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- PKCS #11 Cryptographic Token Interface Historical Mechanisms Specification Version 2.40. Edited by Susan Gleeson and Chris Zimman. Latest version. http://docs.oasisopen.org/pkcs11/pkcs11-hist/v2.40/pkcs11-hist-v2.40.html.
- PKCS #11 Cryptographic Token Interface Usage Guide Version 2.40. Edited by John Leiseboer and Robert Griffin. Latest version. http://docs.oasis-open.org/pkcs11/pkcs11ug/v2.40/pkcs11-ug-v2.40.html.
- PKCS #11 Cryptographic Token Interface Profiles Version 2.40. Edited by Tim Hudson. Latest version. http://docs.oasis-open.org/pkcs11/pkcs11-profiles/v2.40/pkcs11-profilesv2.40.html.

Abstract:

This document defines data types, functions and other basic components of the PKCS #11 Cryptoki interface.

Status:

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Table of Contents

1Introduction	8
1.1 Terminology	
1.2 Definitions	
1.3 Symbols and abbreviations	10
1.4 Normative References	
1.5 Non-Normative References	13
2 Platform- and compiler-dependent directives for C or	C++
2.1 Structure packing	
2.2 Pointer-related macros	
2.3 Sample platform- and compiler-dependent code	
2.3.1 Win32	
2.3.2 Generic UNIX	
3 General data types	
3.1 General information	
3.2 Slot and token types	20
3.3 Session types	
3.4 Object types	
3.5 Data types for mechanisms	
3.6 Function types	
3.7 Locking-related types	
4 Objects	
4.1 Creating, modifying, and copying objects	
4.1.1 Creating objects	
4.1.2 Modifying objects	
4.1.3 Copying objects	
4.2 Common attributes	42
4.3 Hardware Feature Objects	
4.3.1 Definitions	
4. 3.2 Overview	
4. 3.3 Clock	
4.3.4 Monotonic Counter Objects	
4.3.5 User Interface Objects	
4.4 Storage Objects	
4.5 Data objects	
4.5.1 Definitions	
4.5.2 Overview	45
4.6 Certificate objects	
4.6.1 Definitions	
4.6.2 Overview	
4.6.3 X.509 public key certificate objects	
4.6.4 WTLS public key certificate objects	
4.6.5 X.509 attribute certificate objects	
4.7 Key objects	

4.7.1 Definitions	
4.7.2 Overview	52
4.8 Public key objects	53
4.9 Private key objects	54
4.9.1 RSA private key objects	56
4.10 Secret key objects	57
4.11 Domain parameter objects	
4.11.1 Definitions	
4.11.2 Overview	60
4.12 Mechanism objects	60
4.12.1 Definitions	60
4.12.2 Overview	60
5-Functions	61
5.1 Function return values	64
5.1.1 Universal Cryptoki function return values	64
5.1.2 Cryptoki function return values for functions that use a session handle	65
5.1.3 Cryptoki function return values for functions that use a token	65
5.1.4 Special return value for application-supplied callbacks	65
5.1.5 Special return values for mutex-handling functions	
5.1.6 All other Cryptoki function return values	66
5.1.7 More on relative priorities of Cryptoki errors	71
5.1.8 Error code "gotchas"	71
5.2 Conventions for functions returning output in a variable-length buffer	71
5.3 Disclaimer concerning sample code	
5.4 General-purpose functions	
5.5 Slot and token management functions	
5.6 Session management functions	84
5.7 Object management functions	92
5.8 Encryption functions	
5.9 Decryption functions	
5.10 Message digesting functions	
5.11 Signing and MACing functions	111
5.12 Dual-function cryptographic functions	
5.13 Key management functions	
5.14 Random number generation functions	
5.15 Parallel function management functions	
5.16 Callback functions	
5.16.1 Surrender callbacks	
5.16.2 Vendor-defined callbacks	
6 PKCS #11 Implementation Conformance	141
Appendix A. Acknowledgments	142
Appendix B. Manifest constants	144
Appendix C. Revision History	150
1 Introduction	<u></u> 8
1.1 Terminology	8

	1.2 Definitions	<u></u> 8
	1.3 Symbols and abbreviations	<u></u> 10
	1.4 Normative References	<u>. </u> 12
	1.5 Non-Normative References	
2	Platform- and compiler-dependent directives for C or C++	<u></u> 16
	2.1 Structure packing	. <u>.</u> 16
	2.2 Pointer-related macros	<u></u> 16
<u>3</u>	General data types	17
	3.1 General information	<u>. </u> 19
	3.2 Slot and token types	20
	3.3 Session types	. <u>.</u> 26
	3.4 Object types	27
	3.5 Data types for mechanisms	<u>.</u> 31
	3.6 Function types	<u></u> 33
	3.7 Locking-related types	<u></u> 36
4	Objects	<u>.</u> 39
	4.1 Creating, modifying, and copying objects	40
	4.1.1 Creating objects	
	4.1.2 Modifying objects	<u>. </u> 41
	4.1.3 Copying objects	<u>. </u> 41
	4.2 Common attributes	. <u>.</u> 42
	4.3 Hardware Feature Objects	42
	4.3.1 Definitions	. <u>.</u> 42
	4.3.2 Overview	<u>.</u> 42
	4.3.3 Clock	. <u>4</u> 3
	4.3.4 Monotonic Counter Objects	<u>.</u> 43
	4.3.5 User Interface Objects	43
	4.4 Storage Objects	<u>.</u> 44
	4.5 Data objects	<u>.</u> 45
	4.5.1 Definitions	<u>.</u> 45
	4.5.2 Overview	<u>.</u> 45
	4.6 Certificate objects	<u>.</u> 46
	4.6.1 Definitions	46
	4.6.2 Overview	<u>.</u> 46
	4.6.3 X.509 public key certificate objects	<u>.</u> 47
	4.6.4 WTLS public key certificate objects	<u>.</u> 49
	4.6.5 X.509 attribute certificate objects	<u>.</u> 51
	4.7 Key objects	
	4.7.1 Definitions	
	4.7.2 Overview	<u>.</u> 52
	4.8 Public key objects	<u>.</u> 53
	4.9 Private key objects	<u>.</u> 54
	4.9.1 RSA private key objects	
	4.10 Secret key objects	
	4.11 Domain parameter objects	<u>.</u> 59

4.11.1 Definitions	<u></u> 59
4.11.2 Overview	<u></u> 60
4.12 Mechanism objects	<u></u> 60
4.12.1 Definitions	<u></u> 60
4.12.2 Overview	<u></u> 60
5 Functions	<u></u> 61
5.1 Function return values	<u></u> 64
5.1.1 Universal Cryptoki function return values	<u></u> 64
5.1.2 Cryptoki function return values for functions that use a session handle	<u></u> 65
5.1.3 Cryptoki function return values for functions that use a token	<u></u> 65
5.1.4 Special return value for application-supplied callbacks	<u></u> 65
5.1.5 Special return values for mutex-handling functions	<u></u> 66
5.1.6 All other Cryptoki function return values	<u></u> 66
5.1.7 More on relative priorities of Cryptoki errors	<u></u> 71
5.1.8 Error code "gotchas"	<u></u> 71
5.2 Conventions for functions returning output in a variable-length buffer	<u></u> 71
5.3 Disclaimer concerning sample code	<u></u> 72
5.4 General-purpose functions	<u></u> 72
5.5 Slot and token management functions	<u></u> 75
5.6 Session management functions	<u></u> 84
5.7 Object management functions	<u></u> 92
5.8 Encryption functions	<u></u> 101
5.9 Decryption functions	<u></u> 105
5.10 Message digesting functions	<u></u> 108
5.11 Signing and MACing functions	<u></u> 111
5.12 Dual-function cryptographic functions	<u></u> 119
5.13 Key management functions	<u></u> 130
5.14 Random number generation functions	<u></u> 138
5.15 Parallel function management functions	<u></u> 139
5.16 Callback functions	<u></u> 140
5.16.1 Surrender callbacks	<u></u> 140
5.16.2 Vendor-defined callbacks	<u></u> 140
6 PKCS #11 Implementation Conformance	
Appendix A. Acknowledgments	<u></u> 142
Appendix B. Manifest constants	<u></u> 144
Appendix C. Revision History	<u></u> 150

1 Introduction

This document describes the basic PKCS#11 token interface and token behavior.

ThisThe PKCS#11 standard specifies an application programming interface (API), called "Cryptoki," tofor devices whichthat hold cryptographic information and perform cryptographic functions. Cryptoki follows a simple object -based approach, addressing the goals of technology independence (any kind of device) and resource sharing (multiple applications accessing multiple devices), presenting to applications a common, logical view of the device called a "cryptographic token".

This document specifies the data types and functions available to an application requiring cryptographic services using the ANSI C programming language. These data types and functions will typically be provided via C header files by the The supplier of a Cryptoki library- implementation typically provides these data types and functions via ANSI C header files. Generic ANSI C header files for Cryptoki are available from the PKCS-Web<u>#11 web</u> page. This document and up-to-date errata for Cryptoki will also be available from the same place.

Additional documents may provide a generic, language-independent Cryptoki interface and/or bindings between Cryptoki and other programming languages.

Cryptoki isolates an application from the details of the cryptographic device. The application does not have to change to interface to a different type of device or to run in a different environment; thus, the application is portable. How Cryptoki provides this isolation is beyond the scope of this document, although some conventions for the support of multiple types of device will be addressed here and possibly in a separate document.

Details of cryptographic mechanisms (algorithms) may be found in the associated document-PKCS#11 Mechanisms documents.

Cryptoki is intended for cryptographic devices associated with a single user, so some features that might be included in a general-purpose interface are omitted. For example, Cryptoki does not have a means of distinguishing multiple users. The focus is on a single user's keys and perhaps a small number of certificates related to them. Moreover, the emphasis is on cryptography. While the device may perform useful non-cryptographic functions, such functions are left to other interfaces.

1.1 Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in **[RFC2119]**.

1.2 Definitions

For the purposes of this standard, the following definitions apply:

API	Application programming interface.
Application	Any computer program that calls the Cryptoki interface.
ASN.1	Abstract Syntax Notation One, as defined in X.680.
Attribute	A characteristic of an object.
BER	Basic Encoding Rules, as defined in X.690.
CBC	Cipher-Block Chaining mode, as defined in FIPS PUB 81.
Certificate	A signed message binding a subject name and a public key, or a subject name and a set of attributes.
CMS	Cryptographic Message Syntax (see RFC 26305652)

Cryptographic Device	A device storing cryptographic information and possibly performing cryptographic functions. May be implemented as a smart card, smart disk, PCMCIA card, or with some other technology, including software-only.
Cryptoki	The Cryptographic Token Interface defined in this standard.
Cryptoki library	A library that implements the functions specified in this standard.
DER	Distinguished Encoding Rules, as defined in X.690.
DES	Data Encryption Standard, as defined in FIPS PUB 46-3.
DSA	Digital Signature Algorithm, as defined in FIPS PUB 186-24.
EC	Elliptic Curve
ECB	Electronic Codebook mode, as defined in FIPS PUB 81.
IV	Initialization Vector.
MAC	Message Authentication Code.
Mechanism	A process for implementing a cryptographic operation.
Object	An item that is stored on a token. May be data, a certificate, or a key.
PIN	Personal Identification Number.
PKCS	Public-Key Cryptography Standards.
PRF	Pseudo random function.
PTD	Personal Trusted Device, as defined in MeT-PTD
RSA	The RSA public-key cryptosystem.
Reader	The means by which information is exchanged with a device.
Session	A logical connection between an application and a token.
Slot	A logical reader that potentially contains a token.
SSL	The Secure Sockets Layer 3.0 protocol.
Subject Name	The X.500 distinguished name of the entity to which a key is assigned.
SO	A Security Officer user.
TLS	Transport Layer Security.
Token	The logical view of a cryptographic device defined by Cryptoki.
User	The person using an application that interfaces to Cryptoki.
UTF-8	Universal Character Set (UCS) transformation format (UTF) that represents ISO 10646 and UNICODE strings with a variable number of octets.
WIM	Wireless Identification Module.
WTLS	Wireless Transport Layer Security.

1.3 Symbols and abbreviations

The following symbols are used in this standard:

Table 1, Symbols

Symbol	Definition
N/A	Not applicable
R/O	Read-only
R/W	Read/write

The following prefixes are used in this standard:

Table 2, Prefixes

Prefix	Description
C_	Function
CK_	Data type or general constant
CKA_	Attribute
CKC_	Certificate type
CKD_	Key derivation function
CKF_	Bit flag
CKG_	Mask generation function
CKH_	Hardware feature type
CKK_	Key type
CKM_	Mechanism type
CKN_	Notification
CKO_	Object class
CKP_	Pseudo-random function
CKS_	Session state
CKR_	Return value
CKU_	User type
CKZ_	Salt/Encoding parameter source
h	a handle
ul	a CK_ULONG
р	a pointer
pb	a pointer to a CK_BYTE
ph	a pointer to a handle
pul	a pointer to a CK_ULONG

Cryptoki is based on ANSI C types, and defines the following data types:

```
/* an unsigned 8-bit value */
typedef unsigned char CK BYTE;
/* an unsigned 8-bit character */
typedef CK_BYTE CK_CHAR;
/* an 8-bit UTF-8 character */
```

typedef CK BYTE CK UTF8CHAR;

```
/* a BYTE-sized Boolean flag */
typedef CK_BYTE CK_BBOOL;
/* an unsigned value, at least 32 bits long */
typedef unsigned long int CK_ULONG;
/* a signed value, the same size as a CK_ULONG */
typedef long int CK_LONG;
/* at least 32 bits; each bit is a Boolean flag */
typedef CK_ULONG CK_FLAGS;
```

Cryptoki also uses pointers to some of these data types, as well as to the type void, which are implementation-dependent. These pointer types are:

```
CK_BYTE_PTR /* Pointer to a CK_BYTE */
CK_CHAR_PTR /* Pointer to a CK_CHAR */
CK_UTF8CHAR_PTR /* Pointer to a CK_UTF8CHAR */
CK_ULONG_PTR /* Pointer to a CK_ULONG */
CK_VOID_PTR /* Pointer to a void */
```

Cryptoki also defines a pointer to a CK_VOID_PTR, which is implementation-dependent:

```
CK_VOID_PTR_PTR /* Pointer to a CK_VOID_PTR */
```

In addition, Cryptoki defines a C-style NULL pointer, which is distinct from any valid pointer:

NULL_PTR /* A NULL pointer */

It follows that many of the data and pointer types will vary somewhat from one environment to another (*e.g.*, a CK_ULONG will sometimes be 32 bits, and sometimes perhaps 64 bits). However, these details should not affect an application, assuming it is compiled with Cryptoki header files consistent with the Cryptoki library to which the application is linked.

All numbers and values expressed in this document are decimal, unless they are preceded by "0x", in which case they are hexadecimal values.

The **CK_CHAR** data type holds characters from the following table, taken from ANSI C:

Table 3, Character Set

Category	Characters
Letters	ABCDEFGHIJKLMNOPQRSTUVWXYZabcd efghijklmnopqrstuvwxyz
Numbers	0123456789
Graphic characters	! " # % & ' () * + , / : ; < = > ? [\] ^_{ [\] } ~
Blank character	((

The **CK_UTF8CHAR** data type holds UTF-8 encoded Unicode characters as specified in RFC2279. UTF-8 allows internationalization while maintaining backward compatibility with the Local String definition of PKCS #11 version 2.01.

In Cryptoki, the **CK_BBOOL** data type is a Boolean type that can be true or false. A zero value means false, and a nonzero value means true. Similarly, an individual bit flag, **CKF**_..., can also be set (true) or unset (false). For convenience, Cryptoki defines the following macros for use with values of type **CK_BBOOL**:

```
#define CK_FALSE 0
#define CK_TRUE 1
```

For backwards compatibility, header files for this version of Cryptoki also define TRUE and FALSE as (CK_DISABLE_TRUE_FALSE may be set by the application vendor):

```
#ifndef CK_DISABLE_TRUE_FALSE
#ifndef FALSE
#define FALSE CK_FALSE
#endif
#ifndef TRUE
#define TRUE CK_TRUE
#endif
#endif
```

1.4 Normative References

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	wd08 27 October 2013 Working Draft
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	wd03 27 October 2013 Working Draft
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2 Platform- and compiler-dependent directives for C or C++

There is a large array of Cryptoki-related data types which<u>that</u> are defined in the Cryptoki header files. Certain packing and pointer-related aspects of these types are platform and compiler-dependent; these aspects are therefore resolved on a platform-by-platform (or compiler-by-compiler) basis outside of the Cryptoki header files by means of preprocessor directives.

This means that when writing C or C++ code, certain preprocessor directives <u>mustMUST</u> be issued before including a Cryptoki header file. These directives are described in the remainder of Section 6.

2.1 Structure packing

Cryptoki structures are packed to occupy as little space as is possible. In particular, on the Windows platforms, Cryptoki structures should<u>SHALL</u> be packed with 1-byte alignment. In a UNIX environment, it may or may not be necessary (or even possible) to alter the byte-alignment of structures.

2.2 Pointer-related macros

Because different platforms and compilers have different ways of dealing with different types of pointers, Cryptoki requires the following 6 macros to SHALL be set outside the scope of Cryptoki:

• CK_PTR

CK_PTR is the "indirection string" a given platform and compiler uses to make a pointer to an object. It is used in the following fashion:

```
typedef CK_BYTE CK_PTR CK_BYTE_PTR;
```

♦ CK_DEFINE_FUNCTION

CK_DEFINE_FUNCTION (returnType, name), when followed by a parentheses-enclosed list of arguments and a function definition, defines a Cryptoki API function in a Cryptoki library. returnType is the return type of the function, and name is its name. It is SHALL be used in the following fashion:

```
CK_DEFINE_FUNCTION(CK_RV, C_Initialize)(
    CK_VOID_PTR pReserved
)
{
    ...
}
```

• CK_DECLARE_FUNCTION

CK_DECLARE_FUNCTION (returnType, name), when followed by a parentheses-enclosed list of arguments and a semicolon, declares a Cryptoki API function in a Cryptoki library. returnType is the return type of the function, and name is its name. It <u>isSHALL be</u> used in the following fashion:

```
CK_DECLARE_FUNCTION(CK_RV, C_Initialize)(
    CK_VOID_PTR pReserved
);
```

• CK_DECLARE_FUNCTION_POINTER

CK_DECLARE_FUNCTION_POINTER (returnType, name), when followed by a parentheses-enclosed list of arguments and a semicolon, declares a variable or type which is a pointer to a Cryptoki API function in a Cryptoki library. returnType is the return type of the function, and name is its name. It <u>canSHALL</u> be used in either of the following fashions to define a function pointer variable, myC_Initialize, which can point to a C_Initialize function in a Cryptoki library (note that neither of the following code snippets actually assigns a value to myC_Initialize):

```
CK_DECLARE_FUNCTION_POINTER(CK_RV, myC_Initialize)(
    CK_VOID_PTR pReserved
);
```

or:

```
typedef CK_DECLARE_FUNCTION_POINTER(CK_RV, myC_InitializeType)(
    CK_VOID_PTR pReserved
);
myC_InitializeType myC_Initialize;
```

• CK_CALLBACK_FUNCTION

CK_CALLBACK_FUNCTION (returnType, name), when followed by a parentheses-enclosed list of arguments and a semicolon, declares a variable or type which is a pointer to an application callback function that can be used by a Cryptoki API function in a Cryptoki library. returnType is the return type of the function, and name is its name. It <u>canSHALL</u> be used in either of the following fashions to define a function pointer variable, myCallback, which can point to an application callback which takes arguments args and returns a CK_RV (note that neither of the following code snippets actually assigns a value to myCallback):

```
CK_CALLBACK_FUNCTION(CK_RV, myCallback)(args);
```

or:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, myCallbackType)(args);
myCallbackType myCallback;
```

NULL_PTR

NULL_PTR is the value of a NULL pointer. In any ANSI C environment—and in many others as well— NULL_PTR shouldSHALL be defined simply as 0.

2.3 Sample platform- and compiler-dependent code

2.3.1 Win32

Developers using Microsoft Developer Studio 5.0 to produce C or C++ code which implements or makes use of a Win32 Cryptoki DLL might issue the following directives before including any Cryptoki header files:

```
#pragma pack(push, cryptoki, 1)
```

#define CK IMPORT SPEC declspec(dllimport)

/* Define CRYPTOKI_EXPORTS during the build of Cryptoki
-* libraries. Do not define it in applications.

```
*/
         YPTOKI EXPORTS
#define CK EXPORT SPEC declspec(dllexport)
telse
#define CK EXPORT SPEC CK IMPORT SPEC
#endif
/* Ensures the calling convention for Win32 builds */
#define CK CALL SPEC cdecl
#define CK PTR *
#define CK DEFINE FUNCTION(returnType, name)
 -returnType CK EXPORT SPEC CK CALL SPEC name
#define CK DECLARE FUNCTION(returnType, name) \
   turnType CK EXPORT SPEC CK CALL SPEC
#define CK DECLARE FUNCTION POINTER(returnType, name)
  returnType CK IMPORT SPEC (CK CALL SPEC CK PTR name)
#define CK CALLBACK FUNCTION(returnType, name)
 returnType (CK CALL SPEC CK PTR name)
#ifndef NULL PTR
#define NULL PTR 0
#endif
```

Hence the calling convention for all C_xxx functions should correspond to "cdecl" where function parameters are passed from right to left and the caller removes parameters from the stack when the call returns.

After including any Cryptoki header files, they might issue the following directives to reset the structure packing to its earlier value:

```
#pragma pack(pop, cryptoki)
```

2.3.2 Generic UNIX

Developers performing generic UNIX development might issue the following directives before including any Cryptoki header files:

3 General data types

The general Cryptoki data types are described in the following subsections. The data types for holding parameters for various mechanisms, and the pointers to those parameters, are not described here; these types are described with the information on the mechanisms themselves, in Section 12.

A C or C++ source file in a Cryptoki application or library can define all these types (the types described here and the types that are specifically used for particular mechanism parameters) by including the toplevel Cryptoki include file, pkcs11.h. pkcs11.h, in turn, includes the other Cryptoki include files, pkcs11t.h and pkcs11f.h. A source file can also include just pkcs11t.h (instead of pkcs11.h); this defines most (but not all) of the types specified here.

When including either of these header files, a source file <u>mustMUST</u> specify the preprocessor directives indicated in Section 2.

3.1 General information

Cryptoki represents general information with the following types:

♦ CK_VERSION; CK_VERSION_PTR

CK_VERSION is a structure that describes the version of a Cryptoki interface, a Cryptoki library, or an SSL implementation, or the hardware or firmware version of a slot or token. It is defined as follows:

```
typedef struct CK_VERSION {
    CK_BYTE major;
    CK_BYTE minor;
} CK_VERSION;
```

The fields of the structure have the following meanings:

major major version number (the integer portion of the version)

minor minor version number (the hundredths portion of the version)

Example: For version 1.0, *major* = 1 and *minor* = 0. For version 2.10, *major* = 2 and *minor* = 10. Table 4 below lists the major and minor version values for the officially published Cryptoki specifications.

Table 4, Major and minor version values for published Cryptoki specifications

Version	major	minor
1.0	0x01	0x00
2.01	0x02	0x01
2.10	0x02	0x0a
2.11	0x02	0x0b
2.20	0x02	0x14
2.30	0x02	0x1e
2.40	0x02	0x28

Minor revisions of the Cryptoki standard are always upwardly compatible within the same major version number.

CK_VERSION_PTR is a pointer to a CK_VERSION.

• CK_INFO; CK_INFO_PTR

CK_INFO provides general information about Cryptoki. It is defined as follows:

```
typedef struct CK_INFO {
   CK_VERSION cryptokiVersion;
   CK_UTF8CHAR manufacturerID[32];
   CK_FLAGS flags;
   CK_UTF8CHAR libraryDescription[32];
   CK_VERSION libraryVersion;
} CK_INFO;
```

The fields of the structure have the following meanings:

cryptokiVersion	Cryptoki interface version number, for compatibility with future revisions of this interface
manufacturerID	ID of the Cryptoki library manufacturer. MustMUST be padded with the blank character (' '). Should <i>not</i> be null-terminated.
flags	bit flags reserved for future versions. Must <u>MUST</u> be zero for this version
libraryDescription	character-string description of the library. MustMUST be padded with the blank character (' '). Should <i>not</i> be null-terminated.
libraryVersion	Cryptoki library version number

For libraries written to this document, the value of *cryptokiVersion* should match the version of this specification; the value of *libraryVersion* is the version number of the library software itself.

CK_INFO_PTR is a pointer to a CK_INFO.

♦ CK_NOTIFICATION

CK_NOTIFICATION holds the types of notifications that Cryptoki provides to an application. It is defined as follows:

typedef CK_ULONG CK_NOTIFICATION;

For this version of Cryptoki, the following types of notifications are defined:

CKN_SURRENDER

The notifications have the following meanings:

CKN_SURRENDER Cryptoki is surrendering the execution of a function executing in a session so that the application may perform other operations. After performing any desired operations, the application should indicate to Cryptoki whether to continue or cancel the function (see Section 5.16.1).

3.2 Slot and token types

Cryptoki represents slot and token information with the following types:

• CK_SLOT_ID; CK_SLOT_ID_PTR

CK_SLOT_ID is a Cryptoki-assigned value that identifies a slot. It is defined as follows:

```
typedef CK_ULONG CK_SLOT_ID;
```

A list of **CK_SLOT_ID**s is returned by **C_GetSlotList**. A priori, *any* value of **CK_SLOT_ID** can be a valid slot identifier—in particular, a system may have a slot identified by the value 0. It need not have such a slot, however.

CK_SLOT_ID_PTR is a pointer to a CK_SLOT_ID.

◆ CK_SLOT_INFO; CK_SLOT_INFO_PTR

CK_SLOT_INFO provides information about a slot. It is defined as follows:

```
typedef struct CK_SLOT_INFO {
    CK_UTF8CHAR slotDescription[64];
    CK_UTF8CHAR manufacturerID[32];
    CK_FLAGS flags;
    CK_VERSION hardwareVersion;
    CK_VERSION firmwareVersion;
} CK_SLOT_INFO;
```

The fields of the structure have the following meanings:

slotDescription	character-string description of the slot. <u>MustMUST</u> be padded with the blank character (' '). <u>Should <i>not</i>MUST NOT</u> be null-terminated.
manufacturerID	ID of the slot manufacturer. MustMUST be padded with the blank character (' '). Should notMUST NOT be null-terminated.
flags	bits flags that provide capabilities of the slot. The flags are defined below
hardwareVersion	version number of the slot's hardware
firmwareVersion	version number of the slot's firmware

The following table defines the *flags* field:

Table 5, Slot Information Flags

Bit Flag	Mask	Meaning
CKF_TOKEN_PRESENT	0x00000001	True if a token is present in the slot (<i>e.g.</i> , a device is in the reader)
CKF_REMOVABLE_DEVICE	0x00000002	True if the reader supports removable devices
CKF_HW_SLOT	0x00000004	True if the slot is a hardware slot, as opposed to a software slot implementing a "soft token"

For a given slot, the value of the **CKF_REMOVABLE_DEVICE** flag *never changes*. In addition, if this flag is not set for a given slot, then the **CKF_TOKEN_PRESENT** flag for that slot is *always* set. That is, if a slot does not support a removable device, then that slot always has a token in it.

CK_SLOT_INFO_PTR is a pointer to a CK_SLOT_INFO.

• CK_TOKEN_INFO; CK_TOKEN_INFO_PTR

CK_TOKEN_INFO provides information about a token. It is defined as follows:

```
typedef struct CK_TOKEN_INFO {
    CK_UTF8CHAR label[32];
```

```
CK UTF8CHAR manufacturerID[32];
 CK_UTF8CHAR model[16];
 CK_CHAR serialNumber[16];
 CK_FLAGS flags;
 CK ULONG ulMaxSessionCount;
 CK_ULONG ulSessionCount;
 CK_ULONG ulMaxRwSessionCount;
 CK ULONG ulRwSessionCount;
 CK ULONG ulMaxPinLen;
 CK ULONG ulMinPinLen;
 CK ULONG ulTotalPublicMemory;
 CK ULONG ulFreePublicMemory;
 CK ULONG ulTotalPrivateMemory;
 CK ULONG ulFreePrivateMemory;
 CK_VERSION hardwareVersion;
 CK_VERSION firmwareVersion;
 CK_CHAR utcTime[16];
} CK TOKEN INFO;
```

The fields of the structure have the following meanings:

	label	application-defined label, assigned during token initialization. <u>MustMUST</u> be padded with the blank character (' '). <u>Should</u> <u>notMUST NOT</u> be null-terminated.
	manufacturerID	ID of the device manufacturer. MustMUST be padded with the blank character (' '). Should not MUST NOT be null-terminated.
	model	model of the device. MustMUST be padded with the blank character (' '). Should notMUST NOT be null-terminated.
	serialNumber	character-string serial number of the device. MustMUST be padded with the blank character (' '). Should not MUST NOT be null-terminated.
	flags	bit flags indicating capabilities and status of the device as defined below
	ulMaxSessionCount	maximum number of sessions that can be opened with the token at one time by a single application (see noteCK_TOKEN_INFO Note below)
	ulSessionCount	number of sessions that this application currently has open with the token (see noteCK_TOKEN_INFO Note below)
	ulMaxRwSessionCount	maximum number of read/write sessions that can be opened with the token at one time by a single application (see note CK_TOKEN_INFO Note below)
	ulRwSessionCount	number of read/write sessions that this application currently has open with the token (see note CK TOKEN INFO Note below)
ļ	ulMaxPinLen	maximum length in bytes of the PIN
	ulMinPinLen	minimum length in bytes of the PIN
	ulTotalPublicMemory	the total amount of memory on the token in bytes in which public objects may be stored (see noteCK_TOKEN_INFO Note below)

ulFreePublicMemory	the amount of free (unused) memory on the token in bytes for public objects (see noteCK_TOKEN_INFO Note below)	
ulTotalPrivateMemory	the total amount of memory on the token in bytes in which private objects may be stored (see noteCK_TOKEN_INFO Note below)	
ulFreePrivateMemory	the amount of free (unused) memory on the token in bytes for private objects (see note <u>CK_TOKEN_INFO_Note</u> below)	
hardwareVersion	version number of hardware	
firmwareVersion	version number of firmware	
utcTime	current time as a character-string of length 16, represented in the format YYYYMMDDhhmmssxx (4 characters for the year; 2 characters each for the month, the day, the hour, the minute, and the second; and 2 additional reserved '0' characters). The value of this field only makes sense for tokens equipped with a clock, as indicated in the token information flags (see below)	

The following table defines the *flags* field:

Table 6, Token Information Flags

Bit Flag	Mask	Meaning
CKF_RNG	0x0000001	True if the token has its own random number generator
CKF_WRITE_PROTECTED	0x0000002	True if the token is write- protected (see below)
CKF_LOGIN_REQUIRED	0x00000004	True if there are some cryptographic functions that a user <u>mustMUST</u> be logged in to perform
CKF_USER_PIN_INITIALIZED	0x0000008	True if the normal user's PIN has been initialized
CKF_RESTORE_KEY_NOT_NEEDED	0x00000020	True if a successful save of a session's cryptographic operations state <i>always</i> contains all keys needed to restore the state of the session
CKF_CLOCK_ON_TOKEN	0x00000040	True if token has its own hardware clock
CKF_PROTECTED_AUTHENTICATION_PA TH	0x00000100	True if token has a "protected authentication path", whereby a user can log into the token without passing a PIN through the Cryptoki library
CKF_DUAL_CRYPTO_OPERATIONS	0x00000200	True if a single session with the token can perform dual cryptographic operations (see Section 5.12)
CKF_TOKEN_INITIALIZED	0x00000400	True if the token has been initialized using C_InitToken or an equivalent mechanism outside the scope of this standard. Calling C_InitToken when this flag is set will cause the token to be reinitialized.
CKF_SECONDARY_AUTHENTICATION	0x00000800	True if the token supports secondary authentication for private key objects. (Deprecated; new implementations MUST NOT set this flag)
CKF_USER_PIN_COUNT_LOW	0x00010000	True if an incorrect user login PIN has been entered at least once since the last successful authentication.
CKF_USER_PIN_FINAL_TRY	0x00020000	True if supplying an incorrect user PIN will cause it to become locked.
CKF_USER_PIN_LOCKED	0x00040000	True if the user PIN has been locked. User login to the token is not possible.

Bit Flag	Mask	Meaning
CKF_USER_PIN_TO_BE_CHANGED	0x00080000	True if the user PIN value is the default value set by token initialization or manufacturing, or the PIN has been expired by the card.
CKF_SO_PIN_COUNT_LOW	0x00100000	True if an incorrect SO login PIN has been entered at least once since the last successful authentication.
CKF_SO_PIN_FINAL_TRY	0x00200000	True if supplying an incorrect SO PIN will cause it to become locked.
CKF_SO_PIN_LOCKED	0x00400000	True if the SO PIN has been locked. SO login to the token is not possible.
CKF_SO_PIN_TO_BE_CHANGED	0x00800000	True if the SO PIN value is the default value set by token initialization or manufacturing, or the PIN has been expired by the card.
CKF_ERROR_STATE	0x01000000	True if the token failed a FIPS 140-2 self-test and entered an error state.

Exactly what the **CKF_WRITE_PROTECTED** flag means is not specified in Cryptoki. An application may be unable to perform certain actions on a write-protected token; these actions can include any of the following, among others:

- Creating/modifying/deleting any object on the token.
- Creating/modifying/deleting a token object on the token.
- Changing the SO's PIN.
- Changing the normal user's PIN.

The token may change the value of the **CKF_WRITE_PROTECTED** flag depending on the session state to implement its object management policy. For instance, the token may set the

CKF_WRITE_PROTECTED flag unless the session state is R/W SO or R/W User to implement a policy that does not allow any objects, public or private, to be created, modified, or deleted unless the user has successfully called C_Login.

The CKF_USER_PIN_COUNT_LOW, CKF_USER_PIN_COUNT_LOW, CKF_USER_PIN_FINAL_TRY, and CKF_SO_PIN_FINAL_TRY flags may always be set to false if the token does not support the functionality or will not reveal the information because of its security policy.

The CKF_USER_PIN_TO_BE_CHANGED and CKF_SO_PIN_TO_BE_CHANGED flags may always be set to false if the token does not support the functionality. If a PIN is set to the default value, or has expired, the appropriate CKF_USER_PIN_TO_BE_CHANGED or CKF_SO_PIN_TO_BE_CHANGED flag is set to true. When either of these flags are true, logging in with the corresponding PIN will succeed, but only the C_SetPIN function can be called. Calling any other function that required the user to be logged in will cause CKR_PIN_EXPIRED to be returned until C_SetPIN is called successfully.

<u>CK_TOKEN_INFO_Note</u>: The fields ulMaxSessionCount, ulSessionCount, ulMaxRwSessionCount, ulRwSessionCount, ulTotalPublicMemory, ulFreePublicMemory, ulTotalPrivateMemory, and ulFreePrivateMemory can have the special value CK_UNAVAILABLE_INFORMATION, which means that the token and/or library is unable or unwilling to provide that information. In addition, the fields ulMaxSessionCount and ulMaxRwSessionCount can have the special value

CK_EFFECTIVELY_INFINITE, which means that there is no practical limit on the number of sessions (resp. R/W sessions) an application can have open with the token.

It is important to check these fields for these special values. This is particularly true for CK_EFFECTIVELY_INFINITE, since an application seeing this value in the ulMaxSessionCount or ulMaxRwSessionCount field would otherwise conclude that it can't open any sessions with the token, which is far from being the case.

The upshot of all this is that the correct way to interpret (for example) the ulMaxSessionCount field is something along the lines of the following:

```
CK_TOKEN_INFO info;
.
.
if ((CK_LONG) info.ulMaxSessionCount
    == CK_UNAVAILABLE_INFORMATION) {
    /* Token refuses to give value of ulMaxSessionCount */
    .
} else if (info.ulMaxSessionCount == CK_EFFECTIVELY_INFINITE) {
    /* Application can open as many sessions as it wants */
    .
} else {
    /* ulMaxSessionCount really does contain what it should */
    .
}
```

CK_TOKEN_INFO_PTR is a pointer to a CK_TOKEN_INFO.

3.3 Session types

Cryptoki represents session information with the following types:

♦ CK_SESSION_HANDLE; CK_SESSION_HANDLE_PTR

CK_SESSION_HANDLE is a Cryptoki-assigned value that identifies a session. It is defined as follows:

```
typedef CK_ULONG CK_SESSION_HANDLE;
```

Valid session handles in Cryptoki always have nonzero values. For developers' convenience, Cryptoki defines the following symbolic value:

CK_INVALID_HANDLE

CK_SESSION_HANDLE_PTR is a pointer to a CK_SESSION_HANDLE.

• CK_USER_TYPE

CK_USER_TYPE holds the types of Cryptoki users described in **[PKCS11-UG]** and, in addition, a context-specific type described in Section 4.9. It is defined as follows:

typedef CK_ULONG CK_USER_TYPE;

For this version of Cryptoki, the following types of users are defined:

```
CKU_SO
CKU_USER
CKU_CONTEXT_SPECIFIC
```

CK_STATE

CK_STATE holds the session state, as described in [PKCS11-UG]. It is defined as follows:

typedef CK_ULONG CK_STATE;

For this version of Cryptoki, the following session states are defined:

CKS RO_PUBLIC_SESSION CKS_RO_USER_FUNCTIONS CKS_RW_PUBLIC_SESSION CKS_RW_USER_FUNCTIONS CKS_RW_SO_FUNCTIONS

• CK_SESSION_INFO; CK_SESSION_INFO_PTR

CK_SESSION_INFO provides information about a session. It is defined as follows:

```
typedef struct CK_SESSION_INFO {
   CK_SLOT_ID slotID;
   CK_STATE state;
   CK_FLAGS flags;
   CK_ULONG ulDeviceError;
} CK_SESSION_INFO;
```

The fields of the structure have the following meanings:

slotID	ID of the slot that interfaces with the token
state	the state of the session
flags	bit flags that define the type of session; the flags are defined below
ulDeviceError	an error code defined by the cryptographic device. Used for errors not covered by Cryptoki.

The following table defines the flags field:

Table 7, Session Information Flags

Bit Flag	Mask	Meaning
CKF_RW_SESSION	0x00000002	True if the session is read/write; false if the session is read-only
CKF_SERIAL_SESSION 0x00000		This flag is provided for backward compatibility, and should always be set to true

CK_SESSION_INFO_PTR is a pointer to a CK_SESSION_INFO.

3.4 Object types

Cryptoki represents object information with the following types:

♦ CK_OBJECT_HANDLE; CK_OBJECT_HANDLE_PTR

CK_OBJECT_HANDLE is a token-specific identifier for an object. It is defined as follows:

```
typedef CK ULONG CK OBJECT HANDLE;
```

When an object is created or found on a token by an application, Cryptoki assigns it an object handle for that application's sessions to use to access it. A particular object on a token does not necessarily have a handle which is fixed for the lifetime of the object; however, if a particular session can use a particular handle to access a particular object, then that session will continue to be able to use that handle to access that object as long as the session continues to exist, the object continues to exist, and the object continues to be accessible to the session.

Valid object handles in Cryptoki always have nonzero values. For developers' convenience, Cryptoki defines the following symbolic value:

CK_INVALID_HANDLE

CK_OBJECT_HANDLE_PTR is a pointer to a CK_OBJECT_HANDLE.

♦ CK_OBJECT_CLASS; CK_OBJECT_CLASS_PTR

CK_OBJECT_CLASS is a value that identifies the classes (or types) of objects that Cryptoki recognizes. It is defined as follows:

typedef CK_ULONG CK_OBJECT_CLASS;

Object classes are defined with the objects that use them. The type is specified on an object through the CKA_CLASS attribute of the object.

Vendor defined values for this type may also be specified.

CKO_VENDOR_ DEFINED

Object classes **CKO_VENDOR_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their object classes through the PKCS process.

CK_OBJECT_CLASS_PTR is a pointer to a CK_OBJECT_CLASS.

♦ CK_HW_FEATURE_TYPE

CK_HW_FEATURE_TYPE is a value that identifies a hardware feature type of a device. It is defined as follows:

typedef CK_ULONG CK_HW_FEATURE_TYPE;

Hardware feature types are defined with the objects that use them. The type is specified on an object through the CKA_HW_FEATURE_TYPE attribute of the object.

Vendor defined values for this type may also be specified.

CKH_VENDOR_DEFINED

Feature types **CKH_VENDOR_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their feature types through the PKCS process.

• CK_KEY_TYPE

CK_KEY_TYPE is a value that identifies a key type. It is defined as follows:

typedef CK_ULONG CK_KEY_TYPE;

Key types are defined with the objects and mechanisms that use them. The key type is specified on an object through the CKA_KEY_TYPE attribute of the object.

Vendor defined values for this type may also be specified.

CKK_VENDOR_DEFINED

Key types **CKK_VENDOR_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their key types through the PKCS process.

• CK_CERTIFICATE_TYPE

CK_CERTIFICATE_TYPE is a value that identifies a certificate type. It is defined as follows:

```
typedef CK_ULONG CK_CERTIFICATE_TYPE;
```

Certificate types are defined with the objects and mechanisms that use them. The certificate type is specified on an object through the CKA_CERTIFICATE_TYPE attribute of the object.

Vendor defined values for this type may also be specified.

CKC_VENDOR_DEFINED

Certificate types **CKC_VENDOR_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their certificate types through the PKCS process.

CK_CERTIFICATE_CATEGORY

CK_CERTIFICATE_CATEGORY is a value that identifies a certificate category. It is defined as follows:

typedef CK_ULONG CK_CERTIFICATE_CATEGORY;

For this version of Cryptoki, the following certificate categories are defined:

Constant	Value	Meaning
CK_CERTIFICATE_CATEGORY_UNSPECIFIED	0x00000000UL	No category specified
CK_CERTIFICATE_CATEGORY_TOKEN_USER	0x00000001UL	Certificate belongs to owner of the token
CK_CERTIFICATE_CATEGORY_AUTHORITY	0x00000002UL	Certificate belongs to a certificate authority
CK_CERTIFICATE_CATEGORY_OTHER_ENTITY	0x00000003UL	Certificate belongs to an end entity (i.e.: not a CA)

♦ CK_ATTRIBUTE_TYPE

CK_ATTRIBUTE_TYPE is a value that identifies an attribute type. It is defined as follows:

typedef CK_ULONG CK_ATTRIBUTE_TYPE;

Attributes are defined with the objects and mechanisms that use them. Attributes are specified on an object as a list of type, length value items. These are often specified as an attribute template.

Vendor defined values for this type may also be specified.

CKA_VENDOR_DEFINED

Attribute types **CKA_VENDOR_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their attribute types through the PKCS process.

♦ CK_ATTRIBUTE; CK_ATTRIBUTE_PTR

CK_ATTRIBUTE is a structure that includes the type, value, and length of an attribute. It is defined as follows:

```
typedef struct CK_ATTRIBUTE {
    CK_ATTRIBUTE_TYPE type;
    CK_VOID_PTR pValue;
    CK_ULONG ulValueLen;
} CK_ATTRIBUTE;
```

The fields of the structure have the following meanings:

type	the attribute type
pValue	pointer to the value of the attribute
ulValueLen	length in bytes of the value

If an attribute has no value, then *ulValueLen* = 0, and the value of *pValue* is irrelevant. An array of **CK_ATTRIBUTE**s is called a "template" and is used for creating, manipulating and searching for objects. The order of the attributes in a template *never* matters, even if the template contains vendor-specific attributes. Note that *pValue* is a "void" pointer, facilitating the passing of arbitrary values. Both the application and Cryptoki library must<u>MUST</u> ensure that the pointer can be safely cast to the expected type (*i.e.*, without word-alignment errors).

The constant CK_UNAVAILABLE_INFORMATION is used in the ulValueLen field to denote an invalid or unavailable value. See C_GetAttributeValue for further details.

CK_ATTRIBUTE_PTR is a pointer to a CK_ATTRIBUTE.

• CK_DATE

CK_DATE is a structure that defines a date. It is defined as follows:

```
typedef struct CK_DATE {
   CK_CHAR year[4];
   CK_CHAR month[2];
   CK_CHAR day[2];
} CK_DATE;
```

The fields of the structure have the following meanings:

year the year ("1900" - "9999") month the month ("01" - "12") day the day ("01" - "31")

The fields hold numeric characters from the character set in Table 3, not the literal byte values.

When a Cryptoki object carries an attribute of this type, and the default value of the attribute is specified to be "empty," then Cryptoki libraries shallSHALL set the attribute's *ulValueLen* to 0.

Note that implementations of previous versions of Cryptoki may have used other methods to identify an "empty" attribute of type CK_DATE, and applications that needs to interoperate with these libraries therefore have to be flexible in what they accept as an empty value.

♦ CK_JAVA_MIDP_SECURITY_DOMAIN

CK_JAVA_MIDP_SECURITY_DOMAIN is a value that identifies the Java MIDP security domain of a certificate. It is defined as follows:

typedef CK ULONG CK JAVA MIDP SECURITY DOMAIN;

For this version of Cryptoki, the following security domains are defined. See the Java MIDP specification for further information:

Constant	Value	Meaning
CK_SECURITY_DOMAIN_UNSPECIFIED	0x0000000UL	No domain specified
CK_SECURITY_DOMAIN_MANUFACTURER	0x00000001UL	Manufacturer protection domain
CK_SECURITY_DOMAIN_OPERATOR	0x00000002UL	Operator protection domain
CK_SECURITY_DOMAIN_THIRD_PARTY	0x0000003UL	Third party protection domain

3.5 Data types for mechanisms

Cryptoki supports the following types for describing mechanisms and parameters to them:

• CK_MECHANISM_TYPE; CK_MECHANISM_TYPE_PTR

CK_MECHANISM_TYPE is a value that identifies a mechanism type. It is defined as follows:

typedef CK_ULONG CK_MECHANISM_TYPE;

Mechanism types are defined with the objects and mechanism descriptions that use them. Vendor defined values for this type may also be specified.

CKM_VENDOR_DEFINED

Mechanism types **CKM_VENDOR_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their mechanism types through the PKCS process. **CK MECHANISM TYPE PTR** is a pointer to a **CK MECHANISM TYPE**.

◆ CK_MECHANISM; CK_MECHANISM_PTR

CK_MECHANISM is a structure that specifies a particular mechanism and any parameters it requires. It is defined as follows:

```
typedef struct CK_MECHANISM {
    CK_MECHANISM_TYPE mechanism;
    CK_VOID_PTR pParameter;
    CK_ULONG ulParameterLen;
} CK_MECHANISM;
```

The fields of the structure have the following meanings:

mechanism the type of mechanism

pParameter pointer to the parameter if required by the mechanism

ulParameterLen length in bytes of the parameter

Note that *pParameter* is a "void" pointer, facilitating the passing of arbitrary values. Both the application and the Cryptoki library <u>mustMUST</u> ensure that the pointer can be safely cast to the expected type (*i.e.*, without word-alignment errors).

CK_MECHANISM_PTR is a pointer to a CK_MECHANISM.

CK_MECHANISM_INFO; CK_MECHANISM_INFO_PTR

CK_MECHANISM_INFO is a structure that provides information about a particular mechanism. It is defined as follows:

```
typedef struct CK_MECHANISM_INFO {
    CK_ULONG ulMinKeySize;
    CK_ULONG ulMaxKeySize;
    CK_FLAGS flags;
} CK_MECHANISM_INFO;
```

The fields of the structure have the following meanings:

flags	bit flags specifying mechanism capabilities
ulMaxKeySize	the maximum size of the key for the mechanism (whether this is measured in bits or in bytes is mechanism-dependent)
ulMinKeySize	the minimum size of the key for the mechanism (whether this is measured in bits or in bytes is mechanism-dependent)

For some mechanisms, the *ulMinKeySize* and *ulMaxKeySize* fields have meaningless values. The following table defines the *flags* field: Table 8, Mechanism Information Flags

Bit Flag	Mask	Meaning
CKF_HW	0x0000001	True if the mechanism is performed by the device; false if the mechanism is performed in software
CKF_ENCRYPT	0x00000100	True if the mechanism can be used with C_EncryptInit
CKF_DECRYPT	0x00000200	True if the mechanism can be used with C_DecryptInit
CKF_DIGEST	0x00000400	True if the mechanism can be used with C_DigestInit
CKF_SIGN	0x0000800	True if the mechanism can be used with C_SignInit
CKF_SIGN_RECOVER	0x00001000	True if the mechanism can be used with C_SignRecoverInit
CKF_VERIFY	0x00002000	True if the mechanism can be used with C_VerifyInit
CKF_VERIFY_RECOVER	0x00004000	True if the mechanism can be used with C_VerifyRecoverInit
CKF_GENERATE	0x00008000	True if the mechanism can be used with C_GenerateKey
CKF_GENERATE_KEY_PAIR	0x00010000	True if the mechanism can be used with C_GenerateKeyPair
CKF_WRAP	0x00020000	True if the mechanism can be used with C_WrapKey
CKF_UNWRAP	0x00040000	True if the mechanism can be used with C_UnwrapKey
CKF_DERIVE	0x00080000	True if the mechanism can be used with C_DeriveKey
CKF_EXTENSION	0x80000000	True if there is an extension to the flags; false if no extensions. <u>MustMUST</u> be false for this version.

CK_MECHANISM_INFO_PTR is a pointer to a CK_MECHANISM_INFO.

3.6 Function types

Cryptoki represents information about functions with the following data types:

♦ CK_RV

CK_RV is a value that identifies the return value of a Cryptoki function. It is defined as follows:

typedef CK_ULONG CK_RV;

Vendor defined values for this type may also be specified.

CKR_VENDOR_DEFINED

Section 5.1 defines the meaning of each **CK_RV** value. Return values **CKR_VENDOR_DEFINED** and above are permanently reserved for token vendors. For interoperability, vendors should register their return values through the PKCS process.

CK_NOTIFY

CK_NOTIFY is the type of a pointer to a function used by Cryptoki to perform notification callbacks. It is defined as follows:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, CK_NOTIFY)(
    CK_SESSION_HANDLE hSession,
    CK_NOTIFICATION event,
    CK_VOID_PTR pApplication
);
```

The arguments to a notification callback function have the following meanings:

hSession	The handle of the session performing the callback
event	The type of notification callback
pApplication	An application-defined value. This is the same value as was passed to C_OpenSession to open the session performing the callback

CK_C_XXX

Cryptoki also defines an entire family of other function pointer types. For each function C_XXX in the Cryptoki API (see Section 4.12 for detailed information about each of them), Cryptoki defines a type CK_C_XXX , which is a pointer to a function with the same arguments and return value as C_XXX has. An appropriately-set variable of type CK_C_XXX may be used by an application to call the Cryptoki function C_XXX .

CK_FUNCTION_LIST; CK_FUNCTION_LIST_PTR_PTR

CK_FUNCTION_LIST_PTR;

CK_FUNCTION_LIST is a structure which contains a Cryptoki version and a function pointer to each function in the Cryptoki API. It is defined as follows:

```
typedef struct CK FUNCTION LIST {
  CK VERSION version;
  CK C Initialize C Initialize;
  CK_C_Finalize C_Finalize;
  CK C GetInfo C GetInfo;
  CK C GetFunctionList C GetFunctionList;
  CK C GetSlotList C GetSlotList;
  CK_C_GetSlotInfo C_GetSlotInfo;
  CK C GetTokenInfo C GetTokenInfo;
  CK_C_GetMechanismList C_GetMechanismList;
CK_C_GetMechanismInfo C_GetMechanismInfo;
  CK C InitToken C InitToken;
  CK C InitPIN C InitPIN;
  CK C SetPIN C SetPIN;
  CK C OpenSession C OpenSession;
  CK C CloseSession C CloseSession;
  CK C CloseAllSessions C CloseAllSessions;
  CK C GetSessionInfo C GetSessionInfo;
  CK C GetOperationState C_GetOperationState;
  CK C SetOperationState C SetOperationState;
  CK_C_Login C_Login;
  CK C Logout C Logout;
  CK C CreateObject C CreateObject;
  CK_C_CopyObject C_CopyObject;
CK_C_DestroyObject C_DestroyObject;
  CK C GetObjectSize C GetObjectSize;
```

CK C GetAttributeValue C GetAttributeValue;	
CK C SetAttributeValue C SetAttributeValue;	
CK C FindObjectsInit C FindObjectsInit;	
CK C FindObjects C FindObjects;	
CK C FindObjectsFinal C FindObjectsFinal;	
CK_C_EncryptInit C_EncryptInit;	
CK_C_Encrypt C_Encrypt;	
CK_C_EncryptUpdate C_EncryptUpdate;	
CK_C_EncryptFinal C_EncryptFinal;	
CK_C_DecryptInit C_DecryptInit;	
CK_C_Decrypt C_Decrypt;	
CK_C_DecryptUpdate C_DecryptUpdate;	
CK C DecryptFinal C DecryptFinal;	
CK C DigestInit C DigestInit;	
CK C Digest C Digest;	
CK C DigestUpdate C DigestUpdate;	
CK C DigestKey C DigestKey;	
CK C DigestFinal C DigestFinal;	
CK C SignInit C SignInit;	
CK C Sign C Sign;	
CK C SignUpdate C SignUpdate;	
CK C SignFinal C SignFinal;	
CK C SignRecoverInit C SignRecoverInit;	
CK C SignRecover C SignRecover;	
CK C VerifyInit C VerifyInit;	
CK C Verify C Verify;	
CK C VerifyUpdate C VerifyUpdate;	
CK C VerifyFinal C VerifyFinal;	
CK_C_VerifyRecoverInit C_VerifyRecoverInit;	
CK_C_VerifyRecover C_VerifyRecover;	
CK_C_DigestEncryptUpdate C_DigestEncryptUpdate;	
CK_C_DecryptDigestUpdate C_DecryptDigestUpdate;	
CK_C_SignEncryptUpdate C_SignEncryptUpdate;	
CK_C_DecryptVerifyUpdate C_DecryptVerifyUpdate;	
CK_C_GenerateKey;	
CK_C_GenerateKeyPair C_GenerateKeyPair;	
CK_C_WrapKey C_WrapKey;	
CK_C_UnwrapKey C_UnwrapKey;	
CK C DeriveKey C DeriveKey;	
CK C SeedRandom C SeedRandom;	
CK C GenerateRandom C GenerateRandom;	
CK C GetFunctionStatus C GetFunctionStatus;	
CK C CancelFunction C CancelFunction;	
CK C WaitForSlotEvent C WaitForSlotEvent;	
CK FUNCTION LIST;	

Each Cryptoki library has a static **CK_FUNCTION_LIST** structure, and a pointer to it (or to a copy of it which is also owned by the library) may be obtained by the **C_GetFunctionList** function (see Section 5.2). The value that this pointer points to can be used by an application to quickly find out where the executable code for each function in the Cryptoki API is located. Every function in the Cryptoki API *must*<u>MUST</u> have an entry point defined in the Cryptoki library's **CK_FUNCTION_LIST** structure. If a particular function in the Cryptoki API is not supported by a library, then the function pointer for that function in the library's **CK_FUNCTION_LIST** structure should point to a function stub which simply returns CKR_FUNCTION_NOT_SUPPORTED.

In this structure 'version' is the cryptoki specification version number. It should match the value of 'cryptokiVersion' returned in the CK_INFO structure.

An application may or may not be able to modify a Cryptoki library's static **CK_FUNCTION_LIST** structure. Whether or not it can, it should never attempt to do so.

CK_FUNCTION_LIST_PTR is a pointer to a CK_FUNCTION_LIST.

CK_FUNCTION_LIST_PTR_PTR is a pointer to a CK_FUNCTION_LIST_PTR.

3.7 Locking-related types

The types in this section are provided solely for applications which need to access Cryptoki from multiple threads simultaneously. *Applications which will not do this need not use any of these types.*

• CK_CREATEMUTEX

CK_CREATEMUTEX is the type of a pointer to an application-supplied function which creates a new mutex object and returns a pointer to it. It is defined as follows:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, CK_CREATEMUTEX)(
    CK_VOID_PTR_PTR ppMutex
);
```

Calling a CK_CREATEMUTEX function returns the pointer to the new mutex object in the location pointed to by ppMutex. Such a function should return one of the following values:

CKR_OK, CKR_GENERAL_ERROR CKR HOST MEMORY

• CK_DESTROYMUTEX

CK_DESTROYMUTEX is the type of a pointer to an application-supplied function which destroys an existing mutex object. It is defined as follows:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, CK_DESTROYMUTEX)(
    CK_VOID_PTR pMutex
);
```

The argument to a CK_DESTROYMUTEX function is a pointer to the mutex object to be destroyed. Such a function should return one of the following values:

```
CKR_OK, CKR_GENERAL_ERROR
CKR_HOST_MEMORY
CKR_MUTEX_BAD
```

• CK_LOCKMUTEX and CK_UNLOCKMUTEX

CK_LOCKMUTEX is the type of a pointer to an application-supplied function which locks an existing mutex object. **CK_UNLOCKMUTEX** is the type of a pointer to an application-supplied function which unlocks an existing mutex object. The proper behavior for these types of functions is as follows:

- If a CK_LOCKMUTEX function is called on a mutex which is not locked, the calling thread obtains a lock on that mutex and returns.
- If a CK_LOCKMUTEX function is called on a mutex which is locked by some thread other than the calling thread, the calling thread blocks and waits for that mutex to be unlocked.
- If a CK_LOCKMUTEX function is called on a mutex which is locked by the calling thread, the behavior of the function call is undefined.
- If a CK_UNLOCKMUTEX function is called on a mutex which is locked by the calling thread, that mutex is unlocked and the function call returns. Furthermore:
 - If exactly one thread was blocking on that particular mutex, then that thread stops blocking, obtains a lock on that mutex, and its CK_LOCKMUTEX call returns.
 - If more than one thread was blocking on that particular mutex, then exactly one of the blocking threads is selected somehow. That lucky thread stops blocking, obtains a lock on the mutex, and its CK_LOCKMUTEX call returns. All other threads blocking on that particular mutex continue to block.

- If a CK_UNLOCKMUTEX function is called on a mutex which is not locked, then the function call returns the error code CKR_MUTEX_NOT_LOCKED.
- If a CK_UNLOCKMUTEX function is called on a mutex which is locked by some thread other than the calling thread, the behavior of the function call is undefined.

CK_LOCKMUTEX is defined as follows:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, CK_LOCKMUTEX)(
    CK_VOID_PTR pMutex
);
```

The argument to a CK_LOCKMUTEX function is a pointer to the mutex object to be locked. Such a function should return one of the following values:

```
CKR_OK, CKR_GENERAL_ERROR
CKR_HOST_MEMORY,
CKR_MUTEX_BAD
```

CK_UNLOCKMUTEX is defined as follows:

```
typedef CK_CALLBACK_FUNCTION(CK_RV, CK_UNLOCKMUTEX)(
    CK_VOID_PTR pMutex
);
```

The argument to a CK_UNLOCKMUTEX function is a pointer to the mutex object to be unlocked. Such a function should return one of the following values:

CKR_OK, CKR_GENERAL_ERROR CKR_HOST_MEMORY CKR_MUTEX_BAD CKR_MUTEX_NOT_LOCKED

♦ CK_C_INITIALIZE_ARGS; CK_C_INITIALIZE_ARGS_PTR

CK_C_INITIALIZE_ARGS is a structure containing the optional arguments for the **C_Initialize** function. For this version of Cryptoki, these optional arguments are all concerned with the way the library deals with threads. **CK_C_INITIALIZE_ARGS** is defined as follows:

```
typedef struct CK_C_INITIALIZE_ARGS {
    CK_CREATEMUTEX CreateMutex;
    CK_DESTROYMUTEX DestroyMutex;
    CK_LOCKMUTEX LockMutex;
    CK_UNLOCKMUTEX UnlockMutex;
    CK_FLAGS flags;
    CK_VOID_PTR pReserved;
} CK_C_INITIALIZE_ARGS;
```

The fields of the structure have the following meanings:

CreateMutex	pointer to a function to use for creating mutex objects
DestroyMutex	pointer to a function to use for destroying mutex objects
LockMutex	pointer to a function to use for locking mutex objects
UnlockMutex	pointer to a function to use for unlocking mutex objects
flags	bit flags specifying options for C_Initialize ; the flags are defined below

pReserved reserved for future use. Should be NULL_PTR for this version of Cryptoki

The following table defines the flags field:

Table 9, C_Initialize Parameter Flags

Bit Flag	Mask	Meaning
CKF_LIBRARY_CANT_CREATE_OS_THREADS	0x0000001	True if application threads which are executing calls to the library may <i>not</i> use native operating system calls to spawn new threads; false if they may
CKF_OS_LOCKING_OK	0x0000002	True if the library can use the native operation system threading model for locking; false otherwise

CK_C_INITIALIZE_ARGS_PTR is a pointer to a CK_C_INITIALIZE_ARGS.

4 Objects

Cryptoki recognizes a number of classes of objects, as defined in the **CK_OBJECT_CLASS** data type. An object consists of a set of attributes, each of which has a given value. Each attribute that an object possesses has precisely one value. The following figure illustrates the high-level hierarchy of the Cryptoki objects and some of the attributes they support:

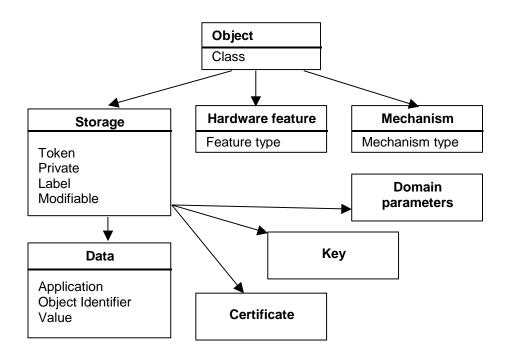


Figure 1, Object Attribute Hierarchy

Cryptoki provides functions for creating, destroying, and copying objects in general, and for obtaining and modifying the values of their attributes. Some of the cryptographic functions (*e.g.*, **C_GenerateKey**) also create key objects to hold their results.

Objects are always "well-formed" in Cryptoki—that is, an object always contains all required attributes, and the attributes are always consistent with one another from the time the object is created. This contrasts with some object-based paradigms where an object has no attributes other than perhaps a class when it is created, and is uninitialized for some time. In Cryptoki, objects are always initialized.

Tables throughout most of Section 4 define each Cryptoki attribute in terms of the data type of the attribute value and the meaning of the attribute, which may include a default initial value. Some of the data types are defined explicitly by Cryptoki (*e.g.*, **CK_OBJECT_CLASS**). Attribute values may also take the following types:

Byte array	an arbitrary string (array) of CK_BYTE s	
Big integer	a string of CK_BYTE s representing an unsigned integer of arbitrary size, most-significant byte first (<i>e.g.</i> , the integer 32768 is represented as the 2-byte string 0x80 0x00)	
Local string	an unpadded string of CK_CHAR s (see Table 3) with no null- termination	

RFC2279 string an unpadded string of CK_UTF8CHARs with no null-termination

A token can hold several identical objects, *i.e.*, it is permissible for two or more objects to have exactly the same values for all their attributes.

In most cases each type of object in the Cryptoki specification possesses a completely well-defined set of Cryptoki attributes. Some of these attributes possess default values, and need not be specified when creating an object; some of these default values may even be the empty string (""). Nonetheless, the object possesses these attributes. A given object has a single value for each attribute it possesses, even if the attribute is a vendor-specific attribute whose meaning is outside the scope of Cryptoki.

In addition to possessing Cryptoki attributes, objects may possess additional vendor-specific attributes whose meanings and values are not specified by Cryptoki.

4.1 Creating, modifying, and copying objects

All Cryptoki functions that create, modify, or copy objects take a template as one of their arguments, where the template specifies attribute values. Cryptographic functions that create objects (see Section 5.13) may also contribute some additional attribute values themselves; which attributes have values contributed by a cryptographic function call depends on which cryptographic mechanism is being performed (see Section **Error! Reference source not found.**). In any case, all the required attributes supported by an object class that do not have default values <u>mustMUST</u> be specified when an object is created, either in the template or by the function itself.

4.1.1 Creating objects

Objects may be created with the Cryptoki functions **C_CreateObject** (see Section 5.7), **C_GenerateKey**, **C_GenerateKeyPair**, **C_UnwrapKey**, and **C_DeriveKey** (see Section 5.13). In addition, copying an existing object (with the function **C_CopyObject**) also creates a new object, but we consider this type of object creation separately in Section 4.1.3.

Attempting to create an object with any of these functions requires an appropriate template to be supplied.

- 1. If the supplied template specifies a value for an invalid attribute, then the attempt should fail with the error code CKR_ATTRIBUTE_TYPE_INVALID. An attribute is valid if it is either one of the attributes described in the Cryptoki specification or an additional vendor-specific attribute supported by the library and token.
- 2. If the supplied template specifies an invalid value for a valid attribute, then the attempt should fail with the error code CKR_ATTRIBUTE_VALUE_INVALID. The valid values for Cryptoki attributes are described in the Cryptoki specification.
- 3. If the supplied template specifies a value for a read-only attribute, then the attempt should fail with the error code CKR_ATTRIBUTE_READ_ONLY. Whether or not a given Cryptoki attribute is read-only is explicitly stated in the Cryptoki specification; however, a particular library and token may be even more restrictive than Cryptoki specifies. In other words, an attribute which Cryptoki says is not read-only may nonetheless be read-only under certain circumstances (*i.e.*, in conjunction with some combinations of other attributes) for a particular library and token. Whether or not a given non-Cryptoki attribute is read-only is obviously outside the scope of Cryptoki.
- 4. If the attribute values in the supplied template, together with any default attribute values and any attribute values contributed to the object by the object-creation function itself, are insufficient to fully specify the object to create, then the attempt should fail with the error code CKR_TEMPLATE_INCOMPLETE.
- 5. If the attribute values in the supplied template, together with any default attribute values and any attribute values contributed to the object by the object-creation function itself, are inconsistent, then the attempt should fail with the error code CKR_TEMPLATE_INCONSISTENT. A set of attribute values is inconsistent if not all of its members can be satisfied simultaneously by the token, although

each value individually is valid in Cryptoki. One example of an inconsistent template would be using a template which specifies two different values for the same attribute. Another example would be trying to create a secret key object with an attribute which is appropriate for various types of public keys or private keys, but not for secret keys. A final example would be a template with an attribute that violates some token specific requirement. Note that this final example of an inconsistent template is token-dependent—on a different token, such a template might *not* be inconsistent.

6. If the supplied template specifies the same value for a particular attribute more than once (or the template specifies the same value for a particular attribute that the object-creation function itself contributes to the object), then the behavior of Cryptoki is not completely specified. The attempt to create an object can either succeed—thereby creating the same object that would have been created if the multiply-specified attribute had only appeared once—or it can fail with error code CKR_TEMPLATE_INCONSISTENT. Library developers are encouraged to make their libraries behave as though the attribute had only appeared once in the template; application developers are strongly encouraged never to put a particular attribute into a particular template more than once.

If more than one of the situations listed above applies to an attempt to create an object, then the error code returned from the attempt can be any of the error codes from above that applies.

4.1.2 Modifying objects

Objects may be modified with the Cryptoki function **C_SetAttributeValue** (see Section 5.7). The template supplied to **C_SetAttributeValue** can contain new values for attributes which the object already possesses; values for attributes which the object does not yet possess; or both.

Some attributes of an object may be modified after the object has been created, and some may not. In addition, attributes which Cryptoki specifies are modifiable may actually *not* be modifiable on some tokens. That is, if a Cryptoki attribute is described as being modifiable, that really means only that it is modifiable *insofar as the Cryptoki specification is concerned*. A particular token might not actually support modification of some such attributes. Furthermore, whether or not a particular attribute of an object on a particular token is modifiable might depend on the values of certain attributes of the object. For example, a secret key object's **CKA_SENSITIVE** attribute can be changed from CK_FALSE to CK_TRUE, but not the other way around.

All the scenarios in Section 4.1.1—and the error codes they return—apply to modifying objects with **C_SetAttributeValue**, except for the possibility of a template being incomplete.

4.1.3 Copying objects

Unless an object's CKA_COPYABLE (see table 21) attribute is set to CK_FALSE, it may be copied with the Cryptoki function **C_CopyObject** (see Section 5.7). In the process of copying an object, **C_CopyObject** also modifies the attributes of the newly-created copy according to an application-supplied template.

The Cryptoki attributes which can be modified during the course of a **C_CopyObject** operation are the same as the Cryptoki attributes which are described as being modifiable, plus the three special attributes **CKA_TOKEN**, **CKA_PRIVATE**, **CKA_MODIFIABLE** and **CKA_DESTROYABLE**. To be more precise, these attributes are modifiable during the course of a **C_CopyObject** operation *insofar as the Cryptoki specification is concerned*. A particular token might not actually support modification of some such attributes during the course of a **C_CopyObject** operation. Furthermore, whether or not a particular attribute of an object on a particular token is modifiable during the course of a **C_CopyObject** operation might depend on the values of certain attributes of the object. For example, a secret key object's **CKA_SENSITIVE** attribute can be changed from CK_FALSE to CK_TRUE during the course of a **C_CopyObject** operation, but not the other way around.

If the CKA_COPYABLE attribute of the object to be copied is set to CK_FALSE, C_CopyObject returns CKR_ACTION_PROHIBITED. Otherwise, the scenarios described in 10.1.1 - and the error codes they return - apply to copying objects with C_CopyObject, except for the possibility of a template being incomplete.

4.2 Common attributes

Table 10, Common footnotes for object attribute tables

¹ MustMUST be specified when object is created with C_CreateObject .
² MustMUST not be specified when object is created with C_CreateObject .
³ <u>MustMUST</u> be specified when object is generated with C_GenerateKey or C_GenerateKeyPair.
⁴ <u>MustMUST</u> not be specified when object is generated with C_GenerateKey or C_GenerateKeyPair.
⁵ MustMUST be specified when object is unwrapped with C_UnwrapKey .
⁶ MustMUST not be specified when object is unwrapped with C_UnwrapKey .
⁷ Cannot be revealed if object has its CKA_SENSITIVE attribute set to CK_TRUE or its CKA_EXTRACTABLE attribute set to CK_FALSE.
⁸ May be modified after object is created with a C_SetAttributeValue call, or in the process of copying object with a C_CopyObject call. However, it is possible that a particular token may not permit modification of the attribute during the course of a C_CopyObject call.
⁹ Default value is token-specific, and may depend on the values of other attributes.
¹⁰ Can only be set to CK_TRUE by the SO user.
¹¹ Attribute cannot be changed once set to CK_TRUE. It becomes a read only attribute.

¹² Attribute cannot be changed once set to CK_FALSE. It becomes a read only attribute.

Table 11,	Common	Object Attributes
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ſ	Attribute	Data Type	Meaning
ľ	CKA_CLASS ¹	CK_OBJECT_CLASS	Object class (type)

Refer to Table 10 for footnotes

The above table defines the attributes common to all objects.

4.3 Hardware Feature Objects

4.3.1 Definitions

This section defines the object class CKO_HW_FEATURE for type CK_OBJECT_CLASS as used in the CKA_CLASS attribute of objects.

4.3.2 Overview

Hardware feature objects (**CKO_HW_FEATURE**) represent features of the device. They provide an easily expandable method for introducing new value-based features to the Cryptoki interface.

When searching for objects using C_FindObjectsInit and C_FindObjects, hardware feature objects are not returned unless the CKA_CLASS attribute in the template has the value CKO_HW_FEATURE. This protects applications written to previous versions of Cryptoki from finding objects that they do not understand.

Table 12, Hardware Feature Common Attributes

Attribute	Data Type	Meaning
CKA_HW_FEATURE_TYPE ¹	CK_HW_FEATURE	Hardware feature (type)

Refer to Table 10 for footnotes

4.3.3 Clock

4.3.3.1 Definition

The CKA_HW_FEATURE_TYPE attribute takes the value CKH_CLOCK of type CK_HW_FEATURE.

4.3.3.2 Description

Clock objects represent real-time clocks that exist on the device. This represents the same clock source as the **utcTime** field in the **CK_TOKEN_INFO** structure.

Table 13, Clock Object Attributes

Attribute	Data Type	Meaning
CKA_VALUE	CK_CHAR[16]	Current time as a character-string of length 16, represented in the format YYYYMMDDhhmmssxx (4 characters for the year; 2 characters each for the month, the day, the hour, the minute, and the second; and 2 additional reserved '0' characters).

The **CKA_VALUE** attribute may be set using the **C_SetAttributeValue** function if permitted by the device. The session used to set the time <u>mustMUST</u> be logged in. The device may require the SO to be the user logged in to modify the time value. **C_SetAttributeValue** will return the error CKR_USER_NOT_LOGGED_IN to indicate that a different user type is required to set the value.

4.3.4 Monotonic Counter Objects

4.3.4.1 Definition

The CKA_HW_FEATURE_TYPE attribute takes the value CKH_MONOTONIC_COUNTER of type CK_HW_FEATURE.

4.3.4.2 Description

Monotonic counter objects represent hardware counters that exist on the device. The counter is guaranteed to increase each time its value is read, but not necessarily by one. This might be used by an application for generating serial numbers to get some assurance of uniqueness per token.

Attribute	Data Type	Meaning
CKA_RESET_ON_INIT ¹	CK_BBOOL	The value of the counter will reset to a previously returned value if the token is initialized using C_InitToken .
CKA_HAS_RESET ¹	CK_BBOOL	The value of the counter has been reset at least once at some point in time.
CKA_VALUE ¹	Byte Array	The current version of the monotonic counter. The value is returned in big endian order.

Table 14, Monotonic Counter Attributes

¹Read Only

The **CKA_VALUE** attribute may not be set by the client.

4.3.5 User Interface Objects

4.3.5.1 Definition

The CKA_HW_FEATURE_TYPE attribute takes the value CKH_USER_INTERFACE of type CK_HW_FEATURE.

4.3.5.2 Description

User interface objects represent the presentation capabilities of the device.

Table 15, User Interface Object Attributes

Attribute	Data type	Meaning
CKA_PIXEL_X	CK_ULONG	Screen resolution (in pixels) in X-axis (e.g. 1280)
CKA_PIXEL_Y	CK_ULONG	Screen resolution (in pixels) in Y-axis (e.g. 1024)
CKA_RESOLUTION	CK_ULONG	DPI, pixels per inch
CKA_CHAR_ROWS	CK_ULONG	For character-oriented displays; number of character rows (e.g. 24)
CKA_CHAR_COLUMNS	CK_ULONG	For character-oriented displays: number of character columns (e.g. 80). If display is of proportional-font type, this is the width of the display in "em"-s (letter "M"), see CC/PP Struct.
CKA_COLOR	CK_BBOOL	Color support
CKA_BITS_PER_PIXEL	CK_ULONG	The number of bits of color or grayscale information per pixel.
CKA_CHAR_SETS	RFC 2279 string	String indicating supported character sets, as defined by IANA MIBenum sets (www.iana.org). Supported character sets are separated with ";". E.g. a token supporting iso-8859-1 and US-ASCII would set the attribute value to "4;3".
CKA_ENCODING_METHODS	RFC 2279 string	String indicating supported content transfer encoding methods, as defined by IANA (www.iana.org). Supported methods are separated with ";". E.g. a token supporting 7bit, 8bit and base64 could set the attribute value to "7bit;8bit;base64".
CKA_MIME_TYPES	RFC 2279 string	String indicating supported (presentable) MIME-types, as defined by IANA (www.iana.org). Supported types are separated with ";". E.g. a token supporting MIME types "a/b", "a/c" and "a/d" would set the attribute value to "a/b;a/c;a/d".

The selection of attributes, and associated data types, has been done in an attempt to stay as aligned with RFC 2534 and CC/PP Struct as possible. The special value CK_UNAVAILABLE_INFORMATION may be used for CK_ULONG-based attributes when information is not available or applicable.

None of the attribute values may be set by an application.

The value of the **CKA_ENCODING_METHODS** attribute may be used when the application needs to send MIME objects with encoded content to the token.

4.4 Storage Objects

This is not an object class; hence no CKO_ definition is required. It is a category of object classes with common attributes for the object classes that follow.

Table 16, Common Storage Object Attributes

Attribute	Data Type	Meaning
CKA_TOKEN	CK_BBOOL	CK_TRUE if object is a token object; CK_FALSE if object is a session object. Default is CK_FALSE.
CKA_PRIVATE	CK_BBOOL	CK_TRUE if object is a private object; CK_FALSE if object is a public object. Default value is token-specific, and may depend on the values of other attributes of the object.
CKA_MODIFIABLE	CK_BBOOL	CK_TRUE if object can be modified Default is CK_TRUE.
CKA_LABEL	RFC2279 string	Description of the object (default empty).
CKA_COPYABLE	CK_BBOOL	CK_TRUE if object can be copied using C_CopyObject. Defaults to CK_TRUE. Can't be set to TRUE once it is set to FALSE.
CKA_DESTROYABLE	CK_BBOOL	CK_TRUE if the object can be destroyed using C_DestroyObject. Default is CK_TRUE.

Only the **CKA_LABEL** attribute can be modified after the object is created. (The **CKA_TOKEN**, **CKA_PRIVATE**, and **CKA_MODIFIABLE** attributes can be changed in the process of copying an object, however.)

The CKA_TOKEN attribute identifies whether the object is a token object or a session object.

When the **CKA_PRIVATE** attribute is CK_TRUE, a user may not access the object until the user has been authenticated to the token.

The value of the CKA_MODIFIABLE attribute determines whether or not an object is read-only.

The CKA_LABEL attribute is intended to assist users in browsing.

The value of the CKA_COPYABLE attribute determines whether or not an object can be copied. This attribute can be used in conjunction with CKA_MODIFIABLE to prevent changes to the permitted usages of keys and other objects.

The value of the CKA_DESTROYABLE attribute determines whether the object can be destroyed using C_DestroyObject.

4.5 Data objects

4.5.1 Definitions

This section defines the object class CKO_DATA for type CK_OBJECT_CLASS as used in the CKA_CLASS attribute of objects.

4.5.2 Overview

Data objects (object class **CKO_DATA**) hold information defined by an application. Other than providing access to it, Cryptoki does not attach any special meaning to a data object. The following table lists the attributes supported by data objects, in addition to the common attributes defined for this object class:

Table 17, Data Object Attributes

Attribute	Data type	Meaning
CKA_APPLICATION	RFC2279 string	Description of the application that manages the object (default empty)
CKA_OBJECT_ID	Byte Array	DER-encoding of the object identifier indicating the data object type (default empty)
CKA_VALUE	Byte array	Value of the object (default empty)

The **CKA_APPLICATION** attribute provides a means for applications to indicate ownership of the data objects they manage. Cryptoki does not provide a means of ensuring that only a particular application has access to a data object, however.

The **CKA_OBJECT_ID** attribute provides an application independent and expandable way to indicate the type of the data object value. Cryptoki does not provide a means of insuring that the data object identifier matches the data value.

The following is a sample template containing attributes for creating a data object:

