwrapKey	CKK_CAMELLIA	multiple of block size	determined by type of key being unwrapped or CKA_VALUE_LEN	

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Camellia key sizes, in bytes.

#### 2.35.5 Camellia-CBC

Camellia-CBC, denoted **CKM\_CAMELLIA\_CBC**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on Camellia and cipher-block chaining mode. It has a parameter, a 16-byte initialization vector.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports. For wrapping, the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size minus one null bytes so that the resulting length is a multiple of the block size. The output data is the same length as the padded input data. It does not wrap the key type, key length, or any other information about the key; the application must convey these separately.

For unwrapping, the mechanism decrypts the wrapped key, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one, and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

Constraints on key types and the length of data are summarized in the following table:

Table 116, Camellia-CBC: Key and Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	CKK_CAMELLIA	multiple of block size	same as input length	no final part
C_Decrypt	CKK_CAMELLIA	multiple of block size	same as input length	no final part
C_WrapKey	CKK_CAMELLIA	any	input length rounded up to multiple of the block size	
C_UnwrapKey	CKK_CAMELLIA	multiple of block size	determined by type of key being unwrapped or CKA_VALUE_LEN	

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Camellia key sizes, in bytes.

# 2.35.6 Camellia-CBC with PKCS padding

Camellia-CBC with PKCS padding, denoted **CKM\_CAMELLIA\_CBC\_PAD**, is a mechanism for single-and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on Camellia; cipher-block chaining mode; and the block cipher padding method detailed in PKCS #7.

It has a parameter, a 16-byte initialization vector.

The PKCS padding in this mechanism allows the length of the plaintext value to be recovered from the ciphertext value. Therefore, when unwrapping keys with this mechanism, no value should be specified for the **CKA VALUE LEN** attribute.

In addition to being able to wrap and unwrap secret keys, this mechanism can wrap and unwrap RSA, Diffie-Hellman, X9.42 Diffie-Hellman, EC (also related to ECDSA) and DSA private keys (see Section TBA for details). The entries in the table below for data length constraints when wrapping and unwrapping keys do not apply to wrapping and unwrapping private keys.

Constraints on key types and the length of data are summarized in the following table:

Table 117, Camellia-CBC with PKCS Padding: Key and Data Length

Function	Key type	Input length	Output length
C_Encrypt	CKK_CAMELLIA	any	input length rounded up to multiple of the block size
C_Decrypt	CKK_CAMELLIA	multiple of block size	between 1 and block size bytes shorter than input length
C_WrapKey	CKK_CAMELLIA	any	input length rounded up to multiple of the block size
C_UnwrapKey	CKK_CAMELLIA	multiple of block size	between 1 and block length bytes shorter than input length

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Camellia key sizes, in bytes.

# 2.35.7 General-length Camellia-MAC

General-length Camellia -MAC, denoted CKM\_CAMELLIA\_MAC\_GENERAL, is a mechanism for single-and multiple-part signatures and verification, based on Camellia and data authentication as defined in.[CAMELLIA]

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS** structure, which specifies the output length desired from the mechanism.

The output bytes from this mechanism are taken from the start of the final Camellia cipher block produced in the MACing process.

Constraints on key types and the length of data are summarized in the following table:

Table 118, General-length Camellia-MAC: Key and Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_CAMELLIA	any	0-block size, as specified in parameters
C_Verify	CKK_CAMELLIA	any	0-block size, as specified in parameters

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Camellia key sizes, in bytes.

#### 2.35.8 Camellia-MAC

Camellia-MAC, denoted by **CKM\_CAMELLIA\_MAC**, is a special case of the general-length Camellia-MAC mechanism. Camellia-MAC always produces and verifies MACs that are half the block size in length.

It does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 119, Camellia-MAC: Key and Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_CAMELLIA	any	½ block size (8 bytes)
C_Verify	CKK_CAMELLIA	any	½ block size (8 bytes)

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of Camellia key sizes, in bytes.

# 2.36 Key derivation by data encryption - Camellia

CKM CAMELLIA ECB ENCRYPT DATA

These mechanisms allow derivation of keys using the result of an encryption operation as the key value. They are for use with the C\_DeriveKey function.

#### 2.36.1 Definitions

Mechanisms:

```
CKM_CAMELLIA_CBC_ENCRYPT_DATA

typedef struct CK_CAMELLIA_CBC_ENCRYPT_DATA_PARAMS {
    CK_BYTE         iv[16];
    CK_BYTE_PTR    pData;
    CK_ULONG        length;
} CK_CAMELLIA_CBC_ENCRYPT_DATA_PARAMS;

typedef CK_CAMELLIA_CBC_ENCRYPT_DATA_PARAMS CK_PTR
CK_CAMELLIA_CBC_ENCRYPT_DATA_PARAMS PTR;
```

#### 2.36.2 Mechanism Parameters

 ${\tt Uses\ CK\_CAMELLIA\_CBC\_ENCRYPT\_DATA\_PARAMS},\ \ {\tt and\ CK\_KEY\_DERIVATION\_STRING\_DATA}.$ 

Table 120, Mechanism Parameters for Camellia-based key derivation

CKM_CAMELLIA_ECB_ENCRYPT_DATA	Uses CK_KEY_DERIVATION_STRING_DATA structure. Parameter is the data to be encrypted and must be a multiple of 16 long.
CKM_CAMELLIA_CBC_ENCRYPT_DATA	Uses CK_CAMELLIA_CBC_ENCRYPT_DATA_PARAMS. Parameter is an 16 byte IV value followed by the data. The data value part must be a multiple of 16 bytes long.

#### **2.37 ARIA**

ARIA is a block cipher with 128-bit block size and 128-, 192-, and 256-bit keys, similar to AES. ARIA is described in NSRI "Specification of ARIA".

Table 121, ARIA Mechanisms vs. Functions

	Functions							
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key	Wrap & Unwrap	Derive	
					Pair			
CKM_ARIA_KEY_GEN					✓			
CKM_ARIA_ECB	✓					✓		
CKM_ARIA_CBC	✓					✓		
CKM_ARIA_CBC_PAD	✓					✓		
CKM_ARIA_MAC_GENERAL		✓						

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_ARIA_MAC		✓					
CKM_ARIA_ECB_ENCRYPT_DATA							✓
CKM_ARIA_CBC_ENCRYPT_DATA							✓

#### 2.37.1 Definitions

This section defines the key type "CKK\_ARIA" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

#### Mechanisms:

```
CKM_ARIA_KEY_GEN
CKM_ARIA_ECB
CKM_ARIA_CBC
CKM_ARIA_MAC
CKM_ARIA_MAC_GENERAL
CKM_ARIA_CBC_PAD
```

# 2.37.2 Aria secret key objects

ARIA secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_ARIA**) hold ARIA keys. The following table defines the ARIA secret key object attributes, in addition to the common attributes defined for this object class:

Table 122, ARIA Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value (16, 24, or 32 bytes)
CKA_VALUE_LEN <sup>2,3,6</sup>	CK_ULONG	Length in bytes of key value

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes.

The following is a sample template for creating an ARIA secret key object:

```
CK_OBJECT_CLASS class = CKO_SECRET_KEY;
CK_KEY_TYPE keyType = CKK_ARIA;
CK_UTF8CHAR label[] = "An ARIA secret key object";
CK_BYTE value[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_ENCRYPT, &true, sizeof(true)},
    {CKA_VALUE, value, sizeof(value)}
};
```

## 2.37.3 ARIA key generation

The ARIA key generation mechanism, denoted CKM\_ARIA\_KEY\_GEN, is a key generation mechanism for Aria.

It does not have a parameter.

The mechanism generates ARIA keys with a particular length in bytes, as specified in the **CKA\_VALUE\_LEN** attribute of the template for the key.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the ARIA key type (specifically, the flags indicating which functions the key supports) may be specified in the template for the key, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of ARIA key sizes, in bytes.

#### 2.37.4 ARIA-ECB

ARIA-ECB, denoted **CKM\_ARIA\_ECB**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on Aria and electronic codebook mode. It does not have a parameter.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports. For wrapping, the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size minus one null bytes so that the resulting length is a multiple of the block size. The output data is the same length as the padded input data. It does not wrap the key type, key length, or any other information about the key; the application must convey these separately.

For unwrapping, the mechanism decrypts the wrapped key, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one, and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

Constraints on key types and the length of data are summarized in the following table:

Table 123, ARIA-ECB: Key and Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	CKK_ARIA	multiple of block size	same as input length	no final part
C_Decrypt	CKK_ARIA	multiple of block size	same as input length	no final part
C_WrapKey	CKK_ARIA	any	input length rounded up to multiple of block size	
C_UnwrapKey	CKK_ARIA	multiple of block size	determined by type of key being unwrapped or CKA_VALUE_LEN	

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of ARIA key sizes, in bytes.

#### 2.37.5 ARIA-CBC

ARIA-CBC, denoted **CKM\_ARIA\_CBC**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on ARIA and cipher-block chaining mode.

It has a parameter, a 16-byte initialization vector.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports. For wrapping, the mechanism encrypts the value of the

**CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size minus one null bytes so that the resulting length is a multiple of the block size. The output data is the same length as the padded input data. It does not wrap the key type, key length, or any other information about the key; the application must convey these separately.

For unwrapping, the mechanism decrypts the wrapped key, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one, and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key; other attributes required by the key type must be specified in the template.

Constraints on key types and the length of data are summarized in the following table:

Table 124, ARIA-CBC: Key and Data Length

Function	Key type	Input length	Output length	Comments
C_Encrypt	CKK_ARIA	multiple of block size	same as input length	no final part
C_Decrypt	CKK_ARIA	multiple of block size	same as input length	no final part
C_WrapKey	CKK_ARIA	any	input length rounded up to multiple of the block size	
C_UnwrapKey	CKK_ARIA	multiple of block size	determined by type of key being unwrapped or CKA_VALUE_LEN	

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the CK\_MECHANISM\_INFO structure specify the supported range of Aria key sizes, in bytes.

# 2.37.6 ARIA-CBC with PKCS padding

ARIA-CBC with PKCS padding, denoted **CKM\_ARIA\_CBC\_PAD**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on ARIA; cipher-block chaining mode; and the block cipher padding method detailed in PKCS #7.

It has a parameter, a 16-byte initialization vector.

The PKCS padding in this mechanism allows the length of the plaintext value to be recovered from the ciphertext value. Therefore, when unwrapping keys with this mechanism, no value should be specified for the **CKA\_VALUE\_LEN** attribute.

In addition to being able to wrap and unwrap secret keys, this mechanism can wrap and unwrap RSA, Diffie-Hellman, X9.42 Diffie-Hellman, EC (also related to ECDSA) and DSA private keys (see Section TBA for details). The entries in the table below for data length constraints when wrapping and unwrapping keys do not apply to wrapping and unwrapping private keys.

Constraints on key types and the length of data are summarized in the following table:

Table 125, ARIA-CBC with PKCS Padding: Key and Data Length

Function	Key type	Input length	Output length
C_Encrypt	CKK_ARIA	any	input length rounded up to multiple of the block size
C_Decrypt	CKK_ARIA	multiple of block size	between 1 and block size bytes shorter than input length
C_WrapKey	CKK_ARIA	any	input length rounded up to multiple of the block size
C_UnwrapKey	CKK_ARIA	multiple of block size	between 1 and block length bytes shorter than input length

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of ARIA key sizes, in bytes.

## 2.37.7 General-length ARIA-MAC

General-length ARIA -MAC, denoted **CKM\_ARIA\_MAC\_GENERAL**, is a mechanism for single- and multiple-part signatures and verification, based on ARIA and data authentication as defined in [FIPS 113].

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS** structure, which specifies the output length desired from the mechanism.

The output bytes from this mechanism are taken from the start of the final ARIA cipher block produced in the MACing process.

Constraints on key types and the length of data are summarized in the following table:

Table 126, General-length ARIA-MAC: Key and Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_ARIA	any	0-block size, as specified in parameters
C_Verify	CKK_ARIA	any	0-block size, as specified in parameters

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of ARIA key sizes, in bytes.

#### 2.37.8 ARIA-MAC

ARIA-MAC, denoted by **CKM\_ARIA\_MAC**, is a special case of the general-length ARIA-MAC mechanism. ARIA-MAC always produces and verifies MACs that are half the block size in length.

It does not have a parameter.

Constraints on key types and the length of data are summarized in the following table:

Table 127, ARIA-MAC: Key and Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_ARIA	any	½ block size (8 bytes)
C_Verify	CKK_ARIA	any	½ block size (8 bytes)

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of ARIA key sizes, in bytes.

# 2.38 Key derivation by data encryption - ARIA

These mechanisms allow derivation of keys using the result of an encryption operation as the key value. They are for use with the C\_DeriveKey function.

### 2.38.1 Definitions

Mechanisms:

#### 2.38.2 Mechanism Parameters

Uses CK\_ARIA\_CBC\_ENCRYPT\_DATA\_PARAMS, and CK\_KEY\_DERIVATION\_STRING\_DATA.

Table 128, Mechanism Parameters for Aria-based key derivation

CKM\_ARIA\_ECB\_ENCRYPT\_DATA

CKM_ARIA_ECB_ENCRYPT_DATA	Uses CK_KEY_DERIVATION_STRING_DATA structure. Parameter is the data to be encrypted and must be a multiple of 16 long.
CKM_ARIA_CBC_ENCRYPT_DATA	Uses CK_ARIA_CBC_ENCRYPT_DATA_PARAMS. Parameter is an 16 byte IV value followed by the data. The data value part must be a multiple of 16 bytes long.

### 2.39 **SEED**

SEED is a symmetric block cipher developed by the South Korean Information Security Agency (KISA). It has a 128-bit key size and a 128-bit block size.

Its specification has been published as Internet [RFC 4269].

RFCs have been published defining the use of SEED in

TLS ftp://ftp.rfc-editor.org/in-notes/rfc4162.txt IPsec ftp://ftp.rfc-editor.org/in-notes/rfc4196.txt CMS ftp://ftp.rfc-editor.org/in-notes/rfc4010.txt

### TLS cipher suites that use SEED include:

```
CipherSuite TLS_RSA_WITH_SEED_CBC_SHA = { 0x00,
  0x96};
CipherSuite TLS_DH_DSS_WITH_SEED_CBC_SHA = { 0x00,
  0x97};
CipherSuite TLS_DH_RSA_WITH_SEED_CBC_SHA = { 0x00,
  0x98};
CipherSuite TLS_DHE_DSS_WITH_SEED_CBC_SHA = { 0x00,
  0x99};
CipherSuite TLS_DHE_RSA_WITH_SEED_CBC_SHA = { 0x00,
  0x99};
```

```
0x9A};
CipherSuite TLS_DH_anon_WITH_SEED_CBC_SHA = { 0x00,
0x9B};
```

As with any block cipher, it can be used in the ECB, CBC, OFB and CFB modes of operation, as well as in a MAC algorithm such as HMAC.

OIDs have been published for all these uses. A list may be seen at http://www.alvestrand.no/objectid/1.2.410.200004.1.html

Table 129, SEED Mechanisms vs. Functions

	Functions						
	Encrypt	Sign	SR		Gen.	Wrap	
Mechanism	&	&	&	Digest		&	Derive
	Decrypt	Verify	VR <sup>1</sup>		Key/	Unwrap	
					Key Pair		
CKM_SEED_KEY_GEN					✓		
CKM_SEED_ECB			✓				
CKM_SEED_CBC			✓				
CKM_SEED_CBC_PAD	✓					✓	
CKM_SEED_MAC_GENERAL			✓				
CKM_SEED_MAC				✓			
CKM_SEED_ECB_ENCRYPT_DATA							✓
CKM_SEED_CBC_ENCRYPT_DATA							✓

#### 2.39.1 Definitions

This section defines the key type "CKK\_SEED" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects.

#### Mechanisms:

CKM\_SEED\_KEY\_GEN
CKM\_SEED\_ECB
CKM\_SEED\_CBC
CKM\_SEED\_MAC
CKM\_SEED\_MAC\_GENERAL
CKM\_SEED\_CBC\_PAD

For all of these mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** are always 16.

