

Electronic Court Filing 4.0 Web Services Service Interaction Profile Version 2.0

Committee Draft 01

21 September 2008

Specification URIs:

This Version:

http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.0/ecf-v4.0-webservices-spec/ecf-v4.0-webservices-v2.0-spec-cd01.doc

http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.0/ecf-v4.0-webservices-spec/ecf-v4.0-webservices-v2.0-spec-cd01.html

http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.0/ecf-v4.0-webservices-spec/ecf-v4.0-webservices-v2.0-spec-cd01.pdf

Previous Version:

N/A

Latest Version:

http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.0/ecf-v4.0-webservices-spec/ecf-v4.0-webservices-v2.0-spec.doc

http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.0/ecf-v4.0-webservices-spec/ecf-v4.0-webservices-v2.0-spec.html

http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.0/ecf-v4.0-webservices-spec/ecf-v4.0-webservices-v2.0-spec.pdf

Technical Committee:

OASIS LegalXML Electronic Court Filing TC

Chair(s):

Ron Bowmaster, Utah Administrative Office of the Courts John Greacen, Individual Member

Editor(s):

Adam Angione, Courthouse News Service

Roger Winters, Administrative Office of the Courts of Washington and King County Department of Judicial Administration

Contributors:

James Cabral, MTG Management Consultants

Related work:

This specification replaces or supercedes:

- OASIS LegalXML Electronic Court Filing Web Services Service Interaction Profile 1.0
- OASIS LegalXML Electronic Court Filing Web Services Service Interaction Profile 1.1

This specification is related to:

OASIS LegalXML Electronic Court Filing v4.0 Specification

Declared XML Namespace(s):

urn:oasis:names:tc:legalxml-courtfiling:schema:xsd:WebServicesProfile-2.0

Abstract:

This document defines a Service Interaction Profile, as defined in section 5 of the LegalXML Electronic Court Filing 4.0 (ECF 4.0) specification. The Web Services Service Interaction Profile may be used to transmit ECF 4.0 messages between Internet-connected systems.

Status:

This document was last revised or approved by the LegalXML Electronic Court Filing TC on the above date. The level of approval is also listed above. Check the "Latest Version" or "Latest Approved Version" location noted above for possible later revisions of this document.

Technical Committee members should send comments on this specification to the Technical Committee's email list. Others should send comments to the Technical Committee by using the "Send A Comment" button on the Technical Committee's web page at http://www.oasis-open.org/committees/legalxml-courtfiling/.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Technical Committee web page (http://www.oasis-open.org/committees/legalxml-courtfiling/ipr.php.

The non-normative errata page for this specification is located at http://www.oasis-open.org/committees/legalxml-courtfiling/.

Notices

Copyright © OASIS® 2008. All Rights Reserved.

All capitalized terms in the following text have the meanings assigned to them in the OASIS Intellectual Property Rights Policy (the "OASIS IPR Policy"). The full Policy may be found at the OASIS website.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published, and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this section are included on all such copies and derivative works. However, this document itself may not be modified in any way, including by removing the copyright notice or references to OASIS, except as needed for the purpose of developing any document or deliverable produced by an OASIS Technical Committee (in which case the rules applicable to copyrights, as set forth in the OASIS IPR Policy, must be followed) or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by OASIS or its successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and OASIS DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY OWNERSHIP RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

OASIS requests that any OASIS Party or any other party that believes it has patent claims that would necessarily be infringed by implementations of this OASIS Committee Specification or OASIS Standard, to notify OASIS TC Administrator and provide an indication of its willingness to grant patent licenses to such patent claims in a manner consistent with the IPR Mode of the OASIS Technical Committee that produced this specification.

OASIS invites any party to contact the OASIS TC Administrator if it is aware of a claim of ownership of any patent claims that would necessarily be infringed by implementations of this specification by a patent holder that is not willing to provide a license to such patent claims in a manner consistent with the IPR Mode of the OASIS Technical Committee that produced this specification. OASIS may include such claims on its website, but disclaims any obligation to do so.

OASIS takes no position regarding the validity or scope of any intellectual property or other rights that might be claimed to pertain to the implementation or use of the technology described in this document or the extent to which any license under such rights might or might not be available; neither does it represent that it has made any effort to identify any such rights. Information on OASIS' procedures with respect to rights in any document or deliverable produced by an OASIS Technical Committee can be found on the OASIS website. Copies of claims of rights made available for publication and any assurances of licenses to be made available, or the result of an attempt made to obtain a general license or permission for the use of such proprietary rights by implementers or users of this OASIS Committee Specification or OASIS Standard, can be obtained from the OASIS TC Administrator. OASIS makes no representation that any information or list of intellectual property rights will at any time be complete, or that any claims in such list are, in fact, Essential Claims.

The names "OASIS", [insert specific trademarked names and abbreviations here] are trademarks of OASIS, the owner and developer of this specification, and should be used only to refer to the organization and its official outputs. OASIS welcomes reference to, and implementation and use of, specifications, while reserving the right to enforce its marks against misleading uses. Please see http://www.oasis-open.org/who/trademark.php for above guidance.

