



# OBIX Version 1.1

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Additional artifacts:

This prose specification is one component of a Work Product that also includes:

- XML schemas: <http://docs.oasis-open.org/obix/obix/v1.1/csprd03/schemas/>
- XML schema: <http://docs.oasis-open.org/obix/obix/v1.1/csprd04/schemas/obix-v1.1.xsd>
- Core contract library: <http://docs.oasis-open.org/obix/obix/v1.1/csprd04/schemas/stdlib.obix>

Related work:

This specification replaces or supersedes:

[obix-v1.1-csprd03](#)  
[2014csprd04](#)

06 November  
25 June 2015

- ~~oBIX 1.0. Edited by Brian Frank. 05 December 2006. Committee Specification 01. <https://www.oasis-open.org/committees/download.php/21812/obix-1.0-cs-01.pdf>.~~
- [OASIS OBIX Committee Specification 1.0](#)

This specification is related to:

- *Bindings for OBIX: REST Bindings Version 1.0.* Edited by Craig Gemmill and Markus Jung. Latest version. ~~<http://docs.oasis-open.org/obix/obix-rest/v1.0/obix-rest-v1.0.html>~~<http://docs.oasis-open.org/obix/obix-rest/v1.0/obix-rest-v1.0.html>.
- *Bindings for OBIX: SOAP Bindings Version 1.0.* Edited by Markus Jung. Latest version. ~~<http://docs.oasis-open.org/obix/obix-soap/v1.0/obix-soap-v1.0.html>~~<http://docs.oasis-open.org/obix/obix-soap/v1.0/obix-soap-v1.0.html>.
- *Encodings for OBIX: Common Encodings Version 1.0.* Edited by Markus Jung. Latest version. ~~<http://docs.oasis-open.org/obix/obix-encodings/v1.0/obix-encodings-v1.0.html>~~<http://docs.oasis-open.org/obix/obix-encodings/v1.0/obix-encodings-v1.0.html>.
- *Bindings for OBIX: Web Socket Bindings Version 1.0.* Edited by Matthias Hub. Latest version. ~~<http://docs.oasis-open.org/obix/obix-websocket/v1.0/obix-websocket-v1.0.html>~~<http://docs.oasis-open.org/obix/obix-websocket/v1.0/obix-websocket-v1.0.html>.

#### Declared XML namespaces:

- ~~<http://docs.oasis-open.org/obix/ns/201410/schema>~~
- <http://docs.oasis-open.org/obix/ns/201506>
- <http://docs.oasis-open.org/obix/ns/201506/schema/obix>

#### Abstract:

This document specifies an object model used for machine-to-machine (M2M) communication. Companion documents will specify the protocol bindings and encodings for specific cases.

#### Status:

This document was last revised or approved by the OASIS Open Building Information Exchange (oBIX) TC on the above date. The level of approval is also listed above. Check the "Latest version" location noted above for possible later revisions of this document. Any other numbered Versions and other technical work produced by the Technical Committee (TC) are listed at [https://www.oasis-open.org/committees/tc\\_home.php?wg\\_abbrev=obix#technical](https://www.oasis-open.org/committees/tc_home.php?wg_abbrev=obix#technical).

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#### Citation format:

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# 1 Introduction

OBIX is designed to provide access to the embedded software systems which sense and control the world around us. Historically, integrating to these systems required custom low level protocols, often custom physical network interfaces. The rapid increase in ubiquitous networking and the availability of powerful microprocessors for low cost embedded devices is now weaving these systems into the very fabric of the Internet. Generically the term M2M for Machine-to-Machine describes the transformation occurring in this space because it opens a new chapter in the development of the Web - machines autonomously communicating with each other. The OBIX specification lays the groundwork for building this M2M Web using standard, enterprise-friendly technologies like XML, HTTP, and URIs.

## 1.1 Terminology

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119]. When used in the non-capitalized form, these words are to be interpreted with their normal English meaning.

## 1.2 Normative References

- PNG** Portable Network Graphics (PNG) Specification (Second Edition) , D. Duce, Editor, W3C Recommendation, 10 November 2003, <http://www.w3.org/TR/2003/REC-PNG-20031110/>, Latest version available at <http://www.w3.org/TR/PNG/>.
- RFC2119** Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.
- RFC3986** Berners-Lee, T., Fielding, R., and Masinter, L., "Uniform Resource Identifier (URI): Generic Syntax", STD 66, RFC 3986, January 2005. <http://www.ietf.org/rfc/rfc3986.txt>.
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83 [names](http://www.w3.org/TR/REC-xml-names).

## 84 1.4 Namespace

85 If an implementation is using the XML Encoding according to the **[OBIX Encodings]** specification  
86 document, the XML namespace **[XML-ns]** URI that MUST be used is:

87 <http://docs.oasis-open.org/obix/201410ns/201506/schema/obix>

88 Derefencing the above URI will produce ~~the Resource Directory Description Language [RDDL 2.0]~~  
89 document that describes this namespace [and provides links to the schema and the core contract library](#).  
90 [Along with the schema, there is a normative XML artifact that describes the core contract library as](#)  
91 [described in Section 11. This artifact can be retrieved at:](#)

92 <http://docs.oasis-open.org/obix/ns/201506/stdlib.obix>

## 93 1.5 Naming Conventions

94 Where XML is used, the names of elements and attributes in XSD files follow Lower Camel Case  
95 capitalization rules (see [\[CamelCase\]](#) for a description ~~of Camel Case~~).

## 96 1.6 Editing Conventions

97 For readability, Element names in tables appear as separate words. In the Schema, they follow the rules  
98 as described in Section 1.5.

99 Terms defined in this specification or used from specific cited references are capitalized; the same term  
100 not capitalized has its normal English meaning.

101 Examples and Contract definitions are ~~informational and SHALL NOT be considered normative. They will~~  
102 ~~be marked distinctly from the specification text by using Non-Normative. They are marked with~~ the  
103 following style:

```
104 <str name="example" val="This is an example, which is non-normative."/>
```

105 Schema fragments included in this specification as XML Contract definitions ~~SHALL BE considered non-~~  
106 ~~normative are Non-Normative~~; in the event of disagreement between the two, the formal Schema  
107 supersedes the examples and Contract definitions defined here.

108 All UML and figures are illustrative and SHALL NOT be considered normative.

## 109 1.7 Language Conventions

110 Although several different encodings may be used for representing OBIX data, the most common is XML.  
111 Therefore many of the concepts in OBIX are strongly tied to XML concepts. Data objects are represented  
112 in XML by XML *documents*. It is important to distinguish the usage of the term *document* in this context  
113 from references to this specification document. When “this document” is used, it references this  
114 specification document. When “OBIX document” or “XML document” is used, it references an OBIX  
115 object, encoded in XML, as per the convention for this (specification) document. When used in the latter  
116 context, this could equally be understood to mean an OBIX object encoded in any of the other possible  
117 encoding mechanisms.

118 When expressed in XML, there is a one-to-one-mapping between *Objects* and *elements*. Objects are the  
119 fundamental abstraction used by the OBIX data model. Elements are how those Objects are expressed in  
120 XML syntax. This specification uses the term *Object* and *sub-Object*, although one can equivalently  
121 substitute the term *element* and *sub-element* when referencing the XML representation. The term *child*  
122 is used to describe an Object that is contained by another Object, and is semantically equivalent to the term  
123 *sub-Object*. The two terms are used interchangeably throughout this specification.

### 124 1.7.1 Definition of Terms

125 Several named terms are used within this document. The following table describes the terms and  
126 provides an explanation of their meaning in the context of this specification.

Term	Meaning	Introduced In
Client	An entity which makes requests to Servers over a network to access OBIX-enabled data and services.	10
Contract	A standard OBIX object used as a template for describing a set of values and semantics. Objects implement Contracts to advertise data and services with which other devices may interact.	3.6, 7
Contract List	A sequence of Contracts referenced by an OBIX Object describing the Contracts which the Object implements	3.6, 7
Extent	The tree of child Objects contained within an Object.	9.3

Facet	An attribute of an Object that provides additional metadata about the Object.	4.2.7
Feed	An Object that tracks every event rather than retaining only the current state. This is typically used in alarm monitoring and history record retrieval.	4.3.6
Object	The base abstraction for expressing a piece of information in OBIX. The Schema uses the name Obj for brevity, but the two terms Obj and Object are equivalent.	4.1
Rollup	An operation available on History objects to summarize the history data by a specific interval of time.	14.3
Server	An entity containing OBIX enabled data and services. Servers respond to requests from Client over a network.	10
Tag	A name-value pair that provides additional information about an Object, presented as a child Object of the original Object.	9.4
Val	A special type of Object, that stores a piece of information (a 'value') in a specific attribute named "val".	4.3.1

127 Table 1-1. Definition of Terms.

128

## 129 1.8 Architectural Considerations

130 Table 1-1 illustrates the problem space OBIX attempts to address. Each of these concepts is covered in  
 131 the subsequent sections of the specification as shown.

Concept	Solution	Covered in Sections
<b>Information Model</b>	Representing M2M information in a standard syntax – originally XML but expanded to other technologies	4, 5, 6, 8, 9
<b>Interactions</b>	transferring M2M information over a network	10
<b>Normalization</b>	developing standard representations for common M2M features: points, histories, and alarms	11, 12, 13, 14, 15
<b>Foundation</b>	providing a common kernel for new standards	7, 11

132 Table 1-2. Problem spaces for OBIX.

### 133 1.8.1 Information Model

134 OBIX defines a common information model to represent diverse M2M systems and an interaction model  
 135 for their communications. The design philosophy of OBIX is based on a small but extensible data model  
 136 which maps to a simple fixed syntax. This core model and its syntax are simple enough to capture entirely  
 137 in one illustration, which is done in Figure 4-1. The object model's extensibility allows for the definition of  
 138 new abstractions through a concept called *Contracts*. Contracts are flexible and powerful enough that  
 139 they are even used to define the majority of the conformance rules in this specification.

### 140 1.8.2 Interactions

141 Once a way exists to represent M2M information in a common format, the next step is to provide standard  
 142 mechanisms to transfer it over networks for publication and consumption. OBIX breaks networking into

143 two pieces: an abstract request/response model and a series of protocol bindings which implement that  
 144 model. In Version 1.1 of OBIX, the two goals are accomplished in separate documents: this core  
 145 specification defines the core model, while several protocol bindings designed to leverage existing Web  
 146 Service infrastructure are described in companion documents to this specification.

147 **1.8.3 Normalization**

148 There are a few concepts which have broad applicability in systems which sense and control the physical  
 149 world. Version 1.1 of OBIX provides a normalized representation for three of these, described in Table  
 150 1-2.

Concept	Description
<b>Points</b>	Representing a single scalar value and its status – typically these map to sensors, actuators, or configuration variables like a setpoint
<b>Histories</b>	Modeling and querying of time sampled point data. Typically edge devices collect a time stamped history of point values which can be fed into higher level applications for analysis
<b>Alarms</b>	Modeling, routing, and acknowledgment of alarms. Alarms indicate a condition which requires notification of either a user or another application

151 *Table 1-3. Normalization concepts in OBIX.*

152 **1.8.4 Foundation**

153 The requirements and vertical problem domains for M2M systems are immensely broad – too broad to  
 154 cover in one single specification. OBIX is deliberately designed as a fairly low level specification, but with  
 155 a powerful extension mechanism based on Contracts. The goal of OBIX is to lay the groundwork for a  
 156 common object model and XML syntax which serves as the foundation for new specifications. It is hoped  
 157 that a stack of specifications for vertical domains can be built upon OBIX as a common foundation.

158 **1.9 Changes from Version 1.0 [non-normative]**

159 Several areas of the specification have changed from Version 1.0 to Version 1.1. Table 1-3 below lists  
 160 key differences between Versions 1.0 and 1.1. Implementers of earlier versions of OBIX should examine  
 161 this list and consider where modifications may be necessary for compliance with Version 1.1.

Added <code>date</code> , <code>time</code> primitive types and <code>tz</code> Facet to the core object model.
Specific discussion on encodings has been moved to the <b>[OBIX Encodings]</b> document, which includes XML, EXI, binary, and JSON.
Add support for History Append operation.
Specific discussion on HTTP/REST binding has been moved to the <b>[OBIX REST]</b> document, which includes HTTP and CoAP. General discussion of REST, as a guiding principle of OBIX, remains.
Add the <code>of</code> attribute to the <code>ref</code> element type and specify usage of this and the <code>is</code> attribute for <code>ref</code> .
Add support for user-specified or referenced metadata for alternate taxonomies, commonly called tagging.
Add support for alternate history formats.
Add support for concise encoding of long Contract Lists.
Add Delete request semantics.

Add Bindings, Encodings, and Tagspaces sections to the Lobby to better describe how to communicate with and interpret data from an OBIX Server.

162 *Table 1-4. Changes from Version 1.0.*

163

## 2 Quick Start [non-normative]

164 This chapter is for those eager to jump right into OBIX in all its angle bracket glory. The best way to begin  
165 is to take a simple example that anybody is familiar with – the staid thermostat. Let's assume a very  
166 simple thermostat. It has a temperature sensor which reports the current space temperature and it has a  
167 setpoint that stores the desired temperature. Let's assume the thermostat only supports a heating mode,  
168 so it has a variable that reports if the furnace should currently be on. Let's take a look at what the  
169 thermostat might look like in OBIX XML:

```
170 <obj href="http://myhome/thermostat">  
171   <real name="spaceTemp" unit="obix:units/fahrenheit" val="67.2"/>  
172   <real name="setpoint" unit="obix:units/fahrenheit" val="72.0"/>  
173   <bool name="furnaceOn" val="true"/>  
174 </obj>
```

175 The first thing to notice is the **Information Model**: there are three element types – `obj`, `real`, and `bool`.  
176 The root `obj` element models the entire thermostat. Its `href` attribute identifies the URI for this OBIX  
177 document. The thermostat Object has three child Objects, one for each of the thermostat's variables. The  
178 `real` Objects store our two floating point values: space temperature and setpoint. The `bool` Object  
179 stores a boolean variable for furnace state. Each sub-element contains a `name` attribute which defines the  
180 role within the parent. Each sub-element also contains a `val` attribute for the current value. Lastly we see  
181 that we have annotated the temperatures with an attribute called `unit` so we know they are in  
182 Fahrenheit, not Celsius (which would be one hot room). The OBIX specification defines several of these  
183 annotations which are called *Facets*.

184 How was this Object obtained? The OBIX specification leverages commonly available networking  
185 technologies and concepts for defining **Interactions** between devices. The thermostat implements an  
186 OBIX Server, and an OBIX Client can be used to issue a request for the thermostat's data, by specifying  
187 its *uri*. This concept is well understood in the world of M2M so OBIX requires no new knowledge to  
188 implement.

189 OBIX addresses the need to **Normalize** information from devices and present it in a standard way. In  
190 most cases sensor and actuator variables (called *Points*) imply more semantics than a simple scalar  
191 value. In the example of our thermostat, in addition to the current space temperature, it also reports the  
192 setpoint for desired temperature and whether it is trying to command the furnace on. In other cases such  
193 as alarms, it is desirable to standardize a complex data structure. OBIX captures these concepts into  
194 *Contracts*. Contracts allow us to tag Objects with normalized semantics and structure.

195 Let's suppose our thermostat's sensor is reading a value of -412°F? Clearly our thermostat is busted, so  
196 it should report a fault condition. Let's rewrite the XML to include the status Facet and to provide  
197 additional semantics using Contracts:

```
198 <obj href="http://myhome/thermostat/">  
199   <!-- spaceTemp point -->  
200   <real name="spaceTemp" is="obix:Point"  
201     val="-412.0" status="fault"  
202     unit="obix:units/fahrenheit"/>  
203  
204   <!-- setpoint point -->  
205   <real name="setpoint" is="obix:Point"  
206     val="72.0"  
207     unit="obix:units/fahrenheit"/>  
208  
209   <!-- furnaceOn point -->  
210   <bool name="furnaceOn" is="obix:Point" val="true"/>  
211  
212 </obj>  
213
```

214 Notice that each of our three scalar values are tagged as `obix:Points` via the `is` attribute. This is a  
215 standard Contract defined by OBIX for representing normalized point information. By implementing these  
216 Contracts, Clients immediately know to semantically treat these objects as points.

217 Contracts play a pivotal role in OBIX because they provide a **Foundation** for building new abstractions  
218 upon the core object model. Contracts are just normal objects defined using standard OBIX. In fact, the  
219 following sections defining the core OBIX object model are expressed using Contracts. One can see how  
220 easily this approach allows for definition of the key parts of this model, or any model that builds upon this  
221 model.

## 222 3 Architecture

### 223 3.1 Design Philosophies

224 The OBIX architecture is based on the design philosophies and principles in Table 3-1.

Philosophy	Usage/Description
Object Model	A concise object model used to define all OBIX information
Encodings	Sets of rules for representing the object model in certain common formats
URIs	Uniform Resource Identifiers are used to identify information within the object model [RFC3986]
REST	A small set of verbs is used to access objects via their URIs and transfer their state [REST]
Contracts	A template model for expressing new OBIX “types”
Extensibility	Providing for consistent extensibility using only these concepts

225 Table 3-1. Design philosophies and principles for OBIX.

### 226 3.2 Object Model

227 All information in OBIX is represented using a small, fixed set of primitives. The base abstraction for these  
228 primitives is called *Object*. An Object can be assigned a URI and all Objects can contain other Objects.

### 229 3.3 Encodings

230 OBIX provides simple syntax rules able to represent the underlying object model. XML is a widely used  
231 language with well-defined and well-understood syntax that maps nicely to the OBIX object model. The  
232 rest of this specification will use XML as the example encoding, because it is easily human-readable, and  
233 serves to clearly demonstrate the concepts presented. The syntax used is normative. Implementations  
234 using an XML encoding MUST conform to this syntax and representation of elements.

235 When encoding OBIX objects in XML, each of the object types map to one type of element. The Value  
236 Objects represent their data value using the `val` attribute (see Section 4.3.1 for a full description of Value  
237 Objects). All other aggregation is simply nesting of elements. A simple example to illustrate this concept is  
238 the Brady family from the TV show *The Brady Bunch*:

```
239 <obj href="http://bradybunch/people/Mike-Brady/">  
240   <obj name="fullName">  
241     <str name="first" val="Mike"/>  
242     <str name="last" val="Brady"/>  
243   </obj>  
244   <int name="age" val="45"/>  
245   <ref name="spouse" href="/people/Carol-Brady"/>  
246   <list name="children">  
247     <ref href="/people/Greg-Brady"/>  
248     <ref href="/people/Peter-Brady"/>  
249     <ref href="/people/Bobby-Brady"/>  
250     <ref href="/people/Marsha-Brady"/>  
251     <ref href="/people/Jan-Brady"/>  
252     <ref href="/people/Cindy-Brady"/>  
253   </list>  
254 </obj>
```

255 | Note in this simple example how the `href` attribute specifies URI references [\[RFC3986\]](#) which may be  
256 | used to fetch more information about the object. Names and hrefs are discussed in detail in Section 6.

### 257 | 3.4 URIs

258 | OBIX identifies objects (resources) with Uniform Resource Indicators (URIs) as defined in [\[RFC3986\]](#).  
259 | This is a logical choice, as a primary focus of OBIX is making information available over the web. Naming  
260 | authorities manage the uniqueness of the first component of a URI, the domain name.

Field Code Changed

262 | Conforming implementations MUST use [\[RFC3986\]](#) URIs to identify resources. Conforming  
263 | implementations MAY restrict URI schemes and MUST indicate any restrictions in their conformance  
264 | statement.

Field Code Changed

266 | Typically, `http` -scheme URIs are used, but other bindings may require other schemes. Note that while  
267 | `https` is technically a different scheme from `http` [\[RFC2818, RFC5785\]](#) [\[RFC2818\]](#) [\[RFC5785\]](#) they are  
268 | typically used interchangeably with differing security transport. The commonly used term URL is  
269 | shorthand for what is now an `http` -scheme URI.

### 270 | 3.5 REST

271 | Objects identified with URIs and passed around as XML documents may sound a lot like [REST](#) [\[REST\]](#) –  
272 | and this is intentional. REST stands for REpresentational State Transfer and is an architectural style for  
273 | web services that mimics how the World Wide Web works. The World Wide Web is in essence a  
274 | distributed collection of documents hyperlinked together using URIs. Similarly, OBIX presents controls  
275 | and sensors as a collection of documents hyperlinked together using URIs. Because REST is such a key  
276 | concept in OBIX, it is not surprising that a REST binding is a core part of the specification. The  
277 | specification of this binding is defined in the [\[OBIX REST\]](#) specification.

278 | REST is really more of a design style, than a specification. REST is resource centric as opposed to  
279 | method centric - resources being OBIX objects. The methods actually used tend to be a very small fixed  
280 | set of verbs used to work generically with all resources. In OBIX all network requests boil down to four  
281 | request types:

- 282 | • **Read:** an object
- 283 | • **Write:** an object
- 284 | • **Invoke:** an operation
- 285 | • **Delete:** an object

### 286 | 3.6 Contracts

287 | In every software domain, patterns start to emerge where many different object instances share common  
288 | characteristics. For example in most systems that model people, each person has a name, address, and  
289 | phone number. In vertical domains domain specific information may be attached to each person. For  
290 | example an access control system might associate a badge number with each person.

291 | In object oriented systems these patterns are captured into classes. In relational databases they are  
292 | mapped into tables with typed columns. In OBIX these patterns are modeled using a concept called  
293 | *Contracts*, which are standard OBIX objects used as a template. Contracts provide greater flexibility than  
294 | a strongly typed schema language, without the overhead of introducing new syntax. A *Contract document*  
295 | [is Definition defines the syntactical requirements of the Contract, and is just an OBIX document](#) parsed  
296 | just like any other OBIX document. [OBIX Objects reference Contracts in groups called Contract Lists](#). In  
297 | formal terms, Contracts are a combination of prototype based inheritance and mixins. [Contracts and their](#)  
298 | [usage are discussed in detail in Section 7](#).

299 | OBIX Contracts describe abstract patterns for interaction with remote systems. Contracts use the  
300 | grammar of OBIX to create semantics for these interactions. Standard Contracts normalize these  
301 | semantics for common use by many systems. Contracts are used in OBIX [in the same way](#) as class  
302 | definitions are for objects, or as tables and relations are for databases.

303 |  
304 | [The OBIX specification defines](#) a minimal set of [base](#) Contracts, which are described in [later](#)  
305 | [sections. Section 11](#). Various vendors and groups have defined additional [standard/common](#) Contracts,  
306 | [the discussion of](#) which [are](#) out of scope for this specification. Sets of these Contracts may be available  
307 | as standard libraries. Implementers of systems using OBIX are advised to research whether these  
308 | libraries are available, and if so, [using to use](#) them to reduce work and expand interoperation.

### 309 | **3.7 Extensibility**

310 | OBIX provides a foundation for developing new abstractions (Contracts) in vertical domains. OBIX is also  
311 | extensible to support both legacy systems and new products. It is common for even standard building  
312 | control systems to ship as a blank slate, to be completely programmed in the field. Control systems  
313 | include, and will continue to include, a mix of standards based, vendor-based, and even project-based  
314 | extensions.

315 | The principle behind OBIX extensibility is that anything new is defined strictly in terms of Objects, URIs,  
316 | and Contracts. To put it another way - new abstractions do not introduce any new XML syntax or  
317 | functionality that client code is forced to care about. New abstractions are always modeled as standard  
318 | trees of OBIX objects, just with different semantics. That does not mean that higher level application code  
319 | never changes to deal with new abstractions. But the core stack that deals with networking and parsing  
320 | should not have to change to accommodate a new type.

321 | This extensibility model is similar to most mainstream programming languages such as Java or C#. The  
322 | syntax of the core language is fixed with a built in mechanism to define new abstractions. Extensibility is  
323 | achieved by defining new class libraries using the language's fixed syntax. This means the compiler need  
324 | not be updated every time someone adds a new class.

325

## 4 Object Model

326

### 4.1 Object Model Description

327

The OBIX object model is summarized in Figure 4-1. OBIX specifies a small, fixed set of object types.