# 2.39.2 SEED secret key objects

SEED secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_SEED**) hold SEED keys. The following table defines the secret key object attributes, in addition to the common attributes defined for this object class:

Table 130, SEED Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	Key value (always 16 bytes long)

<sup>-</sup> Refer to [PKCS #11-Base] table 10 for footnotes.

The following is a sample template for creating a SEED secret key object:

```
CK_OBJECT_CLASS class = CKO_SECRET_KEY;
CK_KEY_TYPE keyType = CKK_SEED;
CK_UTF8CHAR label[] = "A SEED secret key object";
CK_BYTE value[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_KEY_TYPE, &keyType, sizeof(keyType)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_ENCRYPT, &true, sizeof(true)},
    {CKA_VALUE, value, sizeof(value)}
};
```

# 2.39.3 SEED key generation

The SEED key generation mechanism, denoted CKM\_SEED\_KEY\_GEN, is a key generation mechanism for SEED.

It does not have a parameter.

The mechanism generates SEED keys.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the SEED key type (specifically, the flags indicating which functions the key supports) may be specified in the template for the key, or else are assigned default initial values.

#### 2.39.4 **SEED-ECB**

SEED-ECB, denoted **CKM\_SEED\_ECB**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on SEED and electronic codebook mode. It does not have a parameter.

#### 2.39.5 SEED-CBC

SEED-CBC, denoted **CKM\_SEED\_CBC**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on SEED and cipher-block chaining mode. It has a parameter, a 16-byte initialization vector.

## 2.39.6 SEED-CBC with PKCS padding

SEED-CBC with PKCS padding, denoted **CKM\_SEED\_CBC\_PAD**, is a mechanism for single- and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on SEED; cipher-block chaining mode; and the block cipher padding method detailed in PKCS #7.

It has a parameter, a 16-byte initialization vector.

## 2.39.7 General-length SEED-MAC

General-length SEED-MAC, denoted **CKM\_SEED\_MAC\_GENERAL**, is a mechanism for single- and multiple-part signatures and verification, based on SEED and data authentication as defined in 0.

It has a parameter, a **CK\_MAC\_GENERAL\_PARAMS** structure, which specifies the output length desired from the mechanism.

The output bytes from this mechanism are taken from the start of the final cipher block produced in the MACing process.

#### 2.39.8 **SEED-MAC**

SEED-MAC, denoted by **CKM\_SEED\_MAC**, is a special case of the general-length SEED-MAC mechanism. SEED-MAC always produces and verifies MACs that are half the block size in length. It does not have a parameter.

# 2.40 Key derivation by data encryption - SEED

These mechanisms allow derivation of keys using the result of an encryption operation as the key value. They are for use with the C DeriveKey function.

#### 2.40.1 Definitions

Mechanisms:

```
CKM_SEED_CBC_ENCRYPT_DATA

typedef struct CK_SEED_CBC_ENCRYPT_DATA_PARAMS
```

```
CK_CBC_ENCRYPT_DATA_PARAMS;
typedef CK_CBC_ENCRYPT_DATA_PARAMS CK_PTR
CK_CBC_ENCRYPT_DATA_PARAMS_PTR;
```

#### 2.40.2 Mechanism Parameters

Table 131, Mechanism Parameters for SEED-based key derivation

CKM SEED ECB ENCRYPT DATA

CKM_SEED_ECB_ENCRYPT_DATA	Uses CK_KEY_DERIVATION_STRING_DATA structure. Parameter is the data to be encrypted and must be a multiple of 16 long.
CKM_SEED_CBC_ENCRYPT_DATA	Uses CK_CBC_ENCRYPT_DATA_PARAMS. Parameter is an 16 byte IV value followed by the data. The data value part must be a multiple of 16 bytes long.

### 2.41 OTP

# 2.41.1 Usage overview

OTP tokens represented as PKCS #11 mechanisms may be used in a variety of ways. The usage cases can be categorized according to the type of sought functionality.

### 2.41.2 Case 1: Generation of OTP values

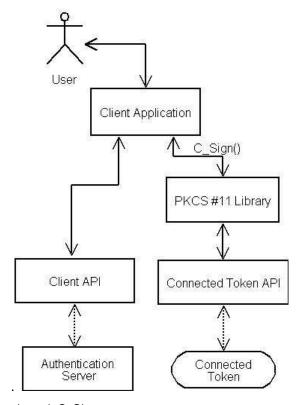


Figure 1: Retrieving OTP values through C\_Sign

Figure 1 shows an integration of PKCS #11 into an application that needs to authenticate users holding OTP tokens. In this particular example, a connected hardware token is used, but a software token is equally possible. The application invokes **C\_Sign** to retrieve the OTP value from the token. In the example, the application then passes the retrieved OTP value to a client API that sends it via the network to an authentication server. The client API may implement a standard authentication protocol such as RADIUS [RFC 2865] or EAP [RFC 3748], or a proprietary protocol such as that used by RSA Security's ACE/Agent® software.

# 2.41.3 Case 2: Verification of provided OTP values

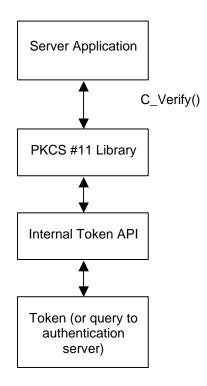


Figure 2: Server-side verification of OTP values

Figure 2 illustrates the server-side equivalent of the scenario depicted in Figure 1. In this case, a server application invokes **C\_Verify** with the received OTP value as the signature value to be verified.

# 2.41.4 Case 3: Generation of OTP keys

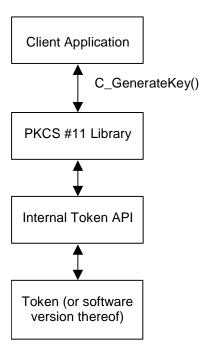


Figure 3: Generation of an OTP key

Figure 3 shows an integration of PKCS #11 into an application that generates OTP keys. The application invokes **C\_GenerateKey** to generate an OTP key of a particular type on the token. The key may subsequently be used as a basis to generate OTP values.

# 2.41.5 OTP objects

# **2.41.5.1 Key objects**

OTP key objects (object class **CKO\_OTP\_KEY**) hold secret keys used by OTP tokens. The following table defines the attributes common to all OTP keys, in addition to the attributes defined for secret keys, all of which are inherited by this class:

Table 132: Common OTP key attributes

Attribute	Data type	Meaning
CKA_OTP_FORMAT	CK_ULONG	Format of OTP values produced with this key:  CK_OTP_FORMAT_DECIMAL = Decimal (default) (UTF8-encoded)  CK_OTP_FORMAT_HEXADECIMA L = Hexadecimal (UTF8-encoded)  CK_OTP_FORMAT_ALPHANUME RIC = Alphanumeric (UTF8-encoded)  CK_OTP_FORMAT_BINARY =
CKA_OTP_LENGTH <sup>9</sup>	CK_ULONG	Only binary values.  Default length of OTP values (in the CKA_OTP_FORMAT) produced with this key.
CKA_OTP_USER_FRIENDLY_MODE9	CK_BBOOL	Set to CK_TRUE when the token is capable of returning OTPs suitable for human consumption. See the description of CKF_USER_FRIENDLY_OTP below.
CKA_OTP _CHALLENGE_REQUIREMENT <sup>9</sup>	CK_ULONG	Parameter requirements when generating or verifying OTP values with this key:  CK_OTP_PARAM_MANDATORY = A challenge must be supplied.  CK_OTP_PARAM_OPTIONAL = A challenge may be supplied but need not be.  CK_OTP_PARAM_IGNORED = A challenge, if supplied, will be ignored.
CKA_OTP_TIME_REQUIREMENT <sup>9</sup>	CK_ULONG	Parameter requirements when generating or verifying OTP values with this key:  CK_OTP_PARAM_MANDATORY = A time value must be supplied.  CK_OTP_PARAM_OPTIONAL = A time value may be supplied but need not be.  CK_OTP_PARAM_IGNORED = A time value, if supplied, will be ignored.
CKA_OTP_COUNTER_REQUIREMEN	CK_ULONG	Parameter requirements when generating or verifying OTP values with this key:  CK_OTP_PARAM_MANDATORY = A counter value must be supplied.  CK_OTP_PARAM_OPTIONAL = A counter value may be supplied but need not be.  CK_OTP_PARAM_IGNORED = A counter value, if supplied, will be ignored.

Attribute	Data type	Meaning
CKA_OTP_PIN_REQUIREMENT <sup>9</sup>	CK_ULONG	Parameter requirements when generating or verifying OTP values with this key:  CK_OTP_PARAM_MANDATORY = A PIN value must be supplied.  CK_OTP_PARAM_OPTIONAL = A PIN value may be supplied but need not be (if not supplied, then library will be responsible for collecting it)  CK_OTP_PARAM_IGNORED = A PIN value, if supplied, will be ignored.
CKA_OTP_COUNTER	Byte array	Value of the associated internal counter. Default value is empty (i.e. <i>ulValueLen</i> = 0).
CKA_OTP_TIME	RFC 2279 string	Value of the associated internal UTC time in the form YYYYMMDDhhmmss. Default value is empty (i.e. ulValueLen= 0).
CKA_OTP_USER_IDENTIFIER	RFC 2279 string	Text string that identifies a user associated with the OTP key (may be used to enhance the user experience). Default value is empty (i.e. ulValueLen = 0).
CKA_OTP_SERVICE_IDENTIFIER	RFC 2279 string	Text string that identifies a service that may validate OTPs generated by this key. Default value is empty (i.e. <i>ulValueLen</i> = 0).
CKA_OTP_SERVICE_LOGO	Byte array	Logotype image that identifies a service that may validate OTPs generated by this key. Default value is empty (i.e. <i>ulValueLen</i> = 0).
CKA_OTP_SERVICE_LOGO_TYPE	RFC 2279 string	MIME type of the CKA_OTP_SERVICE_LOGO attribute value. Default value is empty (i.e. ulValueLen = 0).
CKA_VALUE <sup>1, 4, 6, 7</sup>	Byte array	Value of the key.
CKA_VALUE_LEN <sup>2, 3</sup>	CK_ULONG	Length in bytes of key value.

Refer to [PKCS #11-Base] table 10 for footnotes. Note: A Cryptoki library may support PIN-code caching in order to reduce user interactions. An OTP-PKCS #11 application should therefore always consult the state of the CKA\_OTP\_PIN\_REQUIREMENT attribute before each call to **C\_SignInit**, as the value of this attribute may change dynamically.

For OTP tokens with multiple keys, the keys may be enumerated using **C\_FindObjects**. The **CKA\_OTP\_SERVICE\_IDENTIFIER** and/or the **CKA\_OTP\_SERVICE\_LOGO** attribute may be used to distinguish between keys. The actual choice of key for a particular operation is however application-specific and beyond the scope of this document.

For all OTP keys, the CKA ALLOWED MECHANISMS attribute should be set as required.

### 2.41.6 OTP-related notifications

This document extends the set of defined notifications as follows:

CKN\_OTP\_CHANGED

Cryptoki is informing the application that the OTP for a key on a connected token just changed. This notification is particularly useful when applications wish to display the current OTP value for time-based mechanisms.

#### 2.41.7 OTP mechanisms

The following table shows, for the OTP mechanisms defined in this document, their support by different cryptographic operations. For any particular token, of course, a particular operation may well support only a subset of the mechanisms listed. There is also no guarantee that a token that supports one mechanism for some operation supports any other mechanism for any other operation (or even supports that same mechanism for any other operation).

Table 133: OTP mechanisms vs. applicable functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_SECURID_KEY_GEN					✓		
CKM_SECURID		✓					
CKM_HOTP_KEY_GEN					✓		
CKM_HOTP		✓					
CKM_ACTI_KEY_GEN					✓		
CKM_ACTI		✓					

The remainder of this section will present in detail the OTP mechanisms and the parameters that are supplied to them.

### 2.41.7.1 OTP mechanism parameters

### ◆ CK\_PARAM\_TYPE

**CK\_PARAM\_TYPE** is a value that identifies an OTP parameter type. It is defined as follows:

typedef CK ULONG CK PARAM TYPE;

The following **CK\_PARAM\_TYPE** types are defined:

Table 134, OTP parameter types

Parameter	Data type	Meaning
CK_OTP_PIN	RFC 2279 string	A UTF8 string containing a PIN for use when computing or verifying PIN-based OTP values.
CK_OTP_CHALLENGE	Byte array	Challenge to use when computing or verifying challenge-based OTP values.
CK_OTP_TIME	RFC 2279 string	UTC time value in the form YYYYMMDDhhmmss to use when computing or verifying time-based OTP values.
CK_OTP_COUNTER	Byte array	Counter value to use when computing or verifying counter-based OTP values.
CK_OTP_FLAGS	CK_FLAGS	Bit flags indicating the characteristics of the sought OTP as defined below.
CK_OTP_OUTPUT_LENGTH	CK_ULONG	Desired output length (overrides any default value). A Cryptoki library will return CKR_MECHANISM_PARAM_INVALID if a provided length value is not supported.
CK_OTP_FORMAT	CK_ULONG	Returned OTP format (allowed values are the same as for CKA_OTP_FORMAT). This parameter is only intended for <b>C_Sign</b> output, see paragraphs below. When not present, the returned OTP format will be the same as the value of the CKA_OTP_FORMAT attribute for the key in question.
CK_OTP_VALUE	Byte array	An actual OTP value. This parameter type is intended for <b>C_Sign</b> output, see paragraphs below.

The following table defines the possible values for the CK\_OTP\_FLAGS type:

Table 135: OTP Mechanism Flags

Bit flag	Mask	Meaning
CKF_NEXT_OTP	0x0000001	True (i.e. set) if the OTP computation shall be for the next OTP, rather than the current one (current being interpreted in the context of the algorithm, e.g. for the current counter value or current time window). A Cryptoki library shall return CKR_MECHANISM_PARAM_INVALID if the CKF_NEXT_OTP flag is set and the OTP mechanism in question does not support the concept of "next" OTP or the library is not capable of generating the next OTP <sup>5</sup> .
CKF_EXCLUDE_TIME	0x00000002	True (i.e. set) if the OTP computation must not include a time value. Will have an effect only on mechanisms that do include a time value in the OTP computation and then only if the mechanism (and token) allows exclusion of this value. A Cryptoki library shall return CKR_MECHANISM_PARAM_INVALID if exclusion of the value is not allowed.
CKF_EXCLUDE_COUNTER	0x0000004	True (i.e. set) if the OTP computation must not include a counter value. Will have an effect only on mechanisms that do include a counter value in the OTP computation and then only if the mechanism (and token) allows exclusion of this value. A Cryptoki library shall return CKR_MECHANISM_PARAM_INVALID if exclusion of the value is not allowed.
CKF_EXCLUDE_CHALLENGE	0x00000008	True (i.e. set) if the OTP computation must not include a challenge. Will have an effect only on mechanisms that do include a challenge in the OTP computation and then only if the mechanism (and token) allows exclusion of this value. A Cryptoki library shall return CKR_MECHANISM_PARAM_INVALID if exclusion of the value is not allowed.

<sup>5</sup> Applications that may need to retrieve the next OTP should be prepared to handle this situation. For example, an application could store the OTP value returned by C\_Sign so that, if a next OTP is required, it can compare it to the OTP value returned by subsequent calls to C\_Sign should it turn out that the library does not support the CKF\_NEXT\_OTP flag.

Bit flag	Mask	Meaning
CKF_EXCLUDE_PIN	0x00000010	True (i.e. set) if the OTP computation must not include a PIN value. Will have an effect only on mechanisms that do include a PIN in the OTP computation and then only if the mechanism (and token) allows exclusion of this value. A Cryptoki library shall return CKR_MECHANISM_PARAM_INVALID if exclusion of the value is not allowed.
CKF_USER_FRIENDLY_OTP	0x00000020	True (i.e. set) if the OTP returned shall be in a form suitable for human consumption. If this flag is set, and the call is successful, then the returned CK_OTP_VALUE shall be a UTF8-encoded printable string. A Cryptoki library shall return CKR_MECHANISM_PARAM_INVALID if this flag is set when CKA_OTP_USER_FRIENDLY_MODE for the key in question is CK_FALSE.