```
CK_OBJECT_CLASS class = CKO_DATA;
CK_UTF8CHAR label[] = "A data object";
CK_UTF8CHAR application[] = "An application";
CK_BYTE data[] = "Sample data";
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_APPLICATION, application, sizeof(application)-1},
    {CKA_VALUE, data, sizeof(data)}
};
```

4.6 Certificate objects

4.6.1 Definitions

This section defines the object class CKO_CERTIFICATE for type CK_OBJECT_CLASS as used in the CKA_CLASS attribute of objects.

4.6.2 Overview

Certificate objects (object class **CKO_CERTIFICATE**) hold public-key or attribute certificates. Other than providing access to certificate objects, Cryptoki does not attach any special meaning to certificates. The following table defines the common certificate object attributes, in addition to the common attributes defined for this object class:

Attribute	Data type	Meaning
CKA_CERTIFICATE_TYPE ¹	CK_CERTIFICATE_TYPE	Type of certificate
CKA_TRUSTED ¹⁰	CK_BBOOL	The certificate can be trusted for the application that it was created.
CKA_CERTIFICATE_CATEGORY	CKA_CERTIFICATE_CATEGORY	(default CK_CERTIFICATE_ CATEGORY_UNSP ECIFIED)
CKA_CHECK_VALUE	Byte array	Checksum

Table 18, Common Certificate Object Attributes

Attribute	Data type	Meaning
CKA_START_DATE	CK_DATE	Start date for the certificate (default empty)
CKA_END_DATE	CK_DATE	End date for the certificate (default empty)
CKA_PUBLIC_KEY_INFO	Byte Array	DER-encoding of the SubjectPublicKeyInf o for the public key contained in this certificate (default empty)

Refer to Table 10 for footnotes

Cryptoki does not enforce the relationship of the CKA_PUBLIC_KEY_INFO to the public key in the certificate, but does recommend that the key be extracted from the certificate to create this value.

The **CKA_CERTIFICATE_TYPE** attribute may not be modified after an object is created. This version of Cryptoki supports the following certificate types:

- X.509 public key certificate
- WTLS public key certificate
- X.509 attribute certificate

The **CKA_TRUSTED** attribute cannot be set to CK_TRUE by an application. It <u>mustMUST</u> be set by a token initialization application or by the token's SO. Trusted certificates cannot be modified.

The **CKA_CERTIFICATE_CATEGORY** attribute is used to indicate if a stored certificate is a user certificate for which the corresponding private key is available on the token ("token user"), a CA certificate ("authority"), or another end-entity certificate ("other entity"). This attribute may not be modified after an object is created.

The **CKA_CERTIFICATE_CATEGORY** and **CKA_TRUSTED** attributes will together be used to map to the categorization of the certificates. A certificate in the certificates CDF will be marked with category "token user". A certificate in the trustedCertificates CDF or in the usefulCertificates CDF will be marked with category "authority" or "other entity" depending on the CommonCertificateAttribute.authority attribute and the **CKA_TRUSTED** attribute indicates if it belongs to the trustedCertificates or usefulCertificates CDF.

CKA_CHECK_VALUE: The value of this attribute is derived from the certificate by taking the first three bytes of the SHA-1 hash of the certificate object's CKA_VALUE attribute.

The **CKA_START_DATE** and **CKA_END_DATE** attributes are for reference only; Cryptoki does not attach any special meaning to them. When present, the application is responsible to set them to values that match the certificate's encoded "not before" and "not after" fields (if any).

4.6.3 X.509 public key certificate objects

X.509 certificate objects (certificate type **CKC_X_509**) hold X.509 public key certificates. The following table defines the X.509 certificate object attributes, in addition to the common attributes defined for this object class:

Table 19, X.509 Certificate Object Attributes

Attribute	Data type	Meaning
CKA_SUBJECT ¹	Byte array	DER-encoding of the certificate subject name
CKA_ID	Byte array	Key identifier for public/private key pair (default empty)
CKA_ISSUER	Byte array	DER-encoding of the certificate issuer name (default empty)
CKA_SERIAL_NUMBER	Byte array	DER-encoding of the certificate serial number (default empty)
CKA_VALUE ²	Byte array	BER-encoding of the certificate
CKA_URL ³	RFC2279 string	If not empty this attribute gives the URL where the complete certificate can be obtained (default empty)
CKA_HASH_OF_SUBJECT_PUB LIC_KEY ⁴	Byte array	Hash of the subject public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_HASH_OF_ISSUER_PUBLI C_KEY ⁴	Byte array	Hash of the issuer public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_JAVA_MIDP_SECURITY_D OMAIN	CK_JAVA_ MIDP_SEC URITY_DO MAIN	Java MIDP security domain. (default CK_SECURITY_DOMAIN_UNSPECI FIED)
CKA_NAME_HASH_ALGORITH M	CK_MECH ANISM_TY PE	Defines the mechanism used to calculate CKA_HASH_OF_SUBJECT_PUBLIC _KEY and CKA_HASH_OF_ISSUER_PUBLIC_K EY. If the attribute is not present then the type defaults to SHA-1.

⁴Must¹MUST be specified when the object is created.

²Must²MUST be specified when the object is created. MustMUST be non-empty if CKA_URL is empty. ³Must³MUST be non-empty if CKA_VALUE is empty.

⁴Can only be empty if CKA_URL is empty.

Only the **CKA_ID**, **CKA_ISSUER**, and **CKA_SERIAL_NUMBER** attributes may be modified after the object is created.

The **CKA_ID** attribute is intended as a means of distinguishing multiple public-key/private-key pairs held by the same subject (whether stored in the same token or not). (Since the keys are distinguished by subject name as well as identifier, it is possible that keys for different subjects may have the same **CKA_ID** value without introducing any ambiguity.)

It is intended in the interests of interoperability that the subject name and key identifier for a certificate will be the same as those for the corresponding public and private keys (though it is not required that all be stored in the same token). However, Cryptoki does not enforce this association, or even the uniqueness of the key identifier for a given subject; in particular, an application may leave the key identifier empty.

The **CKA_ISSUER** and **CKA_SERIAL_NUMBER** attributes are for compatibility with PKCS #7 and Privacy Enhanced Mail (RFC1421). Note that with the version 3 extensions to X.509 certificates, the key identifier may be carried in the certificate. It is intended that the **CKA_ID** value be identical to the key identifier in such a certificate extension, although this will not be enforced by Cryptoki.

The **CKA_URL** attribute enables the support for storage of the URL where the certificate can be found instead of the certificate itself. Storage of a URL instead of the complete certificate is often used in mobile environments.

The **CKA_HASH_OF_SUBJECT_PUBLIC_KEY** and **CKA_HASH_OF_ISSUER_PUBLIC_KEY** attributes are used to store the hashes of the public keys of the subject and the issuer. They are particularly important when only the URL is available to be able to correlate a certificate with a private key and when searching for the certificate of the issuer. The hash algorithm is defined by CKA_NAME_HASH_ALGORITHM.

The **CKA_JAVA_MIDP_SECURITY_DOMAIN** attribute associates a certificate with a Java MIDP security domain.

The following is a sample template for creating an X.509 certificate object:

```
CK OBJECT CLASS class = CKO CERTIFICATE;
CK CERTIFICATE TYPE certType = CKC X 509;
CK_UTF8CHAR label[] = "A certificate object";
CK BYTE subject[] = {...};
CK BYTE id[] = {123};
CK BYTE certificate[] = {...};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &class, sizeof(class)},
  {CKA CERTIFICATE TYPE, &certType, sizeof(certType)};
  {CKA TOKEN, &true, sizeof(true)},
  {CKA LABEL, label, sizeof(label)-1},
  {CKA SUBJECT, subject, sizeof(subject)},
  {CKA ID, id, sizeof(id)},
  {CKA VALUE, certificate, sizeof(certificate)}
};
```

4.6.4 WTLS public key certificate objects

WTLS certificate objects (certificate type **CKC_WTLS**) hold WTLS public key certificates. The following table defines the WTLS certificate object attributes, in addition to the common attributes defined for this object class.

Table 20: WTLS Certificate Object Attributes

Attribute	Data type	Meaning
CKA_SUBJECT ¹	Byte array	WTLS-encoding (Identifier type) of the certificate subject
CKA_ISSUER	Byte array	WTLS-encoding (Identifier type) of the certificate issuer (default empty)
CKA_VALUE ²	Byte array	WTLS-encoding of the certificate
CKA_URL ³	RFC2279 string	If not empty this attribute gives the URL where the complete certificate can be obtained
CKA_HASH_OF_SUBJECT_PU BLIC_KEY ⁴	Byte array	SHA-1 hash of the subject public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_HASH_OF_ISSUER_PUB LIC_KEY ⁴	Byte array	SHA-1 hash of the issuer public key (default empty). Hash algorithm is defined by CKA_NAME_HASH_ALGORITHM
CKA_NAME_HASH_ALGORITH M	CK_MECHANI SM_TYPE	Defines the mechanism used to calculate CKA_HASH_OF_SUBJECT_PUBLIC _KEY and CKA_HASH_OF_ISSUER_PUBLIC_ KEY. If the attribute is not present then the type defaults to SHA-1.

⁴Must¹MUST be specified when the object is created. Can only be empty if CKA_VALUE is empty.

²Must²MUST be specified when the object is created. MustMUST be non-empty if CKA_URL is empty.

³Must³MUST be non-empty if CKA_VALUE is empty.

⁴Can only be empty if CKA_URL is empty.

Only the **CKA_ISSUER** attribute may be modified after the object has been created.

The encoding for the **CKA_SUBJECT**, **CKA_ISSUER**, and **CKA_VALUE** attributes can be found in [WTLS] (see References).

The **CKA_URL** attribute enables the support for storage of the URL where the certificate can be found instead of the certificate itself. Storage of a URL instead of the complete certificate is often used in mobile environments.

The **CKA_HASH_OF_SUBJECT_PUBLIC_KEY** and **CKA_HASH_OF_ISSUER_PUBLIC_KEY** attributes are used to store the hashes of the public keys of the subject and the issuer. They are particularly important when only the URL is available to be able to correlate a certificate with a private key and when searching for the certificate of the issuer. The hash algorithm is defined by CKA_NAME_HASH_ALGORITHM.

The following is a sample template for creating a WTLS certificate object:

```
CK_OBJECT_CLASS class = CKO_CERTIFICATE;
CK_CERTIFICATE_TYPE certType = CKC_WTLS;
CK_UTF8CHAR label[] = "A certificate object";
CK_BYTE subject[] = {...};
CK_BYTE certificate[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_CERTIFICATE TYPE, &certType, sizeof(certType)};
```

```
{CKA_TOKEN, &true, sizeof(true)},
{CKA_LABEL, label, sizeof(label)-1},
{CKA_SUBJECT, subject, sizeof(subject)},
{CKA_VALUE, certificate, sizeof(certificate)}
};
```

4.6.5 X.509 attribute certificate objects

X.509 attribute certificate objects (certificate type **CKC_X_509_ATTR_CERT**) hold X.509 attribute certificates. The following table defines the X.509 attribute certificate object attributes, in addition to the common attributes defined for this object class:

Attribute	Data Type	Meaning
CKA_OWNER ¹	Byte Array	DER-encoding of the attribute certificate's subject field. This is distinct from the CKA_SUBJECT attribute contained in CKC_X_509 certificates because the ASN.1 syntax and encoding are different.
CKA_AC_ISSUER	Byte Array	DER-encoding of the attribute certificate's issuer field. This is distinct from the CKA_ISSUER attribute contained in CKC_X_509 certificates because the ASN.1 syntax and encoding are different. (default empty)
CKA_SERIAL_NUMBER	Byte Array	DER-encoding of the certificate serial number. (default empty)
CKA_ATTR_TYPES	Byte Array	BER-encoding of a sequence of object identifier values corresponding to the attribute types contained in the certificate. When present, this field offers an opportunity for applications to search for a particular attribute certificate without fetching and parsing the certificate itself. (default empty)
CKA_VALUE ¹	Byte Array	BER-encoding of the certificate.

		•	•••
Table 21.	X.509 Attribute	Certificate	Object Attributes

⁴Must¹MUST be specified when the object is created

Only the **CKA_AC_ISSUER**, **CKA_SERIAL_NUMBER** and **CKA_ATTR_TYPES** attributes may be modified after the object is created.

The following is a sample template for creating an X.509 attribute certificate object:

```
CK_OBJECT_CLASS class = CKO_CERTIFICATE;
CK_CERTIFICATE_TYPE certType = CKC_X_509_ATTR_CERT;
CK_UTF8CHAR label[] = "An attribute certificate object";
CK_BYTE owner[] = {...};
CK_BYTE certificate[] = {...};
CK_BBOOL true = CK_TRUE;
CK_ATTRIBUTE template[] = {
    {CKA_CLASS, &class, sizeof(class)},
    {CKA_CERTIFICATE_TYPE, &certType, sizeof(certType)};
    {CKA_TOKEN, &true, sizeof(true)},
    {CKA_LABEL, label, sizeof(label)-1},
    {CKA_VALUE, certificate, sizeof(certificate)}
};
```

4.7 Key objects

4.7.1 Definitions

There is no CKO_ definition for the base key object class, only for the key types derived from it.

This section defines the object class CKO_PUBLIC_KEY, CKO_PRIVATE_KEY and CKO_SECRET_KEY for type CK_OBJECT_CLASS as used in the CKA_CLASS attribute of objects.

4.7.2 Overview

Key objects hold encryption or authentication keys, which can be public keys, private keys, or secret keys. The following common footnotes apply to all the tables describing attributes of keys:

The following table defines the attributes common to public key, private key and secret key classes, in addition to the common attributes defined for this object class:

Attribute	Data Type	Meaning
CKA_KEY_TYPE ^{1,5}	CK_KEY_TYPE	Type of key
CKA_ID ⁸	Byte array	Key identifier for key (default empty)
CKA_START_DATE ⁸	CK_DATE	Start date for the key (default empty)
CKA_END_DATE ⁸	CK_DATE	End date for the key (default empty)
CKA_DERIVE ⁸	CK_BBOOL	CK_TRUE if key supports key derivation (<i>i.e.</i> , if other keys can be derived from this one (default CK_FALSE)
CKA_LOCAL ^{2,4,6}	CK_BBOOL	CK_TRUE only if key was either
		 generated locally (<i>i.e.</i>, on the token) with a C_GenerateKey or C_GenerateKeyPair call
		 created with a C_CopyObject call as a copy of a key which had its CKA_LOCAL attribute set to CK_TRUE
CKA_KEY_GEN_ MECHANISM ^{2,4,6}	CK_MECHANISM _TYPE	Identifier of the mechanism used to generate the key material.
CKA_ALLOWED_MECHANI SMS	CK_MECHANISM _TYPE _PTR, pointer to a CK_MECHANISM _TYPE array	A list of mechanisms allowed to be used with this key. The number of mechanisms in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_MECHANISM_TYPE.

Table 22, Common Key Attributes

Refer to Table 10 for footnotes

The **CKA_ID** field is intended to distinguish among multiple keys. In the case of public and private keys, this field assists in handling multiple keys held by the same subject; the key identifier for a public key and its corresponding private key should be the same. The key identifier should also be the same as for the corresponding certificate, if one exists. Cryptoki does not enforce these associations, however. (See Section 4.6 for further commentary.)

In the case of secret keys, the meaning of the CKA_ID attribute is up to the application.

Note that the **CKA_START_DATE** and **CKA_END_DATE** attributes are for reference only; Cryptoki does not attach any special meaning to them. In particular, it does not restrict usage of a key according to the dates; doing this is up to the application.

The **CKA_DERIVE** attribute has the value CK_TRUE if and only if it is possible to derive other keys from the key.

The **CKA_LOCAL** attribute has the value CK_TRUE if and only if the value of the key was originally generated on the token by a **C_GenerateKey** or **C_GenerateKeyPair** call.

The **CKA_KEY_GEN_MECHANISM** attribute identifies the key generation mechanism used to generate the key material. It contains a valid value only if the **CKA_LOCAL** attribute has the value CK_TRUE. If **CKA_LOCAL** has the value CK_FALSE, the value of the attribute is CK_UNAVAILABLE_INFORMATION.

4.8 Public key objects

Public key objects (object class **CKO_PUBLIC_KEY**) hold public keys. The following table defines the attributes common to all public keys, in addition to the common attributes defined for this object class:

Attribute	Data type	Meaning
CKA_SUBJECT ⁸	Byte array	DER-encoding of the key subject name (default empty)
CKA_ENCRYPT ⁸	CK_BBOOL	CK_TRUE if key supports encryption ⁹
CKA_VERIFY ⁸	CK_BBOOL	CK_TRUE if key supports verification where the signature is an appendix to the data ⁹
CKA_VERIFY_RECOVER ⁸	CK_BBOOL	CK_TRUE if key supports verification where the data is recovered from the signature ⁹
CKA_WRAP ⁸	CK_BBOOL	CK_TRUE if key supports wrapping (<i>i.e.</i> , can be used to wrap other keys) ⁹
CKA_TRUSTED ¹⁰	CK_BBOOL	The key can be trusted for the application that it was created. The wrapping key can be used to wrap keys with CKA_WRAP_WITH_TRUSTED set to CK_TRUE.
CKA_WRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to match against any keys wrapped using this wrapping key. Keys that do not match cannot be wrapped. The number of attributes in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_ATTRIBUTE.
CKA_PUBLIC_KEY_INFO	Byte array	DER-encoding of the SubjectPublicKeyInfo for this public key. (MAY be empty, DEFAULT derived from the underlying public key data)

Table 23, Common Public Key Attributes

Refer to Table 10 for footnotes

It is intended in the interests of interoperability that the subject name and key identifier for a public key will be the same as those for the corresponding certificate and private key. However, Cryptoki does not enforce this, and it is not required that the certificate and private key also be stored on the token.

To map between ISO/IEC 9594-8 (X.509) **keyUsage** flags for public keys and the PKCS #11 attributes for public keys, use the following table.

Table 24, Mapping of X.509 key usage flags to Cryptoki attributes for public keys

CKA_ENCRYPT CKA_VERIFY CKA_VERIFY_RECOVER
CKA VERIFY RECOVER
CKA_DERIVE
CKA_WRAP
CKA_VERIFY
CKA_VERIFY_RECOVER

encoded value of SubjectPublicKeyInfo:

SubjectPublicKeyInfo ::= SEQUENCE { algorithm AlgorithmIdentifier, subjectPublicKey BIT_STRING }

The encodings for the subjectPublicKey field are specified in the description of the public key types in the appropriate [MechanismsPKCS11-Curr] document for the key types defined within this specification.

4.9 Private key objects

Private key objects (object class **CKO_PRIVATE_KEY**) hold private keys. The following table defines the attributes common to all private keys, in addition to the common attributes defined for this object class:

Table 25, Common Private Key Attributes

Attribute	Data type	Meaning
CKA_SUBJECT ⁸	Byte array	DER-encoding of certificate subject name (default empty)
CKA_SENSITIVE ^{8,11}	CK_BBOOL	CK_TRUE if key is sensitive ⁹
CKA_DECRYPT ⁸	CK_BBOOL	CK_TRUE if key supports decryption ⁹
CKA_SIGN ⁸	CK_BBOOL	CK_TRUE if key supports signatures where the signature is an appendix to the data
CKA_SIGN_RECOVER ⁸	CK_BBOOL	CK_TRUE if key supports signatures where the data can be recovered from the signature ⁹
CKA_UNWRAP ⁸	CK_BBOOL	CK_TRUE if key supports unwrapping (<i>i.e.</i> , can be used to unwrap other keys) ⁹
CKA_EXTRACTABLE ^{8,12}	CK_BBOOL	CK_TRUE if key is extractable and can be wrapped ⁹
CKA_ALWAYS_SENSITIVE ^{2,4,6}	CK_BBOOL	CK_TRUE if key has <i>always</i> had the CKA_SENSITIVE attribute set to CK_TRUE
CKA_NEVER_EXTRACTABLE ^{2,4,6}	CK_BBOOL	CK_TRUE if key has <i>never</i> had the CKA_EXTRACTABLE attribute set to CK_TRUE
CKA_WRAP_WITH_TRUSTED ¹¹	CK_BBOOL	CK_TRUE if the key can only be wrapped with a wrapping key that has CKA_TRUSTED set to

Attribute	Data type	Meaning
		CK_TRUE. Default is CK FALSE.
CKA_UNWRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to apply to any keys unwrapped using this wrapping key. Any user supplied template is applied after this template as if the object has already been created. The number of attributes in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_ATTRIBUTE.
CKA_ALWAYS_AUTHENTICATE	CK_BBOOL	If CK_TRUE, the user has to supply the PIN for each use (sign or decrypt) with the key. Default is CK_FALSE.
CKA_PUBLIC_KEY_INFO ⁸	Byte Array	DER-encoding of the SubjectPublicKeyInfo for the associated public key (MAY be empty; DEFAULT derived from the underlying private key data; MAY be manually set for specific key types; if set; MUST be consistent with the underlying private key data)

Refer to Table 10 for footnotes

It is intended in the interests of interoperability that the subject name and key identifier for a private key will be the same as those for the corresponding certificate and public key. However, this is not enforced by Cryptoki, and it is not required that the certificate and public key also be stored on the token.

If the **CKA_SENSITIVE** attribute is CK_TRUE, or if the **CKA_EXTRACTABLE** attribute is CK_FALSE, then certain attributes of the private key cannot be revealed in plaintext outside the token. Which attributes these are is specified for each type of private key in the attribute table in the section describing that type of key.

The **CKA_ALWAYS_AUTHENTICATE** attribute can be used to force re-authentication (i.e. force the user to provide a PIN) for each use of a private key. "Use" in this case means a cryptographic operation such as sign or decrypt. This attribute may only be set to CK_TRUE when **CKA_PRIVATE** is also CK_TRUE.

Re-authentication occurs by calling **C_Login** with *userType* set to **CKU_CONTEXT_SPECIFIC** immediately after a cryptographic operation using the key has been initiated (e.g. after **C_SignInit**). In this call, the actual user type is implicitly given by the usage requirements of the active key. If **C_Login** returns CKR_OK the user was successfully authenticated and this sets the active key in an authenticated state that lasts until the cryptographic operation has successfully or unsuccessfully been completed (e.g. by **C_Sign, C_SignFinal**,..). A return value CKR_PIN_INCORRECT from **C_Login** means that the user was denied permission to use the key and continuing the cryptographic operation will result in a behavior as if **C_Login** had not been called. In both of these cases the session state will remain the same, however repeated failed re-authentication attempts may cause the PIN to be locked. **C_Login** returns in this case CKR_PIN_LOCKED and this also logs the user out from the token. Failing or omitting to re-authenticate when CKA_ALWAYS_AUTHENTICATE is set to CK_TRUE will result in CKR_USER_NOT_LOGGED_IN to be returned from calls using the key. **C_Login** will return CKR_OPERATION_NOT_INITIALIZED, but the active cryptographic operation will not be affected, if an attempt is made to re-authenticate when CKA_ALWAYS_AUTHENTICATE is set to CK_FALSE.

The **CKA_PUBLIC_KEY_INFO** attribute represents the public key associated with this private key. The data it represents may either be stored as part of the private key data, or regenerated as needed from the private key.

If this attribute is supplied as part of a template for C_CreateObject, C_CopyObject or C_SetAttributeValue for a private key, the token MUST verify correspondence between the private key data and the public key data as supplied in CKA_PUBLIC_KEY_INFO. This can be done either by deriving a public key from the private key and comparing the values, or by doing a sign and verify operation. If there is a mismatch, the command shallSHALL return CKR_ATTRIBUTE_VALUE_INVALID. A token MAY choose not to support the CKA_PUBLIC_KEY_INFO attribute for commands which create new private keys. If it does not support the attribute, the command shallSHALL return CKR_ATTRIBUTE_TYPE_INVALID.

As a general guideline, private keys of any type SHOULD store sufficient information to retrieve the public key information. In particular, the RSA private key description has been modified in <this version> to add the CKA_PUBLIC_EXPONENT to the list of attributes required for an RSA private key. All other private key types described in this specification contain sufficient information to recover the associated public key.

4.9.1 RSA private key objects

RSA private key objects (object class **CKO_PRIVATE_KEY**, key type **CKK_RSA**) hold RSA private keys. The following table defines the RSA private key object attributes, in addition to the common attributes defined for this object class:

Attribute	Data type	Meaning
CKA_MODULUS ^{1,4,6}	Big integer	Modulus <i>n</i>
CKA_PUBLIC_EXPONENT ^{1,4,6}	Big integer	Public exponent e
CKA_PRIVATE_EXPONENT ^{1,4,6,7}	Big integer	Private exponent d
CKA_PRIME_1 ^{4,6,7}	Big integer	Prime p
CKA_PRIME_2 ^{4,6,7}	Big integer	Prime q
CKA_EXPONENT_1 ^{4,6,7}	Big integer	Private exponent <i>d</i> modulo <i>p</i> -1
CKA_EXPONENT_2 ^{4,6,7}	Big integer	Private exponent <i>d</i> modulo <i>q</i> -1
CKA_COEFFICIENT ^{4,6,7}	Big integer	CRT coefficient $q^1 \mod p$

Table 26, RSA Private Key Object Attributes

Refer to Table 10 for footnotes

Depending on the token, there may be limits on the length of the key components. See PKCS #1 for more information on RSA keys.

Tokens vary in what they actually store for RSA private keys. Some tokens store all of the above attributes, which can assist in performing rapid RSA computations. Other tokens might store only the **CKA_MODULUS** and **CKA_PRIVATE_EXPONENT** values. Effective with version 2.40, tokens MUST also store CKA_PUBLIC_EXPONENT. This permits the retrieval of sufficient data to reconstitute the associated public key.

Because of this, Cryptoki is flexible in dealing with RSA private key objects. When a token generates an RSA private key, it stores whichever of the fields in Table 26 it keeps track of. Later, if an application asks for the values of the key's various attributes, Cryptoki supplies values only for attributes whose values it can obtain (*i.e.*, if Cryptoki is asked for the value of an attribute it cannot obtain, the request fails). Note that a Cryptoki implementation may or may not be able and/or willing to supply various attributes of RSA private keys which are not actually stored on the token. *E.g.*, if a particular token stores values only for the **CKA_PRIVATE_EXPONENT**, **CKA_PUBLIC_EXPONENT**, **CKA_PRIME_1**, and **CKA_PRIME_2** attributes, then Cryptoki is certainly *able* to report values for all the attributes above (since they can all be computed efficiently from these four values). However, a Cryptoki implementation may or may not actually do this extra computation. The only attributes from Table 26 for which a Cryptoki

implementation is *required* to be able to return values are **CKA_MODULUS**, **CKA_PRIVATE_EXPONENT**, and **CKA_PUBLIC_EXPONENT**. A token SHOULD also be able to return **CKA_PUBLIC_KEY_INFO** for an RSA private key. See the general guidance for Private Keys above.

4.10 Secret key objects

Secret key objects (object class **CKO_SECRET_KEY**) hold secret keys. The following table defines the attributes common to all secret keys, in addition to the common attributes defined for this object class:

Table 27, Common Secret Key Attributes

Attribute	Data type	Meaning
CKA_SENSITIVE ^{8,11}	CK_BBOOL	CK_TRUE if object is sensitive (default CK_FALSE)
CKA_ENCRYPT ⁸	CK_BBOOL	CK_TRUE if key supports encryption ⁹
CKA_DECRYPT ⁸	CK_BBOOL	CK_TRUE if key supports decryption ⁹
CKA_SIGN ⁸	CK_BBOOL	CK_TRUE if key supports signatures (<i>i.e.</i> , authentication codes) where the signature is an appendix to the data ⁹
CKA_VERIFY ⁸	CK_BBOOL	CK_TRUE if key supports verification (<i>i.e.</i> , of authentication codes) where the signature is an appendix to the data ⁹
CKA_WRAP ⁸	CK_BBOOL	CK_TRUE if key supports wrapping (<i>i.e.</i> , can be used to wrap other keys) ⁹
CKA_UNWRAP ⁸	CK_BBOOL	CK_TRUE if key supports unwrapping (<i>i.e.</i> , can be used to unwrap other keys) ⁹
CKA_EXTRACTABLE ^{8,12}	CK_BBOOL	CK_TRUE if key is extractable and can be wrapped ⁹
CKA_ALWAYS_SENSITIVE ^{2,4,6}	CK_BBOOL	CK_TRUE if key has <i>always</i> had the CKA_SENSITIVE attribute set to CK_TRUE
CKA_NEVER_EXTRACTABLE ^{2,4,6}	CK_BBOOL	CK_TRUE if key has <i>never</i> had the CKA_EXTRACTABLE attribute set to CK_TRUE
CKA_CHECK_VALUE	Byte array	Key checksum
CKA_WRAP_WITH_TRUSTED ¹¹	CK_BBOOL	CK_TRUE if the key can only be wrapped with a wrapping key that has CKA_TRUSTED set to CK_TRUE. Default is CK_FALSE.
CKA_TRUSTED ¹⁰	CK_BBOOL	The wrapping key can be used to wrap keys with CKA_WRAP_WITH_TRUSTED set to CK_TRUE.
CKA_WRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to match against any keys wrapped using this wrapping key. Keys that do not match cannot be wrapped. The number of attributes in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_ATTRIBUTE
CKA_UNWRAP_TEMPLATE	CK_ATTRIBUTE_PTR	For wrapping keys. The attribute template to apply to any keys

Attribute	Data type	Meaning
		unwrapped using this wrapping key. Any user supplied template is applied after this template as if the object has already been created. The number of attributes in the array is the <i>ulValueLen</i> component of the attribute divided by the size of CK_ATTRIBUTE.

Refer to Table 10 for footnotes

If the **CKA_SENSITIVE** attribute is CK_TRUE, or if the **CKA_EXTRACTABLE** attribute is CK_FALSE, then certain attributes of the secret key cannot be revealed in plaintext outside the token. Which attributes these are is specified for each type of secret key in the attribute table in the section describing that type of key.

The key check value (KCV) attribute for symmetric key objects to be called **CKA_CHECK_VALUE**, of type byte array, length 3 bytes, operates like a fingerprint, or checksum of the key. They are intended to be used to cross-check symmetric keys against other systems where the same key is shared, and as a validity check after manual key entry or restore from backup. Refer to object definitions of specific key types for KCV algorithms.

Properties:

- 1. For two keys that are cryptographically identical the value of this attribute should be identical.
- 2. CKA_CHECK_VALUE should not be usable to obtain any part of the key value.
- 3. Non-uniqueness. Two different keys can have the same CKA_CHECK_VALUE. This is unlikely (the probability can easily be calculated) but possible.

The attribute is optional, but if supported the value of the attribute is always supplied by the library, regardless of how the key object is created or derived, the value of the attribute is always supplied. It shallSHALL be supplied even if the encryption operation for the key is forbidden (i.e. when CKA_ENCRYPT is set to CK_FALSE).

If a value is supplied in the application template (allowed but never necessary) then, if supported, it <u>mustMUST</u> match what the library calculates it to be or the library returns a

CKR_ATTRIBUTE_VALUE_INVALID. If the library does not support the attribute then it should ignore it. Allowing the attribute in the template this way does no harm and allows the attribute to be treated like any other attribute for the purposes of key wrap and unwrap where the attributes are preserved also.

The generation of the KCV may be prevented by the application supplying the attribute in the template as a no-value (0 length) entry. The application can query the value at any time like any other attribute using C_GetAttributeValue. C_SetAttributeValue may be used to destroy the attribute, by supplying no-value.

Unless otherwise specified for the object definition, the value of this attribute is derived from the key object by taking the first three bytes of an encryption of a single block of null (0x00) bytes, using the default cipher and mode (e.g. ECB) associated with the key type of the secret key object.

4.11 Domain parameter objects

4.11.1 Definitions

This section defines the object class CKO_DOMAIN_PARAMETERS for type CK_OBJECT_CLASS as used in the CKA_CLASS attribute of objects.

4.11.2 Overview

This object class was created to support the storage of certain algorithm's extended parameters. DSA and DH both use domain parameters in the key-pair generation step. In particular, some libraries support the generation of domain parameters (originally out of scope for PKCS11) so the object class was added.

To use a domain parameter object you <u>mustMUST</u> extract the attributes into a template and supply them (still in the template) to the corresponding key-pair generation function.

Domain parameter objects (object class CKO_DOMAIN_PARAMETERS) hold public domain parameters.

The following table defines the attributes common to domain parameter objects in addition to the common attributes defined for this object class:

Attribute	Data Type	Meaning
CKA_KEY_TYPE ¹	CK_KEY_TYPE	Type of key the domain parameters can be used to generate.
CKA_LOCAL ^{2,4}	CK_BBOOL	CK_TRUE only if domain parameters were either
		 generated locally (<i>i.e.</i>, on the token) with a C_GenerateKey
		 created with a C_CopyObject call as a copy of domain parameters which had its CKA_LOCAL attribute set to CK_TRUE

Table 28, Common Domain Parameter Attributes

Refer to Table 10 for footnotes

The **CKA_LOCAL** attribute has the value CK_TRUE if and only if the values of the domain parameters were originally generated on the token by a **C_GenerateKey** call.

4.12 Mechanism objects

4.12.1 Definitions

This section defines the object class CKO_MECHANISM for type CK_OBJECT_CLASS as used in the CKA_CLASS attribute of objects.

4.12.2 Overview

Mechanism objects provide information about mechanisms supported by a device beyond that given by the **CK_MECHANISM_INFO** structure.

When searching for objects using **C_FindObjectsInit** and **C_FindObjects**, mechanism objects are not returned unless the **CKA_CLASS** attribute in the template has the value **CKO_MECHANISM**. This protects applications written to previous versions of Cryptoki from finding objects that they do not understand.

Table 29, Common Mechanism Attributes

Attribute	Data Type	Meaning
CKA_MECHANISM_TYPE	CK_MECHANISM_TYPE	The type of mechanism object

The CKA_MECHANISM_TYPE attribute may not be set.

5 Functions

Cryptoki's functions are organized into the following categories:

- general-purpose functions (4 functions)
- slot and token management functions (9 functions)
- session management functions (8 functions)
- object management functions (9 functions)
- encryption functions (4 functions)
- decryption functions (4 functions)
- message digesting functions (5 functions)
- signing and MACing functions (6 functions)
- functions for verifying signatures and MACs (6 functions)
- dual-purpose cryptographic functions (4 functions)
- key management functions (5 functions)
- random number generation functions (2 functions)
- parallel function management functions (2 functions)

In addition to these functions, Cryptoki can use application-supplied callback functions to notify an application of certain events, and can also use application-supplied functions to handle mutex objects for safe multi-threaded library access.

The Cryptoki API functions are presented in the following table:

Table 30, Summary of Cryptoki Functions

Category	Function	Description
General	C_Initialize	initializes Cryptoki
purpose functions	C_Finalize	clean up miscellaneous Cryptoki-associated resources
	C_GetInfo	obtains general information about Cryptoki
	C_GetFunctionList	obtains entry points of Cryptoki library functions
Slot and token	C_GetSlotList	obtains a list of slots in the system
management	C_GetSlotInfo	obtains information about a particular slot
functions	C_GetTokenInfo	obtains information about a particular token
	C_WaitForSlotEvent	waits for a slot event (token insertion, removal, etc.) to occur
	C_GetMechanismList	obtains a list of mechanisms supported by a token
	C_GetMechanismInfo	obtains information about a particular mechanism
	C_InitToken	initializes a token
	C_InitPIN	initializes the normal user's PIN
	C_SetPIN	modifies the PIN of the current user
Session management	C_OpenSession	opens a connection between an application and a particular token or sets up an

Category	Function	Description
functions		application callback for token insertion
	C_CloseSession	closes a session
	C_CloseAllSessions	closes all sessions with a token
	C_GetSessionInfo	obtains information about the session
	C_GetOperationState	obtains the cryptographic operations state of a session
	C_SetOperationState	sets the cryptographic operations state of a session
	C_Login	logs into a token
	C_Logout	logs out from a token
Object	C_CreateObject	creates an object
management	C_CopyObject	creates a copy of an object
functions	C_DestroyObject	destroys an object
	C_GetObjectSize	obtains the size of an object in bytes
	C_GetAttributeValue	obtains an attribute value of an object
	C_SetAttributeValue	modifies an attribute value of an object
	C_FindObjectsInit	initializes an object search operation
	C_FindObjects	continues an object search operation
	C_FindObjectsFinal	finishes an object search operation
Encryption	C_EncryptInit	initializes an encryption operation
functions	C_Encrypt	encrypts single-part data
	C_EncryptUpdate	continues a multiple-part encryption operation
	C_EncryptFinal	finishes a multiple-part encryption operation
Decryption	C_DecryptInit	initializes a decryption operation
functions	C_Decrypt	decrypts single-part encrypted data
	C_DecryptUpdate	continues a multiple-part decryption operation
	C_DecryptFinal	finishes a multiple-part decryption operation
Message	C_DigestInit	initializes a message-digesting operation
digesting	C_Digest	digests single-part data
functions	C_DigestUpdate	continues a multiple-part digesting operation
	C_DigestKey	digests a key
	C_DigestFinal	finishes a multiple-part digesting operation

Category	Function	Description
Signing	C_SignInit	initializes a signature operation
and MACing	C_Sign	signs single-part data
functions	C_SignUpdate	continues a multiple-part signature operation
	C_SignFinal	finishes a multiple-part signature operation
	C_SignRecoverInit	initializes a signature operation, where the data can be recovered from the signature
	C_SignRecover	signs single-part data, where the data can be recovered from the signature
Functions for verifying	C_VerifyInit	initializes a verification operation
signatures	C_Verify	verifies a signature on single-part data
and MACs	C_VerifyUpdate	continues a multiple-part verification operation
	C_VerifyFinal	finishes a multiple-part verification operation
	C_VerifyRecoverInit	initializes a verification operation where the data is recovered from the signature
	C_VerifyRecover	verifies a signature on single-part data, where the data is recovered from the signature
Dual-purpose cryptographic	C_DigestEncryptUpdate	continues simultaneous multiple-part digesting and encryption operations
functions	C_DecryptDigestUpdate	continues simultaneous multiple-part decryption and digesting operations
	C_SignEncryptUpdate	continues simultaneous multiple-part signature and encryption operations
	C_DecryptVerifyUpdate	continues simultaneous multiple-part decryption and verification operations
Кеу	C_GenerateKey	generates a secret key
management	C_GenerateKeyPair	generates a public-key/private-key pair
functions	C_WrapKey	wraps (encrypts) a key
	C_UnwrapKey	unwraps (decrypts) a key
	C_DeriveKey	derives a key from a base key
Random number generation	C_SeedRandom	mixes in additional seed material to the random number generator
functions	C_GenerateRandom	generates random data
Parallel function management	C_GetFunctionStatus	legacy function which always returns CKR_FUNCTION_NOT_PARALLEL
functions	C_CancelFunction	legacy function which always returns CKR_FUNCTION_NOT_PARALLEL
Callback function		application-supplied function to process notifications from Cryptoki

Execution of a Cryptoki function call is in general an all-or-nothing affair, *i.e.*, a function call accomplishes either its entire goal, or nothing at all.

- If a Cryptoki function executes successfully, it returns the value CKR_OK.
- If a Cryptoki function does not execute successfully, it returns some value other than CKR_OK, and the token is in the same state as it was in prior to the function call. If the function call was supposed to modify the contents of certain memory addresses on the host computer, these memory addresses may have been modified, despite the failure of the function.

• In unusual (and extremely unpleasant!) circumstances, a function can fail with the return value CKR_GENERAL_ERROR. When this happens, the token and/or host computer may be in an inconsistent state, and the goals of the function may have been partially achieved.

There are a small number of Cryptoki functions whose return values do not behave precisely as described above; these exceptions are documented individually with the description of the functions themselves.

A Cryptoki library need not support every function in the Cryptoki API. However, even an unsupported function <u>mustMUST</u> have a "stub" in the library which simply returns the value CKR_FUNCTION_NOT_SUPPORTED. The function's entry in the library's **CK_FUNCTION_LIST** structure (as obtained by **C_GetFunctionList**) should point to this stub function (see Section 3.6).

5.1 Function return values

The Cryptoki interface possesses a large number of functions and return values. In Section 5.1, we enumerate the various possible return values for Cryptoki functions; most of the remainder of Section 4.125.1 details the behavior of Cryptoki functions, including what values each of them may return.

Because of the complexity of the Cryptoki specification, it is recommended that Cryptoki applications attempt to give some leeway when interpreting Cryptoki functions' return values. We have attempted to specify the behavior of Cryptoki functions as completely as was feasible; nevertheless, there are presumably some gaps. For example, it is possible that a particular error code which might apply to a particular Cryptoki function is unfortunately not actually listed in the description of that function as a possible error code. It is conceivable that the developer of a Cryptoki library might nevertheless permit his/her implementation of that function to return that error code. It would clearly be somewhat ungraceful if a Cryptoki application using that library were to terminate by abruptly dumping core upon receiving that error code for that function. It would be far preferable for the application to examine the function's return value, see that it indicates some sort of error (even if the application doesn't know precisely *what* kind of error), and behave accordingly.

See Section 5.1.8 for some specific details on how a developer might attempt to make an application that accommodates a range of behaviors from Cryptoki libraries.

5.1.1 Universal Cryptoki function return values

Any Cryptoki function can return any of the following values:

- CKR_GENERAL_ERROR: Some horrible, unrecoverable error has occurred. In the worst case, it is
 possible that the function only partially succeeded, and that the computer and/or token is in an
 inconsistent state.
- CKR_HOST_MEMORY: The computer that the Cryptoki library is running on has insufficient memory to perform the requested function.
- CKR_FUNCTION_FAILED: The requested function could not be performed, but detailed information about why not is not available in this error return. If the failed function uses a session, it is possible that the CK_SESSION_INFO structure that can be obtained by calling C_GetSessionInfo will hold useful information about what happened in its *ulDeviceError* field. In any event, although the function call failed, the situation is not necessarily totally hopeless, as it is likely to be when CKR_GENERAL_ERROR is returned. Depending on what the root cause of the error actually was, it is possible that an attempt to make the exact same function call again would succeed.
- CKR_OK: The function executed successfully. Technically, CKR_OK is not *quite* a "universal" return value; in particular, the legacy functions C_GetFunctionStatus and C_CancelFunction (see Section 5.15) cannot return CKR_OK.

The relative priorities of these errors are in the order listed above, *e.g.*, if either of CKR_GENERAL_ERROR or CKR_HOST_MEMORY would be an appropriate error return, then CKR_GENERAL_ERROR should be returned.

5.1.2 Cryptoki function return values for functions that use a session handle

Any Cryptoki function that takes a session handle as one of its arguments (i.e., any Cryptoki function except for C_Initialize, C_Finalize, C_GetInfo, C_GetFunctionList, C_GetSlotList, C_GetSlotInfo, C_GetTokenInfo, C_WaitForSlotEvent, C_GetMechanismList, C_GetMechanismInfo, C_InitToken, C_OpenSession, and C_CloseAllSessions) can return the following values:

CKR_SESSION_HANDLE_INVALID: The specified session handle was invalid at the time that the function was invoked. Note that this can happen if the session's token is removed before the function invocation, since removing a token closes all sessions with it.

- CKR DEVICE REMOVED: The token was removed from its slot *during the execution of the function*.
- CKR_SESSION_CLOSED: The session was closed *during the execution of the function*. Note that, as stated in [PKCS11-UG], the behavior of Cryptoki is *undefined* if multiple threads of an application attempt to access a common Cryptoki session simultaneously. Therefore, there is actually no guarantee that a function invocation could ever return the value CKR_SESSION_CLOSED. An example of multiple threads accessing a common session simultaneously is where one thread is using a session when another thread closes that same session.

The relative priorities of these errors are in the order listed above, *e.g.*, if either of CKR_SESSION_HANDLE_INVALID or CKR_DEVICE_REMOVED would be an appropriate error return, then CKR_SESSION_HANDLE_INVALID should be returned.

In practice, it is often not crucial (or possible) for a Cryptoki library to be able to make a distinction between a token being removed *before* a function invocation and a token being removed *during* a function execution.

5.1.3 Cryptoki function return values for functions that use a token

Any Cryptoki function that uses a particular token (*i.e.*, any Cryptoki function except for **C_Initialize**, **C_Finalize**, **C_GetInfo**, **C_GetFunctionList**, **C_GetSlotList**, **C_GetSlotInfo**, or **C_WaitForSlotEvent**) can return any of the following values:

- CKR_DEVICE_MEMORY: The token does not have sufficient memory to perform the requested function.
- CKR_DEVICE_ERROR: Some problem has occurred with the token and/or slot. This error code can be returned by more than just the functions mentioned above; in particular, it is possible for C_GetSlotInfo to return CKR_DEVICE_ERROR.
- CKR_TOKEN_NOT_PRESENT: The token was not present in its slot at the time that the function was invoked.
- CKR_DEVICE_REMOVED: The token was removed from its slot *during the execution of the function*.

The relative priorities of these errors are in the order listed above, *e.g.*, if either of CKR_DEVICE_MEMORY or CKR_DEVICE_ERROR would be an appropriate error return, then CKR_DEVICE_MEMORY should be returned.

In practice, it is often not critical (or possible) for a Cryptoki library to be able to make a distinction between a token being removed *before* a function invocation and a token being removed *during* a function execution.

5.1.4 Special return value for application-supplied callbacks

There is a special-purpose return value which is not returned by any function in the actual Cryptoki API, but which may be returned by an application-supplied callback function. It is:

• CKR_CANCEL: When a function executing in serial with an application decides to give the application a chance to do some work, it calls an application-supplied function with a CKN_SURRENDER callback (see Section 5.16). If the callback returns the value CKR_CANCEL, then the function aborts and returns CKR_FUNCTION_CANCELED.

5.1.5 Special return values for mutex-handling functions

There are two other special-purpose return values which are not returned by any actual Cryptoki functions. These values may be returned by application-supplied mutex-handling functions, and they may safely be ignored by application developers who are not using their own threading model. They are:

- CKR_MUTEX_BAD: This error code can be returned by mutex-handling functions that are passed a bad mutex object as an argument. Unfortunately, it is possible for such a function not to recognize a bad mutex object. There is therefore no guarantee that such a function will successfully detect bad mutex objects and return this value.
- CKR_MUTEX_NOT_LOCKED: This error code can be returned by mutex-unlocking functions. It indicates that the mutex supplied to the mutex-unlocking function was not locked.

5.1.6 All other Cryptoki function return values

Descriptions of the other Cryptoki function return values follow. Except as mentioned in the descriptions of particular error codes, there are in general no particular priorities among the errors listed below, *i.e.*, if more than one error code might apply to an execution of a function, then the function may return any applicable error code.

- CKR_ACTION_PROHIBITED: This value can only be returned by C_CopyObject, C_SetAttributeValue and C_DestroyObject. It denotes that the action may not be taken, either because of underlying policy restrictions on the token, or because the object has the the relevant CKA_COPYABLE, CKA_MODIFIABLE or CKA_DESTROYABLE policy attribute set to CK_FALSE.
- CKR_ARGUMENTS_BAD: This is a rather generic error code which indicates that the arguments supplied to the Cryptoki function were in some way not appropriate.
- CKR_ATTRIBUTE_READ_ONLY: An attempt was made to set a value for an attribute which may not be set by the application, or which may not be modified by the application. See Section 4.1 for more information.
- CKR_ATTRIBUTE_SENSITIVE: An attempt was made to obtain the value of an attribute of an object which cannot be satisfied because the object is either sensitive or un-extractable.
- CKR_ATTRIBUTE_TYPE_INVALID: An invalid attribute type was specified in a template. See Section 4.1 for more information.
- CKR_ATTRIBUTE_VALUE_INVALID: An invalid value was specified for a particular attribute in a template. See Section 4.1 for more information.
- CKR_BUFFER_TOO_SMALL: The output of the function is too large to fit in the supplied buffer.
- CKR_CANT_LOCK: This value can only be returned by **C_Initialize**. It means that the type of locking requested by the application for thread-safety is not available in this library, and so the application cannot make use of this library in the specified fashion.
- CKR_CRYPTOKI_ALREADY_INITIALIZED: This value can only be returned by **C_Initialize**. It means that the Cryptoki library has already been initialized (by a previous call to **C_Initialize** which did not have a matching **C_Finalize** call).
- CKR_CRYPTOKI_NOT_INITIALIZED: This value can be returned by any function other than C_Initialize and C_GetFunctionList. It indicates that the function cannot be executed because the Cryptoki library has not yet been initialized by a call to C_Initialize.
- CKR_CURVE_NOT_SUPPORTED: This curve is not supported by this token. Used with Elliptic Curve mechanisms.
- CKR_DATA_INVALID: The plaintext input data to a cryptographic operation is invalid. This return value has lower priority than CKR_DATA_LEN_RANGE.
- CKR_DATA_LEN_RANGE: The plaintext input data to a cryptographic operation has a bad length. Depending on the operation's mechanism, this could mean that the plaintext data is too short, too long, or is not a multiple of some particular block size. This return value has higher priority than CKR_DATA_INVALID.

- CKR_DOMAIN_PARAMS_INVALID: Invalid or unsupported domain parameters were supplied to the function. Which representation methods of domain parameters are supported by a given mechanism can vary from token to token.
- CKR_ENCRYPTED_DATA_INVALID: The encrypted input to a decryption operation has been determined to be invalid ciphertext. This return value has lower priority than CKR_ENCRYPTED_DATA_LEN_RANGE.
- CKR_ENCRYPTED_DATA_LEN_RANGE: The ciphertext input to a decryption operation has been determined to be invalid ciphertext solely on the basis of its length. Depending on the operation's mechanism, this could mean that the ciphertext is too short, too long, or is not a multiple of some particular block size. This return value has higher priority than CKR_ENCRYPTED_DATA_INVALID.
- CKR_EXCEEDED_MAX_ITERATIONS: An iterative algorithm (for key pair generation, domain parameter generation etc.) failed because we have exceeded the maximum number of iterations. This error code has precedence over CKR_FUNCTION_FAILED. Examples of iterative algorithms include DSA signature generation (retry if either r = 0 or s = 0) and generation of DSA primes p and q specified in FIPS 186-24.
- CKR_FIPS_SELF_TEST_FAILED: A FIPS 140-2 power-up self-test or conditional self-test failed. The token entered an error state. Future calls to cryptographic functions on the token will return CKR_GENERAL_ERROR. CKR_FIPS_SELF_TEST_FAILED has a higher precedence over CKR_GENERAL_ERROR. This error may be returned by C_Initialize, if a power-up self-test failed, by C_GenerateRandom or C_SeedRandom, if the continuous random number generator test failed, or by C_GenerateKeyPair, if the pair-wise consistency test failed.
- CKR_FUNCTION_CANCELED: The function was canceled in mid-execution. This happens to a cryptographic function if the function makes a **CKN_SURRENDER** application callback which returns CKR_CANCEL (see CKR_CANCEL). It also happens to a function that performs PIN entry through a protected path. The method used to cancel a protected path PIN entry operation is device dependent.
- CKR_FUNCTION_NOT_PARALLEL: There is currently no function executing in parallel in the specified session. This is a legacy error code which is only returned by the legacy functions C_GetFunctionStatus and C_CancelFunction.
- CKR_FUNCTION_NOT_SUPPORTED: The requested function is not supported by this Cryptoki library. Even unsupported functions in the Cryptoki API should have a "stub" in the library; this stub should simply return the value CKR_FUNCTION_NOT_SUPPORTED.
- CKR_FUNCTION_REJECTED: The signature request is rejected by the user.
- CKR_INFORMATION_SENSITIVE: The information requested could not be obtained because the token considers it sensitive, and is not able or willing to reveal it.
- CKR_KEY_CHANGED: This value is only returned by **C_SetOperationState**. It indicates that one of the keys specified is not the same key that was being used in the original saved session.
- CKR_KEY_FUNCTION_NOT_PERMITTED: An attempt has been made to use a key for a cryptographic purpose that the key's attributes are not set to allow it to do. For example, to use a key for performing encryption, that key <u>mustMUST</u> have its CKA_ENCRYPT attribute set to CK_TRUE (the fact that the key <u>mustMUST</u> have a CKA_ENCRYPT attribute implies that the key cannot be a private key). This return value has lower priority than CKR_KEY_TYPE_INCONSISTENT.
- CKR_KEY_HANDLE_INVALID: The specified key handle is not valid. It may be the case that the specified handle is a valid handle for an object which is not a key. We reiterate here that 0 is never a valid key handle.
- CKR_KEY_INDIGESTIBLE: This error code can only be returned by **C_DigestKey**. It indicates that the value of the specified key cannot be digested for some reason (perhaps the key isn't a secret key, or perhaps the token simply can't digest this kind of key).
- CKR_KEY_NEEDED: This value is only returned by C_SetOperationState. It indicates that the session state cannot be restored because C_SetOperationState needs to be supplied with one or more keys that were being used in the original saved session.

- CKR_KEY_NOT_NEEDED: An extraneous key was supplied to **C_SetOperationState**. For example, an attempt was made to restore a session that had been performing a message digesting operation, and an encryption key was supplied.
- CKR_KEY_NOT_WRAPPABLE: Although the specified private or secret key does not have its CKA_EXTRACTABLE attribute set to CK_FALSE, Cryptoki (or the token) is unable to wrap the key as requested (possibly the token can only wrap a given key with certain types of keys, and the wrapping key specified is not one of these types). Compare with CKR_KEY_UNEXTRACTABLE.
- CKR_KEY_SIZE_RANGE: Although the requested keyed cryptographic operation could in principle be carried out, this Cryptoki library (or the token) is unable to actually do it because the supplied key's size is outside the range of key sizes that it can handle.
- CKR_KEY_TYPE_INCONSISTENT: The specified key is not the correct type of key to use with the specified mechanism. This return value has a higher priority than CKR_KEY_FUNCTION_NOT_PERMITTED.
- CKR_KEY_UNEXTRACTABLE: The specified private or secret key can't be wrapped because its CKA_EXTRACTABLE attribute is set to CK_FALSE. Compare with CKR_KEY_NOT_WRAPPABLE.
- CKR_LIBRARY_LOAD_FAILED: The Cryptoki library could not load a dependent shared library.
- CKR_MECHANISM_INVALID: An invalid mechanism was specified to the cryptographic operation. This error code is an appropriate return value if an unknown mechanism was specified or if the mechanism specified cannot be used in the selected token with the selected function.
- CKR_MECHANISM_PARAM_INVALID: Invalid parameters were supplied to the mechanism specified to the cryptographic operation. Which parameter values are supported by a given mechanism can vary from token to token.
- CKR_NEED_TO_CREATE_THREADS: This value can only be returned by **C_Initialize**. It is returned when two conditions hold:
 - 1. The application called **C_Initialize** in a way which tells the Cryptoki library that application threads executing calls to the library cannot use native operating system methods to spawn new threads.
 - 2. The library cannot function properly without being able to spawn new threads in the above fashion.
- CKR_NO_EVENT: This value can only be returned by **C_GetSlotEvent**. It is returned when **C_GetSlotEvent** is called in non-blocking mode and there are no new slot events to return.
- CKR_OBJECT_HANDLE_INVALID: The specified object handle is not valid. We reiterate here that 0 is never a valid object handle.
- CKR_OPERATION_ACTIVE: There is already an active operation (or combination of active operations) which prevents Cryptoki from activating the specified operation. For example, an active object-searching operation would prevent Cryptoki from activating an encryption operation with C_EncryptInit. Or, an active digesting operation and an active encryption operation would prevent Cryptoki from activating a signature operation. Or, on a token which doesn't support simultaneous dual cryptographic operations in a session (see the description of the CKE DUAL CRYPTO OPERATIONS flag in the CK TOKEN INFO structure) an active signature

CKF_DUAL_CRYPTO_OPERATIONS flag in the **CK_TOKEN_INFO** structure), an active signature operation would prevent Cryptoki from activating an encryption operation.

- CKR_OPERATION_NOT_INITIALIZED: There is no active operation of an appropriate type in the specified session. For example, an application cannot call **C_Encrypt** in a session without having called **C_EncryptInit** first to activate an encryption operation.
- CKR_PIN_EXPIRED: The specified PIN has expired, and the requested operation cannot be carried out unless C_SetPIN is called to change the PIN value. Whether or not the normal user's PIN on a token ever expires varies from token to token.
- CKR_PIN_INCORRECT: The specified PIN is incorrect, *i.e.*, does not match the PIN stored on the token. More generally-- when authentication to the token involves something other than a PIN-- the attempt to authenticate the user has failed.

- CKR_PIN_INVALID: The specified PIN has invalid characters in it. This return code only applies to functions which attempt to set a PIN.
- CKR_PIN_LEN_RANGE: The specified PIN is too long or too short. This return code only applies to functions which attempt to set a PIN.
- CKR_PIN_LOCKED: The specified PIN is "locked", and cannot be used. That is, because some particular number of failed authentication attempts has been reached, the token is unwilling to permit further attempts at authentication. Depending on the token, the specified PIN may or may not remain locked indefinitely.
- CKR_PIN_TOO_WEAK: The specified PIN is too weak so that it could be easy to guess. If the PIN is too short, CKR_PIN_LEN_RANGE should be returned instead. This return code only applies to functions which attempt to set a PIN.
- CKR_PUBLIC_KEY_INVALID: The public key fails a public key validation. For example, an EC public key fails the public key validation specified in Section 5.2.2 of ANSI X9.62. This error code may be returned by C_CreateObject, when the public key is created, or by C_VerifyInit or C_VerifyRecoverInit, when the public key is used. It may also be returned by C_DeriveKey, in preference to CKR_MECHANISM_PARAM_INVALID, if the other party's public key specified in the mechanism's parameters is invalid.
- CKR_RANDOM_NO_RNG: This value can be returned by **C_SeedRandom** and **C_GenerateRandom**. It indicates that the specified token doesn't have a random number generator. This return value has higher priority than CKR_RANDOM_SEED_NOT_SUPPORTED.
- CKR_RANDOM_SEED_NOT_SUPPORTED: This value can only be returned by **C_SeedRandom**. It indicates that the token's random number generator does not accept seeding from an application. This return value has lower priority than CKR_RANDOM_NO_RNG.
- CKR_SAVED_STATE_INVALID: This value can only be returned by **C_SetOperationState**. It indicates that the supplied saved cryptographic operations state is invalid, and so it cannot be restored to the specified session.
- CKR_SESSION_COUNT: This value can only be returned by **C_OpenSession**. It indicates that the attempt to open a session failed, either because the token has too many sessions already open, or because the token has too many read/write sessions already open.
- CKR_SESSION_EXISTS: This value can only be returned by **C_InitToken**. It indicates that a session with the token is already open, and so the token cannot be initialized.
- CKR_SESSION_PARALLEL_NOT_SUPPORTED: The specified token does not support parallel sessions. This is a legacy error code—in Cryptoki Version 2.01 and up, *no* token supports parallel sessions. CKR_SESSION_PARALLEL_NOT_SUPPORTED can only be returned by C_OpenSession, and it is only returned when C_OpenSession is called in a particular [deprecated] way.
- CKR_SESSION_READ_ONLY: The specified session was unable to accomplish the desired action because it is a read-only session. This return value has lower priority than CKR_TOKEN_WRITE_PROTECTED.
- CKR_SESSION_READ_ONLY_EXISTS: A read-only session already exists, and so the SO cannot be logged in.
- CKR_SESSION_READ_WRITE_SO_EXISTS: A read/write SO session already exists, and so a read-only session cannot be opened.
- CKR_SIGNATURE_LEN_RANGE: The provided signature/MAC can be seen to be invalid solely on the basis of its length. This return value has higher priority than CKR_SIGNATURE_INVALID.
- CKR_SIGNATURE_INVALID: The provided signature/MAC is invalid. This return value has lower priority than CKR_SIGNATURE_LEN_RANGE.
- CKR_SLOT_ID_INVALID: The specified slot ID is not valid.

- CKR_STATE_UNSAVEABLE: The cryptographic operations state of the specified session cannot be saved for some reason (possibly the token is simply unable to save the current state). This return value has lower priority than CKR_OPERATION_NOT_INITIALIZED.
- CKR_TEMPLATE_INCOMPLETE: The template specified for creating an object is incomplete, and lacks some necessary attributes. See Section 4.1 for more information.
- CKR_TEMPLATE_INCONSISTENT: The template specified for creating an object has conflicting attributes. See Section 4.1 for more information.
- CKR_TOKEN_NOT_RECOGNIZED: The Cryptoki library and/or slot does not recognize the token in the slot.
- CKR_TOKEN_WRITE_PROTECTED: The requested action could not be performed because the token is write-protected. This return value has higher priority than CKR_SESSION_READ_ONLY.
- CKR_UNWRAPPING_KEY_HANDLE_INVALID: This value can only be returned by **C_UnwrapKey**. It indicates that the key handle specified to be used to unwrap another key is not valid.
- CKR_UNWRAPPING_KEY_SIZE_RANGE: This value can only be returned by **C_UnwrapKey**. It indicates that although the requested unwrapping operation could in principle be carried out, this Cryptoki library (or the token) is unable to actually do it because the supplied key's size is outside the range of key sizes that it can handle.
- CKR_UNWRAPPING_KEY_TYPE_INCONSISTENT: This value can only be returned by C_UnwrapKey. It indicates that the type of the key specified to unwrap another key is not consistent with the mechanism specified for unwrapping.
- CKR_USER_ALREADY_LOGGED_IN: This value can only be returned by **C_Login**. It indicates that the specified user cannot be logged into the session, because it is already logged into the session. For example, if an application has an open SO session, and it attempts to log the SO into it, it will receive this error code.
- CKR_USER_ANOTHER_ALREADY_LOGGED_IN: This value can only be returned by **C_Login**. It indicates that the specified user cannot be logged into the session, because another user is already logged into the session. For example, if an application has an open SO session, and it attempts to log the normal user into it, it will receive this error code.
- CKR_USER_NOT_LOGGED_IN: The desired action cannot be performed because the appropriate user (or *an* appropriate user) is not logged in. One example is that a session cannot be logged out unless it is logged in. Another example is that a private object cannot be created on a token unless the session attempting to create it is logged in as the normal user. A final example is that cryptographic operations on certain tokens cannot be performed unless the normal user is logged in.
- CKR_USER_PIN_NOT_INITIALIZED: This value can only be returned by **C_Login**. It indicates that the normal user's PIN has not yet been initialized with **C_InitPIN**.
- CKR_USER_TOO_MANY_TYPES: An attempt was made to have more distinct users simultaneously logged into the token than the token and/or library permits. For example, if some application has an open SO session, and another application attempts to log the normal user into a session, the attempt may return this error. It is not required to, however. Only if the simultaneous distinct users cannot be supported does **C_Login** have to return this value. Note that this error code generalizes to true multi-user tokens.
- CKR_USER_TYPE_INVALID: An invalid value was specified as a CK_USER_TYPE. Valid types are CKU_SO, CKU_USER, and CKU_CONTEXT_SPECIFIC.
- CKR_WRAPPED_KEY_INVALID: This value can only be returned by C_UnwrapKey. It indicates
 that the provided wrapped key is not valid. If a call is made to C_UnwrapKey to unwrap a particular
 type of key (*i.e.*, some particular key type is specified in the template provided to C_UnwrapKey),
 and the wrapped key provided to C_UnwrapKey is recognizably not a wrapped key of the proper
 type, then C_UnwrapKey should return CKR_WRAPPED_KEY_INVALID. This return value has
 lower priority than CKR_WRAPPED_KEY_LEN_RANGE.

- CKR_WRAPPED_KEY_LEN_RANGE: This value can only be returned by **C_UnwrapKey**. It indicates that the provided wrapped key can be seen to be invalid solely on the basis of its length. This return value has higher priority than CKR_WRAPPED_KEY_INVALID.
- CKR_WRAPPING_KEY_HANDLE_INVALID: This value can only be returned by **C_WrapKey**. It indicates that the key handle specified to be used to wrap another key is not valid.
- CKR_WRAPPING_KEY_SIZE_RANGE: This value can only be returned by **C_WrapKey**. It indicates that although the requested wrapping operation could in principle be carried out, this Cryptoki library (or the token) is unable to actually do it because the supplied wrapping key's size is outside the range of key sizes that it can handle.
- CKR_WRAPPING_KEY_TYPE_INCONSISTENT: This value can only be returned by **C_WrapKey**. It indicates that the type of the key specified to wrap another key is not consistent with the mechanism specified for wrapping.

5.1.7 More on relative priorities of Cryptoki errors

In general, when a Cryptoki call is made, error codes from Section 5.1.1 (other than CKR_OK) take precedence over error codes from Section 5.1.2, which take precedence over error codes from Section 5.1.3, which take precedence over error codes from Section 5.1.6. One minor implication of this is that functions that use a session handle (*i.e., most* functions!) never return the error code CKR_TOKEN_NOT_PRESENT (they return CKR_SESSION_HANDLE_INVALID instead). Other than these precedences, if more than one error code applies to the result of a Cryptoki call, any of the applicable error codes may be returned. Exceptions to this rule will be explicitly mentioned in the descriptions of functions.

5.1.8 Error code "gotchas"

Here is a short list of a few particular things about return values that Cryptoki developers might want to be aware of:

- 1. As mentioned in Sections 5.1.2 and 5.1.3, a Cryptoki library may not be able to make a distinction between a token being removed *before* a function invocation and a token being removed *during* a function invocation.
- 2. As mentioned in Section 5.1.2, an application should never count on getting a CKR_SESSION_CLOSED error.
- 3. The difference between CKR_DATA_INVALID and CKR_DATA_LEN_RANGE can be somewhat subtle. Unless an application *needs* to be able to distinguish between these return values, it is best to always treat them equivalently.
- Similarly, the difference between CKR_ENCRYPTED_DATA_INVALID and CKR_ENCRYPTED_DATA_LEN_RANGE, and between CKR_WRAPPED_KEY_INVALID and CKR_WRAPPED_KEY_LEN_RANGE, can be subtle, and it may be best to treat these return values equivalently.
- Even with the guidance of Section 4.1, it can be difficult for a Cryptoki library developer to know which of CKR_ATTRIBUTE_VALUE_INVALID, CKR_TEMPLATE_INCOMPLETE, or CKR_TEMPLATE_INCONSISTENT to return. When possible, it is recommended that application developers be generous in their interpretations of these error codes.

5.2 Conventions for functions returning output in a variable-length buffer

A number of the functions defined in Cryptoki return output produced by some cryptographic mechanism. The amount of output returned by these functions is returned in a variable-length application-supplied buffer. An example of a function of this sort is **C_Encrypt**, which takes some plaintext as an argument, and outputs a buffer full of ciphertext.

These functions have some common calling conventions, which we describe here. Two of the arguments to the function are a pointer to the output buffer (say *pBuf*) and a pointer to a location which will hold the

length of the output produced (say *pulBufLen*). There are two ways for an application to call such a function:

- 1. If *pBuf* is NULL_PTR, then all that the function does is return (in **pulBufLen*) a number of bytes which would suffice to hold the cryptographic output produced from the input to the function. This number may somewhat exceed the precise number of bytes needed, but should not exceed it by a large amount. CKR_OK is returned by the function.
- 2. If *pBuf* is not NULL_PTR, then **pulBufLen* must<u>MUST</u> contain the size in bytes of the buffer pointed to by *pBuf*. If that buffer is large enough to hold the cryptographic output produced from the input to the function, then that cryptographic output is placed there, and CKR_OK is returned by the function. If the buffer is not large enough, then CKR_BUFFER_TOO_SMALL is returned. In either case, **pulBufLen* is set to hold the *exact* number of bytes needed to hold the cryptographic output produced from the input to the function.

All functions which use the above convention will explicitly say so.

Cryptographic functions which return output in a variable-length buffer should always return as much output as can be computed from what has been passed in to them thus far. As an example, consider a session which is performing a multiple-part decryption operation with DES in cipher-block chaining mode with PKCS padding. Suppose that, initially, 8 bytes of ciphertext are passed to the **C_DecryptUpdate** function. The block size of DES is 8 bytes, but the PKCS padding makes it unclear at this stage whether the ciphertext was produced from encrypting a 0-byte string, or from encrypting some string of length at least 8 bytes. Hence the call to **C_DecryptUpdate** should return 0 bytes of plaintext. If a single additional byte of ciphertext is supplied by a subsequent call to **C_DecryptUpdate**, then that call should return 8 bytes of plaintext (one full DES block).

5.3 Disclaimer concerning sample code

For the remainder of this section, we enumerate the various functions defined in Cryptoki. Most functions will be shown in use in at least one sample code snippet. For the sake of brevity, sample code will frequently be somewhat incomplete. In particular, sample code will generally ignore possible error returns from C library functions, and also will not deal with Cryptoki error returns in a realistic fashion.

5.4 General-purpose functions

Cryptoki provides the following general-purpose functions:

C_Initialize