328

OBIX types are a categorization of different objects, analogous to the complexType definition in [XML

329

Schema] or to a [UML] class. The OBIX object model is summarized in Figure 4-

330

4-1. It consists of a common base Object (obix:obj) type, and includes 16 derived types. It lists the

331

default values and attributes for each type, including their optionality. These optional attributes are

332

included as well in the Schema definition for each type. Section 4.2 describes the associated properties

333

called Facets that certain OBIX types may have. Section 4.3 describes each of the core OBIX types,

334

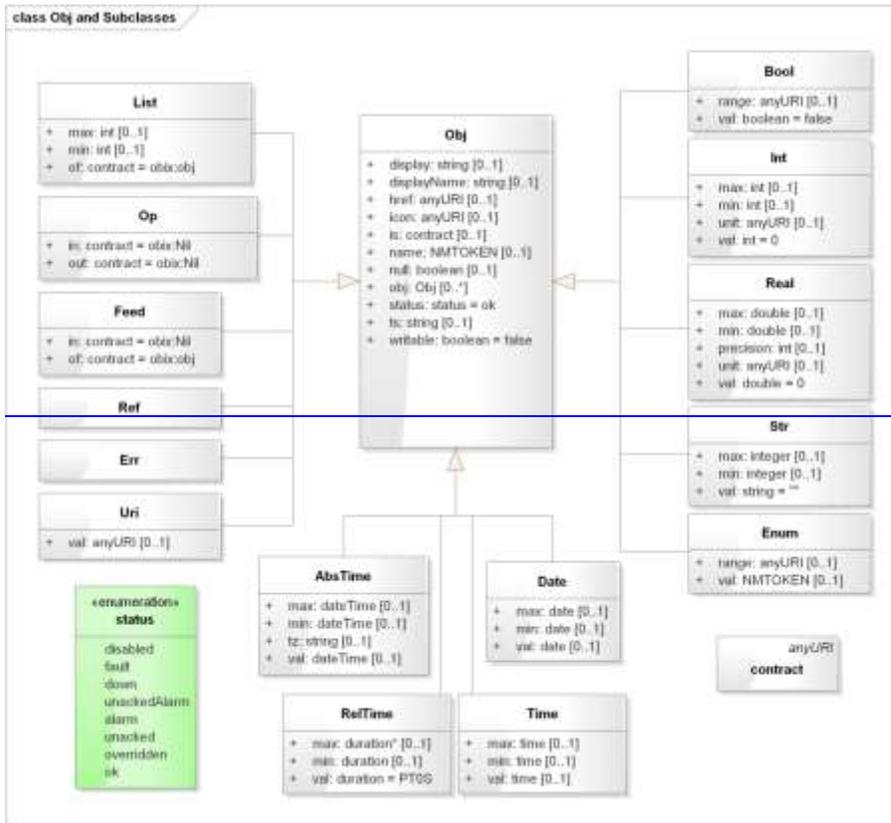
including the rules for their usage and interpretation. Additional rules defining complex behaviors such as

335

as naming and Contract inheritance are described in Sections 6 and 7. These sections are essential to a full

336

understanding of the object model.

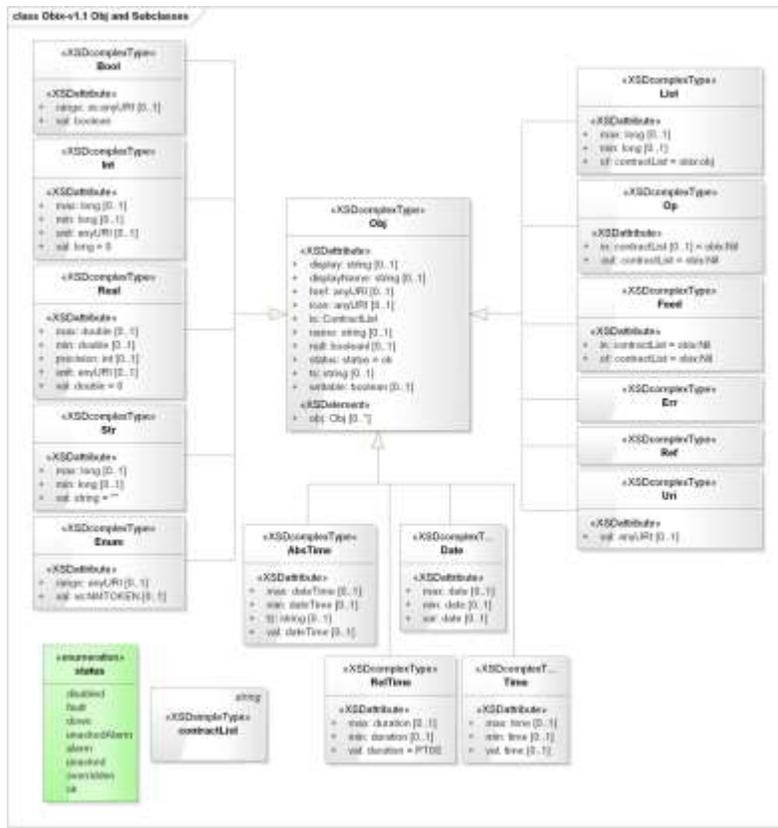


337

338

Diagram Notes: All types are as defined in [XML Schema]. obix:Nil is the empty Contract List included in stdlib.obix (see section 11.1).

339



340  
341 Figure 4-1. The OBIX primitive object hierarchy.

342 **4.2 obj**

343 The root abstraction in OBIX is *Obj*. The name *Obj* is shortened from *Object* for brevity in encoding, but  
344 for more convenient reference, this specification uses the term *Object* synonymously with *Obj*. Every  
345 *Object* type in OBIX is a derivative of *Object*. Any *Object* or its derivatives can contain other *Objects*.

346 As stated in Section 3.3, the expression of *Objects* in an XML encoding is through XML elements.  
347 Although the examples in this section are expressed in XML, the same concepts can be encoded in any  
348 of the specified OBIX encodings. The OBIX *Object* type is expressed through the `obj` element. The  
349 properties of an *Object* are expressed through XML attributes of the element. The full set of rules for  
350 encoding OBIX in XML is contained in the [OBIX Encodings] document. The term `obj` as used in this  
351 specification represents an OBIX *Object* in general, regardless of how it is encoded.

352 The Contract Definition of *Object*, `ajs` expressed by an `obj` element `isajs`

```
353 <obj href="obix:obj" null="false" writable="false" status="ok" />
```

354 The interpretation of this definition is described as follows. The Contract Definition provides the  
355 attributes, including Contract implementations and Schema references, that exist in the *Object* by default,  
356 and which are inherited by any *Object* (and thus derived type) that extends this type. Optional attributes  
357 that do not exist by default, such as `displayName`, are not included in the Contract Definition. The `href`  
358 is the URI by which this Contract can be referenced (see Section 4.2.2), so another *Object* can reference  
359 this Contract in its `is` attribute (see Section 4.2.3). The `null` attribute is specified as `false`, meaning that

360 by default this Object "has a value" (see Section 4.2.4). The `writable` attribute indicates this Object is  
361 readonly, so any Object type extending from `obj` (which is all Objects) will be readonly unless it explicitly  
362 overrides the `writable` attribute. The `status` of the Object defaults to 'ok' unless overridden. The  
363 properties supported on Object, and therefore on any derivative type, are described in the following  
364 sections.

#### 365 4.2.1 name

366 All Objects MAY have the `name` attribute. This defines the Object's purpose in its parent Object. Names  
367 of Objects SHOULD be in Camel case per [CamelCase]. Additional considerations with respect to Object  
368 naming are discussed in Section 6.

#### 369 4.2.2 href

370 All Objects MAY have the `href` attribute. This provides a URI reference for identifying the Object. `href` is  
371 closely related to `name`, and is also discussed in Section 6.

#### 372 4.2.3 is

373 All Objects MAY have the `is` attribute. This attribute defines [all of the Contracts this Object implements.](#)  
374 ~~Contracts are discussed in Section 7.~~ The value of this attribute MUST be a Contract List, ~~which, in~~  
375 ~~addition, all Objects derive from the `obj` type, so is described in detail~~ MUST NOT ever be equal to the  
376 ~~OBIX Nil Contract, defined in Section 11.1 to represent an empty Contract List. Contracts are discussed~~  
377 ~~in general in Section 7, and Contract Lists are discussed~~ in Section 7.2.

#### 378 4.2.4 null

379 All Objects support the `null` attribute. Null is the absence of a value, meaning that this Object has no  
380 value, has not been configured or initialized, or is otherwise not defined. Null is indicated using the `null`  
381 attribute with a boolean value. The default value of the `null` attribute is true for `enum`, `abstime`, `date`,  
382 and `time`, and false for all other Objects. An example of the `null` attribute used in an `abstime` Object  
383 is:

```
384 <abstime name="startTime" displayName="Start Time"/>
```

385 Null is inherited from Contracts a little differently than other attributes. See Section 7.4.3 for details.

#### 386 4.2.5 val

387 Certain Objects represent a value and are called *Value-type* Objects. These Objects MAY have the `val`  
388 attribute. The Objects NEED NOT explicitly state the `val` attribute, as all Value-type objects define a  
389 default value for the attribute. The Object types that are Value-type Objects, and are allowed to contain a  
390 `val` attribute, are `bool`, `int`, `real`, `str`, `enum`, `abstime`, `reltime`, `date`, `time`, and `uri`. The literal  
391 representation of the values maps to [XML Schema], indicated in the following sections with the 'xs:'  
392 prefix.

#### 393 4.2.6 ts

394 Certain Objects may be used as a *Tag* to provide metadata about their parent Object. Tags and their  
395 usage are discussed in Section 9.4. Tags are often grouped together into a *Tag Space* and published for  
396 use by others. Use of Tag Spaces is discussed in Section 5.5.1. If an Object is a Tag, then it MUST use  
397 the Tag name in its `name` attribute, and include the Tag Space which defines the Tag in the `ts` attribute.  
398 For example, if a Tag Space named "foo" declares a Tag named "bar", then an Object that has this Tag  
399 would be encoded as follows:

```
400 <obj name="taggedObject">  
401 <obj name="bar" ts="foo"/>  
402 </obj>
```

## 403 4.2.7 Facets

404 All Objects can be annotated with a predefined set of attributes called *Facets*. Facets provide additional  
405 meta-data about the Object. The set of available Facets is: `displayName`, `display`, `icon`, `min`, `max`,  
406 `precision`, `range`, `status`, `tz`, `unit`, `writable`, `of`, `in`, and `out`. Although OBIX predefines a  
407 number of Facets, vendors MAY add additional Facets. Vendors that wish to annotate Objects with  
408 additional Facets SHOULD use XML namespace qualified attributes.

### 409 4.2.7.1 displayName

410 The `displayName` Facet provides a localized human readable name of the Object stored as an  
411 `xs:string`:

```
412 <obj name="spaceTemp" displayName="Space Temperature"/>
```

413 Typically the `displayName` Facet SHOULD be a localized form of the `name` attribute. There are no  
414 restrictions on `displayName` overrides from the Contract (although it SHOULD be uncommon since  
415 `displayName` is just a human friendly version of `name`).

### 416 4.2.7.2 display

417 The `display` Facet provides a localized human readable description of the Object stored as an  
418 `xs:string`:

```
419 <bool name="occupied" val="false" display="Unoccupied"/>
```

420 There are no restrictions on `display` overrides from the Contract.

421 The `display` attribute serves the same purpose as `Object.toString()` in Java or C#. It provides a general  
422 way to specify a string representation for all Objects. In the case of value Objects (like `bool` or `int`) it  
423 SHOULD provide a localized, formatted representation of the `val` attribute.

### 424 4.2.7.3 icon

425 The `icon` Facet provides a URI reference to a graphical icon which may be used to represent the Object  
426 in an user agent:

```
427 <obj icon="/icons/equipment.png"/>
```

428 The contents of the `icon` attribute MUST be a URI to an image file. The image file SHOULD be a 16x16  
429 PNG file, defined in the [PNG] specification. There are no restrictions on `icon` overrides from the  
430 Contract.

### 431 4.2.7.4 min

432 The `min` Facet is used to define an inclusive minimum value:

```
433 <int min="5" val="6"/>
```

434 The contents of the `min` attribute MUST match its associated `val` type. The `min` Facet is used with `int`,  
435 `real`, `abstime`, `date`, `time`, and `reltime` to define an inclusive lower limit of the value space. It is  
436 used with `str` to indicate the minimum number of Unicode characters of the string. It is used with `list` to  
437 indicate the minimum number of child Objects (named or unnamed). Overrides of the `min` Facet may only  
438 narrow the value space using a larger value. The `min` Facet MUST never be greater than the `max` Facet  
439 (although they MAY be equal).

### 440 4.2.7.5 max

441 The `max` Facet is used to define an inclusive maximum value:

```
442 <real max="70" val="65"/>
```

443 The contents of the `max` attribute MUST match its associated `val` type. The `max` Facet is used with `int`,  
444 `real`, `abstime`, `date`, `time`, and `reltime` to define an inclusive upper limit of the value space. It is

445 used with `str` to indicate the maximum number of Unicode characters of the string. It is used with `list`  
 446 to indicate the maximum number of child Objects (named or unnamed). Overrides of the `max` Facet may  
 447 only narrow the value space using a smaller value. The `max` Facet MUST never be less than the `min`  
 448 Facet (although they MAY be equal).

#### 449 4.2.7.6 precision

450 The `precision` Facet is used to describe the number of decimal places to use for a `real` value:

```
451 <real precision="2" val="75.04"/>
```

452 The contents of the `precision` attribute MUST be `xs:int`. The value of the `precision` attribute  
 453 equates to the number of meaningful decimal places. In the example above, the value of 2 indicates two  
 454 meaningful decimal places: "75.04". Typically precision is used by client applications which do their own  
 455 formatting of `real` values. There are no restrictions on `precision` overrides.

#### 456 4.2.7.7 range

457 The `range` Facet is used to define the value space of an enumeration. A `range` attribute is a URI  
 458 reference to an `obix:Range` Object (see Section 11.2). It is used with the `bool` and `enum` types:

```
459 <enum range="/enums/offSlowFast" val="slow"/>
```

460 The override rule for `range` is that the specified range MUST inherit from the Contract's range.  
 461 Enumerations are unusual in that specialization of an `enum` usually involves adding new items to the  
 462 range. Technically this is widening the `enum`'s value space, rather than narrowing it. But in practice,  
 463 adding items into the range is the desired behavior.

#### 464 4.2.7.8 status

465 The `status` Facet is used to annotate an Object about the quality and state of the information:

```
466 <real val="67.2" status="alarm"/>
```

467 Status is an enumerated string value with one of the following values from Table 4-2 (in ascending  
 468 priority):

Status	Description
<code>ok</code>	The <code>ok</code> state indicates normal status. This is the assumed default state for all Objects.
<code>overridden</code>	The <code>overridden</code> state means the data is ok, but that a local override is currently in effect. An example of an override might be the temporary override of a setpoint from its normal scheduled setpoint.
<code>unacked</code>	The <code>unacked</code> state is used to indicate a past alarm condition which remains unacknowledged.
<code>alarm</code>	This state indicates the Object is currently in the alarm state. The alarm state typically means that an Object is operating outside of its normal boundaries. In the case of an analog point this might mean that the current value is either above or below its configured limits. Or it might mean that a digital sensor has transitioned to an undesired state. See Alarming (Section 15) for additional information.
<code>unackedAlarm</code>	The <code>unackedAlarm</code> state indicates there is an existing alarm condition which has not been acknowledged by a user – it is the combination of the <code>alarm</code> and <code>unacked</code> states. The difference between <code>alarm</code> and <code>unackedAlarm</code> is that <code>alarm</code> implies that a user has already acknowledged the alarm or that no human acknowledgement is necessary for the alarm condition. The difference between <code>unackedAlarm</code> and <code>unacked</code> is that the Object has returned to a normal state.

<b>down</b>	The <code>down</code> state indicates a communication failure.
<b>fault</b>	The <code>fault</code> state indicates that the data is invalid or unavailable due to a failure condition - data which is out of date, configuration problems, software failures, or hardware failures. Failures involving communications SHOULD use the <code>down</code> state.
<b>disabled</b>	This state indicates that the Object has been disabled from normal operation (out of service). In the case of operations and Feeds, this state is used to disable support for the operation or Feed.

469 *Table 4-1. Status enumerations in OBIX.*

470 Status MUST be one of the enumerated strings above. It might be possible in the native system to exhibit  
471 multiple status states simultaneously, however when mapping to OBIX the highest priority status  
472 SHOULD be chosen – priorities are ranked from top (disabled) to bottom (ok).

#### 473 4.2.7.9 tz

474 The `tz` Facet is used to annotate an `abstime`, `date`, or `time` Object with a timezone. The value of a `tz`  
475 attribute is a `zoneinfo` string identifier, as specified in the IANA Time Zone (**[ZoneInfo DB]**) database. The  
476 `zoneinfo` database defines the current and historical rules for each zone including its offset from UTC and  
477 the rules for calculating daylight saving time. OBIX does not define a Contract for modeling timezones,  
478 instead it just references the `zoneinfo` database using standard identifiers. It is up to OBIX enabled  
479 software to map `zoneinfo` identifiers to the UTC offset and daylight saving time rules.

480 The following rules are used to compute the timezone of an `abstime`, `date`, or `time` Object:

- 481 1. If the `tz` attribute is specified, set the timezone to `tz`;
- 482 2. Otherwise, if the Contract defines an inherited `tz` attribute, set the timezone to the inherited `tz`  
483 attribute;
- 484 3. Otherwise, set the timezone to the Server's timezone as defined by the lobby's `About.tz`.

485 When using timezones, an implementation MUST specify the timezone offset within the value  
486 representation of an `abstime` or `time` Object. It is an error condition for the `tz` Facet to conflict with the  
487 timezone offset. For example, New York has a -5 hour offset from UTC during standard time and a -4  
488 hour offset during daylight saving time:

```
489 <abstime val="2007-12-25T12:00:00-05:00" tz="America/New_York"/>  

490 <abstime val="2007-07-04T12:00:00-04:00" tz="America/New_York"/>
```

#### 491 4.2.7.10 unit

492 The `unit` Facet defines a unit of measurement in the **[SI Units]** system. A `unit` attribute is a URI  
493 reference to an `obix:Unit` Object (see section 11.5 for the Contract definition). It is used with the `int`  
494 and `real` types:

```
495 <real unit="obix:units/fahrenheit" val="67.2"/>
```

496 It is recommended that the `unit` Facet not be overridden if declared in a Contract. If it is overridden, then  
497 the override SHOULD use a `Unit` Object with the same dimensions as the Contract (it must measure the  
498 same physical quantity).

#### 499 4.2.7.11 writable

500 The `writable` Facet specifies if this Object can be written by the Client. If `false` (the default), then the  
501 Object is read-only. It is used with all types except `op` and `feed`:

```
502 <str name="userName" val="jsmith" writable="false"/>  

503 <str name="fullName" val="John Smith" writable="true"/>
```

504 The `writable` Facet describes only the ability of Clients to modify this Object's value, not the ability of  
505 Clients to add or remove children of this Object. Servers MAY allow addition or removal of child Objects

506 independently of the writability of existing objects. If a Server does not support addition or removal of  
507 Object children through writes, it MUST return an appropriate error response (see Section 10.2 for  
508 details).

#### 509 4.2.7.12 of

510 The `of` Facet specifies the type of child Objects contained by this Object. The value of this attribute  
511 MUST be a Contract List, which is described in detail in Section 7.2. [All Objects in the `list` MUST](#)  
512 [implement all of the Contracts in the Contract List, as Clients will expect that Objects retrieved from the](#)  
513 [list will provide the syntactic and semantic behaviors of each of the Contracts in the Contract List.](#) This  
514 Facet is used with `list` and `ref` types, as explained in Sections 4.3.2 and 4.3.3, respectively.

#### 515 4.2.7.13 in

516 The `in` Facet specifies the input argument type used by this Object. The value of this attribute MUST be  
517 a Contract List, which is described in detail in Section 7.2. [The Object provided to the Server by the](#)  
518 [Client using the input argument MUST implement all of the Contracts in the Contract List defined in the](#)  
519 [in Facet. As a result, the Server MAY depend upon the syntactic and semantic behaviors described by](#)  
520 [each of the Contracts in the Contract List.](#) This Facet is used with `op` and `feed` types. Its use is  
521 described with the definition of those types in Section 4.3.5 for `op` and 4.3.6 for `feed`.

#### 522 4.2.7.14 out

523 The `out` Facet specifies the output argument type used by this Object. The value of this attribute MUST  
524 be a Contract List, which is described in detail in Section 7.2. [The Object returned to the Client by the](#)  
525 [Server as the result of executing the operation MUST implement all of the Contracts in the Contract List.](#)  
526 [As a result, the Client MAY depend upon the syntactic and semantic behaviors described by each of the](#)  
527 [Contracts in the Contract List.](#) This Facet is used with the `op` type. Its use is described with the definition  
528 of that type in Section 4.3.5.

## 529 4.3 Core Types

530 OBIX defines a handful of core types which derive from Object.

### 531 4.3.1 val

532 Certain types are allowed to have a `val` attribute and are called “value” types. The `val` type is not  
533 directly used (it is “abstract”). It simply reflects that instances of the type may contain a `val` attribute, as  
534 it is used to represent an object that has a specific value. In object-oriented terms, the base OBIX `val`  
535 type is an abstract class, and its subtypes are concrete classes that inherit from that abstract class. The  
536 different Value Object types defined for OBIX are listed in Table 4-3.

Type Name	Usage
<code>bool</code>	stores a boolean value – true or false
<code>int</code>	stores an integer value
<code>real</code>	stores a floating point value
<code>str</code>	stores a UNICODE string
<code>enum</code>	stores an enumerated value within a fixed range
<code>abstime</code>	stores an absolute time value (timestamp)
<code>reltime</code>	stores a relative time value (duration or time span)

date	stores a specific date as day, month, and year
time	stores a time of day as hour, minutes, and seconds
uri	stores a Universal Resource Identifier

537 *Table 4-2. Value Object types.*

538 Note that any Value typed Object can also contain sub-Objects.

### 539 4.3.1.1 bool

540 The `bool` type represents a boolean condition of either true or false. Its `val` attribute maps to  
541 `xs:boolean` defaulting to false. The literal value of a `bool` MUST be "true" or "false" (the literals "1" and  
542 "0" are not allowed). The Contract definition is:

543 

```
<bool href="obix:bool" is="obix:obj" val="false" null="false"/>
```

544 This defines an Object that can be referenced via the URI `obix:bool`, which extends the `obix:obj` type.  
545 Its default value is false, and its `null` attribute is false by default. The optional attribute `range` is not  
546 present in the Contract definition, which means that there is no standard range of values attached to an  
547 `obix:bool` by default.

548 Here is an example of an `obix:bool` which defines its range:

549 

```
<bool val="true" range="#myRange">  

550 <list href="#myRange" is="obix:Range">  

551 <obj name="false" displayName="Inactive"/>  

552 <obj name="true" displayName="Active"/>  

553 </list>  

554 </bool>
```

555 The range attribute specifies a local fragment reference to its `myRange` child, where the intended display  
556 names for the false and true states are listed.

### 557 4.3.1.2 int

558 The `int` type represents an integer number. Its `val` attribute maps to `xs:long` as a 64-bit integer with a  
559 default of 0. The Contract definition is:

560 

```
<int href="obix:int" is="obix:obj" val="0" null="false"/>
```

561 This defines an Object that can be referenced via the URI `obix:int`, which extends the `obix:obj` type. Its  
562 default value is 0, and its `null` attribute is false by default. The optional attributes `min`, `max`, and `unit`  
563 are not present in the Contract definition, which means that no minimum, maximum, or units are attached  
564 to an `obix:int` by default.

565 An example:

566 

```
<int val="52" min="0" max="100"/>
```

567 This example shows an `obix:int` with a value of 52. The int may take on values between a minimum of 0  
568 and a maximum of 100. No units are attached to this value.