Note: Even if CKA\_OTP\_FORMAT is not set to CK\_OTP\_FORMAT\_BINARY, then there may still be value in setting the CKF\_USER\_FRIENDLY\_OTP flag (assuming CKA\_OTP\_USER\_FRIENDLY\_MODE is CK\_TRUE, of course) if the intent is for a human to read the generated OTP value, since it may become shorter or otherwise better suited for a user. Applications that do not intend to provide a returned OTP value to a user should not set the CKF\_USER\_FRIENDLY\_OTP flag.

### **♦ CK OTP PARAM: CK OTP PARAM PTR**

**CK\_OTP\_PARAM** is a structure that includes the type, value, and length of an OTP parameter. It is defined as follows:

```
typedef struct CK_OTP_PARAM {
    CK_PARAM_TYPE type;
    CK_VOID_PTR pValue;
    CK_ULONG ulValueLen;
} CK OTP PARAM;
```

The fields of the structure have the following meanings:

type the parameter type

*pValue* pointer to the value of the parameter

ulValueLen length in bytes of the value

If a parameter has no value, then ulValueLen = 0, and the value of pValue is irrelevant. Note that pValue is a "void" pointer, facilitating the passing of arbitrary values. Both the application and the Cryptoki library must ensure that the pointer can be safely cast to the expected type (*i.e.*, without word-alignment errors).

CK\_OTP\_PARAM\_PTR is a pointer to a CK\_OTP\_PARAM.

#### CK\_OTP\_PARAMS; CK\_OTP\_PARAMS\_PTR

**CK\_OTP\_PARAMS** is a structure that is used to provide parameters for OTP mechanisms in a generic fashion. It is defined as follows:

```
typedef struct CK_OTP_PARAMS {
    CK_OTP_PARAM_PTR pParams;
    CK_ULONG ulCount;
} CK OTP PARAMS;
```

The fields of the structure have the following meanings:

pParams pointer to an array of OTP parameters

ulCount the number of parameters in the array

#### CK OTP PARAMS PTR is a pointer to a CK OTP PARAMS.

When calling C\_SignInit or C\_VerifyInit with a mechanism that takes a **CK\_OTP\_PARAMS** structure as a parameter, the **CK\_OTP\_PARAMS** structure shall be populated in accordance with the **CKA\_OTP\_X\_REQUIREMENT** key attributes for the identified key, where *X* is PIN, CHALLENGE, TIME, or COUNTER.

For example, if CKA\_OTP\_TIME\_REQUIREMENT = CK\_OTP\_PARAM\_MANDATORY, then the CK\_OTP\_TIME parameter shall be present. If CKA\_OTP\_TIME\_REQUIREMENT = CK\_OTP\_PARAM\_OPTIONAL, then a CK\_OTP\_TIME parameter may be present. If it is not present, then the library may collect it (during the C\_Sign call). If CKA\_OTP\_TIME\_REQUIREMENT = CK\_OTP\_PARAM\_IGNORED, then a provided CK\_OTP\_TIME parameter will always be ignored. Additionally, a provided CK\_OTP\_TIME parameter will always be ignored if CKF\_EXCLUDE\_TIME is set in a CK\_OTP\_FLAGS parameter. Similarly, if this flag is set, a library will not attempt to collect the value itself, and it will also instruct the token not to make use of any internal value, subject to token policies. It is an error (CKR\_MECHANISM\_PARAM\_INVALID) to set the CKF\_EXCLUDE\_TIME flag when the CKA\_OTP\_TIME\_REQUIREMENT attribute is CK\_OTP\_PARAM\_MANDATORY.

The above discussion holds for all CKA\_OTP\_X\_REQUIREMENT attributes (*i.e.*, CKA\_OTP\_PIN\_REQUIREMENT, CKA\_OTP\_CHALLENGE\_REQUIREMENT, CKA\_OTP\_COUNTER\_REQUIREMENT, CKA\_OTP\_TIME\_REQUIREMENT). A library may set a particular CKA\_OTP\_X\_REQUIREMENT attribute to CK\_OTP\_PARAM\_OPTIONAL even if it is required by the mechanism as long as the token (or the library itself) has the capability of providing the value to the computation. One example of this is a token with an on-board clock.

In addition, applications may use the CK\_OTP\_FLAGS, the CK\_OTP\_FORMAT and the CKA\_OTP\_LENGTH parameters to set additional parameters.

#### CK OTP SIGNATURE INFO. CK OTP SIGNATURE INFO PTR

**CK\_OTP\_SIGNATURE\_INFO** is a structure that is returned by all OTP mechanisms in successful calls to **C\_Sign (C\_SignFinal)**. The structure informs applications of actual parameter values used in particular OTP computations in addition to the OTP value itself. It is used by all mechanisms for which the key belongs to the class CKO\_OTP\_KEY and is defined as follows:

```
typedef struct CK_OTP_SIGNATURE_INFO {
    CK_OTP_PARAM_PTR pParams;
    CK_ULONG ulCount;
} CK_OTP_SIGNATURE_INFO;
```

The fields of the structure have the following meanings:

pParams pointer to an array of OTP parameter values

*ulCount* the number of parameters in the array

After successful calls to **C\_Sign** or **C\_SignFinal** with an OTP mechanism, the *pSignature* parameter will be set to point to a **CK\_OTP\_SIGNATURE\_INFO** structure. One of the parameters in this structure will be the OTP value itself, identified with the **CK\_OTP\_VALUE** tag. Other parameters may be present for informational purposes, e.g. the actual time used in the OTP calculation. In order to simplify OTP validations, authentication protocols may permit authenticating parties to send some or all of these parameters in addition to OTP values themselves. Applications should therefore check for their presence in returned **CK\_OTP\_SIGNATURE\_INFO** values whenever such circumstances apply.

Since **C\_Sign** and **C\_SignFinal** follows the convention described in Section 11.2 on producing output, a call to **C\_Sign** (or **C\_SignFinal**) with *pSignature* set to NULL\_PTR will return (in the *pulSignatureLen* parameter) the required number of bytes to hold the **CK\_OTP\_SIGNATURE\_INFO** structure as well as all

the data in all its **CK\_OTP\_PARAM** components. If an application allocates a memory block based on this information, it shall therefore not subsequently de-allocate components of such a received value but rather de-allocate the complete **CK\_OTP\_PARAMS** structure itself. A Cryptoki library that is called with a non-NULL *pSignature* pointer will assume that it points to a *contiguous* memory block of the size indicated by the *pulSignatureLen* parameter.

When verifying an OTP value using an OTP mechanism, *pSignature* shall be set to the OTP value itself, e.g. the value of the **CK\_OTP\_VALUE** component of a **CK\_OTP\_PARAMS** structure returned by a call to **C\_Sign**. The **CK\_OTP\_PARAMS** value supplied in the **C\_VerifyInit** call sets the values to use in the verification operation.

CK\_OTP\_SIGNATURE\_INFO\_PTR points to a CK\_OTP\_SIGNATURE\_INFO.

#### 2.41.8 RSA SecurID

### 2.41.8.1 RSA SecurID secret key objects

RSA SecurID secret key objects (object class **CKO\_OTP\_KEY**, key type **CKK\_SECURID**) hold RSA SecurID secret keys. The following table defines the RSA SecurID secret key object attributes, in addition to the common attributes defined for this object class:

Table 136, RSA SecurID secret key object attributes

Attribute	Data type	Meaning
CKA_OTP_TIME_INTERVAL <sup>1</sup>	CK_ULONG	Interval between OTP values produced with this key, in seconds. Default is 60.

Refer to [PKCS #11-Base] table 10 for footnotes.

The following is a sample template for creating an RSA SecurID secret key object:

```
CK OBJECT CLASS class = CKO OTP KEY;
CK KEY TYPE keyType = CKK SECURID;
CK DATE endDate = {...};
CK UTF8CHAR label[] = "RSA SecurID secret key object";
CK BYTE keyId[]= \{\ldots\};
CK ULONG outputFormat = CK OTP FORMAT DECIMAL;
CK ULONG outputLength = 6;
CK ULONG needPIN = CK OTP PARAM MANDATORY;
CK ULONG timeInterval = 60;
CK BYTE value[] = \{...\};
   CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
   {CKA CLASS, &class, sizeof(class)},
   {CKA KEY TYPE, &keyType, sizeof(keyType)},
   {CKA END DATE, &endDate, sizeof(endDate)},
   {CKA TOKEN, &true, sizeof(true)},
   {CKA SENSITIVE, &true, sizeof(true)},
   {CKA LABEL, label, sizeof(label)-1},
   {CKA SIGN, &true, sizeof(true)},
   {CKA VERIFY, &true, sizeof(true)},
   {CKA ID, keyId, sizeof(keyId)},
   {CKA OTP FORMAT, &outputFormat, sizeof(outputFormat)},
   {CKA OTP LENGTH, &outputLength, sizeof(outputLength)},
   {CKA OTP PIN REQUIREMENT, &needPIN, sizeof(needPIN)},
   {CKA OTP TIME INTERVAL, &timeInterval,
```

```
sizeof(timeInterval)},
{CKA_VALUE, value, sizeof(value)}
};
```

## 2.41.9 RSA SecurID key generation

The RSA SecurID key generation mechanism, denoted **CKM\_SECURID\_KEY\_GEN**, is a key generation mechanism for the RSA SecurID algorithm.

It does not have a parameter.

The mechanism generates RSA SecurID keys with a particular set of attributes as specified in the template for the key.

The mechanism contributes at least the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, **CKA\_VALUE\_LEN**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the RSA SecurID key type may be specified in the template for the key, or else are assigned default initial values

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of SecurID key sizes, in bytes.

# 2.41.10 RSA SecurID OTP generation and validation

**CKM SECURID** is the mechanism for the retrieval and verification of RSA SecurID OTP values.

The mechanism takes a pointer to a **CK\_OTP\_PARAMS** structure as a parameter.

When signing or verifying using the **CKM\_SECURID** mechanism, *pData* shall be set to NULL\_PTR and *ulDataLen* shall be set to 0.

#### 2.41.11 Return values

Support for the CKM\_SECURID mechanism extends the set of return values for C\_Verify with the following values:

- CKR\_NEW\_PIN\_MODE: The supplied OTP was not accepted and the library requests a new OTP computed using a new PIN. The new PIN is set through means out of scope for this document.
- CKR\_NEXT\_OTP: The supplied OTP was correct but indicated a larger than normal drift in the token's internal state (e.g. clock, counter). To ensure this was not due to a temporary problem, the application should provide the next one-time password to the library for verification.

#### 2.41.12 OATH HOTP

#### 2.41.12.1 OATH HOTP secret key objects

HOTP secret key objects (object class **CKO\_OTP\_KEY**, key type **CKK\_HOTP**) hold generic secret keys and associated counter values.

The **CKA\_OTP\_COUNTER** value may be set at key generation; however, some tokens may set it to a fixed initial value. Depending on the token's security policy, this value may not be modified and/or may not be revealed if the object has its **CKA\_SENSITIVE** attribute set to CK\_TRUE or its **CKA\_EXTRACTABLE** attribute set to CK\_FALSE.

For HOTP keys, the **CKA\_OTP\_COUNTER** value shall be an 8 bytes unsigned integer in big endian (i.e. network byte order) form. The same holds true for a **CK\_OTP\_COUNTER** value in a **CK\_OTP\_PARAM** structure.

The following is a sample template for creating a HOTP secret key object:

```
CK_OBJECT_CLASS class = CKO_OTP_KEY;
CK_KEY_TYPE keyType = CKK_HOTP;
CK_UTF8CHAR label[] = "HOTP secret key object";
CK_BYTE keyId[] = {...};
```

```
CK ULONG outputFormat = CK OTP FORMAT DECIMAL;
CK ULONG outputLength = 6;
CK DATE endDate = {...};
CK BYTE counterValue[8] = {0};
CK BYTE value[] = \{...\};
   CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
   {CKA CLASS, &class, sizeof(class)},
   {CKA KEY TYPE, &keyType, sizeof(keyType)},
   {CKA END DATE, &endDate, sizeof(endDate)},
   {CKA TOKEN, &true, sizeof(true)},
   {CKA SENSITIVE, &true, sizeof(true)},
   {CKA LABEL, label, sizeof(label)-1},
   {CKA SIGN, &true, sizeof(true)},
   {CKA VERIFY, &true, sizeof(true)},
   {CKA ID, keyId, sizeof(keyId)},
   {CKA OTP FORMAT, &outputFormat, sizeof(outputFormat)},
   {CKA OTP LENGTH, &outputLength, sizeof(outputLength)},
   {CKA OTP COUNTER, counterValue, sizeof(counterValue)},
   {CKA VALUE, value, sizeof(value)}
};
```

## 2.41.12.2 HOTP key generation

The HOTP key generation mechanism, denoted **CKM\_HOTP\_KEY\_GEN**, is a key generation mechanism for the HOTP algorithm.

It does not have a parameter.

The mechanism generates HOTP keys with a particular set of attributes as specified in the template for the key.

The mechanism contributes at least the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_OTP\_COUNTER, CKA\_VALUE and CKA\_VALUE\_LEN attributes to the new key. Other attributes supported by the HOTP key type may be specified in the template for the key, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of HOTP key sizes, in bytes.

### 2.41.12.3 HOTP OTP generation and validation

**CKM\_HOTP** is the mechanism for the retrieval and verification of HOTP OTP values based on the current internal counter, or a provided counter.

The mechanism takes a pointer to a **CK OTP PARAMS** structure as a parameter.

As for the **CKM\_SECURID** mechanism, when signing or verifying using the **CKM\_HOTP** mechanism, pData shall be set to NULL PTR and ulDataLen shall be set to 0.

For verify operations, the counter value **CK\_OTP\_COUNTER** must be provided as a **CK\_OTP\_PARAM** parameter to **C\_VerifyInit**. When verifying an OTP value using the **CKM\_HOTP** mechanism, *pSignature* shall be set to the OTP value itself, e.g. the value of the **CK\_OTP\_VALUE** component of a **CK\_OTP\_PARAMS** structure in the case of an earlier call to **C\_Sign**.

## 2.41.13 ActivIdentity ACTI

### 2.41.13.1 ACTI secret key objects

ACTI secret key objects (object class **CKO\_OTP\_KEY**, key type **CKK\_ACTI**) hold ActivIdentity ACTI secret keys.

For ACTI keys, the **CKA\_OTP\_COUNTER** value shall be an 8 bytes unsigned integer in big endian (i.e. network byte order) form. The same holds true for the **CK\_OTP\_COUNTER** value in the **CK\_OTP\_PARAM** structure.

The CKA\_OTP\_COUNTER value may be set at key generation; however, some tokens may set it to a fixed initial value. Depending on the token's security policy, this value may not be modified and/or may not be revealed if the object has its CKA\_SENSITIVE attribute set to CK\_TRUE or its CKA\_EXTRACTABLE attribute set to CK\_FALSE.

The **CKA\_OTP\_TIME** value may be set at key generation; however, some tokens may set it to a fixed initial value. Depending on the token's security policy, this value may not be modified and/or may not be revealed if the object has its **CKA\_SENSITIVE** attribute set to CK\_TRUE or its **CKA\_EXTRACTABLE** attribute set to CK\_FALSE.

The following is a sample template for creating an ACTI secret key object:

```
CK OBJECT CLASS class = CKO OTP KEY;
CK KEY TYPE keyType = CKK ACTI;
CK UTF8CHAR label[] = "ACTI secret key object";
CK BYTE keyId[]= \{...\};
CK ULONG outputFormat = CK OTP FORMAT DECIMAL;
CK ULONG outputLength = 6;
CK DATE endDate = \{...\};
CK BYTE counterValue[8] = {0};
CK BYTE value[] = \{...\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
   {CKA CLASS, &class, sizeof(class)},
   {CKA KEY TYPE, &keyType, sizeof(keyType)},
   {CKA END DATE, &endDate, sizeof(endDate)},
   {CKA TOKEN, &true, sizeof(true)},
   {CKA SENSITIVE, &true, sizeof(true)},
   {CKA LABEL, label, sizeof(label)-1},
   {CKA SIGN, &true, sizeof(true)},
   {CKA VERIFY, &true, sizeof(true)},
   {CKA ID, keyId, sizeof(keyId)},
   {CKA OTP FORMAT, &outputFormat,
   sizeof(outputFormat)},
   {CKA OTP LENGTH, &outputLength,
   sizeof(outputLength)},
   {CKA OTP COUNTER, counterValue,
   sizeof(counterValue)},
   {CKA VALUE, value, sizeof(value)}
};
```

### 2.41.13.2 ACTI key generation

The ACTI key generation mechanism, denoted **CKM\_ACTI\_KEY\_GEN**, is a key generation mechanism for the ACTI algorithm.

