



AS4 Profile of ebMS V3 Version 1.0

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Technical Committee:

OASIS ebXML Messaging Services TC

Chair:

Ian Jones, British Telecommunications plc <ian.c.jones@bt.com>

Editor:

Jacques Durand, Fujitsu Computer Systems <jdurand@us.fujitsu.com>

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Abstract:

This document is a profile of the ebMS-3 specification [ebMS3]. It defines some conformance profiles that support specific messaging styles or context of use.

32 **Status:**

33 This document was last revised or approved by the ebXML Messaging Services Committee on
34 the above date. The level of approval is also listed above. Check the "Latest Version" or "Latest
35 Approved Version" location noted above for possible later revisions of this document.

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134

1 Introduction

135

136 The AS4 profile of the ebMS V3 OASIS standard is intended to achieve the same functionality as AS2,
137 while leveraging the features of the recent ebMS V3 standard. The main features of interest are
138 compatibility with Web services standards, message pulling capability, and a built-in Receipt mechanism.

139 Profiling ebMS V3 means:

- 140 ● defining of a subset of ebMS V3 options to be supported by the AS4 handler,
- 141 ● deciding which types of message exchanges must be supported, and how these exchanges
142 should be conducted (level of security, binding to HTTP, etc.)
- 143 ● deciding of AS4-specific message contents and practices (how to make use of the ebMS
144 message header fields, in an AS4 context).
- 145 ● deciding of some operational best practices, for the end-user.

146 The overall goal of a profile for a standard is to ensure interoperability by:

- 147 ● Establishing particular usage and practices of the standard within a community of users,
- 148 ● Defining the subset of features in this standard that needs to be supported by an implementation.

149 Two kinds of profiles are usually to be considered when profiling an existing standard:

- 150 1. **Conformance Profiles.** These define the different ways a product can conform to a standard,
151 based on specific ways to use this standard. A conformance profile is usually associated with a
152 specific conformance clause. Conformance profiles are of prime interest for product managers
153 and developers: they define a precise subset of features to be supported.
- 154 2. **Usage Profiles** (also called Deployment Profiles). These define how a standard should be used
155 by a community of users, in order to ensure best compatibility with business practices and
156 interoperability. Usage profiles are of prime interest for IT end-users: they define how to configure
157 the use of a standard (and related product) as well as how to bind this standard to business
158 applications. A usage profile usually points at required or compatible conformance profile(s).

159 AS4 is defined as a combination of:

- 160 ● A couple of AS4 Conformance Profiles (see section 2), that define the subset of ebMS V3
161 features to be supported by an AS4 implementation.
- 162 ● An AS4 Usage Profile (section 4) that defines how to use an AS4-compliant implementation in
163 order to achieve similar functions as specified in AS2.

164 Two AS4 conformance profiles (CP) are defined below:

- 165 (1) **the AS4 ebHandler CP.** This conformance profile supports both Sending and Receiving roles,
166 and for each role both message pushing and message pulling.
- 167 (2) **the AS4 light Client CP.** This conformance profile supports both Sending and Receiving roles,
168 but only message pushing for Sending and message pulling for Receiving. In other words, it does not
169 support incoming HTTP requests, and may have no IP address.

170 Compatible existing conformance profiles for ebMS V3 are:

- 171 ● Gateway RM V3 or Gateway RX V3: an MSH product implementing any of these profiles will also
172 be conforming to the AS4 ebHandler CP (the reverse is not true).

173 NOTE: Full compliance to AS4 actually requires and/or authorizes a message handler to implement a few
174 additional features beyond the above CPs. These features are described in Section 3.

175 1.1 Terminology

176 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
177 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as
178 described in IETF RFC 2119.

