

## Encodings for OBIX: Common Encodings Version 1.0

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#### Related work:

This specification is related to:

- *OBIX Version 1.1*. Edited by Craig Gemmill. Latest version. <http://docs.oasis-open.org/obix/obix/v1.1/obix-v1.1.html>.
- *Bindings for OBIX: REST Bindings Version 1.0*. Edited by Craig Gemmill and Markus Jung. Latest version. <http://docs.oasis-open.org/obix/obix-rest/v1.0/obix-rest-v1.0.html>.
- *Bindings for OBIX: SOAP Bindings Version 1.0*. Edited by Markus Jung. Latest version. <http://docs.oasis-open.org/obix/obix-soap/v1.0/obix-soap-v1.0.html>.
- *Bindings for OBIX: Web Socket Bindings Version 1.0*. Edited by Matthias Hub. Latest version. <http://docs.oasis-open.org/obix/obix-websocket/v1.0/obix-websocket-v1.0.html>.

**Abstract:**

~~This document~~ *Encodings for OBIX: Common Encodings v1.0* specifies different encodings for OBIX objects adhering to the OBIX object model. OBIX provides the core information model and interaction pattern for communication with building control systems.

**Status:**

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# 1 Introduction

This document specifies the encodings for OBIX.

## 1.1 Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119.

## 1.2 Normative References

- RFC2119** Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.
- OBIX** *OBIX Version 1.1*. Edited by Craig Gemmill. Latest version. <http://docs.oasis-open.org/obix/obix/v1.1/obix-v1.1.html>.
- EXI** ~~J. Schneider, T. Kamiya~~, Efficient XML Interchange (EXI) Format 1.0 (Second Edition), ~~J. Schneider, T. Kamiya, D. Peintner, R. , Editors~~, W3C Proposed Edited Recommendation (work in progress), 22 October 2013, <http://www.w3.org/TR/2013/PER-exi-20131022/>, ~~40 March 2014~~ . Latest version available at <http://www.w3.org/TR/exi/>
- RFC4627** Crockford, D., "The application/json Media type for JavaScript Object Notation (JSON)", RFC 4627, July 2007
- RFC768** Postel, J., "User Datagram Protocol", STD 6, RFC 768, August 1980. <http://www.ietf.org/rfc/rfc0768.txt>

## 1.3 Non-Normative References

- REST** Fielding R.T., *Architectural Styles and the Design of Network-based Software Architectures*, Dissertation, University of California at Irvine, 2000, <http://www.ics.uci.edu/~fielding/pubs/dissertation/top.htm>
- EXI MR** Y. Doi, EXI Messaging Requirements, IETF Internet-Draft, 25 February 2013
- EXI BP** ~~M. Cokus, D. Vogelheim~~, Efficient XML Interchange (EXI) Best Practices , M. , D. , Editors, W3C Working Draft, (work in progress), 19 December 2007, <http://www.w3.org/TR/2007/WD-exi-best-practices-20071219/> . Latest version available at <http://www.w3.org/TR/exi-best-practices/>

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## 32 2 XML Encoding

33 This chapter specifies how the OBIX object model is encoded in XML.

### 34 2.1 Design Philosophy

35 Since there are many different approaches to developing an XML syntax, it is worthwhile to provide a bit  
36 of background to how the OBIX XML syntax was designed. Historically in M2M systems, non-standard  
37 extensions have been second class citizens at best, but usually opaque. One of the design principles of  
38 OBIX is to embrace vertical domain and vendor specific extensions, so that all data and services have a  
39 level playing field.

40 In order to achieve this goal, the XML syntax is designed to support a small, fixed schema for all OBIX  
41 documents. If a client agent understands this very simple syntax, then the client is guaranteed access to  
42 the server's object tree regardless of whether those objects implement standard or non-standard  
43 contracts.

44 Higher level semantics are captured via contracts. Contracts "tag" an object with a type and can be  
45 applied dynamically. This is very useful for modeling systems which are dynamically configured in the  
46 field. What is important is that contracts are optionally understood by clients. Contracts do not affect the  
47 XML syntax nor are clients required to use them for basic access to the object tree. Contracts are merely  
48 an abstraction which is layered cleanly above the object tree and its fixed XML syntax.

### 49 2.2 XML Syntax

50 The OBIX XML syntax maps very closely to the abstract object model. The syntax is summarized:

- 51 • Every OBIX object maps to exactly one XML element;
- 52 • An object's children are mapped as children XML elements;
- 53 • The XML element name maps to the built-in object type;
- 54 • Everything else about an object is represented as XML attributes;

55 The object model figure in Chapter 4 of the OBIX core specification [OBIX] illustrates the valid XML  
56 elements and their respective attributes. Note the `val` object is simply an abstract base type for the  
57 objects which support the `val` attribute - there is no `val` element.