It does not have a parameter.

The mechanism generates ACTI keys with a particular set of attributes as specified in the template for the key.

The mechanism contributes at least the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_VALUE and CKA\_VALUE\_LEN attributes to the new key. Other attributes supported by the ACTI key type may be specified in the template for the key, or else are assigned default initial values.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure specify the supported range of ACTI key sizes, in bytes.

# 2.41.14 ACTI OTP generation and validation

**CKM ACTI** is the mechanism for the retrieval and verification of ACTI OTP values.

The mechanism takes a pointer to a CK\_OTP\_PARAMS structure as a parameter.

When signing or verifying using the **CKM\_ACTI** mechanism, *pData* shall be set to NULL\_PTR and *ulDataLen* shall be set to 0.

When verifying an OTP value using the **CKM\_ACTI** mechanism, *pSignature* shall be set to the OTP value itself, e.g. the value of the **CK\_OTP\_VALUE** component of a **CK\_OTP\_PARAMS** structure in the case of an earlier call to **C\_Sign**.

### 2.42 CT-KIP

# 2.42.1 Principles of Operation

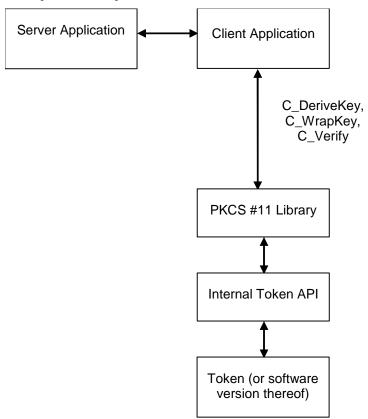


Figure 4: PKCS #11 and CT-KIP integration

Figure 3 shows an integration of PKCS #11 into an application that generates cryptographic keys through the use of CT-KIP. The application invokes **C\_DeriveKey** to derive a key of a particular type on the token. The key may subsequently be used as a basis to e.g., generate one-time password values. The application communicates with a CT-KIP server that participates in the key derivation and stores a copy of the key in its database. The key is transferred to the server in wrapped form, after a call to **C\_WrapKey**. The server authenticates itself to the client and the client verifies the authentication by calls to **C\_Verify**.

#### 2.42.2 Mechanisms

The following table shows, for the mechanisms defined in this document, their support by different cryptographic operations. For any particular token, of course, a particular operation may well support only a subset of the mechanisms listed. There is also no guarantee that a token that supports one mechanism for some operation supports any other mechanism for any other operation (or even supports that same mechanism for any other operation).

Table 137: CT-KIP Mechanisms vs. applicable functions

	Functions						
Mechanism	Encrypt & Decrypt	Sign & Verify	SR & VR <sup>1</sup>	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_KIP_DERIVE							✓
CKM_KIP_WRAP						✓	
CKM_KIP_MAC		✓					

The remainder of this section will present in detail the mechanisms and the parameters that are supplied to them.

#### 2.42.3 Definitions

Mechanisms:

CKM\_KIP\_DERIVE CKM\_KIP\_WRAP CKM\_KIP\_MAC

# 2.42.4 CT-KIP Mechanism parameters

### **♦ CK KIP PARAMS; CK KIP PARAMS PTR**

**CK\_KIP\_PARAMS** is a structure that provides the parameters to all the CT-KIP related mechanisms: The **CKM\_KIP\_DERIVE** key derivation mechanism, the **CKM\_KIP\_WRAP** key wrap and key unwrap mechanism, and the **CKM KIP MAC** signature mechanism. The structure is defined as follows:

The fields of the structure have the following meanings:

pMechanism pointer to the underlying cryptographic mechanism (e.g. AES, SHA-256), see further 0, Appendix D

hKey handle to a key that will contribute to the entropy of the derived key

(CKM\_KIP\_DERIVE) or will be used in the MAC operation

(CKM\_KIP\_MAC)

pSeed pointer to an input seed

ulSeedLen length in bytes of the input seed

CK\_KIP\_PARAMS\_PTR is a pointer to a CK\_KIP\_PARAMS structure.

## 2.42.5 CT-KIP key derivation

The CT-KIP key derivation mechanism, denoted **CKM\_KIP\_DERIVE**, is a key derivation mechanism that is capable of generating secret keys of potentially any type, subject to token limitations.

It takes a parameter of type **CK\_KIP\_PARAMS** which allows for the passing of the desired underlying cryptographic mechanism as well as some other data. In particular, when the *hKey* parameter is a handle to an existing key, that key will be used in the key derivation in addition to the *hBaseKey* of **C\_DeriveKey**. The *pSeed* parameter may be used to seed the key derivation operation.

The mechanism derives a secret key with a particular set of attributes as specified in the attributes of the template for the key.

The mechanism contributes the **CKA\_CLASS** and **CKA\_VALUE** attributes to the new key. Other attributes supported by the key type may be specified in the template for the key, or else will be assigned default initial values. Since the mechanism is generic, the **CKA\_KEY\_TYPE** attribute should be set in the template, if the key is to be used with a particular mechanism.

# 2.42.6 CT-KIP key wrap and key unwrap

The CT-KIP key wrap and unwrap mechanism, denoted **CKM\_KIP\_WRAP**, is a key wrap mechanism that is capable of wrapping and unwrapping generic secret keys.

It takes a parameter of type **CK\_KIP\_PARAMS**, which allows for the passing of the desired underlying cryptographic mechanism as well as some other data. It does not make use of the *hKey* parameter of **CK KIP PARAMS**.

# 2.42.7 CT-KIP signature generation

The CT-KIP signature (MAC) mechanism, denoted **CKM\_KIP\_MAC**, is a mechanism used to produce a message authentication code of arbitrary length. The keys it uses are secret keys.

It takes a parameter of type **CK\_KIP\_PARAMS**, which allows for the passing of the desired underlying cryptographic mechanism as well as some other data. The mechanism does not make use of the *pSeed* and the *ulSeedLen* parameters of **CT\_KIP\_PARAMS**.

This mechanism produces a MAC of the length specified by *pulSignatureLen* parameter in calls to **C\_Sign**.

If a call to **C** Sign with this mechanism fails, then no output will be generated.

### **2.43 GOST**

The remainder of this section will present in detail the mechanisms and the parameters which are supplied to them.

Table 138, GOST Mechanisms vs. Functions

Mechanism	Functions
-----------	-----------

	Encrypt & Decrypt	Sign & Verify	SR & VR	Digest	Gen. Key/ Key Pair	Wrap & Unwrap	Derive
CKM_GOST28147_KEY_GEN					✓		
CKM_ GOST28147_ECB	✓					✓	
CKM_GOST28147	✓					✓	
CKM_ GOST28147_MAC		<b>√</b>					
CKM_ GOST28147_KEY_WRAP						✓	
CKM_GOSTR3411				<b>✓</b>			
CKM_GOSTR3411_HMAC		✓					
CKM_GOSTR3410_KEY_PAIR_GEN					✓		
CKM_GOSTR3410		<b>√</b> 1					
CKM_GOSTR3410_WITH_GOST3411		<b>✓</b>					
CKM_GOSTR3410_KEY_WRAP						<b>✓</b>	
CKM_GOSTR3410_DERIVE							✓

<sup>1</sup> Single-part operations only

### 2.44 GOST 28147-89

GOST 28147-89 is a block cipher with 64-bit block size and 256-bit keys.

#### 2.44.1 Definitions

This section defines the key type "CKK\_GOST28147" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of key objects and domain parameter objects.

#### Mechanisms:

CKM\_GOST28147\_KEY\_GEN
CKM\_GOST28147\_ECB
CKM\_GOST28147
CKM\_GOST28147\_MAC
CKM\_GOST28147\_KEY\_WRAP

# **2.44.2 GOST 28147-89 secret key objects**

GOST 28147-89 secret key objects (object class **CKO\_SECRET\_KEY**, key type **CKK\_GOST28147**) hold GOST 28147-89 keys. The following table defines the GOST 28147-89 secret key object attributes, in addition to the common attributes defined for this object class:

Table 139, GOST 28147-89 Secret Key Object Attributes

Attribute	Data type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	32 bytes in little endian order
CKA_GOST28147_PARAMS <sup>1,3,5</sup>	Byte array	DER-encoding of the object identifier indicating the data object type of GOST 28147-89.
		When key is used the domain parameter

	object of key type CKK_GOST28147 must be specified with the same attribute CKA_OBJECT_ID
--	--

Refer to [PKCS #11-Base] Table 10 for footnotes

The following is a sample template for creating a GOST 28147-89 secret key object:

```
CK OBJECT CLASS class = CKO SECRET KEY;
CK KEY TYPE keyType = CKK GOST28147;
CK UTF8CHAR label[] = "A GOST 28147-89 secret key object";
CK BYTE value [32] = {\ldots};
CK BYTE params oid[] = \{0x06, 0x07, 0x2a, 0x85, 0x03, 0x02,
        0x02, 0x1f, 0x00};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
    {CKA CLASS, &class, sizeof(class)},
    {CKA KEY TYPE, &keyType, sizeof(keyType)},
    {CKA TOKEN, &true, sizeof(true)},
    {CKA LABEL, label, sizeof(label)-1},
    {CKA ENCRYPT, &true, sizeof(true)},
    {CKA GOST28147 PARAMS, params oid, sizeof(params oid)},
    {CKA VALUE, value, sizeof(value)}
};
```

# 2.44.3 GOST 28147-89 domain parameter objects

GOST 28147-89 domain parameter objects (object class **CKO\_DOMAIN\_PARAMETERS**, key type **CKK GOST28147**) hold GOST 28147-89 domain parameters.

The following table defines the GOST 28147-89 domain parameter object attributes, in addition to the common attributes defined for this object class:

Table 140, GOST 28147-89 Domain Parameter Object Attributes

Attribute	Data Type	Meaning
CKA_VALUE <sup>1</sup>	Byte array	DER-encoding of the domain parameters as it was introduced in [4] section 8.1 (type Gost28147-89-ParamSetParameters)
CKA_OBJECT_ID <sup>1</sup>	Byte array	DER-encoding of the object identifier indicating the domain parameters

Refer to [PKCS #11-Base] Table 10 for footnotes

For any particular token, there is no guarantee that a token supports domain parameters loading up and/or fetching out. Furthermore, applications, that make direct use of domain parameters objects, should take in account that **CKA\_VALUE** attribute may be inaccessible.

The following is a sample template for creating a GOST 28147-89 domain parameter object:

```
6,0xff,0xeb,0x56,
    0xc5,0x5e,0xc2,0x9b,0x02,0x98,0x75,0x61,0x3b,0x11,0x3f,0x8
          9.0 \times 60.0 \times 03.0 \times 97.
    0 \times 0 = 0 \times 79,0 \times 8a,0 \times a1,0 \times d5,0 \times 5d,0 \times e2,0 \times 10,0 \times ad,0 \times 43,0 \times 37,0 \times 5d
          d, 0xb3, 0x8e, 0xb4,
    0x2c,0x77,0xe7,0xcd,0x46,0xca,0xfa,0xd6,0x6a,0x20,0x1f,0x7
          0,0xf4,0x1e,0xa4,
    0xab, 0x03, 0xf2, 0x21, 0x65, 0xb8, 0x44, 0xd8, 0x02, 0x01, 0x00, 0x0
          2,0x01,0x40,
    0 \times 30,0 \times 0b,0 \times 06,0 \times 07,0 \times 2a,0 \times 85,0 \times 03,0 \times 02,0 \times 02,0 \times 0e,0 \times 00,0 \times 0
          5,0x00
};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
     {CKA CLASS, &class, sizeof(class)},
     {CKA KEY TYPE, &keyType, sizeof(keyType)},
     {CKA TOKEN, &true, sizeof(true)},
     {CKA LABEL, label, sizeof(label)-1},
     {CKA OBJECT ID, oid, sizeof(oid)},
     {CKA VALUE, value, sizeof(value)}
};
```

### 2.44.4 GOST 28147-89 key generation

The GOST 28147-89 key generation mechanism, denoted **CKM\_GOST28147\_KEY\_GEN**, is a key generation mechanism for GOST 28147-89.

It does not have a parameter.

The mechanism contributes the **CKA\_CLASS**, **CKA\_KEY\_TYPE**, and **CKA\_VALUE** attributes to the new key. Other attributes supported by the GOST 28147-89 key type may be specified for objects of object class **CKO SECRET KEY**.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** are not used.

#### 2.44.5 GOST 28147-89-ECB

GOST 28147-89-ECB, denoted **CKM\_GOST28147\_ECB**, is a mechanism for single and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on GOST 28147-89 and electronic codebook mode.

It does not have a parameter.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports.

For wrapping (**C\_WrapKey**), the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped, padded on the trailing end with up to block size so that the resulting length is a multiple of the block size.

For unwrapping (**C\_UnwrapKey**), the mechanism decrypts the wrapped key, and truncates the result according to the **CKA\_KEY\_TYPE** attribute of the template and, if it has one, and the key type supports it, the **CKA\_VALUE\_LEN** attribute of the template. The mechanism contributes the result as the **CKA\_VALUE** attribute of the new key.

Constraints on key types and the length of data are summarized in the following table:

Table 141, GOST 28147-89-ECB: Key and Data Length

Function	Key type	Input length	Output length
C_Encrypt	CKK_GOST28147	Multiple of block size	Same as input length
C_Decrypt	CKK_GOST28147	Multiple of block size	Same as input length
C_WrapKey	CKK_GOST28147	Any	Input length rounded up to multiple of block size
C_UnwrapKey	CKK_GOST28147	Multiple of block size	Determined by type of key being unwrapped

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

## 2.44.6 GOST 28147-89 encryption mode except ECB

GOST 28147-89 encryption mode except ECB, denoted **CKM\_GOST28147**, is a mechanism for single and multiple-part encryption and decryption; key wrapping; and key unwrapping, based on [GOST 28147-89] and CFB, counter mode, and additional CBC mode defined in [RFC 4357] section 2. Encryption's parameters are specified in object identifier of attribute **CKA GOST28147 PARAMS**.

It has a parameter, which is an 8-byte initialization vector. This parameter may be omitted then a zero initialization vector is used.

This mechanism can wrap and unwrap any secret key. Of course, a particular token may not be able to wrap/unwrap every secret key that it supports.

For wrapping (**C\_WrapKey**), the mechanism encrypts the value of the **CKA\_VALUE** attribute of the key that is wrapped.

For unwrapping (**C\_UnwrapKey**), the mechanism decrypts the wrapped key, and contributes the result as the **CKA VALUE** attribute of the new key.

Constraints on key types and the length of data are summarized in the following table:

Table 142, GOST 28147-89 encryption modes except ECB: Key and Data Length

Function	Key type	Input length	Output length
C_Encrypt	CKK_GOST28147	Any	For counter mode and CFB is
C_Decrypt	CKK_GOST28147	Any	the same as input length. For CBC is the same as input length
C_WrapKey	CKK_GOST28147	Any	padded on the trailing end with up to block size so that the
C_UnwrapKey	CKK_GOST28147	Any	resulting length is a multiple of the block size

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

### 2.44.7 GOST 28147-89-MAC

GOST 28147-89-MAC, denoted **CKM\_GOST28147\_MAC**, is a mechanism for data integrity and authentication based on GOST 28147-89 and key meshing algorithms [RFC 4357] section 2.3.

MACing parameters are specified in object identifier of attribute CKA\_GOST28147\_PARAMS.

The output bytes from this mechanism are taken from the start of the final GOST 28147-89 cipher block produced in the MACing process.

It has a parameter, which is an 8-byte MAC initialization vector. This parameter may be omitted then a zero initialization vector is used.