179 1.2 Normative References

- 180 **[ebMS2]** OASIS *ebXML Message Service Specification Version 2.0*, April 1, 2002.
181 http://www.oasis-open.org/committees/ebxml-msg/documents/ebMS_v2_0.pdf
- 182 **[ebMS3]** OASIS *ebXML Messaging Services, Version 3.0: Part 1, Core Features*, 2007.
183 http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/core/ebms_core-3.0-spec.pdf
- 184 **[ebMS3-CP]** OASIS *ebXML Messaging Services, Version 3.0: Conformance Profiles, CD3*,
185 2008. http://www.oasis-open.org/committees/document.php?document_id=29854
- 186 **[GZIP]** GNU *Gzip Manual*, Free Software Foundation, 2006.
187 <http://www.gnu.org/software/gzip/manual/index.html>
- 188 **[RFC2119]** S. Bradner. *Key words for use in RFCs to Indicate Requirement Levels*. IETF
189 RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>
- 190 **[RFC2045]** N Freed, et al, *Multipurpose Internet Mail Extensions (MIME) Part One: Format*
191 *of Internet Message Bodies*, 1996. <http://www.ietf.org/rfc/rfc2119.txt>
- 192 **[SOAPATTACH]** J. Barton, et al, *SOAP Messages with Attachments*, 2000
193 <http://www.w3.org/TR/SOAP-attachments>
- 194 **[WSIAP10]** *WS-I Attachment Profile V1.0*, Web-Services Interoperability Consortium, 2007.
195 <http://www.ws-i.org/deliverables/workinggroup.aspx?wg=basicprofile>
- 196 **[WSIBP20]** *WS-I Basic Profile V2.0 (draft)*, Web-Services Interoperability Consortium, 2009.
197 <http://www.ws-i.org/deliverables/workinggroup.aspx?wg=basicprofile>
- 198 **[WSIBSP11]** Abbie Barbir, et al, eds, *Basic Security Profile Version 1.1*, Web-Services
199 Interoperability Consortium, 2006.
200 <http://www.wsi.org/Profiles/BasicSecurityProfile-1.1.html>
- 201 **[ebBP-SIG]** OASIS ebXML Business Process TC, *ebXML Business Signals Schema*,
202 2006. <<http://docs.oasis-open.org/ebxml-bp/ebbp-signals-2.0>>
- 203 **[WSS11]** Anthony Nadalin, et al, eds., *Web Services Security: SOAP Message Security*
204 *1.1*, 2005. <http://docs.oasis-open.org/wss/v1.1/>

205 1.3 Non-normative References

- 206
- 207 **[ebMS3-CP]** OASIS *ebXML Messaging Services, Version 3.0: Conformance Profiles,*
208 *CD3, 2008.* [http://www.oasis-open.org/apps/org/workgroup/ebxml-](http://www.oasis-open.org/apps/org/workgroup/ebxml-msg/document.php?document_id=29854)
209 [msg/document.php?document_id=29854](http://www.oasis-open.org/apps/org/workgroup/ebxml-msg/document.php?document_id=29854)
- 210 **[ebCPPA]** OASIS, *Collaboration-Protocol Profile and Agreement Specification Version 2.0*,
211 http://www.oasis-open.org/committees/ebxml-cppa/documents/ebCPP-2_0.pdf,
212 September 23, 2002.
- 213 **[ebDGT]** OASIS, *ebXML Deployment Guide Template Specification Version 1.0* (ebXML
214 IIC) [http://www.oasis-open.org/apps/org/workgroup/ebxml-](http://www.oasis-open.org/apps/org/workgroup/ebxml-iic/download.php/1713/ebMS_Deployment_Guide_Template_10.doc)
215 [iic/download.php/1713/ebMS_Deployment_Guide_Template_10.doc](http://www.oasis-open.org/apps/org/workgroup/ebxml-iic/download.php/1713/ebMS_Deployment_Guide_Template_10.doc), April 7,
216 2003.
- 217 **[BPSS]** ebXML, *ebXML Business Process Specification Schema Version 1.0.1*,
218 <http://www.ebxml.org/specs/ebBPSS.pdf>, May 11, 2001.

219

2 AS4 Conformance Profiles for ebMS V3

220

2.1 The AS4 ebHandler Conformance Profile

221

The AS4 ebHandler is identified by the URI:

222

<http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200809/as4ebhandler>

223

2.1.1 Features Set

224

AS4 CP is defined as follows, using the table template and terminology provided in Appendix F

225

("Conformance") of the core ebXML Messaging Services V3.0 specification [ebMS3].