### 58 2.3 XML Encoding

59 The following rules apply to encoding OBIX documents:

- 60 • OBIX documents MUST be well formed XML;
- 61 • OBIX documents SHOULD begin with XML Declaration specifying their encoding ;
- 62 • It is RECOMMENDED to use UTF-8 encoding without a byte order mark;
- 63 • OBIX documents MUST NOT include a Document Type Declaration – OBIX documents cannot  
64 contain an internal or external subset;
- 65 • OBIX documents SHOULD include an XML Namespace definition. Convention is to declare the  
66 default namespace of the document to "<http://docs.oasis-open.org/obix/ns/20134120/schema>".

### 67 2.4 XML Decoding

68 The following rules apply to decoding of OBIX documents:

- 69 • MUST conform to XML processing rules as defined by XML 1.1;
- 70 • Documents which are not well formed XML MUST be rejected;



- 71       • Parsers are not required to understand a Document Type Declaration;  
72       • Any unknown element MUST be ignored regardless of its XML namespace  
73       • Any unknown attribute MUST be ignored regardless of its XML namespace  
74 The basic rule of thumb is: strict in what you generate, and liberal in what you accept. OBIX parsers are  
75 required to ignore elements and attributes which they do not understand. However an OBIX parser MUST  
76 never accept an XML document which isn't well formed (such as mismatched tags).

## 77 2.5 XML Namespace

78 XML namespaces for standards within the OBIX umbrella should conform to the following pattern:

79 `http://docs.oasis-open.org/obix/ns/{short-identifier and version}`

80 The XML namespace for OBIX version 1.1 is:

81 | `http://docs.oasis-open.org/obix/ns/20134120/schema`

82 All XML in this document is assumed to have this namespace unless otherwise explicitly stated.

## 83 2.6 Namespace Prefixes in Contract Lists

84 XML namespace prefixes defined within an OBIX document may be used to prefix the URIs of a contract  
85 list. If a URI within a contract list starts with string matching a defined XML prefix followed by the ":" colon  
86 character, then the URI is normalized by replacing the prefix with its namespace value. This rule also  
87 applies to the `href` attribute as a convenience for defining contract themselves.

88 The XML namespace prefix of "obix" is predefined. This prefix is used for all the OBIX defined contracts.  
89 | The "obix" prefix is literally translated into "http://docs.oasis-open.org/obix/ns/20134120/def". For example  
90 | the URI "obix:bool" is translated to "http://docs.oasis-open.org/obix/ns/20134120/def/bool". Documents  
91 | SHOULD NOT define an XML namespace using the prefix "obix" which collides with the predefined "obix"  
92 | prefix – if it is defined, then it is superseded by the predefined value of "http://docs.oasis-  
93 | open.org/obix/ns/20134120/def". All OBIX defined contracts are accessible via their HTTP URI using the  
94 | HTTP binding (at least they should be one day).

95 An example OBIX document with XML namespace prefixes normalized:

96 `<obj xmlns:acme="http://acme.com/def/" href="acme:CustomPoint"`  
97 `is="acme:Point obix:Point"/>`  
98  
99 `<obj href="http://acme.com/def/CustomPoint"`  
100 | `is="http://acme.com/def/Point http://docs.oasis-open.org/obix/ns/20134120/def/Point"/>`

101

## 3 OBIX Binary

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In addition to the XML encoding, a binary encoding is defined for the OBIX data model. The binary encoding allows OBIX objects to be serialized with higher compression using less computing resources. The use case for binary encoding is targeted for severely constrained edge devices and sensor networks such as 6LoWPANs. When possible, an XML encoding SHOULD always be preferred over a binary encoding.

107  
108  
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110

Full fidelity with OBIX object model is maintained with the binary encoding. All object types and facets are preserved. However XML extensions such as custom namespaces, elements, and attributes are not address by the binary encoding. The OBIX binary encoding is based strictly on the [obixOBIX](#) data model itself, not its XML InfoSet.

111

### 3.1 Binary Overview

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113  
114

The OBIX data model is comprised of 16 object types (elements in XML) and 19 facets (attributes in XML). The OBIX binary encoding is based on assigning a numeric code to each object type and to each facet type. We format these codes using a byte header with the bits structured as:

115  
116

```
7654 3210
MCCC CCVV
```

117  
118  
119

The top most bit M is the more flag, it is used to indicate more facets follow. Bits 6 through 2 are used to store a 5-bit numeric code for object types and facet types. The bottom 2 bits are used to indicate a 2-bit numeric code for how the value of the object or facet is encoded.