```
CK_DEFINE_FUNCTION(CK_RV, C_Initialize) {
    CK_VOID_PTR pInitArgs
);
```

C_Initialize initializes the Cryptoki library. *plnitArgs* either has the value NULL_PTR or points to a **CK_C_INITIALIZE_ARGS** structure containing information on how the library should deal with multi-threaded access. If an application will not be accessing Cryptoki through multiple threads simultaneously, it can generally supply the value NULL_PTR to **C_Initialize** (the consequences of supplying this value will be explained below).

If *plnitArgs* is non-NULL_PTR, **C_Initialize** should cast it to a **CK_C_INITIALIZE_ARGS_PTR** and then dereference the resulting pointer to obtain the **CK_C_INITIALIZE_ARGS** fields *CreateMutex*, *DestroyMutex*, *LockMutex*, *UnlockMutex*, *flags*, and *pReserved*. For this version of Cryptoki, the value of *pReserved* thereby obtained <u>mustMUST</u> be NULL_PTR; if it's not, then **C_Initialize** should return with the value CKR_ARGUMENTS_BAD.

If the **CKF_LIBRARY_CANT_CREATE_OS_THREADS** flag in the *flags* field is set, that indicates that application threads which are executing calls to the Cryptoki library are not permitted to use the native operation system calls to spawn off new threads. In other words, the library's code may not create its own threads. If the library is unable to function properly under this restriction, **C_Initialize** should return with the value CKR_NEED_TO_CREATE_THREADS.

A call to **C_Initialize** specifies one of four different ways to support multi-threaded access via the value of the **CKF_OS_LOCKING_OK** flag in the *flags* field and the values of the *CreateMutex*, *DestroyMutex*, *LockMutex*, and *UnlockMutex* function pointer fields:

- 1. If the flag *isn't* set, and the function pointer fields *aren't* supplied (*i.e.*, they all have the value NULL_PTR), that means that the application *won't* be accessing the Cryptoki library from multiple threads simultaneously.
- 2. If the flag *is* set, and the function pointer fields *aren't* supplied (*i.e.*, they all have the value NULL_PTR), that means that the application *will* be performing multi-threaded Cryptoki access, and the library needs to use the native operating system primitives to ensure safe multi-threaded access. If the library is unable to do this, C_Initialize should return with the value CKR_CANT_LOCK.
- 3. If the flag *isn't* set, and the function pointer fields *are* supplied (*i.e.*, they all have non-NULL_PTR values), that means that the application *will* be performing multi-threaded Cryptoki access, and the library needs to use the supplied function pointers for mutex-handling to ensure safe multi-threaded access. If the library is unable to do this, C_Initialize should return with the value CKR_CANT_LOCK.
- 4. If the flag *is* set, and the function pointer fields *are* supplied (*i.e.*, they all have non-NULL_PTR values), that means that the application *will* be performing multi-threaded Cryptoki access, and the library needs to use either the native operating system primitives or the supplied function pointers for mutex-handling to ensure safe multi-threaded access. If the library is unable to do this, **C_Initialize** should return with the value CKR_CANT_LOCK.

If some, but not all, of the supplied function pointers to **C_Initialize** are non-NULL_PTR, then **C_Initialize** should return with the value CKR_ARGUMENTS_BAD.

A call to **C_Initialize** with *plnitArgs* set to NULL_PTR is treated like a call to **C_Initialize** with *plnitArgs* pointing to a **CK_C_INITIALIZE_ARGS** which has the *CreateMutex*, *DestroyMutex*, *LockMutex*, *UnlockMutex*, and *pReserved* fields set to NULL_PTR, and has the *flags* field set to 0.

C_Initialize should be the first Cryptoki call made by an application, except for calls to

C_GetFunctionList. What this function actually does is implementation-dependent; typically, it might cause Cryptoki to initialize its internal memory buffers, or any other resources it requires.

If several applications are using Cryptoki, each one should call **C_Initialize**. Every call to **C_Initialize** should (eventually) be succeeded by a single call to **C_Finalize**. See **[PKCS11-UG]** for further details.

Return values: CKR_ARGUMENTS_BAD, CKR_CANT_LOCK,

CKR_CRYPTOKI_ALREADY_INITIALIZED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_NEED_TO_CREATE_THREADS, CKR_OK.

Example: see C_GetInfo.

• C_Finalize

```
CK_DEFINE_FUNCTION(CK_RV, C_Finalize)(
        CK_VOID_PTR pReserved
);
```

C_Finalize is called to indicate that an application is finished with the Cryptoki library. It should be the last Cryptoki call made by an application. The *pReserved* parameter is reserved for future versions; for this version, it should be set to NULL_PTR (if **C_Finalize** is called with a non-NULL_PTR value for *pReserved*, it should return the value CKR_ARGUMENTS_BAD.

If several applications are using Cryptoki, each one should call **C_Finalize**. Each application's call to **C_Finalize** should be preceded by a single call to **C_Initialize**; in between the two calls, an application can make calls to other Cryptoki functions. See **[PKCS11-UG]** for further details.

Despite the fact that the parameters supplied to **C_Initialize** can in general allow for safe multi-threaded access to a Cryptoki library, the behavior of **C_Finalize** is nevertheless undefined if it is called by an application while other threads of the application are making Cryptoki calls. The exception to this exceptional behavior of **C_Finalize** occurs when a thread calls **C_Finalize** while another of the

application's threads is blocking on Cryptoki's **C_WaitForSlotEvent** function. When this happens, the blocked thread becomes unblocked and returns the value CKR_CRYPTOKI_NOT_INITIALIZED. See **C_WaitForSlotEvent** for more information.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK.

Example: see C_GetInfo.

C_GetInfo

C_GetInfo returns general information about Cryptoki. *pInfo* points to the location that receives the information.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK.

Example:

```
CK INFO info;
CK RV rv;
CK C INITIALIZE ARGS InitArgs;
InitArgs.CreateMutex = &MyCreateMutex;
InitArgs.DestroyMutex = &MyDestroyMutex;
InitArgs.LockMutex = &MyLockMutex;
InitArgs.UnlockMutex = &MyUnlockMutex;
InitArgs.flags = CKF OS LOCKING OK;
InitArgs.pReserved = NULL PTR;
rv = C Initialize((CK VOID PTR)&InitArgs);
assert(rv == CKR OK);
rv = C GetInfo(&info);
assert(rv == CKR OK);
if(info.version.major == 2) {
  /* Do lots of interesting cryptographic things with the token */
}
rv = C Finalize(NULL PTR);
assert(rv == CKR OK);
```

C_GetFunctionList

CK DEFINE FUNCTION(CK RV, C GetFunctionList)(

```
CK_FUNCTION_LIST_PTR_PTR ppFunctionList
```

C_GetFunctionList obtains a pointer to the Cryptoki library's list of function pointers. *ppFunctionList* points to a value which will receive a pointer to the library's **CK_FUNCTION_LIST** structure, which in turn contains function pointers for all the Cryptoki API routines in the library. *The pointer thus obtained may point into memory which is owned by the Cryptoki library, and which may or may not be writable.* Whether or not this is the case, no attempt should be made to write to this memory.

C_GetFunctionList is the only Cryptoki function which an application may call before calling **C_Initialize**. It is provided to make it easier and faster for applications to use shared Cryptoki libraries and to use more than one Cryptoki library simultaneously.

Return values: CKR_ARGUMENTS_BAD, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK.

Example:

);

```
CK_FUNCTION_LIST_PTR pFunctionList;
CK_C_Initialize pC_Initialize;
CK_RV rv;
/* It's OK to call C_GetFunctionList before calling C_Initialize */
rv = C_GetFunctionList(&pFunctionList);
assert(rv == CKR_OK);
pC_Initialize = pFunctionList -> C_Initialize;
/* Call the C_Initialize function in the library */
rv = (*pC Initialize)(NULL PTR);
```

5.5 Slot and token management functions

Cryptoki provides the following functions for slot and token management:

C_GetSlotList

```
CK_DEFINE_FUNCTION(CK_RV, C_GetSlotList)(
        CK_BBOOL tokenPresent,
        CK_SLOT_ID_PTR pSlotList,
        CK_ULONG_PTR pulCount
);
```

C_GetSlotList is used to obtain a list of slots in the system. *tokenPresent* indicates whether the list obtained includes only those slots with a token present (CK_TRUE), or all slots (CK_FALSE); *pulCount* points to the location that receives the number of slots.

There are two ways for an application to call C_GetSlotList:

- If *pSlotList* is NULL_PTR, then all that C_GetSlotList does is return (in **pulCount*) the number of slots, without actually returning a list of slots. The contents of the buffer pointed to by *pulCount* on entry to C_GetSlotList has no meaning in this case, and the call returns the value CKR_OK.
- If *pSlotList* is not NULL_PTR, then **pulCount* mustMUST contain the size (in terms of CK_SLOT_ID elements) of the buffer pointed to by *pSlotList*. If that buffer is large enough to hold the list of slots, then the list is returned in it, and CKR_OK is returned. If not, then the call to C_GetSlotList returns the value CKR_BUFFER_TOO_SMALL. In either case, the value **pulCount* is set to hold the number of slots.

Because **C_GetSlotList** does not allocate any space of its own, an application will often call **C_GetSlotList** twice (or sometimes even more times—if an application is trying to get a list of all slots with a token present, then the number of such slots can (unfortunately) change between when the application asks for how many such slots there are and when the application asks for the slots themselves). However, multiple calls to **C_GetSlotList** are by no means *required*.

All slots which **C_GetSlotList** reports <u>mustMUST</u> be able to be queried as valid slots by **C_GetSlotInfo**. Furthermore, the set of slots accessible through a Cryptoki library is checked at the time that **C_GetSlotList**, for list length prediction (NULL pSlotList argument) is called. If an application calls **C_GetSlotList** with a non-NULL pSlotList, and *then* the user adds or removes a hardware device, the changed slot list will only be visible and effective if **C_GetSlotList** is called again with NULL. Even if **C_ GetSlotList** is successfully called this way, it may or may not be the case that the changed slot list will be successfully recognized depending on the library implementation. On some platforms, or earlier PKCS11 compliant libraries, it may be necessary to successfully call **C_Initialize** or to restart the entire system.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK.

```
CK ULONG ulSlotCount, ulSlotWithTokenCount;
CK SLOT ID PTR pSlotList, pSlotWithTokenList;
CK RV rv;
/* Get list of all slots */
rv = C GetSlotList(CK FALSE, NULL PTR, &ulSlotCount);
if (rv == CKR OK) {
 pSlotList =
    (CK SLOT ID PTR) malloc(ulSlotCount*sizeof(CK SLOT ID));
  rv = C GetSlotList(CK FALSE, pSlotList, &ulSlotCount);
  if (rv == CKR OK) {
    /* Now use that list of all slots */
  }
  free(pSlotList);
}
/* Get list of all slots with a token present */
pSlotWithTokenList = (CK SLOT ID PTR) malloc(0);
ulSlotWithTokenCount = 0;
while (1) {
  rv = C GetSlotList(
   CK TRUE, pSlotWithTokenList, ulSlotWithTokenCount);
  if (rv != CKR BUFFER TOO SMALL)
   break;
  pSlotWithTokenList = realloc(
```

```
pSlotWithTokenList,
    ulSlotWithTokenList*sizeof(CK_SLOT_ID));
}
if (rv == CKR_OK) {
    /* Now use that list of all slots with a token present */
    .
    .
}
free(pSlotWithTokenList);
```

C_GetSlotInfo

```
CK_DEFINE_FUNCTION(CK_RV, C_GetSlotInfo)(
        CK_SLOT_ID slotID,
        CK_SLOT_INFO_PTR pInfo
);
```

C_GetSlotInfo obtains information about a particular slot in the system. *slotID* is the ID of the slot; *pInfo* points to the location that receives the slot information.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_SLOT_ID_INVALID.

Example: see C_GetTokenInfo.

• C_GetTokenInfo

```
CK_DEFINE_FUNCTION(CK_RV, C_GetTokenInfo)(
        CK_SLOT_ID slotID,
        CK_TOKEN_INFO_PTR pInfo
);
```

C_GetTokenInfo obtains information about a particular token in the system. *slotID* is the ID of the token's slot; *plnfo* points to the location that receives the token information.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_SLOT_ID_INVALID, CKR_TOKEN_NOT_PRESENT, CKR_TOKEN_NOT_RECOGNIZED, CKR_ARGUMENTS_BAD.

Example:

```
CK_ULONG ulCount;
CK_SLOT_ID_PTR pSlotList;
CK_SLOT_INFO slotInfo;
CK_TOKEN_INFO tokenInfo;
CK_RV rv;
```

rv = C_GetSlotList(CK_FALSE, NULL_PTR, &ulCount);

C_WaitForSlotEvent

```
CK_DEFINE_FUNCTION(CK_RV, C_WaitForSlotEvent)(
        CK_FLAGS flags,
        CK_SLOT_ID_PTR pSlot,
        CK_VOID_PTR pReserved
);
```

C_WaitForSlotEvent waits for a slot event, such as token insertion or token removal, to occur. *flags* determines whether or not the **C_WaitForSlotEvent** call blocks (*i.e.*, waits for a slot event to occur); *pSlot* points to a location which will receive the ID of the slot that the event occurred in. *pReserved* is reserved for future versions; for this version of Cryptoki, it should be NULL_PTR.

At present, the only flag defined for use in the *flags* argument is **CKF_DONT_BLOCK**:

Internally, each Cryptoki application has a flag for each slot which is used to track whether or not any unrecognized events involving that slot have occurred. When an application initially calls **C_Initialize**, every slot's event flag is cleared. Whenever a slot event occurs, the flag corresponding to the slot in which the event occurred is set.

If **C_WaitForSlotEvent** is called with the **CKF_DONT_BLOCK** flag set in the *flags* argument, and some slot's event flag is set, then that event flag is cleared, and the call returns with the ID of that slot in the location pointed to by *pSlot*. If more than one slot's event flag is set at the time of the call, one such slot is chosen by the library to have its event flag cleared and to have its slot ID returned.

If **C_WaitForSlotEvent** is called with the **CKF_DONT_BLOCK** flag set in the *flags* argument, and no slot's event flag is set, then the call returns with the value CKR_NO_EVENT. In this case, the contents of the location pointed to by *pSlot* when **C_WaitForSlotEvent** are undefined.

If **C_WaitForSlotEvent** is called with the **CKF_DONT_BLOCK** flag clear in the *flags* argument, then the call behaves as above, except that it will block. That is, if no slot's event flag is set at the time of the call, **C_WaitForSlotEvent** will wait until some slot's event flag becomes set. If a thread of an application has

a **C_WaitForSlotEvent** call blocking when another thread of that application calls **C_Finalize**, the **C_WaitForSlotEvent** call returns with the value CKR_CRYPTOKI_NOT_INITIALIZED.

Although the parameters supplied to **C_Initialize** can in general allow for safe multi-threaded access to a Cryptoki library, **C_WaitForSlotEvent** is exceptional in that the behavior of Cryptoki is undefined if multiple threads of a single application make simultaneous calls to **C_WaitForSlotEvent**.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_NO_EVENT, CKR_OK.

Example:

```
CK_FLAGS flags = 0;
CK_SLOT_ID slotID;
CK_SLOT_INFO slotInfo;
.
.
/* Block and wait for a slot event */
rv = C_WaitForSlotEvent(flags, &slotID, NULL_PTR);
assert(rv == CKR_OK);
/* See what's up with that slot */
rv = C_GetSlotInfo(slotID, &slotInfo);
assert(rv == CKR_OK);
```

• C_GetMechanismList

```
CK_DEFINE_FUNCTION(CK_RV, C_GetMechanismList)(
CK_SLOT_ID slotID,
CK_MECHANISM_TYPE_PTR pMechanismList,
CK_ULONG_PTR pulCount
```

);

C_GetMechanismList is used to obtain a list of mechanism types supported by a token. *SlotID* is the ID of the token's slot; *pulCount* points to the location that receives the number of mechanisms.

There are two ways for an application to call C_GetMechanismList:

- If *pMechanismList* is NULL_PTR, then all that C_GetMechanismList does is return (in **pulCount*) the number of mechanisms, without actually returning a list of mechanisms. The contents of **pulCount* on entry to C_GetMechanismList has no meaning in this case, and the call returns the value CKR_OK.
- 2. If *pMechanismList* is not NULL_PTR, then **pulCount* must<u>MUST</u> contain the size (in terms of CK_MECHANISM_TYPE elements) of the buffer pointed to by *pMechanismList*. If that buffer is large enough to hold the list of mechanisms, then the list is returned in it, and CKR_OK is returned. If not, then the call to C_GetMechanismList returns the value CKR_BUFFER_TOO_SMALL. In either case, the value **pulCount* is set to hold the number of mechanisms.

Because **C_GetMechanismList** does not allocate any space of its own, an application will often call **C_GetMechanismList** twice. However, this behavior is by no means required.

Return values: CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED,

CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_SLOT_ID_INVALID, CKR_TOKEN_NOT_PRESENT, CKR_TOKEN_NOT_RECOGNIZED, CKR_ARGUMENTS_BAD.

Example:

• C_GetMechanismInfo

```
CK_DEFINE_FUNCTION(CK_RV, C_GetMechanismInfo)(
        CK_SLOT_ID slotID,
        CK_MECHANISM_TYPE type,
        CK_MECHANISM_INFO_PTR pInfo
);
```

C_GetMechanismInfo obtains information about a particular mechanism possibly supported by a token. *slotID* is the ID of the token's slot; *type* is the type of mechanism; *plnfo* points to the location that receives the mechanism information.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_MECHANISM_INVALID, CKR_OK, CKR_SLOT_ID_INVALID, CKR_TOKEN_NOT_PRESENT, CKR_TOKEN_NOT_RECOGNIZED, CKR_ARGUMENTS_BAD.

```
CK_SLOT_ID slotID;
CK_MECHANISM_INFO info;
CK_RV rv;
.
.
/* Get information about the CKM_MD2 mechanism for this token */
```

```
rv = C_GetMechanismInfo(slotID, CKM_MD2, &info);
if (rv == CKR_OK) {
    if (info.flags & CKF_DIGEST) {
        .
        .
    }
}
```

C_InitToken

```
CK_DEFINE_FUNCTION(CK_RV, C_InitToken)(

CK_SLOT_ID slotID,

CK_UTF8CHAR_PTR pPin,

CK_ULONG ulPinLen,

CK_UTF8CHAR_PTR pLabel

);
```

C_InitToken initializes a token. *slotID* is the ID of the token's slot; *pPin* points to the SO's initial PIN (which need *not* be null-terminated); *ulPinLen* is the length in bytes of the PIN; *pLabel* points to the 32-byte label of the token (which <u>mustMUST</u> be padded with blank characters, and which <u>mustMUST</u> *not* be null-terminated). This standard allows PIN values to contain any valid UTF8 character, but the token may impose subset restrictions.

If the token has not been initialized (i.e. new from the factory), then the *pPin* parameter becomes the initial value of the SO PIN. If the token is being reinitialized, the *pPin* parameter is checked against the existing SO PIN to authorize the initialization operation. In both cases, the SO PIN is the value *pPin* after the function completes successfully. If the SO PIN is lost, then the card <u>mustMUST</u> be reinitialized using a mechanism outside the scope of this standard. The **CKF_TOKEN_INITIALIZED** flag in the **CK_TOKEN_INFO** structure indicates the action that will result from calling **C_InitToken**. If set, the token will be reinitialized, and the client <u>mustMUST</u> supply the existing SO password in *pPin*.

When a token is initialized, all objects that can be destroyed are destroyed (*i.e.*, all except for "indestructible" objects such as keys built into the token). Also, access by the normal user is disabled until the SO sets the normal user's PIN. Depending on the token, some "default" objects may be created, and attributes of some objects may be set to default values.

If the token has a "protected authentication path", as indicated by the

CKF_PROTECTED_AUTHENTICATION_PATH flag in its **CK_TOKEN_INFO** being set, then that means that there is some way for a user to be authenticated to the token without having the application send a PIN through the Cryptoki library. One such possibility is that the user enters a PIN on a PINpad on the token itself, or on the slot device. To initialize a token with such a protected authentication path, the *pPin* parameter to **C_InitToken** should be NULL_PTR. During the execution of **C_InitToken**, the SO's PIN will be entered through the protected authentication path.

If the token has a protected authentication path other than a PINpad, then it is token-dependent whether or not **C_InitToken** can be used to initialize the token.

A token cannot be initialized if Cryptoki detects that *any* application has an open session with it; when a call to **C_InitToken** is made under such circumstances, the call fails with error CKR_SESSION_EXISTS. Unfortunately, it may happen when **C_InitToken** is called that some other application *does* have an open session with the token, but Cryptoki cannot detect this, because it cannot detect anything about other applications using the token. If this is the case, then the consequences of the **C_InitToken** call are undefined.

The **C_InitToken** function may not be sufficient to properly initialize complex tokens. In these situations, an initialization mechanism outside the scope of Cryptoki <u>mustMUST</u> be employed. The definition of "complex token" is product specific.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_PIN_INCORRECT, CKR_PIN_LOCKED, CKR_SESSION_EXISTS, CKR_SLOT_ID_INVALID, CKR_TOKEN_NOT_PRESENT, CKR_TOKEN_NOT_RECOGNIZED, CKR_TOKEN_WRITE_PROTECTED, CKR_ARGUMENTS_BAD.

Example:

```
CK_SLOT_ID slotID;
CK_UTF8CHAR_PTR pin = "MyPIN";
CK_UTF8CHAR label[32];
CK_RV rv;
.
.
memset(label, ' ', sizeof(label));
memcpy(label, "My first token", strlen("My first token"));
rv = C_InitToken(slotID, pin, strlen(pin), label);
if (rv == CKR_OK) {
.
.
```

• C_InitPIN

```
CK_DEFINE_FUNCTION(CK_RV, C_InitPIN)(
    CK_SESSION_HANDLE hSession,
    CK_UTF8CHAR_PTR pPin,
    CK_ULONG ulPinLen
);
```

C_InitPIN initializes the normal user's PIN. *hSession* is the session's handle; *pPin* points to the normal user's PIN; *ulPinLen* is the length in bytes of the PIN. This standard allows PIN values to contain any valid UTF8 character, but the token may impose subset restrictions.

C_InitPIN can only be called in the "R/W SO Functions" state. An attempt to call it from a session in any other state fails with error CKR_USER_NOT_LOGGED_IN.

If the token has a "protected authentication path", as indicated by the

CKF_PROTECTED_AUTHENTICATION_PATH flag in its **CK_TOKEN_INFO** being set, then that means that there is some way for a user to be authenticated to the token without having to send a PIN through the Cryptoki library. One such possibility is that the user enters a PIN on a PIN pad on the token itself, or on the slot device. To initialize the normal user's PIN on a token with such a protected authentication path, the *pPin* parameter to **C_InitPIN** should be NULL_PTR. During the execution of **C_InitPIN**, the SO will enter the new PIN through the protected authentication path.

If the token has a protected authentication path other than a PIN pad, then it is token-dependent whether or not **C_InitPIN** can be used to initialize the normal user's token access.

```
Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_PIN_INVALID, CKR_PIN_LEN_RANGE, CKR_SESSION_CLOSED, CKR_SESSION_READ_ONLY, CKR_SESSION_HANDLE_INVALID, CKR_TOKEN_WRITE_PROTECTED, CKR_USER_NOT_LOGGED_IN, CKR_ARGUMENTS_BAD.
```

Example:

```
CK_SESSION_HANDLE hSession;
CK_UTF8CHAR newPin[]= {"NewPIN"};
CK_RV rv;
rv = C_InitPIN(hSession, newPin, sizeof(newPin)-1);
if (rv == CKR_OK) {
.
.
```

C_SetPIN

```
CK_DEFINE_FUNCTION(CK_RV, C_SetPIN)(
    CK_SESSION_HANDLE hSession,
    CK_UTF8CHAR_PTR pOldPin,
    CK_ULONG ulOldLen,
    CK_UTF8CHAR_PTR pNewPin,
    CK_ULONG ulNewLen
);
```

C_SetPIN modifies the PIN of the user that is currently logged in, or the CKU_USER PIN if the session is not logged in. *hSession* is the session's handle; *pOldPin* points to the old PIN; *ulOldLen* is the length in bytes of the old PIN; *pNewPin* points to the new PIN; *ulNewLen* is the length in bytes of the new PIN. This standard allows PIN values to contain any valid UTF8 character, but the token may impose subset restrictions.

C_SetPIN can only be called in the "R/W Public Session" state, "R/W SO Functions" state, or "R/W User Functions" state. An attempt to call it from a session in any other state fails with error CKR_SESSION_READ_ONLY.

If the token has a "protected authentication path", as indicated by the

CKF_PROTECTED_AUTHENTICATION_PATH flag in its **CK_TOKEN_INFO** being set, then that means that there is some way for a user to be authenticated to the token without having to send a PIN through the Cryptoki library. One such possibility is that the user enters a PIN on a PIN pad on the token itself, or on the slot device. To modify the current user's PIN on a token with such a protected authentication path, the *pOldPin* and *pNewPin* parameters to **C_SetPIN** should be NULL_PTR. During the execution of **C_SetPIN**, the current user will enter the old PIN and the new PIN through the protected authentication path. It is not specified how the PIN pad should be used to enter *two* PINs; this varies.

If the token has a protected authentication path other than a PIN pad, then it is token-dependent whether or not **C_SetPIN** can be used to modify the current user's PIN.

```
Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY,
CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED,
CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_PIN_INCORRECT,
CKR_PIN_INVALID, CKR_PIN_LEN_RANGE, CKR_PIN_LOCKED, CKR_SESSION_CLOSED,
CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY,
CKR_TOKEN_WRITE_PROTECTED, CKR_ARGUMENTS_BAD.
```

```
CK_SESSION_HANDLE hSession;
CK_UTF8CHAR oldPin[] = {"OldPIN"};
CK_UTF8CHAR newPin[] = {"NewPIN"};
CK_RV rv;
```

```
rv = C_SetPIN(
    hSession, oldPin, sizeof(oldPin)-1, newPin, sizeof(newPin)-1);
if (rv == CKR_OK) {
    .
    .
}
```

5.6 Session management functions

A typical application might perform the following series of steps to make use of a token (note that there are other reasonable sequences of events that an application might perform):

- 1. Select a token.
- 2. Make one or more calls to C_OpenSession to obtain one or more sessions with the token.
- 3. Call **C_Login** to log the user into the token. Since all sessions an application has with a token have a shared login state, **C_Login** only needs to be called for one of the sessions.
- 4. Perform cryptographic operations using the sessions with the token.
- 5. Call **C_CloseSession** once for each session that the application has with the token, or call **C_CloseAllSessions** to close all the application's sessions simultaneously.

As has been observed, an application may have concurrent sessions with more than one token. It is also possible for a token to have concurrent sessions with more than one application.

Cryptoki provides the following functions for session management:

C_OpenSession

```
CK_DEFINE_FUNCTION(CK_RV, C_OpenSession)(
    CK_SLOT_ID slotID,
    CK_FLAGS flags,
    CK_VOID_PTR pApplication,
    CK_NOTIFY Notify,
    CK_SESSION_HANDLE_PTR phSession
);
```

C_OpenSession opens a session between an application and a token in a particular slot. *slotID* is the slot's ID; *flags* indicates the type of session; *pApplication* is an application-defined pointer to be passed to the notification callback; *Notify* is the address of the notification callback function (see Section 5.16); *phSession* points to the location that receives the handle for the new session.

When opening a session with **C_OpenSession**, the *flags* parameter consists of the logical OR of zero or more bit flags defined in the **CK_SESSION_INFO** data type. For legacy reasons, the

CKF_SERIAL_SESSION bit <u>mustMUST</u> always be set; if a call to C_OpenSession does not have this bit set, the call should return unsuccessfully with the error code CKR_SESSION_PARALLEL_NOT_SUPPORTED.

There may be a limit on the number of concurrent sessions an application may have with the token, which may depend on whether the session is "read-only" or "read/write". An attempt to open a session which does not succeed because there are too many existing sessions of some type should return CKR_SESSION_COUNT.

If the token is write-protected (as indicated in the **CK_TOKEN_INFO** structure), then only read-only sessions may be opened with it.

If the application calling **C_OpenSession** already has a R/W SO session open with the token, then any attempt to open a R/O session with the token fails with error code CKR_SESSION_READ_WRITE_SO_EXISTS (see [PKCS11-UG] for further details).

The *Notify* callback function is used by Cryptoki to notify the application of certain events. If the application does not wish to support callbacks, it should pass a value of NULL_PTR as the *Notify* parameter. See Section 5.16 for more information about application callbacks.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_SESSION_COUNT, CKR_SESSION_PARALLEL_NOT_SUPPORTED, CKR_SESSION_READ_WRITE_SO_EXISTS, CKR_SLOT_ID_INVALIEL, CKR_TOKEN_NOT_PRESENT, CKR_TOKEN_NOT_RECOGNIZED,

CKR_TOKEN_WRITE_PROTECTED, CKR_ARGUMENTS_BAD.

Example: see **C_CloseSession**.

C_CloseSession

```
CK_DEFINE_FUNCTION(CK_RV, C_CloseSession)(
    CK_SESSION_HANDLE hSession
);
```

C_CloseSession closes a session between an application and a token. *hSession* is the session's handle.

When a session is closed, all session objects created by the session are destroyed automatically, even if the application has other sessions "using" the objects (see **[PKCS11-UG]** for further details).

If this function is successful and it closes the last session between the application and the token, the login state of the token for the application returns to public sessions. Any new sessions to the token opened by the application will be either R/O Public or R/W Public sessions.

Depending on the token, when the last open session any application has with the token is closed, the token may be "ejected" from its reader (if this capability exists).

Despite the fact this **C_CloseSession** is supposed to close a session, the return value CKR_SESSION_CLOSED is an *error* return. It actually indicates the (probably somewhat unlikely) event that while this function call was executing, another call was made to **C_CloseSession** to close this particular session, and that call finished executing first. Such uses of sessions are a bad idea, and Cryptoki makes little promise of what will occur in general if an application indulges in this sort of behavior.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

```
if (rv == CKR_OK) {
    .
    .
    C_CloseSession(hSession);
}
```

• C_CloseAllSessions

```
CK_DEFINE_FUNCTION(CK_RV, C_CloseAllSessions)(
    CK_SLOT_ID slotID
);
```

C_CloseAllSessions closes all sessions an application has with a token. *slotID* specifies the token's slot.

When a session is closed, all session objects created by the session are destroyed automatically.

After successful execution of this function, the login state of the token for the application returns to public sessions. Any new sessions to the token opened by the application will be either R/O Public or R/W Public sessions.

Depending on the token, when the last open session any application has with the token is closed, the token may be "ejected" from its reader (if this capability exists).

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR HOST MEMORY, CKR OK, CKR SLOT ID INVALID, CKR TOKEN NOT PRESENT.

Example:

```
CK_SLOT_ID slotID;
CK_RV rv;
.
.
rv = C CloseAllSessions(slotID);
```

C_GetSessionInfo

```
CK_DEFINE_FUNCTION(CK_RV, C_GetSessionInfo)(
    CK_SESSION_HANDLE hSession,
    CK_SESSION_INFO_PTR pInfo
);
```

C_GetSessionInfo obtains information about a session. *hSession* is the session's handle; *pInfo* points to the location that receives the session information.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_ARGUMENTS_BAD.

```
CK_SESSION_HANDLE hSession;
```

```
CK_SESSION_INFO info;
```

```
CK_RV rv;
```

• C_GetOperationState

```
CK_DEFINE_FUNCTION(CK_RV, C_GetOperationState)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pOperationState,
    CK_ULONG_PTR pulOperationStateLen
);
```

C_GetOperationState obtains a copy of the cryptographic operations state of a session, encoded as a string of bytes. *hSession* is the session's handle; *pOperationState* points to the location that receives the state; *pulOperationStateLen* points to the location that receives the length in bytes of the state.

Although the saved state output by **C_GetOperationState** is not really produced by a "cryptographic mechanism", **C_GetOperationState** nonetheless uses the convention described in Section 5.2 on producing output.

Precisely what the "cryptographic operations state" this function saves is varies from token to token; however, this state is what is provided as input to **C_SetOperationState** to restore the cryptographic activities of a session.

Consider a session which is performing a message digest operation using SHA-1 (*i.e.*, the session is using the **CKM_SHA_1** mechanism). Suppose that the message digest operation was initialized properly, and that precisely 80 bytes of data have been supplied so far as input to SHA-1. The application now wants to "save the state" of this digest operation, so that it can continue it later. In this particular case, since SHA-1 processes 512 bits (64 bytes) of input at a time, the cryptographic operations state of the session most likely consists of three distinct parts: the state of SHA-1's 160-bit internal chaining variable; the 16 bytes of unprocessed input data; and some administrative data indicating that this saved state comes from a session which was performing SHA-1 hashing. Taken together, these three pieces of information suffice to continue the current hashing operation at a later time.

Consider next a session which is performing an encryption operation with DES (a block cipher with a block size of 64 bits) in CBC (cipher-block chaining) mode (*i.e.*, the session is using the **CKM_DES_CBC** mechanism). Suppose that precisely 22 bytes of data (in addition to an IV for the CBC mode) have been supplied so far as input to DES, which means that the first two 8-byte blocks of ciphertext have already been produced and output. In this case, the cryptographic operations state of the session most likely consists of three or four distinct parts: the second 8-byte block of ciphertext (this will be used for cipherblock chaining to produce the next block of ciphertext); the 6 bytes of data still awaiting encryption; some administrative data indicating that this saved state comes from a session which was performing DES encryption in CBC mode; and possibly the DES key being used for encryption (see **C_SetOperationState** for more information on whether or not the key is present in the saved state).

If a session is performing two cryptographic operations simultaneously (see Section 5.12), then the cryptographic operations state of the session will contain all the necessary information to restore both operations.

An attempt to save the cryptographic operations state of a session which does not currently have some active savable cryptographic operation(s) (encryption, decryption, digesting, signing without message recovery, verification without message recovery, or some legal combination of two of these) should fail with the error CKR_OPERATION_NOT_INITIALIZED.

An attempt to save the cryptographic operations state of a session which is performing an appropriate cryptographic operation (or two), but which cannot be satisfied for any of various reasons (certain necessary state information and/or key information can't leave the token, for example) should fail with the error CKR_STATE_UNSAVEABLE.

Return values: CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_STATE_UNSAVEABLE, CKR_ARGUMENTS_BAD.

Example: see C_SetOperationState.

C_SetOperationState

```
CK_DEFINE_FUNCTION(CK_RV, C_SetOperationState)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pOperationState,
    CK_ULONG ulOperationStateLen,
    CK_OBJECT_HANDLE hEncryptionKey,
    CK_OBJECT_HANDLE hAuthenticationKey
);
```

C_SetOperationState restores the cryptographic operations state of a session from a string of bytes obtained with **C_GetOperationState**. *hSession* is the session's handle; *pOperationState* points to the location holding the saved state; *uIOperationStateLen* holds the length of the saved state; *hEncryptionKey* holds a handle to the key which will be used for an ongoing encryption or decryption operation in the restored session (or 0 if no encryption or decryption key is needed, either because no such operation is ongoing in the stored session or because all the necessary key information is present in the saved state); *hAuthenticationKey* holds a handle to the key which will be used for an ongoing signature, MACing, or verification operation in the restored session (or 0 if no such key is needed, either because no such operation is ongoing in the stored session or because all the necessary key information is present in the saved state).

The state need not have been obtained from the same session (the "source session") as it is being restored to (the "destination session"). However, the source session and destination session should have a common session state (*e.g.*, CKS_RW_USER_FUNCTIONS), and should be with a common token. There is also no guarantee that cryptographic operations state may be carried across logins, or across different Cryptoki implementations.

If **C_SetOperationState** is supplied with alleged saved cryptographic operations state which it can determine is not valid saved state (or is cryptographic operations state from a session with a different session state, or is cryptographic operations state from a different token), it fails with the error CKR_SAVED_STATE_INVALID.

Saved state obtained from calls to **C_GetOperationState** may or may not contain information about keys in use for ongoing cryptographic operations. If a saved cryptographic operations state has an ongoing encryption or decryption operation, and the key in use for the operation is not saved in the state, then it <u>mustMUST</u> be supplied to **C_SetOperationState** in the *hEncryptionKey* argument. If it is not, then **C_SetOperationState** will fail and return the error CKR_KEY_NEEDED. If the key in use for the operation *is* saved in the state, then it *can* be supplied in the *hEncryptionKey* argument, but this is not required.

Similarly, if a saved cryptographic operations state has an ongoing signature, MACing, or verification operation, and the key in use for the operation is not saved in the state, then it <u>mustMUST</u> be supplied to **C_SetOperationState** in the *hAuthenticationKey* argument. If it is not, then **C_SetOperationState** will

fail with the error CKR_KEY_NEEDED. If the key in use for the operation *is* saved in the state, then it *can* be supplied in the *hAuthenticationKey* argument, but this is not required.

If an *irrelevant* key is supplied to **C_SetOperationState** call (*e.g.*, a nonzero key handle is submitted in the *hEncryptionKey* argument, but the saved cryptographic operations state supplied does not have an ongoing encryption or decryption operation, then **C_SetOperationState** fails with the error CKR_KEY_NOT_NEEDED.

If a key is supplied as an argument to **C_SetOperationState**, and **C_SetOperationState** can somehow detect that this key was not the key being used in the source session for the supplied cryptographic operations state (it may be able to detect this if the key or a hash of the key is present in the saved state, for example), then **C_SetOperationState** fails with the error CKR_KEY_CHANGED.

An application can look at the **CKF_RESTORE_KEY_NOT_NEEDED** flag in the flags field of the **CK_TOKEN_INFO** field for a token to determine whether or not it needs to supply key handles to **C_SetOperationState** calls. If this flag is true, then a call to **C_SetOperationState** *never* needs a key handle to be supplied to it. If this flag is false, then at least some of the time, **C_SetOperationState** requires a key handle, and so the application should probably *always* pass in any relevant key handles when restoring cryptographic operations state to a session.

C_SetOperationState can successfully restore cryptographic operations state to a session even if that session has active cryptographic or object search operations when **C_SetOperationState** is called (the ongoing operations are abruptly cancelled).

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_CHANGED, CKR_KEY_NEEDED, CKR_KEY_NOT_NEEDED, CKR_OK, CKR_SAVED_STATE_INVALID, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_ARGUMENTS_BAD.

