

Open Command and Control (OpenC2) Language Specification Version 1.0

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This prose specification is one component of a Work Product that also includes:

- OpenC2 Language Syntax JSON/JADN schema ():
- JADN Syntax JSON/JADN schema ():

Abstract:

Cyberattacks are increasingly sophisticated, less expensive to execute, dynamic and automated. The provision of eyberdefensecyber defense via statically configured products operating in isolation is untenable. Standardized interfaces, protocols and data models will facilitate the integration of the functional blocks within a system and between systems. Open Command and Control (OpenC2) is a concise and extensible language to enable machine_to_machine communications for purposes of command and control of cyber defense components, subsystems and/or systems in a manner that is agnostic of the underlying products, technologies, transport mechanisms or other aspects of the implementation. It should be understood that a language such as OpenC2 is necessary but insufficient to enable coordinated cyber responses that occur within cyber relevant time. Other aspects of coordinated cyber response such as sensing, analytics, and selecting appropriate courses of action are beyond the scope of OpenC2.

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1 Introduction

<u>The content in this section is non-normative, except where it is marked normative.</u>

OpenC2 is a suite of specifications that enables command and control of cyber defense systems and components. OpenC2 typically uses a request-response paradigm where a e<u>Command</u> is encoded by an <u>OpenC2 producera</u> <u>Producer</u> (managing application) and transferred to an <u>OpenC2 consumera</u> <u>Consumer</u> (managed device or virtualized function) using a secure transfer protocol. The <u>consumer</u>, and the <u>Consumer</u> can respond with status and any requested information. The <u>contents of both the command and the response are fully defined in schemas</u>, allowing both parties to recognize the syntax <u>constraints imposed on the exchange</u>.

OpenC2 allows the application producing the commands to discover the set of capabilities supported by the managed devices. These capabilities permit the managing application to adjust its behavior to take advantage of the features exposed by the managed device. The capability definitions can be easily extended in a noncentralized manner, allowing standard and non-standard capabilities to be defined with semantic and syntactic rigor.

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1.2 Terminology

This section is normative.

- Action: The task or activity to be performed. (e.g., 'deny').
- Actuator: The entityfunction performed by the Consumer that performsexecutes the action.
 - Command (e.g., 'Stateless Packet Filtering').
 - Argument: A message property of a Command that provides additional information on how to perform the Command, such as date/time, periodicity, duration, etc.
 - Command: A Message defined by an action-targetAction-Target pair that is sent from a pProducer and received by a eConsumer.
 - Consumer: A managed device / application that receives
 Commands. Note that a single device / application can have both
 Consumer and pProducer capabilities.
 - **Message**: A content- and transport-independent set of elements conveyed between Consumers and Producers
 - **Producer**: A manager application that sends <u>Commands</u>.
 - Response: A mMessage from a eConsumer to a pProducer
 acknowledging a eCommand or returning the requested resources or
 status to a previously received request.
 - **Specifier**: A property or field that identifies a Target or Actuator to some level of precision.
 - **Target**: The object of the a<u>A</u>ction, i.e., the a<u>A</u>ction is performed on the target. Target (e.g., IP Address).

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119] and [RFC8174].] when, and only when, they appear in all capitals, as shown here.

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1.5 Document Conventions

1.5.1 Naming Conventions

- [RFC2119]//[RFC8174] key words (see Section 1.2) are in all uppercase.
- All property names and literals are in lowercase, except when referencing canonical names defined in another standard (e.g., literal values from an IANA registry).
- All words in structure component names are capitalized and are separated with a hyphen, e.g., ACTION, TARGET, TARGET-SPECIFIER.
 - Words in property names are separated with an underscore (_), while words in string enumerations and type names are separated with a hyphen (-).
 - The term "hyphen" used here refers to the ASCII hyphen or minus character, which in Unicode is "hyphen-minus", U+002D.
- All type names, property names, object names, and vocabulary terms are between three and 40 characters long.

1.5.2 Font Colors and Style

The following color, font and font style conventions are used in this document:

- A fixed width font is used for all type names, property names, and literals.
- Property names are in bold style **created a**t'created at'.
- All examples in this document are expressed in JSON. They are in fixed width font, with straight quotes, black text and a light shaded background, and 4-space indentation. JSON examples in this document are representations of JSON Objects. They should not be interpreted as string literals. The ordering of object keys is insignificant. Whitespace before or after JSON structural characters in the examples are insignificant [RFC8259][].
- Parts of the example may be omitted for conciseness and clarity.
 These omitted parts are denoted with the ellipses (...).

Example:

```
"action": "contain",
   "target": {
        "user_account": {
            "user_id": "fjbloggs",
            "account_type": "windows-local"
        }
}
```

1.6 Overview

<u>In general, there are two types of participants involved in the exchange of</u> OpenC2 Messages, as depicted in Figure 1-1:

- Producers: A Producer is an entity that creates Commands to provide instruction to one or more systems to act in accordance with the content of the Command. A Producer may receive and process Responses in conjunction with a Command.
- 2. Consumers: A Consumer is an entity that receives and may act upon a Command. A Consumer may create Responses that provide any information captured or necessary to send back to the Producer.

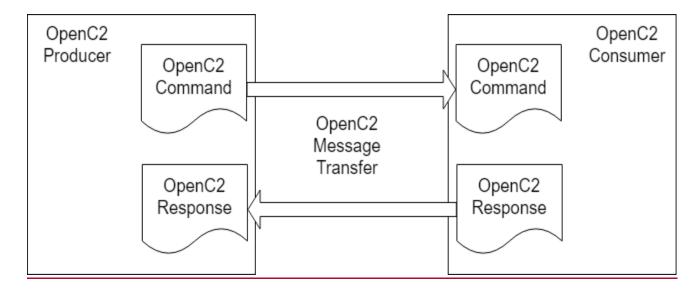


Figure 1-1. OpenC2 Message Exchange

OpenC2 is a suite of specifications for Producers and Consumers to command actuators that and execute cyber defense functions in an unambiguous, standardized way. These specifications include the OpenC2 Language Specification, Actuator Profiles, and Transfer Specifications. The OpenC2 Language Specification and Actuator Profile specifications focus on the standardlanguage content and meaning at the pProducer and eConsumer of the eCommand and rResponse while the transfer specifications focus on the protocols for their exchange.

- The **OpenC2 Language Specification** provides the semantics for the essential elements of the language, the structure for e<u>C</u>ommands and <u>F</u>esponses, and the schema that defines the proper syntax for the language elements that represents the <u>e</u><u>C</u>ommand or <u>F</u>esponse.
- OpenC2 Actuator Profiles specify the subset of the OpenC2 language relevant in the context of specific aActuator functions. Cyber defense components, devices, systems and/or instances may (in fact are likely) to) implement multiple aActuator profiles. Actuator profiles extend the language by defining sSpecifiers that identify the aActuator to the required level of precision-and-. Actuator Profiles may define command argumentsCommand Arguments and Targets that are relevant and/or unique to those aActuator functions.
- OpenC2 Transfer Specifications utilize existing protocols and standards to implement OpenC2 in specific environments. These standards are used for communications and security functions beyond the scope of the language, such as message transfer encoding, authentication, and end-to-end transfeport of OpenC2 messages.

The OpenC2 Language Specification defines a language used to compose mMessages for command and control of cyber defense systems and components. A mMessage consists of

a header and a payload (*defined* as a mMessage body in the OpenC2 Language Specification Version 1.0 and *specified* in one or more aActuator profiles).

In general, there are two types of participants involved in the exchange of OpenC2 messages, as depicted in Figure 1-1:

- 1. **OpenC2 Producers**: An OpenC2 Producer is an entity that creates commands to provide instruction to one or more systems to act in accordance with the content of the command. An OpenC2 Producer may receive and process responses in conjunction with a command.
- 2. OpenC2 Consumers: An OpenC2 Consumer is an entity that receives and may act upon an OpenC2 command. An OpenC2 Consumer may create responses that provide any information captured or necessary to send back to the OpenC2 Producer.

The language defines two payload structures:

- 1. **Command**: An instruction from one system known as the OpenC2 "Producer", to one or more systems, the OpenC2 "Consumer(s)", to act on the content of the command.
- Response: Any information captured or necessary to sendsent back to the OpenC2 Producer that issued as a result of the Command, i.e., the OpenC2 Consumer's response to the OpenC2 Producer.

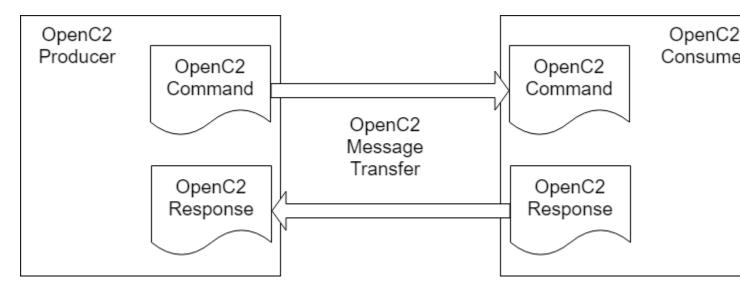
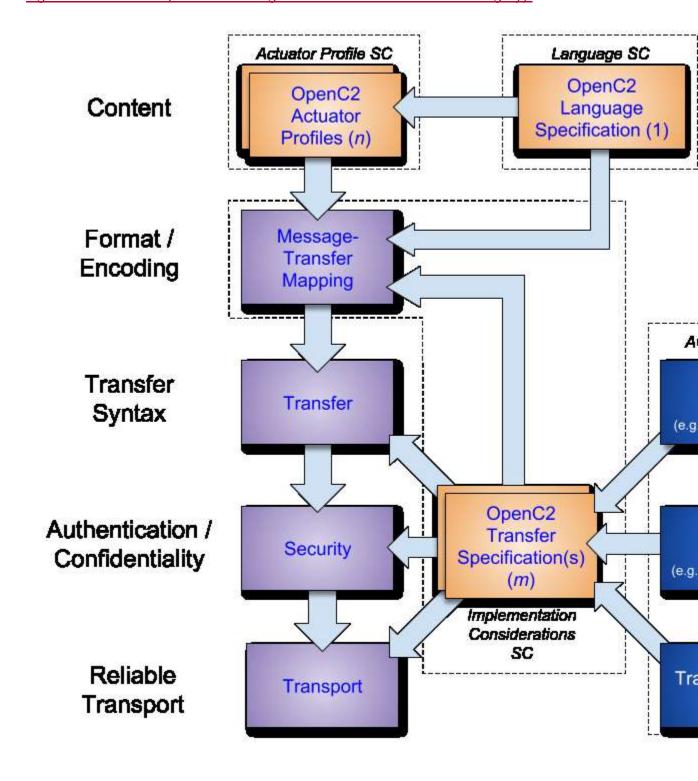


Figure 1-1. OpenC2 Message Exchange

OpenC2 implementations integrate the related OpenC2 specifications described above with related industry specifications, protocols, and standards. Figure 1-2 depicts the relationships among OpenC2 specifications, and their relationships to other industry standards and environment-specific implementations of OpenC2. Note that the layering of implementation aspects in the diagram is notional, and not intended to preclude the use of any

particular protocol or standard.any particular approach to implementing the needed functionality (for example, the use of an application-layer message signature function to provide message source authentication and integrity).



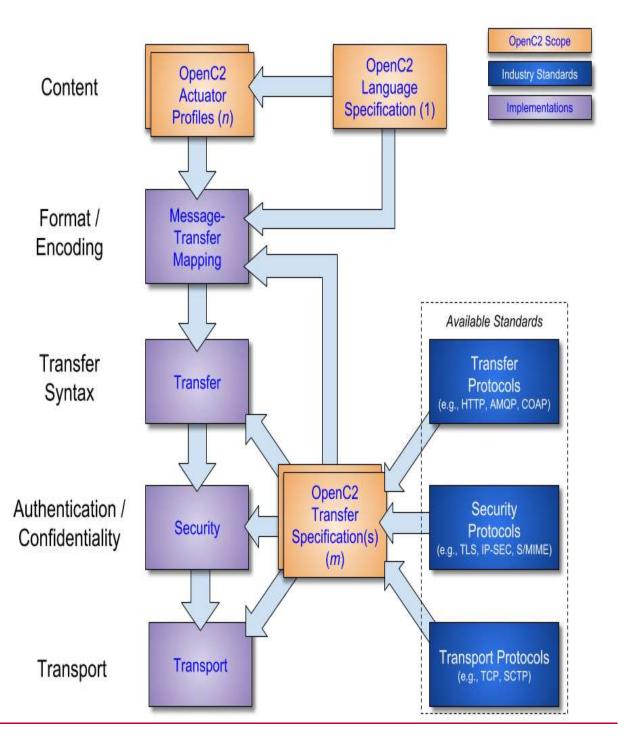


Figure 1-2. OpenC2 Documentation and Layering Model

OpenC2 is conceptually partitioned into four layers as shown in Table 1-1.