Table of Contents

1	Introduction	5
	1.1 Relationship to ECF 4.0 Specifications	5
	1.2 Relationship to other XML Specifications	5
	1.2.1 W3C XML Schema 1.0	
	1.2.2 W3C Namespaces in XML	6
	1.2.3 W3C Simple Object Access Protocol (SOAP) 1.1	6
	1.2.4 W3C Web Services Description Language (WSDL) 1.1	6
	1.2.5 W3C XML-Signature Syntax and Processing	6
	1.2.6 WS-I Basic Profile 1.1	
	1.2.7 W3C SOAP 1.1 Binding for MTOM 1.0	6
	1.2.8 WS-I Basic Security Profile 1.0	
	1.2.9 WS-ReliableMessaging Version 1.0	7
	1.3 Terms and Definitions	
	1.4 Symbols and Abbreviations	8
	1.5 Normative References	9
	1.6 Non-Normative References	
2	Profile Design	. 11
	2.1 Service Interaction Profile Identifier	
	2.2 Transport Protocol	. 11
	2.3 MDE Addressing	. 12
	2.4 Operation Addressing	.12
	2.5 Request and Operation Invocation	
	2.6 Synchronous Mode Response	
	2.7 Asynchronous Mode Response	.12
	2.8 Message/Attachment Delimiters	
	2.9 Message Identifiers	. 12
	2.10 Message Non-repudiation	. 13
	2.11 Message Integrity	
	2.12 Message Confidentiality	
	2.13 Message Authentication	. 13
	2.14 Message Reliability	
	2.15 Message Splitting and Assembly	. 13
	2.16 Transmission Auditing	. 14
3	Service Definitions	. 15
Αį	ppendix A. (Informative) Acknowledgments	. 16
Αį	ppendix B. (Informative) Revision History	. 17
	ppendix C. (Informative) Example Implementation	
Αį	ppendix D. (Informative) Example Transmissions	
	D.1 Operation Invocation	. 19
	D.2 Synchronous Response	
	D.3 Asynchronous Response	. 21

1 Introduction

1

9

25

38

- 2 This document defines a Service Interaction Profile, as called for in section 5 of **[ECF 4.0]**. The purpose
- 3 of the Web Services Service Interaction Profile is to provide a web service-based system in conformance
- 4 with the WS-I Basic Profile 1.1 ([WS-I BP 1.1]) and Basic Security Profile 1.0 ([WS-I BP 1.0]) for use with
- 5 the [ECF 4.0] specification. This version adds support for bulk filings. improves security support for
- 6 tokens, attachments, and rights management through inclusion of WS-Security 1.1 and adds supports for
- 7 message splitting and assembly through inclusion of WS-Reliable Messaging 1.0.. This specification
- 8 requires an active network connection between the sending and receiving MDEs.

1.1 Relationship to ECF 4.0 Specifications

- 10 The ECF 4.0 specification describes the technical architecture and the functional features of an electronic
- 11 court filing system, that is, features needed to accomplish electronic filing in a court, pointing out both
- 12 normative (required) and non-normative (optional) business processes it supports. The non-functional
- 13 requirements associated with electronic filing transactions, and actions and services needed to
- 14 accomplish the transactions, such as network structures and security infrastructures, are defined in
- 15 related specifications, namely:
- Service interaction profile specifications defining communications infrastructures within which
 electronic filing transactions can take place.
- Document signature profile specifications that define mechanisms for stating or proving that a person signed a particular document.
- 20 This specification represents an ECF 4.0 service interaction profile based on web-services. It is intended
- for implementation in conjunction with the ECF 4.0 specification and at least one ECF 4.0 document
- 22 signature profile specification. Specifically, in this service interaction profile, the implementation details
- 23 for each of the Major Design Elements (MDEs), operations, and messages defined in the ECF 4.0
- specification, are defined in Web Services Description Language (WSDL).

1.2 Relationship to other XML Specifications

- 26 Consistent with the ECF 4.0 principle of leveraging other existing, non-proprietary XML specifications
- 27 wherever possible, this service interaction profile specification leverages previous specifications for web
- 28 services messaging and security including the following:
- W3C XML Schema 1.0.
- W3C Namespaces in XML.
- W3C Simple Object Access Protocol (SOAP) 1.1.
- 32 W3C Web WSDL 1.1.
- W3C XML-Signature Syntax and Processing.
- W3C SOAP 1.1 Binding for MTOM 1.0
- WS-I Basic Profile Version 1.1.
- WS-I Basic Security Profile Version 1.0.
- OASIS WS-Reliable Messaging 1.0.

39 The use of each of these specifications is described below.

40 1.2.1 W3C XML Schema 1.0

- 41 The W3C XML Schema 1.0 specification defines an application protocol for imposing constraints on the
- 42 storage layout and logical structure of data objects using text tags or "markup." Compliance with the

- 43 requirements of the XML Schema 1.0 specification is REQUIRED for compliance with this service
- 44 interaction profile.