### 569 4.3.1.3 real

570 The `real` type represents a floating point number. Its `val` attribute maps to `xs:double` as an IEEE  
571 64-bit floating point number with a default of 0. The Contract definition is:

572 

```
<real href="obix:real" is="obix:obj" val="0" null="false"/>
```

573 This defines an Object that can be referenced via the URI `obix:real`, which extends the `obix:obj` type.  
574 Its default value is 0, and its `null` attribute is false by default. The optional attributes `min`, `max`, and  
575 `unit` are not present in the Contract definition, which means that no minimum, maximum, or units are  
576 attached to an `obix:real` by default.

577 An example:

578 `<real val="31.06" name="spcTemp" displayName="Space Temp" unit="obix:units/celsius"/>`

579 This example has provided a value for the `name` and `displayName` attributes, and has specified units to  
580 be attached to the value through the `unit` attribute.

#### 581 **4.3.1.4 str**

582 The `str` type represents a string of Unicode characters. Its `val` attribute maps to `xs:string` with a  
583 default of the empty string. The Contract definition is:

584 `<str href="obix:str" is="obix:obj" val="" null="false"/>`

585 This defines an Object that can be referenced via the URI `obix:str`, which extends the `obix:obj` type. Its  
586 default value is an empty string, and its `null` attribute is false by default. The optional attributes `min` and  
587 `max` are not present in the Contract definition, which means that no minimum or maximum are attached to  
588 an `obix:str` by default. The `min` and `max` attributes are constraints on the character length of the  
589 string, not the 'value' of the string.

590 An example:

591 `<str val="hello world"/>`

#### 592 **4.3.1.5 enum**

593 The `enum` type is used to represent a value which must match a finite set of values. The finite value set is  
594 called the *range*. The `val` attribute of an `enum` is represented as a string key using `xs:string`. Enums  
595 default to null. The range of an `enum` is declared via Facets using the `range` attribute. The Contract  
596 definition is:

597 `<enum href="obix:enum" is="obix:obj" val="" null="true"/>`

598 This definition overrides the value of the `null` attribute so that by default, an `obix:enum` has a null  
599 value. The `val` attribute by default is assigned an empty string, although this value is not used directly.  
600 The inheritance of the `null` attribute is described in detail in Section 7.4.3.

601 An example:

602 `<enum range="/enums/offSlowFast" val="slow"/>`

603 In this example, the `val` attribute is specified, so the `null` attribute is implied to be false. See Section  
604 7.4.3 for details on the inheritance of the `null` attribute. The range is also specified with a URI. A  
605 consumer of this Object would be able to get the resource at that location to determine the list of tags that  
606 are associated with this `enum`.

#### 607 **4.3.1.6 abstime**

608 The `abstime` type is used to represent an absolute point in time. Its `val` attribute maps to  
609 `xs:dateTime`, with the exception that it MUST contain the timezone. According to [XML Schema] Part 2  
610 section 3.2.7.1, the lexical space for `abstime` is:

611 `'-'? yyyy '-' mm '-' dd 'T' hh ':' mm ':' ss ('.' s+)? (zzzzzz)`

612 `Abstimes` default to null. The Contract definition is:

613 `<abstime href="obix:abstime" is="obix:obj" val="1970-01-01T00:00:00Z" null="true"/>`

614 The Contract Definition for `obix:abstime` also overrides the `null` attribute to be true. The default value  
615 of the `val` attribute is thus not important.

616 An example for 9 March 2005 at 1:30PM GMT:

617 `<abstime val="2005-03-09T13:30:00Z"/>`

618 In this example, the `val` attribute is specified, so the `null` attribute is implied to be false. See Section  
619 7.4.3 for details on the inheritance of the `null` attribute.

620 The timezone offset is REQUIRED, so the `abstime` can be used to uniquely relate the `abstime` to UTC.  
621 The optional `tz` Facet is used to specify the timezone as a zoneinfo identifier. This provides additional  
622 context about the timezone, if available. The timezone offset of the `val` attribute MUST match the offset

623 for the timezone specified by the `tz` Facet, if it is also used. See the `tz` Facet section for more  
624 information.

#### 625 **4.3.1.7 reltime**

626 The `reltime` type is used to represent a relative duration of time. Its `val` attribute maps to  
627 `xs:duration` with a default of 0 seconds. The Contract definition is:

```
628 <reltime href="obix:reltime" is="obix:obj" val="PT0S" null="false"/>
```

629 The Contract Definition for `obix:reltime` sets the default values of the `val` and `null` attributes. In  
630 contrast to `obix:abstime`, here the `null` attribute is specified to be false. The default value is 0  
631 seconds, expressed according to **[XML Schema]** as "PT0S".

632 An example of a reltime which is constrained to be between 0 and 60 seconds, with a current value of 15  
633 seconds:

```
634 <reltime val="PT15S" min="PT0S" max="PT60S"/>
```

#### 635 **4.3.1.8 date**

636 The `date` type is used to represent a day in time as a day, month, and year. Its `val` attribute maps to  
637 `xs:date`. According to XML Schema Part 2 section 3.2.9.1, the lexical space for `date` is:

```
638 '-'? yyyy '-' mm '-' dd
```

639 Date values in OBIX MUST omit the timezone offset and MUST NOT use the trailing "Z". Only the `tz`  
640 attribute SHOULD be used to associate the date with a timezone. Date Objects default to null. The  
641 Contract definition is described here and is interpreted in similar fashion to `obix:abstime`.

```
642 <date href="obix:date" is="obix:obj" val="1970-01-01" null="true"/>
```

643 An example for 26 November 2007:

```
644 <date val="2007-11-26"/>
```

645 In this example, the `val` attribute is specified, so the `null` attribute is implied to be false. See Section  
646 7.4.3 for details on the inheritance of the `null` attribute.

647 The `tz` Facet is used to specify the timezone as a `zoneinfo` identifier. See the `tz` Facet section for more  
648 information.

#### 649 **4.3.1.9 time**

650 The `time` type is used to represent a time of day in hours, minutes, and seconds. Its `val` attribute maps  
651 to `xs:time`. According to **[XML Schema]** Part 2 section 3.2.8, the lexical space for `time` is the left  
652 truncated representation of `xs:dateTime`:

```
653 hh ':' mm ':' ss ('.' s+)?
```

654 Time values in OBIX MUST omit the timezone offset and MUST NOT use the trailing "Z". Only the `tz`  
655 attribute SHOULD be used to associate the time with a timezone. Time Objects default to null. The  
656 Contract definition is:

```
657 <time href="obix:time" is="obix:obj" val="00:00:00" null="true"/>
```

658 An example representing a wake time, which (in this example at least) must be between 7 and 10AM:

```
659 <time val="08:15:00" min="07:00:00" max="10:00:00"/>
```

660 In this example, the `val` attribute is specified, so the `null` attribute is implied to be false. See Section  
661 7.4.3 for details on the inheritance of the `null` attribute.

662 The `tz` Facet is used to specify the timezone as a `zoneinfo` identifier. See the `tz` Facet section for more  
663 information.

#### 664 4.3.1.10 uri

665 The `uri` type is used to store a URI reference. Unlike a plain old `str`, a `uri` has a restricted lexical  
666 space as defined by [RFC3986] and the XML Schema `xs:anyURI` type. OBIX Servers MUST use the  
667 URI syntax described by [RFC3986] for identifying resources. OBIX Clients MUST be able to navigate  
668 this URI syntax. Most URIs will also be a URL, meaning that they identify a resource and how to retrieve  
669 it (typically via HTTP). The Contract definition is:

```
670 <uri href="obix:uri" is="obix:obj" val="" null="false"/>
```

671 An example for the OBIX home page:

```
672 <uri val="http://obix.org/" />
```

#### 673 4.3.2 list

674 The `list` type is a specialized Object type for storing a list of other Objects. The primary advantage of  
675 using a `list` versus a generic `obj` is that `lists` can specify a common Contract for their contents using  
676 the `of` attribute. If specified, the `of` attribute MUST be a list of URIs formatted as a Contract List. The  
677 definition of `list` is:

```
678 <list href="obix:list" is="obix:obj" of="obix:obj"/>
```

679 This definition states that the `obix:list` type contains elements that are themselves OBIX Objects,  
680 because the `of` attribute value is `obix:obj`. Instances of the `obix:list` type can provide a different  
681 value for `of` to indicate the type of Objects they contain.

682 An example list of strings:

```
683 <list of="obix:str">  
684 <str val="one"/>  
685 <str val="two"/>  
686 </list>
```

687 Because `lists` typically have constraints on the URIs used for their child elements, they use special  
688 semantics for adding children. `Lists` are discussed in greater detail along with Contracts in section 7.8.

#### 689 4.3.3 ref

690 The `ref` type is used to create an external reference to another OBIX Object. It is the OBIX equivalent of  
691 the HTML anchor tag. The Contract definition is:

```
692 <ref href="obix:ref" is="obix:obj"/>
```

693 A `ref` element MUST always specify an `href` attribute. A `ref` element SHOULD specify the type of the  
694 referenced object using the `is` attribute. A `ref` element referencing a `list` (`is="obix:list"`)  
695 SHOULD specify the type of the Objects contained in the `list` using the `of` attribute. References are  
696 discussed in detail in section 9.2.

#### 697 4.3.4 err

698 The `err` type is a special Object used to indicate an error. Its actual semantics are context dependent.  
699 Typically `err` Objects SHOULD include a human readable description of the problem via the `display`  
700 attribute. The Contract definition is:

```
701 <err href="obix:err" is="obix:obj"/>
```

#### 702 4.3.5 op

703 The `op` type is used to define an operation. All operations take one input Object as a parameter, and  
704 return one Object as an output. The input and output Contracts are defined via the `in` and `out` attributes.  
705 The Contract definition is:

```
706 <op href="obix:op" is="obix:obj" in="obix:Nil" out="obix:Nil"/>
```

707 Operations are discussed in detail in Section 8.

708 **4.3.6 feed**

709 The `feed` type is used to define a topic for a Feed of events. Feeds are used with Watches to subscribe  
710 to a stream of events such as alarms. A Feed SHOULD specify the event type it fires via the `of` attribute.  
711 The `in` attribute can be used to pass an input argument when subscribing to the Feed (a filter for  
712 example).

713 

```
<feed href="obix:feed" is="obix:obj" in="obix:Nil" of="obix:obj"/>
```

714 Feeds are subscribed via Watches. This is discussed in Section 12.

## 715 5 Lobby

### 716 5.1 Lobby Object

717 All OBIX Servers MUST contain an Object which implements `obix:Lobby`. The Lobby Object serves as  
718 the central entry point into an OBIX Server, and lists the URIs for other well-known Objects defined by the  
719 OBIX Specification. Theoretically all a Client needs to know to bootstrap discovery is one URI for the  
720 Lobby instance. By convention this URI is "`http://<server-ip-address>/obix`", although vendors are  
721 certainly free to pick another URI. The Lobby Contract is:

```
722 <obj href="obix:Lobby">  
723   <ref name="about" is="obix>About"/>  
724   <op name="batch" in="obix:BatchIn" out="obix:BatchOut"/>  
725   <ref name="watchService" is="obix:WatchService"/>  
726   <list name="tagspaces" of="obix:uri" null="true"/>  
727   <list name="encodings" of="obix:str" null="true"/>  
728   <list name="bindings" of="obix:stturi" null="true"/>  
729 </obj>
```

730 The following rules apply to the Lobby object:

- 731 1. The Lobby MUST provide a `ref` to an Object which implements the `obix>About` Contract as  
732 described in Section 5.1.
- 733 2. The Lobby MUST provide an `op` to invoke batch operations using the `obix:BatchIn` and  
734 `obix:BatchOut` Contracts as described in Section 5.2.
- 735 3. The Lobby MUST provide a `ref` to an Object which implements the `obix:WatchService`  
736 Contract as described in Section 5.3.
- 737 4. The Lobby MUST provide a `list` of the tag spaces referenced as described in Section 5.5.1.
- 738 5. The Lobby MUST provide a `list` of the encodings supported as described in Section 5.5.3.
- 739 6. The Lobby MUST provide a `list` of the bindings supported as described in Section 5.5.4.

740 The Lobby instance is where implementers SHOULD place vendor-specific Objects used for data and  
741 service discovery. The standard Objects defined in the Lobby Contract are described in the following  
742 Sections.

743 Because the Lobby Object is the primary entry point into an OBIX Server, it also serves as the primary  
744 *attack* point for malicious entities. With that in mind, it is important that implementers of OBIX Servers  
745 consider carefully how to address security concerns. Servers SHOULD ensure that Clients are properly  
746 authenticated and authorized before providing any information or performing any requested actions.  
747 Even providing Lobby information can significantly increase the attack surface of an OBIX Server. For  
748 instance, malicious Clients could make use of the Batch Service to issue further requests, or could  
749 reference items from the About section to search the web for any reported vulnerabilities associated with  
750 the Server's vendor.

### 751 5.2 About

752 The `obix>About` Object is a standardized list of summary information about an OBIX Server. Clients can  
753 discover the About URI directly from the Lobby. The About Contract is:

```
754 <obj href="obix>About">  
755   <str name="obixVersion"/>  
756   <str name="serverName"/>  
757   <abstime name="serverTime"/>  
758   <abstime name="serverBootTime"/>  
759   <str name="vendorName"/>  
760  
761  
762 </obj>
```

```
763 <uri name="vendorUrl"/>
764
765 <str name="productName"/>
766 <str name="productVersion"/>
767 <uri name="productUrl"/>
768
769 <str name="tz"/>
770 </obj>
```

771  
772 The following children provide information about the OBIX implementation:

- 773 • **obixVersion**: specifies which version of the OBIX specification the Server implements. This  
774 string MUST be a list of decimal numbers separated by the dot character (Unicode 0x2E). The  
775 current version string is "1.1".

776 The following children provide information about the Server itself:

- 777 • **serverName**: provides a short localized name for the Server.
- 778 • **serverTime**: provides the Server's current local time.
- 779 • **serverBootTime**: provides the Server's start time - this SHOULD be the start time of the OBIX  
780 Server software, not the machine's boot time.

781 The following children provide information about the Server's software vendor:

- 782 • **vendorName**: the company name of the vendor who implemented the OBIX Server software.
- 783 • **vendorUrl**: a URL to the vendor's website.

784 The following children provide information about the software product running the Server:

- 785 • **productName**: with the product name of OBIX Server software.
- 786 • **productUrl**: a URL to the product's website.
- 787 • **productVersion**: a string with the product's version number. Convention is to use decimal  
788 digits separated by dots.

789 The following children provide additional miscellaneous information:

- 790 • **tz**: specifies a zoneinfo identifier for the Server's default timezone.

## 791 5.3 Batch

792 The `Lobby` defines a `batch` operation which allows Clients to group multiple OBIX requests together into  
793 a single operation. Grouping multiple requests together can often provide significant performance  
794 improvements over individual round-robin network requests. As a general rule, one big request will  
795 always out-perform many small requests over a network.

796 A batch request is an aggregation of read, write, and invoke requests implemented as a standard OBIX  
797 operation. At the protocol binding layer, it is represented as a single invoke request using the  
798 `Lobby.batch` URI. Batching a set of requests to a Server MUST be processed semantically equivalent  
799 to invoking each of the requests individually in a linear sequence.

800 The batch operation inputs a `BatchIn` Object and outputs a `BatchOut` Object:

```
801 <list href="obix:BatchIn" of="obix:uri"/>
802
803 <list href="obix:BatchOut" of="obix:obj"/>
```

804 The `BatchIn` Contract specifies a list of requests to process identified using the `Read`, `Write`, or  
805 `Invoke` Contract:

```
806 <uri href="obix:Read"/>
807
808 <uri href="obix:Write">
809 <obj name="in"/>
810 </uri>
```

```
812 <uri href="obix:Invoke">
813 <obj name="in"/>
814 </uri>
```

815 The `BatchOut` Contract specifies an ordered list of the response Objects to each respective request. For  
816 example the first Object in `BatchOut` must be the result of the first request in `BatchIn`. Failures are  
817 represented using the `err` Object. Every `uri` passed via `BatchIn` for a read or write request MUST  
818 have a corresponding result `obj` in `BatchOut` with an `href` attribute using an identical string  
819 representation from `BatchIn` (no normalization or case conversion is allowed).

820 It is up to OBIX Servers to decide how to deal with partial failures. In general idempotent requests  
821 SHOULD indicate a partial failure using `err`, and continue processing additional requests in the batch. If  
822 a Server decides not to process additional requests when an error is encountered, then it is still  
823 REQUIRED to return an `err` for each respective request not processed.

824 Let's look at a simple example:

```
825 <list is="obix:BatchIn">
826 <uri is="obix:Read" val="/someStr"/>
827 <uri is="obix:Read" val="/invalidUri"/>
828 <uri is="obix:Write" val="/someStr">
829 <str name="in" val="new string value"/>
830 </uri>
831 </list>
832
833 <list is="obix:BatchOut">
834 <str href="/someStr" val="old string value"/>
835 <err href="/invalidUri" is="obix:BadUriErr" display="href not found"/>
836 <str href="/someStr" val="new string value">
837 </list>
```

838 In this example, the batch request is specifying a read request for `/someStr` and `/invalidUri`, followed by  
839 a write request to `/someStr`. Note that the write request includes the value to write as a child named `in`.  
840 The Server responds to the batch request by specifying exactly one Object for each request URI. The first  
841 read request returns a `str` Object indicating the current value identified by `/someStr`. The second read  
842 request contains an invalid URI, so the Server returns an `err` Object indicating a partial failure and  
843 continues to process subsequent requests. The third request is a write to `someStr`. The Server updates  
844 the value at `someStr`, and returns the new value. Note that because the requests are processed in  
845 order, the first request provides the original value of `someStr` and the third request contains the new  
846 value. This is exactly what would be expected had each of the requests been individually processed.

## 847 5.4 WatchService

848 The WatchService is an important mechanism for providing data from a Server. As such, this  
849 specification devotes an entire Section to the description of Watches, and of the WatchService. Section  
850 12 covers Watches in detail.

## 851 5.5 Server Metadata

852 Several components of the Lobby provide additional information about the Server's implementation of the  
853 OBIX specification. This is to be used by Clients to allow them to tailor their interaction with the Server  
854 based on mutually interoperable capabilities. The following subsections describe these components.

### 855 5.5.1 Tag Spaces

856 Any semantic models, such as tag dictionaries, used by the Server for presenting metadata about its  
857 Objects, are declared in a *Tag Space*. This is a collection of names-of-called *Tags*, that relate to a  
858 particular usage or industry. Tag Spaces used by a Server MUST be identified in the Lobby in the  
859 `tagspaces` element, which is a list of `uris`. The name of each `uri` MUST be the name that is  
860 referenced by the Server when presenting Tags. A more descriptive name MAY be provided in the  
861 `displayName` Facet. The `val` of the `uri` MUST contain the reference location for this model or  
862 dictionary. In order to prevent conflicts when the source of the referenced Tag Space is updated, the

863 Server MUST provide version information, if it is available, for the Tag Space in the `uri` element. Version  
864 information MUST be expressed as a child `str` element with the name "version". If the Tag Space  
865 publication source does not provide version information, then the Server MUST provide the time of  
866 retrieval from the publication source of the Tag Space. Retrieval time MUST be expressed as a child  
867 `abstime` element with the name "retrieved". With this information, a Client can use the appropriate  
868 version of the model or dictionary for interpreting the Server metadata. Clients MUST use the `version`  
869 element, if it exists, and `retrieved` as a fallback, for identifying which revision of the Tag Space to use  
870 in interpreting Tags presented by the Server. A Server MAY include the `retrieved` element in addition  
871 to the `version` element, so a Client MUST NOT use `retrieved` unless `version` is not present. For  
872 example, a Server that makes use of both an HVAC tag dictionary and a Building Terms tag dictionary  
873 might express these models in the following way:

```
874 <obj is="obix:Lobby">  
875   <!-- ... other lobby items ...-->  
876   <list name="tagspaces" of="obix:uri">  
877     <uri name="hvac" displayName="HVAC Tag Dictionary"  
878     val="http://example.com/tags/hvac">  
879       <str name="version" val="1.0.42"/>  
880     </uri>  
881     <uri name="bldg" displayName="Building Terms Dictionary"  
882     val="http://example.com/tags/building">  
883       <abstime name="retrieved" val="2014-07-01T10:39:00Z"/>  
884     </uri>  
885   </list>  
886 </obj>
```

887 [Namespaces in XML are similar to Tag Spaces, but not identical. Namespaces are required by XML](#)  
888 [encoding rules, when encoding an Object in XML. A Tag Space, as a simple collection of Tags defined](#)  
889 [by a Tag dictionary, may not even have an XML expression. Consequently, all Namespaces are](#)  
890 [essentially Tag Spaces, but not all Tag Spaces are XML Namespaces. XML Namespaces are not](#)  
891 [required for other encodings like JSON, but an Implementation MAY include them.](#)

892 [If a particular tag dictionary provides an XML representation, then it can be used in validating the XML](#)  
893 [encoded Objects that use that Tag Space. An XML Namespace, such as the OBIX Namespace defined](#)  
894 [by obix:, is treated just like a Tag Space. Every OBIX Implementation MUST be able to reference and](#)  
895 [retrieve objects in the OBIX Tag Space, and this space MUST be assumed if the space for a Tag is not](#)  
896 [included in the Object being decoded by an Implementation. Encoding Implementations MAY include the](#)  
897 [OBIX Tag Space for Objects referencing it.](#)

898 One caveat to this behavior is that the presentation of the usage of a particular semantic model may  
899 divulge unwanted information about the Server. For instance, a Server that makes use of a medical tag  
900 dictionary and presents this in the Lobby may be undesirably advertising itself as an interesting target for  
901 individuals attempting to access confidential medical records. Therefore, Servers SHOULD protect this  
902 section of the Lobby by only including it in communication to authenticated, authorized Clients.

## 903 5.5.2 Versioning [non-normative]

904 Each of the subsequent subsections describes a set of [URI Objects](#) that describe specifications to  
905 which a Server is implemented. These specifications are expected to change over time, and the Server  
906 implementation may not be updated at the same pace. Therefore, a Server implementation MAY wish to  
907 provide versioning information with the [URI Objects](#) that describes the date on which the specification  
908 was retrieved. This information SHOULD be included as a child element of the `uri`. It SHOULD be  
909 included as a `str` with the name 'version', containing the version information, if the source [being](#)  
910 [referenced](#) provides it. If version information is not available, it SHOULD be included as an `abstime` with  
911 the name 'retrieved' and the time at which the version used by the Server was retrieved from the  
912 source. [The following example shows the structure of the Lobby for a sample Server that provides an](#)  
913 [HTTP Binding using the OBIX REST Binding and a separate non-standard binding. Note that the actual](#)  
914 [conversation between the Client and Server is subject to the rules governing the marshaling of Objects](#)  
915 [with respect to their Extents, and may not include this complete structure. See Section 9.3 for a](#)  
916 [discussion of how Extents are used in OBIX.](#)

```
917 <obj is="obix:Lobby">
```

```

918 {... other lobby items ...}
919 <list name="bindings" of="obix:uri">
920 <uri name="http" displayName="HTTP Binding" val="http://docs.oasis-
921 open.org/obix/obix-rest/v1.0/obix-rest-v1.0.pdf">
922 <abstime name="retrieved" val="2013-11-26T3:14:15.926Z"/>
923 </uri>
924 <uri name="myBinding" displayName="My New Binding" val="http://example.com/my-new-
925 binding.doc">
926 <str name="version" val="1.2.34"/>
927 </uri>
928 </list>
929 </obj>

```

### 930 5.5.3 Encodings

931 Servers MUST include the encodings supported in the `encodings` Lobby Object. This is a list of  
932 `str` elements. The `val` attribute of each `str` MUST be the MIME type of the encoding. A  
933 more friendly name MAY be provided in the `displayName` attribute. If the encoding is not one of the  
934 standard encodings defined in the [OBIX Encodings] document, the specification document SHOULD be  
935 included as a child uri of the list element.

