



# Electronic Court Filing Version 4.01 Errata 01

## Committee Specification Draft 01 / Public Review Draft 01

13 May 2014

### Specification URIs

#### This version:

<http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/ecf-v4.01-spec-errata01-csprd01.doc> (Authoritative)  
<http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/ecf-v4.01-spec-errata01-csprd01.html>  
<http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/ecf-v4.01-spec-errata01-csprd01.pdf>

#### Previous version:

N/A

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<http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/ecf-v4.01-spec-errata01.html>  
<http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/ecf-v4.01-spec-errata01.pdf>

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#### Additional artifacts:

This prose specification is one component of a Work Product that also includes:

- *Electronic Court Filing Version 4.01 Plus Errata 01*. Edited by James Cabral. 13 May 2014. OASIS Standard incorporating Public Review Draft 01 of Errata 01. <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/ecf-v4.01-spec-errata01-csprd01-complete.html>
- XML schemas: <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/xsd/>
- XML sample messages: <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/xml/>
- Model: <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/model/>

- Genericcode code lists: <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/gc/>

#### Related work:

This specification lists errata for:

- *Electronic Court Filing Version 4.01*. Edited by Adam Angione and James Cabral. 23 May 2013. OASIS Standard. <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/os/ecf-v4.01-spec-os.html>.

This specification replaces or supersedes:

- *OASIS LegalXML Electronic Court Filing Version 3.0*. Edited by Roger Winters. 15 November 2005. Committee Specification Draft. <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v3.0/>
- *OASIS Electronic Court Filing Version 4.0*. Edited by Adam Angione and Roger Winters. 21 September 2008. Committee Specification Draft. <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.0/ecf-v4.0-spec/>

This specification is related to:

- National Information Exchange Model 2.0. <http://niem.gov/>

#### Declared XML namespaces:

- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:AppInfo-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:AppellateCase-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:BankruptcyCase-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CaseListQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CaseListResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CaseQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CaseResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CitationCase-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CivilCase-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CommonTypes-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CoreFilingMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CourtPolicyQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CourtPolicyResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:CriminalCase-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:DocumentQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:DocumentResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:DomesticCase-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:FeesCalculationQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:FeesCalculationResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:FilingListQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:FilingListResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:FilingStatusQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:FilingStatusResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:JuvenileCase-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:MessageReceiptMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:PaymentMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:PaymentReceiptMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:RecordDocketingCallbackMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:RecordDocketingMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:ReviewFilingCallbackMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:ServiceInformationQueryMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:ServiceInformationResponseMessage-4.0
- urn:oasis:names:tc:legalxml-courtfilling:schema:xsd:ServiceReceiptMessage-4.0

**Abstract:**

This specification lists errata for *Electronic Court Filing Version 4.01*. Edited by Adam Angione and James Cabral. 23 May 2013. OASIS Standard. <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/os/ecf-v4.01-spec-os.html>.

**Status:**

This document was last revised or approved by the OASIS LegalXML Electronic Court Filing TC on the above date. The level of approval is also listed above. Check the “Latest version” location noted above for possible later revisions of this document.

Technical Committee members should send comments on this specification to the Technical Committee’s email list. Others should send comments to the Technical Committee by using the “Send A Comment” button on the Technical Committee’s web page at <https://www.oasis-open.org/committees/legalxml-courtfilling/>.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Technical Committee web page (<https://www.oasis-open.org/committees/legalxml-courtfilling/ipr.php>).

**Citation format:**

When referencing this specification the following citation format should be used:

**[ECF-v4.01-errata01]**

*Electronic Court Filing Version 4.01 Errata 01*. Edited by James Cabral. 13 May 2014. OASIS Committee Specification Draft 01 / Public Review Draft 01. <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/csprd01/ecf-v4.01-spec-errata01-csprd01.html>. Latest version: <http://docs.oasis-open.org/legalxml-courtfilling/specs/ecf/v4.01/ecf-v4.01-spec/errata01/ecf-v4.01-spec-errata01.html>.

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# 1 Introduction

[All text is normative unless otherwise labeled]

## 1.1 Terminology

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [RFC2119].

## 1.2 Normative References

- [RFC2119] Bradner, S., “Key words for use in RFCs to Indicate Requirement Levels”, BCP 14, RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.
- [ECF-Spec] *Electronic Court Filing Version 4.01*. 23 May 2013. OASIS Standard. <http://docs.oasis-open.org/legalxml-courtfileing/specs/ecf/v4.01/ecf-v4.01-spec/os/ecf-v4.01-spec-os.html>.

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## 2 Approved Errata for ECF Core 4.01

Following are the approved errata to the Electronic Court Filing v4.01 OASIS Standard. All references schemas are provided with the [ECF-Spec].