120

The binary grammar is defined according to the following BNF productions:

121  
122  
123  
124

```
<obj>      := <objHeader> [objVal] (facet)* [children]
<facet>    := <facetHeader> [facetVal] |
             <facetHeader> <string> <value>
<children> := (<obj>)*
```

125  
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132

All documents start with a one byte objHeader structured as a MCCCCCVV bitmask. The 5-bit C mask indicates an Obj Code specified in Binary Constants table. If the object type contains a value encoding (specified in the Obj Value column), then the 2-bit V mask indicates how the following bytes are used to encode the "val" attribute. If the objHeader has the more bit set, then one or more facet productions follow. Facets are encoded with a one byte header using the same MCCCCCVV bitmask, except the 5-bit C mask indicates a Facet Code (not an Obj Code). The facet value is encoded using the 2-bit V mask. If one of the facets includes the hasChildren code, then one or more child objects follow terminated by the endChildren object code.

133

### 3.2 Binary Constants

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135  
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The following table enumerates the Obj Codes and Facet Codes which are encoded into 5-bits in the MCCCCCVV bitmask. The Obj Value and Facet Value columns specifies how to interpret the 2-bit V code for the value encoding.

Numeric Code	Constant	Obj Code	Obj Value	Facet Code	Facet Value
1 << 2	0x04	obj	none	hasChildren	none
2 << 2	0x08	bool	bool	name	str
3 << 2	0x0C	int	int	href	str
4 << 2	0x10	real	real	is	str
5 << 2	0x14	str	str	of	str

6 << 2	0x18	enum	str	in	str
7 << 2	0x1C	uri	str	out	str
8 << 2	0x20	abstime	abstime	null	bool
9 << 2	0x24	reltime	reltime	icon	str
10 << 2	0x28	date	date	displayName	str
11 << 2	0x2C	time	time	display	str
12 << 2	0x30	list	none	writable	bool
13 << 2	0x34	op	none	min	obj specific
14 << 2	0x38	feed	none	max	obj specific
15 << 2	0x3C	ref	none	unit	str
16 << 2	0x40	err	none	precision	int
17 << 2	0x44	childrenEnd	none	range	str
18 << 2	0x48			tz	str
19 << 2	0x4C			status-0	status-0
20 << 2	0x50			status-1	status-1
21 << 2	0x54			customFacet	facet specific

137 Table 3-1 Binary Constants

### 138 3.3 Value Encodings

139 Each obj type and facet type **mayMAY** have an associated value encoding. For example, to encode the  
 140 precision facet we must specify the facet code 0x40 plus the value of that facet which happens to be an  
 141 integer. The object types bool, int, enum, real, str, uri, abstime, reltime, date, and time **have** always  
 142 ~~implied to have~~ their value encoded (equivalent to the val attribute in XML).

#### 143 3.3.1 Bool Encodings

144 The following boolean encodings are supported:

Constant	Encoding	Description
0	false	Indicates false value
1	true	Indicates true value

145 Table 3-2 Bool Encodings

146 The boolean encodings are fully specified in the 2-bit V mask. No extra bytes are required. Examples:

```
147 <bool val="false"/> => 08
148 <bool val="true"/> => 09
```

149 The obj code for bool is 0x08. In the case of false we bit-wise OR this with a value code of 0, so the  
 150 complete encoding is the single byte 0x08. When val is true, we bitwise OR 0x08 with 0x01 with a result  
 151 of 0x09.

### 152 3.3.2 Int Encodings

153 The following integer encodings are supported:

Constant	Encoding	Description
0	u1	Unsigned 8-bit integer value
1	u2	Unsigned 16-bit integer value
2	s4	Signed 32-bit integer value
3	s8	Signed 64-bit integer value

154 *Table 3-3 Int Encodings*

155 Integers between 0 and 255 can be encoded in one byte. Larger numbers require 2, 4, or 8 bytes.  
156 Numbers outside of the 64-bit range are not supported. Examples:

```
157 <int val="34"/> => 0C 22  
158 <int val="2093 "/> => 0D 08 2D  
159 <int val="76000"/> => 0E 00 01 28 E0  
160 <int val="-300"/> => 0E FF FF FE D4  
161 <int val="12345678901"/> => 0F 00 00 00 02 DF DC 1C 35
```

162 The obj code for int is 0x0C. In first example, the value can be encoded as an unsigned 8-bit number, so  
163 we mask 0x0C with the value code 0x00 and then encode 34 using one byte. The second example is a  
164 u2 encoding, so we mask 0x0C with value code 0x01 to get 0x0D and then use two additional bytes to  
165 encode 2093 as a 16-bit unsigned integer. The other examples illustrate how values would be encoded in  
166 s4 and s8. Encoders SHOULD select the encoding type which results in the fewest number of bytes.