936 The discovery of which encoding to use for communication between a Client and a Server is a function of  
937 the specific binding used. Both Clients and Servers SHOULD support the XML encoding, as this  
938 encoding is used by the majority of OBIX implementations. Clients and Servers MUST be able to support  
939 negotiation of the encoding to be used according to the binding's error message rules. Clients SHOULD  
940 first attempt to request communication using the desired encoding, and then fall back to other encodings  
941 as necessary based on the encodings supported by the Server.

942 For example, a Server that supports both XML and JSON encoding as defined in the [OBIX Encodings]  
943 specification would have a Lobby that appeared as follows (note the `displayName`s used are optional):

```

944 <obj is="obix:Lobby">
945 {... other lobby items ...}
946 <list name="encodings" of="obix:str">
947 <str val="text/xml" displayName="XML"/>
948 <str val="application/json" displayName="JSON"/>
949 </list>
950 </obj>

```

951 A Server that receives a request for an encoding that is not supported MUST send an `UnsupportedErr`  
952 response (see Section 10.2).

### 953 5.5.4 Bindings

954 Servers MUST include the available bindings supported in the `bindings` Lobby Object. This is a list  
955 of `uris`. The name of each `uri` SHOULD be the name of the binding as described by its corresponding  
956 specification document. Unless the binding is not a standard binding defined in the OBIX Bindings  
957 specifications, the val of the uri SHOULD be included, and SHOULD contain a reference to the binding  
958 specification.

959 Servers that support multiple bindings and encodings MAY support only certain combinations of the  
960 available bindings and encodings. For example, a Server may support XML encoding over the HTTP and  
961 SOAP bindings, but support JSON encoding only over the HTTP binding.

962 ~~A Server that receives a request for a binding/encoding pair that is not supported MUST send an~~  
963 ~~UnsupportedErr response (see Section 10.2).~~

964 For example, a Server that supports the SOAP and HTTP bindings as defined in the [OBIX REST](#) [OBIX  
965 REST] and [OBIX SOAP](#) [OBIX SOAP] specifications would have a Lobby that appeared as follows (note  
966 the `displayName`s used are optional):

```

967 <obj is="obix:Lobby">
968 {... other lobby items ...}
969 <list name="bindings" of="obix:uri">
970 <uri name="http" displayName="HTTP Binding" val="http://docs.oasis-
971 open.org/obix/obix-rest/v1.0/obix-rest-v1.0.pdf"/>

```

972     <uri name="soap" displayName="SOAP Binding" val="http://docs.oasis-  
973     open.org/obix/obix-soap/v1.0/obix-soap-v1.0.pdf"/>  
974     </list>  
975     </obj>

976     [A Server that receives a request for a binding/encoding pair that is not supported MUST send an](#)  
977     [UnsupportedErr response \(see Section 10.2\).](#)

## 978 6 Naming

979 All OBIX objects have two potential identifiers: name and href. Name is used to define the role of an  
980 Object within its parent. Names are programmatic identifiers only; the `displayName` Facet SHOULD be  
981 used for human interaction. Naming convention is to use camel case with the first character in lowercase.  
982 The primary purpose of names is to attach semantics to sub-objects. Names are also used to indicate  
983 overrides from a Contract. A good analogy to names is the field/method names of a class in Java or C#.

984 Hrefs are used to attach URIs to objects. An href is always a *URI reference*, [RFC3986], which means it  
985 might be a *relative URI or fragment URI* [RFC3986] that requires normalization against a base URI. The  
986 exception to this rule is the href of the root Object in an OBIX document – this href MUST be an absolute  
987 URI, ~~not~~ and NOT be a *relative URI reference*. This allows the root Object's href to be used as the  
988 effective base URI (`xml:base`) for normalization. A good analogy is hrefs in HTML or `XLink`, [XLINK].

989 Some Objects may have both a name and an href, just a name, just an href, or neither. It is common for  
990 objects within a list to not use names, since most lists are unnamed sequences of objects. The OBIX  
991 specification makes a clear distinction between names and hrefs - Clients MUST NOT assume any  
992 relationship between names and hrefs. From a practical perspective many vendors will likely build an href  
993 structure that mimics the name structure, but Client software MUST never assume such a relationship.

### 994 6.1 Name

995 The name of an Object is represented using the `name` attribute. Names are programmatic identifiers with  
996 restrictions on their valid character set. A name SHOULD contain only ASCII letters, digits, underbar, or  
997 dollar signs. A digit MUST NOT be used as the first character. Names SHOULD use lower Camel case  
998 per [CamelCase] with the first character in lower case, as in the examples “foo”, “fooBar”,  
999 “thisIsOneLongName”. Within a given Object, all of its direct children MUST have unique names. Objects  
1000 which don't have a `name` attribute are called *unnamed Objects*. The root Object of an OBIX document  
1001 SHOULD NOT specify a `name` attribute (but almost always has an absolute href URI).

### 1002 6.2 Href

1003 The href of an Object is represented using the `href` attribute. If specified, the root Object MUST have an  
1004 absolute URI. All other hrefs within an OBIX document are treated as potentially relative URI references.  
1005 Because the root Object's href is always an absolute URI, it may be used as the base for normalizing  
1006 relative URIs within the OBIX document. OBIX implementations MUST follow the formal rules for URI  
1007 syntax and normalization defined in [RFC3986]. Several common cases that serve as design patterns  
1008 within OBIX are considered in Section 6.3.

1009 As a general rule every Object accessible for a read MUST specify a URI. An OBIX document returned  
1010 from a read request MUST specify a root URI. However, there are certain cases where the Object is  
1011 transient, such as a computed Object from an operation invocation. In these cases there MAY not be a  
1012 root URI, meaning there is no way to retrieve this particular Object again. If no root URI is provided, then  
1013 the Server's authority URI is implied to be the base URI for resolving relative URI references.

### 1014 6.3 URI Normalization

1015 Implementers are free to use any URI schema, although the recommendation is to use URIs since they  
1016 have well defined normalization semantics. Implementations that use URIs MUST comply with the rules  
1017 and requirements described in [RFC3986]. Implementations SHOULD be able to interpret and navigate  
1018 HTTP URIs, as this is used by the majority of OBIX implementations.

1019 Perhaps one of the trickiest issues is whether the base URI ends with a slash. If the base URI doesn't  
1020 end with a slash, then a relative URI is assumed to be relative to the base's parent (to match HTML). If  
1021 the base URI does end in a slash, then relative URIs can just be appended to the base. In practice,  
1022 systems organized into hierarchical URIs SHOULD always specify the base URI with a trailing slash.

1023 Retrieval with and without the trailing slash SHOULD be supported with the resulting OBIX document  
1024 always adding the implicit trailing slash in the root Object's href.

## 1025 6.4 Fragment URIs

1026 It is not uncommon to reference an Object internal to an OBIX document. This is achieved using fragment  
1027 URI references starting with the "#.#" as described in Section 3.5 of [\[RFC3986\]](#). Consider the example:

```
1028 <obj href="http://server/whatever/">  
1029   <enum name="switch1" range="#onOff" val="on"/>  
1030   <enum name="switch2" range="#onOff" val="off"/>  
1031   <list is="obix:Range" href="onOff">  
1032     <obj name="on"/>  
1033     <obj name="off"/>  
1034   </list>  
1035 </obj>
```

1036 In this example there are two Objects with a range Facet referencing a fragment URI. Any URI reference  
1037 starting with "#" MUST be assumed to reference an Object within the same OBIX document. Clients  
1038 SHOULD NOT perform another URI retrieval to dereference the Object. In this case the Object being  
1039 referenced is identified via the href attribute.

1040 In the example above the Object with an href of "onOff" is both the target of the fragment URI, but also  
1041 has the absolute URI "http://server/whatever/onOff". But consider an Object that was the target of a  
1042 fragment URI within the document, but could not be directly addressed using an absolute URI. In that  
1043 case the href attribute SHOULD be a fragment identifier itself. When an href attribute starts with "#" that  
1044 means the only place it can be used is within the document itself:

```
1045 ... <list is="obix:Range" href="#onOff">  
1046 ...  
1047
```

1048  
1049  
1050  
1051

## 7 Contracts and Contract Lists

OBIX Contracts are used to define inheritance in OBIX Objects. A Contract is a template, defined as an OBIX Object, that is referenced by other Objects [by using the URI to the Contract Definition](#). These templates are referenced using the `is` attribute. Contracts solve several important problems in OBIX:

<b>Semantics</b>	Contracts are used to define “types” within OBIX. This lets us collectively agree on common Object definitions to provide consistent semantics across vendor implementations. For example the <code>Alarm</code> Contract ensures that Client software can extract normalized alarm information from any vendor’s system using the exact same Object structure.
<b>Defaults</b>	Contracts also provide a convenient mechanism to specify default values. Note that when serializing Object trees to XML (especially over a network), defaults are typically not allowed, in order to keep Client processing simple.
<b>Type Export</b>	OBIX will be used to interact with existing and future control systems based on statically-typed languages such as Java or C#. Contracts provide a standard mechanism to export type information in a format that all OBIX Clients can consume.

1052 *Table 7-1. Problems addressed by Contracts.*

1053 The benefit of the Contract design is its flexibility and simplicity. Conceptually Contracts provide an  
1054 elegant model for solving many different problems with one abstraction. One can define new abstractions  
1055 using the OBIX syntax itself. Contracts also give us a machine readable format that Clients already know  
1056 how to retrieve and parse –the exact same syntax is used to represent both a class and an instance.

### 7.1 Contract Terminology

1057  
1058 Common terms that are useful for discussing Contracts are defined in the following Table.

Term	Definition
<b>Contract</b>	Contracts are the templates or prototypes used as the foundation of the OBIX type system. They may contain both syntactical and semantic behaviors.
<b>Contract Definition</b>	A reusable definition of a Contract, expressed as a standard OBIX Object and referenced with a URI.
<b>Contract List</b>	One or more Contracts, expressed as a list of URIs referencing Contract Definitions. Contract List is used as the value of the <code>is</code> , <code>of</code> , <code>in</code> , and <code>out</code> attributes. See Sections 4.2.3, 4.2.7.12, 4.2.7.13, and 4.2.7.14, respectively.
<b>Implements</b>	When an Object specifies a Contract in its Contract List, the Object is said to <i>implement</i> the Contract. This means that the Object is inheriting both the structure and semantics of the specified Contract.
<b>Implementation</b>	An Object which implements a Contract is said to be an <i>implementation</i> of that Contract.

1059 *Table 7-2. Contract terminology.*

## 1060 7.2 Contract List

1061 The syntax of a Contract List attribute is a list of [one or more](#) URI references to other OBIX Objects. The  
1062 URIs within the list MUST be separated by the space character (Unicode 0x20). [To convey the absence  
1063 of a Contract, i.e., and empty Contract List, the special Nil Contract is used. The Nil Contract Definition is  
1064 in Section 11.1.](#) Just like the `href` attribute, a Contract URI can be an absolute URI, Server relative, or  
1065 even a fragment reference. The URIs within a Contract List may be scoped with an XML namespace  
1066 prefix (see “Namespace Prefixes in Contract Lists” in the **[OBIX Encodings]** document).

1067 A Contract List is not an `obix:list` type described in Section 4.3.2. It is a string with special structure  
1068 regarding the space-separated group of URIs.

1069 The [only place](#) Contract List is used [in the OBIX specification is](#) as the value of the `is`, `of`, `in` and `out`  
1070 attributes. [In fact, a Contract itself would never appear in an OBIX Object, as any instance in an Object  
1071 would simply be a Contract List of one Contract.](#) An example of a point that implements multiple  
1072 Contracts and advertises this through its [ContractListContract List](#) is:

```
1073 <real val="70.0" name="setpoint" is="obix:Point obix:WritablePoint acme:Setpoint"/>
```

1074 From this example, we can see that this 'setpoint' Object implements the Point and WritablePoint  
1075 Contracts that are described in this specification (Section 13). It also implements a separate Contract  
1076 defined with the `acme` namespace called Setpoint. A consumer of this Object can rely on the fact that it  
1077 has all of the syntactical and semantic behaviors of each of these Contracts, and [can](#) interact with any of  
1078 these behaviors.

1079 An example of an `obix:list` that uses [ContractListContract List](#) in its of attribute to describe the type of  
1080 items contained in the `obix:list` is:

```
1081 <list name="Logged Data" of="obix:Point obix:History">  
1082 <real name="spaceTemp"/>  
1083 <str val="Whiskers on Kittens"/>  
1084 <str val="Bright Copper Kettles"/>  
1085 <str val="Warm Woolen Mittens"/>  
1086 </list>
```

1087 [The](#)

## 1088 7.3 Is Attribute

1089 An Object defines the Contracts it implements via the `is` attribute. The value of the `is` attribute is a  
1090 Contract List. If the `is` attribute is unspecified, then the following rules are used to determine the implied  
1091 Contract List:

- 1092 • If the Object is an item inside a `list` or `feed`, then the Contract List specified by the `of` attribute  
1093 is used.
- 1094 • If the Object overrides (by name) an Object specified in one of its Contracts, then the Contract  
1095 List of the overridden Object is used.
- 1096 • If all the above rules fail, then the respective primitive Contract is used. For example, an `obj`  
1097 element has an implied Contract of `obix:obj` and `real` an implied Contract of `obix:real`.

1098 Element names such as `bool`, `int`, or `str` are abbreviations for implied Contracts. However if an Object  
1099 implements one of the primitive types, then it MUST use the correct OBIX type name. If an Object  
1100 implements `obix:int`, then it MUST be expressed as `<int/>`, and MUST NOT use the form `<obj  
1101 is="obix:int"/>`. An Object MUST NOT implement multiple value types, such as implementing both  
1102 `obix:bool` and `obix:int`. [An Object MUST NOT specify an empty is attribute \(using the obix:nil  
1103 Contract\), as all Objects derive at least from obix:obj.](#)

## 1104 7.4 Contract Inheritance

### 1105 7.4.1 Structure vs Semantics

1106 Contracts are a mechanism of inheritance – they establish the classic “is a” relationship. In the abstract  
1107 sense a Contract allows inheritance of a *type*. One can further distinguish between the explicit and implicit  
1108 Contract:

<b>Explicit Contract</b>	Defines an object structure which all implementations must conform with. This can be evaluated quantitatively by examining the Object data structure.
<b>Implicit Contract</b>	Defines semantics associated with the Contract. The implicit Contract is typically documented using natural language prose. It is qualitatively interpreted, rather than quantitatively interpreted.

1109 *Table 7-3. Explicit and Implicit Contracts.*

1110 For example when an Object implements the `Alarm` Contract, one can immediately infer that it will have a  
1111 child called `timestamp`. This structure is in the explicit contract of `Alarm` and is formally defined in its  
1112 encoded definition. But semantics are also attached to what it means to be an `Alarm` Object: that the  
1113 Object is providing information about an alarm event. These subjective concepts cannot be captured in  
1114 machine language; rather they can only be captured in prose.

1115 When an Object declares itself to implement a Contract it MUST meet both the explicit Contract and the  
1116 implicit Contract. An Object MUST NOT put `obix:Alarm` in its Contract List unless it really represents an  
1117 alarm event. Interpretation of Implicit Contracts generally requires that a human brain be involved, i.e.,  
1118 they cannot in general be consumed with pure machine-to-machine interaction.

### 1119 7.4.2 Overriding Defaults

1120 A Contract's named children Objects are automatically applied to implementations. An implementation  
1121 may choose to *override* or *default* each of its Contract's children. If the implementation omits the child,  
1122 then it is assumed to default to the Contract's value. If the implementation declares the child (by name),  
1123 then it is overridden and the implementation's value SHOULD be used. Let's look at an example:

```
1124 <obj href="/def/television">  
1125   <bool name="power" val="false"/>  
1126   <int name="channel" val="2" min="2" max="200"/>  
1127 </obj>  
1128  
1129 <obj href="/livingRoom/tv" is="/def/television">  
1130   <int name="channel" val="8"/>  
1131   <int name="volume" val="22"/>  
1132 </obj>
```

1133 In this example a Contract Object is identified with the URI `/def/television`. It has two children to store  
1134 power and channel. The living room TV instance includes `/def/television` in its Contract List via the `is`  
1135 attribute. In this Object, `channel` is *overridden* to 8 from its default value of 2. However since `power` was  
1136 omitted, it is implied to *default* to false.

1137 An override is always matched to its Contract via the `name` attribute. In the example above it was clear  
1138 that 'channel' was being overridden, because an Object was declared with a name of 'channel'. A second  
1139 Object was also declared with a name of 'volume'. Since volume wasn't declared in the Contract, it is  
1140 assumed to be a new definition specific to this Object.

### 1141 7.4.3 Attributes and Facets

1142 Also note that the Contract's channel Object declares a `min` and `max` Facet. These two Facets are also  
1143 inherited by the implementation. Almost all attributes are inherited from their Contract including Facets,  
1144 `val`, `of`, `in`, and `out`. The `href` attribute is never inherited. The `null` attribute inherits as follows:

- 1145 1. If the `null` attribute is specified, then its explicit value is used;
- 1146 2. If a `val` attribute is specified and `null` is unspecified, then `null` is implied to be false;
- 1147 3. If neither a `val` attribute or a `null` attribute is specified, then the `null` attribute is inherited from
- 1148 the Contract;
- 1149 4. If the `null` attribute is specified and is true, then the `val` attribute is ignored.
- 1150 This allows us to implicitly override a null Object to non-null without specifying the `null` attribute.

## 1151 7.5 Override Rules

1152 Contract overrides are REQUIRED to obey the implicit and explicit Contract. Implicit means that the

1153 implementation Object provides the same semantics as the Contract it implements. In the example above

1154 it would be incorrect to override channel to store picture brightness. That would break the semantic

1155 Contract.

1156 Overriding the explicit Contract means to override the value, Facets, or Contract List. However one can

1157 never override the Object to be an incompatible value type. For example if the Contract specifies a child

1158 as `real`, then all implementations must use `real` for that child. As a special case, `obj` may be narrowed

1159 to any other element type.

1160 One must also be careful when overriding attributes to never break restrictions the Contract has defined.

1161 Technically this means the value space of a Contract can be *specialized* or *narrowed*, but never

1162 *generalized* or *widened*. This concept is called *covariance*. Returning to the example from above:

```
1163 <int name="channel" val="2" min="2" max="200"/>
```

1164 In this example the Contract has declared a value space of 2 to 200. Any implementation of this Contract

1165 must meet this restriction. For example it would be an error to override `min` to `-100` since that would widen

1166 the value space. However the value space can be narrowed by overriding `min` to a number greater than 2

1167 or by overriding `max` to a number less than 200. The specific override rules applicable to each Facet are

1168 documented in section 4.2.7.

## 1169 7.6 Multiple Inheritance

1170 An Object's Contract List may specify multiple Contract URIs to implement. This is actually quite common

1171 - even required in many cases. There are two [topics/terms](#) associated with the implementation of multiple

1172 Contracts:

<b>Flattening</b>	Contract Lists SHOULD always be <i>flattened</i> when specified. This comes into play when a Contract has its own Contract List (Section 7.6.1).
<b>Mixins</b>	The mixin design specifies the exact rules for how multiple Contracts are merged together. This section also specifies how conflicts are handled when multiple Contracts contain children with the same name (Section 7.6.2).

1173 Table 7-4. Contract inheritance.

### 1174 7.6.1 Flattening

1175 It is common for Contract Objects themselves to implement Contracts, just like it is common in OO

1176 languages to chain the inheritance hierarchy. However due to the nature of accessing OBIX documents

1177 over a network, it is often desired to minimize round trip network requests which might be needed to

1178 "learn" about a complex Contract hierarchy. Consider this example:

```
1179 <obj href="/A" />
1180 <obj href="/B" is="/A" />
1181 <obj href="/C" is="/B" />
1182 <obj href="/D" is="/C" />
```

1183 In this example if an OBIX Client were reading Object D for the first time, it would take three more  
1184 requests to fully learn what Contracts are implemented (one for C, B, and A). Furthermore, if the Client  
1185 was just looking for Objects that implemented B, it would difficult to determine this just by looking at D.  
1186 Because of these issues, Servers are REQUIRED to flatten their Contract inheritance hierarchy into a list  
1187 when specifying the `is`, `of`, `in`, or `out` attributes. In the example above, the correct representation would  
1188 be:

```
1189 <obj href="/A" />  
1190 <obj href="/B" is="/A" />  
1191 <obj href="/C" is="/B /A" />  
1192 <obj href="/D" is="/C /B /A" />
```

1193 This allows Clients to quickly scan D's Contract List to see that D implements C, B, and A without further  
1194 requests.

1195 Because complex Servers often have a complex Contract hierarchy of Object types, the requirement to  
1196 flatten the Contract hierarchy can lead to a verbose Contract List. Often many of these Contracts are  
1197 from the same namespace. For example:

```
1198 <obj name="VSD1" href="acme:VSD-1" is="acmeObixLibrary:VerySpecificDevice1  
1199 acmeObixLibrary:VerySpecificDeviceBase acmeObixLibrary:SpecificDeviceType  
1200 acmeObixLibrary:BaseDevice acmeObixLibrary:BaseObject"/>
```

1201 To save space, Servers MAY choose to combine the Contracts from the same namespace and present  
1202 the Contract List with the namespace followed by a colon, then a brace-enclosed list of Contract names:

```
1203 <real name="writableReal" is="obix:{Point WritablePoint}"/>  
1204  
1205 <obj name="vsd1" href="acme:VSD-1" is="acmeObixLibrary:{VerySpecificDevice1  
1206 VerySpecificDeviceBase SpecificDeviceType BaseDevice BaseObject}"/>
```

1207 Clients MUST be able to consume this form of the Contract List and expand it to the standard form.

## 1208 7.6.2 Mixins

1209 Flattening is not the only reason a Contract List might contain multiple Contract URIs. OBIX also supports  
1210 the more traditional notion of multiple inheritance using a mixin approach as in the following example:

```
1211 <obj href="acme:Device">  
1212 <str name="serialNo"/>  
1213 </obj>  
1214  
1215 <obj href="acme:Clock" is="acme:Device">  
1216 <op name="snooze"/>  
1217 <int name="volume" val="0"/>  
1218 </obj>  
1219  
1220 <obj href="acme:Radio" is="acme:Device ">  
1221 <real name="station" min="87.0" max="107.5"/>  
1222 <int name="volume" val="5"/>  
1223 </obj>  
1224  
1225 <obj href="acme:ClockRadio" is="acme:Radio acme:Clock acme:Device"/>
```

1226 In this example `ClockRadio` implements both `Clock` and `Radio`. Via flattening of `Clock` and `Radio`,  
1227 `ClockRadio` also implements `Device`. In OBIX this is called a *mixin* – `Clock`, `Radio`, and `Device` are  
1228 mixed into (merged into) `ClockRadio`. Therefore `ClockRadio` inherits four children: `serialNo`,  
1229 `snooze`, `volume`, and `station`. Mixins are a form of multiple inheritance akin to Java/C# interfaces  
1230 (remember OBIX is about the type inheritance, not implementation inheritance).

1231 Note that `Clock` and `Radio` both implement `Device`. This inheritance pattern where two types both  
1232 inherit from a base, and are themselves both inherited by a single type, is called a “diamond” pattern from  
1233 the shape it takes when the class hierarchy is diagrammed. From `Device`, `ClockRadio` inherits a child  
1234 named `serialNo`. Furthermore notice that both `Clock` and `Radio` declare a child named `volume`. This  
1235 naming collision could potentially create confusion for what `serialNo` and `volume` mean in  
1236 `ClockRadio`.

1237 OBIX solves this problem by flattening the Contract's children using the following rules:

- 1238 1. Process the Contract definitions in the order they are listed  
1239 2. If a new child is discovered, it is mixed into the Object's definition  
1240 3. If a child is discovered that has already been processed via a previous Contract definition, then  
1241 the previous definition takes precedence. However it is an error if the duplicate child is not  
1242 *Contract compatible* with the previous definition (see Section 7.7).

1243 In the example above this means that `Radio.volume` is the definition used for `ClockRadio.volume`,  
1244 because `Radio` has a higher precedence than `Clock` (it is first in the Contract List). Thus  
1245 `ClockRadio.volume` has a default value of "5". However it would be invalid if `Clock.volume` were  
1246 declared as `str`, since it would not be Contract compatible with `Radio`'s definition as an `int` – in that  
1247 case `ClockRadio` could not implement both `Clock` and `Radio`. It is the Server vendor's responsibility  
1248 not to create incompatible name collisions in Contracts.