53

45 1.2.2 W3C Namespaces in XML

- 46 The W3C Namespaces in XML specification defines conventions for defining and referring to separate
- 47 XML tags. Compliance with the requirements of the Namespaces in XML specification is REQUIRED for
- 48 compliance with this service interaction profile.

49 1.2.3 W3C Simple Object Access Protocol (SOAP) 1.1

- 50 The W3C SOAP 1.1 specification defines message exchange patterns and message structures for use
- with XML. Compliance with the requirements of the SOAP 1.1 specification is REQUIRED for compliance
- with this service interaction profile.

1.2.4 W3C Web Services Description Language (WSDL) 1.1

- The W3C WSDL specification enables the description of services as sets of endpoints operating on
- 55 messages. Compliance with the requirements of the WSDL 1.1 specification is REQUIRED for
- 56 compliance with this service interaction profile.
- 57 An MDE implementation MUST consist of a SOAP 1.1 web service that implements the SOAP HTTP
- 58 binding for that MDE's portType from the ECF-4.0-WebServicesProfile-Definitions.wsdl document
- 59 (provided with this specification). Further, the implementation MUST be accompanied by an
- 60 implementation-specific WSDL document that imports the namespace defined in ECF-4.0-
- 61 WebServicesMProfile-Definitions.wsdl, and defines a <wsdl:service> element containing a
- 62 <soap:address> element with a location attribute whose value provides an HTTP URL at which the
- 63 MDE implementation can be invoked.
- 64 (Note that in the previous paragraph, a namespace prefix of "wsdl" is assumed to map to the
- 65 http://schemas.xmlsoap.org/wsdl/ namespace, while the namespace prefix of "soap" is
- 66 assumed to map to the http://schemas.xmlsoap.org/wsdl/soap/namespace.)
- 67 An example implementation-specific WSDL document (ECF-4.0-WebServicesProfile-
- 68 ImplementationExample.wsdl) is provided with this specification.

69 1.2.5 W3C XML-Signature Syntax and Processing

- 70 The W3C XML Signature Syntax and Processing specification defines representations of signatures of
- Web resources, portions of protocol messages (anything that may be referenced by a URI), and
- 72 procedures for computing and verifying such signatures. Compliance with the requirements of the XML
- 73 Signature Syntax and Processing specification is REQUIRED for compliance with this service interaction
- 74 profile.

75 1.2.6 WS-I Basic Profile 1.1

- 76 The WS-Interoperability Basic Profile 1.1 ([WS-I BP 1.1]) specification defines a set of best practices for
- implementing interoperable web services. Compliance with the requirements of the [WS-I BP 1.1] is
- 78 REQUIRED for compliance with this service interaction profile.

79 **1.2.7 W3C SOAP 1.1 Binding for MTOM 1.0**

- The SOAP 1.1 Binding for MTOM 1.0 ([SOAP MTOM 1.0]) defines a set of best practices for
- 81 implementing interoperable serialization of the SOAP envelope and its representation in the message.
- This binding MUST be used as a replacement for the WS-I Attachments Profile 1.0 and the W3C Simple
- 83 SOAP Binding Profile in the WS-I Basic Profile [WS-I BP 1.1]. Compliance with the requirements of the [
- 84 **SOAP MTOM 1.0]** and the specifications that this binding references, the SOAP Message Transmission
- 85 Optimization Mechanism (MTOM) ([MTOM)] and the W3C XML-binary Optimized Packging (XOP)
- 86 specifications (**[XOP]**), is REQUIRED for compliance with the web services service interaction profile.

1.2.8 WS-I Basic Security Profile 1.0

- The WS-Interoperability Basic Security Profile Version 1.0 ([WS-I BSP 1.0]) complements [WS-I BP 1.0]
- and defines a set of best practices for implementing interoperable and secure web services. With the
- 90 exception of the requirements for use of the WS-I Attachments Profile 1.0 and the W3C Simple SOAP
- 91 Binding Profile 1.0, compliance with the requirements of [WS-I BSP 1.0] is REQUIRED for compliance
- 92 with this service interaction profile. However, in many cases, [WS-I BSP 1.0] is underspecified. The
- 93 following options in [WS-I BSP 1.0] are REQUIRED for compliance with this web services service
- 94 interaction profile:
- E0002 Security Tokens Security tokens MUST be specified in additional security token profiles.
 (NOTE: This will be determined in Court Policy)
- R3103 A SIGNATURE MUST be a Detached Signature as defined by the XML Signature
 specification.

1.2.9 WS-ReliableMessaging Version 1.0

- The WS-Reliability 1.1 ([WS-RM 1.0]) specification complements [WS-I BP 1.1] and defines a set of
- 101 extensions for exchanging SOAP messages with guaranteed delivery, no duplicates, and guaranteed
- 102 message ordering.