226

Conformance Profile: AS4 ebHandler	Profile summary: <"Sending+Receiving" / "AS4 eb Handler" / Level 1 / HTTP1.1 + SOAP 1.2 + WSS1.1 >
Functional Aspects	Profile Feature Set
ebMS MEP	<p>Support for all ebMS simple MEPs, in both Sender or Receiver role:</p> <ul style="list-style-type: none"> ● One-way / Push, ● One-way / Pull, <p>Regardless of which MEP is used, the sending of an eb:Receipt message must be supported:</p> <ul style="list-style-type: none"> ● For the One-way / Push, both "response" and "callback" reply patterns must be supported. ● For the One-way / Pull, the "callback" pattern is the only viable option, and the User message sender MUST be ready to accept an eb:Receipt either piggybacked on (or bundled with) a PullRequest, or piggybacked on another User Message, or sent separately. In all MEPs, the User message receiver MUST be able to send an eb:Receipt as a separate message (i.e. not piggybacked on a PullRequest message or on another User message). An MSH conforming to this profile is therefore NOT required to bundle an eb:Receipt with any other ebMS header or message body. <p>Use of the ebbpsig:NonRepudiationInformation element (as defined in [ebBP-SIG]) MUST be supported as content for the eb:Receipt message, i.e. when conforming to this profile a Sending MSH must be able to create a Receipt with such a content, and a Receiving MSH must be able to process it.</p>
Reliability	<p>Reception Awareness, defined as the ability for a Sending ebHandler to notify its application (message Producer) of lack of reception of an eb:Receipt related to a sent message, MUST be supported. This implies support for: (a) correlating eb:Receipts with previously sent User messages, based on the ebMS message ID, (b) detection of a missing eb:Receipt for a sent message, (c) ability to report an error to the message Producer in case no eb:Receipt has been received for a sent message.</p>

	<p>The semantics of sending back an eb:Receipt message is: a well-formed ebMS user message has been received and the MSH is taking responsibility for its processing, (no additional application-level delivery semantics, and no payload validation semantics).</p> <p>No support for a WS reliable messaging specification is required although that is an option.</p>
<p>Security</p>	<ul style="list-style-type: none"> ● Support for username / password token, digital signatures and encryption. ● Support for content-only transforms. ● Support for security of attachments required. ● Support for message authorization at P-Mode level (see 7.10 in [ebMS3]) Authorization of the Pull signal - for a particular MPC - must be supported at minimum. <p>Two authorization options must be supported by an MSH in the Receiving role, and at least one of them in the Sending role:</p> <ul style="list-style-type: none"> ● Authorization Option 1: Use of the WSS security header targeted to the “ebms” actor, as specified in section 7.10 of ebMS V3, with the wsse:UsernameToken profile. This header may either come in addition to the regular wsse security header (XMLDsig for authentication), or may be the sole wsse header, if a transport-level secure protocol such as SSL or TLS is used. An example of message is given in Appendix ... ● Authorization Option 2: Use of a regular wsse security header (XMLDsig for authentication, use of X509), and no additional wsse security header targeted to “ebms”, In that case, the MSH must be able to use the credential present in this security header for Pull authorization, i.e. to associate these with a specific MPC. <p>NOTE on XMLDsig: XMLDsig allows arbitrary XSLT Transformations when constructing the plaintext over which a signature or reference is created. Conforming applications that allow use of XSLT transformations when verifying either signatures or references are encouraged to maintain lists of “safe” transformations for a given partner, service, action and role combination. Static analysis of XSLT expressions with a human user audit is encouraged for trusting a given expression as “safe” .</p>
<p>Error generation and reporting</p>	<ul style="list-style-type: none"> ● Capability of the Receiving MSH to report errors from message processing, either as ebMS error messages or as Faults to the Sending MSH. The following modes of reporting to Sending MSH are supported: (a) sending error as a separate request (ErrorHandling.Report.ReceiverErrorsTo=<URL of Sending MSH>), (b) sending error on the back channel of underlying protocol (ErrorHandling.Report.AsResponse="true"). ● Capability to report to a third-party address (ErrorHandling.Report.ReceiverErrorsTo=<other address>). ● Capability of Sending MSH to report generated errors as notifications to

	<p>the message producer (support for Report.ProcessErrorNotifyProducer="true")(e.g. delivery failure).</p> <ul style="list-style-type: none"> ● Generated errors: All specified errors to be generated when applicable, except for EBMS:0010: On Receiving MSH, no requirement to generate error EBMS:0010 for discrepancies between message header and the following P-Mode features: P-Mode.reliability and P-Mode.security, but requirement to generate such error for other discrepancies
Message Partition Channels	Support for additional message channels beside the default, so that selective pulling by a partner MSH is possible.
Message packaging	<ul style="list-style-type: none"> ● Support for attachments required. ● Support for MessageProperties required. ● Support for processing messages that contain both a signal message unit (eb:SignalMessage) and a user message unit (eb:UserMessage).
Interoperability Parameters	<p>Transport: HTTP 1.1</p> <p>SOAP version: 1.2</p> <p>Reliability Specification: none.</p> <p>Security Specification: WSS 1.1. When using the One-way / Pull MEP, the response message must use by default the same WSS version as the request message. Otherwise, the version to be applied to a message is specified in the P-Mode.security</p>

227

2.1.2 WS-I Conformance Profiles

229 The Web-Services Interoperability consortium has defined guidelines for interoperability of
230 SOAP messaging implementations. In order to ensure maximal interoperability across
231 different SOAP stacks, MIME and HTTP implementations, this conformance profile requires
232 compliance with the following WS-I profiles:

- 233 ● Basic Security Profile (BSP) 1.1 [WSIBSP11]
- 234 ● Attachment Profile (AP) 1.0, [WSIAP10] with regard to the use of MIME and SwA.