1249 The first Contract in a list is given specific significance since its definition trumps all others. In OBIX this  
1250 Contract is called the *Primary Contract*. For this reason, the Primary Contract SHOULD implement all the  
1251 other Contracts specified in the Contract List (this actually happens quite naturally by itself in many  
1252 programming languages). This makes it easier for Clients to bind the Object into a strongly typed class if  
1253 desired. Contracts MUST NOT implement themselves nor have circular inheritance dependencies.

## 1254 7.7 Contract Compatibility

1255 A Contract List which is covariantly substitutable with another Contract List is said to be *Contract*  
1256 *compatible*. Contract compatibility is a useful term when talking about mixin rules and overrides for lists  
1257 and operations. It is a concept similar to previously defined override rules – however, instead of the rules  
1258 applied to individual Facet attributes, it is applied to an entire Contract List.

1259 A Contract List X is compatible with Contract List Y, if and only if X narrows the value space defined by Y.  
1260 This means that X can narrow the set of Objects which implement Y, but never expand the set. Contract  
1261 compatibility is not commutative (X is compatible with Y does not imply Y is compatible with X).  
1262 Practically, this can be expressed as: X can add new URIs to Y's list, but never take any away.

## 1263 7.8 Lists and Feeds

1264 Implementations derived from `list` or `feed` Contracts inherit the `of` attribute. Like other attributes an  
1265 implementing Object can override the `of` attribute, but only if Contract compatible - a Server SHOULD  
1266 include all of the URIs in the Contract's `of` attribute, but it MAY add additional ones (see Section 7.7).

1267 Lists and Feeds also have the special ability to implicitly define the Contract List of their contents. In the  
1268 following example it is implied that each child element has a Contract List of `/def/MissingPerson`  
1269 without actually specifying the `is` attribute in each list item:

```
1270 <list of="/def/MissingPerson">  
1271   <obj> <str name="fullName" val="Jack Shephard"/> </obj>  
1272   <obj> <str name="fullName" val="John Locke"/> </obj>  
1273   <obj> <str name="fullName" val="Kate Austen"/> </obj>  
1274 </list>
```

1275 If an element in the list or Feed does specify its own `is` attribute, then it MUST be Contract compatible  
1276 with the `of` attribute.

1277 If an implementation wishes to specify that a list should contain references to a given type, then the  
1278 implementation SHOULD include `obix:ref` in the `of` attribute. This MUST be the first URI in the `of`  
1279 attribute. For example, to specify that a list should contain references to `obix:History` Objects (as  
1280 opposed to inline History Objects):

```
1281 <list name="histories" of="obix:ref obix:History"/>
```

1282 In many cases a Server will implement its own management of the URI scheme of the child elements of a  
1283 `list`. For example, the `href` attribute of child elements may be a database key, or some other string  
1284 defined by the Server when the child is added. Servers will not, in general, allow Clients to specify this  
1285 URI during addition of child elements through a direct write to a list's subordinate URI.

1286 Therefore, in order to add child elements to a list which supports Client addition of list elements, Servers  
1287 MUST support adding list elements by writing to the `list` URI with an Object of a type that matches the  
1288 list's Contract. Servers MUST return the written resource (including any Server-assigned `href`) upon  
1289 successful completion of the write.

1290 For example, given a `list` of `<real>` elements, and presupposing a Server-imposed URI scheme:

```
1291 <list href="/a/b" of="obix:real" writable="true"/>
```

1292 Writing to the list URI itself will replace the entire list if the Server supports this behavior:

1293 WRITE /a/b

```
1294 <list of="obix:real">  
1295 <real name="foo" val="10.0"/>  
1296 <real name="bar" val="20.0"/>  
1297 </list>
```

1298 returns:

```
1299 <list href="/a/b" of="obix:real">  
1300 <real name="foo" href="1" val="10.0"/>  
1301 <real name="bar" href="2" val="20.0"/>  
1302 </list>
```

1303 Writing a single element of type `<real>` will add this element to the list.

1304 WRITE /a/b

```
1305 <real name="baz" val="30.0"/>
```

1306 returns:

```
1307 <real name="baz" href="/a/b/3" val="30.0"/>
```

1308 while the list itself is now:

```
1309 <list href="/a/b" of="obix:real">  
1310 <real name="foo" href="1" val="10.0"/>  
1311 <real name="bar" href="2" val="20.0"/>  
1312 <real name="baz" href="3" val="30.0"/>  
1313 </list>
```

1314 Note that if a Client has the correct URI to reference a list child element, this can still be used to modify  
1315 the value of the element directly:

1316 WRITE /a/b/3

```
1317 <real name="baz2" val="33.0"/>
```

1318 returns:

```
1319 <real name="baz2" href="/a/b/3" val="33.0"/>
```

1320 and the list has been modified to:

```
1321 <list href="/a/b" of="obix:real">  
1322 <real name="foo" href="1" val="10.0"/>  
1323 <real name="bar" href="2" val="20.0"/>  
1324 <real name="baz" href="3" val="33.0"/>  
1325 </list>
```

1326

## 8 Operations

1327 OBIX Operations are the exposed actions that an OBIX Object can be commanded to take, i.e., they are  
1328 things you can invoke to “do” something to the Object. Typically object-oriented languages express this  
1329 concept as the publicly accessible methods on the object. They generally map to commands rather than a  
1330 variable that has continuous state. Unlike Value Objects which represent an Object and its current state,  
1331 the `op` element merely represents the definition of an operation you can invoke.

1332 All operations take exactly one Object as a parameter and return exactly one Object as a result. The `in`  
1333 and `out` attributes define the Contract List for the input and output Objects. If you need multiple input or  
1334 output parameters, then wrap them in a single Object using a Contract as the signature. For example:

```
1335 <op href="/addTwoReals" in="/def/AddIn" out="obix:real"/>  
1336  
1337 <obj href="/def/AddIn">  
1338 <real name="a"/>  
1339 <real name="b"/>  
1340 </obj>
```

1341 Objects can override the operation definition from one of their Contracts. However the new `in` or `out`  
1342 Contract List MUST be Contract compatible (see Section 7.7) with the Contract’s definition.

1343 If an operation doesn’t require a parameter, then specify `in` as `obix:nil`. If an operation doesn’t return  
1344 anything, then specify `out` as `obix:nil`. Occasionally an operation is inherited from a Contract which is  
1345 unsupported in the implementation. In this case set the `status` attribute to `disabled`.

1346 Operations are always invoked via their own `href` attribute (not their parent’s `href`). Therefore  
1347 operations SHOULD always specify an `href` attribute if you wish Clients to invoke them. A common  
1348 exception to this rule is Contract definitions themselves.

1349

## 9 Object Composition

1350 Object Composition describes how multiple OBIX Objects representing individual pieces are combined to  
1351 form a larger unit. The individual pieces can be as small as the various data fields in a simple thermostat,  
1352 as described in Section 2, or as large as entire buildings, each themselves composed of multiple  
1353 networks of devices. All of the OBIX Objects are linked together via URIs, similar to the way that the  
1354 World Wide Web is a group of HTML documents hyperlinked together through URIs. These OBIX Objects  
1355 may be static documents like Contracts or device descriptions. Or they may be real-time data or services.  
1356 Individual Objects are composed together in two ways to define this web. Objects may be composed  
1357 together via *containment* or via *reference*.

1358

### 9.1 Containment

1359 Any OBIX Object may contain zero or more child Objects. This even includes Objects which might be  
1360 considered primitives such as `bool` or `int`. All Objects are open ended and free to specify new Objects  
1361 which may not be in the Object's Contract. Containment is represented in the XML syntax by nesting the  
1362 XML elements:

```
1363 <obj href="/a/">  
1364 <list name="b" href="b">  
1365 <obj href="b/c"/>  
1366 </list>  
1367 </obj>
```

1368 In this example the Object identified by `/a/` contains `/a/b/`, which in turn contains `/a/b/c/`. Child Objects  
1369 may be named or unnamed depending on if the `name` attribute is specified (Section 6.1). In the example,  
1370 `/a/b/` is named and `/a/b/c/` is unnamed. Typically named children are used to represent fields in a record,  
1371 structure, or class type. Unnamed children are often used in lists.

1372

### 9.2 References

1373

1374 To understand references, it is useful to return to the World Wide Web metaphor. Individual HTML  
1375 elements like `<p>` and `<div>` are grouped into HTML documents, which are the atomic entities passed  
1376 over the network. The documents are linked together using the `<a>` anchor element. These anchors  
1377 serve as placeholders, referencing outside documents via a URI.

1378 An OBIX reference is similar to an HTML anchor. It serves as a placeholder to "link" to another OBIX  
1379 Object via a URI. While containment is best used to model small trees of data, references may be used to  
1380 model very large trees or graphs of Objects.

1381 As a clue to Clients consuming OBIX references, the Server SHOULD specify the type of the referenced  
1382 Object using the `is` attribute. In addition, for the `list` element type, the Server SHOULD use the `of`  
1383 attribute to specify the type of Objects contained by the `list`. This allows the Client to prepare the  
1384 proper visualizations, data structures, etc. for consuming the Object when it accesses the actual Object.  
1385 For example, a Server might provide a reference to a list of available points:

```
1386 <ref name="points" is="obix:list" of="obix:Point"/>
```

1387

### 9.3 Extents

1388 Within any problem domain, the intra-model relationships can be expressed by using either containment  
1389 or references. The choice changes the semantics of both the model expression as well as the method for  
1390 accessing the elements within the model. The containment relationship is imbued with special semantics  
1391 regarding encoding and event management. If the model is expressed through containment, then OBIX  
1392 uses the term *Extent* to refer to the tree of children contained within that Object, down to references. Only  
1393 Objects which have an href have an Extent. Objects without an href are always included within the Extent

1394 of one or more referenceable Objects which are called its ancestors. This is demonstrated in the  
1395 following example.

```
1396 <obj href="/a/">  
1397   <obj name="b" href="b">  
1398     <obj name="c"/>  
1399     <ref name="d" href="/d"/>  
1400   </obj>  
1401   <ref name="e" href="/e"/>  
1402 </obj>
```

1403 In the example above, there are five Objects named 'a' to 'e'. Because 'a' includes an href, it has an  
1404 associated extent, which encompasses 'b' and 'c' by containment and 'd' and 'e' by reference. Likewise,  
1405 'b' has an href which results in an extent encompassing 'c' by containment and 'd' by reference. Object 'c'  
1406 does not provide a direct href, but exists in both the 'a' and 'b' Objects' extents. Note an Object with an  
1407 href has exactly one extent, but can be nested inside multiple extents.

1408 When marshaling Objects into an OBIX document, it is REQUIRED that an extent always be fully inlined  
1409 into the document. [The only valid `ref` Objects which may be references reference targets](#) outside the  
1410 [scope of the document are `ref` Objects](#). In order to allow conservation of bandwidth usage, processing  
1411 time, and storage requirements, Servers SHOULD use non-`ref` Objects only for representing primitive  
1412 children which have no further extent. `Refs` SHOULD be used for all complex children that have further  
1413 structure under them. Clients MUST be able to consume the `refs` and then request the referenced  
1414 object if it is needed for the application. As an example, consider a Server which has the following object  
1415 tree, represented here with full extent:

```
1416 <obj name="myBuilding" href="/building/">  
1417   <str name="address" val="123 Main Street"/>  
1418   <obj name="floor1">  
1419     <obj name="zone1">  
1420       <obj name="room1"/>  
1421     </obj>  
1422   </obj>  
1423 </obj>
```

1424 When marshaled into an OBIX document to respond to a Client Read request of the `/building/` URI, the  
1425 Server SHOULD inline only the address, and use a `ref` for Floor1:

```
1426 <obj name="myBuilding" href="/building/">  
1427   <str name="address" val="123 Main Street"/>  
1428   <ref name="floor1" href="floor1"/>  
1429 </obj>
```

1430 If the Object implements a Contract, then it is REQUIRED that the extent defined by the Contract be fully  
1431 inlined into the document (unless the Contract itself defined a child as a `ref` element). An example of a  
1432 Contract which specifies a child as a `ref` is `Lobby.about` (Section 5.2).

## 1433 9.4 Metadata

1434 An OBIX Server MAY present additional metadata about Objects in its model through the use of *Tags*. A  
1435 Tag is simply a name-value pair represented as a child element of the Object about which the Tag is  
1436 providing information. Tags [containing values](#) MUST be represented with an OBIX primitive matching the  
1437 value type. [For the case of Certain Tags, called "marker" Tags which have a 'null' value. This is](#)  
1438 [commonly treated as having only the name, with no value, the OBIX `<obj>` element. Marker Tags](#) MUST  
1439 [be used represented in the `is` attribute of the object, as they are semantically identical to Marker](#)  
1440 [Contracts](#). If these Tags are defined in an external Tag space, e.g. Haystack, a building information  
1441 model (BIM), etc., then the Tags MUST reference the Tag space by an identifier which MUST be declared  
1442 in the Lobby, along with the URI for the semantic model it represents. The format for the Lobby definition  
1443 is discussed in Section 5.5.1.

1444 [The only exception is the `obix` Tag Space, which represents Tags defined within the OBIX Specification.](#)  
1445 [The `obix:` prefix MAY be omitted for marker Tags defined by the OBIX Specification. Correspondingly,](#)  
1446 [any Tag found while decoding the `is` attribute of an OBIX Object MUST be interpreted as referencing the](#)  
1447 [obix Tag Space.](#)

1448 | Multiple [t](#)Tag spaces MAY be included simultaneously in an Object. For example, a Server representing  
1449 | a building management system might present one of its Variable Air Volume (VAV) controllers using  
1450 | metadata from both HVAC and Building [tag spaces](#)[Tag Spaces](#) as shown below. The Lobby would  
1451 | express the models used, as in Section 5.5.1:

```
1452 | <obj is="obix:Lobby">  
1453 |   <!-- ... other lobby items ...-->  
1454 |   <list name="tagspaces" of="obix:uri">  
1455 |     <uri name="hvac" displayName="HVAC Tag Dictionary"  
1456 |     val="http://example.com/tags/hvac">  
1457 |       <str name="version" val="1.0.42"/>  
1458 |     </uri>  
1459 |     <uri name="bldg" displayName="Building Terms Dictionary"  
1460 |     val="http://example.com/tags/building">  
1461 |       <abstime name="retrieved" val="2014-07-01T10:39:00Z"/>  
1462 |     </uri>  
1463 |   </list>  
1464 | </obj>
```

1465 | Then, the Object representing the VAV controller would reference these dictionaries using their names in  
1466 | the `tagSpace` attribute, and the [tags](#)[Tag names](#) as defined in the dictionary [as the name](#):

```
1467 | <real name="VAV-101" href="/MainCampus/BurnsHall/Floor1/Room101/VAV/" val="70.0"  
1468 | is="hvac:temperature hvac:vav">  
1469 |   <real name="spaceTemp" href="spaceTemp/" val="70.0"/>  
1470 |   <real name="setpoint" href="setpoint/" val="72.0"/>  
1471 |   <bool name="heatCmd" href="heatCmd/" val="true"/>  
1472 |   <enum name="sensorType" val="ThermistorType3"/>  
1473 |   <obj name="temperature" ts="hvac"/>  
1474 |   <obj name="vav" ts="hvac"/>  
1475 |   <int name="roomNumber" ts="bldg" val="101"/>  
1476 |   <int name="floor" ts="bldg" val="1"/>  
1477 |   <str name="buildingName" ts="bldg" val="Montgomery Burns Science Labs"/>  
1478 |   <uri name="ahuReference" ts="hvac" val="/MainCampus/BurnsHall/AHU/AHU1"/>  
1479 | </real>
```

1480 | [When the only Tags provided are marker Tags, this collapses to a much more compact presentation. For](#)  
1481 | [example, if using the hypothetical HVAC tag dictionary above to represent a chilled water temperature](#)  
1482 | [sensor point, a Server might provide an object to OBIX, annotated with several Tags, as follows:](#)

```
1483 | <real name="CWT" displayName="Chilled Water Temperature" is="hvac:chilled hvac:water  
1484 | hvac:temp hvac:sensor hvac:point" val="30.0">  
1485 | </real>
```

1486 | Servers SHOULD only provide this information to Clients that are properly authenticated and authorized,  
1487 | to avoid providing a vector for attack if usage of a particular model identifies the Server as an interesting  
1488 | target.

1489 | The metadata SHOULD be presented using the `ref` element, so this additional information can be  
1490 | skipped during normal encoding. If a Client is able to consume the metadata, it SHOULD ask for the  
1491 | metadata by requesting the metadata hierarchy.

1492 | OBIX Clients SHALL ignore information that they do not understand. In particular, a conformant Client  
1493 | that is presented with Tags that it does not understand MUST ignore those Tags. No OBIX Server may  
1494 | require understanding of these Tags for interoperation.

1495 **10 Networking**

1496 The heart of OBIX is its object model and associated encoding. However, the primary use case for OBIX  
1497 is to access information and services over a network. The OBIX architecture is based on a Client/Server  
1498 network model, described below:

<b>Server</b>	An entity containing OBIX enabled data and services. Servers respond to requests from Client over a network.
<b>Client</b>	An entity which makes requests to Servers over a network to access OBIX enabled data and services.

1499 *Table 10-1. Network model for OBIX.*

1500 There is nothing to prevent a device or system from being both an OBIX Client and Server. However, a  
1501 key tenet of OBIX is that a Client is NOT REQUIRED to implement Server functionality which might  
1502 require a Server socket to accept incoming requests.

1503 **10.1 Service Requests**

1504 All service requests made against an OBIX Server can be distilled to 4 atomic operations, expressed in  
1505 the following Table:

Request	Description
<b>Read</b>	Return the current state of an object at a given URI as an OBIX Object.
<b>Write</b>	Update the state of an existing object at a URI. The state to write is passed over the network as an OBIX Object. The new updated state is returned in an OBIX Object.
<b>Invoke</b>	Invoke an operation identified by a given URI. The input parameter and output result are passed over the network as an OBIX Object.
<b>Delete</b>	Delete the object at a given URI.

1506 *Table 10-2. OBIX Service Requests.*

1507 Exactly how these requests and responses are implemented between a Client and Server is called a  
1508 *protocol binding*. The OBIX specification defines standard protocol bindings in separate companion  
1509 documents. All protocol bindings MUST follow the same read, write, invoke, and delete semantics  
1510 discussed next.

1511 **10.1.1 Read**

1512 The read request specifies an object's URI and the read response returns the current state of the object  
1513 as an OBIX document. The response MUST include the Object's complete extent (see Section 9.3).  
1514 Servers may return an `err` Object to indicate the read was unsuccessful – the most common error is  
1515 `obix:BadUriErr` (see Section 10.2 for standard error Contracts).

1516 **10.1.2 Write**

1517 The write request is designed to overwrite the current state of an existing Object. The write request  
1518 specifies the URI of an existing Object and its new desired state. The response returns the updated state  
1519 of the Object. If the write is successful, the response MUST include the Object's complete extent (see  
1520 Section 9.3). If the write is unsuccessful, then the Server MUST return an `err` Object indicating the  
1521 failure.

1522 The Server is free to completely or partially ignore the write, so Clients SHOULD be prepared to examine  
1523 the response to check if the write was successful. Servers may also return an `err` Object to indicate the  
1524 write was unsuccessful.

1525 Clients are NOT REQUIRED to include the Object's full extent in the request. Objects explicitly specified  
1526 in the request object tree SHOULD be overwritten or "overlaid" over the Server's actual object tree. Only  
1527 the `val` attribute SHOULD be specified for a write request (outside of identification attributes such as  
1528 `name`). The `null` attribute MAY also be used to set an Object to null. If the `null` attribute is not specified  
1529 and the `val` attribute is specified, then it is implied that null is false. The behavior of a Server upon  
1530 receiving a write request which provides Facets is unspecified with regards to the Facets. When writing  
1531 `int` or `reals` with `units`, the write value MUST be in the same units as the Server specifies in read  
1532 requests – Clients MUST NOT provide a different `unit` Facet and expect the Server to auto-convert (in  
1533 fact the `unit` Facet SHOULD NOT be included in the request).

### 1534 10.1.3 Invoke

1535 The invoke request is designed to trigger an operation. The invoke request specifies the URI of an `op`  
1536 Object and the input argument Object. The response includes the output Object. The response MUST  
1537 include the output Object's complete extent (see Section 9.3). Servers MAY instead return an `err` Object  
1538 to indicate the invocation was unsuccessful.

### 1539 10.1.4 Delete

1540 The delete request is designed to remove an existing Object from the Server. The delete request  
1541 specifies the URI of an existing Object. If the delete is successful, the Server MUST return an empty  
1542 response. If the delete is unsuccessful, the Server MUST return an `err` Object indicating the failure.

## 1543 10.2 Errors

1544 Request errors are conveyed to Clients with the `err` element. Any time an OBIX Server successfully  
1545 receives a request and the request cannot be processed, then the Server MUST return an `err` Object to  
1546 the Client. This includes improperly encoded requests, such as non-well-formed XML, if that encoding is  
1547 used. Returning a valid OBIX document with `err` SHOULD be used when feasible rather than protocol  
1548 specific error handling (such as an HTTP response code). Such a design allows for consistency with  
1549 batch request partial failures and makes protocol binding more pluggable by separating data transport  
1550 from application level error handling.

1551 The following Table describes the base Contracts predefined for representing common errors:

Err Contract	Usage
<b>BadUriErr</b>	Used to indicate either a malformed URI or a unknown URI
<b>UnsupportedErr</b>	Used to indicate an a request which isn't supported by the Server implementation (such as an operation defined in a Contract, which the Server doesn't support)
<b>PermissionErr</b>	Used to indicate that the Client lacks the necessary security permission to access the object or operation

1552 *Table 10-3. OBIX Error Contracts.*

1553 The Contracts for these errors are:

```
1554 <err href="obix:BadUriErr"/>  
1555 <err href="obix:UnsupportedErr"/>  
1556 <err href="obix:PermissionErr"/>
```

1557 If one of the above Contracts makes sense for an error, then it SHOULD be included in the `err` element's  
1558 `is` attribute. It is strongly encouraged to also include a useful description of the problem in the `display`  
1559 attribute.

1560 **10.3 Localization**

1561 Servers SHOULD localize appropriate data based on the desired locale of the Client agent. Localization  
1562 SHOULD include the `display` and `displayName` attributes. The desired locale of the Client SHOULD  
1563 be determined through authentication or through a mechanism appropriate to the binding used. A  
1564 suggested algorithm is to check if the authenticated user has a preferred locale configured in the Server's  
1565 user database, and if not then fallback to the locale derived from the binding.

1566 Localization MAY include auto-conversion of units. For example if the authenticated user has configured  
1567 a preferred unit system such as English versus Metric, then the Server might attempt to convert values  
1568 with an associated `unit` facet to the desired unit system.

1569

## 11 Core Contract Library

1570  
1571  
1572

This chapter defines some fundamental Object Contracts that serve as building blocks for the OBIX specification. This Core Contract Library is also called the Standard Library, and is expressed in the `stdlib.obix` file that is associated with this specification.