```
CK SESSION HANDLE hSession;
CK MECHANISM digestMechanism;
CK ULONG ulStateLen;
CK BYTE data1[] = \{0x01, 0x03, 0x05, 0x07\};
CK BYTE data2[] = \{0x02, 0x04, 0x08\};
CK BYTE data3[] = \{0x10, 0x0F, 0x0E, 0x0D, 0x0C\};
CK BYTE pDigest[20];
CK ULONG ulDigestLen;
CK RV rv;
/* Initialize hash operation */
rv = C DigestInit(hSession, &digestMechanism);
assert(rv == CKR OK);
/* Start hashing */
rv = C DigestUpdate(hSession, data1, sizeof(data1));
assert(rv == CKR OK);
/* Find out how big the state might be */
rv = C GetOperationState(hSession, NULL PTR, &ulStateLen);
```

```
assert(rv == CKR OK);
/* Allocate some memory and then get the state */
pState = (CK BYTE PTR) malloc(ulStateLen);
rv = C GetOperationState(hSession, pState, &ulStateLen);
/* Continue hashing */
rv = C DigestUpdate(hSession, data2, sizeof(data2));
assert(rv == CKR OK);
/* Restore state. No key handles needed */
rv = C SetOperationState(hSession, pState, ulStateLen, 0, 0);
assert(rv == CKR OK);
/* Continue hashing from where we saved state */
rv = C DigestUpdate(hSession, data3, sizeof(data3));
assert(rv == CKR OK);
/* Conclude hashing operation */
ulDigestLen = sizeof(pDigest);
rv = C DigestFinal(hSession, pDigest, &ulDigestLen);
if (rv == CKR OK) {
  /* pDigest[] now contains the hash of 0x01030507100F0E0D0C */
```

C_Login

```
CK_DEFINE_FUNCTION(CK_RV, C_Login)(
    CK_SESSION_HANDLE hSession,
    CK_USER_TYPE userType,
    CK_UTF8CHAR_PTR pPin,
    CK_ULONG ulPinLen
);
```

C_Login logs a user into a token. *hSession* is a session handle; *userType* is the user type; *pPin* points to the user's PIN; *ulPinLen* is the length of the PIN. This standard allows PIN values to contain any valid UTF8 character, but the token may impose subset restrictions.

When the user type is either CKU_SO or CKU_USER, if the call succeeds, each of the application's sessions will enter either the "R/W SO Functions" state, the "R/W User Functions" state, or the "R/O User Functions" state. If the user type is CKU_CONTEXT_SPECIFIC, the behavior of C_Login depends on the context in which it is called. Improper use of this user type will result in a return value CKR_OPERATION_NOT_INITIALIZED..

If the token has a "protected authentication path", as indicated by the

CKF_PROTECTED_AUTHENTICATION_PATH flag in its **CK_TOKEN_INFO** being set, then that means that there is some way for a user to be authenticated to the token without having to send a PIN through the Cryptoki library. One such possibility is that the user enters a PIN on a PIN pad on the token itself, or

on the slot device. Or the user might not even use a PIN—authentication could be achieved by some fingerprint-reading device, for example. To log into a token with a protected authentication path, the *pPin* parameter to **C_Login** should be NULL_PTR. When **C_Login** returns, whatever authentication method supported by the token will have been performed; a return value of CKR_OK means that the user was successfully authenticated, and a return value of CKR_PIN_INCORRECT means that the user was denied access.

If there are any active cryptographic or object finding operations in an application's session, and then **C_Login** is successfully executed by that application, it may or may not be the case that those operations are still active. Therefore, before logging in, any active operations should be finished.

If the application calling **C_Login** has a R/O session open with the token, then it will be unable to log the SO into a session (see **[PKCS11-UG]** for further details). An attempt to do this will result in the error code CKR_SESSION_READ_ONLY_EXISTS.

C_Login may be called repeatedly, without intervening **C_Logout** calls, if (and only if) a key with the CKA_ALWAYS_AUTHENTICATE attribute set to CK_TRUE exists, and the user needs to do cryptographic operation on this key. See further Section 4.9.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_PIN_INCORRECT, CKR_PIN_LOCKED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY_EXISTS, CKR_USER_ALREADY_LOGGED_IN, CKR_USER_ANOTHER_ALREADY_LOGGED_IN, CKR_USER_PIN_NOT_INITIALIZED, CKR_USER_TOO_MANY_TYPES, CKR_USER_TYPE_INVALID.

Example: see C_Logout.

C_Logout

```
CK_DEFINE_FUNCTION(CK_RV, C_Logout)(
    CK_SESSION_HANDLE hSession
);
```

C_Logout logs a user out from a token. *hSession* is the session's handle.

Depending on the current user type, if the call succeeds, each of the application's sessions will enter either the "R/W Public Session" state or the "R/O Public Session" state.

When **C_Logout** successfully executes, any of the application's handles to private objects become invalid (even if a user is later logged back into the token, those handles remain invalid). In addition, all private session objects from sessions belonging to the application are destroyed.

If there are any active cryptographic or object-finding operations in an application's session, and then **C_Logout** is successfully executed by that application, it may or may not be the case that those operations are still active. Therefore, before logging out, any active operations should be finished.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

```
CK_SESSION_HANDLE hSession;
CK_UTF8CHAR userPIN[] = {"MyPIN"};
CK_RV rv;
rv = C_Login(hSession, CKU_USER, userPIN, sizeof(userPIN)-1);
if (rv == CKR_OK) {
```

```
.
.
rv == C_Logout(hSession);
if (rv == CKR_OK) {
    .
.
}
```

5.7 Object management functions

Cryptoki provides the following functions for managing objects. Additional functions provided specifically for managing key objects are described in Section 5.13.

• C_CreateObject

.

```
CK_DEFINE_FUNCTION(CK_RV, C_CreateObject)(
    CK_SESSION_HANDLE hSession,
    CK_ATTRIBUTE_PTR pTemplate,
    CK_ULONG ulCount,
    CK_OBJECT_HANDLE_PTR phObject
);
```

C_CreateObject creates a new object. *hSession* is the session's handle; *pTemplate* points to the object's template; *ulCount* is the number of attributes in the template; *phObject* points to the location that receives the new object's handle.

If a call to **C_CreateObject** cannot support the precise template supplied to it, it will fail and return without creating any object.

If **C_CreateObject** is used to create a key object, the key object will have its **CKA_LOCAL** attribute set to CK_FALSE. If that key object is a secret or private key then the new key will have the

CKA_ALWAYS_SENSITIVE attribute set to CK_FALSE, and the **CKA_NEVER_EXTRACTABLE** attribute set to CK_FALSE.

Only session objects can be created during a read-only session. Only public objects can be created unless the normal user is logged in.

Return values: CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_READ_ONLY, CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_CURVE_NOT_SUPPORTED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_DOMAIN_PARAMS_INVALID, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TEMPLATE_INCOMPLETE, CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED, CKR_USER_NOT_LOGGED_IN.

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE
hData,
hCertificate,
hKey;
CK_OBJECT_CLASS
dataClass = CKO DATA,
```

```
certificateClass = CKO CERTIFICATE,
  keyClass = CKO PUBLIC KEY;
CK KEY TYPE keyType = CKK RSA;
CK UTF8CHAR application[] = { "My Application" };
CK BYTE dataValue[] = \{\ldots\};
CK BYTE subject[] = {...};
CK BYTE id[] = \{...\};
CK BYTE certificateValue[] = {...};
CK BYTE modulus[] = \{\ldots\};
CK BYTE exponent[] = \{\ldots\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE dataTemplate[] = {
  {CKA CLASS, &dataClass, sizeof(dataClass)},
 {CKA TOKEN, &true, sizeof(true)},
  {CKA APPLICATION, application, sizeof(application)-1},
  {CKA VALUE, dataValue, sizeof(dataValue)}
};
CK ATTRIBUTE certificateTemplate[] = {
  {CKA CLASS, &certificateClass, sizeof(certificateClass)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA SUBJECT, subject, sizeof(subject)},
  {CKA ID, id, sizeof(id)},
  {CKA VALUE, certificateValue, sizeof(certificateValue)}
};
CK ATTRIBUTE keyTemplate[] = {
  {CKA CLASS, &keyClass, sizeof(keyClass)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA WRAP, &true, sizeof(true)},
  {CKA MODULUS, modulus, sizeof(modulus)},
  {CKA PUBLIC EXPONENT, exponent, sizeof(exponent)}
};
CK RV rv;
/* Create a data object */
rv = C CreateObject(hSession, &dataTemplate, 4, &hData);
if (rv == CKR OK) {
}
/* Create a certificate object */
```

```
rv = C_CreateObject(
   hSession, &certificateTemplate, 5, &hCertificate);
if (rv == CKR_OK) {
   .
   .
}
/* Create an RSA public key object */
rv = C_CreateObject(hSession, &keyTemplate, 5, &hKey);
if (rv == CKR_OK) {
   .
   .
}
```

C_CopyObject

```
CK_DEFINE_FUNCTION(CK_RV, C_CopyObject)(
    CK_SESSION_HANDLE hSession,
    CK_OBJECT_HANDLE hObject,
    CK_ATTRIBUTE_PTR pTemplate,
    CK_ULONG ulCount,
    CK_OBJECT_HANDLE_PTR phNewObject
);
```

C_CopyObject copies an object, creating a new object for the copy. *hSession* is the session's handle; *hObject* is the object's handle; *pTemplate* points to the template for the new object; *ulCount* is the number of attributes in the template; *phNewObject* points to the location that receives the handle for the copy of the object.

The template may specify new values for any attributes of the object that can ordinarily be modified (*e.g.*, in the course of copying a secret key, a key's **CKA_EXTRACTABLE** attribute may be changed from CK_TRUE to CK_FALSE, but not the other way around. If this change is made, the new key's **CKA_NEVER_EXTRACTABLE** attribute will have the value CK_FALSE. Similarly, the template may specify that the new key's **CKA_SENSITIVE** attribute be CK_TRUE; the new key will have the same value for its **CKA_ALWAYS_SENSITIVE** attribute as the original key). It may also specify new values of the **CKA_TOKEN** and **CKA_PRIVATE** attributes (*e.g.*, to copy a session object to a token object). If the template specifies a value of an attribute which is incompatible with other existing attributes of the object, the call fails with the return code CKR_TEMPLATE_INCONSISTENT.

If a call to **C_CopyObject** cannot support the precise template supplied to it, it will fail and return without creating any object. If the object indicated by hObject has its CKA_COPYABLE attribute set to CK_FALSE, C_CopyObject will return CKR_ACTION_PROHIBITED.

Only session objects can be created during a read-only session. Only public objects can be created unless the normal user is logged in.

Return values: , CKR_ACTION_PROHIBITED, CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_READ_ONLY, CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OBJECT_HANDLE_INVALID, CKR_OK, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED, CKR_USER_NOT_LOGGED_IN.

```
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hKey, hNewKey;
CK OBJECT CLASS keyClass = CKO SECRET KEY;
CK KEY TYPE keyType = CKK DES;
CK BYTE id[] = {...};
CK BYTE keyValue[] = {...};
CK BBOOL false = CK FALSE;
CK BBOOL true = CK TRUE;
CK ATTRIBUTE keyTemplate[] = {
  {CKA CLASS, &keyClass, sizeof(keyClass)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA TOKEN, &false, sizeof(false)},
  {CKA ID, id, sizeof(id)},
  {CKA VALUE, keyValue, sizeof(keyValue)}
};
CK ATTRIBUTE copyTemplate[] = {
  {CKA TOKEN, &true, sizeof(true)}
};
CK RV rv;
.
/* Create a DES secret key session object */
rv = C CreateObject(hSession, &keyTemplate, 5, &hKey);
if (rv == CKR OK) {
  /* Create a copy which is a token object */
  rv = C CopyObject(hSession, hKey, &copyTemplate, 1, &hNewKey);
```

C_DestroyObject

```
CK_DEFINE_FUNCTION(CK_RV, C_DestroyObject)(
    CK_SESSION_HANDLE hSession,
    CK_OBJECT_HANDLE hObject
);
```

C_DestroyObject destroys an object. *hSession* is the session's handle; and *hObject* is the object's handle.

Only session objects can be destroyed during a read-only session. Only public objects can be destroyed unless the normal user is logged in.

Certain objects may not be destroyed. Calling C_DestroyObject on such objects will result in the CKR_ACTION_PROHIBITED error code. An application can consult the object's CKA_DESTROYABLE attribute to determine if an object may be destroyed or not.

Return values: , CKR_ACTION_PROHIBITED, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OBJECT_HANDLE_INVALID, CKR_OK, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TOKEN_WRITE_PROTECTED.

Example: see C_GetObjectSize.

C_GetObjectSize

```
CK_DEFINE_FUNCTION(CK_RV, C_GetObjectSize)(
    CK_SESSION_HANDLE hSession,
    CK_OBJECT_HANDLE hObject,
    CK_ULONG_PTR pulSize
);
```

C_GetObjectSize gets the size of an object in bytes. *hSession* is the session's handle; *hObject* is the object's handle; *pulSize* points to the location that receives the size in bytes of the object.

Cryptoki does not specify what the precise meaning of an object's size is. Intuitively, it is some measure of how much token memory the object takes up. If an application deletes (say) a private object of size S, it might be reasonable to assume that the *ulFreePrivateMemory* field of the token's **CK_TOKEN_INFO** structure increases by approximately S.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_INFORMATION_SENSITIVE, CKR_OBJECT_HANDLE_INVALID, CKR_OK, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

```
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hObject;
CK OBJECT CLASS dataClass = CKO DATA;
CK UTF8CHAR application[] = { "My Application" };
CK BYTE dataValue[] = {...};
CK BYTE value[] = \{\ldots\};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE template[] = {
  {CKA CLASS, &dataClass, sizeof(dataClass)},
  {CKA TOKEN, &true, sizeof(true)},
  {CKA APPLICATION, application, sizeof(application)-1},
  {CKA VALUE, value, sizeof(value)}
};
CK ULONG ulSize;
CK RV rv;
.
rv = C CreateObject(hSession, &template, 4, &hObject);
if (rv == CKR OK) {
  rv = C GetObjectSize(hSession, hObject, &ulSize);
```

```
if (rv != CKR_INFORMATION_SENSITIVE) {
    .
    .
}
rv = C_DestroyObject(hSession, hObject);
.
.
```

• C_GetAttributeValue

```
CK_DEFINE_FUNCTION(CK_RV, C_GetAttributeValue)(
    CK_SESSION_HANDLE hSession,
    CK_OBJECT_HANDLE hObject,
    CK_ATTRIBUTE_PTR pTemplate,
    CK_ULONG ulCount
);
```

C_GetAttributeValue obtains the value of one or more attributes of an object. *hSession* is the session's handle; *hObject* is the object's handle; *pTemplate* points to a template that specifies which attribute values are to be obtained, and receives the attribute values; *ulCount* is the number of attributes in the template.

For each (*type*, *pValue*, *ulValueLen*) triple in the template, **C_GetAttributeValue** performs the following algorithm:

- 1. If the specified attribute (i.e., the attribute specified by the type field) for the object cannot be revealed because the object is sensitive or unextractable, then the ulValueLen field in that triple is modified to hold the value CK_UNAVAILABLE_INFORMATION.
- Otherwise, if the specified value for the object is invalid (the object does not possess such an attribute), then the ulValueLen field in that triple is modified to hold the value CK_UNAVAILABLE_INFORMATION.
- 3. Otherwise, if the *pValue* field has the value NULL_PTR, then the *ulValueLen* field is modified to hold the exact length of the specified attribute for the object.
- 4. Otherwise, if the length specified in *ulValueLen* is large enough to hold the value of the specified attribute for the object, then that attribute is copied into the buffer located at *pValue*, and the *ulValueLen* field is modified to hold the exact length of the attribute.
- 5. Otherwise, the ulValueLen field is modified to hold the value CK_UNAVAILABLE_INFORMATION.

If case 1 applies to any of the requested attributes, then the call should return the value CKR_ATTRIBUTE_SENSITIVE. If case 2 applies to any of the requested attributes, then the call should return the value CKR_ATTRIBUTE_TYPE_INVALID. If case 5 applies to any of the requested attributes, then the call should return the value CKR_BUFFER_TOO_SMALL. As usual, if more than one of these error codes is applicable, Cryptoki may return any of them. Only if none of them applies to any of the requested attributes will CKR_OK be returned.

In the special case of an attribute whose value is an array of attributes, for example CKA_WRAP_TEMPLATE, where it is passed in with pValue not NULL, then if the pValue of elements within the array is NULL_PTR then the ulValueLen of elements within the array will be set to the required length. If the pValue of elements within the array is not NULL_PTR, then the ulValueLen element of attributes within the array <u>mustMUST</u> reflect the space that the corresponding pValue points to, and pValue is filled in if there is sufficient room. Therefore it is important to initialize the contents of a buffer before calling C_GetAttributeValue to get such an array value. If any ulValueLen within the array isn't large enough, it will be set to CK_UNAVAILABLE_INFORMATION and the function will return

CKR_BUFFER_TOO_SMALL, as it does if an attribute in the pTemplate argument has ulValueLen too small. Note that any attribute whose value is an array of attributes is identifiable by virtue of the attribute type having the CKF_ARRAY_ATTRIBUTE bit set.

Note that the error codes CKR_ATTRIBUTE_SENSITIVE, CKR_ATTRIBUTE_TYPE_INVALID, and CKR_BUFFER_TOO_SMALL do not denote true errors for **C_GetAttributeValue**. If a call to **C_GetAttributeValue** returns any of these three values, then the call <u>mustMUST</u> nonetheless have processed *every* attribute in the template supplied to **C_GetAttributeValue**. Each attribute in the template whose value *can be* returned by the call to **C_GetAttributeValue** *will be* returned by the call to **C_GetAttributeValue**.

Return values: CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_SENSITIVE, CKR_ATTRIBUTE_TYPE_INVALID, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OBJECT_HANDLE_INVALID, CKR_OK, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

```
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hObject;
CK BYTE PTR pModulus, pExponent;
CK ATTRIBUTE template[] = {
  {CKA MODULUS, NULL PTR, 0},
  {CKA PUBLIC EXPONENT, NULL PTR, 0}
};
CK RV rv;
.
rv = C GetAttributeValue(hSession, hObject, &template, 2);
if (rv == CKR OK) {
 pModulus = (CK BYTE PTR) malloc(template[0].ulValueLen);
  template[0].pValue = pModulus;
  /* template[0].ulValueLen was set by C GetAttributeValue */
  pExponent = (CK BYTE PTR) malloc(template[1].ulValueLen);
  template[1].pValue = pExponent;
  /* template[1].ulValueLen was set by C GetAttributeValue */
  rv = C GetAttributeValue(hSession, hObject, &template, 2);
  if (rv == CKR OK) {
  }
  free(pModulus);
  free(pExponent);
```

• C_SetAttributeValue

```
CK_DEFINE_FUNCTION(CK_RV, C_SetAttributeValue)(
    CK_SESSION_HANDLE hSession,
    CK_OBJECT_HANDLE hObject,
    CK_ATTRIBUTE_PTR pTemplate,
    CK_ULONG ulCount
);
```

C_SetAttributeValue modifies the value of one or more attributes of an object. *hSession* is the session's handle; *hObject* is the object's handle; *pTemplate* points to a template that specifies which attribute values are to be modified and their new values; *ulCount* is the number of attributes in the template.

Certain objects may not be modified. Calling C_SetAttributeValue on such objects will result in the CKR_ACTION_PROHIBITED error code. An application can consult the object's CKA_MODIFIABLE attribute to determine if an object may be modified or not.

Only session objects can be modified during a read-only session.

The template may specify new values for any attributes of the object that can be modified. If the template specifies a value of an attribute which is incompatible with other existing attributes of the object, the call fails with the return code CKR_TEMPLATE_INCONSISTENT.

Not all attributes can be modified; see Section 4.1.2 for more details.

Return values: CKR_ACTION_PROHIBITED, CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_READ_ONLY, CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OBJECT_HANDLE_INVALID, CKR_OK, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED, CKR_USER_NOT_LOGGED_IN.

Example:

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hObject;
CK_UTF8CHAR label[] = { "New label"};
CK_ATTRIBUTE template[] = {
    CKA_LABEL, label, sizeof(label)-1
};
CK_RV rv;
.
.
.
rv = C_SetAttributeValue(hSession, hObject, &template, 1);
if (rv == CKR_OK) {
 .
.
.
```

C_FindObjectsInit

```
CK_DEFINE_FUNCTION(CK_RV, C_FindObjectsInit)(
    CK SESSION HANDLE hSession,
```

```
CK_ATTRIBUTE_PTR pTemplate,
CK_ULONG ulCount
);
```

C_FindObjectsInit initializes a search for token and session objects that match a template. *hSession* is the session's handle; *pTemplate* points to a search template that specifies the attribute values to match; *ulCount* is the number of attributes in the search template. The matching criterion is an exact byte-for-byte match with all attributes in the template. To find all objects, set *ulCount* to 0.

After calling **C_FindObjectsInit**, the application may call **C_FindObjects** one or more times to obtain handles for objects matching the template, and then eventually call **C_FindObjectsFinal** to finish the active search operation. At most one search operation may be active at a given time in a given session.

The object search operation will only find objects that the session can view. For example, an object search in an "R/W Public Session" will not find any private objects (even if one of the attributes in the search template specifies that the search is for private objects).

If a search operation is active, and objects are created or destroyed which fit the search template for the active search operation, then those objects may or may not be found by the search operation. Note that this means that, under these circumstances, the search operation may return invalid object handles.

Even though **C_FindObjectsInit** can return the values CKR_ATTRIBUTE_TYPE_INVALID and CKR_ATTRIBUTE_VALUE_INVALID, it is not required to. For example, if it is given a search template with nonexistent attributes in it, it can return CKR_ATTRIBUTE_TYPE_INVALID, or it can initialize a search operation which will match no objects and return CKR_OK.

Return values: CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example: see **C_FindObjectsFinal**.

• C_FindObjects

```
CK_DEFINE_FUNCTION(CK_RV, C_FindObjects)(
    CK_SESSION_HANDLE hSession,
    CK_OBJECT_HANDLE_PTR phObject,
    CK_ULONG ulMaxObjectCount,
    CK_ULONG_PTR pulObjectCount
);
```

C_FindObjects continues a search for token and session objects that match a template, obtaining additional object handles. *hSession* is the session's handle; *phObject* points to the location that receives the list (array) of additional object handles; *ulMaxObjectCount* is the maximum number of object handles to be returned; *pulObjectCount* points to the location that receives the actual number of object handles returned.

If there are no more objects matching the template, then the location that *pulObjectCount* points to receives the value 0.

The search must<u>MUST</u> have been initialized with **C_FindObjectsInit**.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example: see **C_FindObjectsFinal**.

• C_FindObjectsFinal

```
CK_DEFINE_FUNCTION(CK_RV, C_FindObjectsFinal)(
    CK_SESSION_HANDLE hSession
);
```

C_FindObjectsFinal terminates a search for token and session objects. *hSession* is the session's handle.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example:

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hObject;
CK_ULONG ulObjectCount;
CK_RV rv;
.
.
.
rv = C_FindObjectsInit(hSession, NULL_PTR, 0);
assert(rv == CKR_OK);
while (1) {
 rv = C_FindObjects(hSession, &hObject, 1, &ulObjectCount);
 if (rv != CKR_OK || ulObjectCount == 0)
 break;
.
.
.
}
rv = C_FindObjectsFinal(hSession);
assert(rv == CKR_OK);
```

5.8 Encryption functions

Cryptoki provides the following functions for encrypting data:

C_EncryptInit

```
CK_DEFINE_FUNCTION(CK_RV, C_EncryptInit)(
    CK_SESSION_HANDLE hSession,
    CK_MECHANISM_PTR pMechanism,
    CK_OBJECT_HANDLE hKey
);
```

C_EncryptInit initializes an encryption operation. *hSession* is the session's handle; *pMechanism* points to the encryption mechanism; *hKey* is the handle of the encryption key.

The **CKA_ENCRYPT** attribute of the encryption key, which indicates whether the key supports encryption, <u>mustMUST</u> be CK_TRUE.

After calling **C_EncryptInit**, the application can either call **C_Encrypt** to encrypt data in a single part; or call **C_EncryptUpdate** zero or more times, followed by **C_EncryptFinal**, to encrypt data in multiple parts. The encryption operation is active until the application uses a call to **C_Encrypt** or **C_EncryptFinal** *to actually obtain* the final piece of ciphertext. To process additional data (in single or multiple parts), the application must<u>MUST</u> call **C_EncryptInit** again.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_FUNCTION_NOT_PERMITTED, CKR_KEY_HANDLE_INVALID, CKR_KEY_SIZE_RANGE, CKR_KEY_TYPE_INCONSISTENT, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_EncryptFinal.

• C_Encrypt

```
CK_DEFINE_FUNCTION(CK_RV, C_Encrypt)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pData,
    CK_ULONG ulDataLen,
    CK_BYTE_PTR pEncryptedData,
    CK_ULONG_PTR pulEncryptedDataLen
);
```

C_Encrypt encrypts single-part data. *hSession* is the session's handle; *pData* points to the data; *ulDataLen* is the length in bytes of the data; *pEncryptedData* points to the location that receives the encrypted data; *pulEncryptedDataLen* points to the location that holds the length in bytes of the encrypted data.

C_Encrypt uses the convention described in Section 5.2 on producing output.

The encryption operation <u>mustMUST</u> have been initialized with **C_EncryptInit**. A call to **C_Encrypt** always terminates the active encryption operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the ciphertext.

C_Encrypt cannot be used to terminate a multi-part operation, and <u>mustMUST</u> be called after C_EncryptInit without intervening C_EncryptUpdate calls.

For some encryption mechanisms, the input plaintext data has certain length constraints (either because the mechanism can only encrypt relatively short pieces of plaintext, or because the mechanism's input data <u>mustMUST</u> consist of an integral number of blocks). If these constraints are not satisfied, then **C_Encrypt** will fail with return code CKR_DATA_LEN_RANGE.

The plaintext and ciphertext can be in the same place, *i.e.*, it is OK if *pData* and *pEncryptedData* point to the same location.

For most mechanisms, **C_Encrypt** is equivalent to a sequence of **C_EncryptUpdate** operations followed by **C_EncryptFinal**.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_INVALID, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example: see **C_EncryptFinal** for an example of similar functions.

• C_EncryptUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_EncryptUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pPart,
    CK_ULONG ulPartLen,
    CK_BYTE_PTR pEncryptedPart,
    CK_ULONG_PTR pulEncryptedPartLen
);
```

C_EncryptUpdate continues a multiple-part encryption operation, processing another data part. *hSession* is the session's handle; *pPart* points to the data part; *ulPartLen* is the length of the data part; *pEncryptedPart* points to the location that receives the encrypted data part; *pulEncryptedPartLen* points to the location that holds the length in bytes of the encrypted data part.

C_EncryptUpdate uses the convention described in Section 5.2 on producing output.

The encryption operation <u>mustMUST</u> have been initialized with **C_EncryptInit**. This function may be called any number of times in succession. A call to **C_EncryptUpdate** which results in an error other than CKR_BUFFER_TOO_SMALL terminates the current encryption operation.

The plaintext and ciphertext can be in the same place, *i.e.*, it is OK if *pPart* and *pEncryptedPart* point to the same location.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example: see C_EncryptFinal.

C_EncryptFinal

```
CK_DEFINE_FUNCTION(CK_RV, C_EncryptFinal)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pLastEncryptedPart,
    CK_ULONG_PTR pulLastEncryptedPartLen
);
```

C_EncryptFinal finishes a multiple-part encryption operation. *hSession* is the session's handle; *pLastEncryptedPart* points to the location that receives the last encrypted data part, if any; *pulLastEncryptedPartLen* points to the location that holds the length of the last encrypted data part.

C_EncryptFinal uses the convention described in Section 5.2 on producing output.

The encryption operation <u>mustMUST</u> have been initialized with **C_EncryptInit**. A call to **C_EncryptFinal** always terminates the active encryption operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the ciphertext.

For some multi-part encryption mechanisms, the input plaintext data has certain length constraints, because the mechanism's input data <u>mustMUST</u> consist of an integral number of blocks. If these constraints are not satisfied, then **C_EncryptFinal** will fail with return code CKR_DATA_LEN_RANGE.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example:

#define PLAINTEXT BUF SZ 200

```
#define CIPHERTEXT_BUF SZ 256
CK ULONG firstPieceLen, secondPieceLen;
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hKey;
CK BYTE iv[8];
CK MECHANISM mechanism = {
  CKM DES CBC PAD, iv, sizeof(iv)
};
CK BYTE data[PLAINTEXT BUF SZ];
CK BYTE encryptedData[CIPHERTEXT BUF SZ];
CK ULONG ulEncryptedDatalLen;
CK ULONG ulEncryptedData2Len;
CK ULONG ulEncryptedData3Len;
CK RV rv;
.
firstPieceLen = 90;
secondPieceLen = PLAINTEXT BUF SZ-firstPieceLen;
rv = C EncryptInit(hSession, &mechanism, hKey);
if (rv == CKR OK) {
  /* Encrypt first piece */
 ulEncryptedDatalLen = sizeof(encryptedData);
  rv = C EncryptUpdate(
   hSession,
    &data[0], firstPieceLen,
    &encryptedData[0], &ulEncryptedData1Len);
  if (rv != CKR OK) {
  }
  /* Encrypt second piece */
  ulEncryptedData2Len = sizeof(encryptedData)-ulEncryptedData1Len;
  rv = C EncryptUpdate(
   hSession,
    &data[firstPieceLen], secondPieceLen,
    &encryptedData[ulEncryptedData1Len], &ulEncryptedData2Len);
  if (rv != CKR OK) {
```

5.9 Decryption functions

Cryptoki provides the following functions for decrypting data:

• C_DecryptInit

```
CK_DEFINE_FUNCTION(CK_RV, C_DecryptInit)(
    CK_SESSION_HANDLE hSession,
    CK_MECHANISM_PTR pMechanism,
    CK_OBJECT_HANDLE hKey
);
```

C_DecryptInit initializes a decryption operation. *hSession* is the session's handle; *pMechanism* points to the decryption mechanism; *hKey* is the handle of the decryption key.

The **CKA_DECRYPT** attribute of the decryption key, which indicates whether the key supports decryption, <u>mustMUST</u> be CK_TRUE.

After calling **C_DecryptInit**, the application can either call **C_Decrypt** to decrypt data in a single part; or call **C_DecryptUpdate** zero or more times, followed by **C_DecryptFinal**, to decrypt data in multiple parts. The decryption operation is active until the application uses a call to **C_Decrypt** or **C_DecryptFinal** *to actually obtain* the final piece of plaintext. To process additional data (in single or multiple parts), the application must<u>MUST</u> call **C_DecryptInit** again

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_FUNCTION_NOT_PERMITTED, CKR_KEY_HANDLE_INVALID, CKR_KEY_SIZE_RANGE, CKR_KEY_TYPE_INCONSISTENT, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_DecryptFinal.

C_Decrypt

```
CK_DEFINE_FUNCTION(CK_RV, C_Decrypt)(
CK_SESSION_HANDLE hSession,
CK_BYTE_PTR pEncryptedData,
CK_ULONG ulEncryptedDataLen,
CK_BYTE_PTR pData,
```

```
CK_ULONG_PTR pulDataLen
);
```

C_Decrypt decrypts encrypted data in a single part. *hSession* is the session's handle; *pEncryptedData* points to the encrypted data; *ulEncryptedDataLen* is the length of the encrypted data; *pData* points to the location that receives the recovered data; *pulDataLen* points to the location that holds the length of the recovered data.

C_Decrypt uses the convention described in Section 5.2 on producing output.

The decryption operation <u>mustMUST</u> have been initialized with **C_DecryptInit**. A call to **C_Decrypt** always terminates the active decryption operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the plaintext.

C_Decrypt cannot be used to terminate a multi-part operation, and <u>mustMUST</u> be called after **C_DecryptInit** without intervening **C_DecryptUpdate** calls.

The ciphertext and plaintext can be in the same place, *i.e.*, it is OK if *pEncryptedData* and *pData* point to the same location.

If the input ciphertext data cannot be decrypted because it has an inappropriate length, then either CKR_ENCRYPTED_DATA_INVALID or CKR_ENCRYPTED_DATA_LEN_RANGE may be returned.

For most mechanisms, **C_Decrypt** is equivalent to a sequence of **C_DecryptUpdate** operations followed by **C_DecryptFinal**.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_ENCRYPTED_DATA_INVALID, CKR_ENCRYPTED_DATA_LEN_RANGE, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see **C_DecryptFinal** for an example of similar functions.

C_DecryptUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_DecryptUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pEncryptedPart,
    CK_ULONG ulencryptedPartLen,
    CK_BYTE_PTR pPart,
    CK_ULONG_PTR pulPartLen
);
```

C_DecryptUpdate continues a multiple-part decryption operation, processing another encrypted data part. *hSession* is the session's handle; *pEncryptedPart* points to the encrypted data part; *ulEncryptedPartLen* is the length of the encrypted data part; *pPart* points to the location that receives the recovered data part; *pulPartLen* points to the location that holds the length of the recovered data part.

C_DecryptUpdate uses the convention described in Section 5.2 on producing output.

The decryption operation <u>mustMUST</u> have been initialized with **C_DecryptInit**. This function may be called any number of times in succession. A call to **C_DecryptUpdate** which results in an error other than CKR_BUFFER_TOO_SMALL terminates the current decryption operation.

The ciphertext and plaintext can be in the same place, *i.e.*, it is OK if *pEncryptedPart* and *pPart* point to the same location.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_ENCRYPTED_DATA_INVALID, CKR_ENCRYPTED_DATA_LEN_RANGE, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN. Example: See C_DecryptFinal.

C_DecryptFinal

```
CK_DEFINE_FUNCTION(CK_RV, C_DecryptFinal)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pLastPart,
    CK_ULONG_PTR pulLastPartLen
);
```

C_DecryptFinal finishes a multiple-part decryption operation. *hSession* is the session's handle; *pLastPart* points to the location that receives the last recovered data part, if any; *pulLastPartLen* points to the location that holds the length of the last recovered data part.

C_DecryptFinal uses the convention described in Section 5.2 on producing output.

The decryption operation <u>mustMUST</u> have been initialized with **C_DecryptInit**. A call to **C_DecryptFinal** always terminates the active decryption operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the plaintext.

If the input ciphertext data cannot be decrypted because it has an inappropriate length, then either CKR_ENCRYPTED_DATA_INVALID or CKR_ENCRYPTED_DATA_LEN_RANGE may be returned.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_ENCRYPTED_DATA_INVALID, CKR_ENCRYPTED_DATA_LEN_RANGE, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

```
Example:
```

```
#define CIPHERTEXT BUF SZ 256
#define PLAINTEXT BUF SZ 256
CK ULONG firstEncryptedPieceLen, secondEncryptedPieceLen;
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hKey;
CK BYTE iv[8];
CK MECHANISM mechanism = {
  CKM DES CBC PAD, iv, sizeof(iv)
};
CK BYTE data[PLAINTEXT BUF SZ];
CK BYTE encryptedData[CIPHERTEXT BUF SZ];
CK ULONG ulData1Len, ulData2Len, ulData3Len;
CK RV rv;
firstEncryptedPieceLen = 90;
secondEncryptedPieceLen = CIPHERTEXT BUF SZ-firstEncryptedPieceLen;
rv = C DecryptInit(hSession, &mechanism, hKey);
if (rv == CKR OK) {
```

```
/* Decrypt first piece */
ulData1Len = sizeof(data);
rv = C DecryptUpdate(
 hSession,
  &encryptedData[0], firstEncryptedPieceLen,
  &data[0], &ulData1Len);
if (rv != CKR OK) {
}
/* Decrypt second piece */
ulData2Len = sizeof(data)-ulData1Len;
rv = C DecryptUpdate(
 hSession,
  &encryptedData[firstEncryptedPieceLen],
  secondEncryptedPieceLen,
  &data[ulData1Len], &ulData2Len);
if (rv != CKR OK) {
  .
}
/* Get last little decrypted bit */
ulData3Len = sizeof(data)-ulData1Len-ulData2Len;
rv = C DecryptFinal(
 hSession,
  &data[ulData1Len+ulData2Len], &ulData3Len);
if (rv != CKR OK) {
}
```

5.10 Message digesting functions

Cryptoki provides the following functions for digesting data:

C_DigestInit

```
CK_DEFINE_FUNCTION(CK_RV, C_DigestInit)(
    CK_SESSION_HANDLE hSession,
    CK_MECHANISM_PTR pMechanism
);
```

C_DigestInit initializes a message-digesting operation. *hSession* is the session's handle; *pMechanism* points to the digesting mechanism.

After calling **C_DigestInit**, the application can either call **C_Digest** to digest data in a single part; or call **C_DigestUpdate** zero or more times, followed by **C_DigestFinal**, to digest data in multiple parts. The message-digesting operation is active until the application uses a call to **C_Digest** or **C_DigestFinal** to actually obtain the message digest. To process additional data (in single or multiple parts), the application must<u>MUST</u> call **C_DigestInit** again.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_DigestFinal.