Constraints on key types and the length of data are summarized in the following table:

Table 143, GOST28147-89-MAC: Key and Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_GOST28147	Any	4 bytes
C_Verify	CKK_GOST28147	Any	4 bytes

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

#### GOST 28147-89 keys wrapping/unwrapping with GOST 28147-89

GOST 28147-89 keys as a KEK (key encryption keys) for encryption GOST 28147-89 keys, denoted by **CKM\_GOST28147\_KEY\_WRAP**, is a mechanism for key wrapping; and key unwrapping, based on GOST 28147-89. Its purpose is to encrypt and decrypt keys have been generated by key generation mechanism for GOST 28147-89.

For wrapping (**C\_WrapKey**), the mechanism first computes MAC from the value of the **CKA\_VALUE** attribute of the key that is wrapped and then encrypts in ECB mode the value of the **CKA\_VALUE** attribute of the key that is wrapped. The result is 32 bytes of the key that is wrapped and 4 bytes of MAC.

For unwrapping (**C\_UnwrapKey**), the mechanism first decrypts in ECB mode the 32 bytes of the key that was wrapped and then computes MAC from the unwrapped key. Then compared together 4 bytes MAC has computed and 4 bytes MAC of the input. If these two MACs do not match the wrapped key is disallowed. The mechanism contributes the result as the **CKA VALUE** attribute of the unwrapped key.

It has a parameter, which is an 8-byte MAC initialization vector. This parameter may be omitted then a zero initialization vector is used.

Constraints on key types and the length of data are summarized in the following table:

Table 144, GOST 28147-89 keys as KEK: Key and Data Length

Function	Key type	Input length	Output length
C_WrapKey	CKK_GOST28147	32 bytes	36 bytes
C_UnwrapKey	CKK_GOST28147	32 bytes	36 bytes

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

#### GOST R 34.11-94

GOST R 34.11-94 is a mechanism for message digesting, following the hash algorithm with 256-bit message digest defined in [GOST R 34.11-94].

#### 2.44.8 Definitions

This section defines the key type "CKK\_GOSTR3411" for type CK\_KEY\_TYPE as used in the CKA\_KEY\_TYPE attribute of domain parameter objects.

Mechanisms:

CKM\_GOSTR3411

### 2.44.9 GOST R 34.11-94 domain parameter objects

GOST R 34.11-94 domain parameter objects (object class **CKO\_DOMAIN\_PARAMETERS**, key type **CKK GOSTR3411**) hold GOST R 34.11-94 domain parameters.

The following table defines the GOST R 34.11-94 domain parameter object attributes, in addition to the common attributes defined for this object class:

Table 145, GOST R 34.11-94 Domain Parameter Object Attributes

Attribute	Data Type	Meaning
CKA_VALUE <sup>1</sup>	Byte array	DER-encoding of the domain parameters as it was introduced in [4] section 8.2 (type GostR3411-94-ParamSetParameters)
CKA_OBJECT_ID <sup>1</sup>	Byte array	DER-encoding of the object identifier indicating the domain parameters

Refer to [PKCS #11-Base] Table 10 for footnotes

For any particular token, there is no guarantee that a token supports domain parameters loading up and/or fetching out. Furthermore, applications, that make direct use of domain parameters objects, should take in account that **CKA\_VALUE** attribute may be inaccessible.

The following is a sample template for creating a GOST R 34.11-94 domain parameter object:

```
CK OBJECT CLASS class = CKO DOMAIN PARAMETERS;
CK KEY TYPE keyType = CKK GOSTR3411;
CK_UTF8CHAR label[] = "A GOST R34.11-94 cryptographic parameters object";
CK BYTE oid[] = \{0 \times 06, 0 \times 07, 0 \times 2a, 0 \times 85, 0 \times 03, 0 \times 02, 0 \times 02, 0 \times 1e, 0 \times 00\};
CK BYTE value[] = {
               0x30,0x64,0x04,0x40,0x4e,0x57,0x64,0xd1,0xab,0x8d,0xcb,0xbf,0x94,0x1a,
               0x4d,0x2c,0xd1,0x10,0x10,0xd6,0xa0,0x57,0x35,0x8d,0x38,0xf2,0xf7,0x0f,
                                        0x49.
               0xd1,0x5a,0xea,0x2f,0x8d,0x94,0x62,0xee,0x43,0x09,0xb3,0xf4,0xa6,0xa2,
                                        0x18,
               0xc6,0x98,0xe3,0xc1,0x7c,0xe5,0x7e,0x70,0x6b,0x09,0x66,0xf7,0x02,0x3c,
               0x55,0x95,0xbf,0x28,0x39,0xb3,0x2e,0xcc,0x04,0x20,0x00,0x00,0x00,0x00,
                                        0x00,
               0 \times 00, 0 \times 
                                        0x00,
               CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
                  {CKA CLASS, &class, sizeof(class)},
                  {CKA KEY TYPE, &keyType, sizeof(keyType)},
                  {CKA_TOKEN, &true, sizeof(true)},
                 {CKA LABEL, label, sizeof(label)-1},
                  {CKA OBJECT ID, oid, sizeof(oid)},
                  {CKA VALUE, value, sizeof(value)}
};
```

# 2.44.10 GOST R 34.11-94 digest

GOST R 34.11-94 digest, denoted **CKM\_GOSTR3411**, is a mechanism for message digesting based on GOST R 34.11-94 hash algorithm [GOST R 34.11-94].

As a parameter this mechanism utilizes a DER-encoding of the object identifier. A mechanism parameter may be missed then parameters of the object identifier *id-GostR3411-94-CryptoProParamSet* [RFC 4357] (section 11.2) must be used.

Constraints on the length of input and output data are summarized in the following table. For single-part digesting, the data and the digest may begin at the same location in memory.

Table 146, GOST R 34.11-94: Data Length

Function	Input length	Digest length
C_Digest	Any	32 bytes

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

#### 2.44.11 GOST R 34.11-94 HMAC

GOST R 34.11-94 HMAC mechanism, denoted **CKM\_GOSTR3411\_HMAC**, is a mechanism for signatures and verification. It uses the HMAC construction, based on the GOST R 34.11-94 hash function [GOST R 34.11-94] and core HMAC algorithm [RFC 2104]. The keys it uses are of generic key type **CKK\_GENERIC\_SECRET** or **CKK\_GOST28147**.

To be conformed to GOST R 34.11-94 hash algorithm [GOST R 34.11-94] the block length of core HMAC algorithm is 32 bytes long (see [RFC 2104] section 2, and [RFC 4357] section 3).

As a parameter this mechanism utilizes a DER-encoding of the object identifier. A mechanism parameter may be missed then parameters of the object identifier *id-GostR3411-94-CryptoProParamSet* [RFC 4357] (section 11.2) must be used.

Signatures (MACs) produced by this mechanism are of 32 bytes long.

Constraints on the length of input and output data are summarized in the following table:

Table 147, GOST R 34.11-94 HMAC: Key And Data Length

Function	Key type	Data length	Signature length
C_Sign	CKK_GENERIC_SECRET or CKK_GOST28147	Any	32 byte
C_Verify	CKK_GENERIC_SECRET or CKK_GOST28147	Any	32 bytes

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

#### 2.45 GOST R 34.10-2001

GOST R 34.10-2001 is a mechanism for single- and multiple-part signatures and verification, following the digital signature algorithm defined in [GOST R 34.10-2001].

### 2.45.1 Definitions

This section defines the key type "CKK\_GOSTR3410" for type CK\_KEY\_TYPE as used in the CKA KEY TYPE attribute of key objects and domain parameter objects.

Mechanisms:

CKM\_GOSTR3410\_KEY\_PAIR\_GEN

CKM\_GOSTR3410

CKM\_GOSTR3410\_WITH\_GOSTR3411

CKM GOSTR3410

CKM GOSTR3410 KEY WRAP

## 2.45.2 GOST R 34.10-2001 public key objects

GOST R 34.10-2001 public key objects (object class **CKO\_PUBLIC\_KEY**, key type **CKK\_GOSTR3410**) hold GOST R 34.10-2001 public keys.

The following table defines the GOST R 34.10-2001 public key object attributes, in addition to the common attributes defined for this object class:

Table 148, GOST R 34.10-2001 Public Key Object Attributes

Attribute	Data Type	Meaning
CKA_VALUE <sup>1,4</sup>	Byte array	64 bytes for public key; 32 bytes for each coordinates X and Y of elliptic curve point P(X, Y) in little endian order
CKA_GOSTR3410_PARAMS <sup>1,3</sup>	Byte array	DER-encoding of the object identifier indicating the data object type of GOST R 34.10-2001.
		When key is used the domain parameter object of key type CKK_GOSTR3410 must be specified with the same attribute CKA_OBJECT_ID
CKA_GOSTR3411_PARAMS <sup>1,3,8</sup>	Byte array	DER-encoding of the object identifier indicating the data object type of GOST R 34.11-94.
		When key is used the domain parameter object of key type CKK_GOSTR3411 must be specified with the same attribute CKA_OBJECT_ID
CKA_GOST28147_PARAMS <sup>8</sup>	Byte array	DER-encoding of the object identifier indicating the data object type of GOST 28147-89.
		When key is used the domain parameter object of key type CKK_GOST28147 must be specified with the same attribute CKA_OBJECT_ID. The attribute value may be omitted

Refer to [PKCS #11-Base] Table 10 for footnotes

The following is a sample template for creating an GOST R 34.10-2001 public key object:

# 2.45.3 GOST R 34.10-2001 private key objects

GOST R 34.10-2001 private key objects (object class **CKO\_PRIVATE\_KEY**, key type **CKK GOSTR3410**) hold GOST R 34.10-2001 private keys.

The following table defines the GOST R 34.10-2001 private key object attributes, in addition to the common attributes defined for this object class:

Table 149, GOST R 34.10-2001 Private Key Object Attributes

Attribute	Data Type	Meaning
CKA_VALUE <sup>1,4,6,7</sup>	Byte array	32 bytes for private key in little endian order
CKA_GOSTR3410_PARAMS <sup>1,4,6</sup>	Byte array	DER-encoding of the object identifier indicating the data object type of GOST R 34.10-2001.
		When key is used the domain parameter object of key type CKK_GOSTR3410 must be specified with the same attribute CKA_OBJECT_ID
CKA_GOSTR3411_PARAMS <sup>1,4,6,8</sup>	Byte array	DER-encoding of the object identifier indicating the data object type of GOST R 34.11-94.
		When key is used the domain parameter object of key type CKK_GOSTR3411 must be specified with the same attribute CKA_OBJECT_ID
CKA_GOST28147_PARAMS4 <sup>4,6,8</sup>	Byte array	DER-encoding of the object identifier indicating the data object type of GOST 28147-89.
		When key is used the domain parameter object of key type CKK_GOST28147 must be specified with the same attribute CKA_OBJECT_ID. The attribute value may be omitted

Refer to [PKCS #11-Base] Table 10 for footnotes

Note that when generating an GOST R 34.10-2001 private key, the GOST R 34.10-2001 domain parameters are *not* specified in the key's template. This is because GOST R 34.10-2001 private keys are only generated as part of an GOST R 34.10-2001 key *pair*, and the GOST R 34.10-2001 domain parameters for the pair are specified in the template for the GOST R 34.10-2001 public key.

The following is a sample template for creating an GOST R 34.10-2001 private key object:

```
{CKA CLASS, &class, sizeof(class)},
    {CKA KEY TYPE, &keyType, sizeof(keyType)},
    {CKA TOKEN, &true, sizeof(true)},
    {CKA LABEL, label, sizeof(label)-1},
    {CKA SUBJECT, subject, sizeof(subject)},
    {CKA ID, id, sizeof(id)},
    {CKA SENSITIVE, &true, sizeof(true)},
    {CKA_SIGN, &true, sizeof(true)},
    {CKA GOSTR3410 PARAMS, gostR3410params oid,
        sizeof(gostR3410params oid)},
    {CKA GOSTR3411 PARAMS, gostR3411params oid,
        sizeof(gostR3411params oid)},
    {CKA GOST28147 PARAMS, gost28147params oid,
        sizeof(gost28147params oid) },
    {CKA VALUE, value, sizeof(value)}
};
```

## 2.45.4 GOST R 34.10-2001 domain parameter objects

GOST R 34.10-2001 domain parameter objects (object class **CKO\_DOMAIN\_PARAMETERS**, key type **CKK\_GOSTR3410**) hold GOST R 34.10-2001 domain parameters.

The following table defines the GOST R 34.10-2001 domain parameter object attributes, in addition to the common attributes defined for this object class:

Attribute	Data Type	Meaning
CKA_VALUE <sup>1</sup>	Byte array	DER-encoding of the domain parameters as it was introduced in [4] section 8.4 (type GostR3410-2001-ParamSetParameters)
CKA_OBJECT_ID <sup>1</sup>	Byte array	DER-encoding of the object identifier indicating the domain parameters

Refer to [PKCS #11-Base] Table 10 for footnotes

For any particular token, there is no guarantee that a token supports domain parameters loading up and/or fetching out. Furthermore, applications, that make direct use of domain parameters objects, should take in account that **CKA VALUE** attribute may be inaccessible.

The following is a sample template for creating a GOST R 34.10-2001 domain parameter object:

```
9,
                 0xda, 0xe2, 0x3b, 0x7e, 0x02, 0x21, 0x00, 0x80, 0x00, 0x00, 0x00, 0x0
                 0 \times 00, 0 \times 
                 0 \times 00, 0 \times 00
                 0 \times 00, 0 \times 04, 0 \times 31, 0 \times 02, 0 \times 21, 0 \times 00, 0 \times 80, 0 \times 00, 0 \times 00, 0 \times 00, 0 \times 00
                 0 \times 00, 0 \times 01, 0 \times 50, 0 \times 6
                 0 \times 8a, 0 \times 18, 0 \times 92, 0 \times 97, 0 \times 61, 0 \times 54, 0 \times c5, 0 \times 9c, 0 \times fc, 0 \times 19, 0 \times 3a, 0 \times c
                 0xf5, 0xb3, 0x02, 0x01, 0x02, 0x02, 0x20, 0x08, 0xe2, 0xa8, 0xa0, 0xe
                 0x51,0x47,0xd4,0xbd,0x63,0x16,0x03,0x0e,0x16,0xd1,0x9c,0x8
                 0xc9,0x7f,0x0a,0x9c,0xa2,0x67,0x12,0x2b,0x96,0xab,0xbc,0xe
                 0x7e,0x8f,0xc8
};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
                       {CKA CLASS, &class, sizeof(class)},
                       {CKA KEY TYPE, &keyType, sizeof(keyType)},
                       {CKA TOKEN, &true, sizeof(true)},
                       {CKA LABEL, label, sizeof(label)-1},
                       {CKA OBJECT ID, oid, sizeof(oid)},
                       {CKA VALUE, value, sizeof(value)}
};
```

## 2.45.5 GOST R 34.10-2001 mechanism parameters

### CK\_GOSTR3410\_KEY\_WRAP\_PARAMS

**CK\_GOSTR3410\_KEY\_WRAP\_PARAMS** is a structure that provides the parameters to the **CKM\_GOSTR3410\_KEY\_WRAP** mechanism. It is defined as follows:

The fields of the structure have the following meanings:

*pWrapOID* pointer to a data with DER-encoding of the object identifier indicating the data object type of

GOST 28147-89. If pointer takes NULL\_PTR value in C\_WrapKey operation then parameters are specified in

object identifier of attribute

CKA\_GOSTR3411\_PARAMS must be used. For C\_UnwrapKey operation the pointer is not used and

must take NULL PTR value anytime

ulWrapOIDLen length of data with DER-encoding of the object identifier

indicating the data object type of GOST 28147-89

pUKM pointer to a data with UKM. If pointer takes NULL\_PTR

value in C\_WrapKey operation then random value of UKM will be used. If pointer takes non-NULL\_PTR value in C\_UnwrapKey operation then the pointer value will be compared with UKM value of wrapped key. If these two values do not match the wrapped key will be rejected

ulUKMLen length of UKM data. If pUKM-pointer is different from

NULL\_PTR then equal to 8

hKey key handle. Key handle of a sender for C\_WrapKey

operation. Key handle of a receiver for C\_UnwrapKey

operation. When key handle takes

CK\_INVALID\_HANDLE value then an ephemeral (one

time) key pair of a sender will be used

### CK\_GOSTR3410\_DERIVE\_PARAMS

**CK\_GOSTR3410\_DERIVE\_PARAMS** is a structure that provides the parameters to the **CKM\_GOSTR3410\_DERIVE** mechanism. It is defined as follows:

The fields of the structure have the following meanings:

kdf additional key diversification algorithm identifier.