### 167 3.3.3 Real Encodings

168 The following real encodings are supported:

Constant	Encoding	Description
0	f4	32-bit IEEE floating point value
1	f8	64-bit IEEE floating point value

169 *Table 3-4 Real Encodings*

170 Examples:

```
171 <real val="75.3"/> => 10 42 96 99 9A  
172 <real val="15067.059"/> => 11 40 CD 6D 87 8D 4F DF 3B
```

### 173 3.3.4 Str Encodings

174 The following str encodings are supported:

Constant	Encoding	Description
0	utf8	null terminated UTF-8 string
1	prev	u2 index of previously encoded string

175 *Table 3-5 Str Encodings*

176 String encodings are used for many obj and facet values. Every time a string value is encoded within a  
177 given document, it is assigned a zero based index number. The first string encoded as utf8 is assigned  
178 zero, the second one, and so on. If subsequent string values have the exact same value, then the prev  
179 value encoding is used to reference the previous string via its index number. This requires binary  
180 decoders to keep track of all strings during decoding, since later occurrences in the document might  
181 reference that string.

182 Simple example which illustrates a null terminated string:

```
183 <str val="obix"/> => 14 6F 62 69 78 00  
184
```

185 Complex example which illustrates two strings with the same value:

```
186 <obj>  
187 <str val="abc"/>  
188 <str val="abc"/>  
189 </obj> => 84 04 14 61 62 63 00 15 00 00 44
```

190 The first byte 0x84 is the obj code masked with the more bit. The next byte 0x04 is the hasChildren  
191 marker which indicates that children objects follow (covered further in section 3.5). The next byte is the  
192 0x14 str obj code masked with the 0x00 utf8 value code followed by the 61 62 63 00 encoding of "abc".  
193 The next byte 0x15 is the str obj type 0x14 masked with the 0x01 prev value code, followed by the u2  
194 encoding of index zero which references string value zero "abc". The last byte 0x44 is the end of children  
195 marker.

### 196 3.3.5 Abstime Encodings

197 The following abstime encodings are supported:

Constant	Encoding	Description
0	sec	signed 32-bit number of seconds since epoch
1	ns	signed 64-bit number of nanoseconds since epoch

198 *Table 3-6 Abstime Encodings*

199 The epoch for OBIX timestamps is defined as midnight 1 January 2000 UTC. Times before the epoch are  
200 represented as negative numbers. Encoding with seconds provides a range of +/-68 years. The  
201 nanosecond encoding provides a range of +/-292 years. Timestamps outside of this range are not  
202 supported. Examples:

```
203 <abstime val="2000-01-30T00:00:00Z"/> => 20 00 26 3B 80  
204 <abstime val="1999-12-01T00:00:00Z"/> => 20 FF D7 21 80  
205 <abstime val="2009-10-20T13:00:00-04:00"/> => 20 12 70 A9 10  
206 <abstime val="2009-10-20T13:00:00.123Z"/> => 21 04 4B 10 30 8D 78 F4 C0
```

207 The first example is encoded as 0x00263B80 which equates to 29x24x60x60 seconds since the OBIX  
208 epoch. The second example illustrates a negative number seconds for a timestamp before the epoch.  
209 The last example illustrates a 64-bit nanosecond encoding.

### 210 3.3.6 Reltime Encodings

211 The following reltime encodings are supported:

Constant	Encoding	Description
0	sec	signed 32-bit number of seconds
1	ns	signed 64-bit number of nanoseconds

212 *Table 3-7 Reltime Encodings*

213 Consistent with the abstime encoding, both a second and nanosecond encoding are provided. No support  
214 is provided for ambiguous periods such as 1 month which don't map to a fixed number of seconds.  
215 Examples:

```
216 <reltime val="PT5M"/> => 24 00 00 01 2C  
217 <reltime val="PT0.123S"/> => 25 00 00 00 00 07 54 D4 C0
```