235 Notes:

- 236 ● Compliance with AP1.0 would normally require compliance with BP1.1, which in turn requires the
237 absence of SOAP Envelope in the HTTP response of a One-Way (R2714). However, recent BP
238 versions such as BP1.2 [WSIBP12] override this requirement. Consequently, the AS4 ebHandler
239 conformance profile does not require conformance to these deprecated requirements inherited
240 from BP1.1 (R2714, R1143) regarding the use of HTTP.
- 241 ● The above WS-I profiles must be complied with within the scope of features exhibited by the AS4
242 ebHandler conformance profile. For example, since only SOAP 1.2 is required by AS4 ebHandler,

243 the requirements from BSP 1.1 that depend on SOAP 1.1 would not apply. Similarly, none of the
244 requirements for DESCRIPTION (WSDL) or REGDATA (UDDI) apply here, as these are not used.

245 This conformance profile may be refined in a future version to require conformance to the following WS-I
246 profiles, once approved and published by WS-I:

- 247 ● Basic Profile 2.0 (BP2.0)

248 **2.1.3 Processing Mode Parameters**

249 Summary of P-Mode parameters that must be supported by an implementation conforming to this profile.
250 Fore each parameter, either:

- 251 – full support is required: an implementation is supposed to support the possible options for this
252 parameter.
- 253 – Support for a subset of values is required.
- 254 – No support is required: an implementation is not required to support the features controlled by this
255 parameter, and therefore not required to understand this parameter.

256 **0. General PMode parameters:**

- 257 ● **(PMode.ID:** support not required)
- 258 ● **(PMode.Agreement:** support not required)
- 259 ● **PMode.MEP:** support for: [http://www.oasis-open.org/committees/ebxml-msg/one-](http://www.oasis-open.org/committees/ebxml-msg/one-way)
260 [way](http://www.oasis-open.org/committees/ebxml-msg/one-way)
- 261 ● **PMode.MEPbinding:** support for: [http://www.oasis-open.org/committees/ebxml-](http://www.oasis-open.org/committees/ebxml-msg/{push,pull})
262 [msg/{ push, pull }](http://www.oasis-open.org/committees/ebxml-msg/{push,pull})
- 263 ● **PMode.Initiator.Party:** support required.
- 264 ● **PMode.Initiator.Role:** support required.
- 265 ● **PMode.Initiator.Authorization.username** and
266 **PMode.Initiator.Authorization.password:** support for: wsse:UsernameToken.
- 267 ● **PMode.Responder.Party:** support required.
- 268 ● **PMode.Responder.Role:** support required.

269 . **PMode.Responder.Autho** 270 **rization.username** and 271 **PMode.Responder.Autho** 272 **rization.password:**

support for: wsse:UsernameToken.

273

274

275 1. PMode[1].Protocol:

- 276 ● **PMode[1].Protocol.Address:** support for "http" scheme.
- 277 ● **PMode[1].Protocol.SOAPVersion:** support for SOAP 1.2.

278 2. PMode[1].BusinessInfo:

- 279 ● **PMode[1].BusinessInfo.Service:** support required.
- 280 ● **PMode[1].BusinessInfo.Action:** support required.
- 281 ● **PMode[1].BusinessInfo.Properties[]:** support required.
- 282 ● **(PMode[1].BusinessInfo.PayloadProfile[]: not required)**
- 283 ● **(PMode[1].BusinessInfo.PayloadProfile.maxSize: not required)**

284 3. PMode[1].ErrorHandling:

- 285 ● **(PMode[1].ErrorHandling.Report.SenderErrorsTo: support not required)**
- 286 ● **PMode[1].ErrorHandling.Report.ReceiverErrorsTo:** support required (for address of
287 the MSH sending the message in error or for third-party).
- 288 ● **PMode[1].ErrorHandling.Report.AsResponse:** support required (true/false).
- 289 ● **(PMode[1].ErrorHandling.Report.ProcessErrorNotifyConsumer support not required)**
- 290 ● **PMode[1].ErrorHandling.Report.ProcessErrorNotifyProducer:** support required (true/
291 false)
- 292 ● **PMode[1].ErrorHandling.Report.DeliveryFailuresNotifyProducer:** support required
293 (true/false)

294 4. PMode[1].Reliability:

295 none.