Possible values are CKD\_NULL and

CKD\_CPDIVERSIFY\_KDF. In case of CKD\_NULL,

result of the key derivation function

described in [RFC 4357], section 5.2 is used directly; In case of CKD\_CPDIVERSIFY\_KDF, the resulting key value is additionally processed with algorithm from [RFC

4357], section 6.5.

pPublicData<sup>1</sup> pointer to data with public key of a receiver

ulPublicDataLen length of data with public key of a receiver (must be 64)

pUKM pointer to a UKM data

ulUKMLen length of UKM data in bytes (must be 8)

1 Public key of a receiver is an octet string of 64 bytes long. The public key octets correspond to the concatenation of X and Y coordinates of a point. Any one of them is 32 bytes long and represented in little endian order.

#### 2.45.6 GOST R 34.10-2001 key pair generation

The GOST R 34.10-2001 key pair generation mechanism, denoted **CKM GOSTR3410 KEY PAIR GEN**, is a key pair generation mechanism for GOST R 34.10-2001.

This mechanism does not have a parameter.

The mechanism generates GOST R 34.10-2001 public/private key pairs with particular GOST R 34.10-2001 domain parameters, as specified in the **CKA\_GOSTR3410\_PARAMS**, **CKA\_GOSTR3411\_PARAMS**, and **CKA\_GOST28147\_PARAMS** attributes of the template for the public key. Note that **CKA\_GOST28147\_PARAMS** attribute may not be present in the template.

The mechanism contributes the CKA\_CLASS, CKA\_KEY\_TYPE, and CKA\_VALUE attributes to the new public key and the CKA\_CLASS, CKA\_KEY\_TYPE, CKA\_VALUE, and CKA\_GOSTR3410\_PARAMS, CKA\_GOSTR3411\_PARAMS, CKA\_GOST28147\_PARAMS attributes to the new private key.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

#### 2.45.7 GOST R 34.10-2001 without hashing

The GOST R 34.10-2001 without hashing mechanism, denoted **CKM\_GOSTR3410**, is a mechanism for single-part signatures and verification for GOST R 34.10-2001. (This mechanism corresponds only to the part of GOST R 34.10-2001 that processes the 32-bytes hash value; it does not compute the hash value.) This mechanism does not have a parameter.

For the purposes of these mechanisms, a GOST R 34.10-2001 signature is an octet string of 64 bytes long. The signature octets correspond to the concatenation of the GOST R 34.10-2001 values s and r', both represented as a 32 bytes octet string in big endian order with the most significant byte first [RFC 4490] section 3.2, and [RFC 4491] section 2.2.2.

The input for the mechanism is an octet string of 32 bytes long with digest has computed by means of GOST R 34.11-94 hash algorithm in the context of signed or should be signed message.

Table 151	COSTRA	10 2001 without	baabina, Kay	and Data Length	
Table 151.	(3USTR 34	.10-2001 Withoui	r nasning: Kev	' ang Data i engtr	1

Function	Key type	Input length	Output length
C_Sign <sup>1</sup>	CKK_GOSTR3410	32 bytes	64 bytes
C_Verify <sup>1</sup>	CKK_GOSTR3410	32 bytes	64 bytes

<sup>1</sup> Single-part operations only.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

#### 2.45.8 GOST R 34.10-2001 with GOST R 34.11-94

The GOST R 34.10-2001 with GOST R 34.11-94, denoted **CKM\_GOSTR3410\_WITH\_GOSTR3411**, is a mechanism for signatures and verification for GOST R 34.10-2001. This mechanism computes the entire GOST R 34.10-2001 specification, including the hashing with GOST R 34.11-94 hash algorithm.

As a parameter this mechanism utilizes a DER-encoding of the object identifier indicating GOST R 34.11-94 data object type. A mechanism parameter may be missed then parameters are specified in object identifier of attribute **CKA\_GOSTR3411\_PARAMS** must be used.

For the purposes of these mechanisms, a GOST R 34.10-2001 signature is an octet string of 64 bytes long. The signature octets correspond to the concatenation of the GOST R 34.10-2001 values s and r', both represented as a 32 bytes octet string in big endian order with the most significant byte first [RFC 4490] section 3.2, and [RFC 4491] section 2.2.2.

The input for the mechanism is signed or should be signed message of any length. Single- and multiple-part signature operations are available.

Table 152, GOST R 34.10-2001 with GOST R 34.11-94: Key and Data Length

Function	Key type	Input length	Output length
C_Sign	CKK_GOSTR3410	Any	64 bytes
C_Verify	CKK_GOSTR3410	Any	64 bytes

For this mechanism, the ulMinKeySize and ulMaxKeySize fields of the CK\_MECHANISM\_INFO structure are not used.

#### 2.45.9 GOST 28147-89 keys wrapping/unwrapping with GOST R 34.10-2001

GOST R 34.10-2001 keys as a KEK (key encryption keys) for encryption GOST 28147 keys, denoted by **CKM\_GOSTR3410\_KEY\_WRAP**, is a mechanism for key wrapping; and key unwrapping, based on GOST R 34.10-2001. Its purpose is to encrypt and decrypt keys have been generated by key generation mechanism for GOST 28147-89. An encryption algorithm from [RFC 4490] (section 5.2) must be used. Encrypted key is a DER-encoded structure of ASN.1 *GostR3410-KeyTransport* type [RFC 4490] section 4.2

It has a parameter, a CK\_GOSTR3410\_KEY\_WRAP\_PARAMS structure defined in section 2.45.5.

For unwrapping (**C\_UnwrapKey**), the mechanism decrypts the wrapped key, and contributes the result as the **CKA\_VALUE** attribute of the new key.

For this mechanism, the *ulMinKeySize* and *ulMaxKeySize* fields of the **CK\_MECHANISM\_INFO** structure are not used.

#### 2.45.9.1 Common key derivation with assistance of GOST R 34.10-2001 keys

Common key derivation, denoted **CKM\_GOSTR3410\_DERIVE**, is a mechanism for key derivation with assistance of GOST R 34.10-2001 private and public keys. The key of the mechanism must be of object class **CKO\_DOMAIN\_PARAMETERS** and key type **CKK\_GOSTR3410**. An algorithm for key derivation from [RFC 4357] (section 5.2) must be used.

The mechanism contributes the result as the **CKA\_VALUE** attribute of the new private key. All other attributes must be specified in a template for creating private key object.

# 3 PKCS #11 Implementation Conformance

An implementation is a conforming implementation if it meets the conditions specified in one or more server profiles specified in **[PKCS #11-Prof]**.

If a PKCS #11 implementation claims support for a particular profile, then the implementation SHALL conform to all normative statements within the clauses specified for that profile and for any subclauses to each of those clauses.

# Appendix A. Acknowledgments

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

#### **Participants:**

Gil Abel, Athena Smartcard Solutions, Inc.

Warren Armstrong, QuintessenceLabs

Jeff Bartell, Semper Foris Solutions LLC

Peter Bartok, Venafi, Inc.

Anthony Berglas, Cryptsoft

Joseph Brand, Semper Fortis Solutions LLC

Kelley Burgin, National Security Agency

Robert Burns, Thales e-Security

Wan-Teh Chang, Google Inc.

Hai-May Chao, Oracle

Janice Cheng, Vormetric, Inc.

Sangrae Cho, Electronics and Telecommunications Research Institute (ETRI)

Doron Cohen, SafeNet, Inc.

Fadi Cotran, Futurex

Tony Cox, Cryptsoft

Christopher Duane, EMC

Chris Dunn, SafeNet, Inc.

Valerie Fenwick, Oracle

Terry Fletcher, SafeNet, Inc.

Susan Gleeson, Oracle

Sven Gossel, Charismathics

John Green, QuintessenceLabs

Robert Griffin, EMC

Paul Grojean, Individual

Peter Gutmann, Individual

Dennis E. Hamilton, Individual

Thomas Hardjono, M.I.T.

Tim Hudson, Cryptsoft

Gershon Janssen, Individual

Seunghun Jin, Electronics and Telecommunications Research Institute (ETRI)

Wang Jingman, Feitan Technologies

Andrey Jivsov, Symantec Corp.

Mark Joseph, P6R

Stefan Kaesar, Infineon Technologies

Greg Kazmierczak, Wave Systems Corp.

Mark Knight, Thales e-Security

Darren Krahn, Google Inc.

Alex Krasnov, Infineon Technologies AG

Dina Kurktchi-Nimeh, Oracle

Mark Lambiase, SecureAuth Corporation

Lawrence Lee, GoTrust Technology Inc.

John Leiseboer, QuintessenceLabs

Sean Leon, Infineon Technologies

Geoffrey Li, Infineon Technologies

Howie Liu, Infineon Technologies

Hal Lockhart, Oracle

Robert Lockhart, Thales e-Security

Dale Moberg, Axway Software

Darren Moffat, Oracle

Valery Osheter, SafeNet, Inc.

Sean Parkinson, EMC

Rob Philpott, EMC

Mark Powers, Oracle

Ajai Puri, SafeNet, Inc.

Robert Relyea, Red Hat

Saikat Saha, Oracle

Subhash Sankuratripati, NetApp

Anthony Scarpino, Oracle

Johann Schoetz, Infineon Technologies AG

Rayees Shamsuddin, Wave Systems Corp.

Radhika Siravara, Oracle

Brian Smith, Mozilla Corporation

David Smith, Venafi, Inc.

Ryan Smith, Futurex

Jerry Smith, US Department of Defense (DoD)

Oscar So, Oracle

Graham Steel, Cryptosense

Michael Stevens, QuintessenceLabs

Michael StJohns, Individual

Jim Susoy, P6R

Sander Temme, Thales e-Security

Kiran Thota, VMware, Inc.

Walter-John Turnes, Gemini Security Solutions, Inc.

Stef Walter, Red Hat

James Wang, Vormetric

Jeff Webb, Dell

Peng Yu, Feitian Technologies Magda Zdunkiewicz, Cryptsoft Chris Zimman, Individual

# **Appendix B.** Manifest Constants

```
The following definitions can be found in [PKCS11_T_H].
```

/\*

Copyright © OASIS Open 2013. All Rights Reserved.

All capitalized terms in the following text have the meanings assigned to them in the OASIS Intellectual Property Rights Policy (the "OASIS IPR Policy").

\*/

Also, refer [PKCS #11-Base] and [PKCS #11-Hist] for additional definitions.

#### **B.1 OTP Definitions**

Note: A C or C++ source file in a Cryptoki application or library can define all the types, mechanisms, and other constants described here by including the header file otp-pkcs11.h. When including the otp-pkcs11.h header file, it should be preceded by an inclusion of the top-level Cryptoki header file pkcs11.h, and the source file must also specify the preprocessor directives indicated in Section 8 of [PKCS #11-B].

### **B.2 Object classes**

#define	CKO DATA	0x0000000
#define	CKO_CERTIFICATE	0x0000001
#define	CKO PUBLIC KEY	0x00000002
#define	CKO_PRIVATE_KEY	0x0000003
#define	CKO_SECRET_KEY	0x0000004
#define	CKO_HW_FEATURE	0x0000005
#define	CKO_DOMAIN_PARAMETERS	0x0000006
#define	CKO_MECHANISM	0x0000007
#define	CKO_OTP_KEY	0x0000008
#define	CKO_VENDOR_DEFINED	0x80000000

# **B.3 Key types**

#define	CKK RSA	0x0000000
#define	CKK DSA	0x0000001
#define	CKK_DH	0x00000002
#define	CKK_ECDSA	0x0000003
#define	CKK_EC	0x0000003
#define	CKK_X9_42_DH	0x0000004
#define	CKK_KEA	0x0000005
#define	CKK_GENERIC_SECRET	0x0000010
#define	CKK_RC2	0x0000011
#define	CKK_RC4	0x0000012
#define	CKK_DES	0x0000013
#define	CKK_DES2	0x0000014
#define	CKK_DES3	0x0000015

CKK_CAST	0x00000016
CKK_CAST3	0x0000017
CKK_CAST5	0x0000018
CKK_CAST128	0x0000018
CKK_RC5	0x0000019
CKK_IDEA	0x000001A
CKK_SKIPJACK	0x000001B
CKK_BATON	0x000001C
CKK_JUNIPER	0x000001D
CKK_CDMF	0x000001E
CKK_AES	0x000001F
CKK_BLOWFISH	0x00000020
CKK_TWOFISH	0x00000021
CKK_SECURID	0x00000022
CKK_HOTP	0x00000023
CKK_ACTI	0x00000024
CKK_CAMELLIA	0x00000025
CKK_ARIA	0x00000026
CKK_SHA512_224_HMAC	0x00000027
CKK_SHA512_256_HMAC	0x00000028
CKK_SHA512_T_HMAC	0x00000029
CKK_VENDOR_DEFINED	0x80000000
	CKK_CAST3 CKK_CAST5 CKK_CAST128 CKK_RC5 CKK_IDEA CKK_SKIPJACK CKK_BATON CKK_JUNIPER CKK_CDMF CKK_AES CKK_BLOWFISH CKK_TWOFISH CKK_SECURID CKK_HOTP CKK_ACTI CKK_CAMELLIA CKK_ARIA CKK_SHA512_224_HMAC CKK_SHA512_THMAC

## **B.4 Mechanisms**

#define	CKM RSA PKCS KEY PAIR GEN	0x00000000
	CKM RSA PKCS	0x00000001
	CKM RSA 9796	0x00000002
	CKM RSA X 509	0x0000003
	CKM MD2 RSA PKCS	0x0000004
#define	CKM MD5 RSA PKCS	0x0000005
#define	CKM SHA1 RSA PKCS	0x0000006
#define	CKM RIPEMD128 RSA PKCS	0x00000007
#define	CKM RIPEMD160 RSA PKCS	0x00000008
#define	CKM RSA PKCS OAEP	0x00000009
#define	CKM RSA X9 31 KEY PAIR GEN	0x0000000A
#define	CKM RSA X9 31	0x000000B
#define	CKM SHA1 RSA X9 31	0x000000C
#define	CKM_RSA_PKCS_PSS	0x000000D
#define	CKM SHA1 RSA PKCS PSS	0x000000E
#define	CKM_DSA_KEY_PAIR_GEN	0x0000010
#define	CKM_DSA	0x0000011
#define	CKM_DSA_SHA1	0x0000012
#define	CKM_DSA_FIPS_G_GEN	0x00000013
#define	CKM_DSA_SHA224	0x0000014
#define	CKM_DSA_SHA256	0x0000015
#define	CKM_DSA_SHA384	0x0000016
	CKM_DSA_SHA512	0x0000017
#define	CKM_DH_PKCS_KEY_PAIR_GEN	0x00000020