99

103

107

109

110

114

115

116

120

121

122

129

130

131

1.3 Terms and Definitions

- The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD"
- NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described
- 106 in [RFC2119].
- 108 The key terms used in this specification include:

Attachment

- Information transmitted between MDEs that is of an arbitrary format, and is related to the message(s) in the transmission in a manner defined in the ECF 4.0 specification. An attachment
- message(s) in the transmission in a manner defined in the ECF 4.0 specification. An attachmen may be in XML format, non-XML text format, encoded binary format, or un-encoded binary
- 113 format.

Callback message

A message transmission returned by some operations some time after the operation was invoked (asynchronously).

117 Document

118 Represents an electronic version of the paper that would have been sent as paper.

119 **Docketing**

The process invoked when a court receives a pleading, order, or notice, when no errors in transmission or in presence of required content have occurred, and when the pleading, order, or notice is recorded as a part of the official record.

- 123 Filer
- 124 Attorneys or pro se litigants are individuals who assemble and submit Filings (data and documents).
- 126 Filing
- 127 Electronic document collection that has been assembled for filing on a designated court case.

128 Major Design Element (MDE)

A logical grouping of operations representing a significant business process supported by ECF 4.0. Each MDE operation receives one or more messages, returns a synchronous response message, and optionally sends an asynchronous response message back to the original sender.

132	Message				
133 134 135	against one of the defined message structure schemas in the ECF 4.0 specification. A messag				
136	Message Transmission				
137 138 139	transmission must invoke or respond to an operation on the receiving MDE, as defined in the				
140	Operation (or MDE Operation)				
141 142 143 144	A function provided by an MDE upon receipt of one or more messages. The function provided by the operation represents a significant step in the court filing business process. A sender invokes an operation on an MDE by transmitting a set of messages to that MDE, addressed to that operation.				
145	Operation signature				
146 147 148	A definition of the input message(s) and synchronous response message associated with an operation. Each message is given a name and a type by the operation. The type is defined by a single one of the message structures defined in the ECF 4.0 specification.				
149	Receiving MDE				
150 151	In an Electronic Court Filing operation, the MDE that receives the request with the operation invocation performs the operation and sends the response.				
152	Sending MDE				
153 154	In an Electronic Court Filing operation, the MDE that sends the request including the operation invocation and receives the response with the results of the operation.				
155	Synchronous response				
156 157	A message transmission returned immediately (synchronously) as the result of an operation. Every operation has a synchronous response.				
158	1.4 Symbols and Abbreviations				
159 160	The key symbols and abbreviations used in this specification include:				
161	ECF 4.0				
162	OASIS LegalXML Electronic Court Filing 4.0				
163	MDE				
164	Major Design Element				
165	OASIS				
166	Organization for the Advancement of Structured Information Systems				
167	SOAP				
168	Simple Object Access Protocol				
169	XML				
170	eXtensible Markup Language				
171	W3C				
172	World Wide Web Consortium				
173	WSDL				

174

Web Services Description Language

1.5 Normative References

178	1.5 Normative References			
179 180 181	[ECF 4.0]	A. Angione (editor), <i>LegalXML Electronic Court Filing v4.0</i> , http://www.oasis-open.org/apps/org/workgroup/legalxml-courtfiling/, OASIS Working Draft, August 2008.		
182 183 184	[MTOM]	M. Gudgin, N Mendelsohn, M Nottingham, H Ruellan, SOAP Message Transmission Optimization Mechanism, http://www.w3.org/TR/2005/REC-soap12-mtom-20050125/, W3C Recommendation, January 2005.		
185 186	[Namespaces]	T. Bray, <i>Namespaces in XML</i> , http://www.w3.org/TR/REC-xml-names-19990114, W3C Recommendation, January 14, 1999.		
187 188 189	[RFC2045]	N. Freed, Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies, http://www.ietf.org/rfc/rfc2045.txt, IETF RFC 2045, November 1996.		
190 191	[RFC2046]	N. Freed, <i>Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types</i> , http://www.ietf.org/rfc/rfc2046, IETF RFC 2046, November 1996.		
192 193	[RFC2119]	S. Bradner, <i>Key words for use in RFCs to Indicate Requirement Levels</i> , http://www.ietf.org/rfc/rfc2119, IETF RFC 2119, March 1997.		
194 195	[RFC2616]	R. Fielding, et. al., <i>Hypertext Transfer Protocol HTTP/1.</i> 1, http://www.ietf.org/rfc/rfc2616, IETF RFC 2616, June 1999.		
196 197 198	[RFC2617]	J. Franks, P. Hallam-Baker, J. Hostetler, S. Lawrence, P. Leach, A. Luotonen, E. Sink, and L. Stewart, <i>HTTP Authentication: Basic and Digest Access Authentication</i> , http://www.ietf.org/rfc/rfc2617, RFC 2617, June 1999.		
199 200	[RFC4122]	Leach, et al., <i>A Universally Unique IDentifier (UUID) URN Namespace</i> , http://www.ietf.org/rfc/rfc4122.txt, IETF RFC 4112, July 2005.		
201 202 203	[Schema Part 1]	H. S. Thompson, D. Beech. M. Maloney, N. Mendelsohn, XML <i>Schema Part 1:</i> Structures Second Edition, http://www.w3.org/TR/2004/REC-xmlschema-1-20041028/, W3C Recommendation, October 28, 2004.		
204 205 206	[Schema Part 2]	P. Biron, A. Malhotra, <i>XML Schema Part 2: Datatypes Second Edition</i> , http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/, W3C Recommendation, October 28, 2004.		
207 208	[SOAP 1.1]	D. Box, et. al., Simple Object Access Protocol (SOAP) 1.1, http://www.w3.org/TR/2000/NOTE-SOAP-20000508, W3C Note, May 8, 2000.		
209 210 211 212	[SOAP MTOM 1.0]	D. Angelov, C. Ferris, A Karmarkar, C Liu, J Marsh, J Mischikinsky, A Nadalin, U Yakmalp, SOAP 1.1 Binding for MTOM 1.0, http://www.w3.org/Submission/soap11mtom10/, W3C Member Submission, April 05, 2006.		
213 214 215	[WSDL 1.1]	E. Christensen, F Curbera, G Meredith, S. Weerawarana, <i>Web Services Description Language 1.1</i> , http://www.w3.org/TR/2001/NOTE-wsdl-20010315, , W3C Note, March 15, 2001.		
216 217 218	[WS-I BP1.1]	K. Ballinger, D. Ehnebuske, C. Ferris, M. Gudgin, M. Nottingham, C. K. Liu, M. Nottingham, P Yendluri, <i>Basic Profile Version 1.1</i> , http://www.ws-i.org/Profiles/BasicProfile-1.1.html, WS-I Organization, April 10, 2006.		
219 220 221 222	[WS-I BSP 1.1]	M. McIntosh, M. Gudgin, K. Scott Morrison, A. Barbir, <i>Basic Security Profile Version 1.1 (Working Group Approval Draft)</i> , http://www.ws-i.org/Profiles/BasicSecurityProfile-1.1.html, WS-I Organization, February 20, 2007.		