Table 1-1. OpenC2 Protocol Layers

Layer	Examples
Function-Specific Content	Actuator Profiles ([OpenC2-SLPF-v1.0](standard and extensions),)
Common Content	Language Specification (this document)
Message	Transfer Specifications ([OpenC2-HTTPS-v1.0](OpenC2-over-HTTPS-, OpenC2-over-CoAP,))
Secure Transfer	HTTPS, CoAP, MQTT, OpenDXL,

- The Secure Transfer layer provides a communication path between the pProducer and the eConsumer. OpenC2 can be layered over any standard transfer protocol.
- The Message layer provides a transfer- and content-independent mechanism for conveying requests, responses, and notifications. A transfer specification maps transfer-specific protocol elements to a transfer-independent set of message elements consisting of content and associated metadata.
- The Common Content layer defines the structure of OpenC2
 commandsCommands and rResponses and a set of common
 language elements used to construct them.
- The Function-specific Content layer defines the language elements used to support a particular cyber defense function. An aActuator profile defines the implementation conformance requirements for that function. OpenC2 Producers and Consumers will support one or more profiles.

The components of a Command are an Action (what is to be done), a Target (what is being acted upon), an optional Actuator (what is performing the command), and Command Arguments, which influence how the Command is to be performed. An Action coupled with a Target is sufficient to describe a complete Command. Though optional, the inclusion of an Actuator and/or Command Arguments provides additional precision to a Command.

The components of a Response are a numerical status code, an optional status text string, and optional results. The format of the results, if included, depend on the type of Response being transferred.

1.7 Goal

The goal of the OpenC2 Language Specification is to provide a language for interoperating between functional elements of cyber defense systems. This language used in conjunction with OpenC2 Actuator Profiles and OpenC2 Transfer Specifications allows for vendor-agnostic cybertime response to attacks.

The Integrated Adaptive Cyber Defense (IACD) framework defines a collection of activities, based on the traditional OODA (Observe–Orient–Decide–Act) Loop [IACD][]:

- Sensing: gathering of data regarding system activities
- Sense Making: evaluating data using analytics to understand what's happening
- Decision Making: determining a course-of-action to respond to system events
- Acting: Executing the course-of-action

The goal of OpenC2 is to enable coordinated defense in cyber-relevant time between decoupled blocks that perform cyber defense functions. OpenC2 focuses on the Acting portion of the IACD framework; the assumption that underlies the design of OpenC2 is that the sensing/analytics have been provisioned and the decision to act has been made. This goal and these assumptions guides the design of OpenC2:

- Technology Agnostic: The OpenC2 language defines a set of abstract atomic cyber defense actions in a platform and productimplementation agnostic manner
- Concise: An OpenC2 command A Command is intended to convey only the essential information required to describe the action required and can be represented in a very compact form for communicationsconstrained environments
- Abstract: OpenC2 commands Commands and rResponses are defined abstractly and can be encoded and transferred via multiple schemes as dictated by the needs of different implementation environments
- Extensible: While OpenC2 defines a core set of aActions and †Targets for cyber defense, the language is expected to evolve with cyber defense technologies, and permits extensions to accommodate new cyber defense technologies.

1.8 Purpose and Scope

The OpenC2 Language Specification defines the set of components to assemble a complete command and control mMessage and provides a framework so that the language can be extended. To achieve this purpose, the scope of this specification includes:

- the set of aActions and options that may be used in OpenC2 commandsCommands
- 2. the set of tTargets and target specifiers Target Specifiers
- a syntax that defines the structure of e^Commands and r^Responses
- 4. a JSON serialization of OpenC2 commands and FResponses
- 5. the procedures for extending the language

The OpenC2 language assumes that the event has been detected, a decision to act has been made, the act is warranted, and the initiator and recipient of the eCommands are authenticated and authorized. The OpenC2 language was designed to be agnostic of the other aspects of cyber defense implementations that realize these assumptions. The following items are beyond the scope of this specification:

- Language extensionselements applicable to some a<u>A</u>ctuators, which may be defined in individual a<u>A</u>ctuator profiles.
- 2. Alternate serializations of OpenC2 commands Commands and FResponses.
- 3. The enumeration of the protocols required for transport, information assurance, sensing, analytics and other external dependencies.

2 OpenC2 Language Description

The content in this section is non-normative.

The OpenC2 language has two distinct content types: eCommand and FResponse. The eCommand is sent from a pProducer to a eConsumer and describes an aAction to be performed by an aActuator on a tTarget. The FResponse is sent from a eConsumer, usually back to the pProducer, and is a means to provide information (such as acknowledgement, status, etc.) as a result of a eCommand.

2.1 OpenC2 Command

The e $\underline{\mathbb{C}}$ ommand describes an $\underline{\mathbb{A}}$ ction to be performed on a $\underline{\mathbb{T}}$ arget and may include information identifying the $\underline{\mathbb{A}}$ ctuator or $\underline{\mathbb{A}}$ ctuators that are to execute the e $\underline{\mathbb{C}}$ ommand.

A <u>cCommand</u> has four main components: <u>ACTION, TARGET,</u>
<u>ARGUMENTS,</u> two required and two optional. The required components are
the Action and the Target. The optional components are Command

Arguments and the Actuator. A Command can also contain an optional Command identifier, if necessary. Section 3.3.1 ACTUATOR. defines the syntax of an OpenC2 Command.

The following list summarizes the <u>main four</u> components of a <u>c</u>Command.

- ACTIONAction (required): The task or activity to be performed.
- TARGET<u>Target</u> (required): The object of the action. The <u>ACTIONAction</u> is performed on the <u>target</u>.
 - TARGET-NAME (required): The name of the object Target. Properties of the action.
 - TARGET-SPECIFIERS (optional): The specifier Target, called Target Specifiers, further identifiesy the tTarget to some level of precision, such as a specific tTarget, a list of tTargets, or a class of tTargets.
 - ARGUMENTS Arguments (optional): Provide additional information on how the command is to be performed, such as date/time, periodicity, duration, etc.
- ACTUATOR<u>Actuator</u> (optional): The <u>ACTUATORActuator</u> executes the command (the <u>ACTION</u> and <u>TARGET</u>).<u>Command</u>. The <u>ACTUATOR type <u>Actuator</u> will be defined within the context of an Actuator Profile.
 </u>
 - ACTUATOR-NAME (required): The name Properties of the set of functions (e.g., "slpf") performed by the actuator, and the name of the profile defining commands applicable to those functions.
 - ACTUATOR-SPECIFIERS (optional): The specifier identifies the
 actuator Actuator, called Actuator Specifiers, further identify the
 Actuator to some level of precision, such as a specific aActuator, a list
 of aActuators, or a group of aActuators.

The <u>ACTIONAction</u> and <u>TARGETTarget</u> components are required and are populated by one of the <u>aActions in Section 3.3.1.1</u> and the <u>tTargets in Section 3.3.1.2</u>. A particular <u>tTarget may be further refined by the Target type definitions in Section 3.4.1 one or more TARGET-SPECIFIERS.</u> Procedures to extend the <u>tTargets</u> are described in <u>Section 3.3.41.5</u>.

TARGET-SPECIFIERS provide additional precision to identify the target (e.g., 10.1.2.3) and may include a method of identifying multiple targets of the same type (e.g., 10.1.0.0/16).

The ARGUMENTS component Command Arguments, if present, is populated by one or more 'command arguments' that determine how the command is executed. ARGUMENTS influence the command by providing information such as timeing, periodicity, duration, or other details on what is to be executed. They can also be used to convey the need for acknowledgement or additional status information about the execution of a command. The valid

ARGUMENTS Arguments defined in this specification are in Section 3.3.1.4. Procedures to extend Arguments are described in Section 3.1.5.

An ACTUATORActuator is an implementation of a cyber defense function that executes the eCommand. An Actuator Profile is a specification that identifies the subset of ACTIONS, TARGETSActions, Targets and other aspects of this language specification that are mandatory to implementrequired or optional in the context of a particular ACTUATORActuator. An Actuator Profile may extend the language by defining additional ARGUMENTS, ACTUATORSPECIFIERSTargets, Arguments, and/or TARGETS-Actuator Specifiers that are meaningful and possibly unique to the aActuator.

The ACTUATOR optionally identifies the entity or entities that are tasked to execute the command. Specifiers for actuators refine the command so that a particular function, system, class of devices, or specific device can be identified.

The ACTUATOR component may be omitted from a command The Actuator may be omitted from a Command and typically will not be included in implementations where the identities of the endpoints are unambiguous or when a high-level effects-based eCommand is desired and the tactical decisions on how the effect is achieved is left to the recipient.

2.2 OpenC2 Response

The OpenC2 Response is a mMessage sent from the recipient of a eCommand. Response messages provide acknowledgement, status, results from a query, or other information.

The following list summarizes the fields and subfields of an OpenC2 Response.

- STATUS (required): An integer containing At a numerical minimum, a Response will contain a status code
- STATUS_TEXT (optional): A free-form string containing human-readable description of to indicate the result of performing the Command. Additional status text and response status. The string can contain fields optionally provide more detail than is represented by the status code, but does not affect the meaning of the response.

RESULTS (optional): Contains the data or extended status code detailed information that was is specific to or requested by the Command. Section 3.3.2 from defines the syntax of an OpenC2 Command Response.

3 OpenC2 Language Definition

The content in this section is normative.

3.1 Base Components and Structures

3.1.1 Data Types

The syntax of valid OpenC2 messages is defined using an information model constructed from the data types presented here:

OpenC2 data types are defined using an abstract notation that is independent of both their representation within applications ("API" values) and their format for transmission between applications ("serialized" values). The data types used in OpenC2 messages are:

Туре	Description	
Primitive Types		
Any	Anything, used to designate fields with an unspecified value.	
Binary	A sequence of octets. Length is the number of octets.	
Boolean	A logical entity that can have An element with one of two values: true and false.	
Integer	A whole number.	
Number	A real number.	
Null	Nothing, used to designate fields with no value.	
String	A sequence of characters. Each character must have, each of which has a valid-Unicode codepoint. Length is the number of characters.	
Structures		
Array	An ordered list of unnamed fields- with positionally-defined semantics. Each field has an ordinala position, label, and type.	

Туре	Description	
ArrayOf <u>(<i>vtype</i>)</u>	An ordered list of <u>unnamed</u> fields of <u>with</u> the same <u>typesemantics</u> . Each field has <u>an ordinala</u> position and <u>the specified</u> type <u>vtype</u> .	
Choice	One field selected from a set of named fields. The API value has a name and <u>a</u> type.	
Choice.ID	One field selected from a set of fields. The API value has an id and a type.	
<u>Enumerated</u>	A set of named integral constants. The API value is a name.	
Enumerated <u>.ID</u>	A set of id:name pairs where idunnamed integral constants. The API value is an integer. The Enumerated.ID subtype is a set of ids onlyid.	
<u>Map</u>	An unordered map from a set of specified keys to values with semantics bound to each key. Each field has an id, name and type.	
Map <u>.ID</u>	An unordered set of named fields. Each The API value of each field has an id, name label, and type.	
MapOf(<i>ktype</i> , <u>vtype)</u>	An unordered set of keys to values with the same semantics. Each key has key type ktype and is mapped to value type vtype.	
Record	An ordered map from a list of named fields, e.g. a message, record, structure, or row in a table.keys iwth positions to values with positionally-defined semantics. Each fieldkey has an ordinala position, and name, and is mapped to a type. Represents a row in a spreadsheet or database table.	