#define	CKM DH PKCS DERIVE	0x00000021
#define	CKM X9 42 DH KEY PAIR GEN	0x0000030
#define	CKM X9 42 DH DERIVE	0x00000031
#define	CKM_X9_42_DH_HYBRID_DERIVE	0x00000032
#define	CKM X9 42 MQV DERIVE	0x00000033
#define	CKM SHA256 RSA PKCS	0x00000040
#define	CKM SHA384 RSA PKCS	0x00000041
#define	CKM SHA512 RSA PKCS	0x00000042
#define	CKM SHA256 RSA PKCS PSS	0x00000043
#define	CKM SHA384 RSA PKCS PSS	0x00000044
#define	CKM SHA512 RSA PKCS PSS	0x00000045
#define	CKM SHA224 RSA PKCS	0x00000046
#define	CKM SHA224 RSA PKCS PSS	0x00000047
#define	CKM SHA512 224	0x00000048
#define	CKM SHA512 224 HMAC	0x00000049
	CKM SHA512 224 HMAC GENERAL	0x0000004A
#define	CKM SHA512 224 KEY DERIVATION	0x0000004B
#define	CKM SHA512 256	0x0000004C
#define	CKM SHA512 256 HMAC	0x0000004D
#define	CKM SHA512 256 HMAC GENERAL	0x0000004E
#define	CKM SHA512 256 KEY DERIVATION	0x0000004F
#define	CKM SHA512 T	0x0000050
#define	CKM SHA512 T HMAC	0x00000051
#define	CKM_SHA512_T_HMAC_GENERAL	0x00000052
#define	CKM_SHA512_T_KEY_DERIVATION	0x0000053
#define	CKM_RC2_KEY_GEN	0x0000100
#define	CKM_RC2_ECB	0x0000101
#define	CKM_RC2_CBC	0x0000102
#define	CKM_RC2_MAC	0x0000103
	CKM_RC2_MAC_GENERAL	0x00000104
#define	CKM_RC2_CBC_PAD	0x00000105
#define	CKM_RC4_KEY_GEN	0x00000110
#define	CKM_RC4	0x00000111
#define	CKM_DES_KEY_GEN	0x00000120
#define	CKM_DES_ECB	0x00000121
#define	CKM_DES_CBC	0x00000122
#define	CKM_DES_MAC	0x00000123
#define	CKM_DES_MAC_GENERAL	0x00000124
	CKM_DES_CBC_PAD	0x00000125
	CKM_DES2_KEY_GEN	0x00000130
	CKM_DES3_KEY_GEN	0x00000131
	CKM_DES3_ECB	0x00000132
	CKM_DES3_CBC	0x00000133
	CKM_DES3_MAC	0x00000134
	CKM_DES3_MAC_GENERAL	0x00000135
	CKM_DES3_CBC_PAD	0x00000136
	CKM_CDMF_KEY_GEN	0x00000140
#define	CKM_CDMF_ECB	0x00000141

	CKM_CDMF_CBC	0x00000142
	CKM_CDMF_MAC	0x00000143
	CKM_CDMF_MAC_GENERAL	0x00000144
	CKM_CDMF_CBC_PAD	0x00000145
	CKM_DES_OFB64	0x00000150
	CKM_DES_OFB8	0x00000151
	CKM_DES_CFB64	0x00000152
#define	CKM_DES_CFB8	0x00000153
#define	CKM_MD2	0x00000200
#define	CKM_MD2_HMAC	0x00000201
#define	CKM MD2 HMAC GENERAL	0x00000202
#define	CKM MD5	0x00000210
#define	CKM MD5 HMAC	0x00000211
#define	CKM MD5 HMAC GENERAL	0x00000212
	CKM SHA 1	0x00000220
#define	CKM SHA 1 HMAC	0x00000221
	CKM_SHA_1_HMAC_GENERAL	0x00000222
#define	CKM RIPEMD128	0x00000230
	<del>_</del>	0x00000231
#define	CKM_RIPEMD128_HMAC_GENERAL	
#define	CKM RIPEMD160	0x00000240
#define	CKM_RIPEMD160 CKM_RIPEMD160_HMAC CKM_RIPEMD160_HMAC_GENERAL	0x00000241
#define	CKM_RIPEMD160_HMAC_GENERAL	
	CKM SHA256	0x00000250
	CKM SHA256 HMAC	0x00000251
#define	CKM_SHA256_HMAC_GENERAL	0x00000251
	CKM SHA224	0x00000255
	CKM SHA224 HMAC	0x00000256
#define		0x00000257
	CKM SHA384	0x00000257
	CKM SHA384 HMAC	0x00000261
	CKM_SHA384_HMAC_GENERAL	
#define	CKM SHA512	0x00000202
	CKM_SHA512 HMAC	0x00000270
	CKM_SHA512_HMAC GENERAL	0x00000271
	CKM_SHAJIZ_HMAC_GENERAL	0x00000272
	<u> </u>	0x00000280
	CKM_SECURID	
	CKM_HOTP_KEY_GEN	0x00000290
	CKM_HOTP	0x00000291
	CKM_ACTI	0x000002A0
	CKM_ACTI_KEY_GEN	0x000002A1
	CKM_CAST_KEY_GEN	0x00000300
	CKM_CAST_ECB	0x00000301
	CKM_CAST_CBC	0x00000302
	CKM_CAST_MAC	0x00000303
	CKM_CAST_MAC_GENERAL	0x00000304
	CKM_CAST_CBC_PAD	0x00000305
#define	CKM_CAST3_KEY_GEN	0x00000310

	_	
	CKM_CAST3_ECB	0x00000311
	CKM_CAST3_CBC	0x00000312
	CKM_CAST3_MAC	0x00000313
	CKM_CAST3_MAC_GENERAL	0x00000314
	CKM_CAST3_CBC_PAD	0x00000315
	CKM_CAST5_KEY_GEN	0x00000320
	CKM_CAST128_KEY_GEN	0x00000320
	CKM_CAST5_ECB	0x00000321
	CKM_CAST128_ECB	0x00000321
#define	CKM_CAST5_CBC	0x00000322
#define	CKM_CAST128_CBC	0x00000322
#define	CKM_CAST5_MAC	0x00000323
#define	CKM_CAST128_MAC	0x00000323
#define	CKM CAST5 MAC GENERAL	0x00000324
#define	CKM CAST128 MAC GENERAL	0x00000324
#define	CKM CAST5 CBC PAD	0x00000325
#define	CKM CAST128 CBC PAD	0x00000325
	CKM RC5 KEY GEN	0x00000330
	CKM RC5 ECB	0x00000331
	CKM RC5 CBC	0x00000332
	CKM RC5 MAC	0x00000333
	CKM RC5 MAC GENERAL	0x00000334
	CKM RC5 CBC PAD	0x00000335
	CKM IDEA KEY GEN	0x0000340
	CKM IDEA ECB	0x00000341
	CKM IDEA CBC	0x00000342
	CKM IDEA MAC	0x00000343
	CKM IDEA MAC GENERAL	0x00000344
	CKM IDEA CBC PAD	0x00000345
	CKM_GENERIC_SECRET_KEY_GEN	0x00000350
	CKM CONCATENATE BASE AND KEY	
	CKM CONCATENATE BASE AND DATA	
	CKM CONCATENATE DATA AND BASE	
	CKM XOR BASE AND DATA	0x00000364
	CKM EXTRACT KEY FROM KEY	0x00000365
	CKM SSL3 PRE MASTER KEY GEN	0x00000370
	CKM SSL3 MASTER KEY DERIVE	0x00000370
	CKM SSL3 KEY AND MAC DERIVE	0x00000371
	CKM SSL3 MASTER KEY DERIVE DH	
	CKM TLS PRE MASTER KEY GEN	0x00000373
	CKM_TLS_FRE_MASTER_KET_GEN CKM_TLS_MASTER_KEY_DERIVE	0x00000374
	CKM_ILS_MASIEK_KEI_DERIVE	0x00000375
		0x00000377
	CKM_TLS_MASTER_KEY_DERIVE_DH CKM TLS PRF	0x00000377
	CKM_TLS_PRF CKM_SSL3_MD5_MAC	
	CKM_SSL3_MD3_MAC CKM_SSL3_SHA1_MAC	0x00000380
		0x00000381
	CKM_MD5_KEY_DERIVATION	0x00000390
#ueilne	CKM_MD2_KEY_DERIVATION	0x00000391

#define	CKM_SHA1_KEY_DERIVATION	0x00000392
	CKM_SHA256_KEY_DERIVATION	0x00000393
#define	CKM_SHA384_KEY_DERIVATION	0x00000394
#define	CKM_SHA512_KEY_DERIVATION	0x00000395
#define	CKM SHA224 KEY DERIVATION	0x00000396
#define	CKM PBE MD2 DES CBC	0x000003A0
#define	CKM PBE MD5 DES CBC	0x000003A1
#define	CKM PBE MD5 CAST CBC	0x000003A2
#define	CKM PBE MD5 CAST3 CBC	0x000003A3
#define	CKM PBE MD5 CAST5 CBC	0x000003A4
#define	CKM PBE MD5 CAST128 CBC	0x000003A4
	CKM PBE SHA1 CAST5 CBC	0x000003A5
#define	CKM PBE SHA1 CAST128 CBC	0x000003A5
#define	CKM PBE SHA1 RC4 128	0x000003A6
#define	CKM PBE SHA1 RC4 40	0x000003A7
	CKM PBE SHA1 DES3 EDE CBC	0x000003A8
	CKM PBE SHA1 DES2 EDE CBC	0x000003A9
	CKM PBE SHA1 RC2 128 CBC	0x000003AA
	CKM PBE SHA1 RC2 40 CBC	0x000003AB
	CKM PKCS5 PBKD2	0x000003B0
#define	CKM PBA SHA1 WITH SHA1 HMAC	0x000003C0
	CKM_WTLS_PRE_MASTER_KEY_GEN	
	CKM WTLS MASTER KEY DERIVE	0x000003D1
	CKM_WTLS_MASTER_KEY_DERIVE_DH_ECC	0x000003D2
	CKM WTLS PRF	0x000003D3
#define	CKM WTLS SERVER KEY AND MAC DERIVE	0x000003D4
	CKM_WTLS_CLIENT_KEY_AND_MAC_DERIVE	
	CKM TLS10 MAC SERVER	0x000003D6
#define	CKM TLS10 MAC CLIENT	0x000003D7
#define	CKM TLS12 MAC	0x000003D8
#define	CKM TLS12 MASTER KEY DERIVE	0x000003E0
#define	CKM TLS12 KEY AND MAC DERIVE	0x000003E1
	CKM TLS12 MASTER KEY DERIVE DH	
	CKM TLS12 KEY SAFE DERIVE	0x000003E3
#define	CKM TLS MAC	0x000003E4
#define	CKM TLS KDF	0x000003E5
#define	CKM KEY WRAP LYNKS	0x00000400
#define	CKM KEY WRAP SET OAEP	0x00000401
#define	CKM CMS SIG	0x00000500
#define	CKM KIP DERIVE	0x00000510
#define	CKM KIP WRAP	0x00000511
#define	CKM KIP MAC	0x00000512
	CKM CAMELLIA KEY GEN	0x00000550
	CKM CAMELLIA ECB	0x00000551
	CKM CAMELLIA CBC	0x00000552
	CKM CAMELLIA MAC	0x00000553
	CKM CAMELLIA MAC GENERAL	0x00000554
#define	CKM_CAMELLIA_CBC_PAD	0x00000555

	CKM_CAMELLIA_ECB_ENCRYPT_DATA	0x00000556
	CKM_CAMELLIA_CBC_ENCRYPT_DATA	0x00000557
	CKM_CAMELLIA_CTR	0x00000558
#define	CKM_ARIA_KEY_GEN	0x00000560
#define	CKM_ARIA_ECB	0x00000561
#define	CKM_ARIA_CBC	0x00000562
#define	CKM_ARIA_MAC	0x00000563
#define	CKM_ARIA_MAC_GENERAL	0x00000564
#define	CKM ARIA CBC PAD	0x00000565
#define	CKM ARIA ECB ENCRYPT DATA	0x00000566
#define	CKM ARIA CBC ENCRYPT DATA	0x00000567
	CKM SKIPJACK KEY GEN	0x00001000
#define	CKM SKIPJACK ECB64	0x00001001
#define	CKM SKIPJACK CBC64	0x00001002
	CKM SKIPJACK OFB64	0x00001003
	CKM SKIPJACK CFB64	0x00001004
	CKM SKIPJACK CFB32	0x00001005
	CKM SKIPJACK CFB16	0x00001006
	CKM SKIPJACK CFB8	0x00001007
	CKM SKIPJACK WRAP	0x00001007
	CKM SKIPJACK PRIVATE WRAP	0x00001000
	CKM SKIPJACK RELAYX	0x00001009
	CKM KEA KEY PAIR GEN	0x0000100d
	CKM KEA KEY DERIVE	0x00001010
	CKM FORTEZZA TIMESTAMP	0x00001011
	CKM BATON KEY GEN	0x00001020
	CKM_BATON_REI_GEN CKM_BATON_ECB128	0x00001030
	CKM_BATON_ECB126	0x00001031
	CKM_BATON_ECB96 CKM_BATON_CBC128	
	<del>_</del> _	0x00001033
	CKM_BATON_COUNTER	0x00001034
	CKM_BATON_SHUFFLE	0x00001035
	CKM_BATON_WRAP	0x00001036
	CKM_ECDSA_KEY_PAIR_GEN	0x00001040
	CKM_EC_KEY_PAIR_GEN	0x00001040
	CKM_ECDSA	0x00001041
	CKM_ECDSA_SHA1	0x00001042
	CKM_ECDH1_DERIVE	0x00001050
	CKM_ECDH1_COFACTOR_DERIVE	0x00001051
	CKM_ECMQV_DERIVE	0x00001052
	CKM_ECDH_AES_KEY_WRAP	0x00001053
	CKM_RSA_AES_KEY_WRAP	0x00001054
	CKM_JUNIPER_KEY_GEN	0x00001060
	CKM_JUNIPER_ECB128	0x00001061
	CKM_JUNIPER_CBC128	0x00001062
#define	CKM_JUNIPER_COUNTER	0x00001063
#define	CKM_JUNIPER_SHUFFLE	0x00001064
	CKM_JUNIPER_WRAP	0x00001065
#define	CKM_FASTHASH	0x00001070

#define	CKM_AES_KEY_GEN	0x00001080
	CKM_AES_ECB	0x00001081
#define	CKM_AES_CBC	0x00001082
#define	CKM_AES_MAC	0x00001083
#define	CKM AES MAC GENERAL	0x00001084
#define	CKM AES CBC PAD	0x00001085
#define	CKM AES CTR	0x00001086
#define	CKM AES GCM	0x00001087
#define	CKM AES CCM	0x00001088
#define	CKM AES CMAC GENERAL	0x00001089
#define	CKM AES CMAC	0x0000108A
#define	CKM AES CTS	0x0000108B
#define	CKM AES XCBC MAC	0x0000108C
#define	CKM AES XCBC MAC 96	0x0000108D
	CKM BLOWFISH KEY GEN	0x00001090
	CKM BLOWFISH CBC	0x00001091
#define	CKM TWOFISH KEY GEN	0x00001092
	CKM TWOFISH CBC	0x00001093
	CKM BLOWFISH CBC PAD	0x00001094
	CKM TWOFISH CBC PAD	0x00001095
		0x00001100
		0x00001101
	CKM DES3 ECB ENCRYPT DATA	0x00001102
	CKM DES3 CBC ENCRYPT DATA	0x00001103
	CKM AES ECB ENCRYPT DATA	0x00001104
	CKM AES CBC ENCRYPT DATA	0x00001105
		0x00001200
	CKM GOSTR3410	0x00001201
	CKM GOSTR3410 WITH GOSTR3411	
	CKM GOSTR3410 KEY WRAP	0x00001203
	CKM GOSTR3410 DERIVE	0x00001204
	CKM GOSTR3411	0x00001210
	CKM GOSTR3411 HMAC	0x00001211
	CKM GOST28147 KEY GEN	0x00001220
	CKM GOST28147 ECB	0x00001221
	CKM GOST28147	0x00001222
	CKM GOST28147 MAC	0x00001223
	CKM GOST28147 KEY WRAP	0x00001224
	CKM DSA PARAMETER GEN	0x00002000
	CKM DH PKCS PARAMETER GEN	
	CKM X9 42 DH PKCS PARAMETER GEN	
	CKM DSA PROBABLISTIC PARAMETER GEN	
	CKM DSA SHAWE TAYLOR PARAMETER GEN	
	CKM AES OFB	0x00002104
	CKM AES CFB64	0x00002101
	CKM AES CFB8	0x00002105
	CKM AES CFB128	0x00002100
	CKM AES CFB1	0x00002107
,, 4011110	<u></u>	011000002100

#define CKM AES	KEY WRAP	0x00002109
#define CKM AES	KEY WRAP PAD	0x0000210A
#define CKM_RSA_	_PKCS_TPM_1_1	0x00004001
#define CKM_RSA_	PKCS_OAEP_TPM_1_1	0x00004002
#define CKM_VENI	DOR_DEFINED	0x80000000