223					
224 225		http://docs.oasis-open.org/ws-rx/wsrm/200702/wsrm-1.1-spec-cs-01.pdf, Commitee Specification, April 11, 2007			
226 227 228	[XML 1.0]	T. Bray, Extensible Markup Language (XML) 1.0 (Third Edition), http://www.w3.org/TR/REC-xml/, W3C REC-XML-20040204, W3C Recommendation, February 4, 2004.			
229 230 231	[XMLENC]	D. Eastlake, J. Reagle, XML Encryption Syntax and Processing, http://www.w3.org/TR/2002/REC-xmlenc-core-20021210/, W3C Recommendation, December 2002.			
232 233 234	[XMLSIG]	D. Eastlake., J. Reagle, D. Solo, <i>XML-Signature Syntax and Processing</i> , http://www.w3.org/TR/2002/REC-xmldsig-core-20020212/, W3C Recommendation, February 2002.			
235 236 237	[XOP]	M. Gudgin, N Mendelsohn, M Nottingham, H Ruellan, <i>XML-binary Optimized Packaging</i> , http://www.w3.org/TR/2005/REC-xop10-20050125/.), W3C Recommendation, January 2005.			
238	1.6 Non-Normative References				
239 240 241 242	[JRA WS-SIP]	Global Justice Reference Architecture Web Services Service Interaction Profile 1.1, https://it.ojp.gov/process_links.jsp?link_id=5800, Global Infrastructure/Standards Working Group, August 1, 2007			

2 Profile Design

- 244 This section describes the design of the Web Services Service Interaction Profile and identifies how it
- satisfies the requirements of a document signature profile listed in Section 5 of the [ECF 4.0]
- 246 specification. In addition, this profile is intended for compatibility with the Global Justice Reference
- 247 Architecture Web Services Service Interaction Profile [JRA WS-SIP].

2.1 Service Interaction Profile Identifier

- 249 Each ECF 4.0 service interaction profile MUST be identified with a unique URI which is used in the ECF
- 4.0 court policy to identify the service interaction profile(s) that a given MDE supports. The ECF 4.0 Web
- 251 Services Service Interaction Profile 2.0 will be identified by the following URI:
- 252 urn:oasis:names:tc:legalxml-courtfiling:schema:xsd:WebServicesProfile-2.0
- 253 All ECF 4.0 messages sent via this service interaction profile MUST include this URI in the
- 254 <SendingMDEProfileCode> element. In addition, any court supporting this service interaction profile
- 255 MUST include this URI in the <SupportedMessageProfile> element in the
- 256 CourtFilingResponseMessage.