• API values do not affect interoperabilty, and although they must exhibit the characteristics specified above, their representation within applications is unspecified. A Python application might represent the Map type as a dict variable, a javascript application might represent it as an object literal or an ES6 Map type, and a C# application might represent it as a Dictionary or a Hashtable.

Serialized values are critical to interoperability, and this document defines a set of serialization rules that unambiguously define how each of the above types are

serialized using a human-friendly JSON format. Other serialization rules, such as for XML, machine-optimized JSON, and CBOR formats, exist but are out of scope for this document. Both the format-specific serialization rules in Section 3.1.6 and the format-agnostic type definitions in Section 3.43.1.2 Derived Data Types

The following types are defined as value constraints applied to String (text string), Binary (octet string) or are Normative.

<u>Types defined with an ".ID" suffix (Choice.ID, Enumerated.ID, Map.ID) are equivalent to the non-suffixed types except:</u>

- 1. Field definitions and API values are identified only by ID. The non-normative description may include a suggested name.
- 2. Serialized values of Enumerated types and keys of Choice/Map types are IDs regardless of serialization format.

OpenC2 type definitions are presented in table format. All table columns except Description are Normative. The Description column is always Nonnormative.

For types without individual field definitions (Primitive types and ArrayOf), the type definition includes the name of the type being defined and the definition of that type. This table defines a type called *Email-Addr* that is a *String* that has a semantic value constraint of *email*:

Integer values. The serialized representation of the base types is specified in , but there are no restrictions on how derived types are represented internally by an implementation.

	Type <u>Name</u>				Base Type D	<u>efinition</u>	Description		
Doma	omain-Name String RFC 1034 Section 3.5								
Date-	Time		Integer		Milliseconds s	Milliseconds since 00:00:00 UTC, 1 January 1970.			
Durat	ion		Inte	ger	ger Milliseconds.				
Email-Addr Strin			Stri	ng <u>(email)</u>	RFC 5322 Section 3.4.1	mail address			
ldenti	Identifier String (TBD rules, e.g., initial alpha followed by alphanumeric or underscore)			umeric or					

IP-Addr	Binary	32 bit IPv4 address or 128 bit IPv6 address	
MAC-Addr	Binary	Media Access Control / Extended Unique Identifier address - EUI-48 or EUI-64.	
Port	Integer	16 bit RFC 6335 Transport Protocol Port Number	
Request-	Binary	A value of up to 128 bits	
URI	String	RFC 3986	
UUID	Binary	128 bit Universal Unique Identifier, RFC 4122 Section 4	

3.1.3 Cardinality

For Structure types, the definition includes the name of the type being defined, the built-in type on which it is based, and options applicable to the type as a whole. This is followed by a table defining each of the fields in the structure. This table defines a type called *Args* that is a *Map* containing at least one field. Each of the fields has an integer Tag/ID, a Name, and a Type. Each field in this definition is optional (Multiplicity = 0..1), but per the type definition at least one must be present.

Type: Args (Map) [1..*]

<u>ID</u>	<u>Name</u>	<u>Type</u>	#	<u>Description</u>
1	start time	<u>Date-Time</u>	<u>01</u>	The specific date/time to initiate the action
2	stop time	Date-Time 01 The specific date/time to terminate the		The specific date/time to terminate the action
<u>3</u>	duration	<u>Duration</u>	01	The length of time for an action to be in effect

The field columns present in a structure definition depends on the base type:

Base Type	Field Definition Columns
Enumerated.ID	ID, Description
<u>Enumerated</u>	ID, Name, Description
Array, Choice.ID, Map.ID	ID, Type, Multiplicity (#), Description
Choice, Map, Record	ID, Name, Type, Multiplicity (#), Description

The ID column of Array and Record types contains the ordinal position of the field, numbered sequentially starting at 1. The ID column of Choice, Enumerated, and Map types contains tags with arbitrary integer values. IDs and Names are unique within each type definition.

3.1.2 Semantic Value Constraints

Structural validation alone may be insufficient to validate that an instance meets all the requirements of an application. Semantic validation keywords specify value constraints for which an authoritative definition exists.

Keyword	Applies to Type	Constraint	
<u>email</u>	String	Value must be an email address as defined in [RFC5322], Section 3.4.1	
<u>hostname</u>	String	Value must be a hostname as defined in [RFC1034], Section 3.1	
<u>uri</u>	String	Value must be a Uniform Resource Identifier (URI) as defined in [RFC3986]	
eui	Binary	Value must be an EUI-48 or EUI-64 as defined in [EUI]	

3.1.3 Multiplicity

Property tables for types based on Array, Choice, Map and Record include a cardinalitymultiplicity column (#) that specifies the minimum and maximum

<u>cardinality</u> (number of <u>valueselements</u>) of a field. <u>The most commonlyAs</u> used <u>in the Unified Modeling Language</u> (<u>[UML]</u> <u>cardinalities</u>), <u>typical examples of</u> multiplicity are:

- 1 Required and not repeatable
- 0..1 Optional and not repeatable
- 1..n Required and repeatable
- 0..n Optional and repeatable

The cardinality column may also specify a range of sizes, e.g.,;

• 3..5 Required and repeatable with a minimum of 3 and maximum of 5 values

Multiplicity	<u>Description</u>	<u>Keywords</u>
1	Exactly one instance	Required
01	No instances or one instance	<u>Optional</u>
1*	At least one instance	Required, Repeatable
0*	Zero or more instances	Optional, Repeatable
mn	At least m but no more than n instances	Required, Repeatable

When used with a Type, multiplicity is enclosed in square brackets, e.g.,:

Type Name	Base Type	<u>Description</u>
<u>Features</u>	ArrayOf(Feature) [010]	An array of zero to ten names used to query an actuator for its supported capabilities.

A multiplicity of 0..1 denotes a single optional value of the specified type. A multiplicity of 0..n denotes a field that is either omitted or is an array containing one or more values of the specified type.

An array containing zero or more values of a specified type cannot be created implicitly using multiplicity, it must be defined explicitly as a named ArrayOf type. The named type can then be used as the type of a required field

(multiplicity 1). Results are unspecified if an optional field (multiplicity 0..1) is a named ArrayOf type with a minimum length of zero.

3.1.4 Derived Enumerations

An Enumerated field may be derived ("auto-generated") from the fields of a Choice, Map or Record type by appending ".*" to the type name.

It is sometimes useful to reference the fields of a structure definition, for example to list fields that are usable in a particular context, or to read or update the value of a specific field. An instance of a reference can be validated against the set of valid references using either an explicit or a derived Enumerated type. A derived enumeration is created by appending "Enum" to the type being referenced, and it results in an Enumerated type containing the ID and Name columns of the referenced type.

This example includes a type representing the value of a single picture element ("pixel") in an image, and an operation "SetValue" to set one of the color values of a pixel. It would be possible validate a SetValue operation against an explicit enumeration of the Pixel fields:

Type: Example-sel (Record Pixel (Map)

ID	Name	Туре	#	Description
1	targets <u>red</u>	IntegerTarget.*	1 n	Enumeration auto-generated from a Choice
2	green	<u>Integer</u>	1	
<u>3</u>	blue	<u>Integer</u>	1	

Type: SetValue (Record)

<u>ID</u>	<u>Name</u>	<u>Type</u>	#	<u>Description</u>
1	<u>channel</u>	Channel	1	
2	<u>value</u>	Integer	1	

Type: Channel (Enumerated)

<u>ID</u>	<u>Name</u>	<u>Description</u>
1	red	
2	green	
<u>3</u>	blue	

Example **SetValue** operation:

```
{"channel": "green", "value": 95}
```

But it is both easier and more reliable to use a derived enumeration to validate the reference directly against the type being referenced:

Type: SetValue (Record)

<u>ID</u>	<u>Name</u>	Туре	#	<u>Description</u>
1	<u>channel</u>	<u>Pixel.Enum</u>	1	
2	value	Integer	1	

3.1.5 Extensions

One of the main design goals of OpenC2 was extensibility. Actuator profiles define the language extensions that are meaningful and possibly unique to the Actuator.

Each Actuator profile has a unique name used to identify the profile document and a short reference called a namespace identifier (NSID). The NSID is used to separate extensions from the core language defined in this specification.

All extension names MUST begin with a namespace identifier followed by a colon (":").

For example, the OASIS standard Stateless Packet Filtering actuator profile has:

- Unique Name: http://docs.oasisopen.org/openc2/oc2slpf/v1.0/oc2slpf-v1.0.md
- NSID: slpf

The namespace identifier for non-standard extensions MUST be prefixed with "x-".

For example, the fictional, non-standard Superwidget actuator profile has:

- Unique Name: http://www.acme.com/openc2/superwidget-v1.0.html
- NSID: x-acme

The list of Actions in Section 3.3.1.1 SHALL NOT be extended.

The Targets defined in Section 3.3.1.2 MAY be extended.

Example: In this example Command, the extended Target, rule_number, is defined within the Stateless Packet Filtering Profile with the namespace identifier slpf.

The Arguments defined in Section 3.3.1.4 MAY be extended.

Example: In this example Command, the extended Argument, direction, is defined within the Stateless Packet Filtering Profile with the namespace identifier slpf.

The Actuator property of a Command defined in Section 3.3.1.3 MUST be extended using the namespace identifier as the Actuator name, called an extended Actuator namespace. Actuator Specifiers MUST be defined within the extended Actuator namespace.

Example: In this example Command, the Actuator Specifier asset_id is defined within the Stateless Packet Filtering Profile namespace slpf.

The properties of a Response defined in Section 3.3.2 MAY be extended using the namespace identifier as the results name, called an extended results namespace. One or more extended result types MUST be defined with the extended results namespace.

Example: In this example Response, the Response property, rule_number, is defined within the Stateless Packet Filtering Profile with the namespace identifier slpf.

3.1.6 Serialization

OpenC2 is agnostic of any particular serialization; however, implementations MUST support JSON serialization in accordance with [RFC 7493]RFC 7493 and additional requirements specified in the following table.

JSON Serialization Requirements:

OpenC2 Data Type	JSON Serialization Requirement
Binary	JSON string containing Base64url encoding of the binary value as defined in [RFC4648], Section 5-of RFC 4648.

OpenC2 Data Type	JSON Serialization Requirement
Binary /x	JSON string containing Base16 (hex) encoding of a binary value as defined in [RFC4648], Section 8. Note that the Base16 alphabet does not include lower-case letters.
Binary /ipv4- addr	JSON string containing the "dotted-quad" representation of an IPv4 address as specified in [RFC2673], Section 3.2.
Binary /ipv6- addr	JSON string containing the text representation of an IPv6 address as specified in [RFC5952], Section 4.
Boolean	JSON true or false
Integer	JSON number
Number	JSON number
Null	JSON null
String	JSON string
Array	JSON array
Array /ipv4-net	JSON string containing the text representation of an IPv4 address range as specified in [RFC4632], Section 3.1.
Array /ipv6-net	JSON string containing the text representation of an IPv6 address range as specified in [RFC4291], Section 2.3.
ArrayOf	JSON array
Choice	JSON object with one member. Member key is the field name.
Choice.ID	JSON object with one member. Member key is the integer field id converted to string.

OpenC2 Data Type	JSON Serialization Requirement
Enumerated	JSON string
Enumerated.ID	JSON integer
Мар	JSON object . Member keys are field names.
Map.ID	JSON object . Member keys are integer field ids converted to strings.
<u>MapOf</u>	JSON object . Member keys are as defined in the specified key type.
Record	JSON object . Member keys are field names.

3.1.56.1 ID and Name Serialization

Instances of Enumerated types and keys for Choice and Map types are serialized as ID values except when using serialization formats intended for human consumption, where Name strings are used instead. Defining a type using ".ID" appended to the base type (e.g., Enumerated.ID, Map.ID) indicates that:

- 1. Type definitions and application values use only the ID. There is no corresponding name except as an optional part of the description.
- 2. Instances of Enumerated values and Choice/Map keys are serialized as IDs regardless of serialization format.