### 218 3.3.7 Time Encodings

219 The following time encodings are supported:

Constant	Encoding	Description
0	sec	unsigned 32-bit number of seconds since midnight
1	ns	unsigned 64-bit number of nanoseconds since midnight

220 *Table 3-8 Time Encodings*

221 The time encoding works similar to reltime using a number of seconds or nanoseconds since midnight.  
 222 Examples:

```
223 <time val="04:30:00"/> => 2C 00 00 3F 48
224 <time val="04:30:00.123"/> => 2D 00 00 0E BB E2 93 A4 C0
```

### 225 3.3.8 Date Encodings

226 The following date encodings are supported:

Constant	Encoding	Description
0	yyumd	u2 year, u1 month 1-12, u1 day 1-31

227 *Table 3-9 Date Encodings*

228 Dates are encoded using four bytes. The year is encoded as a common era year via a 16-bit integer, the  
 229 month as a 8-bit integer between 1 and 12, and the day as an 8-bit integer between 1 and 31. Examples:

```
230 <date val="2009-10-20"/> => 28 07 D9 0A 14
```

### 231 3.3.9 Status Encodings

232 The following status encodings are supported:

Constant	Encoding	Description
0	status-0-disabled	disabled status
1	status-0-fault	fault status
2	status-0-down	down status
3	status-0-unacked-alarm	unackedAlarm status
0	status-1-alarm	alarm status
1	status-1-unacked	unacked status
2	status-1-overridden	overridden status

233 *Table 3-10 Status Encodings*

234 The status facet is encoded inline to avoid consuming an extra byte. Since there are eight status values,  
 235 but only 2-bits for the value encoding we use two different facet codes to give us the required range. The  
 236 ok status is implied by omitting the status facet. Examples:

```
237 <obj status="ok"/> => 04
238 <obj status="disabled"/> => 84 4C // 0x4C | 0x00
239 <obj status="fault"/> => 84 4D // 0x4C | 0x01
240 <obj status="down"/> => 84 4E // 0x4C | 0x02
241 <obj status="unackedAlarm"/> => 84 4F // 0x4C | 0x03
242 <obj status="alarm"/> => 84 50 // 0x50 | 0x00
243 <obj status="unacked"/> => 84 51 // 0x50 | 0x01
244 <obj status="overridden"/> => 84 52 // 0x50 | 0x02
```

245 The first example illustrates the ok status, the entire document is encoded with the one byte obj type code  
 246 of 0x40. The rest of the examples start with 0x84 which represents the obj type code masked with the  
 247 more bit. Status values from disabled to unackedAlarm use facet code status-0 and from alarm to

248 overridden use facet code status-1. It is illegal for a single object to define both the status-0 and status-1  
249 facet codes.

## 250 3.4 Facets

251 Facets are encoded according to the value type as specified in the Binary Constants section. The  
252 min/max facet value types are implied by their containing object which must match the object value with  
253 exception of str which uses integers for min/max. Some examples:

```
254 <list name="foo"/> => B0 08 66 6F 6F 00  
255 <list name="foo" displayName="Foo"/> => B0 88 66 6F 6F 00 28 46 6F 6F 00  
256 <int val="3" min="0" max="100"/> => 8C 03 B4 00 38 64  
257 <obj href="p4.2"/> => 84 0C 70 34 2E 32 00
```

258 Note that a string of multiple facets is indicated by masking the 0x80 more bit into the object/facet  
259 headers.

### 260 3.4.1 Custom Facets

261 The following extension encodings are supported:

Constant	Encoding	Description
0	extension	Facet name encoded as string value object, followed by value object containing value associated with facet.

262 *Table 3-11 Custom Facets*

263 Custom facets are facets which are not specified by this standard but rather supplied by a particular  
264 implementation. Custom facets will include two objects immediately following the header byte: a string  
265 object, specifying the name of the facet, and a value object, specifying the value associated with the  
266 facet.

267 Both the string and value objects associated with the facet must provide a value, and neither object may  
268 supply additional facets or contain any child objects. Additionally, the value object associated with the  
269 facet must be one of the following object types:

- 270 • bool
- 271 • int
- 272 • real
- 273 • str
- 274 • enum
- 275 • uri
- 276 • abstime
- 277 • reltime
- 278 • date
- 279 • time

280 Other types for the value object are not supported.

281

282 Examples:

```
283 <int val="34" my:int="50"/> => 8C 22 54 14 6D 79 3A 69 6E 6F 00 0C 32  
284 <bool val="false" my:bool="true"/> => 88 54 14 6D 79 3A 69 6E 74 00 09  
285 <bool val="true" my:str="hi!"/> => 89 54 14 6D 79 3A 73 74 72 00 14 68 69 21 00
```