2.2 Transport Protocol

- Each ECF 4.0 message transmission sent using this service interaction profile MUST be encapsulated in a SOAP message over the HTTP 1.1 protocol as defined in the [WSI-I BP 1.1] and [SOAP MTOM] specifications. Figure 1 illustrates the containment of ECF 4.0 messages and attachments within a SOAP Message Package. For compliance with this specification, a SOAP envelope MUST contain one or more messages and MAY contain one or more attachments.
- 262263264

257258

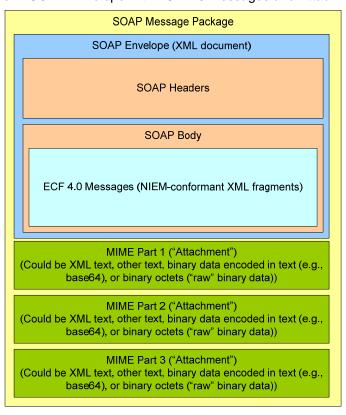
259

260

261

243

Figure 1. SOAP Envelope with ECF 4.0 Messages and Attachments



2.3 MDE Addressing

- 267 Each ECF message transmission sent using this service interaction profile MUST identify the sending and
- receiving MDEs with universally unique address identifiers. The identifier for each MDE will be assigned
- by the organization that manages the MDE and MUST be the HyperText Transfer Protocol (HTTP) or
- 270 HTTP over Secure Socket Layer (SSL) permanent URL for the MDE web service.
- 271 This URL MUST be the value of the location attribute of the <soap:address> element contained within
- the <wsdl:service> element that binds the MDE's portType to a service, and that is defined in the
- 273 implementation-specific WSDL document discussed in section 1.2.4 above.
- For instance, a conformant MDE ID of a web service at courts.wa.gov using HTTP over SSL on port 8000
- would be as follows:

266

277

284

291

294

299

304

https://courts.wa.gov:8000

2.4 Operation Addressing

- 278 Each message transmission MUST either identify the operation or operations being invoked or be a
- 279 synchronous response to a previous request. Each operation MUST be either a required operation as
- defined in the ECF 4.0 specification or an optional operation identified as supported by the court through
- the current machine-readable court policy. The response to a request for an operation not supported by
- the court MUST be reported using the ECF 4.0 <ErrorCode> element in the core message and MAY
- 283 also include a SOAPFault in the SOAP envelope.

2.5 Request and Operation Invocation

- 285 Each message transmission MUST identify the operation being invoked within the SOAP Body only; the
- 286 (qualified) operation name MUST be the qualified name of the first child element of the SOAP body
- element, as called for in section 7.1 of the [SOAP 1.1] specification.
- An MDE implementation MAY allow message transmissions that include a SOAPAction HTTP header.
- 289 In compliance with the [WSI-I BP 1.1] specification, a receiving MDE MAY NOT rely on the value of the
- 290 SOAPAction HTTP header in processing the message.

2.6 Synchronous Mode Response

- 292 Synchronous responses to requests MUST be encoded using the MIME binding defined in Section 4.1.1
- 293 of the **[SOAP MTOM 1.0]** specification.

2.7 Asynchronous Mode Response

- The receiving MDE MUST deliver the asynchronous response to a request sent using the web services
- 296 service interaction profile by sending the asynchronous response to the sending MDE via the web
- 297 services service interaction profile. The response message transmission MUST conform to the rules for
- 298 message transmissions established in section 2.5 of this specification above.

2.8 Message/Attachment Delimiters

- 300 The ECF 4.0 messages MUST be encapsulated in the SOAP Body. All other attachments MUST be
- 301 included in separate MIME parts as shown in Figure 1. The delimiters between the message and the first
- 302 attachment, and between attachments, must comply with the rules for delimiting MIME parts as defined in
- 303 [RFC2045].

2.9 Message Identifiers

- 305 Each MIME part that includes an attachment MUST have a unique "Content-ID" as defined in [RFC2045]
- that uniquely identifies the content within that part.

2.10 Message Non-repudiation

- 308 The SOAP message MAY include a digital signature applied to the SOA Body and all MIME parts that
- 309 contain messages or attachments. The digital signature MUST be conformant with Section 8 of the [WS-I
- 310 **BSP 1.0]** specification which references the **[XMLSIG]** specification. The algorithms defined by
- 311 **[XMLSIG]** support non-repudiation of the signer and signing date through a digital signature created
- 312 using the signer's private key. Because the sender is the only one with access to the private key and the
- date is included in the signature, receivers can be reasonably assured of the signer and signing date.

314 **2.11 Message Integrity**

307

- 315 The algorithms defined by **[XMLSIG]** support message integrity through inclusion of a public-key-based
- digital signature. Because the signing date and message hash are included in the signature and the
- 317 entire signature is computed using the sender's private key, the receiver can compare the hashes to
- verify that the message has not been altered since it left the control of the sender on the specified date.

319 **2.12 Message Confidentiality**

- 320 If the Filing Review MDE supports the filing of confidential filings and publishes the court's public key in
- court policy, messages and attachments MAY be encrypted for filing into the court according to Section 9
- of the [WS-I BSP 1.0] specification which references the [XMLENC] specification. Because the Filing
- 323 Review MDE is the only one with access to the court's private key, filers can be reasonably assured that
- only the Filing Review MDE will be able to read the message or attachment.
- 325 This mechanism MAY be used to protect sensitive or confidential information in a filing such as the
- 326 FilingPaymentMessage However, this specification does NOT support the transmission of messages and
- 327 attachments encrypted with the court's public key to other parties in the case. Any messages and
- 328 attachments transmitted to other parties MUST be either encrypted with the party's public key or not
- encrypted. This specification and the ECF 4.0 specification do NOT define the exchange or publication of
- public keys by persons or organizations other than the court.