3.1.56.2 Integer Serialization

For machine-to-machine serialization formats, integers are represented as binary data, e.g., 32 bits, 128 bits. But for human-readable serialization formats (XML and JSON), integers are converted to strings. For example, the

<u>Example: The</u> JSON "number" type represents integers and real numbers as decimal strings without quotes, e.g., <u>.</u>

```
{ "height": 68.2 }, and as}
```

As noted in [RFC7493]RFC 7493, Section 2.2, a sender cannot expect a receiver to treat an integer with an absolute value greater than 2^53 as an exact value.

The default representation of Integer types in text serializations is the native integer type for that format, e.g., "number" for JSON. Integer fields with a range larger than the IEEE 754 exact range (e.g., 64, 128, 2048 bit values) are indicated by appending "." or "." to the type, e.g. Integer.64 or Integer.. All serializations ensure that large Integer types are transferred exactly, for example in the same manner as Binary types. Integer values support arithmetic operations; Binary values are not intended for that purpose.

3.2 Message

As described in Section 1.1, this This language specification and one or more aActuator profiles define the content of OpenC2 commands Commands and rResponses, while transfer specifications define the on-the-wire format of a mMessage over specific secure transport protocols. Transfer specifications are agnostic with regard to content, and content is agnostic with regard to transfer protocol. This decoupling is accomplished by defining a standard message interface used to transfer any type of content over any transfer protocol.

A message is a content- and transport-independent set of elements conveyed between <u>producers and</u> consumers- and <u>producers</u>. To ensure interoperability all transfer specifications must unambiguously define how the message elements in <u>Table 3-1</u> are represented within the secure transport protocol. This does not imply that all message elements must be used in all messages. Content, content_type, and msg_type are required, <u>while other in all messages</u>. Other message elements are not required by this specification but may be required by other <u>documentsspecifications</u>.

Table 3-1. Common Message Elements

Name	Type	Description
content		Message body as specified by content_type and msg_type.
content_type	<u>String</u>	String. Media Type that identifies the format of the content, including major version. Incompatible content formats must have different content_types. Content_type application/openc2 identifies content defined by OpenC2 language specification versions

Name	Type	Description
		1.x, i.e., all versions that are compatible with version 1.0.
msg_type	Message-Type	Message-Type. One of request, response, or notification. For the application/openc2 content_type the request content is an OpenC2-Command and the response content is an OpenC2-Response. OpenC2 does not currently define any notification content.
status	Status-Code	Status Code. Populated with a numeric status code in response messages. Not present in request or notification messages.
request_id	String	Request-Id. A unique identifier value of up to 128 bits that is attached to request and response messages. This value is assigned created by the sender producer and is copied unmodified by consumer into all responses, in order to support reference to a particular command, transaction or event chain.
created	<u>Date-Time</u>	Date-Time. Creation date/time of the content, the number of milliseconds since 00:00:00 UTC, 1 January 1970.
from	String	String. Authenticated identifier of the creator of or authority for execution of a message.
to	ArrayOf(String)	ArrayOf(String). Authenticated identifier(s) of the authorized recipient(s) of a message.

Note:

Implementations may use environment variables, private APIs, data structures, class instances, pointers, or other mechanisms to represent messages within the local environment. However the internal representation of a mMessage does not affect interoperability and is therefore beyond the scope of OpenC2. This means that the mMessage content is a data structure in whatever form is used within an implementation, not a serialized representation of that structure. Content is the input provided to a serializer or

the output of a de-serializer. Msg_type is a three-element enumeration whose protocol representation is defined in each transfer spec, for example as a string, an integer, or a two-bit field. The internal form of enumerations, like content, does not affect interoperability and is therefore unspecified.

Usage Requirements:

- A producer MUST include a request id in a request message if it expects a response to that request. Absence of a request id signals consumers that no response is expected.
- The request_id of a request message SHOULD be a Version 4 UUID as specified in [RFC4122], Section 4.4.
- A consumer MUST copy the request id from a request message into each response to that request.

3.3 Content

The scope purpose of this specification is to define the ACTIONAction and TARGET Target portions of an OpenC2 command and the common portions of an OpenC2 response.a Response. The properties of the OpenC2 command Command are defined in Section 3.3.1 and the properties of the rResponse are defined in Section 3.3.2.

In addition to the ACTIONAction and TARGET, an OpenC2 command Target, a Command has an optional ACTUATORActuator. Other than identification of namespace identifier, the semantics associated with the ACTUATOR specifiers Actuator Specifiers are beyond the scope of this specification. The actuators defined in Actuator Profiles. The Actuators and aActuator-specific results contained in a response are specified in 'Actuator Profile Specifications' such as StateLess Packet Filtering Profile, Routing Profile etc.

3.3.1 OpenC2 Command

The OpenC2-Command descr<u>f</u>ib<u>n</u>es an action<u>Action to be</u> performed on a t<u>T</u>arget.

Type: OpenC2-Command (Record)

ID	Name	Type	#	Description
1	action	Action	1	The task or activity to be performed (i.e., the 'verb').

ID	Name	Туре	#	Description
2	target	Target	1	The object of the aAction. The aAction is performed on the tTarget.
3	args	Args	01	Additional information that applies to the eCommand.
4	actuator	Actuator	01	The subject of the aAction. The aActuator executes the aAction on the tTarget.
<u>5</u>	command id	String	<u>01</u>	An identifier of this Command.

Usage Requirements:

- A Consumer receiving a command with command id absent and request id present MUST use the value of request id as the command id.
- If present, the args property MUST contain at least one element defined in Section 3.3.1.4.

3.3.1.1 Action

Type: Action (Enumerated)

ID	Name	Description
1	scan	Systematic examination of some aspect of the entity or its environment.
2	locate	Find an object physically, logically, functionally, or by organization.
3	query	Initiate a request for information.
6	deny	Prevent a certain event or action from completion, such as preventing a flow from reaching a destination or preventing access.

ID	Name	Description
7	contain	Isolate a file, process, or entity so that it cannot modify or access assets or processes.
8	allow	Permit access to or execution of a ‡ <u>T</u> arget.
9	start	Initiate a process, application, system, or activity.
10	stop	Halt a system or end an activity.
11	restart	Stop then start a system or an activity.
14	cancel	Invalidate a previously issued a <u>A</u> ction.
15	set	Change a value, configuration, or state of a managed entity.
16	update	Instruct a component to retrieve, install, process, and operate in accordance with a software update, reconfiguration, or other update.
18	redirect	Change the flow of traffic to a destination other than its original destination.
19	create	Add a new entity of a known type (e.g., data, files, directories).
20	delete	Remove an entity (e.g., data, files, flows).
22	detonate	Execute and observe the behavior of a target (e.g., file, hyperlink) in an isolated environment.
23	restore	Return a system to a previously known state.
28	сору	Duplicate an object, file, data flow, or artifact.
30	investigate	Task the recipient to aggregate and report information as it pertains to a security event or incident.

ID	Name	Description
32	remediate	Task the recipient to eliminate a vulnerability or attack point.

<u>Usage Requirements:</u>

The following actions are under consideration for use in future versions of the Language Specification. Implementers may use these actions with the understanding that they may not be in future versions of the language.

- report Task an entity to provide information to a designated recipient
- pause Cease operation of a system or activity while maintaining state.
- resume Start a system or activity from a paused state
- move Change the location of a file, subnet, network, or process
- snapshot Record and store the state of a target at an instant in time
- save Commit data or system state to memory
- throttle Adjust the rate of a process, function, or activity
- delay Stop or hold up an activity or data transmittal
- substitute Replace all or part of the payload
- sync Synchronize a sensor or actuator with other system components
- mitigate Task the recipient to circumvent a problem without necessarily eliminating the vulnerability or attack point

Usage Requirements:

- Each eCommand MUST contain exactly one action.
- All commands MUST only use actions from this section (either the table or the list)
 - Actions Action defined in Section 3.3.1.1 external to this section SHALL NOT be used.

3.3.1.2 Target

Type: Target (Choice)

ID	Name	Туре	#	Description	
1	artifact	Artifact		An array of bytes representing a file-like object or a link to that object.	
2	command Request-		1	A reference to a previously issued OpenC2 Command.	

ID	Name	Туре	#	Description	
3	device	Device	1	The properties of a hardware device.	
7	domain_name	Domain- Name	1	A network domain name.	
8	email_addr	Email-Addr	1	A single email address.	
16 9	features	Features	1	A set of items used with the query a <u>A</u> ction to determine an a <u>A</u> ctuator's capabilities.	
10	file	File		Properties of a file.	
11 <u>13</u>	ip_addripv4_net	IP- Addr <u>IPv4-</u> Net	1	An IP <u>v4</u> address (either version 4 or version 6).range including CIDR prefix length.	
<u>14</u>	ipv6 net	IPv6-Net	1	An IPv6 address range including prefix length.	
15	ip <u>v4</u> _connection	IP <u>v4</u> - Connection	1	A network connection that originates from a5-tuple of source and is addressed to a destination. Source IPv4 address ranges, source and destination addresses may be either IPv4 or IPv6; both should be the same versionports, and protocol	
<u>16</u>	ipv6 connection	IPv6- Connection	1	A 5-tuple of source and destination IPv6 address ranges, source and destination ports, and protocol	
43 <u>17</u>	mac_addr	MAC-Addr	1	A Media Access Control (MAC) address - EUI-48 or EUI-64 as defined in [EUI]	

ID	Name	Туре	#	Description	
17 <u>18</u>	process	Process	1	Common properties of an instance of a computer program as executed on an operating system.	
25	properties	Properties	1	Data attribute associated with an aActuator	
19	uri	URI	1	A uniform resource identifier (URI).	

1000	extension	PE-Target	1	Targets defined in a Private Enterprise extension profile.
1001	extension_unr	Unr- Target	1	Targets defined in an Unregistered extension profile
1024	slpf	slpf:Target	1	Example Target Extension: Targets defined in the Stateless Packet Filter profile

Usage Requirements:

The following targets are under consideration for use in future versions of the Language Specification. Implementers may use these targets with the understanding that they may not be in future versions of the language.

- directory
- disk
- disk_partition
- email_message
- memory
- software
- user account
- user_session
- volume
- windows_registry_key
- x509_certificate

Usage Requirements:

• The TARGET target field in an OpenC2a Command MUST contain exactly one type of target (e.g. ip_addr_, ipv4_net).

3.3.1.3 Actuator

Type: Actuator (Choice)

		D Na	ame		Ту	/pe		#	Description	
1000	exten	sion		PE- Specifiers	÷	01			ned in a Private ension profile.	
1001	exten	sion_l	ınr	ur Unr- Specifiers		01	Specifiers defined in an Unregistered extension profile			
	1024	slpf	<u>slp</u>	f:Actuator	1	Example: A			pecifiers defined in the Stateless	

3.3.1.4 Command Arguments

Type: Args (Map)

ID	Name	Туре	#	Description
1	start time	<u>Date-Time</u>	01	The specific date/time to initiate the Action
2	stop time	<u>Date-Time</u>	01	The specific date/time to terminate the Action
<u>3</u>	duration	<u>Duration</u>	01	The length of time for an Action to be in effect
4	response requested	Response- Type	01	The type of Response required for the Action: none, ack, status, complete.

Usage Requirements:

1	start_time	Date-Time	01	The specific date/time to initiate the action
2	stop_time	Date-Time	01	The specific date/time to terminate the action
3	duration	Duration	01	The length of time for an action to be in effect
4	response_requested	Response- Type	01	The type of response required for the action: none, ack, status, complete.
1000	extension	PE-Args	01	Command arguments defined in a Private Enterprise extension profile
1001	extension_unr	Unr-Args	01	Command arguments defined in an Unregistered extension profile

Usage Requirements:

- When start time, end time, duration:
 - o If none are specified, then start_time is now, end_time is never, and duration is infinity.
 - Only two of the three are allowed on any given Command and the third is derived from the equation end_time = start time + duration.
 - o If only start_time is specified then end_time is never and duration is infinity.
 - o If only end_time is specified then start_time is now and duration is derived.
 - o If only duration is specified then start_time is now and end-time is derived.
- response requested:
 - o If response requested is specified as none then the Consumer SHOULD NOT send a Response.
 - o If response requested is specified as ack then the
 Consumer SHOULD send a Response acknowledging receipt of the Command: {"status": 102}.