### 2.1 Incorrect schema encodings

Replace the prolog

```
<?xml version="1.0" encoding="UTF-16"?>
```

with

```
<?xml version="1.0" encoding="UTF-8"?>
```

in the following files:

- *xsd/constraint/niem/ansi-nist/2.0/ansi-nist.xsd*
- *xsd/constraint/niem/ansi\_d20/2.0/ansi\_d20.xsd*
- *xsd/constraint/niem/domains/jxdm/4.0/jxdm.xsd*
- *xsd/constraint/niem/domains/screening/2.0/screening.xsd*
- *xsd/constraint/niem/fbi/2.0/fbi.xsd*
- *xsd/constraint/niem/fips\_10-4/2.0/fips\_10-4.xsd*
- *xsd/constraint/niem/fips\_6-4/2.0/fips\_10-4.xsd*
- *xsd/constraint/niem/iso\_4217/2.0/iso\_4217.xsd*
- *xsd/constraint/niem/iso\_639-3/2.0/iso\_639-3.xsd*
- *xsd/constraint/niem/niem-core/2.0/niem-core.xsd*
- *xsd/constraint/niem/nonauthoritative-code/2.0/nonauthoritative-code.xsd*
- *xsd/constraint/niem/unece\_rec20-misc/2.0/unece\_rec20.xsd*
- *xsd/constraint/niem/usps\_states/2.0/usps\_state.xsd*

### 2.2 Missing attribute

In *xsd/casetype/JuvenileCase.xsd*, replace

```
<xsd:element name="JuvenileCase" type="JuvenileCaseType">
```

with

```
<xsd:element name="JuvenileCase" type="JuvenileCaseType" substitutionGroup="n  
c:Case">
```

### 2.3 Inconsistent reference to the UBL

In *xsd/message/FeesCalculationResponseMessage.xsd*, replace

```
<xsd:import  
namespace="urn:oasis:names:specification:ubl:schema:xsd:CommonAggregateCompon  
ents-2" schemaLocation="http://docs.oasis-open.org/ubl/cs-UBL-  
2.0/xsd/common/UBL-CommonAggregateComponents-2.0.xsd"/>
```

with

```
<xsd:import
namespace="urn:oasis:names:specification:ubl:schema:xsd:CommonAggregateCompon
ents-2" schemaLocation="http://docs.oasis-open.org/ubl/prd2-UBL-
2.1/xsd/common/UBL-CommonAggregateComponents-2.1.xsd"/>
```

## 2.4 Use of embedded attachments is deprecated

In Section 2.3.1 Messages of the specification document, replace:

*The actual binary encoded electronic document MAY be either included in one or more attachments to the message or embedded in the message using the following structure:*

with

*The actual binary encoded electronic document SHOULD be included in one or more attachments to the message or MAY be embedded in the message using the following structure:*

## 2.5 Clarify references to document signature profiles

In Section 6.0 Document Signature Profiles of the specification, on lines 1119, 1125, 1165, and 1179 replace:

*ECF 4.0*

with

*ECF*

## 2.6 Incorrect cardinality in the NIEM core constraint schema

In *xsd/constraint/niem/niem-core/2.0/niem-core.xsd*, make the following 4 replacements:

On line 36, replace

```
<xsd:element ref="nc:ActivityIdentification" minOccurs="0" maxOccurs="1"/>
```

with

```
<xsd:element ref="nc:ActivityIdentification" minOccurs="0"
maxOccurs="unbounded"/>
```

On line 40, replace

```
<xsd:element ref="nc:ActivityDisposition" minOccurs="0" maxOccurs="1"/>
```

with

```
<xsd:element ref="nc:ActivityDisposition" minOccurs="0"
maxOccurs="unbounded"/>
```

On line 221, replace



```
<xsd:element ref="nc:DocumentIdentification" minOccurs="0" maxOccurs="1"/>  
with  
<xsd:element ref="nc:DocumentIdentification" minOccurs="0"  
maxOccurs="unbounded"/>
```

On line 737, replace

```
<xsd:element ref="nc:StatusDescriptionText" minOccurs="0" maxOccurs="1"/>  
with  
<xsd:element ref="nc:StatusDescriptionText" minOccurs="0"  
maxOccurs="unbounded"/>
```

## 2.7 Incorrect cardinality in the NIEM justice domain constraint schema

In *xsd/constraint/niem/domains/jxdm/4.0/jxdm.xsd*, make the following 3 replacements:

On line 39, replace

```
<xsd:element ref="j:AppellateCaseOriginalCase" minOccurs="0" maxOccurs="1"/>  
with  
<xsd:element ref="j:AppellateCaseOriginalCase" minOccurs="0"  
maxOccurs="unbounded"/>
```

On line 81, replace

```
<xsd:element ref="j:CaseJudge" minOccurs="0" maxOccurs="1"/>  
with  
<xsd:element ref="j:CaseJudge" minOccurs="0" maxOccurs="unbounded"/>
```

On line 134, replace

```
<xsd:element ref="j:CitationViolation" minOccurs="0" maxOccurs="1"/>  
with  
<xsd:element ref="j:CitationViolation" minOccurs="0" maxOccurs="unbounded"/>
```

---

## Appendix A. Acknowledgments

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

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## Appendix B. Revision History

Revision	Date	Editor	Changes Made
Wd01	15 October 2013	James Cabral	Initial version
Wd02	10 March 2014	James Cabral	Update list of affected files in 2.1; Added 2.4 and 2.5
Wd03	13 May 2014	James Cabral	Added 2.6 and 2.7