1573

### 11.1 Nil

1574  
1575  
1576

The `obix:nil` Contract defines a standardized null Object. Nil is commonly used for an operation's `in` or `out` attribute to denote the absence of an input or output. The definition:

```
<obj href="obix:nil" null="true"/>
```

1577

### 11.2 Range

1578  
1579  
1580  
1581  
1582

The `obix:Range` Contract is used to define a `bool` or `enum`'s range. Range is a list Object that contains zero or more Objects called the range items. Each item's `name` attribute specifies the identifier used as the literal value of an `enum`. Item ids are never localized, and MUST be used only once in a given range. You may use the optional `displayName` attribute to specify a localized string to use in a user interface. The definition of Range:

```
<list href="obix:Range" of="obix:obj"/>
```

1583

An example:

1585  
1586  
1587  
1588  
1589

```
<list href="/enums/offSlowFast" is="obix:Range">  
  <obj name="off" displayName="Off"/>  
  <obj name="slow" displayName="Slow Speed"/>  
  <obj name="fast" displayName="Fast Speed"/>  
</list>
```

1590  
1591

The range Facet may be used to define the localized text of a `bool` value using the ids of "true" and "false":

1592  
1593  
1594  
1595

```
<list href="/enums/onOff" is="obix:Range">  
  <obj name="true" displayName="On"/>  
  <obj name="false" displayName="Off"/>  
</list >
```

1596

### 11.3 Weekday

1597

The `obix:Weekday` Contract is a standardized enum for the days of the week:

1598  
1599  
1600  
1601  
1602  
1603  
1604  
1605  
1606  
1607  
1608

```
<enum href="obix:Weekday" range="#Range">  
  <list href="#Range" is="obix:Range">  
    <obj name="sunday" />  
    <obj name="monday" />  
    <obj name="tuesday" />  
    <obj name="wednesday" />  
    <obj name="thursday" />  
    <obj name="friday" />  
    <obj name="saturday" />  
  </list>  
</enum>
```

1609

### 11.4 Month

1610

The `obix:Month` Contract is a standardized enum for the months of the year:

1611  
1612  
1613  
1614  
1615

```
<enum href="obix:Month" range="#Range">  
  <list href="#Range" is="obix:Range">  
    <obj name="january" />  
    <obj name="february" />  
    <obj name="march" />
```

```
1616 <obj name="april" />
1617 <obj name="may" />
1618 <obj name="june" />
1619 <obj name="july" />
1620 <obj name="august" />
1621 <obj name="september" />
1622 <obj name="october" />
1623 <obj name="november" />
1624 <obj name="december" />
1625 </list>
1626 </enum>
```

## 1627 11.5 Units

1628 Representing units of measurement in software is a thorny issue. OBIX provides a unit framework for  
1629 mathematically defining units within the object model. An extensive database of predefined units is also  
1630 provided.

1631 All units measure a specific quantity or dimension in the physical world. Most known dimensions can be  
1632 expressed as a ratio of the seven fundamental dimensions: length, mass, time, temperature, electrical  
1633 current, amount of substance, and luminous intensity. These seven dimensions are represented in the **[SI  
1634 Units]** system respectively as kilogram (kg), meter (m), second (sec), Kelvin (K), ampere (A), mole (mol),  
1635 and candela (cd).

1636 The `obix:Dimension` Contract defines the ratio of the seven SI units using a positive or negative  
1637 exponent:

```
1638 <obj href="obix:Dimension">
1639 <int name="kg" val="0"/>
1640 <int name="m" val="0"/>
1641 <int name="sec" val="0"/>
1642 <int name="K" val="0"/>
1643 <int name="A" val="0"/>
1644 <int name="mol" val="0"/>
1645 <int name="cd" val="0"/>
1646 </obj>
```

1647 A `Dimension` Object contains zero or more ratios of `kg`, `m`, `sec`, `K`, `A`, `mol`, or `cd`. Each of these ratio  
1648 maps to the exponent of that base SI unit. If a ratio is missing then the default value of zero is implied. For  
1649 example acceleration is  $\text{m/s}^2$ , which would be encoded in OBIX as:

```
1650 <obj is="obix:Dimension">
1651 <int name="m" val="1"/>
1652 <int name="sec" val="-2"/>
1653 </obj>
```

1654

1655 Units with equal dimensions are considered to measure the same physical quantity. This is not always  
1656 precisely true, but is good enough for practice. This means that units with the same dimension are  
1657 convertible. Conversion can be expressed by specifying the formula used to convert the unit to the  
1658 dimension's normalized unit. The normalized unit for every dimension is the ratio of SI units itself. For  
1659 example the normalized unit of energy is the joule  $\text{m}^2 \cdot \text{kg} \cdot \text{s}^{-2}$ . The kilojoule is 1000 joules and the watt-  
1660 hour is 3600 joules. Most units can be mathematically converted to their normalized unit and to other  
1661 units using the linear equations:

```
1662 unit = dimension • scale + offset
1663 toNormal = scalar • scale + offset
1664 fromNormal = (scalar - offset) / scale
1665 toUnit = fromUnit.fromNormal( toUnit.toNormal(scalar) )
```

1666 There are some units which don't fit this model including logarithm units and units dealing with angles.  
1667 But this model provides a practical solution for most problem spaces. Units which don't fit this model  
1668 SHOULD use a dimension where every exponent is set to zero. Applications SHOULD NOT attempt  
1669 conversions on these types of units.

1670 The `obix:Unit` Contract defines a unit including its dimension and its `toNormal` equation:

```
1671 <obj href="obix:Unit">
```

```

1672 <str name="symbol"/>
1673 <obj name="dimension" is="obix:Dimension"/>
1674 <real name="scale" val="1"/>
1675 <real name="offset" val="0"/>
1676 </obj>

```

1677 The unit element contains `symbol`, `dimension`, `scale`, and `offset` sub-Objects, as described in the  
 1678 following Table:

<b>symbol</b>	The <code>symbol</code> element defines a short abbreviation to use for the unit. For example “°F” would be the symbol for degrees Fahrenheit. The <code>symbol</code> element SHOULD always be specified.
<b>dimension</b>	The <code>dimension</code> Object defines the dimension of measurement as a ratio of the seven base SI units. If omitted, the <code>dimension</code> Object defaults to the <code>obix:Dimension</code> Contract, in which case the ratio is the zero exponent for all seven base units.
<b>scale</b>	The <code>scale</code> element defines the scale variable of the <code>toNormal</code> equation. The <code>scale</code> Object defaults to 1.
<b>offset</b>	The <code>offset</code> element defines the offset variable of the <code>toNormal</code> equation. If omitted then <code>offset</code> defaults to 0.

1679 *Table 11-1. OBIX Unit composition.*

1680 The `display` attribute SHOULD be used to provide a localized full name for the unit based on the  
 1681 Client’s locale. If the `display` attribute is omitted, Clients SHOULD use `symbol` for display purposes.

1682

1683 An example for the predefined unit for kilowatt:

```

1684 <obj href="obix:units/kilowatt" display="kilowatt">
1685 <str name="symbol" val="kW"/>
1686 <obj name="dimension">
1687 <int name="m" val="2"/>
1688 <int name="kg" val="1"/>
1689 <int name="sec" val="-3"/>
1690 </obj>
1691 <real name="scale" val="1000"/>
1692 </obj>

```

1693 Automatic conversion of units is considered a localization issue.

1694

## 12 Watches

1695 A key requirement of OBIX is access to real-time information. OBIX is designed to enable Clients to  
1696 efficiently receive access to rapidly changing data. However, Clients should not be required to implement  
1697 web Servers or expose a well-known IP address. In order to address this problem, OBIX provides a  
1698 model for event propagation called *Watches*.

1699 The Implicit Contract for Watch is described in the following lifecycle:

- 1700 • The Client creates a new Watch Object with the `make` operation on the Server's WatchService  
1701 URI. The Server defines a new Watch Object and provides a URI to access the new Watch.
- 1702 • The Client registers (and unregisters) Objects to watch using operations on the Watch Object.
- 1703 • The Server tracks events that occur on the Objects in the Watch.
- 1704 • The Client receives events from the Server about changes to Objects in the Watch. The events  
1705 can be polled by the Client (see 12.1) or pushed by the Server (see 12.2).
- 1706 • The Client may invoke the `pollRefresh` operation at any time to obtain a full list of the current  
1707 value of each Object in the Watch.
- 1708 • The Watch is freed, either by the explicit request of the Client using the `delete` operation, or  
1709 when the Server determines the Watch is no longer being used. See Sections 12.1 and 12.2 for  
1710 details on the criteria for Server removal of Watches. When the Watch is freed, the Objects in it  
1711 are no longer tracked by the Server and the Server may return any resources used for it to the  
1712 system.

1713 Watches allow a Client to maintain a real-time cache of the current state of one or more Objects. They are  
1714 also used to access an event stream from a `feed` Object. Watches also serve as the standardized  
1715 mechanism for managing per-Client state on the Server via leases.

### 12.1 Client Polled Watches

1716 When the underlying binding does not allow the Server to send unsolicited messages, the Watch must be  
1717 periodically polled by the Client. The Implicit Contract for Watch in this scenario is extended as follows:

- 1719 • The Client SHOULD periodically poll the Watch URI using the `pollChanges` operation to obtain  
1720 the events which have occurred since the last poll.
- 1721 • In addition to freeing the Watch by explicit request of the Client, the Server MAY free the Watch if  
1722 the Client fails to poll for a time greater than the `lease time` of the Watch. See the `lease`  
1723 property in Section 12.4.5.

### 12.2 Server Pushed Watches

1724 Some bindings, for example the **[OBIX WebSocket]** binding, may allow unsolicited transmission by either  
1725 the Client or the Server. If this is possible the standard Implicit Contract for Watch behavior is extended  
1726 as follows:

- 1728 • Change events are sent by the Server directly to the Client as unsolicited updates.
- 1729 • The lease time property of the Watch MUST NOT be used for Server automatic removal of the  
1730 Watch. The Watch SHOULD remain active without the need for the Client to invoke the  
1731 `pollChanges` or `pollRefresh` operations.
- 1732 • The Watch MUST be removed by the Server upon termination of the underlying session between  
1733 the Client and Server, in addition to the normal removal upon explicit Client request.
- 1734 • The Server MUST return an empty list upon invocation of the `pollChanges` operation.

1735 Watches used in Servers that can push events MUST provide three additional properties for configuring  
1736 the Watch behavior:

- 1737 • `bufferDelay`: The implicit contract for `bufferDelay` is the period of time for which any events  
1738 on watched objects will be buffered before being sent by the Server in an update. Clients must be  
1739 able to regulate the flow of messages from the Server. A common scenario is an OBIX Client  
1740 application on a mobile device where the bandwidth usage is important; for example, a Server  
1741 sending updates every 50 milliseconds as a sensor value jitters around will cause problems. On  
1742 the other hand, Server devices may be constrained in terms of the available space for buffering  
1743 changes. Servers are free to set a maximum value on `bufferDelay` through the `max` Facet to  
1744 constrain the maximum delay before the Server will report events.
- 1745 • `maxBufferedEvents`: Servers may also use the `maxBufferedEvents` property to indicate the  
1746 maximum number of events that can be retained before the buffer must be sent to the Client to  
1747 avoid missing events.
- 1748 • `bufferPolicy`: This enum property defines the handling of the buffer on the Server side when  
1749 further events occur while the buffer is full. A value of `violate` means that the `bufferDelay`  
1750 property is violated and the events are sent, allowing the buffer to be emptied. A value of `lifo`  
1751 (last-in-first-out) means that the most recently added buffer event is replaced with the new event.  
1752 A value of `fifo` (first-in-first-out) means that the oldest buffer event is dropped to make room for  
1753 the new event.
- 1754 • **NOTE:** A Server using a `bufferPolicy` of either `lifo` or `fifo` will not send events when a  
1755 buffer overrun occurs, and this means that some events will not be received by the Client. It is up  
1756 to the Client and Server to negotiate appropriate values for these three properties to ensure that  
1757 events are not lost.

1758 Note that `bufferDelay` MUST be writable by the Client, as the Client capabilities typically constrain the  
1759 bandwidth usage. Server capabilities typically constrain `maxBufferedEvents`, and thus this is generally  
1760 not writable by Clients.

## 1761 12.3 WatchService

1762 The `WatchService` Object provides a well-known URI as the factory for creating new Watches. The  
1763 `WatchService` URI is available directly from the `Lobby` Object. The Contract for `WatchService`:

```
1764 <obj href="obix:WatchService">  
1765 <op name="make" in="obix:nil" out="obix:Watch"/>  
1766 </obj>
```

1767 The `make` operation returns a new empty `Watch` Object as an output. The href of the newly created  
1768 `Watch` Object can then be used for invoking operations to populate and poll the data set.

## 1769 12.4 Watch

1770 The `Watch` Object is used to manage a set of Objects which are subscribed by Clients to receive the  
1771 latest events. The Explicit Contract definitions are:

```
1772 <obj href="obix:Watch">  
1773 <reltime name="lease" min="PT0S" writable="true"/>  
1774 <reltime name="bufferDelay" min="PT0S" writable="true" null="true"/>  
1775 <int name="maxBufferedEvents" null="true"/>  
1776 <enum name="bufferPolicy" is="obix:WatchBufferPolicy" null="true"/>  
1777 <op name="add" in="obix:WatchIn" out="obix:WatchOut"/>  
1778 <op name="remove" in="obix:WatchIn"/>  
1779 <op name="pollChanges" out="obix:WatchOut"/>  
1780 <op name="pollRefresh" out="obix:WatchOut"/>  
1781 <op name="delete"/>  
1782 </obj>  
1783 <enum href="obix:WatchBufferPolicy" range="#Range">  
1784 <list href="#Range" is="obix:Range">  
1785
```

```
1786 <obj name="violate" />
1787 <obj name="lifo" />
1788 <obj name="fifo" />
1789 </list>
1790 </enum>
1791
1792 <obj href="obix:WatchIn">
1793 <list name="hrefs" of="obix:WatchInItem"/>
1794 </obj>
1795
1796 <uri href="obix:WatchInItem">
1797 <obj name="in"/>
1798 </uri>
1799
1800 <obj href="obix:WatchOut">
1801 <list name="values" of="obix:obj"/>
1802 </obj>
```

1803 Many of the Watch operations use two Contracts: `obix:WatchIn` and `obix:WatchOut`. The Client  
1804 identifies Objects to add and remove from the poll list via `WatchIn`. This Object contains a list of URIs.  
1805 Typically these URIs SHOULD be Server relative.

1806 The Server responds to add, `pollChanges`, and `pollRefresh` operations via the `WatchOut` Contract.  
1807 This Object contains the list of subscribed Objects - each Object MUST specify an href URI using the  
1808 exact same string as the URI identified by the Client in the corresponding `WatchIn`. Servers MUST NOT  
1809 perform any case conversions or normalization on the URI passed by the Client. This allows Client  
1810 software to use the URI string as a hash key to match up Server responses.

## 1811 12.4.1 Watch.add

1812 Once a Watch has been created, the Client can add new Objects to the Watch using the `add` operation.  
1813 The Objects returned are REQUIRED to specify an href using the exact string representation input by the  
1814 Client. If any Object cannot be processed, then a partial failure SHOULD be expressed by returning an  
1815 `err` Object with the respective href. Subsequent URIs MUST NOT be affected by the failure of one  
1816 invalid URI. The `add` operation MUST never return Objects not explicitly included in the input URIs (even  
1817 if there are already existing Objects in the watch list). No guarantee is made that the order of Objects in  
1818 `WatchOut` matches the order in of URIs in `WatchIn` - Clients must use the URI as a key for matching.

1819 Note that the URIs supplied via `WatchIn` may include an optional `in` parameter. This parameter is only  
1820 used when subscribing a Watch to a `feed` Object. Feeds also differ from other Objects in that they return  
1821 a list of historic events in `WatchOut`. Feeds are discussed in detail in Section 12.6.

1822 It is invalid to add an `op`'s href to a Watch; the Server MUST report an `err`.

1823 If an attempt is made to add a URI to a Watch which was previously already added, then the Server  
1824 SHOULD return the current Object's value in the `WatchOut` result, but treat poll operations as if the URI  
1825 was only added once - polls SHOULD only return the Object once. If an attempt is made to add the same  
1826 URI multiple times in the same `WatchIn` request, then the Server SHOULD only return the Object once.

### 1827 12.4.1.1 Watch Object URIs

1828 The lack of a trailing slash in watched Object URIs can cause problems with Watches. Consider a Client  
1829 which adds a URI to a Watch without a trailing slash. The Client will use this URI as a key in its local  
1830 hashtable for the Watch. Therefore the Server MUST use the URI exactly as the Client specified.  
1831 However, if the Object's extent includes child Objects they will not be able to use relative URIs. It is  
1832 RECOMMENDED that Servers fail fast in these cases and return a `BadUriErr` when Clients attempt to  
1833 add a URI without a trailing slash to a Watch (even though they may allow it for a normal read request).

## 1834 12.4.2 Watch.remove

1835 The Client can remove Objects from the watch list using the `remove` operation. A list of URIs is input to  
1836 `remove`, and the `Nil` Object is returned. Subsequent `pollChanges` and `pollRefresh` operations MUST  
1837 cease to include the specified URIs. It is possible to remove every URI in the watch list; but this scenario

1838 MUST NOT automatically free the Watch, rather normal poll and lease rules still apply. It is invalid to use  
1839 the `WatchInItem.in` parameter for a `remove` operation.

### 1840 **12.4.3 Watch.pollChanges**

1841 Clients SHOULD periodically poll the Server using the `pollChanges` operation. This operation returns a  
1842 list of the subscribed Objects which have changed. Servers SHOULD only return the Objects which have  
1843 been modified since the last poll request for the specific Watch. As with `add`, every Object MUST specify  
1844 an href using the exact same string representation the Client passed in the original `add` operation. The  
1845 entire extent of the Object SHOULD be returned to the Client if any one thing inside the extent has  
1846 changed on the Server side.

1847 Invalid URIs MUST never be included in the response (only in `add` and `pollRefresh`). An exception to  
1848 this rule is when an Object which is valid is removed from the URI space. Servers SHOULD indicate an  
1849 Object has been removed via an `err` with the `BadUriErr` Contract.

### 1850 **12.4.4 Watch.pollRefresh**

1851 The `pollRefresh` operation forces an update of every Object in the watch list. The Server MUST return  
1852 every Object and its full extent in the response using the href with the exact same string representation  
1853 passed by the Client in the original `add`. Invalid URIs in the poll list SHOULD be included in the response  
1854 as an `err` element. A `pollRefresh` resets the poll state of every Object, so that the next `pollChanges`  
1855 only returns Objects which have changed state since the `pollRefresh` invocation.

### 1856 **12.4.5 Watch.lease**

1857 All Watches have a *lease time*, specified by the `lease` child. If the lease time elapses without the Client  
1858 initiating a request on the Watch, and the Watch is a Client-pollled Watch, then the Server MAY *expire* the  
1859 Watch. Every new poll request resets the lease timer. So as long as the Client polls at least as often as  
1860 the lease time, the Server SHOULD maintain the Watch. The following requests SHOULD reset the lease  
1861 timer: read of the Watch URI itself or invocation of the `add`, `remove`, `pollChanges`, or `pollRefresh`  
1862 operations.

1863 Clients may request a different lease time by writing to the `lease` Object (requires Servers to assign an  
1864 href to the `lease` child). The Server is free to honor the request, cap the lease within a specific range, or  
1865 ignore the request. In all cases the write request will return a response containing the new lease time in  
1866 effect.

1867 Servers SHOULD report expired Watches by returning an `err` Object with the `BadUriErr` Contract. As a  
1868 general principle Servers SHOULD honor Watches until the lease runs out (for Client-pollled Watches) or  
1869 the Client explicitly invokes `delete`. However, Servers are free to cancel Watches as needed (such as  
1870 power failure) and the burden is on Clients to re-establish a new Watch.

### 1871 **12.4.6 Watch.delete**

1872 The `delete` operation can be used to cancel an existing Watch. Clients SHOULD always delete their  
1873 Watch when possible to be good OBIX citizens. However Servers MUST always cleanup correctly without  
1874 an explicit delete when the lease expires or the session is terminated.

## 1875 **12.5 Watch Depth**

1876 When a Watch is put on an Object which itself has child Objects, how does a Client know how “deep” the  
1877 subscription goes? OBIX requires Watch depth to match an Object’s extent (see Section 9.3). When a  
1878 Watch is put on a target Object, a Server MUST notify the Client of any changes to any of the Objects  
1879 within that target Object’s extent. If the extent includes `feed` Objects, they are not included in the Watch  
1880 – Feeds have special Watch semantics discussed in Section 12.6. This means a Watch is inclusive of all  
1881 descendants within the extent except `refs` and `feeds`.

## 1882 12.6 Feeds

1883 Servers may expose event streams using the `feed` Object. The event instances are typed via the Feed's  
1884 `of` attribute. Clients subscribe to events by adding the Feed's href to a Watch, optionally passing an input  
1885 parameter which is typed via the Feed's `in` attribute. The Object returned from `Watch.add` is a list of  
1886 historic events (or the empty list if no event history is available). Subsequent calls to `pollChanges` return  
1887 the list of events which have occurred since the last poll.

1888 Let's consider a simple example for an Object which fires an event when its geographic location changes:

```
1889 <obj href="/car/">  
1890 <feed href="moved" of="/def/Coordinate"/>  
1891 <obj>  
1892  
1893 <obj href="/def/Coordinate">  
1894 <real name="lat"/>  
1895 <real name="long"/>  
1896 </obj>
```

1897 The Client subscribes to the moved event Feed by adding "/car/moved" to a Watch. The WatchOut will  
1898 include the list of any historic events which have occurred up to this point in time. If the Server does not  
1899 maintain an event history this list will be empty:

```
1900 <obj is="obix:WatchIn">  
1901 <list name="hrefs">  
1902 <uri val="/car/moved" />  
1903 </list>  
1904 </obj>  
1905  
1906 <obj is="obix:WatchOut">  
1907 <list name="values">  
1908 <feed href="/car/moved" of="/def/Coordinate/" /> <!-- empty history -->  
1909 </list>  
1910 </obj>
```

1911 Now every time the Client `pollChanges` for the Watch, the Server will return the list of event instances  
1912 which have accumulated since the last poll:

```
1913 <obj is="obix:WatchOut">  
1914 <list name="values">  
1915 <feed href="/car/moved" of="/def/Coordinate">  
1916 <obj>  
1917 <real name="lat" val="37.645022"/>  
1918 <real name="long" val="-77.575851"/>  
1919 </obj>  
1920 <obj>  
1921 <real name="lat" val="37.639046"/>  
1922 <real name="long" val="-77.61872"/>  
1923 </obj>  
1924 </feed>  
1925 </list>  
1926 </obj>
```

1927 Note the Feed's `of` attribute works just like the `list's of` attribute. The children event instances are  
1928 assumed to inherit the Contract defined by `of` unless explicitly overridden. If an event instance does  
1929 override the `of` Contract, then it MUST be Contract compatible. Refer to the rules defined in Section 7.8.

1930 Invoking a `pollRefresh` operation on a Watch with a Feed that has an event history, SHOULD return all  
1931 the historical events as if the `pollRefresh` was an `add` operation. If an event history is not available,  
1932 then `pollRefresh` SHOULD act like a normal `pollChanges` and just return the events which have  
1933 occurred since the last poll.

1934

## 13 Points

1935 Anyone familiar with automation systems immediately identifies with the term *Point* (sometimes called  
1936 *tags* in the industrial space). Although there are many different definitions, generally points map directly to  
1937 a sensor or actuator (called *Hard Points*). Sometimes a Point is mapped to a configuration variable such  
1938 as a software setpoint (called *Soft Points*). In some systems Point is an atomic value, and in others it  
1939 encapsulates a great deal of status and configuration information.

1940 OBIX allows an integrator to normalize the representation of Points without forcing an impedance  
1941 mismatch on implementers trying to make their native system OBIX accessible. To meet this requirement,  
1942 OBIX defines a low level abstraction for Point - simply one of the primitive value types with associated  
1943 status information. Point is basically just a marker Contract used to tag an Object as exhibiting "Point"  
1944 semantics:

1945 

```
<obj href="obix:Point"/>
```

1946 This Contract MUST only be used with the value primitive types: `bool`, `real`, `enum`, `str`, `abstime`, and  
1947 `reltime`. Points SHOULD use the `status` attribute to convey quality information. This Table specifies  
1948 how to map common control system semantics to a value type:

Point type	OBIX Object	Example
digital Point	<code>bool</code>	<code>&lt;bool is="obix:Point" val="true"/&gt;</code>
analog Point	<code>real</code>	<code>&lt;real is="obix:Point" val="22" unit="obix:units/celsius"/&gt;</code>
multi-state Point	<code>enum</code>	<code>&lt;enum is="obix:Point" val="slow"/&gt;</code>

1949 Table 13-1. Base Point types.

### 13.1 Writable Points

1951 Different control systems handle Point writes using a wide variety of semantics. Sometimes a Client  
1952 desires to write a Point at a specific priority level. Sometimes the Client needs to override a Point for a  
1953 limited period of time, after which the Point falls back to a default value. The OBIX specification does not  
1954 attempt to impose a specific model on implementers. Rather OBIX provides a standard `WritablePoint`  
1955 Contract which may be extended with additional mixins to handle special cases. `WritablePoint`  
1956 defines `write` as an operation which takes a `WritePointIn` structure containing the value to write. The  
1957 Contracts are:

1958 

```
<obj href="obix:WritablePoint" is="obix:Point">  
1959   <op name="writePoint" in="obix:WritePointIn" out="obix:Point"/>  
1960 </obj>  
1961  
1962 <obj href="obix:WritePointIn">  
1963   <obj name="value"/>  
1964 </obj>
```

1965

1966 It is implied that the value passed to `writePoint` MUST match the type of the Point. For example if  
1967 `WritablePoint` is used with an `enum`, then `writePoint` MUST pass an `enum` for the value.