- o If response requested is specified as status then the
 Consumer SHOULD send a Response containing the current status of Command execution.
- If response requested is specified as complete then the Consumer SHOULD send a Response containing the status or results upon completion of Command execution.
- If response_requested is not explicitly contained in an OpenC2 Command, aspecified then the Consumer MUSTSHOULD respond in the same manner as {"response_requested": "if complete"}. was specified.

3.3.2 OpenC2 Response

Type: OpenC2-Response (Record)

ID	Name	Туре	#	Description
1	status	Status-Code	1	An integer status code
2	status_text	String	01	A free-form human-readable description of the rResponse status
3	strings	String	0 n *	Generic set of string values
4	ints	Integer	0 n *	Generic set of integer values
5	kvpsresults	KVPMapOf(String, Any)	0 n *	Generic set of key:value pairs Generic Map of key:value pairs (keys are strings, and values are any valid JSON value). A JSON value can be an object, array, number, string, true, false, or null, as defined by ECMA- 404.
6	versions	Version	0n <u>*</u>	List of OpenC2 language versions supported by this aActuator

		ID	Name	Ту	Туре					#	Description
		7	profiles	ja	jadn:UnameArrayOf(Nsid)				<u>Nsid)</u>	0 n *	List of profiles supported by this aActuator
8	S	chem	jadn:Sch	ema	01		Syntax of the OpenC2 language elements supported by this actuator				
		9	pairs		Action- Targets		0 n .	*	List of targets applicable to each supported aAction		
		10	rate_limit	Num	lumber		0	1			ber of requests per minute lesign or policy
100	90	ext	ension		PE- Results)1	Response data defined in a Private Enterprise extension profile			
100	94	ext	ension_unr		Unr- Results)1			e data de profile	efined in an unregistered

Example:

```
"status": 200,
   "status_text": "All endpoints successfully updated",
   "strings": ["wd-394", "sx-2497"]
}
```

Usage Requirements:

- All Responses MUST contain a status.
- Responses MAY contain status_text and/or results.

3.3.2.1 OpenC2 Response Status Code

Type: Status-Code (Enumerated.ID)

ı										
	ID	Description								
	102	Processing - an interim receptor used to inform the peroducer that the consumer has accepted the request but has not yet completed it.								
	200	OK - the request has succeeded.								
301	Moved Permanently - the target resource has been assigned a new permanent URI.									
	400	Bad Request - the eConsumer cannot process the request due to something that is perceived to be a pProducer error (e.g., malformed request syntax).								
	401	Unauthorized - the request lacks valid authentication credentials for the target resource or authorization has been refused for the submitted credentials.								
	403	Forbidden - the eConsumer understood the request but refuses to authorize it.								
	404	Not Found - the e <mark>C</mark> onsumer has not found anything matching the request.								
	500	Internal Error - the econsumer encountered an unexpected condition that prevented it from fulfilling the request.								
	501	Not Implemented - the eConsumer does not support the functionality required to fulfill the request.								
	503	Service Unavailable - the eConsumer is currently unable to handle the request due to a temporary overloading or maintenance of the eConsumer.								

3.3.3 Imported Data

In addition to the targets, actuators, arguments, and other language elements defined in this specification, OpenC2 messages may contain data objects imported from other specifications and/or custom data objects defined by the implementers. The details are specified in a data profile which contains:

- 2. a unique name for the specification being imported, e.g.:

- For shortname x_kmipv2.0 the full name would be oasisopen.org/openc2/profiles/kmip-v2.0,
- For shortname x_sfslpf the full name would be sfractal.com/slpf/v1.1/x slpf-profile-v1.1
- 3. a namespace identifier (nsid) a short reference, e.g., kmipv2.0, to the unique name of the specification
- 4. a list of object identifiers imported from that specification, e.g., Credential
- 5. a definition of each imported object, either referenced or contained in the profile
- 6. conformance requirements for implementations supporting the profile

The data profile itself can be the specification being imported or the data profile can reference an existing specification. In the example above, the data profile created by the OpenC2 TC to represent KMIP could have a unique name of oasis-open.org/openc2/profiles/kmip-v2.0. The data profile would note that it is derived from the original specification oasis-open.org/kmip/spec/v2.0/kmip-spec-v2.0. In the example for shortname x_sfslpf, the profile itself could be defined in a manner directly compatible with OpenC2 and would not reference any other specification.

An imported object is identified by namespace identifier and object identifier. While the data profile may offer a suggested nsid, the containing schema defines the nsids that it uses to refer to objects imported from other specifications:

```
import oasis-open.org/openc2/profiles/kmip-v2.0 as x kmip 2.0
```

An element using an imported object identifies it using the nsid:

A data profile can define its own schema for imported objects, or it can reference content as defined in the specification being imported. Defining an abstract syntax allows imported objects to be represented in the same format as the containing object. Referencing content directly from an imported specification results in it being treated as an opaque blob if the imported and containing formats are not the same (e.g., an XML or TLV object imported into a JSON OpenC2 command, or a STIX JSON object imported into a CBOR OpenC2 command).

The OpenC2 Language MAY be extended using imported data objects for TARGET, TARGET_SPECIFIER, ACTUATOR, ACTUATOR_SPECIFIER, ARGUMENTS, and RESULTS. The list of ACTIONS in Section 3.2.1.2 SHALL NOT be extended.

3.3.4 Extensions

Organizations may extend the functionality of OpenC2 by defining organization-specific profiles. OpenC2 defines two methods for defining organization-specific profiles: using a registered namespace or an unregistered namespace. Organizations wishing to create non-standardized OpenC2 profiles SHOULD use a registered Private Enterprise Number namespace. Private Enterprise Numbers are managed by the Internet Assigned Numbers Authority (IANA) as described in RFC 5612, for example:

```
    32473

            Example Enterprise Number for Documentation Use
            See [RFC5612]
            iana&iana.org
```

OpenC2 contains four predefined extension points to support registered private enterprise profiles: PE-Target, PE-Specifiers, PE-Args, and PE-Results. An organization can develop a profile that defines custom types, create an entry for their organization's namespace under each extension point used in the profile, and then use their custom types within OpenC2 commands and responses.

By convention ID values of 1000 and above within OpenC2-defined data types are namespace identifiers, although there is no restriction against assigning non-namespaced IDs in that range.

This is an example target from a registered profile containing a "lens" extension defined by the organization with IANA Private Enterprise Number 32473. This hypothetical target might be used with the "set" action to support an IoT camera pan-tilt-zoom use case. This example is for illustrative purposes only and MUST NOT use this in actual implementations.

This is an example of the same target from a profile defined by an organization that has not registered a Private Enterprise Number with IANA. This example is for illustrative purposes only and MUST NOT use this in actual implementations.



Using DNS names provides collision resistance for names used in x- namespaces, but the corresponding IDs are not coordinated through a registration process and are subject to collisions.

OpenC2 implementations MAY support registered and unregistered extension profiles regardless of whether those profiles are listed by OASIS. Implementations MUST NOT use the "Example" registered extension entries shown below, and MAY use one or more actual registered extensions by replacing the example entries.

3.3.4.1 Private Enterprise Target

Because target is a required element, implementations receiving an OpenC2 Command with an unsupported target type MUST reject the command as invalid.

Type: PE-Target (Choice.ID)

ID	Type	#	Description
32473	32473:Target	1	"Example": Targets defined in the Example Inc. extension profile

3.3.4.2 Private Enterprise Specifiers

The behavior of an implementation receiving an OpenC2 Command with an unsupported actuator type is undefined. It MAY ignore the actuator field or MAY reject the command as invalid.

Type: PE-Specifiers (Choice.ID)

ID	Type	#	Description
32473	32473:Specifiers	1	"Example": Actuator Specifiers defined in the Example Inc. extension profile

3.3.4.3 Private Enterprise Command Arguments

The behavior of an implementation receiving an OpenC2 Command with an unsupported arg type is undefined. It MAY ignore the unrecognized arg or MAY reject the command as invalid.

Type: PE-Args (Map.ID)

ID	Type	#	Description
32473	32473:Args	1	"Example": Command Arguments defined in the Example Inc. extension profile

3.3.4.4 Private Enterprise Results

The behavior of an implementation receiving an OpenC2 Response with an unsupported results type is undefined. An unrecognized response has no effect on the OpenC2 protocol but implementations SHOULD log it as an error.

Type: PE-Results (Map.ID)

HD	Type	#	Description
32473	32473:Results	1	"Example": Results defined in the Example Inc. extension profile

3.4 Type Definitions

3.4.1 Target Types

3.4.1.1 Artifact

Type: Artifact (Record)

ID	Name	Туре	#	Description
1	mime_type	String	01	Permitted values specified in the IANA Media Types registry, [RFC6838]RFC 6838
2	payload	Payload	01	Choice of literal content or URL
3	hashes	Hashes	01	Hashes of the payload content

3.4.1.32 Device

Type: Device (Map)

ID	Name	Туре	#	Description
1	hostname	Hostname	1	A hostname that can be used to connect to this device over a network
2	description	String	01	A human-readable description of the purpose, relevance, and/or properties of this device
3	device_id	String	01	An identifier that refers to this device within an inventory or management system

3.4.1.4<u>3</u> Domain Name

Type Name	Base-Type Definition	Description
Domain-Name	String (hostname)	[RFC1034]RFC 1034, section, Section 3.5

3.4.1.<u>54</u> Email Address

Type Name	Base-Type Definition	Description
Email- Addr	String (email)	Email address, [RFC5322]RFC 5322, section, Section 3.4.1

3.4.1.6<u>5</u> Features

Type Name	Base-Type Definition	Description
Features	ArrayOf(Feature) [010]	An array of zero to ten names used to query an aActuator for its supported capabilities.

Usage Requirements:

- A Producer MUST NOT send a list containing more than one instance of any Feature.
- A Consumer receiving a list containing more than one instance of any Feature SHOULD behave as if the duplicate(s) were not present.

Usage Notes:

A Producer may send a query command containing an empty list of features to determine if a Consumer is responding to commands (a heartbeat command), or to generate idle traffic to keep a connection to a Consumer from being closed due to inactivity (a keep-alive command). An active Consumer will return an empty response to this command, minimizing the amount of traffic used to perform heartbeat / keep-alive functions.

3.4.1.76 File

Type: File (Map)

ID	Name	Туре	#	Description	
1	name	String	01	01 The name of the file as defined in the file system	
2	path	String	01	01 The absolute path to the location of the file in the file system	
3	hashes	Hashes	01	One or more cryptographic hash codes of the file contents	

3.4.1.8 IP7 IPv4 Address Range

An IPv4 address range is a CIDR block per "Classless Inter-domain Routing (CIDR): The Internet Address Assignment and Aggregation Plan" [RFC4632] and consists of two values, an IPv4 address and a prefix.

For example, "192.168.17.0/24" is range of IP addresses with a prefix of 24 (i.e. 192.168.17.0 - 192.168.17.255).

JSON serialization of an IPv4 address range SHALL use the 'dotted/slash' textual representation of [RFC4632].

<u>CBOR serialization of an IPv4 address range SHALL use a binary</u> representation of the IP address and the prefix, each in their own field.

Type: IPv4-Net (Array /ipv4-net)

Type Name <u>ID</u>	Base Type	#	Description
1	IP <u>v4</u> - Addr	Binary1	32 bit IPv4-ipv4-address or 128 bit IPv6 addressas defined in [RFC0791]
2	Integer	01	CIDR prefix-length. If omitted, refers to a single host address.