2.13 Message Authentication

- 332 Each MDE MAY define HTTP credentials for authentication to access the operations supported by that
- 333 MDE. If authentication is required, the sending MDE MUST include the credentials in the request as
- 334 defined in [RFC2617].

331

343

347

- 335 For instance, the Filing Review MDE MAY assign user ID and password pairs to each supported Filing
- 336 Assembly MDE, and require authentication for ReviewFiling operations but not query operations. In that
- case, each Filing Assembly MDE would include the user ID and password assigned to them in each filing.

338 2.14 Message Reliability

- 339 If a court expresses support for message reliability in human-readable court policy, a sending MDE MAY
- include reliability extensions to the SOAP envelope as defined in the [WS-RM 1.0] specification. An MDE
- that receives a request with a SOAP envelope that includes reliability extensions MUST include reliability
- extensions as defined by **[WS-RM 1.0]** in the response.

2.15 Message Splitting and Assembly

- 344 WS-Reliable Messaging defines mechanisms by which messages MAY be split into multiple pieces that
- are assigned sequence numbers and transmitted separately by the RM Source (sending MDE) and
- reassembled into the complete message by the RM Destination (receiving MDE).

2.16 Transmission Auditing

348

349 350 351

352

An implementation of the web services message profile MUST ensure that the complete SOAP message, including the SOAP envelope, any attachments, and signatures, is available to the receiving MDE for persisting and auditing purposes.

3 Service Definitions

353 354

355

356

357

Implementation of this service interaction profile MUST be described in a WSDL file that imports the service definitions from the ECF-4.0-WebServicesProfile-Definitions.wsdl file included with this specification.

Appendix A. (Informative) Acknowledgments

359 The following individuals were members or voting members of the committee during the development of this specification: 360

Participants:

358

361

366

371

374

399

362 Michael Alexandrou, Judicial Council of Georgia 363 CJ Allen, Maricopa County Clerk of Court 364 Adam Angione, Courthouse News Service, Inc. 365

Donald Bergeron, Reed Elsevier

Ron Bowmaster Utah Administrative Office of the Courts

367 Suzanne Bunnin, Arizona Supreme Court 368 James Cabral, MTG Management Consultants 369 Rolly Chambers, American Bar Association 370 Thomas Clarke, National Center for State Courts

Linda Colwell, Arizona Supreme Court

372 James Cusick, Wolters Kluwer 373 Robert DeFilippis, Individual

Christopher, Shane Durham, Reed Elsevier

375 Eric Eastman, Doxpop, LLC

376 Scott Edson, LA County Information Systems Advisory Body Ali Farahani, LA County Information Systems Advisory Body 377

378 Robin Gibson, Secretary, Missouri OSCA 379 Gary Graham, Arizona Supreme Court

380 John Greacen, Individual

381 Jim Harris, National Center for State Courts

382 Brian Hickman, Wolters Kluwer 383 Hui Ji. Judicial Council of Georgia 384 Aaron Jones, Maricopa County George Knecht, PCIntellect LLC 385 Mark Ladd, Property Records ind. 386

387 Laurence Leff, Individual

388 Morgan Medders, Judicial Council of Georgia 389 Rex McElrath, Judicial Council of Georgia

390 John Messing, Law-On-Line

Robert O'Brien, Ottawa Courts Administration 391

392 Gary Poindexter, Individual

393 Rachelle Resnick, Arizona Supreme Court

394 David Roth, Thomson Corporation

395 John Ruegg, LA County Information Systems Advisory Body 396 Christopher Smith, California Administrative Office of the Courts

397 Philip Urry, Arizona Supreme Court

Roger Winters, Washington Administrative Office of the Courts (King County) 398

ecf-v4.0-webservices-v2.0-spec-cd01.doc Copyright © OASIS Open 2008. All Rights Reserved.

400 Appendix B. (Informative) Revision History

Revision	Date	Editor	Changes Made
Wd01	2008-08-18	James Cabral	Initial version
Wd02	2008-08-25	James Cabral	Revised WSDL
Wd03	2008-09-03	James Cabral	Changed "WebServicesMessagingProfile" to "WebServicesProfile"

403

404

405

Appendix C. (Informative) Example Implementation

This non-normative section provides an example WSDL implementation of this service interaction profile. This is also included in ECF-4.0-WebServicesProfile-ImplementationExample.wsdl file included with this specification. Note that the following is for illustrative purposes only.