1968

## 14 History

1969

Most automation systems have the ability to persist periodic samples of point data to create a historical archive of a point's value over time. This feature goes by many names including logs, trends, or histories.

1970

In OBIX, a *history* is defined as a list of time stamped point values. The following features are provided by

1971

OBIX histories:

1972

<b>History Object</b>	A normalized representation for a history itself
<b>History Record</b>	A record of a point sampling at a specific timestamp
<b>History Query</b>	A standard way to query history data as Points
<b>History Rollup</b>	A standard mechanism to do basic rollups of history data
<b>History Append</b>	The ability to push new history records into a history

1973

Table 14-1. Features of OBIX Histories.

1974

### 14.1 History Object

1975

Any Object which wishes to expose itself as a standard OBIX history implements the `obix:History`

1976

Contract:

1977

```
<obj href="obix:History">
  <int name="count" min="0" val="0"/>
  <abstime name="start" null="true"/>
  <abstime name="end" null="true"/>
  <str name="tz" null="true"/>
  <obj name="prototype" null="true"/>
  <enum name="collect+Mode" null=true range="obix:HistoryCollect+Mode"/>
  <list name="formats" of="obix:str" null="true"/>
  <op name="query" in="obix:HistoryFilter" out="obix:HistoryQueryOut"/>
  <feed name="feed" in="obix:HistoryFilter" of="obix:HistoryRecord"/>
  <op name="rollup" in="obix:HistoryRollupIn" out="obix:HistoryRollupOut"/>
  <op name="append" in="obix:HistoryAppendIn" out="obix:HistoryAppendOut"/>
</obj>

<list href="obix:HistoryCollect+Mode" is="obix:Range">
  <obj name="interval" displayName="Interval"/>
  <obj name="cov" displayName="Change of Value"/>
  <obj name="triggered" displayName="Triggered"/>
</list>
```

1978

1979

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1996

The child properties of `obix:History` are:

1997

Property	Description
<b>count</b>	The number of history records contained by the history
<b>start</b>	Provides the timestamp of the oldest record. The timezone of this abstime MUST match <code>History.tz</code>
<b>end</b>	Provides the timestamp of the newest record. The timezone of this abstime MUST match <code>History.tz</code>
<b>tz</b>	A standardized timezone identifier for the history data (see Section 4.2.7.9)
<b>prototype</b>	An object of the form of each history record, identifying the type and any Facets applicable to the records (such as units).

<b>collectMode</b>	Indicates the mechanism for how the history records are collected. Servers SHOULD provide this field, if it is known, so Client applications can make appropriate decisions about how to use records in calculations, such as interpolation.
<b>formats</b>	Provides a list of strings describing the formats in which the Server can provide the history data
<b>query</b>	The operation used to query the history to read history records
<b>feed</b>	The object used to subscribe to a real-time Feed of history records
<b>rollup</b>	The operation used to perform history rollups (it is only supported for numeric history data)
<b>append</b>	The operation used to push new history records into the history

1998 Table 14-2. Properties of obix:History.

1999 An example of a history which contains an hour of 15 minute temperature data:

```
2000 <obj href="http://x/outsideAirTemp/history/" is="obix:History">
2001   <int name="count" val="5"/>
2002   <abstime name="start" val="2005-03-16T14:00:00-05:00" tz="America/New_York"/>
2003   <abstime name="end" val="2005-03-16T15:00:00-05:00" tz="America/New_York"/>
2004   <str name="tz" val="America/New_York"/>
2005   <list name="formats" of="obix:str">
2006     <str val="text/csv"/>
2007   </list>
2008   <op name="query" href="query"/>
2009   <op name="rollup" href="rollup"/>
2010 </obj>
```

### 2011 14.1.1 History prototype

2012 [The prototype property of a History SHOULD be included by the Server when the records collected are](#)  
2013 [identical in their composition. For example, when every record in the History contains a timestamp in the](#)  
2014 [America/New\\_York time zone, and a floating point value reported in units of degrees Fahrenheit, the](#)  
2015 [Server SHOULD include the prototype in its History object as follows:](#)

```
2016 <obj is="obix:History">
2017   <int name="count" val="100"/>
2018   <abstime name="start" val="2005-03-16T14:00:00-05:00" tz="America/New_York"/>
2019   <abstime name="end" val="2005-03-16T15:00:00-05:00" tz="America/New_York"/>
2020   <str name="tz" val="America/New_York"/>
2021   <obj name="prototype" is="obix:HistoryRecord">
2022     <abstime name="timestamp" tz="America/New_York"/>
2023     <real name="value" unit="obix:units/fahrenheit"/>
2024   </obj>
2025   <op name="query" href="query"/>
2026   <op name="rollup" href="rollup"/>
2027 </obj>
```

## 2028 14.2 History Queries

2029 Every History Object contains a query operation to query the historical data. A Client MAY invoke the  
2030 query operation to request the data from the Server as an obix:HistoryQueryOut. Alternatively, if  
2031 the Server is able to provide the data in a different format, such as CSV, it SHOULD list these additionally  
2032 supported formats in the formats field. A Client MAY then supply one of these defined formats in the  
2033 HistoryFilter input query.

### 2034 14.2.1 HistoryFilter

2035 The History.query input Contract:

```

2036 <obj href="obix:HistoryFilter">
2037   <int name="limit" null="true"/>
2038   <abstime name="start" null="true"/>
2039   <abstime name="end" null="true"/>
2040   <str name="format" null="true"/>
2041 </obj>

```

2042 These fields are described in detail in this Table:

Field	Description
<b>limit</b>	An integer indicating the maximum number of records to return. Clients can use this field to throttle the amount of data returned by making it non-null. Servers MUST never return more records than the specified limit. However Servers are free to return fewer records than the limit.
<b>start</b>	If non-null this field indicates an inclusive lower bound for the query's time range. This value SHOULD match the history's timezone, otherwise the Server MUST normalize based on absolute time.
<b>end</b>	If non-null this field indicates an inclusive upper bound for the query's time range. This value SHOULD match the history's timezone, otherwise the Server MUST normalize based on absolute time.
<b>format</b>	If non-null this field indicates the format that the Client is requesting for the returned data. If the Client uses this field the Server MUST return a HistoryQueryOut with a non-null dataRef URI, or return an error if it is unable to supply the requested format. A Client SHOULD use one of the formats defined in the History's <code>formats</code> field when using this field in the filter.

2043 Table 14-3. Properties of `obix:HistoryFilter`.

## 2044 14.2.2 HistoryQueryOut

2045 The `History.query` output Contract:

```

2046 <obj href="obix:HistoryQueryOut">
2047   <int name="count" min="0" val="0"/>
2048   <abstime name="start" null="true"/>
2049   <abstime name="end" null="true"/>
2050   <list name="data" of="obix:HistoryRecord" null="true"/>
2051   <uri name="dataRef" null="true"/>
2052 </obj>

```

2053 Just like `History`, every `HistoryQueryOut` returns `count`, `start`, and `end`. But unlike `History`,  
2054 these values are for the query result, not the entire history. The actual history data is stored as a list of  
2055 `HistoryRecords` in the `data` field. Remember that child order is not guaranteed in OBIX, therefore it  
2056 might be common to have `count` after `data`. The `start`, `end`, and `data` `HistoryRecord` timestamps MUST  
2057 have a timezone which matches `History.tz`.

2058 When using a Client-requested format, the Server MUST provide a URI that can be followed by the Client  
2059 to obtain the history data in the alternate format. The exact definition of this format is out of scope of this  
2060 specification, but SHOULD be agreed upon by both the Client and Server.

## 2061 14.2.3 HistoryRecord

2062 The `HistoryRecord` Contract specifies a record in a history query result:

```

2063 <obj href="obix:HistoryRecord">
2064   <abstime name="timestamp" null="true"/>
2065   <obj name="value" null="true"/>
2066 </obj>

```

2067 Typically the value SHOULD be one of the value types used with `obix:Point`.

## 2068 14.2.4 History Query Examples

2069 Consider an example query from the "/outsideAirTemp/history" example above.

### 2070 14.2.4.1 History Query as OBIX Objects

2071 First examine how a Client and Server interact using the standard history query mechanism:

2072 Client invoke request:

```
2073 INVOKE http://x/outsideAirTemp/history/query
2074 <obj name="in" is="obix:HistoryFilter">
2075   <int name="limit" val="5"/>
2076   <abstime name="start" val="2005-03-16T14:00:00-05:00" tz="America/New_York"/>
2077 </obj>
```

2078 Server response:

```
2079 <obj href="http://x/outsideAirTemp/history/query" is="obix:HistoryQueryOut">
2080   <int name="count" val="5"/>
2081   <abstime name="start" val="2005-03-16T14:00:00-05:00" tz="America/New_York"/>
2082   <abstime name="end" val="2005-03-16T15:00:00-05:00" tz="America/New_York"/>
2083   <reltime name="interval" val="PT15M"/>
2084   <list name="data" of="#RecordDef obix:HistoryRecord">
2085     <obj> <abstime name="timestamp" val="2005-03-16T14:00:00-05:00"/>
2086       <real name="value" val="40"/> </obj>
2087     <obj> <abstime name="timestamp" val="2005-03-16T14:15:00-05:00"/>
2088       <real name="value" val="42"/> </obj>
2089     <obj> <abstime name="timestamp" val="2005-03-16T14:30:00-05:00"/>
2090       <real name="value" val="43"/> </obj>
2091     <obj> <abstime name="timestamp" val="2005-03-16T14:45:00-05:00"/>
2092       <real name="value" val="47"/> </obj>
2093     <obj> <abstime name="timestamp" val="2005-03-16T15:00:00-05:00"/>
2094       <real name="value" val="44"/> </obj>
2095   </list>
2096   <obj href="#RecordDef" is="obix:HistoryRecord">
2097     <abstime name="timestamp" tz="America/New_York"/>
2098     <real name="value" unit="obix:units/fahrenheit"/>
2099   </obj>
2100 </obj>
```

2101 Note in the example above how the data list uses a document local Contract to define Facets common to  
2102 all the records (although the Contract List must still be flattened).

### 2103 14.2.4.2 History Query as Preformatted List

2104 Now consider how this might be done in a more compact format. The Server in this case is able to return  
2105 the history data as a CSV list.

2106 Client invoke request:

```
2107 INVOKE http://myServer/obix/outsideAirTemp/history/query
2108 <obj name="in" is="obix:HistoryFilter">
2109   <int name="limit" val="5"/>
2110   <abstime name="start" val="2005-03-16T14:00:00-05:00" tz="America/New_York"/>
2111   <str name="format" val="text/csv"/>
2112 </obj>
```

2113 Server response:

```
2114 <obj href="http://myServer/obix/outsideAirTemp/history/query" is="obix:HistoryQueryOut">
2115   <int name="count" val="5"/>
2116   <abstime name="start" val="2005-03-16T14:00:00-05:00" tz="America/New_York"/>
2117   <abstime name="end" val="2005-03-16T15:00:00-05:00" tz="America/New_York"/>
2118   <uri name="dataRef" val="http://x/outsideAirTemp/history/query?text/csv"/>
2119 </obj>
```

2121 Client then reads the dataRef URI:

```
2122 GET http://x/outsideAirTemp/history/query?text/csv
```

2123 Server response:

2124 2005-03-16T14:00:00-05:00,40  
 2125 2005-03-16T14:15:00-05:00,42  
 2126 2005-03-16T14:30:00-05:00,43  
 2127 2005-03-16T14:45:00-05:00,47  
 2128 2005-03-16T15:00:00-05:00,44

2129 Note that the Client's second request is NOT an OBIX request, and the subsequent Server response is  
 2130 NOT an OBIX document, but just arbitrarily formatted data as requested by the Client – in this case  
 2131 text/csv. Also it is important to note that this is simply an example. While the usage of the format and  
 2132 dataRef properties is normative, the usage of the text/csv MIME type and how the data is actually  
 2133 presented is purely non-normative. It is not intended to suggest CSV as a mechanism for how the data  
 2134 should be formatted, as that is an agreement to be made between the Client and Server. The Server and  
 2135 Client are free to use any agreed-upon format, for example, one where the timestamps are inferred rather  
 2136 than repeated, for maximum brevity.

### 2137 14.3 History Rollups

2138 Control systems collect historical data as raw time sampled values. However, most applications wish to  
 2139 consume historical data in a summarized form which are called *rollups*. The rollup operation is used to  
 2140 summarize an interval of time. History rollups only apply to histories which store numeric information.  
 2141 Attempting to query a rollup on a non-numeric history SHOULD result in an error.

#### 2142 14.3.1 HistoryRollupIn

2143 The `History.rollup` input Contract extends `HistoryFilter` to add an interval parameter:

```
2144 <obj href="obix:HistoryRollupIn" is="obix:HistoryFilter">
2145   <reltime name="interval"/>
2146 </obj>
```

#### 2147 14.3.2 HistoryRollupOut

2148 The `History.rollup` output Contract:

```
2149 <obj href="obix:HistoryRollupOut">
2150   <int name="count" min="0" val="0"/>
2151   <abstime name="start" null="true"/>
2152   <abstime name="end" null="true"/>
2153   <list name="data" of="obix:HistoryRollupRecord"/>
2154 </obj>
```

2155 The `HistoryRollupOut` Object looks very much like `HistoryQueryOut` except it returns a list of  
 2156 `HistoryRollupRecords`, rather than `HistoryRecords`. Note: unlike `HistoryQueryOut`, the start  
 2157 for `HistoryRollupOut` is exclusive, not inclusive. This issue is discussed in greater detail next. The  
 2158 start, end, and data `HistoryRollupRecord` timestamps MUST have a timezone which matches  
 2159 `History.tz`.

#### 2160 14.3.3 HistoryRollupRecord

2161 A history rollup returns a list of `HistoryRollupRecords`:

```
2162 <obj href="obix:HistoryRollupRecord">
2163   <abstime name="start"/>
2164   <abstime name="end" />
2165   <int name="count"/>
2166   <real name="min" />
2167   <real name="max" />
2168   <real name="avg" />
2169   <real name="sum" />
2170 </obj>
```

2171 The children are defined in the Table below:

Property	Description
----------	-------------

<b>start</b>	The exclusive start time of the record's rollup interval
<b>end</b>	The inclusive end time of the record's rollup interval
<b>count</b>	The number of records used to compute this rollup interval
<b>min</b>	The minimum value of all the records within the interval
<b>max</b>	The maximum value of all the records within the interval
<b>avg</b>	The arithmetic mean of all the values within the interval
<b>sum</b>	The summation of all the values within the interval

2172 *Table 14-4. Properties of obix:HistoryRollupRecord.*

### 2173 14.3.4 Rollup Calculation

2174 The best way to understand how rollup calculations work is through an example. Let's consider a history  
2175 of meter data which contains two hours of 15 minute readings of kilowatt values:

```

2176 <obj is="obix:HistoryQueryOut">
2177   <int name="count" val="9">
2178   <abstime name="start" val="2005-03-16T12:00:00+04:00" tz="Asia/Dubai"/>
2179   <abstime name="end" val="2005-03-16T14:00:00+04:00" tz="Asia/Dubai"/>
2180   <list name="data" of="#HistoryDef obix:HistoryRecord">
2181     <obj> <abstime name="timestamp" val="2005-03-16T12:00:00+04:00"/>
2182     <real name="value" val="80"> </obj>
2183     <obj> <abstime name="timestamp" val="2005-03-16T12:15:00+04:00"/>
2184     <real name="value" val="82"></obj>
2185     <obj> <abstime name="timestamp" val="2005-03-16T12:30:00+04:00"/>
2186     <real name="value" val="90"> </obj>
2187     <obj> <abstime name="timestamp" val="2005-03-16T12:45:00+04:00"/>
2188     <real name="value" val="85"> </obj>
2189     <obj> <abstime name="timestamp" val="2005-03-16T13:00:00+04:00"/>
2190     <real name="value" val="81"> </obj>
2191     <obj> <abstime name="timestamp" val="2005-03-16T13:15:00+04:00"/>
2192     <real name="value" val="84"> </obj>
2193     <obj> <abstime name="timestamp" val="2005-03-16T13:30:00+04:00"/>
2194     <real name="value" val="91"> </obj>
2195     <obj> <abstime name="timestamp" val="2005-03-16T13:45:00+04:00"/>
2196     <real name="value" val="83"> </obj>
2197     <obj> <abstime name="timestamp" val="2005-03-16T14:00:00+04:00"/>
2198     <real name="value" val="78"> </obj>
2199   </list>
2200   <obj href="#HistoryRecord" is="obix:HistoryRecord">
2201     <abstime name="timestamp" tz="Asia/Dubai"/>
2202     <real name="value" unit="obix:units/kilowatt"/>
2203   </obj>
2204 </obj>

```

2205 For a query of the rollup using an interval of 1 hour with a start time of 12:00 and end time of 14:00, the  
2206 result would be:

```

2207 <obj is="obix:HistoryRollupOut obix:HistoryQueryOut">
2208   <int name="count" val="2">
2209   <abstime name="start" val="2005-03-16T12:00:00+04:00" tz="Asia/Dubai"/>
2210   <abstime name="end" val="2005-03-16T14:00:00+04:00" tz="Asia/Dubai"/>
2211   <list name="data" of="obix:HistoryRollupRecord">
2212     <obj>
2213       <abstime name="start" val="2005-03-16T12:00:00+04:00"
2214       tz="Asia/Dubai"/>
2215       <abstime name="end" val="2005-03-16T13:00:00+04:00"
2216       tz="Asia/Dubai"/>
2217       <int name="count" val="4" />
2218       <real name="min" val="81" />
2219       <real name="max" val="90" />
2220       <real name="avg" val="84.5" />
2221       <real name="sum" val="338" />

```

```

2222 </obj>
2223 <obj>
2224 <abstime name="start" val="2005-03-16T13:00:00+04:00"
2225 tz="Asia/Dubai"/>
2226 <abstime name="end" val="2005-03-16T14:00:00+04:00"
2227 tz="Asia/Dubai"/>
2228 <int name="count" val="4" />
2229 <real name="min" val="78" />
2230 <real name="max" val="91" />
2231 <real name="avg" val="84" />
2232 <real name="sum" val="336" />
2233 </obj>
2234 </list>
2235 </obj>

```

2236 The first item to notice is that the first raw record of 80kW was never used in the rollup. This is because  
2237 start time is always exclusive. The reason start time has to be exclusive is because discrete samples are  
2238 being summarized into a contiguous time range. It would be incorrect to include a record in two different  
2239 rollup intervals! To avoid this problem, start time MUST always be exclusive and end time MUST always  
2240 be inclusive. The following Table illustrates how the raw records were applied to rollup intervals:

Interval Start (exclusive)	Interval End (inclusive)	Records Included
2005-03-16T12:00	2005-03-16T13:00	82 + 90 + 85 + 81 = 338
2005-03-16T13:00	2005-03-16T14:00	84 + 91 + 83 + 78 = 336

2241 *Table 14-5. Calculation of OBIX History rollup values.*

## 2242 14.4 History Feeds

2243 The `History` Contract specifies a `Feed` for subscribing to a real-time `Feed` of the history records.  
2244 `History.feed` reuses the same `HistoryFilter` input Contract used by `History.query` – the same  
2245 semantics apply. When adding a `History Feed` to a `Watch`, the initial result SHOULD contain the list of  
2246 `HistoryRecords` filtered by the input parameter (i.e., the initial result SHOULD match what  
2247 `History.query` would return). Subsequent calls to `Watch.pollChanges` SHOULD return any new  
2248 `HistoryRecords` which have been collected since the last poll that also satisfy the `HistoryFilter`.

## 2249 14.5 History Append

2250 The `History.append` operation allows a `Client` to push new `HistoryRecords` into a `History` log  
2251 (assuming proper security credentials). This operation comes in handy when bi-direction HTTP  
2252 connectivity is not available. For example if a device in the field is behind a firewall, it can still push history  
2253 data on an interval basis to a `Server` using the `append` operation.

### 2254 14.5.1 HistoryAppendIn

2255 The `History.append` input Contract:

```

2256 <obj href="obix:HistoryAppendIn">
2257 <list name="data" of="obix:HistoryRecord"/>
2258 </obj>

```

2259 The `HistoryAppendIn` is a wrapper for the list of `HistoryRecords` to be inserted into the `History`. The  
2260 `HistoryRecords` SHOULD use a timestamp which matches `History.tz`. If the timezone doesn't  
2261 match, then the `Server` MUST normalize to its configured timezone based on absolute time. The  
2262 `HistoryRecords` in the data list MUST be sorted by timestamp from oldest to newest, and MUST not  
2263 include a timestamp equal to or older than `History.end`.

### 2264 14.5.2 HistoryAppendOut

2265 The `History.append` output Contract:

```
2266 <obj href="obix:HistoryAppendOut">
2267   <int name="numAdded"/>
2268   <int name="newCount"/>
2269   <abstime name="newStart" null="true"/>
2270   <abstime name="newEnd" null="true"/>
2271 </obj>
```

2272 The output of the append operation returns the number of new records appended to the History and the  
2273 new total count, start time, and end time of the entire History. The newStart and newEnd timestamps  
2274 MUST have a timezone which matches `History.tz`.

2275

## 15 Alarmings

2276  
2277  
2278  
2279

OBIX specifies a normalized model to query, Watch, and acknowledge alarms. In OBIX, an alarm indicates a condition which requires notification of either a user or another application. In many cases an alarm requires acknowledgement, indicating that someone (or something) has taken action to resolve the alarm condition. The typical lifecycle of an alarm is:

2280  
2281  
2282  
2283

1. **Source Monitoring:** Algorithms in a Server monitor an *alarm source*. An alarm source is an Object with an href which has the potential to generate an alarm. Example of alarm sources might include sensor points (this room is too hot), hardware problems (disk is full), or applications (building is consuming too much energy at current energy rates)

2284  
2285  
2286  
2287

2. **Alarm Generation:** If the algorithms in the Server detect that an alarm source has entered an alarm condition, then an *alarm* record is generated. Every alarm is uniquely identified using an href and represented using the `obix:Alarm` Contract. The transition to an alarm state is called *off-normal*.

2288  
2289  
2290  
2291

3. **To Normal:** Many alarm sources are said to be *stateful* - eventually the alarm source exits the alarm state, and is said to return *to-normal*. Stateful alarms implement the `obix:StatefulAlarm` Contract. When the alarm source transitions to normal, the alarm's `normalTimestamp` is updated.

2292  
2293  
2294  
2295

4. **Acknowledgement:** A common requirement for alarming is that a user or application acknowledges that they have processed an alarm. These alarms implement the `obix:AckAlarm` Contract. When the alarm is acknowledged, the alarm's `ackTimestamp` and `ackUser` are updated.

2296

### 15.1 Alarm States

2297

Alarm state is summarized with two variables:

<b>In Alarm</b>	Is the alarm source currently in the alarm condition or in the normal condition? This variable maps to the <code>alarm</code> status state.
<b>Acknowledged</b>	Is the alarm acknowledged or unacknowledged? This variable maps to the <code>unacked</code> status state.

2298

Table 15-1. Alarm states in OBIX.