3.4.1.9 IP8 IPv4 Connection

Type: IP<u>v4</u>-Connection (Record)

ID	Name	Туре	#	Description
1	src_addr	IP- AddrIPv4- Net	01	ip_addr of source, could be ipv4 or ipv6 - see ip_addr section Pv4 source address range
2	src_port	Port	01	source service per [RFC6335]RFC 6335
3	dst_addr	IP- AddrIPv4- Net	01	ip_addr of IPv4 destination, could be ipv4 or ipv6 -see ip_addr section_address range
4	dst_port	Port	01	destination service per [RFC6335]RFC 6335
5	protocol	L4-Protocol	01	layer 4 protocol (e.g., TCP) - see <u>Section</u> 3.4.2.9I4_protocol section

Usage Requirements:

3.4.1.9 IPv6 Address Range

Type: IPv6-Net (Array /ipv6-net)

<u>ID</u>	<u>Type</u>	#	Description
		<u> </u>	

• src_addr and dst_addr MUST be the same version (ipv4 or ipv6) if both are present.

1	IPv6-Addr	1	ipv6-address as defined in [RFC8200]
2	Integer	01	prefix-length. If omitted, refers to a single host address.

3.4.1.10 IPv6 Connection

Type: IPv6-Connection (Record)

<u>D</u>	<u>Name</u>	Type	#	<u>Description</u>
1	src_addr	IPv6-Net	01	IPv6 source address range
2	src port	Port	01	source service per [RFC6335]
<u>3</u>	dst addr	IPv6-Net	01	IPv6 destination address range
<u>4</u>	dst port	Port	01	destination service per [RFC6335]
<u>5</u>	protocol	<u>L4-Protocol</u>	01	layer 4 protocol (e.g., TCP) - Section 3.4.2.9

Editor's Note: Renumber

3.4.1.11 MAC Address

Type Name	Base Type	Description
MAC- Addr	Binary	Media Access Control / Extended Unique Identifier address – EUI-48 or EUI-64.

Type Name	Type Definition	<u>Description</u>
MAC- Addr	Binary (eui)	Media Access Control / Extended Unique Identifier address - EUI-48 or EUI-64 as defined in [EUI].

3.4.1.1112 Process

Type: Process (Map)

ID	Name	Туре	#	Description
1	pid	Integer	01	Process ID of the process
2	name	String	01	Name of the process
3	cwd	String	01	Current working directory of the process
4	executable	File	01	Executable that was executed to start the process
5	parent	Process	01	Process that spawned this one
6	command_line	String	01	The full command line invocation used to start this process, including all arguments

3.4.1.1213 Properties

Type Name	Base-Type Definition	Description
Properties	ArrayOf(String)	A list of names that uniquely identify properties of an aActuator.

3.4.1.13<u>14</u> URI

Type Name	Base-Type Definition	Description
URI	String (uri)	Uniform Resource Identifier

3.4.2 Data Types

3.4.2.1 Request Identifier Action-Targets

Type Name	Base-Type Definition	Description
Action- Targets Request- Id	BinaryMapOf(Action, Targets)	A valueMap of upeach action supported by this actuator to 128 bitsthe list of targets applicable to that uniquely identifies a particular commandaction.

3.4.2.2 Date-Time

Type Name	Base Type Definition	Description
TargetsDate- Time	IntegerArrayOf(Target.Enum) [1*]	Milliseconds since 00:00:00 UTC, 1 January 1970List of Target fields

3.4.2.2 Date-Time

Type Name	Type Definition	<u>Description</u>
Date-Time	<u>Integer</u>	Date and Time

Usage Requirements:

Value is the number of milliseconds since 00:00:00 UTC, 1 January
 1970

3.4.2.3 Duration

Type Name	Base-Type Definition	Description
Duration	Integer	Milliseconds A length of time

Usage Requirements:

• Value is a number of milliseconds

3.4.2.4 <u>Feature</u>

Specifies the results to be returned from a query features Command.

Type: Feature (Enumerated)

<u>ID</u>	<u>Name</u>	<u>Description</u>
1	versions	List of OpenC2 Language versions supported by this Actuator
<u>2</u>	profiles	List of profiles supported by this Actuator
<u>3</u>	pairs	List of supported Actions and applicable Targets
4	rate limit	Maximum number of requests per minute supported by design or policy

3.4.2.5 Hashes

Type: Hashes (Map)

ID	Name	Туре	#	Description
1	md5	Binary <u>/x</u>	01	MD5 hash as defined in [RFC1321]RFC 1321
2	sha1	Binary <u>/x</u>	01	SHA1 hash as defined in [RFC6234]RFC 6234
3	sha256	Binary <u>/x</u>	01	SHA256 hash as defined in [RFC6234]RFC 6234

3.4.2.<u>56</u> Hostname

Type Name	Base Type Definition	Description		
Hostname	String (hostname)	A legal-Internet host name as specified in [RFC1123]RFC 1123		

3.4.2.7 <u>IPv4 Address</u>

Type Name	Base Type	<u>Description</u>		
IPv4-Addr	Binary /ipv4-addr	32 bit IPv4 address as defined in [RFC0791]		

3.4.2.8 IPv6 Address

Type Name	Base Type	<u>Description</u>
IPv6-Addr	Binary /ipv6-addr	128 bit IPv6 address as defined in [RFC8200]

3.4.2.9 L4 Protocol

Value of the protocol (IPv4) or next header (IPv6) field in an IP packet. Any IANA value, [RFC5237]RFC 5237

Type: L4-Protocol (Enumerated)

ID	Name	Description	
1	icmp	mp Internet Control Message Protocol - [RFC0792]RFC 792	
6	tcp	Transmission Control Protocol - [RFC0793]RFC 793	
17	udp	User Datagram Protocol - [RFC0768]RFC-768	
132	sctp	Stream Control Transmission Protocol - [RFC4960]RFC 4960	

3.4.2.10 Namespace Identifier

<u>Type Name</u>	Base Type	<u>Description</u>

Nsid String [1..16] A short identifier that refers to a namespace.

3.4.2.11 Payload

Type: Payload (Choice)

ID	Name	Туре	#	Description
1	bin	Binary	1	Specifies the data contained in the artifact

8

ID	Name	Туре	#	Description
2	url	URI	1	MUST be a valid URL that resolves to the un-encoded content

3.4.2.9<u>12</u> Port

Type Name	Base Type Definition	Description
Port	Integer_[065535]	Transport Protocol Port Number, [RFC6335]RFC 6335

3.4.2.10 Feature

Specifies the results to be returned from a query features command.

13 Response-Type: Feature

<u>Type: Response-Type</u> (Enumerated)

ID	Name	Description
<u>0</u>	none	No response
1	versions <u>ack</u>	List of OpenC2 Language versions supported by this actuator Respond when Command received
2	<u>status</u> profiles	List of profiles supported by this actuator Respond with progress toward Command completion
3	<u>complete</u> schema	Definition of the command syntax supported by this actuator Respond when all aspects of Command completed

4	pairs	List of supported actions and applicable targets
5	rate_limit	Maximum number of requests per minute supported by design or policy

3.4.2.11 Response-Type 14 Version

Type: Response-Type (Enumerated)

	ID <u>Type Nam</u>	<u>ie</u>	NameType Definition	Description	
0	none	No respons	No response		
4	ack	Respond w	Respond when command received		
2	status	Respond w	Respond with progress toward command completion		
3	complete	Respond when all aspects of command completed			

3.4.2.12 Version

Type Name		Base Type	9	Description	
	Version	String	Major.Minor ver	rsion number	

3.4.2.14 Key-Value Pair

Type: KVP (Array)

ID	Type	#	Description
1	String	1	"key": name of this item
2	String	1	"value": string value of this item

3.4.2.15 Action-Targets Array

Type: Action-Targets (Array)

ID	Type	#	Description
1	Action	1	An action supported by this actuator.
2	Target.*	1n	List of targets applicable to this action. The targets are enumerated values derived from the set of Target types.

3.4.3 Schema Syntax

3.4.3.1 Schema

Type: Schema (Record)

ID	Name	Type	#	Description
1	meta	Meta	1	Information about this schema module
2	types	Type	1n	Types defined in this schema module

3.4.3.1 Meta

Meta-information about this schema

Type: Meta (Map)

	ID	Name		Type	#	Description	
1	module	Uname	1	Unique name			
2	title	String	01	Title			
3	version	String	01	Patch version (module includes major.minor version)			
4	description	String	01	Description			
5	imports	Import	0n	Imported schema	a module	S	

6	exports	Identifier	0n	Data types exported by this module
7	bounds	Bounds	01	Schema-wide upper bounds

3.4.3.2 Import

Type: Import (Array)

ID	Type	#	Description
1	Nsid	1	nsid - A short local identifier (namespace id) used within this module to refer to the imported module
2	Uname	1	uname - Unique name of the imported module

3.4.3.3 Bounds

Schema-wide default upper bounds. If included in a schema, these values override codec default values but are limited to the codec hard upper bounds. Sizes provided in individual type definitions override these defaults.

Type: Bounds (Array)

		Н	D Type # Description			
4	Integer	1	max_msg - Maximum serialized message size in octets or characters			
2	Integer	1	max_str - Maximum text string length in characters			
3	Integer	1	max_bin - Maximum binary string length in octets			
4	Integer	1	max_fields - Maximum number of elements in ArrayOf			

3.4.3.4 Type

Definition of a data type.

Type: Type (Array)

ID	Type	#	Description	
4	Identifier	1	tname - Name of this data type	
2	JADN-Type.*	1	btype - Base type. Enumerated value derived from the list of JADN data types.	
3	Option	1n	topts - Type options	
4	String	1	tdesc - Description of this data type	
5	JADN- Type.&2	1n	fields - List of fields for compound types. Not present for primitive types.	

3.4.3.5 JADN Type

Field definitions applicable to the built-in data types (primitive and compound) used to construct a schema.

Type: JADN-Type (Choice)

HD.	Name	Type	#	Description
1	Binary	Null		Octet (binary) string
2	Boolean	Null		True or False
3	Integer	Null		Whole number
4	Number	Null		Real number
5	Null	Null		Nothing
6	String	Null		Character (text) string
7	Array	FullField		Ordered list of unnamed fields

ID.	Name	Type	#	Description
8	ArrayOf	Null		Ordered list of fields of a specified type
9	Choice	FullField		One of a set of named fields
10	Enumerated	EnumField		One of a set of id:name pairs
11	Мар	FullField		Unordered set of named fields
12	Record	FullField		Ordered list of named fields

3.4.3.6 Enum Field

Item definition for Enumerated types

Type: EnumField (Array)

ID	Type	#	Description
1	Integer	1	Item ID
2	Identifier	1	Item name
3	String	1	Item description

3.4.3.7 Full Field

Field definition for compound types Array, Choice, Map, Record

Type: FullField (Array)

ID	Type	#	Description
4	Integer	1	Field ID or ordinal position

ID.	Type	#	Description
2	Identifier	1	Field name
3	Identifier	1	Field type
4	Options	1	Field options. This field is an empty array (not omitted) if there are none.
5	String	1	Field description

3.4.3.8 Identifier

Typ	o Namo		Base Type	Description	
Identifier	String	A string beginn more alphanun	ing with an alpha character fo	ollowed by zero or	

3.4.3.9 Nsid

	Type Nam	0	Base Type	Description	
Nsid String Namespace		Namespace ID -	a short identifier, max length	8 characters	

3.4.3.10 Uname

Type Name	Base Type	Description
Uname	String	Unique name (e.g., of a schema) - typically a set of Identifiers separated by forward slashes

3.4.3.11 Options

Type Name	Base Type	Description	
Options	ArrayOf(Option)	An array of zero to ten option strings.	

3.4.3.12 Option

Type Name	Base Type	Description
Option	String	An option string, minimum length = 1. The first character is the option id. Remaining characters if any are the option value.

4 Mandatory Commands/Responses

An OpenC2 command-<u>The content in this section is normative, except where</u> it is marked non-normative.

<u>A Command</u> consists of an <u>ACTION/TARGETAction/Target</u> pair and associated <u>SPECIFIERSSpecifiers</u> and <u>ARGUMENTsArguments</u>. This section enumerates the allowed commands, identify<u>Commands</u>, identifies which are required or optional to implement, and presents the associated responses.

4.1 Implementation of 'query features' Command

The 'query features' Command is REQUIRED for all Producers and Consumers implementing OpenC2. This section defines the REQUIRED and OPTIONAL aspects of the 'query features' Command and associated response for Producers and Consumers.

