



OData Extension for Data Aggregation Version 4.0

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- OData Aggregation ABNF Construction Rules Version 4.0: <http://docs.oasis-open.org/odata/odata-data-aggregation-ext/v4.0/cs02/abnf/odata-aggregation-abnf.txt>

- OData Aggregation ABNF Test Cases: <http://docs.oasis-open.org/odata/odata-data-aggregation-ext/v4.0/cs02/abnf/odata-aggregation-testcases.xml>
- OData Aggregation Vocabulary: <http://docs.oasis-open.org/odata/odata-data-aggregation-ext/v4.0/cs02/vocabularies/Org.OData.Aggregation.V1.xml>

Related work:

This specification is related to:

- *OData Version 4.0*. Edited by Michael Pizzo, Ralf Handl, and Martin Zurmuehl. A multi-part Work Product that includes:
 - *OData Version 4.0 Part 1: Protocol*. Latest version. <http://docs.oasis-open.org/odata/odata/v4.0/odata-v4.0-part1-protocol.html>
 - *OData Version 4.0 Part 2: URL Conventions*. Latest version. <http://docs.oasis-open.org/odata/odata/v4.0/odata-v4.0-part2-url-conventions.html>
 - *OData Version 4.0 Part 3: Common Schema Definition Language (CSDL)*. Latest version. <http://docs.oasis-open.org/odata/odata/v4.0/odata-v4.0-part3-csdl.html>
 - *OData ABNF Construction Rules Version 4.0*. 30 October 2014. <http://docs.oasis-open.org/odata/odata/v4.0/errata02/os/complete/abnf/odata-abnf-construction-rules.txt>
 - *OData ABNF Test Cases*. 30 October 2014. <http://docs.oasis-open.org/odata/odata/v4.0/errata02/os/complete/abnf/>
 - *OData Core Vocabulary*. 30 October 2014. <http://docs.oasis-open.org/odata/odata/v4.0/errata02/os/complete/vocabularies/Org.OData.Core.V1.xml>
 - *OData Measures Vocabulary*. 30 October 2014. <http://docs.oasis-open.org/odata/odata/v4.0/errata02/os/complete/vocabularies/Org.OData.Measures.V1.xml>
- *OData JSON Format Version 4.0*. Edited by Ralf Handl, Mike Pizzo, and Mark Biamonte. Latest version. <http://docs.oasis-open.org/odata/odata-json-format/v4.0/odata-json-format-v4.0.html>

Abstract:

This specification adds basic grouping and aggregation functionality (e.g. sum, min, and max) to the Open Data Protocol (OData) without changing any of the base principles of OData.

Status:

This document was last revised or approved by the OASIS Open Data Protocol (OData) TC on the above date. The level of approval is also listed above. Check the “Latest version” location noted above for possible later revisions of this document. Any other numbered Versions and other technical work produced by the Technical Committee (TC) are listed at https://www.oasis-open.org/committees/tc_home.php?wg_abbrev=odata#technical.

TC members should send comments on this specification to the TC’s email list. Others should send comments to the TC’s public comment list, after subscribing to it by following the instructions at the “Send A Comment” button on the TC’s web page at <https://www.oasis-open.org/committees/odata/>.

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1 Introduction

This specification adds the notion of aggregation to the Open Data Protocol (OData) without changing any of the base principles of OData. It defines semantics and a representation for aggregation of data, especially:

- Semantics and operations for querying aggregated data,
- Results format for queries containing aggregated data,
- Vocabulary terms to annotate what can be aggregated, and how.

1.1 Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

1.2 Normative References

[OData-ABNF]	<i>OData ABNF Construction Rules Version 4.0.</i> See the link in "Related work" section on cover page.
[OData-Agg-ABNF]	<i>OData Aggregation ABNF Construction Rules Version 4.0.</i> See link in "Additional artifacts" section on cover page.
[OData-CSDL]	<i>OData Version 4.0 Part 3: CSDL.</i> See link in "Related work" section on cover page.
[OData-JSON]	<i>OData JSON Format Version 4.0.</i> See link in "Related work" section on cover page.
[OData-Protocol]	<i>OData Version 4.0 Part 1: Protocol.</i> See link in "Related work" section on cover page.
[OData-URL]	<i>OData Version 4.0 Part 2: URL Conventions.</i> See link in "Related work" section on cover page.
[OData-VocAggr]	<i>OData Aggregation Vocabulary.</i> See link in "Additional artifacts" section on cover page.
[OData-VocMeas]	<i>OData Measures Vocabulary.</i> See link in "Related work" section on cover page.
[RFC2119]	Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997. http://www.ietf.org/rfc/rfc2119.txt .

1.3 Non-Normative References

[TSQL ROLLUP]	http://msdn.microsoft.com/en-us/library/bb522495.aspx
---------------	---

1.4 Typographical Conventions

Keywords defined by this specification use this monospaced font.

Normative source code uses this paragraph style.

Some sections of this specification are illustrated with non-normative examples.

Example 1: text describing an example uses this paragraph style

Non-normative examples use this paragraph style.

All examples in this document are non-normative and informative only.

All other text is normative unless otherwise labeled.

2 Overview

Open Data (OData) services expose a data model that describes the schema of the service in terms of the Entity Data Model (EDM, see **[OData-CSDL]**) and then allows for querying data in terms of this model. The responses returned by an OData service are based on that data model and retain the relationships between the entities in the model.

Extending the OData query features with simple aggregation capabilities avoids cluttering OData services with an exponential number of explicitly modeled “aggregation level entities” or else restricting the consumer to a small subset of predefined aggregations.

Adding the notion of aggregation to OData without changing any of the base principles in OData has two aspects:

1. Means for the consumer to query aggregated data on top of any given data model (for sufficiently capable data providers)
2. Means for the provider to annotate what data can be aggregated, and in which way, allowing consumers to avoid asking questions that the provider cannot answer.

Implementing any of these two aspects is valuable in itself independent of the other, and implementing both provides additional value for consumers. The descriptions provided by the provider help a consumer understand more of the data structure looking at the service's exposed data model. The query extensions allow the consumers to express explicitly the desired aggregation behavior for a particular query. They also allow consumers to formulate queries that refer to the annotations as shorthand.

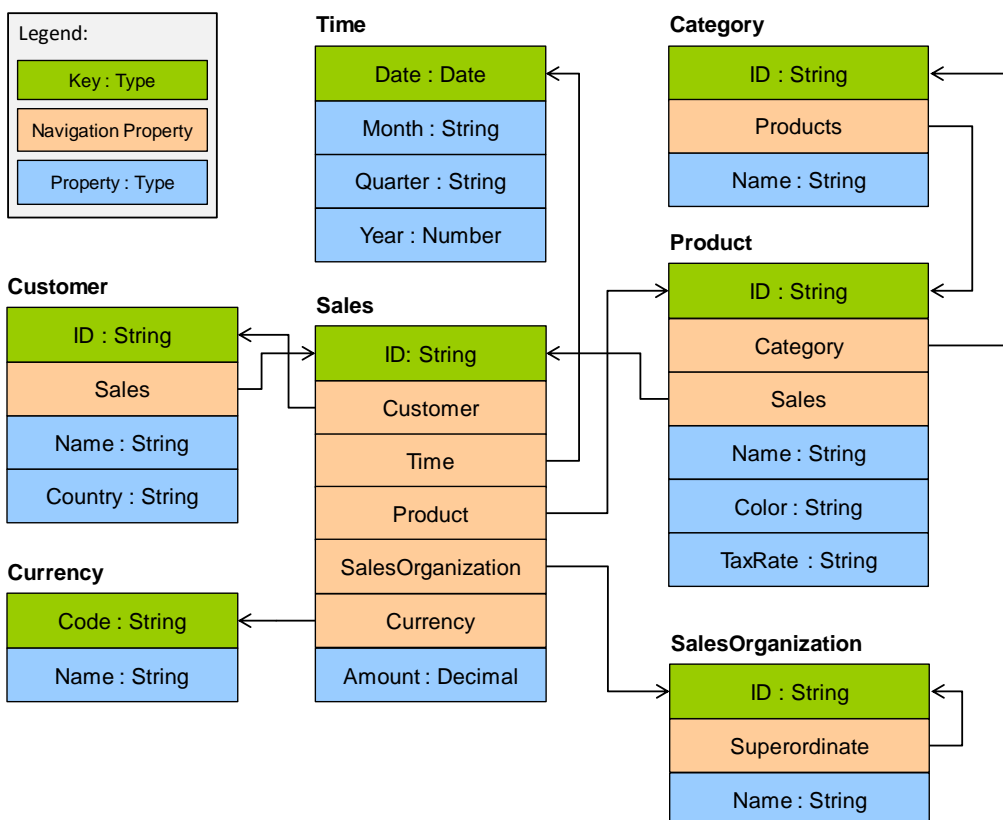
2.1 Definitions

This specification defines the following terms:

- **Aggregatable Property** – a property for which the values can be aggregated using an aggregation method.
- **Aggregation Method** – a method that can be used to aggregate an aggregatable property or expression
- **Standard Aggregation Method** – one of the standard aggregation methods: `sum`, `min`, `max`, `average`, and `countdistinct`
- **Custom Aggregation Method** – a custom aggregation method that can be applied to expressions of a specified type
- **Custom Aggregate** – a dynamic property that can appear in an aggregate clause
- **Groupable Property** – a property whose values can be used to group entities or complex type instances for aggregation.
- **Hierarchy** – an arrangement of groupable properties whose values are represented as being “above”, “below”, or “at the same level as” one another.

2.2 Example Data Model

Example 2: The following diagram shows the terms defined in the section above applied to a simple model that is used throughout this document.

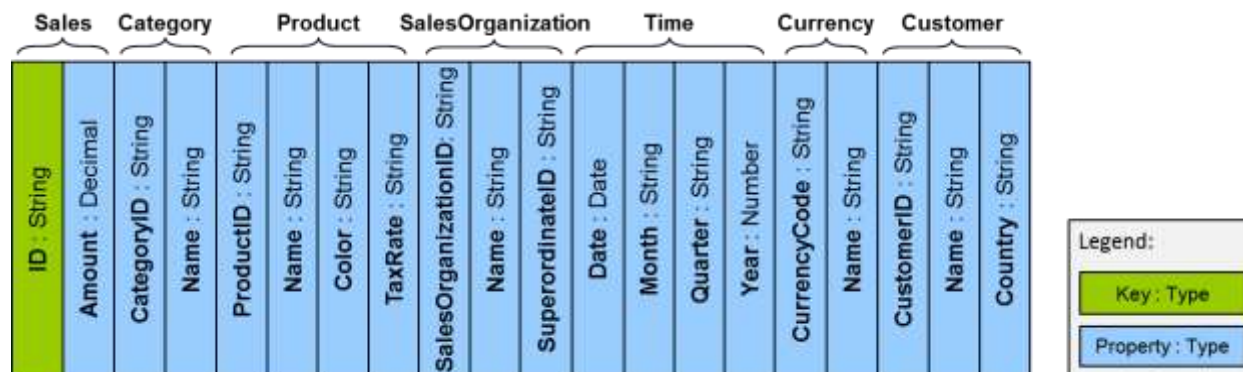


The Amount property in the Sales entity type is an aggregatable property, and the properties of the related entity types are groupable. These can be arranged in four hierarchies:

- Product hierarchy based on groupable properties of the Category and Product entity types
- Customer hierarchy based on Country and Customer
- Time hierarchy based on Year, Month and Date
- SalesOrganization based on the recursive association to itself

In the context of Online Analytical Processing (OLAP), this model might be described in terms of a Sales “cube” with an Amount “measure” and three “dimensions”. This document will avoid such terms, as they are heavily overloaded.