2299  
2300  
2301  
2302

Either of these states may transition independent of the other. For example an alarm source can return to normal before or after an alarm has been acknowledged. Furthermore it is not uncommon to transition between normal and off-normal multiple times generating several alarm records before any acknowledgements occur.

2303  
2304  
2305  
2306  
2307

Note not all alarms have state. An alarm which implements neither `StatefulAlarm` nor the `AckAlarm` Contracts is completely stateless – these alarms merely represent event. An alarm which implements `StatefulAlarm` but not `AckAlarm` will have an in-alarm state, but not acknowledgement state. Conversely an alarm which implements `AckAlarm` but not `StatefulAlarm` will have an acknowledgement state, but not in-alarm state.

2308

#### 15.1.1 Alarm Source

2309  
2310  
2311

The current alarm state of an alarm source is represented using the `status` attribute. This attribute is discussed in Section 4.2.7.8. It is recommended that alarm sources always report their status via the `status` attribute.

2312 **15.1.2 StatefulAlarm and AckAlarm**

2313 An `Alarm` record is used to summarize the entire lifecycle of an alarm event. If the alarm implements  
2314 `StatefulAlarm` it tracks transition from off-normal back to normal. If the alarm implements `AckAlarm`,  
2315 then it also summarizes the acknowledgement. This allows for four discrete alarm states, which are  
2316 described in terms of the alarm Contract properties:

Alarm State	alarm	acked	normalTimestamp	ackTimestamp
new unacked alarm	true	false	null	null
acknowledged alarm	true	true	null	non-null
unacked returned alarm	false	false	non-null	null
acked returned alarm	false	true	non-null	non-null

2317 *Table 15-2. Alarm lifecycle states in OBIX.*

2318 **15.2 Alarm Contracts**

2319 **15.2.1 Alarm**

2320 The core `Alarm` Contract is:

```
2321 <obj href="obix:Alarm">  
2322   <ref name="source"/>  
2323   <abstime name="timestamp"/>  
2324 </obj>
```

2326 The child Objects are:

- 2327 • **source**: the URI which identifies the alarm source. The source SHOULD reference an OBIX  
2328 Object which models the entity that generated the alarm.
- 2329 • **timestamp**: this is the time at which the alarm source transitioned from normal to off-normal and  
2330 the Alarm record was created.

2331 **15.2.2 StatefulAlarm**

2332 Alarms which represent an alarm state which may transition back to normal SHOULD implement the  
2333 `StatefulAlarm` Contract:

```
2334 <obj href="obix:StatefulAlarm" is="obix:Alarm">  
2335   <abstime name="normalTimestamp" null="true"/>  
2336 </obj>
```

2337 The child Object is:

- 2338 • **normalTimestamp**: if the alarm source is still in the alarm condition, then this field is null.  
2339 Otherwise this indicates the time of the transition back to the normal condition.

2340 **15.2.3 AckAlarm**

2341 Alarms which support acknowledgment SHOULD implement the `AckAlarm` Contract:

```
2342 <obj href="obix:AckAlarm" is="obix:Alarm">  
2343   <abstime name="ackTimestamp" null="true"/>  
2344   <str name="ackUser" null="true"/>  
2345   <op name="ack" in="obix:AckAlarmIn" out="obix:AckAlarmOut"/>  
2346 </obj>  
2347  
2348 <obj href="obix:AckAlarmIn">  
2349   <str name="ackUser" null="true"/>  
2350 </obj>
```

```
2352 <obj href="obix:AckAlarmOut">
2353 <obj name="alarm" is="obix:AckAlarm obix:Alarm"/>
2354 </obj>
```

2355 The child Objects are:

- 2356 • **ackTimestamp**: if the alarm is unacknowledged, then this field is null. Otherwise this indicates  
2357 the time of the acknowledgement.
- 2358 • **ackUser**: if the alarm is unacknowledged, then this field is null. Otherwise this field SHOULD  
2359 provide a string indicating who was responsible for the acknowledgement.

2360 The `ack` operation is used to programmatically acknowledge the alarm. The Client may optionally specify  
2361 an `ackUser` string via `AckAlarmIn`. However, the Server is free to ignore this field depending on  
2362 security conditions. For example a highly trusted Client may be allowed to specify its own `ackUser`, but a  
2363 less trustworthy Client may have its `ackUser` predefined based on the authentication credentials of the  
2364 protocol binding. The `ack` operation returns an `AckAlarmOut` which contains the updated alarm record.  
2365 Use the `Lobby.batch` operation to efficiently acknowledge a set of alarms.

## 2366 15.2.4 PointAlarms

2367 It is very common for an alarm source to be an `obix:Point`. The `PointAlarm` Contract provides a  
2368 normalized way to report the Point whose value caused the alarm condition:

```
2369 <obj href="obix:PointAlarm" is="obix:Alarm">
2370 <obj name="alarmValue"/>
2371 </obj>
```

2372 The `alarmValue` Object SHOULD be one of the value types defined for `obix:Point` in Section 13.

## 2373 15.3 AlarmSubject

2374 Servers which implement OBIX alarming MUST provide one or more Objects which implement the  
2375 `AlarmSubject` Contract. The `AlarmSubject` Contract provides the ability to categorize and group the  
2376 sets of alarms a Client may discover, query, and watch. For instance a Server could provide one  
2377 `AlarmSubject` for all alarms and other `AlarmSubjects` based on priority or time of day. The Contract  
2378 for `AlarmSubject` is:

```
2379 <obj href="obix:AlarmSubject">
2380 <int name="count" min="0" val="0"/>
2381 <op name="query" in="obix:AlarmFilter" out="obix:AlarmQueryOut"/>
2382 <feed name="feed" in="obix:AlarmFilter" of="obix:Alarm"/>
2383 </obj>
2384
2385 <obj href="obix:AlarmFilter">
2386 <int name="limit" null="true"/>
2387 <abstime name="start" null="true"/>
2388 <abstime name="end" null="true"/>
2389 </obj>
2390
2391 <obj href="obix:AlarmQueryOut">
2392 <int name="count" min="0" val="0"/>
2393 <abstime name="start" null="true"/>
2394 <abstime name="end" null="true"/>
2395 <list name="data" of="obix:Alarm"/>
2396 </obj>
```

2397 The `AlarmSubject` follows the same design pattern as `History`. The `AlarmSubject` specifies the  
2398 active count of alarms; however, unlike `History` it does not provide the `start` and `end` bounding  
2399 timestamps. It contains a `query` operation to read the current list of alarms with an `AlarmFilter` to filter  
2400 by time bounds. `AlarmSubject` also contains a `Feed` Object which may be used to subscribe to the  
2401 alarm events.

## 2402 15.4 Alarm Feed Example

2403 The following example illustrates how a `Feed` works with this `AlarmSubject`:

```
2404 <obj is="obix:AlarmSubject" href="/alarms/">
2405   <int name="count" val="2"/>
2406   <op name="query" href="query"/>
2407   <feed name="feed" href="feed" />
2408 </obj>
```

2409 The Server indicates it has two open alarms under the specified AlarmSubject. If a Client were to add the  
2410 AlarmSubject's Feed to a watch:

```
2411 <obj is="obix:WatchIn">
2412   <list name="hrefs"/>
2413   <uri val="/alarms/feed">
2414     <obj name="in" is="obix:AlarmFilter">
2415       <int name="limit" val="25"/>
2416     </obj>
2417   </uri>
2418 </list>
2419 </obj>
2420
2421 <obj is="obix:WatchOut">
2422   <list name="values">
2423     <feed href="/alarms/feed" of="obix:Alarm">
2424       <obj href="/alarmdb/528" is="obix:StatefulAlarm obix:PointAlarm obix:Alarm">
2425         <ref name="source" href="/airHandlers/2/returnTemp"/>
2426         <abstime name="timestamp" val="2006-05-18T14:20:00Z"/>
2427         <abstime name="normalTimestamp" null="true"/>
2428         <real name="alarmValue" val="80.2"/>
2429       </obj>
2430       <obj href="/alarmdb/527" is="obix:StatefulAlarm obix:PointAlarm obix:Alarm">
2431         <ref name="source" href="/doors/frontDoor"/>
2432         <abstime name="timestamp" val="2006-05-18T14:18:00Z"/>
2433         <abstime name="normalTimestamp" null="true"/>
2434         <real name="alarmValue" val="true"/>
2435       </obj>
2436     </feed>
2437 </list>
2438 </obj>
```

2439 The Watch returns the historic list of alarm events which is two open alarms. The first alarm indicates an  
2440 out of bounds condition in AirHandler-2's return temperature. The second alarm indicates that the system  
2441 has detected that the front door has been propped open.

2442 The system next detects that the front door is closed, and the alarm point transitions to the normal state.  
2443 When the Client next polls the Watch the alarm would be included in the Feed list (along with any  
2444 additional changes or new alarms not shown here):

```
2445 <obj is="obix:WatchOut">
2446   <list name="values">
2447     <feed href="/alarms/feed" of="obix:Alarm">>
2448       <obj href="/alarmdb/527" is="obix:StatefulAlarm obix:PointAlarm obix:Alarm">
2449         <ref name="source" href="/doors/frontDoor"/>
2450         <abstime name="timestamp" val="2006-05-18T14:18:00Z"/>
2451         <abstime name="normalTimestamp" val="2006-05-18T14:45:00Z"/>
2452         <real name="alarmValue" val="true"/>
2453       </obj>
2454     </feed>
2455 </list>
2456 </obj>
```

2457

## 16 Security

2458

Security is a broad topic that covers many issues. Some of the main concepts are listed below:

<b>Authentication</b>	Verifying a user (Client) is who they claim to be
<b>Encryption</b>	Protecting OBIX documents from viewing by unauthorized entities
<b>Permissions</b>	Checking a user's permissions before granting access to read/write Objects or invoke operations
<b>User Management</b>	Managing user accounts and permissions levels

2459

Table 16-1. Security concepts for OBIX.

2460

OBIX does not define security protocols or security methods. Security is dependent upon the business process, the value of the data, the encoding used, and other issues that are out of scope for this specification. OBIX supports composition with any number of security approaches and technologies. User authentication and authorization are left to the implementer. The type and depth of encryption are dependent upon the bindings and transport protocols used. Although it is possible to define contracts for user management through OBIX, this committee does not define any standard Contracts for user management.

2467

OBIX does define the messages used to report errors in security or in authentication. OBIX further defines how security is inherited within the hierarchy of a system. OBIX further makes a number of statements throughout this specification of areas or conditions wherein practitioners should consider carefully the security effects of their decisions.

2468

2469

2470

2471

### 16.1 Error Handling

2472

It is expected that an OBIX Server will perform authentication and utilize those user credentials for checking permissions before processing read, write, and invoke requests. As a general rule, Servers SHOULD return `err` with the `obix:PermissionErr` Contract to indicate a Client lacks the permission to perform a request. In particularly sensitive applications, a Server may instead choose to return `BadUriErr` so that an untrustworthy Client is unaware that a specific object even exists.

2473

2474

2475

2476

2477

### 16.2 Permission-based Degradation

2478

Servers SHOULD strive to present their object model to a Client based on the privileges available to the Client. This behavior is called *permission based degradation*. The following rules summarize effective permission based degradation:

2479

2480

2481

1. If an Object cannot be read, then it SHOULD NOT be discoverable through Objects which are available.

2482

2483

2. Servers SHOULD attempt to group standard Contracts within the same privilege level – for example don't split `obix:History`'s `start` and `end` into two different security levels such that a Client might be able to read `start`, and not `end`.

2484

2485

2486

3. Servers SHOULD NOT include a Contract in an Object's `is` attribute if the Contract's children are not readable to the Client.

2487

2488

4. If an Object isn't writable, then the `writable` attribute SHOULD be set to `false` (either explicitly or through a Contract default).

2489

2490

5. If an `op` inherited from a visible Contract cannot be invoked, then the Server SHOULD set the `null` attribute to `true` to disable it.

2491

2492

## 17 Conformance

2493

### 17.1 Conditions for a Conforming OBIX Server

2494

An implementation conforms to this specification as an OBIX Server if it meets the conditions described in the following subsections. OBIX Servers MUST implement the OBIX Lobby Object.

2495

2496

#### 17.1.1 Lobby

2497

A conforming OBIX Server MUST meet all of the MUST and REQUIRED level requirements defined in Section 5 for the Lobby Object.

2498

2499

#### 17.1.2 Tag Spaces

2500

A conformant OBIX Server implementation MUST present any Tagspaces used according to the following rules, which are discussed in detail in Section 5.5.1:

2501

2502

1. The Server MUST use the `tagspaces` element to declare any semantic model or tag dictionary it uses.

2503

2504

2. The Server MUST use the name defined in the `name` attribute of the `uri` in the `tagspaces` Lobby element when referencing the Tagspace.

2505

2506

3. The `uri` MUST contain a `val` that provides the reference location of the semantic model or tag dictionary.

2507

2508

4. If available the version of the reference MUST be included as a child `str` element with name 'version', in the `uri` for that Tagspace.

2509

2510

5. If the version is not available, the `uri` MUST contain a child `abstime` element with the name 'retrievedAt' and value containing the date when the dictionary used by the Server was retrieved from the publication source.

2511

2512

2513

#### 17.1.3 Bindings

2514

A conformant OBIX Server implementation SHOULD support at least one of the standard bindings, which are defined in the companion specifications to this specification that describe OBIX Bindings. Any bindings used by the implementation MUST be listed in the Bindings section of the Server's Lobby Object.

2515

2516

2517

2518

#### 17.1.4 Encodings

2519

A conformant OBIX Server implementation SHOULD support at least one of the encodings defined in the companion specification to this specification, **[OBIX Encodings]**. Any encodings used by the implementation MUST be listed in the Encodings section of the Server's Lobby Object.

2520

2521

2522

An implementation MUST support negotiation of the encoding to be used with a Client according to the mechanism defined for the specific binding used. A conforming binding specification MUST specify how negotiation of the encoding to be used is performed. A conforming implementation MUST conform to the negotiation rules defined in the specification for each binding that it uses.

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An implementation MUST return values according to the type representations defined in Section 4.2.

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#### 17.1.5 Contracts

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A conformant OBIX Server implementation MUST define and publish its OBIX Contracts according to the Contract design and semantics specified in Section 7. A Server MUST use space-separated Contract Lists to report the Contracts supported by Objects it reports, according to the rules defined in Section 7.

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2531 | [Objects returned by an OBIX Server MUST NOT specify the `obix:nil` Contract in their `is` attribute, as](#)  
2532 | [all Objects derive from `obix:obj`.](#)

## 2533 **17.2 Conditions for a Conforming OBIX Client**

2534 A conformant OBIX Client implementation conforms to this specification as an OBIX Client if it meets the  
2535 conditions described in the following subsections.

### 2536 **17.2.1 Bindings**

2537 A conformant OBIX Client implementation SHOULD support at least one of the standard bindings, which  
2538 are defined in the companion specifications to this specification that describe OBIX Bindings.

### 2539 **17.2.2 Encodings**

2540 A conformant OBIX Client implementation SHOULD support one of the encodings defined in this  
2541 specification. An implementation MUST support negotiation of which encoding to use in communicating  
2542 with an OBIX Server using the mechanism defined for the binding being used.

### 2543 **17.2.3 Naming**

2544 A conformant OBIX Client implementation MUST be able to interpret and navigate URI schemes  
2545 according to the general rules described in section 6.3.

### 2546 **17.2.4 Contracts**

2547 A conformant OBIX Client implementation MUST be able to consume and use OBIX Contracts defined by  
2548 OBIX Server implementations with which it interacts, according to the Contract design and semantics  
2549 defined in Section 7. A Client MUST be able to consume space-separated Contract Lists defining the  
2550 implemented OBIX Contracts reported by Servers, according to the rules defined in Section 7. [Objects](#)  
2551 [sent by an OBIX Client MUST NOT specify the `obix:nil` Contract in their `is` attribute, as all Objects](#)  
2552 [derive from `obix:obj`.](#)

## 2553 **17.3 Interaction with other Implementations**

2554 In order to be conformant, an implementation MUST be able to interoperate with any implementation that  
2555 satisfies all MUST and REQUIRED level requirements. Where the implementation has implemented  
2556 optional behaviors, the implementation MUST be able to fall back to mandated behaviors if the  
2557 implementation it is interacting with has not implemented those same behaviors. Where the other  
2558 implementation has implemented optional behaviors not implemented by this implementation, the  
2559 conformant implementation MUST be able to provide the mandated level behaviors that allow the other  
2560 implementation to fall back to using only mandated behaviors.

### 2561 **17.3.1 Unknown Elements and Attributes**

2562 OBIX Clients SHALL ignore information that they do not understand. A Client that receives a response  
2563 containing information it does not understand MUST ignore the portion of the response containing the  
2564 non-understood information. A Server that receives a request containing information it does not  
2565 understand must ignore that portion of the request. If the Server can still understand the request it MAY  
2566 choose to attempt to execute the request without using the ignored portion of the request.

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## Appendix A. Acknowledgments

2569 The following individuals have participated in the creation of this specification and are gratefully  
2570 acknowledged:

2571 **Participants:**

2572 Ron Ambrosio, IBM  
2573 Brad Benson, Trane  
2574 Ron Bernstein, LonMark International\*  
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2601 Ron Zimmer, Continental Automated Buildings Association (CABA)\*  
2602 Rob Zivney, Hirsch Electronics Corporation  
2603

## Appendix B. Revision History

Revision	Date	Editor	Changes Made
wd-0.1	14 Jan 03	Brian Frank	Initial version
wd-0.2	22 Jan 03	Brian Frank	
wd-0.3	30 Aug 04	Brian Frank	Move to Oasis, SysService
wd-0.4	2 Sep 04	Brian Frank	Status
wd-0.5	12 Oct 04	Brian Frank	Namespaces, Writes, Poll
wd-0.6	2 Dec 04	Brian Frank	Incorporate schema comments
wd-0.7	17 Mar 05	Brian Frank	URI, REST, Prototypes, History
wd-0.8	19 Dec 05	Brian Frank	Contracts, Ops
wd-0.9	8 Feb 06	Brian Frank	Watches, Alarming, Bindings
wd-0.10	13 Mar 06	Brian Frank	Overview, XML, clarifications
wd-0.11	20 Apr 06	Brian Frank	10.1 sections, ack, min/max
wd-0.11.1	28 Apr 06	Aaron Hansen	WSDL Corrections
wd-0.12	22 May 06	Brian Frank	Status, feeds, no deltas
wd-0.12.1	29 Jun 06	Brian Frank	Schema, stdlib corrections
obix-1.0-cd-02	30 Jun 06	Aaron Hansen	OASIS document format compliance.
obix-1.0-cs-01	18 Oct 06	Brian Frank	Public review comments
wd-obix.1.1.1	26 Nov 07	Brian Frank	Fixes, date, time, tz
wd-obix.1.1.2	11 Nov 08	Craig Gemmill (from Aaron Hansen)	Add iCalendar scheduling
wd-obix-1.1.3	10 Oct 09	Brian Frank	Remove Scheduling chapter Rev namespace to 1.1 Add Binary Encoding chapter
wd-obix-1.1.4	12 Nov 09	Brian Frank	MUST, SHOULD, MAY History.tz, History.append HTTP Content Negotiation
oBIX-1-1-spec-wd05	01 Jun 10	Toby Considine	Updated to current OASIS Templates, requirements
oBIX-1-1-spec-wd06	08 Jun 10	Brad Benson	Custom facets within binary encoding
oBIX-1-1-spec-wd07	03 Mar 2013	Craig Gemmill	Update to current OASIS templates, fixes
oBIX-1-1-spec-wd08	27 Mar 2013	Craig Gemmill	Changes from feedback

Revision	Date	Editor	Changes Made
obix-v1.1-wd09	23 Apr 2013	Craig Gemmill	Update to new OASIS template Add of attribute to obix:ref Define additional list semantics Clarify writable w.r.t. add/remove of children Add deletion semantics Add encoding negotiation
obix-v1.1-wd10	08 May 2013	Craig Gemmill	Add CompactHistoryRecord Add preformatted History query Add metadata for alternate hierarchies (tagging)
obix-v1.1-wd11	13 Jun 2013	Craig Gemmill	Modify compact histories per TC feedback
obix-v1.1-wd12	27 Jun 2013	Craig Gemmill	Add delimiter, interval to compact histories
obix-v1.1-wd13	8 July 2013	Toby Considine	Replaced object diagram w/ UML Updated references to other OBIX artifacts
obix-v1.1-CSPRD01	11 July 2013	Paul Knight	Public Review Draft 1
obix-v1.1-wd14	16 Sep 2013	Craig Gemmill	Addressed some comments from PR01; Section 4 rework
obix-v1.1-wd15	30 Sep 2013	Craig Gemmill	Addressed most of PR01 comments
obix-v1.1-wd16	16 Oct 2013	Craig Gemmill	Finished first round of PR01 comments
obix-v1.1-wd17	30 Oct 2013	Craig Gemmill	Reworked Lobby definition, more comments fixed
obix-v1.1-wd18	13 Nov 2013	Craig Gemmill	Added bindings to lobby, oBIX->OBIX
obix-v1.1-wd19	26 Nov 2013	Craig Gemmill	Updated server metadata and Watch sections
obix-v1.1-wd20	4 Dec 2013	Craig Gemmill	WebSocket support for Watches
obix-v1.1-wd21	13 Dec 2013	Craig Gemmill	intermediate revision
obix-v1.1-wd22	17 Dec 2013	Craig Gemmill	More cleanup from JIRA, general Localization added
obix-v1.1-wd23	18 Dec 2013	Craig Gemmill	Replaced UML diagram
obix-v1.1-wd24	19 Dec 2013	Toby Considine	Minor error in Conformance, added bindings to conformance, swapped UML diagram
obix-v1.1-wd25	13 Mar 2014	Craig Gemmill	Initial set of corrections from PR02
obix-v1.1-wd26	27 May 2014	Craig Gemmill	More PR02 corrections
obix-v1.1-wd27	11 Jun 2014	Craig Gemmill	PR02 corrections
obix-v1.1-wd28	26 Jun 2014	Craig Gemmill	PR02 corrections
obix-v1.1-wd29	14 Jul 2014	Craig Gemmill	PR02 corrections – Removed Compact Histories, updated Lobby
obix-v1.1-wd30	17 Sep 2014	Craig Gemmill	Rework Sec 5.5.1 Models to Tagspaces, make tagspaces less like namespaces to avoid confusion

Revision	Date	Editor	Changes Made
obix-v1.1-wd31	23 Sep 2014	Craig Gemmill	Tagspaces attribute changed to ts, revised rules for usage
obix-v1.1-wd32	25 Sep 2014	Craig Gemmill	Conformance and TagSpace fixes
obix-v1.1-wd33	1 Oct 2014	Craig Gemmill	Fix incorrect 'names' attribute to 'name'
obix-v1.1-wd34	6 Oct 2014	Craig Gemmill	Formatting fixes
obix-v1.1-wd35	13 Oct 2014	Craig Gemmill	Minor tweaks, 1.9 -> non-normative
obix-v1.1-wd36	14 Oct 2014	Craig Gemmill	Examples and Contract Definitions language in 1.6
obix-v1.1-wd37	28 Oct 2014	Craig Gemmill	Better explanation of core type contracts in Section 4 Conformance section on unknown elements and attributes
obix-v1.1-wd38	31 Oct 2014	Craig Gemmill	Clarify rules on Contract List
obix-v1.1-wd39	10 Mar 2015	Craig Gemmill	Marker Tags as Contracts, History collection, prototype changes
obix-v1.1-wd40	14 Apr 2015	Craig Gemmill	Clean up Lobby sections, assorted minor tweaks
obix-v1.1-wd41	16 Apr 2015	Craig Gemmill	Contract List work
obix-v1.1-wd42	11 Jun 2015	Craig Gemmill	Clean up Contract and Contract List, versioning discussion w.r.t. Extents
obix-v1.1-wd43	15 Jun 2015	Craig Gemmill	Clarified Contracts Table, definition of 'type' in 4.1, usage of obix:nil in 'is' attribute
obix-v1.1-wd44	19 Jun 2015	Craig Gemmill	Include stdlib.obix, correct UML diagram 4-1

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