The 'query features' Command is REQUIRED for all Producers. The 'query features' Command MAY include one or more Features as defined in Section 3.4.2.4. The 'query features' Command MAY include the "response_type": "complete" Argument. The 'query features' Command MUST NOT include any other Argument.

The 'query features' Command is REQUIRED for all Consumers. Consumers that receive and parse the 'query features':

- With any Argument other than "response type": "complete"
 - o MUST NOT respond with OK/200.
 - SHOULD respond with Bad Request/400.
 - MAY respond with the 500 status code.
- With no Target specifiers MUST respond with response code 200.
- With the "versions" Target specifier MUST respond with status 200 and populate the versions field with a list of the OpenC2 Language Versions supported by the consumer.
- With the "profiles" Target specifier MUST respond with status 200 and populate the profiles field with a list of profiles supported by the consumer.
- With the "pairs" Target specifier MUST respond with status 200 and populate the pairs field with a list of action target pairs that define valid commands supported by the consumer.
- With the "rate limit" Target specifier populated:
 - SHOULD respond with status 200 and populate the rate_limit field with the maximum number of Commands per minute that the Consumer may support.
 - <u>o</u> MAY respond with status 200 and with the rate limit field unpopulated.

4.2 Examples of 'query features' Commands and Responses

This section is non-normative.

This sub-section provides examples of 'query features' Commands and Responses. The examples provided in this section are for illustrative purposes only and are not to be interpreted as operational examples for actual systems.

4.2.1 Sample 1

There are no features specified in the 'query features' Command. A simple "OK" Response message is returned.

Command:

```
"action": "query",
    "target": {
         "features": []
}
```

}

Response:

```
"status": 200
}
```

4.2.2 Sample 2

There are several features requested in the 'query features' Command. All requested features can be returned in a single Response message.

Command:

```
    "action": "query",
    "target": {
```

<u>"An OpenC2 Consumer MUST process an OpenC2 Command where "query" is</u> specified for the ACTION and "features" is specified for the TARGET, hereafter, referred to as a 'query features' command".

Upon processing a 'query features' command, an OpenC2 Consumer MUST issue an OpenC2 Response to the OpenC2 Producer that issued the OpenC2 Command.

Response:

```
"status": 200,
    "versions": ["1.0"],
    "profiles": ["slpf", "x-lock"],
    "rate limit": 30
}
```

5 Conformance

5.1 OpenC2 Message Content

This content in this section is normative.

5.1 Conformance Clause 1: Command

A conformant OpenC2 Command

- <u>5.1-1</u> MUST be structured in accordance with <u>Section 3.3.1Section</u> <u>3.4.1, and.</u>
- 5.1-2 MUST include exactly one ACTION specified action property defined in accordance with Section 3.3.1.1.
- 5.1-3 MUST include exactly one target property defined in accordance with Section 3.3.1.2 or exactly one imported target property defined in accordance with Section 3.1.5 Section 3...
- <u>5.1-4 MUST include zero or one actuator property defined in accordance with Section 3.3.1.3 or zero or one imported actuator property defined in accordance with Section 3.1.5.1.1.</u>
- 5.1-5 MUST include zero or one args property defined in accordance with Section 3.3.1.4 or zero or one imported args property defined in accordance with Section 3.1.5.

5.2 Conformance Clause 2: Response

A conformant OpenC2 Response

- <u>5.2-1</u> MUST be structured in accordance with <u>Section 3.3.2Section</u> 3.4.2, and.
- <u>5.2-2</u> MUST include exactly one <u>STATUS specified status property</u> <u>defined</u> in <u>accordance with Section 3.3.2.1Section 3.4.2.1.</u>

5.2 OpenC25.3 Conformance Clause 3: Producer

A conformant OpenC2 Producer

- <u>5.3-1</u> MUST issue OpenC2 Commands and process OpenC2 Responses specified in accordance with Section 4Section 4.
- <u>5.3-2</u> MUST implement JSON serialization of generated OpenC2 Commands in accordance with [RFC7493]RFC 7493.

5.3 OpenC24 Conformance Clause 4: Consumer

A conformant OpenC2 Consumer

- <u>5.4-1</u> MUST process OpenC2 Commands and issue OpenC2 Responses specified in accordance with Section 4Section 4.
- <u>5.4-2</u> MUST implement JSON serialization of generated OpenC2 Responses in accordance with [RFC7493]RFC 7493.

Annex A. Schemas Examples

This annex defines the information model used by conforming OpenC2 implementations in JSON Abstract Data Notation (JADN) format. JADN is a structured textual representation of the tables shown in Section 3. Schema files referenced by the URLs include descriptive text shown in the tables. Descriptions are omitted from the figures in this section in order to: 1) illustrate that descriptive text is not part of the language syntax, 2) show what an actuator would return in response to a schema query, and 3) improve readability of the figures.

A.1 OpenC2 Language Syntax

Schema File:

The normative schema file (oc2ls.json) and formatted version (oc2ls.pdf) may be found at the link under above.

Schema:

_____[3, "The content", "Null", [], ""], in this section is non-normative.

```
[4, "status", "Status-Code", ["[0"], ""],

[5, "request_id", "Request-Id", ["[0"], ""],

[6, "to", "String", ["[0", "]0"], ""],

[7, "from", "String", ["[0"], ""],

[8, "created", "Date-Time", ["[0"], ""]
```

```
["OpenC2-Command", "Record", [], "", [
  [1, "action", "Action", [], ""],
  <del>[2, "target", "Target", [], ""],</del>
  [3, "args", "Args", ["[0"], ""],
  [4, "actuator", "Actuator", ["[0"], ""]
["Action", "Enumerated", [], "", [
 [1, "scan", ""],
 [2, "locate", ""],
  [3, "query", ""],
  [6, "deny", ""],
 [7, "contain", ""],
  [8, "allow", ""],
 [9, "start", ""],
  [10, "stop", ""],
  11, "restart", ""],
  114, "cancel", ""],
  [15, "set", ""],
  <del>[16, "update", ""],</del>
  [18, "redirect", ""],
  [19, "create", ""],
  [20, "delete", ""],
  [22, "detonate", ""],
  [23, "restore", ""],
  [28, "copy", ""],
  [30, "investigate", ""],
  [32, "remediate", ""]
11,
["Target", "Choice", [], "", [
 __[1, "artifact", "Artifact", [], ""],
  [2, "command", "Request-Id", [], ""],
  [3, "device", "Device", [], ""],
 [7, "domain name", "Domain-Name", [], ""],
  [8, "email addr", "Email-Addr", [], ""],
  [16, "features", "Features", [], ""],
  [10, "file", "File", [], ""],
  [11, "ip addr", "IP-Addr", [], ""],
  [15, "ip connection", "IP-Connection", [], ""],
  [13, "mac addr", "MAC-Addr", [], ""],
  [17, "process", "Process", [], ""],
  [25, "properties", "Properties", [], ""],
  [19, "uri", "URI", [], ""],
  [1000, "extension", "PE-Target", [], ""],
 [1001, "extension unr", "Unr-Target", [], ""],
  [1024, "slpf", "slpf:Target", [], ""]
11,
- ["Actuator", "Choice", [], "", [
 [1000, "extension", "PE-Specifiers", [], ""],
```

```
[1001, "extension unr", "Unr-Specifiers", [], ""]
11,
["Args", "Map", [], "", [
  f1, "start time", "Date-Time", ["[0"], ""],
  [2, "stop time", "Date-Time", ["[0"], ""],
  [3, "duration", "Duration", ["[0"], ""],
  [4, "response requested", "Response-Type", ["[0"], ""],
   [1000, "extension", "PE-Args", ["[0"], ""],
  [1001, "extension unr", "Unr-Args", ["[0"], ""]
11,
["OpenC2-Response", "Map", [], "", [
  [1, "status", "Status-Code", ["[0"], ""],
  [2, "status text", "String", ["[0"], ""],
   [3, "strings", "String", ["[0", "]0"], ""],
  [4, "ints", "Integer", ["[0", "]0"], ""],
  [5, "kvps", "KVP", ["[0", "]0"], ""],
  [6, "versions", "Version", ["[0", "]0"], ""],
  [7, "profiles", "jadn: Uname", ["[0", "]0"], ""],
   [8, "schema", "jadn:Schema", ["[0"], ""],
  [9, "pairs", "Action-Targets", ["[0", "]0"], ""],
  [10, "rate_limit", "Number", ["[0"], ""],
  [1000, "extension", "PE-Results", ["[0"], ""],
 [1001, "extension unr", "Unr-Results", ["[0"], ""]
["Status-Code", "Enumerated", ["-"], "", [
 [102, "Processing", ""],
  1200, "OK", ""],
  [301, "Moved Permanently", ""],
  [400, "Bad Request", ""]
  [401, "Unauthorized", ""],
  [403, "Forbidden", ""],
  [404, "Not Found", ""],
  [500, "Internal Error", ""],
 [501, "Not Implemented", ""],
  [503, "Service Unavailable", ""]
11,
["PE-Target", "Choice", ["-"], "", [
  [32473, "Example", "32473: Target", [], ""]
["PE-Specifiers", "Choice", ["="], "", [
  [32473, "Example", "32473:Specifiers", [], ""]
11,
 ["PE-Args", "Map", ["-"], "", [
 [32473, "Example", "32473:Args", [], ""]
["PE-Results", "Map", ["-"], "", [
  [32473, "Example", "32473:Results", [], ""]
["Artifact", "Record", [], "", [
```

```
[1, "mime type", "String", ["[0"], ""],
  [2, "payload", "Payload", ["[0"], ""],
  [3, "hashes", "Hashes", ["[0"], ""]
11,
 ["Device", "Map", [], "", [
  [1, "hostname", "Hostname", [], ""],
   [2, "description", "String", ["[0"], ""],
  [3, "device id", "String", ["[0"], ""]
 ["Domain-Name", "String", ["@hostname"], ""],
 ["Email-Addr", "String", ["@email"], ""],
 ["Features", "ArrayOf", ["*Feature", "[0"], ""],
["File", "Map", [], "", [
 [1, "name", "String", ["[0"], ""],
  [2, "path", "String", ["[0"], ""],
   [3, "hashes", "Hashes", ["[0"], ""]
["IP-Addr", "Binary", ["@ip-addr"], ""],
 ["IP-Connection", "Record", [], "", [
  [1, "src addr", "IP-Addr", ["[0"], ""],
  [2, "src port", "Port", ["[0"], ""],
  [3, "dst addr", "IP-Addr", ["[0"], ""],
  11,
 ["MAC-Addr", "Binary", [], ""],
 ["Process", "Map", [], "", [
 1, "pid", "Integer", ["[0"], ""],
  [2, "name", "String", ["[0"], ""],
  [3, "cwd", "String", ["[0"], ""],
  [4, "executable", "File", ["[0"],
  [5, "parent", "Process", ["[0"], ""],
  [6, "command line", "String", ["[0"], ""]
11,
["Properties", "ArrayOf", ["*String"], ""],
 ["URI", "String", ["@uri"], ""],
 ["Message-Type", "Enumerated", [], "", [
  [0, "notification", ""],
   [1, "request", ""],
 [2, "response", ""]
["Request-Id", "Binary", [], ""],
 ["Date-Time", "Integer", [], ""],
["Duration", "Integer", [], ""],
["Hashes", "Map", [], "", [
  [1, "md5", "Binary", ["[0"], ""],
   [4, "sha1", "Binary", ["[0"], ""],
  [6, "sha256", "Binary", ["[0"], ""]
```

```
["Hostname", "String", [], ""],
 ["L4-Protocol", "Enumerated", [], "", [
   [1, "icmp", ""],
   [6, "tcp", ""],
   [17, "udp", ""],
   [132, "sctp", ""]
 ["Payload", "Choice", [], "", [
   [1, "bin", "Binary", [], ""],
  [2, "url", "URI", [], ""]
 ["Port", "Integer", ["[0", "]65535"], ""],
 ["Feature", "Enumerated", [], "", [
  [1, "versions", ""],
  [2, "profiles", ""],
   [3, "schema", ""],
   <del>[4, "pairs", ""],</del>
  [5, "rate_limit", ""]
 ["Response-Type", "Enumerated", [], "", [
 [0, "Anone", ""],
   [1, "ack", ""],
   [2, "status", ""],
   [3, "complete", ""]
 ["Version", "String", [], ""],
 ["KVP", "Array", [], "", [
  [1, "key", "String", [], ""],
   [2, "value", "String", [], ""]
 ["Action-Targets", "Array", [], "", [
 [1, "action", "Action", [], ""],
   [2, "targets", "Target", ["]0", "*"], ""]
 ++
```

A.2 JADN Syntax

Schema File:

The normative schema file (jadn.json) and formatted version (jadn.pdf) may be found at the link under above.