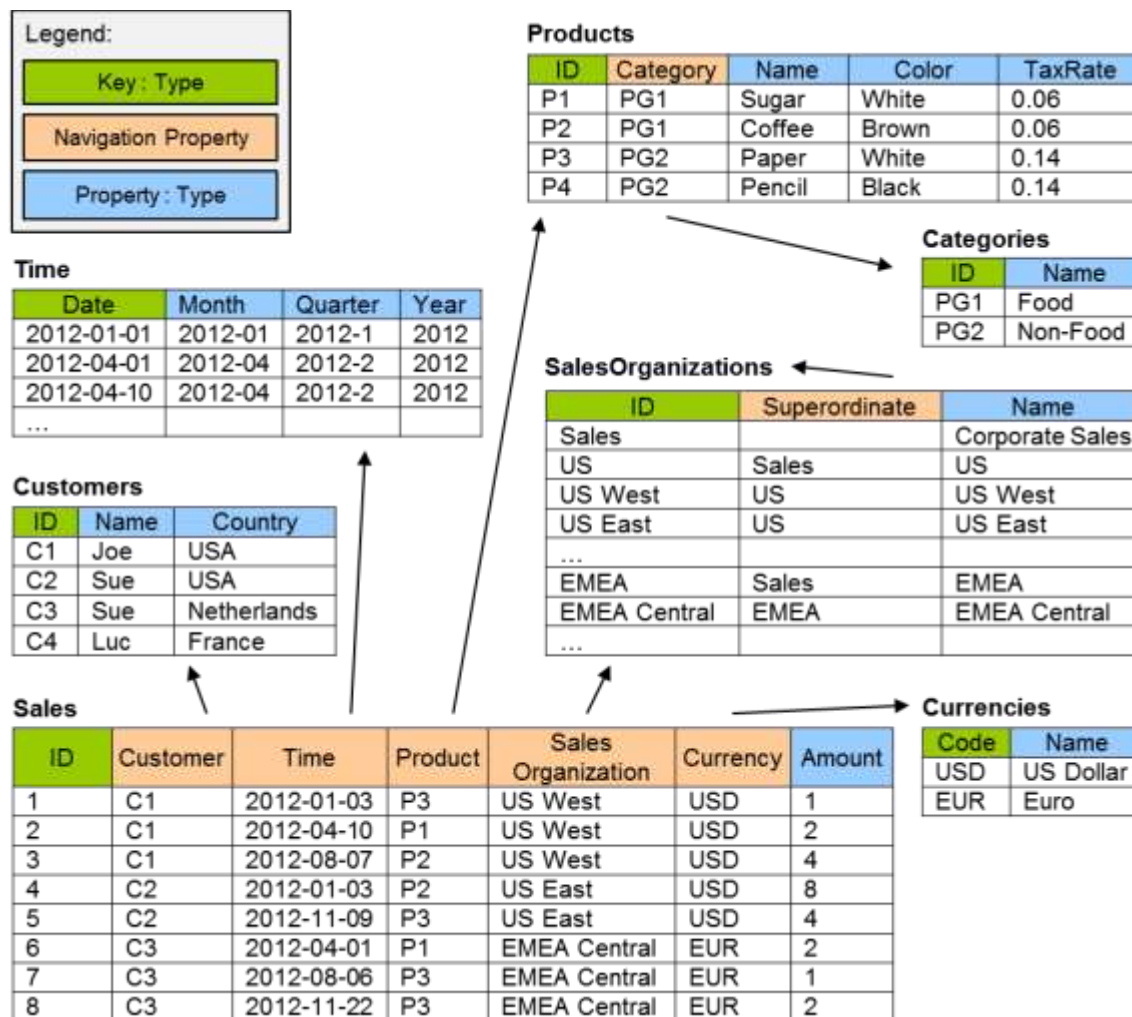
Query extensions and descriptive annotations can both be applied to normalized as well as partly or fully denormalized schemas.



Note that OData's Entity Data Model (EDM) does not mandate a single storage model; it may be realized as a completely conceptual model whose data structure is calculated on-the-fly for each request. The actual "entity-relationship structure" of the model should be chosen to simplify understanding and querying data for the target audience of a service. Different target audiences may well require differently structured services on top of the same storage model.

2.3 Example Data

Example 3: The following sample data will be used to further illustrate the capabilities introduced by this extension.



2.4 Example Use Cases

Example 4: In the example model, one prominent use case is the relation of customers to products. The first question that is likely to be asked is: "Which customers bought which products?"

This leads to the second more quantitative question: "Who bought how much of what?"

The answer to the second question typically is visualized as a cross-table:

			Food		Non-Food	
			Sugar	Coffee	Paper	
USA		USD	14	12	5	5
	Joe	USD	6	4	1	1
	Sue	USD	8	8	4	4
Netherlands		EUR	2		3	3

	Sue	EUR	2	2	3	3
--	-----	-----	---	---	---	---

The data in this cross-table can be written down in a shape that more closely resembles the structure of the data model, leaving cells empty that have been aggregated away:

Customer/Country	Customer/Name	Product/Category/Name	Product/Name	Amount	Currency /Code
USA	Joe	Non-Food	Paper	1	USD
USA	Joe	Food	Sugar	2	USD
USA	Joe	Food	Coffee	4	USD
USA	Sue	Food	Coffee	8	USD
USA	Sue	Non-Food	Paper	4	USD
Netherlands	Sue	Food	Sugar	2	EUR
Netherlands	Sue	Non-Food	Paper	3	EUR
USA		Food	Sugar	2	USD
USA		Food	Coffee	12	USD
USA		Non-Food	Paper	5	USD
Netherlands		Food	Sugar	2	EUR
Netherlands		Non-Food	Paper	1	EUR
USA	Joe	Food		6	USD
USA	Joe	Non-Food		1	USD
USA	Sue	Food		8	USD
USA	Sue	Non-Food		4	USD
Netherlands	Sue	Food		2	EUR
Netherlands	Sue	Non-Food		3	EUR
USA		Food		14	USD
USA		Non-Food		5	USD
Netherlands		Food		2	EUR
Netherlands		Non-Food		3	EUR

Note that this result contains seven fully qualified aggregate values, plus fifteen rollup rows with subtotal values, shown in bold.

3 System Query Option `$apply`

Aggregation behavior is triggered using the query option `$apply`. It takes a sequence of set transformations, separated by forward slashes to express that they are consecutively applied, i.e. the result of each transformation is the input to the next transformation. This is consistent with the use of service-defined bindable and composable functions in path segments.

Unless otherwise noted, each set transformation:

- preserves the structure of the input type, so the structure of the result fits into the data model of the service.
- does not necessarily preserve the number of instances in the result, as this will typically differ from the number of instances in the input set.
- does not necessarily guarantee that all properties of the result instances have a well-defined value.

So the actual (or relevant) structure of each intermediary result will resemble a projection of the original data model that could also have been formed using the standard system query options `$expand` and `$select` defined in [OData-Protocol], with dynamic properties representing the aggregate values. The parameters of set transformations allow specifying how the result instances are constructed from the input instances.

The set transformations defined by this extension are

- `aggregate`
- `topcount`
- `topsum`
- `toppercent`
- `bottomcount`
- `bottomsum`
- `bottompercent`
- `identity`
- `concat`
- `groupby`
- `filter`
- `expand`
- `search`
- `compute`

Service-defined bound functions that take an entity set as their binding parameter MAY be used as set transformations within `$apply` if the type of the binding parameter matches the type of the result set of the preceding transformation. If it returns an entity set, further transformations can follow the bound function. The parameter syntax for bound function segments is identical to the parameter syntax for bound functions in resource path segments or `$filter` expressions. See section 7.6 for an example.

If a data service that supports `$apply` does not support it on the collection identified by the request resource path, it MUST fail with 501 Not Implemented and a meaningful human-readable error message.

3.1 Transformation `aggregate`

The `aggregate` transformation takes a comma-separated list of one or more *aggregate expressions* as parameters and returns a result set with a single instance, representing the aggregated value for all instances in the input set.

An aggregate expression may be:

- an expression valid in a `$filter` system query option on the input set that results in a simple value, e.g. the path to an aggregatable property, with a specified [aggregation method](#),
- a custom aggregate,
- any of the above, followed by a `from` expression,
- any of the above, enclosed in parentheses and prefixed with a navigation path to related entities,
- the virtual property `$count`.

Any aggregate expression that specifies an aggregation method **MUST** define an [alias](#) for the resulting aggregated value. The resulting instance contains one dynamic property per parameter representing the aggregated value across all instances within the input set. The JSON representation of these dynamic properties will include `odata.type` annotations where required by **[OData-JSON]**. If paths are present, the corresponding navigation properties are implicitly expanded to make the properties part of the result representation.

The aggregate transformation affects the structure of the result set: An expression resulting in a simple value and a custom aggregate corresponds to a dynamic property in a `$select` option. If they are preceded by a navigation path, the corresponding `$select` option would be nested in one `$expand` option for each navigation property in the navigation path.

3.1.1 Keyword `as`

Aggregate expressions can define an alias using the `as` keyword, followed by a SimpleIdentifier (see **[OData-CSDL, section 17.2]**).

The alias will introduce a dynamic property in the aggregated result set. The introduced dynamic property is added to the type containing the original expression or custom aggregate. The alias **MUST NOT** collide with names of declared properties, custom aggregates, or other aliases in that type.

When an [aggregation method](#) is specified, an alias **MUST** be applied to the expression.

Example 5:

```
GET ~/Sales?$apply=aggregate(Amount with sum as Total,Amount with max as MxA)
```

results in

```
{
  "@odata.context": "$metadata#Sales(Total,MxA)",
  "value": [
    { "@odata.id": null, "Total": 24, "MxA": 8 }
  ]
}
```

Example 6:

```
GET ~/Sales?$apply=aggregate(Amount mul Product/TaxRate with sum as Tax)
```

results in

```
{
  "@odata.context": "$metadata#Sales(Tax)",
  "value": [
    { "@odata.id": null, "Tax": 2.08 }
  ]
}
```

If the expression is to be evaluated on related entities, the expression and its alias **MUST** be enclosed in parentheses and prefixed with the navigation path to the related entities. The expression within the parentheses **MUST** be an expression that could also be used in a `$filter` system query option on the related entities identified by the navigation path. This syntax is intentionally similar to the syntax of `$expand` with nested query options.

Example 7:

```
GET ~/Products?$apply=aggregate(Sales(Amount mul Product/TaxRate with sum as Tax))
```

results in

```
{
  "@odata.context": "$metadata#Products(Sales(Tax))",
  "value": [
    { "@odata.id": null, "Sales": [ { "Tax": 2.08 } ] }
  ]
}
```

An alias affects the structure of the result set: each alias corresponds to a dynamic property in a `$select` option that is nested in an `$expand` option for each navigation property in the path of the aliased expression.

3.1.2 Keyword `with`

The keyword `with` is used to apply an [aggregation method](#) to an [aggregatable property](#) or expression. The property or expression being aggregated is followed by the keyword `with`, followed by the name of the aggregation method to apply, followed by the keyword `as` and an alias.

3.1.3 Aggregation Methods

Values can be aggregated using the standard aggregation methods [sum](#), [min](#), [max](#), [average](#), and [countdistinct](#), or with [custom aggregation methods](#) defined by the service. Aggregate expressions containing an aggregation method MUST define an [alias](#) for the resulting aggregate value.

3.1.3.1 Standard Aggregation Method `sum`

The standard aggregation method `sum` can be applied to numeric values to return the sum of the non-null values, or null if there are no non-null values or the input set is empty. The provider MUST choose a single type for the property across all instances of that type in the result that is capable of representing the aggregated values. This may require a larger integer type, `Edm.Decimal` with sufficient `Precision` and `Scale`, or `Edm.Double`.

Example 8:

```
GET ~/Sales?$apply=aggregate(Amount with sum as Total)
```

results in

```
{
  "@odata.context": "$metadata#Sales(Total)",
  "value": [
    { "@odata.id": null, "Total": 24 }
  ]
}
```

3.1.3.2 Standard Aggregation Method `min`

The standard aggregation method `min` can be applied to values with a totally ordered domain to return the smallest of the non-null values, or null if there are no non-null values or the input set is empty.

The result property will have the same type as the input property.

Example 9:

```
GET ~/Sales?$apply=aggregate(Amount with min as MinAmount)
```

results in

```
{
  "@odata.context": "$metadata#Sales (MinAmount) ",
  "value": [
    { "@odata.id": null, "MinAmount": 1 }
  ]
}
```

3.1.3.3 Standard Aggregation Method `max`

The standard aggregation method `max` can be applied to values with a totally ordered domain to return the largest of the non-null values, or null if there are no non-null values or the input set is empty.

The result property will have the same type as the input property

Example 10:

```
GET ~/Sales?$apply=aggregate(Amount with max as MaxAmount)
```

results in

```
{
  "@odata.context": "$metadata#Sales (MaxAmount) ",
  "value": [
    { "@odata.id": null, "MaxAmount": 8 }
  ]
}
```

3.1.3.4 Standard Aggregation Method `average`

The standard aggregation method `average` can be applied to numeric values to return the sum of the non-null values divided by the count of the non-null values, or null if there are no non-null values or the input set is empty.