## **B.5 Attributes**

#define	CKA CLASS	0x0000000
#define	CKA TOKEN	0x0000001
#define	CKA PRIVATE	0x00000002
#define	CKA LABEL	0x0000003
#define	CKA APPLICATION	0x0000010
#define	CKA VALUE	0x0000011
#define	CKA OBJECT ID	0x00000012
#define	CKA CERTIFICATE TYPE	0x00000080
#define	CKA ISSUER	0x00000081
#define	CKA_SERIAL_NUMBER	0x00000082
#define	CKA AC ISSUER	0x00000083
#define	CKA OWNER	0x00000084
#define	CKA ATTR TYPES	0x00000085
#define	CKA TRUSTED	0x00000086
#define	CKA CERTIFICATE CATEGORY	0x00000087
#define	CKA_JAVA_MIDP_SECURITY_DOMAIN	0x00000088
	CKA_URL	0x00000089
#define	CKA_HASH_OF_SUBJECT_PUBLIC_KEY	0x0000008A
#define	CKA HASH OF ISSUER PUBLIC KEY	0x0000008B
#define	CKA_CHECK_VALUE	0x00000090
#define	CKA_KEY_TYPE	0x0000100
#define	CKA_SUBJECT	0x00000101
#define	CKA_ID	0x0000102
#define	CKA_SENSITIVE	0x0000103
#define	CKA_ENCRYPT	0x0000104
#define	CKA_DECRYPT	0x00000105
#define	CKA_WRAP	0x0000106
#define	CKA_UNWRAP	0x0000107
#define	CKA_SIGN	0x0000108
#define	CKA_SIGN_RECOVER	0x00000109
#define	CKA_VERIFY	0x000010A
#define	CKA_VERIFY_RECOVER	0x000010B
#define	CKA_DERIVE	0x000010C
#define	CKA_START_DATE	0x00000110
#define	CKA_END_DATE	0x00000111
#define	CKA_MODULUS	0x00000120
#define	CKA_MODULUS_BITS	0x00000121
#define	CKA_PUBLIC_EXPONENT	0x00000122
	CKA_PRIVATE_EXPONENT	0x00000123
#define	CKA_PRIME_1	0x00000124

```
#define CKA PRIME 2
                                           0x00000125
#define CKA EXPONENT 1
                                           0x00000126
#define CKA EXPONENT 2
                                           0x00000127
#define CKA COEFFICIENT
                                           0x00000128
#define CKA PUBLIC KEY INFO
                                           0x00000129
#define CKA PRIME
                                           0x00000130
#define CKA SUBPRIME
                                           0x00000131
#define CKA BASE
                                           0x00000132
#define CKA PRIME BITS
                                           0x00000133
#define CKA SUBPRIME BITS
                                           0x00000134
#define CKA SUB PRIME BITS
                                           CKA SUBPRIME BITS
#define CKA VALUE BITS
                                           0x00000160
#define CKA VALUE LEN
                                           0x00000161
#define CKA EXTRACTABLE
                                           0x00000162
#define CKA LOCAL
                                           0x00000163
#define CKA NEVER EXTRACTABLE
                                           0x00000164
#define CKA ALWAYS SENSITIVE
                                           0x00000165
#define CKA KEY GEN MECHANISM
                                           0x00000166
#define CKA MODIFIABLE
                                           0x00000170
#define CKA DESTROYABLE
                                           0x00000172
#define CKA ECDSA PARAMS
                                           0x00000180
#define CKA EC PARAMS
                                           0x00000180
#define CKA EC POINT
                                           0x00000181
#define CKA SECONDARY AUTH
                                           0x00000200
#define CKA AUTH PIN FLAGS
                                           0x00000201
#define CKA ALWAYS AUTHENTICATE
                                           0x00000202
#define CKA WRAP WITH TRUSTED
                                           0x00000210
#define CKA WRAP TEMPLATE
                           (CKF ARRAY ATTRIBUTE | 0x00000211)
#define CKA UNWRAP TEMPLATE (CKF ARRAY ATTRIBUTE | 0x00000212)
#define CKA OTP FORMAT
                                           0x00000220
#define CKA OTP LENGTH
                                           0x00000221
#define CKA OTP TIME INTERVAL
                                           0x00000222
#define CKA OTP USER FRIENDLY MODE
                                           0x00000223
#define CKA OTP CHALLENGE REQUIREMENT
                                           0x00000224
#define CKA OTP TIME REQUIREMENT
                                           0x00000225
#define CKA OTP COUNTER REQUIREMENT
                                           0x00000226
#define CKA OTP PIN REQUIREMENT
                                           0x00000227
#define CKA OTP USER IDENTIFIER
                                           0x0000022A
#define CKA OTP SERVICE IDENTIFIER
                                           0x0000022B
#define CKA OTP SERVICE LOGO
                                           0x0000022C
#define CKA OTP SERVICE LOGO TYPE
                                           0x0000022D
#define CKA OTP COUNTER
                                           0x0000022E
#define CKA OTP TIME
                                           0x0000022F
#define CKA GOSTR3410 PARAMS
                                           0x00000250
#define CKA GOSTR3411 PARAMS
                                           0x00000251
#define CKA GOST28147 PARAMS
                                           0x00000252
#define CKA HW FEATURE TYPE
                                           0 \times 00000300
```

#define	CKA_RESET_ON_INIT 0x000003			
#define	CKA_HAS_RESET	0x00000302		
#define	CKA_PIXEL_X	0x00000400		
#define	CKA_PIXEL_Y	0x00000401		
#define	CKA_RESOLUTION	0x00000402		
#define	CKA_CHAR_ROWS	0x00000403		
#define	CKA_CHAR_COLUMNS	0x00000404		
#define	CKA COLOR 0x0000			
#define	CKA_BITS_PER_PIXEL	0x00000406		
#define	CKA_CHAR_SETS 0x00000			
#define	CKA_ENCODING_METHODS 0x00000			
#define	CKA_MIME_TYPES 0x0000			
#define	CKA_MECHANISM_TYPE 0x00000			
#define	E CKA_REQUIRED_CMS_ATTRIBUTES 0x00000			
#define	CKA_DEFAULT_CMS_ATTRIBUTES 0x00000			
#define	CKA_SUPPORTED_CMS_ATTRIBUTES 0x000005			
#define	CKA_ALLOWED_MECHANISMS			
	(CKF_ARRAY_ATTRIBUTE   0x000006	00)		

#define CKA\_VENDOR\_DEFINED 0x80000000

#### **B.6 Attribute constants**

#define	CK_OTP_FORMAT_DECIMAL	OUL
#define	CK_OTP_FORMAT_HEXADECIMAL	1UL
#define	CK_OTP_FORMAT_ALPHANUMERIC	2UL
#define	CK_OTP_FORMAT_BINARY	3UL
#define	CK_OTP_PARAM_IGNORED	OUL
#define	CK_OTP_PARAM_OPTIONAL	1UL
#define	CK_OTP_PARAM_MANDATORY	2UL

# **B.7 Other constants**

#define	CK_OTP_VALUE	OUL
#define	CK_OTP_PIN	1UL
#define	CK_OTP_CHALLENGE	2UL
#define	CK_OTP_TIME	3UL
#define	CK_OTP_COUNTER	4UL
#define	CK_OTP_FLAGS	5UL
#define	CK OTP OUTPUT LENGTH	6UL
#define	CK_OTP_FORMAT	7UL
#define	CKF_NEXT_OTP	0x0000001UL
#define	CKF EXCLUDE TIME	0x00000002UL
#define	CKF_EXCLUDE_COUNTER	0x00000004UL
#define	CKF_EXCLUDE_CHALLENGE	0x0000008UL
#define	CKF_EXCLUDE_PIN	0x0000010UL
#define	CKF_USER_FRIENDLY_OTP	0x00000020UL

# **B.8 Notifications**

#define CKN OTP CHANGED 1UL

## **B.9 Return values**

#define	CKR OK	0x0000000
#define	CKR CANCEL	0x0000001
#define	CKR HOST MEMORY	0x00000002
#define	CKR_OK CKR_CANCEL CKR_HOST_MEMORY CKR_SLOT_ID_INVALID CKR_GENERAL_ERROR CKR_FUNCTION_FAILED	0x0000003
#define	CKR GENERAL ERROR	0x0000005
#define	CKR_GENERAL_ERROR CKR_FUNCTION_FAILED CKR_ARGUMENTS_BAD	0x0000006
#define	CKR ARGUMENTS BAD	0x0000007
#define	CKR NO EVENT	0x00000008
#define	CKR_NEED_TO_CREATE_THREADS	0x0000009
#define	CKR CANT LOCK	0x000000A
#define	CKR_ATTRIBUTE_READ_ONLY	0x0000010
		0x0000011
#define	CKR_ATTRIBUTE_TYPE_INVALID	0x0000012
#define	CKR_ATTRIBUTE_VALUE_INVALID	0x0000013
#define	CKR_ATTRIBUTE_VALUE_INVALID CKR_ACTION_PROHIBITED CKR_DATA_INVALID CKR_DATA_LEN_RANGE CKR_DEVICE_ERROR	0x000001B
#define	CKR_DATA_INVALID	0x00000020
#define	CKR_DATA_LEN_RANGE	0x00000021
		0x0000030
#define	CKR_DEVICE_MEMORY	0x00000031
	CKR_DEVICE_REMOVED	0x00000032
#define	CKR_ENCRYPTED_DATA_INVALID	0x00000040
#define	CKR_ENCRYPTED_DATA_LEN_RANGE	0x00000041
#define	CKR_FUNCTION_CANCELED	0x0000050
#define	CKR_FUNCTION_NOT_PARALLEL	0x0000051
#define	CKR_FUNCTION_NOT_SUPPORTED CKR_KEY_HANDLE_INVALID	0x0000054
#define	CKR_KEY_HANDLE_INVALID	0x00000060
#define	CKR_KEY_SIZE_RANGE	0x00000062
#define	CKR_KEY_TYPE_INCONSISTENT CKR_KEY_NOT_NEEDED CKR_KEY_CHANGED CKR_KEY_NEEDED CKR_KEY_NEEDED	0x00000063
#define	CKR_KEY_NOT_NEEDED	0x00000064
#define	CKR_KEY_CHANGED	0x00000065
#define	CKR_KEY_NEEDED	0x00000066
# deline		02100000007
	CKR_KEY_FUNCTION_NOT_PERMITTED	0x00000068
	CKR_KEY_NOT_WRAPPABLE	0x00000069
	CKR_KEY_UNEXTRACTABLE	0x0000006A
	CKR_MECHANISM_INVALID	0x00000070
	CKR_MECHANISM_PARAM_INVALID	0x00000071
	CKR_OBJECT_HANDLE_INVALID	0x00000082
	CKR_OPERATION_ACTIVE	0x00000090
	CKR_OPERATION_NOT_INITIALIZED	$0 \times 0 0 0 0 0 0 91$
	CKR_PIN_INCORRECT	0x000000A0
#dellne	CKR_PIN_INVALID	0x000000A1

```
0x000000A2
#define CKR PIN LEN RANGE
#define CKR PIN EXPIRED
                                           0x00000A3
#define CKR PIN LOCKED
                                           0x000000A4
#define CKR SESSION CLOSED
                                           0x000000B0
#define CKR SESSION COUNT
                                           0x000000B1
#define CKR SESSION HANDLE INVALID
                                           0x00000B3
#define CKR SESSION PARALLEL NOT SUPPORTED 0x000000B4
#define CKR SESSION READ ONLY
                                           0x000000B5
#define CKR SESSION EXISTS
                                           0x000000B6
#define CKR SESSION READ ONLY EXISTS
                                           0x00000B7
#define CKR SESSION READ WRITE SO EXISTS
                                           0x000000B8
#define CKR SIGNATURE INVALID
                                           0x00000C0
#define CKR SIGNATURE LEN RANGE
                                           0x000000C1
#define CKR TEMPLATE INCOMPLETE
                                           0x00000D0
#define CKR TEMPLATE INCONSISTENT
                                           0x000000D1
#define CKR TOKEN NOT PRESENT
                                           0x00000E0
#define CKR TOKEN NOT RECOGNIZED
                                           0x00000E1
#define CKR TOKEN WRITE PROTECTED
                                           0x00000E2
#define CKR UNWRAPPING KEY HANDLE INVALID 0x000000F0
#define CKR UNWRAPPING KEY SIZE RANGE
                                           0x00000F1
#define CKR UNWRAPPING KEY TYPE INCONSISTENT 0x000000F2
#define CKR USER ALREADY LOGGED IN
                                           0x00000100
#define CKR USER NOT LOGGED IN
                                           0x00000101
#define CKR USER PIN NOT INITIALIZED
                                           0x0000102
#define CKR USER TYPE INVALID
                                           0x0000103
#define CKR USER ANOTHER ALREADY LOGGED IN 0x00000104
#define CKR USER TOO MANY TYPES
                                           0x0000105
#define CKR WRAPPED KEY INVALID
                                           0x00000110
#define CKR WRAPPED KEY LEN RANGE
                                           0x00000112
#define CKR WRAPPING KEY HANDLE INVALID
                                           0x00000113
#define CKR WRAPPING KEY SIZE RANGE
                                           0x00000114
#define CKR WRAPPING KEY TYPE INCONSISTENT 0x00000115
#define CKR RANDOM SEED NOT SUPPORTED
                                           0x00000120
#define CKR RANDOM NO RNG
                                           0x00000121
#define CKR DOMAIN PARAMS INVALID
                                           0x00000130
#define CKR CURVE NOT SUPPORTED
                                           0x00000140
#define CKR BUFFER TOO SMALL
                                           0x00000150
#define CKR SAVED STATE INVALID
                                           0x00000160
#define CKR INFORMATION SENSITIVE
                                           0x00000170
#define CKR STATE UNSAVEABLE
                                           0x00000180
#define CKR CRYPTOKI NOT INITIALIZED
                                           0x00000190
#define CKR CRYPTOKI ALREADY INITIALIZED
                                           0x00000191
#define CKR MUTEX BAD
                                           0x00001A0
#define CKR MUTEX NOT LOCKED
                                           0x000001A1
#define CKR NEW PIN MODE
                                           0x00001B0
#define CKR NEXT OTP
                                           0x000001B1
```

#define	CKR EXCEEDED MAX ITERATIONS	0x00001C0
#define	CKR FIPS SELF TEST FAILED	0x00001C1
#define	CKR LIBRARY LOAD FAILED	0x00001C2
#define	CKR PIN TOO WEAK	0x00001C3
#define	CKR PUBLIC KEY INVALID	0x00001C4
#define	CKR FUNCTION REJECTED	0x00000200
#define	CKR VENDOR DEFINED	0x80000000

# **Appendix C.** Revision History

Revision	Date	Editor	Changes Made
wd01	Apr 29, 2013	Chris Zimman	Initial Template Import
wd02	July 7, 2013	Chris Zimman	2 <sup>nd</sup> Working Draft
wd03	Aug 16, 2013	Chris Zimman	3 <sup>rd</sup> Working Draft
wd04	Oct 1, 2013	Chris Zimman	Incorporation of ballot items, prep for Committee Specification Draft promotion
wd05	Oct 7, 2013	Chris Zimman	Reviewed for typos and proof. Candidate for Committee Specification Draft promotion.
wd06	Oct 27, 2013	Robert Griffin	Final participants list and other editorial changes for Committee Specification Draft
Cds01	Oct. 30, 2013	OASIS	Committee Specification Draft
wd07	Feb 18, 2014	Chris Zimman	Incorporation of changes and feedback from public review
wd08	Feb 27, 2014	Chris Zimman	Incorporation of changes and feedback from public review
wd09	Mar 10, 2014	Chris Zimman	Incorporation of voted upon changes from last meeting
csd02	Apr. 23, 2014	OASIS	Committee Specification Draft
wd10	Jun 11, 2014	Chris Zimman	Removed AES-XTS mechanism
wd11	Jul. 2, 2014	Chris Zimman	Corrections to manifest constants
csd03	Jul. 16, 2014	OASIS	Committee Specification Draft
csd03a	Sep 3 2014	Robert Griffin	Updated revision history and participant list in preparation for Committee Specification ballot
wd12	Nov 3 2014	Robert Griffin	Editorial corrections