Schema:

```
<del>-"meta": [</del>
```

```
-- "module": "oasis-open.org/openc2/v1.0/jadn",
"patch": "wd01",
 "title": "JADN Syntax",
- "description": "Syntax of a JSON Abstract Data Notation (JADN)
module.",
"exports": ["Schema", "Uname"]
"types": [
["Schema", "Record", [], "", [
 [1, "meta", "Meta", [], ""],
  [2, "types", "Type", ["]0"], ""]]
["Meta", "Map", [], "", [
  [1, "module", "Uname", [], ""],
   [2, "patch", "String", ["[0"], ""],
   - [3, "title", "String", ["[0"], ""],
   [4, "description", "String", ["[0"], ""],
   [5, "imports", "Import", ["[0", "]0"], ""],
  [6, "exports", "Identifier", ["[0", "]0"], ""],
   [7, "bounds", "Bounds", ["[0"], ""]]
 -["Import", "Array", [], "", [
   [1, "nsid", "Nsid", [], ""],
  [2, "uname", "Uname", [], ""]]
 ["Bounds", "Array", [], "", [
  [1, "max msg", "Integer", [], ""],
   [2, "max str", "Integer", [], ""],
   [3, "max bin", "Integer", [], ""],
  [4, "max fields", "Integer", [], ""]]
 1,
 ["Type", "Array", [], "", [
   [1, "tname", "Identifier", [], ""],
  [2, "btype", "JADN-Type", ["*"], ""],
   [3, "opts", "Option", ["]0"], ""],
   [4, "desc", "String", [], ""],
  [5, "fields", "JADN-Type", ["&btype", "]0"], ""]]
["JADN-Type", "Choice", [], "", [
  [1, "Binary", "Null", [], ""],
  [2, "Boolean", "Null", [], ""],
   [3, "Integer", "Null", [], ""],
  [4, "Number", "Null", [], ""],
```

```
[5, "Null", "Null", [], ""],
    [6, "String", "Null", [], ""],
    [7, "Array", "FullField", ["]0"], ""],
    [8, "ArrayOf", "Null", [], ""],
    [9, "Choice", "FullField", ["]0"], ""],
    [10, "Enumerated", "EnumField", ["]0"],
    [11, "Map", "FullField", ["]0"], ""],
[12, "Record", "FullField", ["]0"], ""]]
  ["EnumField", "Array", [], "", [
    [1, "", "Integer", [], ""],
   [2, "", "String", [], ""],
    [3, "", "String", [], ""]]
  ["FullField", "Array", [], "", [
   1, "", "Integer", [], ""],
    [2, "", "Identifier", [], ""],
    [3, "", "Identifier", [], ""],
    [4, "", "Options", [], ""], [5, "", "String", [], ""]]
 ["Identifier", "String", ["$^[a-zA-Z][\\w-]*$", "[1", "]32"],
11117
 ["Nsid", "String", ["$^[a-zA-Z][\\w-]*$", "[1", "]8"], ""],
 ["Uname", "String", ["[1", "]100"], ""],
  ["Options", "ArrayOf", ["*Option", "[0", "]10"], ""],
  ["Option", "String", ["[1", "]100"], ""]]
```

Annex B. Examples

B.1 Example 1

This example shows the elements of an OpenC2 Message containing an OpenC2 Command. The content of the mMessage is the de-serialized eCommand structure in whatever format is used by the implementation, independent of the transfer protocol and serialization format used to transport the mMessage.

The request_id in this example is a 64 bit binary value which can be displayed in many ways, including hex: 'd937 fca9 2b64 4e71', base64url: '2Tf8qStkTnE', and Python byte string - ASCII characters with hex escapes (\xNN) for non-ASCII bytes: b'\xd97\xfc\xa9+dNq'. If OpenC2 producers Producers generate numeric or alphanumeric request_ids, they are still binary values and are limited to 128 bits, e.g.,: hex: '6670 2d31 3932 352d 3337 3632 3864 3663', base64url: 'ZnAtMTkyNS0zNzYyOGQ2Yw', byte string: b'fp-1925-37628d6c'.

The created element is a Date-Time value of milliseconds since the epoch. The example 1539355895215 may be displayed as '12 as '12 October 2018 14:51:35 UTC'.

This example, illustrating an internal representation of a mMessage, is non-normative. Other programming languages (e.g., Java, Javascript, C, Erlang) have different representations of literal values. There are no interoperability considerations or conformance requirements for how mMessage elements are represented internally within an implementation. Only the serialized values of the mMessage elements embedded within a protocol is relevant to interoperability.

BA.1.1 Example 1: Command Message

```
content-type: 'application/openc2'
msg_type: 'request'
request_id: b'\xd97\xfc\xa9+dNq'
from: 'nocc-3497'
to: ['#filter-devices']
created: 1539355895215
content: {'action': 'query', 'target': {'features':
['versions', 'profiles']}}
```

BA.1.2 Example 1: Response Message

The response message Response Message contains a status code, a content-type that is normally the same as the request content type, a msg_type of 'response', and the rResponse content. The request_id from the command message Command Message, if present, is returned unchanged in the response message. Response Message. The "to" element of the rResponse normally echoes the "from" element of the command message Command Message, but the "from" element of the rResponse is the aActuator's identifier regardless of whether the command was sent to an individual actuator or a group. The "created" element, if present, contains the creation time of the rResponse.

A responder could potentially return non-openc2 content, such as a PDF report or an HTML document, in response to an openc2 command.a

<u>Command.</u> No a<u>A</u>ctuator profiles currently define response content types other than openc2.

```
status: 200
content-type: 'application/openc2'
msg_type: 'response'
request_id: b'\xd97\xfc\xa9+dNq'
from: 'pf72394'
to: ['nocc-3497']
created: 1539355898000
content: {'status': 200, 'versions': ['1.3'], 'profiles':
['oasis-open.org/openc2/v1.0/ap-slpf''slpf']}
```

BA.2 Example 2

This example is for a transport where the header information is outside the JSON (e.g., HTTPS API) and only body is in JSON.

A.2.1 Example 2: Command:

A.2.2 Example 2: Response:

```
}
```

A.3 Example 3

A.3.1 Example 3: Command:

```
{
    "action": "query",
    "target": {
        "features": ["versions", "profiles"]
    }
}
```

A.3.2 Example 3: Response:

```
"status_text": "ACME Corp Internet Toaster",
    "versions": ["1.0"],
    "profiles": {}["slpf", "x-acme"]
}
```

<u>Annex B. Acronyms</u>

Command:

This command queries the actuator for the syntax of its supported commands.

Response:

This example illustrates how actuator developers tailor the OpenC2 schema to communicate the capabilities of their products to producers. This example actuator supports the mandatory requirements of the language specification plus a random subset of optional language elements (cancel, create, and delete actions, and the command, ip_addr, and properties targets). The example actuator supports a subset of the core OpenC2 language but no profile-defined targets, actuator specifiers, command arguments, or responses.

The example do-nothing actuator appears to support create and delete ip_addr commands, but without a profile there is no definition of what the actuator would do to "create" an IP address. The schema is used by producers to determine what commands are syntactically valid for an actuator, but it does not assign meaning to those commands.

```
"pairs": [
   ["query", ["features", "properties"]],
   ["cancel", ["command"]],
   ["create", ["ip addr"]],
   ["delete", ["ip addr"]]
 "schema": {
    "meta": {
     "patch": "wd09 example",
     "title": "OpenC2 Language Objects",
     "description": "Example Actuator",
     "exports": ["OpenC2-Command", "OpenC2-Response", "Message-
Type", "Status-Code", "Request-Id", "Date-Time"]
    "types": [
     ["OpenC2-Command", "Record", [], "", [
       [1, "action", "Action", [], ""],
       [2, "target", "Target", [], ""],
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Acronym	<u>Definition</u>
<u>API</u>	Application Programming Interface
ASCII	American Standard Code for Information Interchange
<u>BCP</u>	Best Current Practice
CBOR	Concise Binary Object Representation
CIDR	Classless Inter-Domain Routing
CoAP	Constrained Application Protocol
DOI	<u>Digital Object Identifier</u>

Acronym	<u>Definition</u>
<u>EUI</u>	Extended Unique Identifier
HTTP	Hyper Text Transfer Protocol
HTTPS	Hyper Text Transfer Protocol Secure
IACD	Integrated Adaptive Cyber Defense
<u>IANA</u>	Internet Assigned Numbers Authority
<u>ICMP</u>	Internet Control Message Protocol
<u>ID</u>	<u>Identifier</u>
<u>IP</u>	Internet Protocol
<u>IPR</u>	Intellectual Property Rights
<u>JSON</u>	JavaScript Object Notation
MAC	Media Access Control
MD5	Message Digest
MQTT	Message Queuing Telemetry Transfer
<u>OASIS</u>	Organization for the Advancement of Structured Information Standards
OODA	Observe-Orient-Decide-Act
OpenC2	Open Command and Control
<u>OpenDXL</u>	Open Data eXchange Layer

<u>Acronym</u>	<u>Definition</u>
PDF	Portable Document Format
RFC	Request for Comment
SCTP	Stream Control Transmission Protocol
<u>SHA</u>	Security Hash Algorithm
SLPF	StateLess Packet Filtering
STD	<u>Standard</u>
<u>TC</u>	Technical Committee
TCP	Transmission Control Protocol
<u>UDP</u>	User Datagram Control Protocol
<u>UML</u>	Unified Modeling Language
<u>URI</u>	Uniform Resource Identifier
UTC	Coordinated Universal Time
UUID	Universally Unique IDentifier
XML	eXtensibel Markup Language

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Annex C. Acronyms

Editor's Note - TBSL - This section be included in the final version of the initial Committee Specification.

Annex D. Revision History

The content in this section is non-normative.

Revision	Date	Editor	Changes Made						
v1.0- wd01	10/31/2017	Romano, Sparrell	Initial working draft						
v1.0- csd01	11/14/2017	Romano, Sparrell	approved wd01						

Revision	Date	Editor	Changes Made
v1.0- wd02	01/12/2018	Romano, Sparrell	csd01 ballot comments t <u>T</u> argets
v1.0- wd03	01/31/2018	Romano, Sparrell	wd02 review comments
v1.0- csd02	02/14/2018	Romano, Sparrell	approved wd03
v1.0- wd04	03/02/2018	Romano, Sparrell	Property tables threads (cmd/resp) from use cases previous comments
v1.0- wd05	03/21/2018	Romano, Sparrell	wd04 review comments
v1.0- csd03	04/03/2018	Romano, Sparrell	approved wd05
v1.0- wd06	05/15/2018	Romano, Sparrell	Finalizing message structure message=header+body Review comments Using word "arguments" instead of "options"
v1.0- csd04	5/31/2018	Romano, Sparrell	approved wd06
v1.0- wd07	7/11/2018	Romano, Sparrell	Continued refinement of details Review comments Moved some aActions and tTargets to reserved lists
v1.0- wd08	10/05/2018	Romano, Sparrell	Continued refinement of details Review comments

Revision	Date	Editor	Changes Made
v1.0- wd09	10/17/2018	Romano, Sparrell	Additional review comments to create wd09 for CSD approval and release for public review.
<u>v1.0-</u> <u>wd10</u>	03/04/2019	Romano, Sparrell	Produce interim working draft.
<u>v1.0-</u> <u>wd11</u>	03/21/2019	Romano, Sparrell	Produce interim working draft.
v1.0- wd12	03/27/2019	Romano, Sparrell	Produce candidate working draft for next public review.

Annex ED. Acknowledgments

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