406

```
407
 408
 409
 410
411
412
 413
414
415
416
417
418
419
420
 421
422
423
424
425
426
427
429
430
431
432
433
434
435
436
437
438
439
440
```

```
<definitions
    targetNamespace="urn:oasis:names:tc:legalxml-courtfiling:wsdl:WebServiceProfile-
ImplementationExample-4.0"
    xmlns:wsmp="urn:oasis:names:tc:legalxml-courtfiling:wsdl:WebServiceProfile-
Definitions-4.0"
    xmlns:xsd="http://www.w3.org/2001/XMLSchema"
    xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
    xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
    xmlns="http://schemas.xmlsoap.org/wsdl/">
    <import namespace="urn:oasis:names:tc:legalxml-courtfiling:wsdl:WebServiceProfile-</pre>
Definitions-4.0" location="ECF-4.0-WebServicesProfile-Definitions.wsdl"/>
    <service name="ServiceMDEService">
        <port name="ServiceMDEPort" binding="wsmp:ServiceMDEPortSOAPBinding">
            <soap:address location="https://localhost/..."/>
        </port>
    </service>
    <service name="FilingAssemblyMDEService">
        <port name="FilingAssemblyMDEPort"</pre>
binding="wsmp:FilingAssemblyMDEPortSOAPBinding">
            <soap:address location="https://localhost/..."/>
        </port>
    </service>
    <service name="CourtRecordMDEService">
        <port name="CourtRecordMDEPort" binding="wsmp:CourtRecordMDEPortSOAPBinding">
            <soap:address location="https://localhost/..."/>
        </port>
    </service>
    <service name="FilingReviewMDEService">
        <port name="FilingReviewMDEPort" binding="wsmp:FilingReviewMDEPortSOAPBinding">
            <soap:address location="https://localhost/..."/>
        </port>
    </service>
</definitions>
```

Appendix D. (Informative) Example Transmissions

This non-normative section provides an example transmission that demonstrates an operation invocation, a synchronous response, and an asynchronous response using this service interaction profile. Note that these examples are for illustrative purposes only.

D.1 Operation Invocation

This is an example of a request including a ReviewFiling operation invocation.

497

498 499 500

449

450

451

452

```
MIME-Version: 1.0
Content-Type: Multipart/Related; boundary=boundary;
   type="application/xop+xml";
   start="Envelope"
   start-info="text/xml"
--boundary
Content-Type:application/xop+xml;
text/xml; charset="UTF-8"
Content-Transfer-Encoding: 8bit
Content-ID: Envelope
<?xml version='1.0' ?>
<env:Envelope xmlns:env="http://schemas.xmlsoap.org/soap/envelope/">
    <env:Body xmlns:types="http://example.com/some-namespace">
        <types:ReviewFiling>
           <CoreFilingMessage>
           </CoreFilingMessage>
           <PaymentMessage>
           </PaymentMessage>
        </types:ReviewFiling>
    </env:Body>
</env:Envelope>
--boundary
Content-Type: application/pdf
Content-Transfer-Encoding: binary
Content-ID: Attachment1
...Lead Document...
Content-Type: application/pdf
Content-Transfer-Encoding: binary
Content-ID: Attachment2
...Connected Document...
--boundary--
```

D.2 Synchronous Response

501 502

528 529 This is an example of a MessageReceiptMessage synchronous response.

```
503
504
505
506
507
            MIME-Version: 1.0
            Content-Type: Multipart/Related; boundary=boundary;
               type="application/xop+xml";
               start="Envelope"
               start-info="text/xml"
--boundary
            Content-Type:application/xop+xml;
             text/xml; charset="UTF-8"
            Content-Transfer-Encoding: 8bit
            Content-ID: Envelope
            <?xml version='1.0' ?>
            <env:Envelope xmlns:env="http://schemas.xmlsoap.org/soap/envelope/">
                <env:Body xmlns:types="http://example.com/some-namespace">
                    <types:ReviewFiling-Response>
                       <MessageReceiptMessage>
                       </MessageReceiptMessage>
                    </types:ReviewFiling-Response>
                </env:Body>
            </env:Envelope>
```

D.3 Asynchronous Response

This is an example of a NotifyFilingReviewComplete asynchronous response.

```
532
565
566
567
568
569
570
571
572
575
576
```

577 578

```
MIME-Version: 1.0
Content-Type: Multipart/Related; boundary=boundary;
   type="application/xop+xml";
   start="Envelope"
   start-info="text/xml"
--boundary
Content-Type:application/xop+xml;
text/xml; charset="UTF-8"
Content-Transfer-Encoding: 8bit
Content-ID: Envelope
<?xml version='1.0' ?>
<env:Envelope xmlns:env="http://schemas.xmlsoap.org/soap/envelope/">
    <env:Body xmlns:types="http://example.com/some-namespace">
        <types:NotifyFilingReviewComplete>
           <ReviewFilingCallbackMessage>
          </ReviewFilingCallbackMessage>
           <PaymentReceiptMessage>
           </PaymentReceiptMessage>
        </types:NotifyFilingReviewComplete>
    </env:Body>
</env:Envelope>
--boundary
Content-Type: application/pdf
Content-Transfer-Encoding: binary
Content-ID: Attachment1
...Lead Document...
Content-Type: application/pdf
Content-Transfer-Encoding: binary
Content-ID: Attachment2
...Connected Document...
--boundary--
```