The provider **MUST** choose a single type for the property across all instances of that type in the result that is capable of representing the aggregated values; either `Edm.Double` or `Edm.Decimal` with sufficient `Precision` and `Scale`.

Example 11:

```
GET ~/Sales?$apply=aggregate(Amount with average as AverageAmount)
```

results in

```
{
  "@odata.context": "$metadata#Sales (AverageAmount) ",
  "value": [
    { "@odata.id": null, "AverageAmount": 3.0 }
  ]
}
```

3.1.3.5 Standard Aggregation Method `countdistinct`

The aggregation method `countdistinct` counts the distinct values, omitting any null values. For navigation properties, it counts the distinct entities in the union of all entities related to entities in the input set. For collection-valued primitive properties, it counts the distinct items in the union of all collection values in the input set.

The result property **MUST** have type `Edm.Decimal` with `Scale="0"` and sufficient `Precision`.

Example 12:

```
GET ~/Sales?$apply=aggregate(Product with countdistinct as DistinctProducts)
```

results in


```

{
  "@odata.context": "$metadata#Sales(DistinctProducts)",
  "value": [
    { "@odata.id": null, "DistinctProducts": 3 }
  ]
}

```

The number of instances in the input set can be counted with the virtual property `$count`.

3.1.3.6 Custom Aggregation Methods

Services can define custom aggregation methods if the functionality offered by the standard aggregation methods is not sufficient for the intended consumers.

Custom aggregation methods **MUST** use a namespace-qualified name (see **[OData-ABNF]**), i.e. contain at least one dot. Dot-less names are reserved for future versions of this specification.

Example 13: custom aggregation methods that concatenates distinct string values separated by commas

```

GET ~/Sales?$apply=groupby((Customer/Country),
    aggregate(Amount with sum as Total,
              Product/Name with Custom.concat as ProductNames))

```

results in

```

{
  "@odata.context": "$metadata#Sales(Customer(Country),Amount,ProductNames)",
  "value": [
    { "@odata.id":null, "Customer":{ "Country":"Netherlands" },
      "Amount": 5, ProductNames:"Paper,Sugar" },
    { "@odata.id":null, "Customer":{ "Country":"USA" },
      "Amount":19, ProductNames:"Coffee,Paper,Sugar" }
  ]
}

```

3.1.4 Keyword `from`

The `from` keyword gives control over the order of aggregation across properties that are not part of the result structure and over the aggregation methods applied in every step.

Instead of applying a single aggregation method for calculating the aggregated value of an expression across all properties not included in the result structure, other aggregation methods to be applied when [aggregating away](#) certain properties MAY be specified using the `from` keyword, followed by a property path of a groupable property. Each groupable property **MUST** be followed by a `with` clause unless the aggregate expression is a custom aggregate, in which case the provider-defined behavior of the custom aggregate is used:

```

aggregateExpression as alias
  from groupableProperty1 [ with aggregationMethod1 ]
  ...
  from groupablePropertyn [ with aggregationMethodn ]

```

If the `from` keyword is used, an alias **MUST** be introduced.

If the `from` keyword is present, first the aggregation method determined by the aggregate expression is used to aggregate away properties that are not mentioned in a `from` clause and are not [grouping properties](#).

Then consecutively properties not part of the result are aggregated away in the order of the `from` clauses and using the method specified by the `from` clause.

More formally, the calculation of `aggregate` with the `from` keyword is equivalent with a list of set transformations:


```

groupby ( (groupableProperty1, ..., groupablePropertyn) ,
          aggregate (aggregateExpression as tmpalias1) )
/groupby ( (groupableProperty2, ..., groupablePropertyn) ,
          aggregate (tmpalias1 with aggregationMethod1 as tmpalias2) )
...
/groupby ( (groupablePropertyn) ,
          aggregate (tmpaliasn-1 with aggregationMethodn-1 as tmpaliasn) )
/aggregate ( tmpaliasn with aggregationMethodn as alias ) )

```

The order of `from` clauses has to be compatible with hierarchies referenced from a [leveled hierarchy annotation](#) or specified as an unnamed hierarchy in [groupby with rollup](#): lower nodes in a hierarchy need to be mentioned before higher nodes in the same hierarchy. Properties not belonging to any hierarchy can appear at any point in the `from` clause.

Example 14:

```
GET ~/Sales?$apply=aggregate(Amount with sum as DailyAverage
                             from Time with average)
```

is equivalent to

```
GET ~/Sales?$apply=groupby((Time), aggregate(Amount with sum as Total))
/aggregate(Total with average as DailyAverage)
```

and results in the average sales volume per day

```
{
  "@odata.context": "$metadata#Sales(DailyAverage)",
  "value": [
    { "@odata.id": null, "DailyAverage": 3.428571428571429 }
  ]
}
```

3.1.5 Virtual Property \$count

The value of the virtual property `$count` is the number of instances in the input set. It **MUST** always specify an [alias](#) and **MUST NOT** specify an [aggregation method](#).

The result property will have type `Edm.Decimal with Scale="0"` and sufficient Precision.

Example 15:

```
GET ~/Sales?$apply=aggregate($count as SalesCount)
```

results in

```
{
  "@odata.context": "$metadata#Sales(SalesCount)",
  "value": [
    { "@odata.id": null, "SalesCount": 8 }
  ]
}
```

3.2 Transformation topcount

The `topcount` transformation takes two parameters.

The first parameter specifies the number of instances to return in the transformed set. It **MUST** be an expression that can be evaluated on the set level and **MUST** result in a positive integer.

The second parameter specifies the value by which the instances are compared for determining the result set. It **MUST** be an expression that can be evaluated on instances of the input set and **MUST** result in a primitive numeric value.

The transformation retains the number of instances specified by the first parameter that have the highest values specified by the second expression.

In case the value of the second expression is ambiguous, the service **MUST** impose a stable ordering before determining the returned instances.

Example 16:

```
GET ~/Sales?$apply=topcount(2,Amount)
```

results in

```
{
  "@odata.context": "$metadata#Sales",
  "value": [
    { "ID": 3, "Amount": 4, ... },
    { "ID": 4, "Amount": 8, ... }
  ]
}
```

The result set of `topcount` has the same structure as the input set.

3.3 Transformation `topsum`

The `topsum` transformation takes two parameters.

The first parameter indirectly specifies the number of instances to return in the transformed set. It **MUST** be an expression that can be evaluated on the set level and **MUST** result in a number.

The second parameter specifies the value by which the instances are compared for determining the result set. It **MUST** be an expression that can be evaluated on instances of the input set and **MUST** result in a primitive numeric value.

The transformation returns the minimum set of instances that have the highest values specified by the second parameter and whose sum of these values is equal to or greater than the value specified by the first parameter. It does not change the order of the instances in the input set.

In case the value of the second expression is ambiguous, the service **MUST** impose a stable ordering before determining the returned instances.

Example 17:

```
GET ~/Sales?$apply=topsum(15,Amount)
```

results in

```
{
  "@odata.context": "$metadata#Sales",
  "value": [
    { "ID": 3, "Amount": 4, ... },
    { "ID": 4, "Amount": 8, ... },
    { "ID": 5, "Amount": 4, ... }
  ]
}
```

The result set of `topsum` has the same structure as the input set.

3.4 Transformation `toppercent`

The `toppercent` transformation takes two parameters.

The first parameter specifies the number of instances to return in the transformed set. It MUST be an expression that can be evaluated on the set level and MUST result in a positive number less than or equal to 100.

The second parameter specifies the value by which the instances are compared for determining the result set. It MUST be an expression that can be evaluated on instances of the input set and MUST result in a primitive numeric value.

The transformation returns the minimum set of instances that have the highest values specified by the second parameter and whose cumulative total is equal to or greater than the percentage of the cumulative total of all instances in the input set specified by the first parameter. It does not change the order of the instances in the input set.

In case the value of the second expression is ambiguous, the service MUST impose a stable ordering before determining the returned instances.

Example 18:

```
GET ~/Sales?$apply=toppercent(50,Amount)
```

results in

```
{
  "@odata.context": "$metadata#Sales",
  "value": [
    { "ID": 3, "Amount": 4, ... },
    { "ID": 4, "Amount": 8, ... }
  ]
}
```

The result set of `toppercent` has the same structure as the input set.

3.5 Transformation `bottomcount`

The `bottomcount` transformation takes two parameters.

The first parameter specifies the number of instances to return in the transformed set. It MUST be an expression that can be evaluated on the set level and MUST result in a positive integer.

The second parameter specifies the value by which the instances are compared for determining the result set. It MUST be an expression that can be evaluated on instances of the input set and MUST result in a primitive numeric value.

The transformation retains the number of instances specified by the first parameter that have the lowest values specified by the second parameter. It does not change the order of the instances in the input set.

In case the value of the second expression is ambiguous, the service MUST impose a stable ordering before determining the returned instances.

Example 19:

```
GET ~/Sales?$apply=bottomcount(2,Amount)
```

results in

```
{
  "@odata.context": "$metadata#Sales"
  "value": [
    { "ID": 1, "Amount": 1, ... },
    { "ID": 7, "Amount": 1, ... }
  ]
}
```

The result set of `bottomcount` has the same structure as the input set.

3.6 Transformation `bottomsum`

The `bottomsum` transformation takes two parameters.

The first parameter indirectly specifies the number of instances to return in the transformed set. It **MUST** be an expression that can be evaluated on the set level and **MUST** result in a number.

The second parameter specifies the value by which the instances are compared for determining the result set. It **MUST** be an expression that can be evaluated on instances of the input set and **MUST** result in a primitive numeric value.

The transformation returns the minimum set of instances that have the lowest values specified by the second parameter and whose sum of these values is equal to or greater than the value specified by the first parameter. It does not change the order of the instances in the input set.

In case the value of the second expression is ambiguous, the service **MUST** impose a stable ordering before determining the returned instances.

Example 20:

```
GET ~/Sales?$apply=bottomsum(7,Amount)
```

results in

```
{
  "@odata.context": "$metadata#Sales",
  "value": [
    { "ID": 2, "Amount": 2, ... },
    { "ID": 6, "Amount": 2, ... },
    { "ID": 7, "Amount": 1, ... },
    { "ID": 8, "Amount": 2, ... }
  ]
}
```

The result set of `bottomsum` has the same structure as the input set.

3.7 Transformation `bottompercent`

The `bottompercent` transformation takes two parameters.

The first parameter indirectly specifies the number of instances to return in the transformed set. It **MUST** be an expression that can be evaluated on the set level and **MUST** result in a positive number less than or equal to 100.

The second parameter specifies the value by which the instances are compared for determining the result set. It **MUST** be an expression that can be evaluated on instances of the input set and **MUST** result in a primitive numeric value.

The transformation returns the minimum set of instances that have the lowest values specified by the second parameter and whose cumulative total is equal to or greater than the percentage of the cumulative total of all instances in the input set specified by the first parameter. It does not change the order of the instances in the input set.

In case the value of the second expression is ambiguous, the service **MUST** impose a stable ordering before determining the returned instances.

Example 21:

```
GET ~/Sales?$apply=bottompercent(50,Amount)
```

results in

```
{
  "@odata.context": "$metadata#Sales",
```

```

"value": [
  { "ID": 1, "Amount": 1, ... },
  { "ID": 2, "Amount": 2, ... },
  { "ID": 5, "Amount": 4, ... },
  { "ID": 6, "Amount": 2, ... },
  { "ID": 7, "Amount": 1, ... },
  { "ID": 8, "Amount": 2, ... }
]
}

```

The result set of `bottompercent` has the same structure as the input set.

3.8 Transformation `identity`

The `identity` transformation returns its input set.

Example 22:

```
GET ~/Sales?$apply=identity
```

3.9 Transformation `concat`

The `concat` transformation takes two or more parameters, each of which is a sequence of set transformations.

It applies each transformation sequence to the input set and concatenates the intermediate result sets in the order of the parameters into the result set, preserving the ordering of the individual result sets as well as the structure of each result instance, potentially leading to an inhomogeneously structured result set.

Example 23:

```
GET ~/Sales?$apply=concat(topcount(2,Amount),
                           aggregate(Amount))
```

results in

```

{
  "@odata.context": "$metadata#Sales",
  "value": [
    { "ID": 4, "Amount": 8, ... },
    { "ID": 3, "Amount": 4, ... },
    { "@odata.context": "$metadata#Sales(Amount)/$entity", "Amount": 24 }
  ]
}

```

Note that two Sales entities with the second highest amount 4 exist in the input set; the entity with ID 3 is included in the result, because the service chose to use the ID property for imposing a stable ordering.