286

287 **3.5 Children**

288 The special facet code hasChildren and the special object code endChildren are used to encode nested  
289 children objects. Let's look at a simple example:

```
290 <obj> <bool val="false"/> </obj> => 84 04 08 44
```

291 Let's examine each byte: the first byte 0x84 is the mask of obj type code 0x04 with the 0x80 more bit  
292 indicating a facet follows. The 0x04 facet code indicates the obj has children. The next byte is interpreted  
293 as the beginning of a new object, which is the bool object code 0x08. Since the more bit is not set on the  
294 bool object, there are no more facets. The next byte is the endChildren object code indicating we've  
295 reached the end of the children objects for obj. It serves a similar purpose as the end tag in XML.

296 Technically the hasChildren facet could have additional facets following it by setting the more bit.  
297 However, this specification requires that the hasChildren facet is always declared last within a given  
298 object's facet list. This makes it an encoding error to have the more bit set on the hasChildren facet code.

299 Let's look a more complicated example with multiple nested children:

```
300 | <listobj href="xyz">  
301 |   <bool val="false"/>  
302 |   <obj><int val="255"/></obj>  
303 | </listobj>                                => B0 8C 78 79 7A 00 04 08 84 04 0C FF 44 44  
304 |  
305 | <list>                                     => B0<obj>                               => 84                // 0x80 | 0x304  
306 | href="xyz"                               => 8C 78 79 7A 00    // 0x80 | 0x0C | 0x00 + x + y + z  
307 | hasChildren                               => 04  
308 | <bool val="false"/>                       => 08  
309 | <obj>                                     => 84                // 0x80 | 0x04  
310 | hasChildren                               => 04  
311 | <int val="255"                             => 0C FF            // 0x0C | 0x00 + u1 of 255  
312 | endChildren </obj>                       => 44  
313 | endChildren </listobj>                   => 44
```



314

## 4 JSON encoding

315 The Java script object notation is a lightweight, text-based, language-independent data interchange  
316 format. It is derived from the object literals of JavaScript, as defined in the ECMAScript Programming  
317 Language Standard (ECMA) [RFC4627].

318 JSON uses two structures for representing information:

- 319 • A collection of name/value pairs
- 320 • An ordered list of values

321 In JSON an object is an unordered set of name/value pairs and the encoding of an object starts with a left  
322 brace and ends with a right brace. A colon is used to separate the name and the value and a comma  
323 separates multiple name/value pairs. The JSON encoding of OBIX is inspired by JSONML, which  
324 provides a lossless two-way conversation between JSON and XML. A Java reference implementation can  
325 be found here<sup>1</sup>.

326 The following grammar is used to represent OBIX objects:

```
327 element
328 = '{' obix-identifier ',' attribute-list ', "children":[' element-list ']'
329 | '{' obix-identifier ',' attribute-list '}'
330 | '{' obix-identifier ', "children":[' element-list ']'
331 | '{' obix-identifier '}'
332 | string
333 ;
334
335 obix-identifier
336 = "obix":type-name
337 ;
338
339 type-name
340 = string
341 ;
342
343 attribute-list
344 = attribute ',' attribute-list
345 | attribute
346 ;
347
348 attribute
349 = attribute-name ':' attribute-value
350 ;
351
352 attribute-name
353 = string
354 ;
355
356 attribute-value
357 = string
358 | number
359 | 'true'
360 | 'false'
361 ;
362
363 element-list
364 = element ',' element-list
365 | element
366 ;
```

---

<sup>1</sup> <http://json.org/java/>

## 367 4.1 Object and value encoding rules

368 Objects MUST be encoded according to the grammar given above. The OBIX object is encoded as JSON  
369 object which an unordered list of name/value pairs. The object type which is used as element name in  
370 XML is encoded as a name/value pair using "tag" as name and the object type as string value.

371 The XML and JSON representation of a simple `obj`:

```
372 <obj/> → {"obix":"obj"}
```

373 The attributes of an object are mapped to name value/pairs:

```
374 <obj name="myName" href="/myHref"> → {"obix":"obj", "name":"myName", "href":"/myHref"/>
```

375 If objects have an extent, the children objects contained in this extend are mapped to a name/value pair  
376 using "children" as name and an ordered array of objects as value.

377 The XML representation of an object with extend is mapped to the JSON representation as shown in the  
378 examples below.