• C_Digest

```
CK_DEFINE_FUNCTION(CK_RV, C_Digest)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pData,
    CK_ULONG ulDataLen,
    CK_BYTE_PTR pDigest,
    CK_ULONG_PTR pulDigestLen
);
```

C_Digest digests data in a single part. *hSession* is the session's handle, *pData* points to the data; *ulDataLen* is the length of the data; *pDigest* points to the location that receives the message digest; *pulDigestLen* points to the location that holds the length of the message digest.

C_Digest uses the convention described in Section 5.2 on producing output.

The digest operation <u>mustMUST</u> have been initialized with **C_DigestInit**. A call to **C_Digest** always terminates the active digest operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the message digest.

C_Digest cannot be used to terminate a multi-part operation, and <u>mustMUST</u> be called after **C_DigestInit** without intervening **C_DigestUpdate** calls.

The input data and digest output can be in the same place, *i.e.*, it is OK if *pData* and *pDigest* point to the same location.

C_Digest is equivalent to a sequence of C_DigestUpdate operations followed by C_DigestFinal.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example: see **C_DigestFinal** for an example of similar functions.

• C_DigestUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_DigestUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pPart,
    CK_ULONG ulPartLen
);
```

C_DigestUpdate continues a multiple-part message-digesting operation, processing another data part. *hSession* is the session's handle, *pPart* points to the data part; *ulPartLen* is the length of the data part.

The message-digesting operation <u>mustMUST</u> have been initialized with **C_DigestInit**. Calls to this function and **C_DigestKey** may be interspersed any number of times in any order. A call to **C_DigestUpdate** which results in an error terminates the current digest operation.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example: see C_DigestFinal.

C_DigestKey

```
CK_DEFINE_FUNCTION(CK_RV, C_DigestKey)(
    CK_SESSION_HANDLE hSession,
    CK_OBJECT_HANDLE hKey
);
```

C_DigestKey continues a multiple-part message-digesting operation by digesting the value of a secret key. *hSession* is the session's handle; *hKey* is the handle of the secret key to be digested.

The message-digesting operation <u>mustMUST</u> have been initialized with **C_DigestInit**. Calls to this function and **C_DigestUpdate** may be interspersed any number of times in any order.

If the value of the supplied key cannot be digested purely for some reason related to its length, **C_DigestKey** should return the error code CKR_KEY_SIZE_RANGE.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_HANDLE_INVALID, CKR_KEY_INDIGESTIBLE, CKR_KEY_SIZE_RANGE, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID. Example: see **C_DigestFinal**.

• C_DigestFinal

```
CK_DEFINE_FUNCTION(CK_RV, C_DigestFinal)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pDigest,
    CK_ULONG_PTR pulDigestLen
);
```

C_DigestFinal finishes a multiple-part message-digesting operation, returning the message digest. *hSession* is the session's handle; *pDigest* points to the location that receives the message digest; *pulDigestLen* points to the location that holds the length of the message digest.

C_DigestFinal uses the convention described in Section 5.2 on producing output.

The digest operation <u>mustMUST</u> have been initialized with **C_DigestInit**. A call to **C_DigestFinal** always terminates the active digest operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the message digest.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID. Example:

```
CK SESSION HANDLE hSession;
CK MECHANISM mechanism = {
  CKM MD5, NULL PTR, 0
};
CK BYTE data[] = \{\ldots\};
CK BYTE digest[16];
CK ULONG ulDigestLen;
CK RV rv;
.
rv = C DigestInit(hSession, &mechanism);
if (rv != CKR OK) {
  .
}
rv = C DigestUpdate(hSession, data, sizeof(data));
if (rv != CKR OK) {
}
rv = C DigestKey(hSession, hKey);
if (rv != CKR OK) {
}
ulDigestLen = sizeof(digest);
rv = C_DigestFinal(hSession, digest, &ulDigestLen);
```

5.11 Signing and MACing functions

Cryptoki provides the following functions for signing data (for the purposes of Cryptoki, these operations also encompass message authentication codes):

• C_SignInit

```
CK_DEFINE_FUNCTION(CK_RV, C_SignInit)(
CK_SESSION_HANDLE hSession,
CK_MECHANISM_PTR pMechanism,
```

```
CK_OBJECT_HANDLE hKey
);
```

C_SignInit initializes a signature operation, where the signature is an appendix to the data. *hSession* is the session's handle; *pMechanism* points to the signature mechanism; *hKey* is the handle of the signature key.

The **CKA_SIGN** attribute of the signature key, which indicates whether the key supports signatures with appendix, <u>mustMUST</u> be CK_TRUE.

After calling **C_SignInit**, the application can either call **C_Sign** to sign in a single part; or call **C_SignUpdate** one or more times, followed by **C_SignFinal**, to sign data in multiple parts. The signature operation is active until the application uses a call to **C_Sign or C_SignFinal** to actually obtain the signature. To process additional data (in single or multiple parts), the application <u>mustMUST</u> call **C_SignInit** again.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_FUNCTION_NOT_PERMITTED,CKR_KEY_HANDLE_INVALID, CKR_KEY_SIZE_RANGE, CKR_KEY_TYPE_INCONSISTENT, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_SignFinal.

• C_Sign

```
CK_DEFINE_FUNCTION(CK_RV, C_Sign)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pData,
    CK_ULONG ulDataLen,
    CK_BYTE_PTR pSignature,
    CK_ULONG_PTR pulSignatureLen
);
```

C_Sign signs data in a single part, where the signature is an appendix to the data. *hSession* is the session's handle; *pData* points to the data; *uIDataLen* is the length of the data; *pSignature* points to the location that receives the signature; *pulSignatureLen* points to the location that holds the length of the signature.

C_Sign uses the convention described in Section 5.2 on producing output.

The signing operation <u>mustMUST</u> have been initialized with **C_SignInit**. A call to **C_Sign** always terminates the active signing operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the signature.

C_Sign cannot be used to terminate a multi-part operation, and <u>mustMUST</u> be called after **C_SignInit** without intervening **C_SignUpdate** calls.

For most mechanisms, **C_Sign** is equivalent to a sequence of **C_SignUpdate** operations followed by **C_SignFinal**.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_INVALID, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN, CKR_FUNCTION_REJECTED.

Example: see C_SignFinal for an example of similar functions.

C_SignUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_SignUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pPart,
    CK_ULONG ulPartLen
);
```

C_SignUpdate continues a multiple-part signature operation, processing another data part. *hSession* is the session's handle, *pPart* points to the data part; *ulPartLen* is the length of the data part.

The signature operation <u>mustMUST</u> have been initialized with **C_SignInit**. This function may be called any number of times in succession. A call to **C_SignUpdate** which results in an error terminates the current signature operation.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_SignFinal.

• C_SignFinal

```
CK_DEFINE_FUNCTION(CK_RV, C_SignFinal)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pSignature,
    CK_ULONG_PTR pulSignatureLen
);
```

C_SignFinal finishes a multiple-part signature operation, returning the signature. *hSession* is the session's handle; *pSignature* points to the location that receives the signature; *pulSignatureLen* points to the location that holds the length of the signature.

C_SignFinal uses the convention described in Section 5.2 on producing output.

The signing operation <u>mustMUST</u> have been initialized with **C_SignInit**. A call to **C_SignFinal** always terminates the active signing operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the signature.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN, CKR_FUNCTION_REJECTED.

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hKey;
CK_MECHANISM mechanism = {
   CKM_DES_MAC, NULL_PTR, 0
};
CK_BYTE data[] = {...};
CK_BYTE mac[4];
CK_ULONG ulMacLen;
CK_RV rv;
```

```
.
.
.
rv = C_SignInit(hSession, &mechanism, hKey);
if (rv == CKR_OK) {
   rv = C_SignUpdate(hSession, data, sizeof(data));
   .
   ulMacLen = sizeof(mac);
   rv = C_SignFinal(hSession, mac, &ulMacLen);
   .
}
```

C_SignRecoverInit

```
CK_DEFINE_FUNCTION(CK_RV, C_SignRecoverInit)(
    CK_SESSION_HANDLE hSession,
    CK_MECHANISM_PTR pMechanism,
    CK_OBJECT_HANDLE hKey
);
```

C_SignRecoverInit initializes a signature operation, where the data can be recovered from the signature. *hSession* is the session's handle; *pMechanism* points to the structure that specifies the signature mechanism; *hKey* is the handle of the signature key.

The **CKA_SIGN_RECOVER** attribute of the signature key, which indicates whether the key supports signatures where the data can be recovered from the signature, <u>mustMUST</u> be CK_TRUE.

After calling **C_SignRecoverInit**, the application may call **C_SignRecover** to sign in a single part. The signature operation is active until the application uses a call to **C_SignRecover** to actually obtain the signature. To process additional data in a single part, the application <u>mustMUST</u> call **C_SignRecoverInit** again.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_FUNCTION_NOT_PERMITTED, CKR_KEY_HANDLE_INVALID, CKR_KEY_SIZE_RANGE, CKR_KEY_TYPE_INCONSISTENT, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_SignRecover.

C_SignRecover

```
CK_DEFINE_FUNCTION(CK_RV, C_SignRecover)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pData,
    CK_ULONG ulDataLen,
    CK_BYTE_PTR pSignature,
    CK_ULONG_PTR pulSignatureLen
);
```

C_SignRecover signs data in a single operation, where the data can be recovered from the signature. *hSession* is the session's handle; *pData* points to the data; *uLDataLen* is the length of the data;

pSignature points to the location that receives the signature; *pulSignatureLen* points to the location that holds the length of the signature.

C_SignRecover uses the convention described in Section 5.2 on producing output.

The signing operation <u>mustMUST</u> have been initialized with **C_SignRecoverInit**. A call to **C_SignRecover** always terminates the active signing operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the signature.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_INVALID, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example:

```
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hKey;
CK MECHANISM mechanism = {
  CKM RSA 9796, NULL PTR, 0
};
CK BYTE data[] = {...};
CK BYTE signature[128];
CK ULONG ulSignatureLen;
CK RV rv;
rv = C SignRecoverInit(hSession, &mechanism, hKey);
if (rv == CKR OK) {
  ulSignatureLen = sizeof(signature);
  rv = C SignRecover(
   hSession, data, sizeof(data), signature, &ulSignatureLen);
  if (rv == CKR OK) {
  }
}
Functions for verifying signatures and MACs
```

Cryptoki provides the following functions for verifying signatures on data (for the purposes of Cryptoki, these operations also encompass message authentication codes):

• C_VerifyInit

```
CK_DEFINE_FUNCTION(CK_RV, C_VerifyInit)(
    CK_SESSION_HANDLE hSession,
    CK_MECHANISM_PTR pMechanism,
    CK_OBJECT_HANDLE hKey
);
```

C_VerifyInit initializes a verification operation, where the signature is an appendix to the data. *hSession* is the session's handle; *pMechanism* points to the structure that specifies the verification mechanism; *hKey* is the handle of the verification key.

The **CKA_VERIFY** attribute of the verification key, which indicates whether the key supports verification where the signature is an appendix to the data, <u>mustMUST</u> be CK_TRUE.

After calling **C_VerifyInit**, the application can either call **C_Verify** to verify a signature on data in a single part; or call **C_VerifyUpdate** one or more times, followed by **C_VerifyFinal**, to verify a signature on data in multiple parts. The verification operation is active until the application calls **C_Verify** or **C_VerifyFinal**. To process additional data (in single or multiple parts), the application $\frac{mustMUST}{must}$ call **C_VerifyInit** again.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_FUNCTION_NOT_PERMITTED, CKR_KEY_HANDLE_INVALID, CKR_KEY_SIZE_RANGE, CKR_KEY_TYPE_INCONSISTENT, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_VerifyFinal.

• C_Verify

```
CK_DEFINE_FUNCTION(CK_RV, C_Verify)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pData,
    CK_ULONG ulDataLen,
    CK_BYTE_PTR pSignature,
    CK_ULONG ulSignatureLen
);
```

C_Verify verifies a signature in a single-part operation, where the signature is an appendix to the data. *hSession* is the session's handle; *pData* points to the data; *ulDataLen* is the length of the data; *pSignature* points to the signature; *ulSignatureLen* is the length of the signature.

The verification operation <u>mustMUST</u> have been initialized with **C_VerifyInit**. A call to **C_Verify** always terminates the active verification operation.

A successful call to **C_Verify** should return either the value CKR_OK (indicating that the supplied signature is valid) or CKR_SIGNATURE_INVALID (indicating that the supplied signature is invalid). If the signature can be seen to be invalid purely on the basis of its length, then

CKR_SIGNATURE_LEN_RANGE should be returned. In any of these cases, the active signing operation is terminated.

 C_Verify cannot be used to terminate a multi-part operation, and $\frac{mustMUST}{MUST}$ be called after $C_VerifyInit$ without intervening $C_VerifyUpdate$ calls.

For most mechanisms, **C_Verify** is equivalent to a sequence of **C_VerifyUpdate** operations followed by **C_VerifyFinal**.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_INVALID, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SIGNATURE_INVALID, CKR_SIGNATURE_LEN_RANGE.

Example: see **C_VerifyFinal** for an example of similar functions.

• C_VerifyUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_VerifyUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pPart,
    CK_ULONG ulPartLen
);
```

C_VerifyUpdate continues a multiple-part verification operation, processing another data part. *hSession* is the session's handle, *pPart* points to the data part; *ulPartLen* is the length of the data part.

The verification operation <u>mustMUST</u> have been initialized with **C_VerifyInit**. This function may be called any number of times in succession. A call to **C_VerifyUpdate** which results in an error terminates the current verification operation.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

Example: see C_VerifyFinal.

• C_VerifyFinal

```
CK_DEFINE_FUNCTION(CK_RV, C_VerifyFinal)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pSignature,
    CK_ULONG ulSignatureLen
);
```

C_VerifyFinal finishes a multiple-part verification operation, checking the signature. *hSession* is the session's handle; *pSignature* points to the signature; *ulSignatureLen* is the length of the signature.

The verification operation <u>mustMUST</u> have been initialized with **C_VerifyInit**. A call to **C_VerifyFinal** always terminates the active verification operation.

A successful call to **C_VerifyFinal** should return either the value CKR_OK (indicating that the supplied signature is valid) or CKR_SIGNATURE_INVALID (indicating that the supplied signature is invalid). If the signature can be seen to be invalid purely on the basis of its length, then CKR_SIGNATURE_LEN_RANGE should be returned. In any of these cases, the active verifying operation is terminated.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SIGNATURE_INVALID, CKR_SIGNATURE_LEN_RANGE.

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hKey;
CK_MECHANISM mechanism = {
    CKM_DES_MAC, NULL_PTR, 0
};
CK_BYTE data[] = {...};
CK_BYTE mac[4];
CK_RV rv;
```

```
.
.
.
rv = C_VerifyInit(hSession, &mechanism, hKey);
if (rv == CKR_OK) {
    rv = C_VerifyUpdate(hSession, data, sizeof(data));
    .
    rv = C_VerifyFinal(hSession, mac, sizeof(mac));
    .
    .
}
```

C_VerifyRecoverInit

```
CK_DEFINE_FUNCTION(CK_RV, C_VerifyRecoverInit)(
    CK_SESSION_HANDLE hSession,
    CK_MECHANISM_PTR pMechanism,
    CK_OBJECT_HANDLE hKey
);
```

C_VerifyRecoverInit initializes a signature verification operation, where the data is recovered from the signature. *hSession* is the session's handle; *pMechanism* points to the structure that specifies the verification mechanism; *hKey* is the handle of the verification key.

The **CKA_VERIFY_RECOVER** attribute of the verification key, which indicates whether the key supports verification where the data is recovered from the signature, <u>mustMUST</u> be CK_TRUE.

After calling **C_VerifyRecoverInit**, the application may call **C_VerifyRecover** to verify a signature on data in a single part. The verification operation is active until the application uses a call to **C_VerifyRecover** to actually obtain the recovered message.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_FUNCTION_NOT_PERMITTED, CKR_KEY_HANDLE_INVALID, CKR_KEY_SIZE_RANGE, CKR_KEY_TYPE_INCONSISTENT, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_VerifyRecover.

• C_VerifyRecover

```
CK_DEFINE_FUNCTION(CK_RV, C_VerifyRecover)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pSignature,
    CK_ULONG ulSignatureLen,
    CK_BYTE_PTR pData,
    CK_ULONG_PTR pulDataLen
);
```

C_VerifyRecover verifies a signature in a single-part operation, where the data is recovered from the signature. *hSession* is the session's handle; *pSignature* points to the signature; *ulSignatureLen* is the length of the signature; *pData* points to the location that receives the recovered data; and *pulDataLen* points to the location that holds the length of the recovered data.

C_VerifyRecover uses the convention described in Section 5.2 on producing output.

The verification operation <u>mustMUST</u> have been initialized with **C_VerifyRecoverInit**. A call to **C_VerifyRecover** always terminates the active verification operation unless it returns CKR_BUFFER_TOO_SMALL or is a successful call (*i.e.*, one which returns CKR_OK) to determine the length of the buffer needed to hold the recovered data.

A successful call to **C_VerifyRecover** should return either the value CKR_OK (indicating that the supplied signature is valid) or CKR_SIGNATURE_INVALID (indicating that the supplied signature is invalid). If the signature can be seen to be invalid purely on the basis of its length, then CKR_SIGNATURE_LEN_RANGE should be returned. The return codes CKR_SIGNATURE_INVALID and CKR_SIGNATURE_LEN_RANGE have a higher priority than the return code CKR_BUFFER_TOO_SMALL, *i.e.*, if **C_VerifyRecover** is supplied with an invalid signature, it will never return CKR_BUFFER_TOO_SMALL.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_INVALID, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SIGNATURE_LEN_RANGE, CKR_SIGNATURE_INVALID.

Example:

5.12 Dual-function cryptographic functions

Cryptoki provides the following functions to perform two cryptographic operations "simultaneously" within a session. These functions are provided so as to avoid unnecessarily passing data back and forth to and from a token.

• C_DigestEncryptUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_DigestEncryptUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pPart,
    CK_ULONG ulPartLen,
    CK_BYTE_PTR pEncryptedPart,
    CK_ULONG_PTR pulEncryptedPartLen
);
```

C_DigestEncryptUpdate continues multiple-part digest and encryption operations, processing another data part. *hSession* is the session's handle; *pPart* points to the data part; *ulPartLen* is the length of the data part; *pEncryptedPart* points to the location that receives the digested and encrypted data part; *pulEncryptedPartLen* points to the location that holds the length of the encrypted data part.

C_DigestEncryptUpdate uses the convention described in Section 5.2 on producing output. If a **C_DigestEncryptUpdate** call does not produce encrypted output (because an error occurs, or because *pEncryptedPart* has the value NULL_PTR, or because *pulEncryptedPartLen* is too small to hold the entire encrypted part output), then no plaintext is passed to the active digest operation.

Digest and encryption operations <u>mustMUST</u> both be active (they <u>mustMUST</u> have been initialized with **C_DigestInit** and **C_EncryptInit**, respectively). This function may be called any number of times in succession, and may be interspersed with **C_DigestUpdate**, **C_DigestKey**, and **C_EncryptUpdate** calls (it would be somewhat unusual to intersperse calls to **C_DigestEncryptUpdate** with calls to **C_DigestKey**, however).

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

```
#define BUF SZ 512
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hKey;
CK BYTE iv[8];
CK MECHANISM digestMechanism = {
  CKM MD5, NULL PTR, 0
};
CK MECHANISM encryptionMechanism = {
  CKM DES ECB, iv, sizeof(iv)
};
CK BYTE encryptedData[BUF SZ];
CK ULONG ulEncryptedDataLen;
CK BYTE digest[16];
CK ULONG ulDigestLen;
CK BYTE data[(2*BUF SZ)+8];
CK RV rv;
int i;
```

```
memset(iv, 0, sizeof(iv));
memset(data, 'A', ((2*BUF SZ)+5));
rv = C_EncryptInit(hSession, &encryptionMechanism, hKey);
if (rv != CKR OK) {
}
rv = C DigestInit(hSession, &digestMechanism);
if (rv != CKR OK) {
}
ulEncryptedDataLen = sizeof(encryptedData);
rv = C DigestEncryptUpdate(
 hSession,
 &data[0], BUF SZ,
 encryptedData, &ulEncryptedDataLen);
.
ulEncryptedDataLen = sizeof(encryptedData);
rv = C DigestEncryptUpdate(
 hSession,
 &data[BUF SZ], BUF SZ,
  encryptedData, &ulEncryptedDataLen);
.
/*
 * The last portion of the buffer needs to be
 * handled with separate calls to deal with
 * padding issues in ECB mode
 */
/* First, complete the digest on the buffer */
rv = C DigestUpdate(hSession, &data[BUF SZ*2], 5);
ulDigestLen = sizeof(digest);
rv = C DigestFinal(hSession, digest, &ulDigestLen);
```

```
/* Then, pad last part with 3 0x00 bytes, and complete encryption */
for(i=0;i<3;i++)
   data[((BUF_SZ*2)+5)+i] = 0x00;
/* Now, get second-to-last piece of ciphertext */
ulEncryptedDataLen = sizeof(encryptedData);
rv = C_EncryptUpdate(
   hSession,
   &data[BUF_SZ*2], 8,
   encryptedData, &ulEncryptedDataLen);
.
.
/* Get last piece of ciphertext (should have length 0, here) */
ulEncryptedDataLen = sizeof(encryptedData);
rv = C_EncryptFinal(hSession, encryptedData, &ulEncryptedDataLen);
.</pre>
```

C_DecryptDigestUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_DecryptDigestUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pEncryptedPart,
    CK_ULONG ulEncryptedPartLen,
    CK_BYTE_PTR pPart,
    CK_ULONG_PTR pulPartLen
);
```

C_DecryptDigestUpdate continues a multiple-part combined decryption and digest operation, processing another data part. *hSession* is the session's handle; *pEncryptedPart* points to the encrypted data part; *ulEncryptedPartLen* is the length of the encrypted data part; *pPart* points to the location that receives the recovered data part; *pulPartLen* points to the location that holds the length of the recovered data part.

C_DecryptDigestUpdate uses the convention described in Section 5.2 on producing output. If a **C_DecryptDigestUpdate** call does not produce decrypted output (because an error occurs, or because *pPart* has the value NULL_PTR, or because *pulPartLen* is too small to hold the entire decrypted part output), then no plaintext is passed to the active digest operation.

Decryption and digesting operations <u>mustMUST</u> both be active (they <u>mustMUST</u> have been initialized with **C_DecryptInit** and **C_DigestInit**, respectively). This function may be called any number of times in succession, and may be interspersed with **C_DecryptUpdate**, **C_DigestUpdate**, and **C_DigestKey** calls (it would be somewhat unusual to intersperse calls to **C_DigestEncryptUpdate** with calls to **C_DigestKey**, however).

Use of **C_DecryptDigestUpdate** involves a pipelining issue that does not arise when using **C_DigestEncryptUpdate**, the "inverse function" of **C_DecryptDigestUpdate**. This is because when **C_DigestEncryptUpdate** is called, precisely the same input is passed to both the active digesting operation and the active encryption operation; however, when **C_DecryptDigestUpdate** is called, the input passed to the active digesting operation is the *output of* the active decryption operation. This issue comes up only when the mechanism used for decryption performs padding.

In particular, envision a 24-byte ciphertext which was obtained by encrypting an 18-byte plaintext with DES in CBC mode with PKCS padding. Consider an application which will simultaneously decrypt this ciphertext and digest the original plaintext thereby obtained.

After initializing decryption and digesting operations, the application passes the 24-byte ciphertext (3 DES blocks) into **C_DecryptDigestUpdate**. **C_DecryptDigestUpdate** returns exactly 16 bytes of plaintext, since at this point, Cryptoki doesn't know if there's more ciphertext coming, or if the last block of ciphertext held any padding. These 16 bytes of plaintext are passed into the active digesting operation.

Since there is no more ciphertext, the application calls **C_DecryptFinal**. This tells Cryptoki that there's no more ciphertext coming, and the call returns the last 2 bytes of plaintext. However, since the active decryption and digesting operations are linked *only* through the **C_DecryptDigestUpdate** call, these 2 bytes of plaintext are *not* passed on to be digested.

A call to **C_DigestFinal**, therefore, would compute the message digest of *the first 16 bytes of the plaintext*, not the message digest of the entire plaintext. It is crucial that, before **C_DigestFinal** is called, the last 2 bytes of plaintext get passed into the active digesting operation via a **C_DigestUpdate** call.

Because of this, it is critical that when an application uses a padded decryption mechanism with **C_DecryptDigestUpdate**, it knows exactly how much plaintext has been passed into the active digesting operation. *Extreme caution is warranted when using a padded decryption mechanism with* **C_DecryptDigestUpdate**.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_ENCRYPTED_DATA_INVALID, CKR_ENCRYPTED_DATA_LEN_RANGE, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

```
#define BUF SZ 512
```

```
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hKey;
CK BYTE iv[8];
CK MECHANISM decryptionMechanism = {
  CKM DES ECB, iv, sizeof(iv)
};
CK MECHANISM digestMechanism = {
  CKM MD5, NULL PTR, 0
};
CK BYTE encryptedData[(2*BUF SZ)+8];
CK BYTE digest[16];
CK ULONG ulDigestLen;
CK BYTE data[BUF SZ];
CK ULONG ulDataLen, ulLastUpdateSize;
CK RV rv;
.
memset(iv, 0, sizeof(iv));
memset(encryptedData, 'A', ((2*BUF SZ)+8));
```

```
rv = C DecryptInit(hSession, &decryptionMechanism, hKey);
if (rv != CKR OK) {
}
rv = C DigestInit(hSession, &digestMechanism);
if (rv != CKR OK) {
}
ulDataLen = sizeof(data);
rv = C DecryptDigestUpdate(
 hSession,
 &encryptedData[0], BUF SZ,
 data, &ulDataLen);
.
ulDataLen = sizeof(data);
rv = C DecryptDigestUpdate(
 hSession,
  &encryptedData[BUF_SZ], BUF_SZ,
 data, &ulDataLen);
.
/*
* The last portion of the buffer needs to be handled with
 * separate calls to deal with padding issues in ECB mode
 */
/* First, complete the decryption of the buffer */
ulLastUpdateSize = sizeof(data);
rv = C DecryptUpdate(
 hSession,
 &encryptedData[BUF SZ*2], 8,
 data, &ulLastUpdateSize);
.
/* Get last piece of plaintext (should have length 0, here) */
ulDataLen = sizeof(data)-ulLastUpdateSize;
rv = C DecryptFinal(hSession, &data[ulLastUpdateSize], &ulDataLen);
if (rv != CKR OK) {
```

```
.
}
/* Digest last bit of plaintext */
rv = C_DigestUpdate(hSession, &data[BUF_SZ*2], 5);
if (rv != CKR_OK) {
    .
    .
    lulpigestLen = sizeof(digest);
rv = C_DigestFinal(hSession, digest, &ulDigestLen);
if (rv != CKR_OK) {
    .
    .
}
```

C_SignEncryptUpdate

```
CK_DEFINE_FUNCTION(CK_RV, C_SignEncryptUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pPart,
    CK_ULONG ulPartLen,
    CK_BYTE_PTR pEncryptedPart,
    CK_ULONG_PTR pulEncryptedPartLen
);
```

C_SignEncryptUpdate continues a multiple-part combined signature and encryption operation, processing another data part. *hSession* is the session's handle; *pPart* points to the data part; *ulPartLen* is the length of the data part; *pEncryptedPart* points to the location that receives the digested and encrypted data part; and *pulEncryptedPartLen* points to the location that holds the length of the encrypted data part.

C_SignEncryptUpdate uses the convention described in Section 5.2 on producing output. If a **C_SignEncryptUpdate** call does not produce encrypted output (because an error occurs, or because *pEncryptedPart* has the value NULL_PTR, or because *pulEncryptedPartLen* is too small to hold the entire encrypted part output), then no plaintext is passed to the active signing operation.

Signature and encryption operations <u>mustMUST</u> both be active (they <u>mustMUST</u> have been initialized with **C_SignInit** and **C_EncryptInit**, respectively). This function may be called any number of times in succession, and may be interspersed with **C_SignUpdate** and **C_EncryptUpdate** calls.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example:

#define BUF_SZ 512

CK_SESSION_HANDLE hSession;

CK_OBJECT_HANDLE hEncryptionKey, hMacKey;

```
CK BYTE iv[8];
CK MECHANISM signMechanism = {
  CKM DES MAC, NULL PTR, 0
};
CK MECHANISM encryptionMechanism = {
 CKM DES ECB, iv, sizeof(iv)
};
CK BYTE encryptedData[BUF SZ];
CK ULONG ulEncryptedDataLen;
CK BYTE MAC[4];
CK ULONG ulMacLen;
CK BYTE data[(2*BUF SZ)+8];
CK RV rv;
int i;
.
memset(iv, 0, sizeof(iv));
memset(data, `A', ((2*BUF SZ)+5));
rv = C EncryptInit(hSession, &encryptionMechanism, hEncryptionKey);
if (rv != CKR OK) {
}
rv = C SignInit(hSession, &signMechanism, hMacKey);
if (rv != CKR OK) {
}
ulEncryptedDataLen = sizeof(encryptedData);
rv = C SignEncryptUpdate(
 hSession,
 &data[0], BUF SZ,
  encryptedData, &ulEncryptedDataLen);
ulEncryptedDataLen = sizeof(encryptedData);
rv = C SignEncryptUpdate(
 hSession,
 &data[BUF SZ], BUF SZ,
  encryptedData, &ulEncryptedDataLen);
```

```
/*
 * The last portion of the buffer needs to be handled with
 * separate calls to deal with padding issues in ECB mode
 */
/* First, complete the signature on the buffer */
rv = C SignUpdate(hSession, &data[BUF SZ*2], 5);
ulMacLen = sizeof(MAC);
rv = C SignFinal(hSession, MAC, &ulMacLen);
/* Then pad last part with 3 0x00 bytes, and complete encryption */
for(i=0;i<3;i++)</pre>
  data[((BUF SZ^{2})+5)+i] = 0x00;
/* Now, get second-to-last piece of ciphertext */
ulEncryptedDataLen = sizeof(encryptedData);
rv = C EncryptUpdate(
 hSession,
 &data[BUF SZ*2], 8,
 encryptedData, &ulEncryptedDataLen);
/* Get last piece of ciphertext (should have length 0, here) */
ulEncryptedDataLen = sizeof(encryptedData);
rv = C EncryptFinal(hSession, encryptedData, &ulEncryptedDataLen);
```

• C_DecryptVerifyUpdate

.

```
CK_DEFINE_FUNCTION(CK_RV, C_DecryptVerifyUpdate)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pEncryptedPart,
    CK_ULONG ulEncryptedPartLen,
    CK_BYTE_PTR pPart,
    CK_ULONG_PTR pulPartLen
);
```

C_DecryptVerifyUpdate continues a multiple-part combined decryption and verification operation, processing another data part. *hSession* is the session's handle; *pEncryptedPart* points to the encrypted data; *ulEncryptedPartLen* is the length of the encrypted data; *pPart* points to the location that receives the recovered data; and *pulPartLen* points to the location that holds the length of the recovered data.

C_DecryptVerifyUpdate uses the convention described in Section 5.2 on producing output. If a **C_DecryptVerifyUpdate** call does not produce decrypted output (because an error occurs, or because *pPart* has the value NULL_PTR, or because *pulPartLen* is too small to hold the entire encrypted part output), then no plaintext is passed to the active verification operation.

Decryption and signature operations <u>mustMUST</u> both be active (they <u>mustMUST</u> have been initialized with **C_DecryptInit** and **C_VerifyInit**, respectively). This function may be called any number of times in succession, and may be interspersed with **C_DecryptUpdate** and **C_VerifyUpdate** calls.

Use of **C_DecryptVerifyUpdate** involves a pipelining issue that does not arise when using

C_SignEncryptUpdate, the "inverse function" of C_DecryptVerifyUpdate. This is because when C_SignEncryptUpdate is called, precisely the same input is passed to both the active signing operation and the active encryption operation; however, when C_DecryptVerifyUpdate is called, the input passed to the active verifying operation is the *output of* the active decryption operation. This issue comes up only when the mechanism used for decryption performs padding.

In particular, envision a 24-byte ciphertext which was obtained by encrypting an 18-byte plaintext with DES in CBC mode with PKCS padding. Consider an application which will simultaneously decrypt this ciphertext and verify a signature on the original plaintext thereby obtained.

After initializing decryption and verification operations, the application passes the 24-byte ciphertext (3 DES blocks) into **C_DecryptVerifyUpdate**. **C_DecryptVerifyUpdate** returns exactly 16 bytes of plaintext, since at this point, Cryptoki doesn't know if there's more ciphertext coming, or if the last block of ciphertext held any padding. These 16 bytes of plaintext are passed into the active verification operation.

Since there is no more ciphertext, the application calls **C_DecryptFinal**. This tells Cryptoki that there's no more ciphertext coming, and the call returns the last 2 bytes of plaintext. However, since the active decryption and verification operations are linked *only* through the **C_DecryptVerifyUpdate** call, these 2 bytes of plaintext are *not* passed on to the verification mechanism.

A call to **C_VerifyFinal**, therefore, would verify whether or not the signature supplied is a valid signature on *the first 16 bytes of the plaintext*, not on the entire plaintext. It is crucial that, before **C_VerifyFinal** is called, the last 2 bytes of plaintext get passed into the active verification operation via a **C_VerifyUpdate** call.

Because of this, it is critical that when an application uses a padded decryption mechanism with **C_DecryptVerifyUpdate**, it knows exactly how much plaintext has been passed into the active verification operation. *Extreme caution is warranted when using a padded decryption mechanism with* **C_DecryptVerifyUpdate**.

Return values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DATA_LEN_RANGE, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_ENCRYPTED_DATA_INVALID, CKR_ENCRYPTED_DATA_LEN_RANGE, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_NOT_INITIALIZED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID.

```
#define BUF_SZ 512
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hDecryptionKey, hMacKey;
CK_BYTE iv[8];
CK_MECHANISM decryptionMechanism = {
    CKM_DES_ECB, iv, sizeof(iv)
};
```

```
CK MECHANISM verifyMechanism = {
  CKM DES MAC, NULL PTR, 0
};
CK BYTE encryptedData[(2*BUF SZ)+8];
CK BYTE MAC[4];
CK ULONG ulMacLen;
CK BYTE data[BUF SZ];
CK ULONG ulDataLen, ulLastUpdateSize;
CK RV rv;
.
memset(iv, 0, sizeof(iv));
memset(encryptedData, 'A', ((2*BUF SZ)+8));
rv = C DecryptInit(hSession, &decryptionMechanism, hDecryptionKey);
if (rv != CKR OK) {
}
rv = C VerifyInit(hSession, &verifyMechanism, hMacKey);
if (rv != CKR OK) {
  .
}
ulDataLen = sizeof(data);
rv = C DecryptVerifyUpdate(
 hSession,
 &encryptedData[0], BUF SZ,
 data, &ulDataLen);
.
ulDataLen = sizeof(data);
rv = C DecryptVerifyUpdate(
 hSession,
 &encryptedData[BUF SZ], BUF SZ,
 data, &uldataLen);
.
.
/*
 * The last portion of the buffer needs to be handled with
 ^{\star} separate calls to deal with padding issues in ECB mode
```

```
*/
/* First, complete the decryption of the buffer */
ulLastUpdateSize = sizeof(data);
rv = C DecryptUpdate(
 hSession,
  &encryptedData[BUF SZ*2], 8,
  data, &ulLastUpdateSize);
/* Get last little piece of plaintext. Should have length 0 */
ulDataLen = sizeof(data)-ulLastUpdateSize;
rv = C DecryptFinal(hSession, &data[ulLastUpdateSize], &ulDataLen);
if (rv != CKR OK) {
}
/* Send last bit of plaintext to verification operation */
rv = C VerifyUpdate(hSession, &data[BUF SZ*2], 5);
if (rv != CKR OK) {
}
rv = C VerifyFinal(hSession, MAC, ulMacLen);
if (rv == CKR SIGNATURE INVALID) {
```

5.13 Key management functions

Cryptoki provides the following functions for key management:

• C_GenerateKey

```
CK_DEFINE_FUNCTION(CK_RV, C_GenerateKey)(
    CK_SESSION_HANDLE hSession
    CK_MECHANISM_PTR pMechanism,
    CK_ATTRIBUTE_PTR pTemplate,
    CK_ULONG ulCount,
    CK_OBJECT_HANDLE_PTR phKey
);
```

C_GenerateKey generates a secret key or set of domain parameters, creating a new object. *hSession* is the session's handle; *pMechanism* points to the generation mechanism; *pTemplate* points to the template for the new key or set of domain parameters; *ulCount* is the number of attributes in the template; *phKey* points to the location that receives the handle of the new key or set of domain parameters.

If the generation mechanism is for domain parameter generation, the **CKA_CLASS** attribute will have the value CKO_DOMAIN_PARAMETERS; otherwise, it will have the value CKO_SECRET_KEY.

Since the type of key or domain parameters to be generated is implicit in the generation mechanism, the template does not need to supply a key type. If it does supply a key type which is inconsistent with the generation mechanism, **C_GenerateKey** fails and returns the error code

CKR_TEMPLATE_INCONSISTENT. The CKA_CLASS attribute is treated similarly.

If a call to **C_GenerateKey** cannot support the precise template supplied to it, it will fail and return without creating an object.

The object created by a successful call to **C_GenerateKey** will have its **CKA_LOCAL** attribute set to CK_TRUE.

Return values: CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_READ_ONLY, CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_CURVE_NOT_SUPPORTED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TEMPLATE_INCOMPLETE, CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED, CKR_USER_NOT_LOGGED_IN.

Example:

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hKey;
CK_MECHANISM mechanism = {
    CKM_DES_KEY_GEN, NULL_PTR, 0
};
CK_RV rv;
.
.
.
rv = C_GenerateKey(hSession, &mechanism, NULL_PTR, 0, &hKey);
if (rv == CKR_OK) {
    .
.
}
```

• C_GenerateKeyPair

```
CK_DEFINE_FUNCTION(CK_RV, C_GenerateKeyPair)(

CK_SESSION_HANDLE hSession,

CK_MECHANISM_PTR pMechanism,

CK_ATTRIBUTE_PTR pPublicKeyTemplate,

CK_ULONG ulPublicKeyAttributeCount,

CK_ATTRIBUTE_PTR pPrivateKeyTemplate,

CK_ULONG ulPrivateKeyAttributeCount,

CK_OBJECT_HANDLE_PTR phPublicKey,

CK_OBJECT_HANDLE_PTR phPrivateKey

);
```

C_GenerateKeyPair generates a public/private key pair, creating new key objects. *hSession* is the session's handle; *pMechanism* points to the key generation mechanism; *pPublicKeyTemplate* points to

the template for the public key; *ulPublicKeyAttributeCount* is the number of attributes in the public-key template; *pPrivateKeyTemplate* points to the template for the private key; *ulPrivateKeyAttributeCount* is the number of attributes in the private-key template; *phPublicKey* points to the location that receives the handle of the new public key; *phPrivateKey* points to the location that receives the handle of the new public key.