The result set of `concat` has a mixed form consisting of the structures imposed by the two transformation sequences.

3.10 Transformation `groupby`

The `groupby` transformation takes one or two parameters and

1. Splits the initial set into subsets where all instances in a subset have the same values for the grouping properties specified in the first parameter,
2. Applies set transformations to each subset according to the second parameter, resulting in a new set of potentially different structure and cardinality,
3. Ensures that the instances in the result set contain all grouping properties with the correct values for the group,
4. Concatenates the intermediate result sets into one result set.

3.10.1 Simple Grouping

In its simplest form the first parameter of `groupby` specifies the *grouping properties*, a comma-separated list of one or more single-valued property paths (paths ending in a single-valued primitive, complex, or navigation property) that is enclosed in parentheses. The same property path SHOULD NOT appear more than once; redundant property paths MAY be considered valid, but MUST NOT alter the meaning of the request. If the property path leads to a single-valued navigation property, this means grouping by the entity-id of the related entities.

The optional second parameter is a list of set transformations, separated by forward slashes to express that they are consecutively applied. Transformations may take into account the grouping properties for producing their result, e.g. `aggregate` removes properties that are used neither for grouping nor for aggregation.

If the service is unable to group by same values for any of the specified properties, it MUST reject the request with an error response. It MUST NOT apply any implicit rules to group instances indirectly by another property related to it in some way.

Example 24:

```
GET ~/Sales?$apply=groupby((Customer/Country,Product/Name),
                           aggregate(Amount with sum as Total))
```

results in

```
{
  "@odata.context": "$metadata#Sales(Customer(Country),Product(Name),Total)",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Product": { "Name": "Paper" }, "Total": 3 },
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Product": { "Name": "Sugar" }, "Total": 2 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Product": { "Name": "Coffee" }, "Total": 12 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Product": { "Name": "Paper" }, "Total": 5 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Product": { "Name": "Sugar" }, "Total": 2 }
  ]
}
```

The second parameter can be omitted to request distinct value combinations of the grouping properties.

Example 25:

```
GET ~/Sales?$apply=groupby((Product/Name,Amount))
```

and result in

```
{
  "@odata.context": "$metadata#Sales(Product(Name),Amount)",
  "value": [
    { "@odata.id": null, "Product": { "Name": "Coffee" }, "Amount": 4 },
    { "@odata.id": null, "Product": { "Name": "Coffee" }, "Amount": 8 },
    { "@odata.id": null, "Product": { "Name": "Paper" }, "Amount": 1 },
    { "@odata.id": null, "Product": { "Name": "Paper" }, "Amount": 2 },
    { "@odata.id": null, "Product": { "Name": "Paper" }, "Amount": 4 },
    { "@odata.id": null, "Product": { "Name": "Sugar" }, "Amount": 2 }
  ]
}
```

Note that the result has the same structure, but not the same content as

```
GET ~/Sales?$expand=Product($select=Name)&$select=Amount
```

A `groupby` transformation affects the structure of the result set similar to `$select` where each grouping property corresponds to an item in a `$select` clause. Grouping properties that specify navigation properties are automatically expanded, and the specified properties of that navigation property correspond to properties specified in a `$select` `expand` option on the expanded navigation property. The set transformations specified in the second parameter of `groupby` further affect the structure as described for each transformation; for example, the `aggregate` transformation adds properties for each aggregate expression.

3.10.2 Grouping with `rollup` and `$all`

The `rollup` grouping operator allows requesting additional levels of aggregation in addition to the most granular level defined by the grouping properties. It can be used instead of a property path in the first parameter of `groupby`.

The `rollup` grouping operator has two overloads, depending on the number of parameters.

If used with one parameter, the parameter MUST be the value of the `Qualifier` attribute of an annotation with term `LeveledHierarchy` prefixed with the navigation path leading to the annotated entity type. This named hierarchy is used for grouping instances.

If used with two or more parameters, it defines an unnamed leveled hierarchy. The first parameter is the root of the hierarchy defining the coarsest granularity and MUST either be a single-valued property path or the virtual property `$all`. The other parameters MUST be single-valued property paths and define consecutively finer-grained levels of the hierarchy. This unnamed hierarchy is used for grouping instances.

After resolving named hierarchies, the same property path MUST NOT appear more than once.

Grouping with `rollup` is processed for leveled hierarchies using the following equivalence relationships, in which p_i is a property path, T is a transformation, the ellipsis stands in for zero or more property paths, and R stands in for zero or more `rollup` operators or property paths:

- `groupby((rollup(p_1, \dots, p_{n-1}, p_n), R), T)` is equivalent to `concat(groupby(($p_1, \dots, p_{n-1}, p_n, R$), T), groupby((rollup(p_1, \dots, p_{n-1}), R), T))`
- `groupby((rollup(p_1, p_2), R), T)` is equivalent to `concat(groupby((p_1, p_2, R), T), groupby((p_1, R), T))`
- `groupby((rollup($all, p_1), R), T)` is equivalent to `concat(groupby((p_1, R), T), groupby((R), T))`
- `groupby((rollup($all, p_1)), T)` is equivalent to `concat(groupby((p_1), T), T)`

Loosely speaking `groupby` with `rollup` splits the input set into groups using all grouping properties, then removes the last property from one of the hierarchies and splits it again using the remaining grouping properties. This is repeated until all of the hierarchies have been used up.

Example 26: rolling up two hierarchies, the first with two levels, the second with three levels:

```
(rollup( $p_{1,1}, p_{1,2}$ ), rollup( $p_{2,1}, p_{2,2}, p_{2,3}$ ))
```

will result in the six groupings

```
( $p_{1,1}, p_{1,2}, p_{2,1}, p_{2,2}, p_{2,3}$ )
( $p_{1,1}, p_{1,2}, p_{2,1}, p_{2,2}$ )
( $p_{1,1}, p_{1,2}, p_{2,1}$ )
( $p_{1,1}, p_{2,1}, p_{2,2}, p_{2,3}$ )
( $p_{1,1}, p_{2,1}, p_{2,2}$ )
( $p_{1,1}, p_{2,1}$ )
```

Note that `rollup` stops one level earlier than `GROUP BY ROLLUP` in TSQL, see [TSQL ROLLUP], unless the virtual property `$all` is used as the hierarchy root level. Loosely speaking the root level is never rolled up.

Ordering of rollup instances within detail instances is up to the service if no `$orderby` is given, otherwise at the position determined by `$orderby`.

Example 27: answering the second question in section 2.4

```
GET ~/Sales?$apply=groupby((rollup(Customer/Country, Customer/Name),
                             rollup(Product/Category/Name, Product/Name),
                             Currency/Code),
                           aggregate(Amount with sum as Total))
```

results in seven entities for the finest grouping level

```
{
  "@odata.context": "$metadata#Sales(Customer(Country, Name), Product(Category(Name), Name), Total, Currency(Code))",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "USA", "Name": "Joe" },
      "Product": { "Category": { "Name": "Non-Food" }, "Name": "Paper" },
      "Total": 1, "Currency": { "Code": "USD" }
    },
    ...
  ]
}
```

plus additional fifteen rollup entities for subtotals: five without customer name

```
{ "@odata.id": null, "Customer": { "Country": "USA" },
  "Product": { "Category": { "Name": "Food" }, "Name": "Sugar" },
  "Total": 2, "Currency": { "Code": "USD" }
},
...
```

six without product name

```
{ "@odata.id": null, "Customer": { "Country": "USA", "Name": "Joe" },
  "Product": { "Category": { "Name": "Food" } },
  "Total": 6, "Currency": { "Code": "USD" }
},
...
```

and four with neither customer nor product name

```
{ "@odata.id": null, "Customer": { "Country": "USA" },
  "Product": { "Category": { "Name": "Food" } },
  "Total": 14, "Currency": { "Code": "USD" }
},
...
]
}
```

Note that the absence of one or more properties of the result structure imposed by the surrounding OData context allows distinguishing rollup entities from other entities.

3.11 Transformation `filter`

The `filter` transformation takes a Boolean expression that could also be passed as a `$filter` system query option to its input set and returns all instances for which this expression evaluates to `true`.

Example 28:

```
GET ~/Sales?$apply=filter(Amount gt 3)
```

results in

```
{
  "@odata.context": "$metadata#Sales",

```



```

"value": [
  { "ID": 3, "Amount": 4, ... },
  { "ID": 4, "Amount": 8, ... },
  { "ID": 5, "Amount": 4, ... }
]
}

```

The result set of `filter` has the same structure as the input set.

3.12 Transformation `expand`

The `expand` transformation takes a navigation property path that could also be passed as a `$expand` system query option as its first parameter. The optional second parameter can be either a `filter` transformation that will be applied to the related entities or an `expand` transformation. An arbitrary number of `expand` transformations can be passed as additional parameters to achieve multi-level expansion.

The result set is the input set with the specified navigation property expanded according to the specified `expand` options.

Example 29:

```
GET ~/Customers?$apply=expand(Sales,filter(Amount gt 3))
```

results in

```

{
  "@odata.context": "$metadata#Customers",
  "value": [
    { "ID": "C1", "Name": "Joe", "Country": "USA",
      "Sales": [{ "ID": 3, "Amount": 4, ... }] },
    { "ID": "C2", "Name": "Sue", "Country": "USA",
      "Sales": [{ "ID": 4, "Amount": 8, ... },
                 { "ID": 5, "Amount": 4, ... }] },
    { "ID": "C3", "Name": "Sue", "Country": "Netherlands", "Sales": [] },
    { "ID": "C4", "Name": "Luc", "Country": "France", "Sales": [] }
  ]
}

```

The result has the same structure and content as

```
GET ~/Customers?$expand=Sales($filter=Amount gt 3)
```

An `expand` transformation affects the structure of the result set in the same way as an `$expand` option for the first parameter, with nested `$expand` options for the optional nested `expand` transformations.

Example 30: nested `expand` transformations

```
GET ~/Categories?$apply=expand(Products,expand(Sales,filter(Amount gt 3)))
```

results in

```

{
  "@odata.context": "$metadata#Customers",
  "value": [
    { "ID": "PG1", "Name": "Food",
      "Products": [
        { "ID": "P1", "Name": "Sugar", "Color": "White", "Sales": [] },
        { "ID": "P2", "Name": "Coffee", "Color": "Brown",
          "Sales": [ { "ID": 3, "Amount": 4, ... },
                     { "ID": 4, "Amount": 8, ... } ] }
      ]
    },
    { "ID": "PG2", "Name": "Non-Food",

```

```

    "Products": [
      { "ID": "P3", "Name": "Paper", "Color": "White",
        "Sales": [ { "ID": 5, "Amount": 4, ... },
                   { "ID": 8, "Amount": 2, ... } ] },
      { "ID": "P4", "Name": "Pencil", "Color": "Black", "Sales": [] }
    ]
  }
}

```

3.13 Transformation search

The `search` transformation takes a search expression that could also be passed as a `$search` system query option to its input set and returns all entities that match this search expression.

Example 31: assuming that free-text search on `Sales` takes the related product name into account,

```
GET ~/Sales?$apply=search(coffee)
```

results in

```

{
  "@odata.context": "$metadata#Sales",
  "value": [
    { "ID": 3, "Amount": 4, ... },
    { "ID": 4, "Amount": 8, ... }
  ]
}

```

The result set of `search` has the same structure as the input set.

3.14 Transformation compute

The `compute` transformation takes a comma-separated list of one or more *compute expressions* as parameters.

A compute expression is an expression valid in a `$filter` system query option on the input set that results in a simple value, followed by the `as` keyword, followed by a SimpleIdentifier (see [OData-CSDL, section 17.2]), called an alias. This alias MUST NOT collide with names of properties in the input set or with other aliases introduced in the same `compute` transformation.

The result set is constructed by copying the instances of the input set and adding one dynamic property per compute expression to each instance of the input set. The name of the added property is the alias following the `as` keyword. The value of the property is the value of the compute expression evaluated on that instance. The type of the property is determined by the rules for evaluating `$filter` expressions and numeric promotion defined in [OData-URL]. The JSON representation of these dynamic properties will include `odata.type` annotations where required by [OData-JSON].

The values of properties copied from the input set are not changed, nor is the order of instances changed.