379 XML:

```
380 <obj href="/a/">  
381   <obj name="b" href="b">  
382     <obj name="c"/>  
383     <ref name="d" href="d"/>  
384   </obj>  
385 </obj>
```

386 JSON:

```
387 {  
388   "obix": "obj",  
389   "href": "/a",  
390   "children": [{  
391     "obix": "obj",  
392     "name": "b",  
393     "href": "b",  
394     "children": [{  
395       "obix": "obj",  
396       "name": "c",  
397     }, {  
398       "obix": "ref",  
399       "name": "d",  
400       "href": "d",  
401     }  
402   }]  
403 }
```

### 404 4.1.1 Bool encoding

405 The `xs:boolean` val attribute of the `bool` object is mapped to the `true` or `false` literals of JSON.

```
406 <bool val="true"/> → {"obix":"bool", "val":true}
```

### 407 4.1.2 Int encoding

408 The `xs:long` val attribute of the `int` object is mapped to the number representation of JSON.

```
409 <int val="5"/> → {"obix":"int", "val":5}
```

### 410 4.1.3 Real encoding

411 The `xs:double` val attribute of the `real` object is mapped to the number representation of JSON.

```
412 <real val="5.5"/> → {"obix":"real", "val":5.5}
```

### 413 4.1.4 Other types and facets

414 All other types and facets are mapped to name/value pairs using JSON string representation. Facets are  
415 mapped to name/value pairs as described by the rules above.

## 416 4.2 XML Namespace

417 If namespace information should be preserved in the JSON encoding, namespace prefixes SHOULD be  
418 normalized before the object is encoded to JSON as shown in the examples below:

419 Object with namespace prefixes in use:

```
420 <obj xmlns:acme="http://acme.com/def/" href="acme:CustomPoint"  
421 is="acme:Point obix:Point"/>
```

422 Object with normalized namespace information:

```
423 <obj href="http://acme.com/def/CustomPoint"  
424 is="http://acme.com/def/Point http://docs.oasis-open.org/obix/ns/20134120/def/Point"/>
```

425 JSON encoded object with normalized namespace information:

```
426 {obix:"obj", href:"http://acme.com/def/CustomPoint", is:"http://acme.com/def/Point  
427 http://docs.oasis-open.org/obix/ns/20134120/def/Point"}
```

## 428 4.3 Examples

429 The following examples illustrate the JSON encoding:

430 **Example – OBIX About:**

431 XML:

```
432 <obj name="about">  
433 <str name="obixVersion" val="1.1"/>  
434 <str name="serverName" val="obix"/>  
435 <abstime name="serverTime" val="2006-02-08T09:40:55.000+05:00:00Z"/>  
436 <abstime name="serverBootTime" val="2006-02-08T09:33:31.980+05:00:00Z"/>  
437 <str name="vendorName" val="Acme, Inc."/>  
438 <uri name="vendorUrl" val="http://www.acme.com"/>  
439 <str name="productName" val="Acme OBIX Server"/>  
440 <str name="productVersion" val="1.0.3"/>  
441 <uri name="productUrl" val="http://www.acme.com/obix"/>  
442 </obj>
```

443 JSON:

```
444 {"obix":"obj", "name":"about", "children": [  
445 {"obix":"str", "name":"obixVersion", "val":"1.1"},  
446 {"obix":"str", "name":"serverName", "val":"obix"},  
447 {"obix":"abstime", "name":"serverTime", "val":"2006-02-08T09:40:55.000+05:00:00Z"},  
448 {"obix":"abstime", "name":"serverBootTime", "val":"2006-02-  
449 08T09:33:31.980+05:00:00Z"},  
450 {"obix":"str", "name":"vendorName", "val":"Acme, Inc."},  
451 {"obix":"uri", "name":"vendorURL", "val":"http://www.acme.com"},  
452 {"obix":"str", "name":"productName", "val":"Acme OBIX Server"},  
453 {"obix":"str", "name":"productVersion", "val":"1.0.3"},  
454 {"obix":"uri", "name":"productUrl", "val":"http://www.acme.com/obix"}  
455 ]}]
```

## 456 4.4 MIME Type

457 If a client wants to use JSON encoding it MUST use the JSON MIME type `application/json`  
458 according to [RFC4627].

---

## 459 5 EXI encoding

460 The Efficient XML Interchange [EXI] format is a very compact representation for XML which aims at  
461 providing high performance and significantly reduced bandwidth requirements for XML based protocols. It  
462 uses a grammar driven approach based on entropy encoding which can be used with schema information  
463 but also without any schema information.