Since the types of keys to be generated are implicit in the key pair generation mechanism, the templates do not need to supply key types. If one of the templates does supply a key type which is inconsistent with the key generation mechanism, **C_GenerateKeyPair** fails and returns the error code CKR TEMPLATE INCONSISTENT. The CKA CLASS attribute is treated similarly.

If a call to **C_GenerateKeyPair** cannot support the precise templates supplied to it, it will fail and return without creating any key objects.

A call to **C_GenerateKeyPair** will never create just one key and return. A call can fail, and create no keys; or it can succeed, and create a matching public/private key pair.

The key objects created by a successful call to **C_GenerateKeyPair** will have their **CKA_LOCAL** attributes set to CK_TRUE.

Note carefully the order of the arguments to **C_GenerateKeyPair**. The last two arguments do not have the same order as they did in the original Cryptoki Version 1.0 document. The order of these two arguments has caused some unfortunate confusion.

Return values: CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_READ_ONLY, CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_CURVE_NOT_SUPPORTED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_DOMAIN_PARAMS_INVALID, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TEMPLATE_INCOMPLETE, CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED, CKR_USER_NOT_LOGGED_IN.

```
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hPublicKey, hPrivateKey;
CK MECHANISM mechanism = {
  CKM RSA PKCS KEY PAIR GEN, NULL PTR, 0
};
CK ULONG modulusBits = 768;
CK BYTE publicExponent[] = { 3 };
CK BYTE subject[] = {...};
CK BYTE id[] = {123};
CK BBOOL true = CK TRUE;
CK ATTRIBUTE publicKeyTemplate[] = {
  {CKA ENCRYPT, &true, sizeof(true)},
  {CKA VERIFY, &true, sizeof(true)},
  {CKA WRAP, &true, sizeof(true)},
  {CKA MODULUS BITS, &modulusBits, sizeof(modulusBits)},
  {CKA PUBLIC EXPONENT, publicExponent, sizeof (publicExponent)}
};
CK ATTRIBUTE privateKeyTemplate[] = {
  {CKA TOKEN, &true, sizeof(true)},
```

```
{CKA_PRIVATE, &true, sizeof(true)},
{CKA_SUBJECT, subject, sizeof(subject)},
{CKA_ID, id, sizeof(id)},
{CKA_ID, id, sizeof(id)},
{CKA_SENSITIVE, &true, sizeof(true)},
{CKA_DECRYPT, &true, sizeof(true)},
{CKA_SIGN, &true, sizeof(true)}
{CKA_UNWRAP, &true, sizeof(true)}
};
CK_RV rv;
rv = C_GenerateKeyPair(
   hSession, &mechanism,
   publicKeyTemplate, 5,
   privateKeyTemplate, 8,
   &hPublicKey, &hPrivateKey);
if (rv == CKR_OK) {
   .
   .
}
```

C_WrapKey

```
CK_DEFINE_FUNCTION(CK_RV, C_WrapKey)(
    CK_SESSION_HANDLE hSession,
    CK_MECHANISM_PTR pMechanism,
    CK_OBJECT_HANDLE hWrappingKey,
    CK_OBJECT_HANDLE hKey,
    CK_BYTE_PTR pWrappedKey,
    CK_ULONG_PTR pulWrappedKeyLen
);
```

C_WrapKey wraps (*i.e.*, encrypts) a private or secret key. *hSession* is the session's handle; *pMechanism* points to the wrapping mechanism; *hWrappingKey* is the handle of the wrapping key; *hKey* is the handle of the key to be wrapped; *pWrappedKey* points to the location that receives the wrapped key; and *pulWrappedKeyLen* points to the location that receives the length of the wrapped key.

C_WrapKey uses the convention described in Section 5.2 on producing output.

The **CKA_WRAP** attribute of the wrapping key, which indicates whether the key supports wrapping, <u>mustMUST</u> be CK_TRUE. The **CKA_EXTRACTABLE** attribute of the key to be wrapped <u>mustMUST</u> also be CK_TRUE.

If the key to be wrapped cannot be wrapped for some token-specific reason, despite its having its **CKA_EXTRACTABLE** attribute set to CK_TRUE, then **C_WrapKey** fails with error code CKR_KEY_NOT_WRAPPABLE. If it cannot be wrapped with the specified wrapping key and mechanism solely because of its length, then **C_WrapKey** fails with error code CKR_KEY_SIZE_RANGE.

C_WrapKey can be used in the following situations:

- To wrap any secret key with a public key that supports encryption and decryption.
- To wrap any secret key with any other secret key. Consideration <u>mustMUST</u> be given to key size and mechanism strength or the token may not allow the operation.
- To wrap a private key with any secret key.

Of course, tokens vary in which types of keys can actually be wrapped with which mechanisms.

To partition the wrapping keys so they can only wrap a subset of extractable keys the attribute CKA_WRAP_TEMPLATE can be used on the wrapping key to specify an attribute set that will be compared against the attributes of the key to be wrapped. If all attributes match according to the C_FindObject rules of attribute matching then the wrap will proceed. The value of this attribute is an attribute template and the size is the number of items in the template times the size of CK_ATTRIBUTE. If this attribute is not supplied then any template is acceptable. If an attribute is not present, it will not be checked. If any attribute mismatch occurs on an attempt to wrap a key then the function shallSHALL return CKR_KEY_HANDLE_INVALID.

Return Values: CKR_ARGUMENTS_BAD, CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_HANDLE_INVALID, CKR_KEY_NOT_WRAPPABLE, CKR_KEY_SIZE_RANGE, CKR_KEY_UNEXTRACTABLE, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN, CKR_WRAPPING_KEY_HANDLE_INVALID, CKR_WRAPPING_KEY_SIZE_RANGE, CKR_WRAPPING_KEY_TYPE_INCONSISTENT.

Example:

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hWrappingKey, hKey;
CK_MECHANISM mechanism = {
    CKM_DES3_ECB, NULL_PTR, 0
};
CK_BYTE wrappedKey[8];
CK_ULONG ulWrappedKeyLen;
CK_RV rv;
.
.
ulWrappedKeyLen = sizeof(wrappedKey);
rv = C_WrapKey(
    hSession, &mechanism,
    hWrappingKey, hKey,
    wrappedKey, &ulWrappedKeyLen);
if (rv == CKR_OK) {
    .
.
```

• C_UnwrapKey

```
CK_DEFINE_FUNCTION(CK_RV, C_UnwrapKey)(
CK_SESSION_HANDLE hSession,
CK_MECHANISM_PTR pMechanism,
CK_OBJECT_HANDLE hUnwrappingKey,
CK_BYTE_PTR pWrappedKey,
CK_ULONG ulWrappedKeyLen,
```

```
CK_ATTRIBUTE_PTR pTemplate,
CK_ULONG ulAttributeCount,
CK_OBJECT_HANDLE_PTR phKey
);
```

C_UnwrapKey unwraps (*i.e.* decrypts) a wrapped key, creating a new private key or secret key object. *hSession* is the session's handle; *pMechanism* points to the unwrapping mechanism; *hUnwrappingKey* is the handle of the unwrapping key; *pWrappedKey* points to the wrapped key; *ulWrappedKeyLen* is the length of the wrapped key; *pTemplate* points to the template for the new key; *ulAttributeCount* is the number of attributes in the template; *phKey* points to the location that receives the handle of the recovered key.

The **CKA_UNWRAP** attribute of the unwrapping key, which indicates whether the key supports unwrapping, <u>mustMUST</u> be CK_TRUE.

The new key will have the **CKA_ALWAYS_SENSITIVE** attribute set to CK_FALSE, and the **CKA_NEVER_EXTRACTABLE** attribute set to CK_FALSE. The **CKA_EXTRACTABLE** attribute is by default set to CK_TRUE.

Some mechanisms may modify, or attempt to modify. the contents of the pMechanism structure at the same time that the key is unwrapped.

If a call to **C_UnwrapKey** cannot support the precise template supplied to it, it will fail and return without creating any key object.

The key object created by a successful call to **C_UnwrapKey** will have its **CKA_LOCAL** attribute set to CK_FALSE.

To partition the unwrapping keys so they can only unwrap a subset of keys the attribute CKA_UNWRAP_TEMPLATE can be used on the unwrapping key to specify an attribute set that will be added to attributes of the key to be unwrapped. If the attributes do not conflict with the user supplied attribute template, in 'pTemplate', then the unwrap will proceed. The value of this attribute is an attribute template and the size is the number of items in the template times the size of CK_ATTRIBUTE. If this attribute is not present on the unwrapping key then no additional attributes will be added. If any attribute conflict occurs on an attempt to unwrap a key then the function shallSHALL return CKR_TEMPLATE_INCONSISTENT.

```
Return values: CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_READ_ONLY,
CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID,
CKR_BUFFER_TOO_SMALL, CKR_CRYPTOKI_NOT_INITIALIZED,
CKR_CURVE_NOT_SUPPORTED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY,
CKR_DEVICE_REMOVED, CKR_DOMAIN_PARAMS_INVALID, CKR_FUNCTION_CANCELED,
CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY,
CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK,
CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED, CKR_SESSION_CLOSED,
CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TEMPLATE_INCOMPLETE,
CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED,
CKR_UNWRAPPING_KEY_HANDLE_INVALID, CKR_UNWRAPPING_KEY_SIZE_RANGE,
CKR_UNWRAPPING_KEY_TYPE_INCONSISTENT, CKR_USER_NOT_LOGGED_IN,
CKR_WRAPPED_KEY_INVALID, CKR_WRAPPED_KEY_LEN_RANGE.
```

```
CK_SESSION_HANDLE hSession;
CK_OBJECT_HANDLE hUnwrappingKey, hKey;
CK_MECHANISM mechanism = {
    CKM_DES3_ECB, NULL_PTR, 0
};
CK_BYTE wrappedKey[8] = {...};
CK_OBJECT_CLASS keyClass = CKO_SECRET_KEY;
CK KEY TYPE keyType = CKK DES;
```

C_DeriveKey

```
CK_DEFINE_FUNCTION(CK_RV, C_DeriveKey)(

CK_SESSION_HANDLE hSession,

CK_MECHANISM_PTR pMechanism,

CK_OBJECT_HANDLE hBaseKey,

CK_ATTRIBUTE_PTR pTemplate,

CK_ULONG ulAttributeCount,

CK_OBJECT_HANDLE_PTR phKey
):
```

C_DeriveKey derives a key from a base key, creating a new key object. *hSession* is the session's handle; *pMechanism* points to a structure that specifies the key derivation mechanism; *hBaseKey* is the handle of the base key; *pTemplate* points to the template for the new key; *ulAttributeCount* is the number of attributes in the template; and *phKey* points to the location that receives the handle of the derived key.

The values of the CK_SENSITIVE, CK_ALWAYS_SENSITIVE, CK_EXTRACTABLE, and CK_NEVER_EXTRACTABLE attributes for the base key affect the values that these attributes can hold for the newly-derived key. See the description of each particular key-derivation mechanism in Section 5.16.2 for any constraints of this type.

If a call to **C_DeriveKey** cannot support the precise template supplied to it, it will fail and return without creating any key object.

The key object created by a successful call to **C_DeriveKey** will have its **CKA_LOCAL** attribute set to CK_FALSE.

Return values: CKR_ARGUMENTS_BAD, CKR_ATTRIBUTE_READ_ONLY, CKR_ATTRIBUTE_TYPE_INVALID, CKR_ATTRIBUTE_VALUE_INVALID, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_CURVE_NOT_SUPPORTED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_DOMAIN_PARAMS_INVALID, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_KEY_HANDLE_INVALID, CKR_KEY_SIZE_RANGE, CKR_KEY_TYPE_INCONSISTENT, CKR_MECHANISM_INVALID, CKR_MECHANISM_PARAM_INVALID, CKR_OK, CKR_OPERATION_ACTIVE, CKR_PIN_EXPIRED,

```
CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_READ_ONLY, CKR_TEMPLATE_INCOMPLETE, CKR_TEMPLATE_INCONSISTENT, CKR_TOKEN_WRITE_PROTECTED, CKR_USER_NOT_LOGGED_IN.
```

```
CK SESSION HANDLE hSession;
CK OBJECT HANDLE hPublicKey, hPrivateKey, hKey;
CK MECHANISM keyPairMechanism = {
  CKM_DH_PKCS_KEY PAIR GEN, NULL PTR, 0
};
CK BYTE prime[] = \{\ldots\};
CK BYTE base[] = \{\ldots\};
CK BYTE publicValue[128];
CK BYTE otherPublicValue[128];
CK MECHANISM mechanism = {
  CKM DH PKCS DERIVE, otherPublicValue, sizeof(otherPublicValue)
};
CK ATTRIBUTE pTemplate[] = {
  CKA VALUE, &publicValue, sizeof(publicValue) }
};
CK OBJECT CLASS keyClass = CKO SECRET KEY;
CK KEY TYPE keyType = CKK DES;
CK BBOOL true = CK TRUE;
CK ATTRIBUTE publicKeyTemplate[] = {
  {CKA PRIME, prime, sizeof(prime)},
  {CKA BASE, base, sizeof(base)}
};
CK ATTRIBUTE privateKeyTemplate[] = {
  {CKA DERIVE, &true, sizeof(true)}
};
CK ATTRIBUTE template[] = {
  {CKA CLASS, &keyClass, sizeof(keyClass)},
  {CKA KEY TYPE, &keyType, sizeof(keyType)},
  {CKA ENCRYPT, &true, sizeof(true)},
  {CKA DECRYPT, &true, sizeof(true)}
};
CK RV rv;
.
rv = C GenerateKeyPair(
 hSession, &keyPairMechanism,
  publicKeyTemplate, 2,
  privateKeyTemplate, 1,
```

```
&hPublicKey, &hPrivateKey);
if (rv == CKR_OK) {
  rv = C_GetAttributeValue(hSession, hPublicKey, &pTemplate, 1);
  if (rv == CKR_OK) {
    /* Put other guy's public value in otherPublicValue */
    .
    .
    rv = C_DeriveKey(
      hSession, &mechanism,
      hPrivateKey, template, 4, &hKey);
    if (rv == CKR_OK) {
      .
      .
      }
  }
}
```

5.14 Random number generation functions

Cryptoki provides the following functions for generating random numbers:

• C_SeedRandom

```
CK_DEFINE_FUNCTION(CK_RV, C_SeedRandom)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pSeed,
    CK_ULONG ulSeedLen
);
```

C_SeedRandom mixes additional seed material into the token's random number generator. *hSession* is the session's handle; *pSeed* points to the seed material; and *ulSeedLen* is the length in bytes of the seed material.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_ACTIVE, CKR_RANDOM_SEED_NOT_SUPPORTED, CKR_RANDOM_NO_RNG, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example: see C_GenerateRandom.

• C_GenerateRandom

```
CK_DEFINE_FUNCTION(CK_RV, C_GenerateRandom)(
    CK_SESSION_HANDLE hSession,
    CK_BYTE_PTR pRandomData,
    CK_ULONG ulRandomLen
);
```

C_GenerateRandom generates random or pseudo-random data. *hSession* is the session's handle; *pRandomData* points to the location that receives the random data; and *ulRandomLen* is the length in bytes of the random or pseudo-random data to be generated.

Return values: CKR_ARGUMENTS_BAD, CKR_CRYPTOKI_NOT_INITIALIZED, CKR_DEVICE_ERROR, CKR_DEVICE_MEMORY, CKR_DEVICE_REMOVED, CKR_FUNCTION_CANCELED, CKR_FUNCTION_FAILED, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_OK, CKR_OPERATION_ACTIVE, CKR_RANDOM_NO_RNG, CKR_SESSION_CLOSED, CKR_SESSION_HANDLE_INVALID, CKR_USER_NOT_LOGGED_IN.

Example:

```
CK_SESSION_HANDLE hSession;
CK_BYTE seed[] = {...};
CK_BYTE randomData[] = {...};
CK_RV rv;
.
.
.
rv = C_SeedRandom(hSession, seed, sizeof(seed));
if (rv != CKR_OK) {
.
.
}
rv = C_GenerateRandom(hSession, randomData, sizeof(randomData));
if (rv == CKR_OK) {
.
.
.
}
```

5.15 Parallel function management functions

Cryptoki provides the following functions for managing parallel execution of cryptographic functions. These functions exist only for backwards compatibility.

• C_GetFunctionStatus

```
CK_DEFINE_FUNCTION(CK_RV, C_GetFunctionStatus)(
    CK_SESSION_HANDLE hSession
);
```

In previous versions of Cryptoki, **C_GetFunctionStatus** obtained the status of a function running in parallel with an application. Now, however, **C_GetFunctionStatus** is a legacy function which should simply return the value CKR_FUNCTION_NOT_PARALLEL.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_FUNCTION_FAILED, CKR_FUNCTION_NOT_PARALLEL, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_CLOSED.

C_CancelFunction

```
CK_DEFINE_FUNCTION(CK_RV, C_CancelFunction)(
    CK_SESSION_HANDLE hSession
);
```

In previous versions of Cryptoki, **C_CancelFunction** cancelled a function running in parallel with an application. Now, however, **C_CancelFunction** is a legacy function which should simply return the value CKR_FUNCTION_NOT_PARALLEL.

Return values: CKR_CRYPTOKI_NOT_INITIALIZED, CKR_FUNCTION_FAILED, CKR_FUNCTION_NOT_PARALLEL, CKR_GENERAL_ERROR, CKR_HOST_MEMORY, CKR_SESSION_HANDLE_INVALID, CKR_SESSION_CLOSED.

5.16 Callback functions

Cryptoki sessions can use function pointers of type **CK_NOTIFY** to notify the application of certain events.

5.16.1 Surrender callbacks

Cryptographic functions (*i.e.*, any functions falling under one of these categories: encryption functions; decryption functions; message digesting functions; signing and MACing functions; functions for verifying signatures and MACs; dual-purpose cryptographic functions; key management functions; random number generation functions) executing in Cryptoki sessions can periodically surrender control to the application who called them if the session they are executing in had a notification callback function associated with it when it was opened. They do this by calling the session's callback with the arguments (hSession, CKN_SURRENDER, pApplication), where hSession is the session's handle and pApplication was supplied to **C_OpenSession** when the session was opened. Surrender callbacks should return either the value CKR_OK (to indicate that Cryptoki should continue executing the function). Of course, before returning one of these values, the callback function can perform some computation, if desired.

A typical use of a surrender callback might be to give an application user feedback during a lengthy key pair generation operation. Each time the application receives a callback, it could display an additional "." to the user. It might also examine the keyboard's activity since the last surrender callback, and abort the key pair generation operation (probably by returning the value CKR_CANCEL) if the user hit <ESCAPE>.

A Cryptoki library is not required to make any surrender callbacks.

5.16.2 Vendor-defined callbacks

Library vendors can also define additional types of callbacks. Because of this extension capability, application-supplied notification callback routines should examine each callback they receive, and if they are unfamiliar with the type of that callback, they should immediately give control back to the library by returning with the value CKR_OK.

6 PKCS #11 Implementation Conformance

An implementation is a conforming implementation if it meets the conditions specified in one or more server profiles specified in **[PKCS #11-Prof]**.

A PKCS #11 implementation SHALL be a conforming PKCS #11 implementation.

If a PKCS #11 implementation claims support for a particular profile, then the implementation SHALL conform to all normative statements within the clauses specified for that profile and for any subclauses to each of those clauses.

Appendix A. Acknowledgments

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

Participants:

Gil Abel, Athena Smartcard Solutions, Inc. Warren Armstrong, QuintessenceLabs Peter Bartok, Venafi, Inc. Anthony Berglas, Cryptsoft Kelley Burgin, National Security Agency Robert Burns, Thales e-Security Wan-Teh Chang, Google Inc. Hai-May Chao, Oracle Janice Cheng, Vormetric, Inc. Sangrae Cho, Electronics and Telecommunications Research Institute (ETRI) Doron Cohen, SafeNet, Inc. Fadi Cotran, Futurex Tony Cox, Cryptsoft Christopher Duane, EMC Chris Dunn, SafeNet, Inc. Valerie Fenwick, Oracle Terry Fletcher, SafeNet, Inc. Susan Gleeson, Oracle Sven Gossel, Charismathics Robert Griffin, EMC Paul Grojean, Individual Peter Gutmann, Individual Dennis E. Hamilton, Individual Thomas Hardjono, M.I.T. Tim Hudson, Cryptsoft Gershon Janssen, Individual Seunghun Jin, Electronics and Telecommunications Research Institute (ETRI) Andrey Jivsov, Symantec Corp. Greg Kazmierczak, Wave Systems Corp. Mark Knight, Thales e-Security Darren Krahn, Google Inc. Alex Krasnov, Infineon Technologies AG Dina Kurktchi-Nimeh. Oracle Mark Lambiase, SecureAuth Corporation Lawrence Lee, GoTrust Technology Inc. John Leiseboer, QuintessenceLabs

Hal Lockhart, Oracle Robert Lockhart, Thales e-Security Dale Moberg, Axway Software Darren Moffat, Oracle Valery Osheter, SafeNet, Inc. Sean Parkinson, EMC Rob Philpott, EMC Mark Powers, Oracle Ajai Puri, SafeNet, Inc. Robert Relyea, Red Hat Saikat Saha, Oracle Subhash Sankuratripati, NetApp Johann Schoetz, Infineon Technologies AG Rayees Shamsuddin, Wave Systems Corp. Radhika Siravara, Oracle Brian Smith, Mozilla Corporation David Smith, Venafi, Inc. Ryan Smith, Futurex Jerry Smith, US Department of Defense (DoD) Oscar So, Oracle Michael Stevens, QuintessenceLabs Michael StJohns, Individual Sander Temme, Thales e-Security Kiran Thota, VMware, Inc. Walter-John Turnes, Gemini Security Solutions, Inc. Stef Walter, Red Hat Jeff Webb, Dell Magda Zdunkiewicz, Cryptsoft Chris Zimman, Bloomberg Finance L.P.

Appendix B. Manifest constants

The following definitions can be found in the appropriate header file. Also, refer **[PKCS11_Curr] and [PKCS11_HIST]** for additional definitions.

/* * Copyright © Oasis Open 2013. All right reserved. * OASIS trademark, IPR and other policies apply. * http://www.oasis-open.org/policies-guidelines/ipr */ #define CK INVALID HANDLE OUL #define CKN SURRENDER OUL #define CK UNAVAILABLE INFORMATION (~0UL) #define CK EFFECTIVELY INFINITE OUL #define CKF DONT BLOCK 1 #define CKF ARRAY ATTRIBUTE 0x4000000UL OUL #define CKU SO #define CKU USER 1UL#define CKU CONTEXT SPECIFIC 2UL #define CKS RO PUBLIC SESSION OUL #define CKS RO USER FUNCTIONS 1UL#define CKS RW PUBLIC SESSION 2UL #define CKS RW USER FUNCTIONS 3UL #define CKS RW SO FUNCTIONS 4UL #define CKO DATA 0x0000000UL #define CKO CERTIFICATE 0x0000001UL #define CKO PUBLIC KEY 0x0000002UL #define CKO PRIVATE KEY 0x0000003UL #define CKO SECRET KEY 0x0000004UL #define CKO HW FEATURE 0x0000005UL #define CKO DOMAIN PARAMETERS 0x0000006UL #define CKO MECHANISM 0x0000007UL #define CKO VENDOR DEFINED 0x8000000UL

0x0000001UL #define CKH MONOTONIC COUNTER #define CKH CLOCK 0x0000002UL 0x0000003UL #define CKH USER INTERFACE #define CKH VENDOR DEFINED 0x8000000UL 0x00000000UL #define CKA CLASS #define CKA TOKEN 0x0000001UL 0×0000002UT #define CKA PRIVATE #define CKA LABEL 0x0000003UL #define CKA APPLICATION 0x00000010UL #define CKA VALUE 0x00000011UL #define CKA OBJECT ID 0x0000012UL #define CKA CERTIFICATE TYPE 0x0000080UL #define CKA ISSUER 0x0000081UL #define CKA SERIAL NUMBER 0x0000082UL #define CKA AC ISSUER 0x0000083UL #define CKA OWNER 0x0000084UL 0x00000085UL #define CKA ATTR TYPES #define CKA TRUSTED 0x0000086UL #define CKA CERTIFICATE CATEGORY 0x0000087UL #define CKA JAVA MIDP SECURITY DOMAIN 0x0000088UL #define CKA URL 0x0000089UL #define CKA HASH OF SUBJECT PUBLIC KEY UX000008AUL #define CKA HASH OF ISSUER PUBLIC KEY 0x000008BUL #define CKA NAME HASH ALGORITHM 0x000008CUL #define CKA CHECK VALUE 0x00000090UL #define CKA KEY TYPE 0x00000100UL #define CKA SUBJECT 0x0000101UL #define CKA ID 0x00000102UL #define CKA SENSITIVE 0x0000103UL #define CKA ENCRYPT 0x00000104UL #define CKA DECRYPT 0x00000105UL #define CKA WRAP 0x00000106UL #define CKA UNWRAP 0x00000107UL #define CKA SIGN 0x00000108UL #define CKA SIGN RECOVER 0x00000109UL 0x000010AUL #define CKA VERIFY #define CKA VERIFY RECOVER 0x0000010BUL #define CKA DERIVE 0x000010CUL #define CKA START DATE 0x00000110UL #define CKA END DATE 0x00000111UL #define CKA MODULUS 0x00000120UL

pkcs11-base-v2.40-csprd02 Standards Track Work Product

#define CKA MODULUS BITS	0x00000121UL
#define CKA_PUBLIC_EXPONENT	0x00000122UL
#define CKA PRIVATE EXPONENT	0x00000123UL
#define CKA_PRIME_1	0x00000124UL
#define CKA PRIME 2	0x0000125UL
#define CKA_EXPONENT_1	0x0000126UL
#define CKA_EXPONENT_2	0x0000127UL
#define CKA_COEFFICIENT	0x0000128UL
#define CKA_PRIME	0x0000130UL
#define CKA_SUBPRIME	0x0000131UL
#define CKA_BASE	0x0000132UL
#define CKA_PRIME_BITS	0x0000133UL
#define CKA_SUBPRIME_BITS	0x0000134UL
#define CKA_VALUE_BITS	0x0000160UL
#define CKA_VALUE_LEN	0x0000161UL
#define CKA_EXTRACTABLE	0x00000162UL
#define CKA_LOCAL	0x00000163UL
#define CKA_NEVER_EXTRACTABLE	0x00000164UL
#define CKA_ALWAYS_SENSITIVE	0x00000165UL
#define CKA_KEY_GEN_MECHANISM	0x0000166UL
#define CKA_MODIFIABLE	0x00000170UL
#define CKA_COPYABLE	0x00000171UL
#define CKA_DESTROYABLE	0x00000172UL
#define CKA_ECDSA_PARAMS	0x0000180UL
#define CKA_EC_PARAMS	0x0000180UL
#define CKA_EC_POINT	0x0000181UL
#define CKA_SECONDARY_AUTH	0x00000200UL /* Deprecated */
#define CKA_AUTH_PIN_FLAGS	0x00000201UL /* Deprecated */
#define CKA_ALWAYS_AUTHENTICATE	0x0000202UL
#define CKA WRAP WITH TRUSTED	0x00000210UL
#define CKA WRAP TEMPLATE	(CKF ARRAY ATTRIBUTE 0x00000211UL)
#define CKA UNWRAP TEMPLATE	(CKF ARRAY ATTRIBUTE 0x00000212UL)
#define CKA HW FEATURE TYPE	0x0000300UL
#define CKA_RESET_ON_INIT	0x0000301UL
#define CKA_HAS_RESET	0x0000302UL
#define CKA_PIXEL_X	0x0000400UL
#define CKA_PIXEL_Y	0x00000401UL
#define CKA_RESOLUTION	0x0000402UL
#define CKA_CHAR_ROWS	0x0000403UL
#define CKA_CHAR_COLUMNS	0x00000404UL
#define CKA_COLOR	0x0000405UL
#define CKA_BITS_PER_PIXEL	0x00000406UL

0x00000480UL #define CKA CHAR SETS 0x00000481UL #define CKA ENCODING METHODS #define CKA MIME TYPES 0x00000482UL 0x00000500UL #define CKA MECHANISM TYPE #define CKA REQUIRED CMS ATTRIBUTES 0x00000501UL #define CKA DEFAULT CMS ATTRIBUTES 0x00000502UL #define CKA SUPPORTED CMS ATTRIBUTES 0x00000503UL #define CKA ALLOWED MECHANISMS (CKF ARRAY ATTRIBUTE | 0x00000600UL) 0×80000000UT #define CKA VENDOR DEFINED #define CKR OK 0x0000000UL #define CKR CANCEL 0x0000001UL #define CKR HOST MEMORY 0x0000002UL #define CKR SLOT ID INVALID 0x0000003UT #define CKR GENERAL ERROR 0x0000005UL #define CKR FUNCTION FAILED 0x0000006UL #define CKR ARGUMENTS BAD 0x0000007UL #define CKR NO EVENT 0x0000008UL 0x0000009UL #define CKR NEED TO CREATE THREADS 0x000000AUL #define CKR CANT LOCK #define CKR ATTRIBUTE READ ONLY 0x0000010UL #define CKR ATTRIBUTE SENSITIVE 0x00000011UL #define CKR ATTRIBUTE TYPE INVALID 0x0000012UL #define CKR ATTRIBUTE VALUE INVALID 0x00000013UL #define CKR COPY PROHIBITED 0x000001AUL #define CKR ACTION PROHIBITED 0x000001BUL #define CKR DATA INVALID 0x00000020UL #define CKR DATA LEN RANGE 0x00000021UL #define CKR DEVICE ERROR 0x0000030UL #define CKR DEVICE MEMORY 0x0000031UL #define CKR DEVICE REMOVED 0x0000032UL #define CKR ENCRYPTED DATA INVALID 0x00000040UL #define CKR ENCRYPTED DATA LEN RANGE 0x00000041UL #define CKR FUNCTION CANCELED 0x00000050UL #define CKR FUNCTION NOT PARALLEL 0x00000051UL #define CKR FUNCTION NOT SUPPORTED 0x00000054UL #define CKR KEY HANDLE INVALID 0x0000060UL 0x0000062UL #define CKR KEY SIZE RANGE 0x0000063UL #define CKR KEY TYPE INCONSISTENT #define CKR KEY NOT NEEDED 0x0000064UL #define CKR KEY CHANGED 0x0000065UL 0x0000066UL #define CKR KEY NEEDED #define CKR KEY INDIGESTIBLE 0x0000067UL

#dofino	CKR KEY FUNCTION NOT PERMITTED	0x0000068UL		
		0x00000069UL		
		0x000006AUL		
		0x00000070UL		
		0x00000071UL		
		0x0000090UL		
		0x0000091UL		
	CKR_PIN_INCORRECT	0x000000A0UL		
	CKR_PIN_INVALID	0x00000A1UL		
	CKR_PIN_LEN_RANGE	0x000000A2UL		
	CKR_PIN_EXPIRED	0x00000A3UL		
		0x00000A4UL		
	— —	0x00000B0UL		
		0x00000B1UL		
	CKR_SESSION_HANDLE_INVALID			
	CKR_SESSION_PARALLEL_NOT_SUPPORTED			
	CKR_SESSION_READ_ONLY			
		0x00000B6UL		
#define	CKR_SESSION_READ_ONLY_EXISTS	0x00000B7UL		
#define	CKR_SESSION_READ_WRITE_SO_EXISTS	0x00000B8UL		
#define	CKR_SIGNATURE_INVALID	0x00000C0UL		
#define	CKR_SIGNATURE_LEN_RANGE	0x00000C1UL		
	— —	0x00000D0UL		
	— —	0x00000D1UL		
#define	CKR_TOKEN_NOT_PRESENT	0x00000E0UL		
#define	CKR_TOKEN_NOT_RECOGNIZED	0x00000E1UL		
#define	CKR_TOKEN_WRITE_PROTECTED	0x00000E2UL		
#define	CKR_UNWRAPPING_KEY_HANDLE_INVALID	0x00000F0UL		
#define	CKR_UNWRAPPING_KEY_SIZE_RANGE	0x00000F1UL		
#define	CKR_UNWRAPPING_KEY_TYPE_INCONSISTE	ENT 0x00000F2UL		
#define	CKR_USER_ALREADY_LOGGED_IN	0x00000100UL		
#define	CKR_USER_NOT_LOGGED_IN	0x0000101UL		
#define	CKR_USER_PIN_NOT_INITIALIZED	0x0000102UL		
#define	CKR_USER_TYPE_INVALID	0x0000103UL		
#define	CKR_USER_ANOTHER_ALREADY_LOGGED_IN	J 0x0000104UL		
#define	CKR_USER_TOO_MANY_TYPES	0x0000105UL		
#define	CKR_WRAPPED_KEY_INVALID	0x00000110UL		
#define	CKR_WRAPPED_KEY_LEN_RANGE	0x00000112UL		
#define	CKR_WRAPPING_KEY_HANDLE_INVALID	0x00000113UL		
#define	CKR_WRAPPING_KEY_SIZE_RANGE	0x00000114UL		
#define	#define CKR_WRAPPING_KEY_TYPE_INCONSISTENT 0x00000115UL			
#define	CKR_RANDOM_SEED_NOT_SUPPORTED	0x00000120UL		

#define CKR_RANDOM_NO_RNG	0x0000121UL	
#define CKR_DOMAIN_PARAMS_INVALID	0x0000130UL	
#define CKR_CURVE_NOT_SUPPORTED	0x0000140UL	
#define CKR_BUFFER_TOO_SMALL	0x0000150UL	
#define CKR_SAVED_STATE_INVALID	0x0000160UL	
#define CKR_INFORMATION_SENSITIVE	0x0000170UL	
#define CKR_STATE_UNSAVEABLE	0x0000180UL	
#define CKR_CRYPTOKI_NOT_INITIALIZED	0x0000190UL	
#define CKR_CRYPTOKI_ALREADY_INITIALIZED		
#define CKR_MUTEX_BAD	0x00001A0UL	
#define CKR_MUTEX_NOT_LOCKED	0x00001A1UL	
#define CKR_FUNCTION_REJECTED	0x0000200UL	
#define CKR_VENDOR_DEFINED		
#define CK_CERTIFICATE_CATEGORY_UNSPECIFIED 0UL		
#define CK_CERTIFICATE_CATEGORY_TOKEN_USER 1UL		
#define CK_CERTIFICATE_CATEGORY_AUTHORITY	2UL	
#define CK_CERTIFICATE_CATEGORY_OTHER_ENT	ITY JUL	
#define CK_SECURITY_DOMAIN_UNSPECIFIED	OUL	
#define CK_SECURITY_DOMAIN_MANUFACTURER	1UL	
#define CK_SECURITY_DOMAIN_OPERATOR	2UL	
#define CK_SECURITY_DOMAIN_THIRD_PARTY	3UL	

Appendix C. Revision History

Revision	Date	Editor	Changes Made
wd01	Apr 30 2013	Chris Zimman	Initial import into OASIS template
wd02	July 7 2013	Chris Zimman	Move explanatory material to PKCS #11 Usage Guide v2.40 wd02
wd03	Aug 16 2013	Chris Zimman	Incorporation of recent ballot items
wd04	Oct 1 2013	Chris Zimman	Incorporation of ballot items, prep for Committee Specification Draft promotion
wd05	Oct 7 2013	Chris Zimman	Typo correction and proof. This is the candidate for promotion to Committee Specification Draft.
wd06	Oct 27 2013	Robert Griffin	Final participant list and other editorial changes for Committee Specification Draft
<u>wd07</u>	Feb 17 2013	<u>Chris Zimman</u>	Incorporation of comments and changes from public feedback and review
<u>wd08</u>	Feb 27 2013	<u>Chris Zimman</u>	Incorporation of comments and changes from public feedback and review