Example 32:

```
GET ~/Sales?$apply=compute(Amount mul Product/TaxRate as Tax)
```

results in

```

{
  "@odata.context": "$metadata#Sales",
  "value": [
    { "ID": 1, ..., "Amount": 1, "Tax": 0.14 },
    { "ID": 2, ..., "Amount": 2, "Tax": 0.12 },
    { "ID": 3, ..., "Amount": 4, "Tax": 0.24 },
    { "ID": 4, ..., "Amount": 8, "Tax": 0.48 },
  ]
}

```

```

    { "ID": 5, ..., "Amount": 4, "Tax": 0.56 },
    { "ID": 6, ..., "Amount": 2, "Tax": 0.12 },
    { "ID": 7, ..., "Amount": 1, "Tax": 0.14 },
    { "ID": 8, ..., "Amount": 2, "Tax": 0.28 }
  ]
}

```

3.15 Filter Function `isdefined`

Properties that are not explicitly mentioned in `aggregate` or `groupby` are considered to have been *aggregated away* and are treated as having the null value in `$filter` expressions.

The filter function `isdefined` can be used to determine whether a property has been aggregated away. It takes a single-valued property path as its only parameter and returns `true` if the property has a defined value for the aggregated entity. A property with a defined value can still have the null value; it can represent a grouping of null values, or an aggregation that results in a null value.

Example 33: Product has been aggregated away, causing an empty result

```

GET ~/Sales?$apply=aggregate(Amount with sum as Total)
    &$filter=isdefined(Product)

```

results in

```

{
  "@odata.context": "$metadata#Sales(Total)",
  "value": []
}

```

3.16 Evaluating `$apply`

The new system query option `$apply` is evaluated first, then the other system query options are evaluated, if applicable, on the result of `$apply` in their normal order (see **[OData-Protocol, section 11.2.1]**). If the result is a collection, `$filter`, `$orderby`, `$expand` and `$select` work as usual on properties that are defined on the output set after evaluating `$apply`.

Properties that have been aggregated away in a result entity are not represented, even if the properties are listed in `$select` or `$expand`. In `$filter` they are treated as having the null value, and in `$orderby` as having a value that is even lower than null, i.e. instances for which a property has been rolled up appear before instances that have a null value for that property when ordering ascending.

On resource paths ending in `/$count` the system query option `$apply` is evaluated on the set identified by the resource path without the `/$count` segment, the result is the plain-text number of items in the result of `$apply`. This is similar to the combination of `/$count` and `$filter`.

The `$count` system query option is evaluated after `$apply`, the annotation `@odata.count` contains the number of items in the result of `$apply`.

Providers MAY support `$count`, `$top` and `$skip` together with `rollup`, in which case rollup instances are counted identically to detail instances, i.e. `$skip=5` skips the first five instances, independently of whether some of them are rollup entities.

If a provider cannot satisfy a request using `$apply`, it MUST respond with 501 Not Implemented and a human-readable error message.

3.17 Evaluating `$apply` as an Expand Option

The new system query option `$apply` can be used as an expand option to inline the result of aggregating related entities. The rules for `evaluating $apply` are applied in the context of the expanded navigation, i.e. `$apply` is evaluated first, and other expand options on the same navigation property are evaluated on the result of `$apply`.

Example 34: products with aggregated sales:

```
GET Products?$expand=Sales($apply=aggregate(Amount with sum as Total))
```

results in

```
{
  "@odata.context": "$metadata#Products(Salees(Amount))",
  "value": [
    { "Name": "Coffee", "Color": "Brown", "TaxRate": 0.06,
      "Sales": [ { "@odata.id": null, "Total": 12 } ] },
    { "Name": "Paper", "Color": "White", "TaxRate": 0.14,
      "Sales": [ { "@odata.id": null, "Total": 8 } ] },
    { "Name": "Pencil", "Color": "Black", "TaxRate": 0.14,
      "Sales": [ { "@odata.id": null, "Total": null } ] },
    { "Name": "Sugar", "Color": "White", "TaxRate": 0.06,
      "Sales": [ { "@odata.id": null, "Total": 4 } ] }
  ]
}
```

3.18 ABNF for Extended URL Conventions

The normative ABNF construction rules for this specification are defined in **[OData-Agg-ABNF]**. They incrementally extend the rules defined in **[OData-ABNF]**.

4 Representation of Aggregated Instances

Aggregated instances are based on the structure of the individual instances from which they have been calculated, so the structure of the results fits into the data model of the service.

Properties that have been aggregated away are not represented at all in the aggregated instances.

Dynamic properties introduced through an [alias](#) or with custom aggregates are represented as defined by the response format.

Aggregated instances are logically instances of the declared type of the collection identified by the resource path of the request. If the resource path identifies a collection of entities, the aggregated instances are also entities. These aggregated entities can be transient or persistent. Transient entities don't possess an edit link or read link, and in the JSON representation are marked with "`@odata.id`": `null`, see **[OData-JSON]**. Edit links or read links of persistent entities **MUST** encode the necessary information to re-retrieve that particular aggregate value. How the necessary information is exactly encoded is not part of this specification. Only the boundary conditions defined in **[OData-Protocol]**, sections 4.1 and 4.2 **MUST** be met.

Example 35: looking again to the sample request for getting sales amounts per product and country presented in section 3.10.1 (Example 24):

```
GET ~/Sales?$apply=groupby((Customer/Country,Product/Name),
                           aggregate(Amount with sum as Total))
```

will return corresponding metadata as shown here for a single transient aggregated entity:

```
{
  "@odata.context": "$metadata#Sales(Customer(Country),Product(Name),Total)",
  "value": [
    { "@odata.id": null,
      "Customer": { "Country": "Netherlands" },
      "Product": { "Name": "Paper" },
      "Total": 3
    },
    ...
  ]
}
```

5 Cross-Joins and Aggregation

OData supports querying related entities through defining navigation properties in the data model. These navigation paths help guide simple consumers in understanding and navigating relationships.

In some cases, however, requests need to span entity sets with no predefined associations. Such requests can be sent to the special resource `$crossjoin` instead of an individual entity set. The cross join of a list of entity sets is the Cartesian product of the listed entity sets, represented as a collection of complex type instances that have a navigation property with cardinality to-one for each participating entity set, and queries across entity sets can be formulated using these navigation properties. See [OData-URL] for details.

Where useful navigations exist it is beneficial to expose those as explicit navigation properties in the model, but the ability to pose queries that span entity sets not related by an association provides a mechanism for advanced consumers to use more flexible join conditions.

Example 36: if Sales had a string property ProductID instead of the navigation property Product, a "join" between Sales and Products could be accessed via the `$crossjoin` resource

```
GET ~/ $crossjoin(Products,Sales)
    ?$expand=Products($select=Name),Sales($select=Amount)
    &$filter=Products/ID eq Sales/ProductID
```

results in

```
{
  "@odata.context": "$metadata#Collection(Edm.ComplexType)",
  "value": [
    { "Products": { "Name": "Paper" }, "Sales": { "Amount": 1 } },
    { "Products": { "Name": "Sugar" }, "Sales": { "Amount": 2 } },
    ...
  ]
}
```

Example 37: using the `$crossjoin` resource for aggregate queries

```
GET ~/ $crossjoin(Products,Sales)
    ?$apply=filter(Products/ID eq Sales/ProductID)
    /groupby((Products/Name),
    aggregate(Sales(Amount with sum as Total)))
```

results in

```
{
  "@odata.context": "$metadata#Collection(Edm.ComplexType)",
  "value": [
    { "Products": { "Name": "Coffee" }, "Sales": { "Total": 12 } },
    { "Products": { "Name": "Paper" }, "Sales": { "Total": 8 } },
    { "Products": { "Name": "Sugar" }, "Sales": { "Total": 4 } }
  ]
}
```

The entity container may be annotated in the same way as entity sets to express which aggregate queries are supported, see section 6.

6 Vocabulary for Data Aggregation

The following terms are defined in the vocabulary for data aggregation [OData-VocAggr].

6.1 Aggregation Capabilities

The term `ApplySupported` can be applied to an entity container or to structured types and describes the aggregation capabilities of the entity container or of collections of instances of the annotated structured types. If present, it implies that instances of the annotated structured type, or of structured types used in the annotated entity container, can contain dynamic properties as an effect of `$apply` even if they do not specify the `OpenType` attribute, see [OData-CSDL]. The term has a complex type with the following properties:

- The `Transformations` collection lists all supported set transformations. Allowed values are the names of the standard transformations `aggregate`, `topcount`, `topsum`, `toppercent`, `bottomcount`, `bottomsum`, `bottompercent`, `identity`, `concat`, `groupby`, `filter`, and `expand`, or a namespace-qualified name identifying a service-defined bindable function. If `Transformations` is omitted the server supports all transformations defined by this specification.
- The `CustomAggregationMethods` collection lists supported custom aggregation methods. Allowed values are namespace-qualified names identifying service-specific aggregation methods. If omitted, no custom aggregation methods are supported.
- `Rollup` specifies whether the service supports no rollup, only a single rollup hierarchy, or multiple rollup hierarchies in a `groupby` transformation. If omitted, multiple rollup hierarchies are supported.
- `PropertyRestrictions` specifies whether all properties can be used in `groupby` and `aggregate`. If not specified, or specified with a value of `false`, all properties can be grouped and aggregated. If specified with a value of `true` clients have to check which properties are tagged as `Groupable` or `Aggregatable`.

All properties of `ApplySupported` are optional, so it can be used as a tagging annotation to signal unlimited support of aggregation.

Example 38: an entity container supporting everything defined in this specification.

```
<EntityContainer Name="SalesData">
  <Annotation Term="Aggregation.ApplySupported" />
  ...
</EntityContainer>
```

6.2 Property Annotations

6.2.1 Groupable Properties

If a structured type is annotated with `ApplySupported` or used within an entity container that is annotated with `ApplySupported`, and the `ApplySupported` annotation has a value of `true` for `PropertyRestrictions`, only those properties that are annotated with the tagging term `Groupable` can be used in `groupby`.

6.2.2 Aggregatable Properties

If a structured type is annotated with `ApplySupported` or used within an entity container that is annotated with `ApplySupported`, and the `ApplySupported` annotation has a value of `true` for

PropertyRestrictions, only those properties that are annotated with the tagging term `Aggregatable` can be used in `aggregate`.

6.2.3 Custom Aggregates

The term `CustomAggregate` allows defining dynamic properties that can be used in `aggregate`. No assumptions can be made on how the values of these custom aggregates are calculated, and which input values are used.

When applied to a structured type, the annotation specifies custom aggregates that are available for collections of instances of that structured type. When applied to an entity container, the annotation specifies custom aggregates whose input set may span multiple entity sets within the container.

A custom aggregate is identified by the value of the `Qualifier` attribute when applying the term. The value of the `Qualifier` attribute is the name of the dynamic property. The name **MUST NOT** collide with the names of other custom aggregates of the same model element.

The value of the annotation is a string with the qualified name of a primitive type or type definition in scope that specifies the type returned by the custom aggregate.

If the custom aggregate is associated with a structured type, the value of the `Qualifier` attribute **MAY** be identical to the name of a declared property of the structured type. In this case, the value of the annotation **MUST** have the same value as the `Type` attribute of the declared property. This is typically done when the custom aggregate is used as a default aggregate for that property. In this case, the name refers to the custom aggregate within an aggregate expression without a `with` clause, and to the property in all other cases.

If the custom aggregate is associated with an entity container, the value of the `Qualifier` attribute **MUST NOT** collide with the names of any entity sets defined in the entity container.

Example 39: Sales forecasts are modeled as a custom aggregate of the Sales entity type because it belongs there. For the budget, there is no appropriate structured type, so it is modeled as a custom aggregate of the SalesData entity container.

```
<Annotations Target="SalesModel.Sales">
  <Annotation Term="Aggregation.CustomAggregate" Qualifier="Forecast"
    String="Edm.Decimal" />
</Annotations>

<Annotations Target="SalesModel.SalesData">
  <Annotation Term="Aggregation.CustomAggregate" Qualifier="Budget"
    String="Edm.Decimal" />
</Annotations>
```

These custom aggregates can be used in the aggregate transformation:

```
GET ~/Sales?$apply=groupby((Time/Month), aggregate(Forecast))
```

and:

```
GET ~/$crossjoin(Time)?$apply=groupby((Time/Year), aggregate(Budget))
```

6.2.4 Context-Defining Properties

Sometimes the value of a property or custom aggregate is only well-defined within the context given by values of other properties, e.g. a postal code together with its country, or a monetary amount together with its currency unit. These context-defining properties can be listed with the term `ContextDefiningProperties` whose type is a collection of property paths.