### 464 5.1 EXI options

465 EXI provides several encoding options that communicating parties need to agree upon in order to ensure  
466 interoperability.

467 If EXI encoding is used for OBIX the following options **MUST** be used by a client and server  
468 implementation.

#### 469 5.1.1 Alignment options

470 In contrast to XML EXI is by default bit-packed, which means the information is stored in the most  
471 compact representation as possible, regardless of possible byte boundaries. This allows for example to  
472 store 8 Boolean values into one single Byte, versus 8 Bytes with a single character representing the  
473 value, e.g. 'T' or 'F'. If a textual representation like 'true' or 'false' is used, 4 to 5 Bytes are used for  
474 representing the Boolean value.

475 EXI defines 4 options for alignment: `compress`, `preCompress`, `byteAligned` and `bitPacked`.

476 In order to have the best possible compression for OBIX `bitPacked` alignment **MUST** be used.

#### 477 5.1.2 Preservation options

478 EXI implementation may provide preservation options specifying which type of XML information should be  
479 remained in the EXI representation, like comments, programming instructions, document type  
480 declarations and namespace.

481 For OBIX only name space declarations **MUST** be preserved. Every other non-relevant information **MAY**  
482 be omitted.

### 483 5.2 Non-schema-informed EXI

484 EXI can be used without any schema information about the XML infoset that shall be encoded. This has  
485 the advantage that no schema information is required at the decoders' site, but comes with the  
486 disadvantage of being less efficient and providing only a limited compression for small payloads.

### 487 5.3 Schema-informed EXI

488 Schema-informed EXI allows making the encoding most efficient even for small payload sizes. Within  
489 constrained environments schema-informed EXI **SHALL** be used to in order to have the best compression  
490 effect. With object encoders and decoders even the performance penalty of processing XML structures in  
491 memory can be avoided.

492 For schema-informed the normative `obix.xsd` schema file representing the OBIX 1.1 object model **MUST**  
493 be used in order to provide interoperability among different vendor implementations.

494 For content negotiation and to determine if schema-informed or non-schema-informed EXI encoding  
495 should be used either an out-of-band agreement between a client and server need to be done or the EXI  
496 best practices [EXI BP] or the guidelines in [EXI MR] need to be followed.

497 **5.4 MIME types**

498 If a client wants to use EXI encoding it **MUST** use the MIME type `application/exi` for EXI without  
499 schema information and the MIME type `application/x-obix-exi` for schema-informed  
500 representation.

---

501 **6 Conformance**

502 An implementation is compliant with this specification if it implements all MUST or REQUIRED level  
503 requirements. An implementation MUST specify its supported encodings.

---

## 504 Appendix A. Acknowledgments

505 The following individuals have participated in the creation of this specification and are gratefully  
506 acknowledged:

507 **Participants:**

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539

540

## Appendix B. Revision History

541

Revision	Date	Editor	Changes Made
wd01	26 Mar 13	Markus Jung	Initial creation with XML and Binary encoding taken from the OBIX 1.1 WD07 working draft.
wd02	24 Apr 13	Markus Jung	First draft JSON and EXI encoding.
wd03	22 May 13	Markus Jung	Added JSON section on handling XML namespaces, shorter JSON names.
wd04	13 Jun 13	Markus Jung	Refined the use of examples (normative/non normative), EXI content negotiation.
wd05	28 Jun 13	Markus Jung	Updated reference section
wd06	8 Jul 13	Toby Considine	Updated acknowledgements
wd07	2 Oct 13	Markus Jung	Jira: OBIX-7, OBIX-56, OBIX-5, OBIX-6, OBIX-48
wd08	7 Nov 13	Markus Jung	Namespace rules, using straight quotes within the document.
wd09	12 Dec 13	Markus Jung	Fixed minor error (JSON encoding for real). Update OBIX namespace to current policy.
wd10	16 Dec 13	Markus Jung	Updated namespace (including date), using uppercase for OBIX
wd11	16 Dec 13	Markus Jung	Minor fixes: OBIX-79
<a href="#">wd12</a>	<a href="#">17 Apr 14</a>	<a href="#">Markus Jung</a>	<a href="#">OBIX-209, OBIX-208</a>
<a href="#">wd13</a>	<a href="#">26 May 14</a>	<a href="#">Markus Jung</a>	<a href="#">OBIX-153, OBIX-151, OBIX-149, OBIX-145, preparation for public review</a>
<a href="#">Wd14</a>	<a href="#">5 Nov 14</a>	<a href="#">Toby Considine</a>	<a href="#">Cleaned up template used, namespace</a>

542