If present, the context-defining properties **SHOULD** be used as grouping properties when aggregating the annotated property or custom aggregate, or alternatively be restricted to a single value by a pre-filter operation. Services **MAY** respond with `400 Bad Request` if the context-defining properties are not sufficiently specified for calculating a meaningful aggregate value.

6.2.5 Example

Example 40: This simplified *Sales* entity type has a single aggregatable property *Amount* whose context is defined by the *Code* property of the related *Currency*, and a custom aggregate *Forecast* with the same context. The *Code* property of *Currency* is groupable. All other properties are neither groupable nor aggregatable.

```
<EntityType Name="Currency">
  <Key>
    <PropertyRef Name="Code" />
  </Key>
  <Property Name="Code" Type="Edm.String">
    <Annotation Term="Aggregation.Groupable" />
  </Property>
  <Property Name="Name" Type="Edm.String">
    <Annotation Term="Core.IsLanguageDependent" />
  </Property>
</EntityType>

<EntityType Name="Sales">
  <Key>
    <PropertyRef Name="ID" />
  </Key>
  <Property Name="ID" Type="Edm.String" Nullable="false" />

  <Property Name="Amount" Type="Edm.Decimal" Scale="variable">
    <Annotation Term="Aggregation.Aggregatable" />
    <Annotation Term="Aggregation.ContextDefiningProperties">
      <Collection>
        <PropertyPath>Currency/Code</PropertyPath>
      </Collection>
    </Annotation>
  </Property>

  <NavigationProperty Name="Currency" Type="SalesModel.Currency"
    Nullable="false">
    <Annotation Term="Aggregation.Groupable" />
  </NavigationProperty>

  <Annotation Term="Aggregation.CustomAggregate" Qualifier="Forecast"
    String="Edm.Decimal">
    <Annotation Term="Aggregation.ContextDefiningProperties">
      <Collection>
        <PropertyPath>Currency/Code</PropertyPath>
      </Collection>
    </Annotation>
  </Annotation>
</EntityType>

<EntityContainer Name="SalesData">
  <Annotation Term="Aggregation.ApplySupported">
    <Record>
      <PropertyValue Property="PropertyRestrictions" Bool="true" />
    </Record>
  </Annotation>

  <EntitySet Name="Sales" EntityType="SalesModel.Sales" />
  <EntitySet Name="Currencies" EntityType="SalesModel.Currency" />
</EntityContainer>
```

6.3 Hierarchies

A hierarchy is an arrangement of groupable properties whose values are represented as being “above”, “below”, or “at the same level as” one another. A hierarchy can be *leveled* or *recursive*.

6.3.1 Leveled Hierarchy

A *leveled hierarchy* has a fixed number of levels each of which is represented by a groupable property. The values of a lower-level property depend on the property value of the level above.

A leveled hierarchy of an entity type is described with the term `LeveledHierarchy` that lists the properties used to form the hierarchy.

The order of the collection is significant: it lists the properties representing the levels, starting with the root level (coarsest granularity) down to the lowest level of the hierarchy.

The term `LeveledHierarchy` can only be applied to entity types, and the applying `Annotation` element MUST specify the `Qualifier` attribute. The value of the `Qualifier` attribute can be used to reference the hierarchy in [grouping with rollup](#).

6.3.2 Recursive Hierarchy

A *recursive hierarchy* organizes the values of a single groupable property as nodes of a tree structure. This structure does not need to be as uniform as a leveled hierarchy. It is described by a complex term `RecursiveHierarchy` with the properties:

- The `NodeProperty` contains the path to the identifier of the node.
- The `ParentNavigationProperty` allows navigation to the entity representing the parent node.
- The optional `DistanceFromRootProperty` contains the path to a property that contains the number of edges between the node and the root node.
- The optional `IsLeafProperty` contains the path to a Boolean property that indicates whether the node is a leaf of the hierarchy.

The term `RecursiveHierarchy` can only be applied to entity types, and the applying `Annotation` element MUST specify the `Qualifier` attribute. The value of the `Qualifier` attribute can be used to reference the hierarchy in [Hierarchy Filter Functions](#).

6.3.2.1 Hierarchy Filter Functions

For testing the position of a given entity instance in a recursive hierarchy annotated to the entity's type, the Aggregation vocabulary defines functions that can be applied to any entity in `$filter` expressions:

- `isroot` returns true if and only if the value of the node property of the specified hierarchy is the root of the hierarchy,
- `isdescendant` returns true if and only if the value of the node property of the specified hierarchy is a descendant of the given parent node with a distance of less than or equal to the optionally specified maximum distance,
- `isancestor` returns true if and only if the value of the node property of the specified hierarchy is an ancestor of the given child node with a distance of less than or equal to the optionally specified maximum distance,
- `issibling` returns true if and only if the value of the node property of the specified hierarchy has the same parent node as the specified node,
- `isleaf` returns true if and only if the value of the node property of the specified hierarchy has no descendants.

6.3.3 Examples

Example 41: leveled hierarchies for products and time, and a recursive hierarchy for the sales organizations

```
<edmx:Edmx xmlns:edmx="http://docs.oasis-open.org/odata/ns/edmx"
  Version="4.0">
  <edmx:Reference Uri="http://docs.oasis-open.org/odata/odata-data-aggregation-
ext/v4.0/cs01/vocabularies/Org.OData.Aggregation.V1.xml">
  <edmx:Include Alias="Aggregation"
    Namespace="Org.OData.Aggregation.V1" />
  </edmx:Reference>
```

```

<edmx:DataServices>
  <Schema xmlns="http://docs.oasis-open.org/odata/ns/edm"
    Alias="SalesModel" Namespace="org.example.odata.salestservice">
    <Annotations Target="SalesModel.Product">
      <Annotation Term="Aggregation.LeveledHierarchy"
        Qualifier="ProductHierarchy">
        <Collection>
          <PropertyPath>Category/Name</PropertyPath>
          <PropertyPath>Name</PropertyPath>
        </Collection>
      </Annotation>
    </Annotations>

    <Annotations Target="SalesModel.Time">
      <Annotation Term="Aggregation.LeveledHierarchy"
        Qualifier="TimeHierarchy">
        <Collection>
          <PropertyPath>Year</PropertyPath>
          <PropertyPath>Quarter</PropertyPath>
          <PropertyPath>Month</PropertyPath>
        </Collection>
      </Annotation>
    </Annotations>

    <Annotations Target="SalesModel.SalesOrganization">
      <Annotation Term="Aggregation.RecursiveHierarchy"
        Qualifier="SalesOrgHierarchy">
        <Record>
          <PropertyValue Property="NodeProperty"
            PropertyPath="ID" />
          <PropertyValue Property="ParentNavigationProperty"
            PropertyPath="Superordinate" />
        </Record>
      </Annotation>
    </Annotations>
  </Schema>
</edmx:DataServices>
</edmx:Edmx>

```

The recursive hierarchy *SalesOrgHierarchy* can be used in functions with the *\$filter* system query option.

Example 42: requesting all organizations below EMEA

```

GET ~/SalesOrganizations?
    $filter=
    $it/Aggregation.isdescendant(Hierarchy='SalesOrgHierarchy',Node='EMEA')

```

results in

```

{
  "@odata.context": "$metadata#SalesOrganizations",
  "value": [
    { "ID": "EMEA Central",      "Name": "EMEA Central" },
    { "ID": "Sales Netherland", "Name": "Sales Netherland" },
    { "ID": "Sales Germany",   "Name": "Sales Germany" },
    { "ID": "EMEA South",     "Name": "EMEA South" },
    ...
    { "ID": "EMEA North",     "Name": "EMEA North" },
    ...
  ]
}

```

Example 43: requesting just those organizations directly below EMEA

```
GET SalesOrganizations?$filter=
    $it/Aggregation.isdescendant(Hierarchy='SalesOrgHierarchy',
    Node='EMEA',MaxDistance=1)
```

results in

```
{
  "@odata.context": "$metadata#SalesOrganizations",
  "value": [
    { "ID": "EMEA Central", "Name": "EMEA Central" },
    { "ID": "EMEA South", "Name": "EMEA South" },
    { "ID": "EMEA North", "Name": "EMEA North" },
    ...
  ]
}
```

Example 44: just the lowest-level organizations

```
GET SalesOrganizations?$filter=
    $it/Aggregation.isleaf(Hierarchy='SalesOrgHierarchy')
```

results in

```
{
  "@odata.context": "$metadata#SalesOrganizations",
  "value": [
    { "ID": "Sales Office London", "Name": "Sales Office London" },
    { "ID": "Sales Office New York", "Name": "Sales Office New York" },
    ...
  ]
}
```

Example 45: the lowest-level organizations including their superordinate's ID

```
GET SalesOrganizations?$filter=
    $it/Aggregation.isleaf(Hierarchy='SalesOrgHierarchy')
    &$expand=Superordinate($select=ID)
```

results in

```
{
  "@odata.context": "$metadata#SalesOrganizations(*,Superordinate(ID))",
  "value": [
    { "ID": "Sales Office London", "Name": "Sales Office London",
      "Superordinate": { "ID": "EMEA United Kingdom" } },
    { "ID": "Sales Office New York", "Name": "Sales Office New York",
      "Superordinate": { "ID": "US East" } },
    ...
  ]
}
```

Example 46: retrieving the sales IDs involving sales organizations from EMEA can be requested by

```
GET Sales?$select=ID&$filter=
    SalesOrganization/Aggregation.isdescendant(Hierarchy='SalesOrgHierarchy',
    Node='EMEA')
```

results in

```
{
  "@odata.context": "$metadata#Sales(ID)",
```

```

"value": [
  { "ID": 6 },
  { "ID": 7 },
  { "ID": 8 }
]
}

```

6.4 Actions and Functions on Aggregated Entities

Bound actions and functions may or may not be applicable to aggregated entities. By default such bindings are not applicable to aggregated entities. Actions or functions annotated with the term `AvailableOnAggregates` are applicable to (a subset of the) aggregated entities under specific conditions:

- The `RequiredProperties` collection lists all properties that must be available in the aggregated entities; otherwise, the annotated function or action will be inapplicable.

Example 47: assume the product is an implicit input for a function bindable to Sales, then aggregating away the product makes this function inapplicable.

Calculating a set of aggregated entities and invoking an action on them cannot be accomplished with a single request, because the action URL cannot be constructed by the client. It is also impossible to construct a URL that calculates a single aggregated entity and applies a function or action on it. Consequently, applicable bound actions or functions on a single aggregated entity, or bound actions on a collection of aggregated entities MUST be advertised in the response to make them available to clients. A client is then able to request the aggregated entities in a first request and invoke the action or function in a follow-up request using the advertised target URL.

Example 48: full representation of an action applicable to a collection of aggregated entities, and an action that is applicable to one of the entities in the collection. The string `<properties in $apply>` is a stand-in for the list of properties describing the shape of the result set

```

{
  "@odata.context": "$metadata#Sales(<properties in $apply>)",
  "@odata.readLink": "http://.../aggregated-stuff2143248437259843",
  "#Model.ColAction": {
    "title": "Do something on this collection",
    "target": "http://.../aggregated-stuff2143248437259843/Model.ColAction"
  },
  "value": [
    {
      "@odata.id": "aggregated-stuff2143248437259843-1",
      "#Model.SingleAction": {
        "title": "Do something on this entity",
        "target":
          "http://.../aggregated-stuff2143248437259843-1/Model.SingleAction"
      },
      ...
    },
    ...
  ]
}

```

Services advertising the availability of functions or actions via the term `AvailableOnAggregates` MUST provide read links or edit links for aggregated entities, see section 4.

7 Examples

The following examples show some common aggregation-related questions that can be answered by combining the transformations defined in chapter 3.

7.1 Distinct Values

Grouping without specifying a set transformation returns the distinct combination of the grouping properties.

Example 49:

```
GET ~/Customers?$apply=groupby((Name))
```

results in

```
{
  "@odata.context": "$metadata#Customers(Name)",
  "value": [
    { "@odata.id": null, "Name": "Luc" },
    { "@odata.id": null, "Name": "Joe" },
    { "@odata.id": null, "Name": "Sue" }
  ]
}
```

Note that "Sue" appears only once although the customer base contains two different Sues.

Aggregation is also possible across related entities.

Example 50: customers that bought something

```
GET ~/Sales?$apply=groupby((Customer/Name))
```

results in

```
{
  "@odata.context": "$metadata#Sales(Customer(Name))",
  "value": [
    { "@odata.id": null, "Customer": { "Name": "Joe" } },
    { "@odata.id": null, "Customer": { "Name": "Sue" } }
  ]
}
```

The result has the same structure as a standard OData request that expands the navigation properties and selects the data properties specified in `groupby` and `aggregate`.

```
GET ~/Sales?$expand=Customer($select=Name)
```

Note that "Luc" does not appear in the aggregated result as he hasn't bought anything and therefore there are no sales entities that refer/navigate to Luc.

However, even though both Sues bought products, only one "Sue" appears in the aggregate result. Including properties that guarantee the right level of uniqueness in the grouping can repair that.

Example 51:

```
GET ~/Sales?$apply=groupby((Customer/Name, Customer/ID))
```

results in

```
{
  "@odata.context": "$metadata#Sales(Customer(Name, ID))",
```

```

"value": [
  { "@odata.id": null, "Customer": { "Name": "Joe", "ID": "C1" } },
  { "@odata.id": null, "Customer": { "Name": "Sue", "ID": "C2" } },
  { "@odata.id": null, "Customer": { "Name": "Sue", "ID": "C3" } }
]
}

```

This could also have been formulated as

```

GET ~/Sales?$apply=groupby((Customer))
    &$expand=Customer($select=Name,ID)

```

Grouping by a navigation property adds the deferred representation of the navigation property to the result structure, which then can be expanded and projected partially away using the standard query options `$expand` and `$select`.

Note: the typical representation of a deferred navigation property is a URL “relative” to the source entity, e.g. `~/Sales(1)/Customer`. This has the benefit that this URL doesn’t change if the sales entity would be associated to a different customer. For aggregated entities this would actually be a drawback, so the representation MUST be the canonical URL of the target entity, i.e. `~/Customers('C1')` for the first entity in the above result.

Example 52: the first question in the motivating example in section 2.4, which customers bought which products, can now be expressed as

```

GET ~Sales?$apply=groupby((Customer/Name, Customer/ID, Product/Name))

```

and results in

```

{
  "@odata.context": "$metadata#Sales(Customer(Name, ID), Product(Name))",
  "value": [
    { "@odata.id": null, "Customer": { "Name": "Joe", "ID": "C1" },
      "Product": { "Name": "Coffee" } },
    { "@odata.id": null, "Customer": { "Name": "Joe", "ID": "C1" },
      "Product": { "Name": "Paper" } },
    { "@odata.id": null, "Customer": { "Name": "Joe", "ID": "C1" },
      "Product": { "Name": "Sugar" } },
    { "@odata.id": null, "Customer": { "Name": "Sue", "ID": "C2" },
      "Product": { "Name": "Coffee" } },
    { "@odata.id": null, "Customer": { "Name": "Sue", "ID": "C2" },
      "Product": { "Name": "Paper" } },
    { "@odata.id": null, "Customer": { "Name": "Sue", "ID": "C3" },
      "Product": { "Name": "Paper" } },
    { "@odata.id": null, "Customer": { "Name": "Sue", "ID": "C3" },
      "Product": { "Name": "Sugar" } }
  ]
}

```

7.2 Aggregation Methods

The client may specify one of the predefined aggregation methods `min`, `max`, `sum`, `average`, and `countdistinct`, or a [custom aggregation method](#), to aggregate an [aggregatable property](#). Expressions defining an aggregate method MUST specify an [alias](#). The aggregated values are returned in a dynamic property whose name is determined by the alias.

Example 53:

```

GET ~/Products?$apply=groupby((Name),
    aggregate(Sales/Amount with sum as Total))

```

results in

```

{

```

```

"@odata.context": "$metadata#Products (Name, Sales (Total))",
"value": [
  { "@odata.id": null, "Name": "Coffee", "Sales": [ { "Total": 12 } ] },
  { "@odata.id": null, "Name": "Paper", "Sales": [ { "Total": 8 } ] },
  { "@odata.id": null, "Name": "Pencil", "Sales": [ { "Total": null } ] },
  { "@odata.id": null, "Name": "Sugar", "Sales": [ { "Total": 4 } ] }
]
}

```

Note that the base set of the request is *Products*, so there is a result item for product *Pencil* even though there are no sales item. As *aggregate* returns exactly one result item even if there are no items to be aggregated, the *Sales* navigation property's value is an array with one element representing the sum over no input values, which is *null*.

Example 54: careful observers will notice that the above amounts have been aggregated across currencies, which is semantically wrong. Yet it is the correct response to the question asked, so be careful what you ask for. The semantically meaningful question

```

GET ~/Products?$apply=groupby((Name, Sales/Currency/Code),
                              aggregate(Sales/Amount with sum as Total))

```

results in

```

{
  "@odata.context": "$metadata#Products (Name, Sales (Total, Currency (Code)))",
  "value": [
    { "@odata.id": null, "Name": "Coffee",
      "Sales": [ { "Total": 12, "Currency": { "Code": "USD" } } ] },
    { "@odata.id": null, "Name": "Paper",
      "Sales": [ { "Total": 3, "Currency": { "Code": "EUR" } },
                { "Total": 5, "Currency": { "Code": "USD" } } ] },
    { "@odata.id": null, "Name": "Pencil",
      "Sales": [] },
    { "@odata.id": null, "Name": "Sugar",
      "Sales": [ { "Total": 2, "Currency": { "Code": "EUR" } },
                { "Total": 2, "Currency": { "Code": "USD" } } ] }
  ]
}

```

Note that navigation properties are "expanded" in a left-outer-join fashion, starting from the target of the aggregation request, before grouping the entities for aggregation. Afterwards the results are "folded back" to match the cardinality of the navigation properties.

Example 55:

```

GET ~/Customers?$apply=groupby((Country, Sales/Product/Name))

```

returns the different products sold per country:

```

{
  "@odata.context": "$metadata#Customers (Country, Sales (Product (Name)))",
  "value": [
    { "@odata.id": null, "Country": "Netherlands",
      "Sales": [ { "Product": { "Name": "Paper" } },
                { "Product": { "Name": "Sugar" } } ] },
    { "@odata.id": null, "Country": "USA",
      "Sales": [ { "Product": { "Name": "Coffee" } },
                { "Product": { "Name": "Paper" } },
                { "Product": { "Name": "Sugar" } } ] }
  ]
}

```

Example 56:

```

GET ~/Sales?$apply=groupby((Customer/Country),

```



```
aggregate(Amount with average as AverageAmount))
```

results in

```
{
  "@odata.context": "$metadata#Sales(Customer(Country),AverageAmount)",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "AverageAmount": 1.6666667 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "AverageAmount": 3.8 }
  ]
}
```

If the example model would contain a list of hobbies per customer, with Hobbies a collection of strings, the number of different hobbies across the customer base could be requested. A navigation property followed by a `/ $count` segment is a valid expression in the context that declares the navigation property, so the result property is placed in the same context as the navigation property.

Example 57:

```
GET ~/Products?$apply=groupby((Name),aggregate(Sales/$count as SalesCount))
```

results in

```
{
  "@odata.context": "$metadata#Products(Name,SalesCount)",
  "value": [
    { "@odata.id": null, "Name": "Coffee", "SalesCount": 2 },
    { "@odata.id": null, "Name": "Paper", "SalesCount": 4 },
    { "@odata.id": null, "Name": "Pencil", "SalesCount": 0 },
    { "@odata.id": null, "Name": "Sugar", "SalesCount": 2 }
  ]
}
```

Note that this differs from the placement of an aggregated property in a related entity: the aggregated property has the same navigation path as the original value.

Example 58: the result properties for Sales/\$count and Sales(Amount...) are placed differently

```
GET ~/Products?$apply=groupby((Name),aggregate(Sales/$count as SalesCount,
Sales(Amount with sum as TotalAmount)))
```

results in

```
{
  "@odata.context": "$metadata#Products(Name,SalesCount,Sales(TotalAmount))",
  "value": [
    { "@odata.id": null, "Name": "Coffee", "SalesCount": 2,
      "Sales": [ { "TotalAmount": 12 } ] },
    { "@odata.id": null, "Name": "Paper", "SalesCount": 4,
      "Sales": [ { "TotalAmount": 8 } ] },
    { "@odata.id": null, "Name": "Pencil", "SalesCount": 0,
      "Sales": [ { "TotalAmount": null } ] },
    { "@odata.id": null, "Name": "Sugar", "SalesCount": 2,
      "Sales": [ { "TotalAmount": 4 } ] }
  ]
}
```

To place the number of instances in a group next to other aggregated values, the virtual property `$count` can be used:

Example 59: the result properties for Sales/\$count and Sales/Amount are placed differently

```
GET ~/Products?$apply=groupby((Name),aggregate(Sales($count as SalesCount),
```

```
Sales(Amount with sum as TotalAmount)))
```

results in

```
{
  "@odata.context": "$metadata#Products(Name,Sales(SalesCount,TotalAmount))",
  "value": [
    { "@odata.id": null, "Name": "Coffee",
      "Sales": [ { "SalesCount": 2, "TotalAmount": 12 } ] },
    { "@odata.id": null, "Name": "Paper",
      "Sales": [ { "SalesCount": 4, "TotalAmount": 8 } ] },
    { "@odata.id": null, "Name": "Pencil",
      "Sales": [ { "SalesCount": 0, "TotalAmount": null } ] },
    { "@odata.id": null, "Name": "Sugar",
      "Sales": [ { "SalesCount": 2, "TotalAmount": 4 } ] }
  ]
}
```

7.3 Custom Aggregates

Custom aggregates are defined through the [CustomAggregate](#) annotation. They can be associated with either an entity type or an entity container.

A [custom aggregate](#) can be used by specifying the name of the custom aggregate in the `aggregate` clause.

Example 60:

```
GET ~/Sales?$apply=groupby((Customer/Country),
                           aggregate(Amount with sum as Actual,Forecast))
```

results in

```
{
  "@odata.context": "$metadata#Sales(Customer(Country),Actual,Forecast)",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Actual": 5, "Forecast": 4 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Actual": 19, "Forecast": 21 }
  ]
}
```

The introduced dynamic properties **MUST** always be in the same set as the original property.

Example 61:

```
GET ~/Products?$apply=groupby((Name),
                              aggregate(Sales(Amount with sum as Actual),
                                         Sales/Forecast))
```

results in

```
{
  "@odata.context": "$metadata#Products(Name,Sales(Actual,Forecast))",
  "value": [
    { "@odata.id": null, "Name": "Coffee", "Sales": [{"Actual":12,"Forecast":6}] },
    { "@odata.id": null, "Name": "Paper", "Sales": [{"Actual": 8,"Forecast":2}] },
    { "@odata.id": null, "Name": "Pencil", "Sales": [] },
    { "@odata.id": null, "Name": "Sugar", "Sales": [{"Actual": 4,"Forecast":7}] }
  ]
}
```

When associated with an entity type a custom aggregate MAY have the same name as a property of the entity with the same type as the type returned by the custom aggregate. This is typically done when the aggregate is used as a default aggregate for that property.

Example 62 A custom aggregate can be defined with the same name as a property of the same type in order to define a default aggregate for that property.

```
GET ~/Sales?$apply=groupby((Customer/Country), aggregate(Amount))
```

results in

```
{
  "@odata.context": "$metadata#Sales(Customer(Country),Amount)",
  "value": [
    { "@odata.id":null, "Customer":{"Country":"Netherlands"}, "Amount": 5 },
    { "@odata.id":null, "Customer":{"Country":"USA"}, "Amount":19 }
  ]
}
```

7.4 Aliasing

A property can be aggregated in multiple ways, each with a different alias.

Example 63:

```
GET ~/Sales?$apply=groupby((Customer/Country),
  aggregate(Amount with sum as Total,
    Amount with average as AvgAmt))
```

results in

```
{
  "@odata.context": "$metadata#Sales(Customer(Country),Total,AvgAmt)",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Total": 5, "AvgAmt": 1.6666667 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Total": 19, "AvgAmt": 3.8 }
  ]
}
```

The introduced dynamic properties MUST always be in the same set as the original property.

Example 64:

```
GET ~/Products?$apply=groupby((Name),
  aggregate(Sales(Amount with sum as Total),
    Sales(Amount with average as AvgAmt)))
```

results in

```
{
  "@odata.context": "$metadata#Products(Name,Sales(Total,AvgAmt))",
  "value": [
    {"@odata.id":null,"Name":"Coffee","Sales":[{"Total": 12,"AvgAmt": 6}]},
    {"@odata.id":null,"Name":"Paper","Sales":[{"Total": 8,"AvgAmt": 2}]},
    {"@odata.id":null,"Name":"Pencil","Sales":[{"Total":null,"AvgAmt":null}]},
    {"@odata.id":null,"Name":"Sugar","Sales":[{"Total": 4,"AvgAmt": 2}]}
  ]
}
```

There is no hard distinction between groupable and aggregatable properties: the same property can be aggregated and used to group the aggregated results.

Example 65:

```
GET ~/Sales?$apply=groupby((Amount),aggregate(Amount with sum as Total))
```

will return all distinct amounts appearing in sales orders and how much money was made with deals of this amount

```
{
  "@odata.context": "$metadata#Sales(Amount,Total)",
  "value": [
    { "@odata.id": null, "Amount": 1, "Total": 2 },
    { "@odata.id": null, "Amount": 2, "Total": 6 },
    { "@odata.id": null, "Amount": 4, "Total": 8 },
    { "@odata.id": null, "Amount": 8, "Total": 8 }
  ]
}
```

7.5 Combining Transformations per Group

Example 66: to get the best-selling product per country with sub-totals for every country, the partial results of a transformation sequence and a *groupby* transformation are concatenated:

```
GET ~/Sales?$apply=concat(
    groupby((Customer/Country,Product/Name,Currency/Code),
        aggregate(Amount with sum as Total))
    /groupby((Customer/Country,Currency/Code),
        topcount(1,Total)),
    groupby((Customer/Country,Currency/Code),
        aggregate(Amount with sum as Total)))
```

results in

```
{
  "@odata.context":
    "$metadata#Sales(Customer(Country),Product(Name),Total,Currency(Code))",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Product": { "Name": "Coffee" },
      "Total": 12, "Currency": { "Code": "USD" }
    },
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Product": { "Name": "Paper" },
      "Total": 3, "Currency": { "Code": "EUR" }
    },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Total": 19, "Currency": { "Code": "USD" }
    },
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Total": 5, "Currency": { "Code": "EUR" }
    }
  ]
}
```

Example 67: transformation sequences are also useful inside *groupby*: To get the aggregated amount by only considering the top two sales amounts per product and county:

```
GET ~/Sales?$apply=groupby((Customer/Country,Product/Name,Currency/Code),
    topcount(2,Amount)/aggregate(Amount with sum as Total))
```

results in

```
{
  "@odata.context":
    "$metadata#Sales(Customer(Country),Product(Name),Total,Currency(Code))",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Product": { "Name": "Paper" },
```

```

    "Total": 3, "Currency": { "Code": "EUR" }
  },
  { "@odata.id": null, "Customer": { "Country": "Netherlands" },
    "Product": { "Name": "Sugar" },
    "Total": 2, "Currency": { "Code": "EUR" }
  },
  { "@odata.id": null, "Customer": { "Country": "USA" },
    "Product": { "Name": "Coffee" },
    "Total": 12, "Currency": { "Code": "USD" }
  },
  { "@odata.id": null, "Customer": { "Country": "USA" },
    "Product": { "Name": "Paper" },
    "Total": 5, "Currency": { "Code": "USD" }
  }
]
}

```

7.6 Model Functions as Set Transformations

Example 68: as a variation of the example shown in the previous section, a query for returning the best-selling product per country and the total amount of the remaining products can be formulated with the help of a model function.

For this purpose, the model includes a definition of a *TopCountAndBalance* function that accepts the count for the top entities in the given input set not to be considered for the balance:

```

<edm:Function Name="TopCountAndBalance"
  ReturnType="Collection(Edm.EntityType)"
  IsBound="true">
  <edm:Parameter Name="EntityCollection"
    Type="Collection(Edm.EntityType)"/>
  <edm:Parameter Name="Count" Type="Edm.Int16"/>
  <edm:Parameter Name="Property" Type="Edm.String"/>
</edm:Function>

```

The function takes the name of a numeric property as a parameter, retains those entities that *topcount* also would retain, and replaces the remaining entities by a single aggregated entity, where only the numeric property has a defined value being the aggregated value over those remaining entities:

```

GET ~/Sales?$apply=groupby((Customer/Country,Product/Name),
  aggregate(Amount with sum as Total))
  /groupby((Customer/Country),
    Self.TopCountAndBalance(Count=1,Property='Total'))

```

results in

```

{
  "@odata.context": "$metadata#Sales(Customer(Country),Product(Name),Total)",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Product": { "Name": "Paper" }, "Total": 3 },
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "Product": { "Name": "***Other**" }, "Total": 2 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Product": { "Name": "Coffee" }, "Total": 12 },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "Product": { "Name": "***Other**" }, "Total": 5 }
  ]
}

```

Note that these two entities get their values for the *Country* property from the *groupby* transformation, which ensures that they contain all grouping properties with the correct values.

7.7 Controlling Aggregation per Rollup Level

Consumers may specify a different aggregation method per level for every property passed to `rollup` as a hierarchy level below the root level.

Example 69: get the average of the overall amount by month per product.

Using a transformation sequence:

```
GET ~/Sales?$apply=groupby((Product/ID,Product/Name,Time/Month),
    aggregate(Amount with sum) as Total))
    /groupby((Product/ID,Product/Name),
    aggregate(Total with average as AverageAmount))
```

Using `from`:

```
GET ~/Sales?$apply=groupby((Product/ID,Product/Name),
    aggregate(Amount with sum as MonthlyAverage
    from Time/Month with average))
```

Example 70: for an aggregate entity set listing the total sales amounts per customer and country, the rollup shall produce additional instances for the average total sales amount of customers per country and the average of that average (which is a bit boring because the example data doesn't have two countries with the same currency☹)

```
GET ~/Sales?$apply=groupby((rollup($all,Customer/Country,Customer/ID),
    Currency/Code),
    aggregate(Amount with sum as CustomerCountryAverage
    from Customer/ID with average
    from Customer/Country with average))
```

results in

```
{
  "@odata.context":
  "$metadata#Sales(Customer(Country, ID),CustomerCountryAverage,Currency(Code))",
  "value": [
    { "@odata.id": null, "Customer": { "Country": "USA", "ID": "C1" },
      "CustomerCountryAverage": 7, "Currency": { "Code": "USD" }
    },
    { "@odata.id": null, "Customer": { "Country": "USA", "ID": "C2" },
      "CustomerCountryAverage": 12, "Currency": { "Code": "USD" }
    },
    { "@odata.id": null, "Customer": { "Country": "USA" },
      "CustomerCountryAverage": 9.5, "Currency": { "Code": "USD" }
    },
    { "@odata.id": null, "Customer": { "Country": "Netherlands", "ID": "C3" },
      "CustomerCountryAverage": 5, "Currency": { "Code": "EUR" }
    },
    { "@odata.id": null, "Customer": { "Country": "Netherlands" },
      "CustomerCountryAverage": 5, "Currency": { "Code": "EUR" }
    },
    { "@odata.id": null,
      "CustomerCountryAverage": 9.5, "Currency": { "Code": "USD" }
    },
    { "@odata.id": null,
      "CustomerCountryAverage": 5, "Currency": { "Code": "EUR" }
    }
  ]
}
```

7.8 Transformation Sequences

Applying aggregation first covers the most prominent use cases. The slightly more sophisticated question "how much money is earned with small sales" requires filtering the base set before applying the

aggregation. To enable this type of question several transformations can be specified in \$apply in the order they are to be applied, separated by a forward slash.

Example 71:

```
GET ~/Sales?$apply=filter(Amount le 1)/aggregate(Amount with sum as Total)
```

means "filter first, then aggregate", and results in

```
{
  "@odata.context": "$metadata#Sales(Total)",
  "value": [
    { "@odata.id": null, "Total": 2 }
  ]
}
```

Using filter within \$apply does not preclude using it as a normal system query option.

Example 72:

```
GET ~/Sales?$apply=filter(Amount le 2)/groupby((Product/Name),
                                             aggregate(Amount with sum as Total))
    &$filter=Total ge 4
```

results in

```
{
  "@odata.context": "$metadata#Sales(Product (Name), Total)",
  "value": [
    { "@odata.id": null, "Total": 4, "Product": { "Name": "Paper" } },
    { "@odata.id": null, "Total": 4, "Product": { "Name": "Sugar" } }
  ]
}
```

Example 73: Revisiting the Example 14 in section 0 for using the from keyword with the aggregate function, the request

```
GET ~/Sales?$apply=aggregate(Amount as DailyAverage from Time with average)
```

could be rewritten in a more procedural way using a transformation sequence returning the same result

```
GET ~/Sales?$apply=groupby((Time), aggregate(Amount with sum as Total))
    /aggregate(Total with average as DailyAverage)
```

For further examples, consider another data model containing entity sets for cities, countries and continents and the obvious associations between them.

Example 74: getting the population per country with

```
GET ~/Cities?$apply=groupby((Continent/Name, Country/Name),
                             aggregate(Population with sum as TotalPopulation))
```

results in

```
{
  "@odata.context":
    "$metadata#Cities(Continent (Name), Country (Name), TotalPopulation)",
  "value": [
    { "@odata.id": null, "Continent": { "Name": "Asia" },
      "Country": { "Name": "China" }, "TotalPopulation": 692.580.000 },
    { "@odata.id": null, "Continent": { "Name": "Asia" },
      "Country": { "Name": "India" }, "TotalPopulation": 390.600.000 },
    ...
  ]
}
```

Example 75: all countries with megacities and their continents

```
GET ~/Cities?$apply=filter(Population ge 10000000)
    /groupby((Continent/Name, Country/Name),
    aggregate(Population with sum as TotalPopulation))
```

Example 76: all countries with tens of millions of city dwellers and the continents only for these countries

```
GET ~/Cities?$apply=groupby((Continent/Name, Country/Name),
    aggregate(Population with sum as CountryPopulation))
    /filter(CountryPopulation ge 10000000)
    /concat(identity,
    groupby((Continent/Name),
    aggregate(CountryPopulation with sum
    as TotalPopulation)))
```

– OR –

```
GET ~/Cities?$apply=groupby((Continent/Name, Country/Name),
    aggregate(Population with sum as CountryPopulation))
    /filter(CountryPopulation ge 10000000)
    /groupby((rollup(Continent/Name, Country/Name)),
    aggregate(CountryPopulation with sum
    as TotalPopulation))
```

Example 77: all countries with tens of millions of city dwellers and all continents with cities independent of their size

```
GET ~/Cities?$apply=groupby((Continent/Name, Country/Name),
    aggregate(Population with sum as CountryPopulation))
    /concat(filter(CountryPopulation ge 10000000),
    groupby((Continent/Name),
    aggregate(CountryPopulation with sum
    as TotalPopulation)))
```

Example 78: assuming the data model includes a sales order entity set with related sets for order items and customers, the base set as well as the related items can be filtered before aggregation

```
GET ~/SalesOrders?$apply=filter(Status eq 'incomplete')
    /expand(Items, filter(not Shipped))
    /groupby((Customer/Country),
    aggregate(Items/Amount with sum as ItemAmount))
```

8 Conformance

Conforming services **MUST** follow all rules of this specification for the set transformations and aggregation methods they support. They **MUST** implement all set transformations and aggregation methods they advertise via the [Custom Aggregates](#) annotation.

Conforming clients **MUST** be prepared to consume a model that uses any or all of the constructs defined in this specification, including custom aggregation methods defined by the service, and **MUST** ignore any constructs not defined in this version of the specification.

Appendix A. Acknowledgments

The contributions of the OASIS OData Technical Committee members, enumerated in [\[OData-Protocol\]](#), are gratefully acknowledged.

Appendix B. Revision History

Revision	Date	Editor	Changes Made
Working Draft 01	2012-11-12	Ralf Handl	Translated contribution into OASIS format
Committee Specification Draft 01	2013-07-25	Ralf Handl Hubert Heijkers Gerald Krause Michael Pizzo Martin Zurmuehl	Switched to pipe-and-filter-style query language based on composable set transformations Fleshed out examples and addressed numerous editorial and technical issues processed through the TC Added Conformance section
Committee Specification Draft 02	2014-01-09	Ralf Handl Hubert Heijkers Gerald Krause Michael Pizzo Martin Zurmuehl	Dynamic properties used all aggregated values. either via aliases or via custom aggregates Refactored annotations
Committee Specification Draft 03	2015-07-16	Ralf Handl Hubert Heijkers Gerald Krause Michael Pizzo Martin Zurmuehl	Added <code>compute</code> transformation Minor clean-up