296 5. PMode[1].Security:

- 297 ● **PMode[1].Security.WSSVersion:** support required for: {1.1 }
- 298 ● **PMode[1].Security.X509.Sign:** support required.
- 299 ● **PMode[1].Security.X509.Signature.Certificate:** support required.
- 300 ● **PMode[1].Security.X509.Signature.HashFunction:** support required.
- 301 ● **PMode[1].Security.X509.Signature.Algorithm:** support required.
- 302 ● **PMode[1].Security.X509.Encryption.Encrypt:** support required.

- 303 ● **PMode[1].Security.X509.Encryption.Certificate**: support required.
- 304 ● **PMode[1].Security.X509.Encryption.Algorithm**: support required.
- 305 ● **(PMode[1].Security.X509.Encryption.MinimumStrength**: support not required)
- 306 ● **PMode[1].Security.UsernameToken.username**: support required.
- 307 ● **PMode[1].Security.UsernameToken.password**: support required.
- 308 ● **PMode[1].Security.UsernameToken.Digest**: support required (true/false)
- 309 ● **(PMode[1].Security.UsernameToken.Nonce**: not required)
- 310 ● **PMode[1].Security.UsernameToken.Created**: support required.
- 311 ● **PMode[1].Security.PModeAuthorize**: support required (true/false)
- 312 ● **PMode[1].Security.SendReceipt**: support required (true/false)
- 313 ● **Pmode[1].Security.SendReceipt.ReplyPattern**: support required (both “response” and “callback”))

314 2.2 The AS4 Light Client Conformance Profile

315 The AS4 light Client is identified by the URI:

316 <http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200809/as4lightclient>

317 2.2.1 Feature Set

Conformance Profile: AS4-LightClient	Profile summary: <“Sending+Receiving” / “ lighthandler-rm” / Level 1 / HTTP1.1 + SOAP 1.1>
Functional Aspects	Profile Feature Set
ebMS MEP	<p>Support for One-way / Push (as initiator), and One-way / Pull (as initiator).</p> <p>Regardless of which MEP is used, the sending of an eb:Receipt message must be supported:</p> <ul style="list-style-type: none"> ● For the One-way / Push, the “response” reply pattern must be supported. ● For the One-way / Pull, the “callback” pattern is the only viable option, and the User message sender MUST be ready to accept an eb:Receipt either piggybacked on a PullRequest, or sent separately. The User message receiver MUST be able to send an eb:Receipt separately from the PullRequest. <p>In all MEPs, the User message receiver MUST be able to send an eb:Receipt as a separate message (i.e. not piggybacked on a PullRequest message or on another User message). An MSH conforming to this profile is therefore NOT required to bundle an eb:Receipt with any other ebMS header or message body. However, when receiving a Receipt, an MSH conforming to this profile MUST be able to process an eb:Receipt bundled with an other ebMS message header or body.</p> <p>Use of the ebbpsig:NonRepudiationInformation element (as defined in [ebBP-SIG])</p>

	MUST be supported as content for the eb:Receipt message, i.e. when conforming to this profile a Sending MSH must be able to create a Receipt with such a content, and a Receiving MSH must be able to process it. .
Reliability	<p>Reception Awareness, defined as the ability for a Sending light Client to notify its application (message Producer) of lack of reception of an eb:Receipt related to a sent message, MUST be supported. This implies support for:</p> <p>(a) correlating eb:Receipts with previously sent User messages, based on the ebMS message ID,</p> <p>(b) detection of a missing eb:Receipt for a sent message,</p> <p>(c) ability to report an error to the message Producer in case no eb:Receipt has been received for a sent message.</p> <p>The semantics of sending back an eb:Receipt message is: a well-formed ebMS user message has been received and the MSH is taking responsibility for its processing, (no additional application-level delivery semantics, and no payload validation semantics).</p> <p>No support for a WS reliable messaging specification is required although that is an option.</p>
Security	<p>Both authorization options for message pulling (authorizing PullRequest for a particular MPC) described in the ebHandler conformance profile MUST be supported:</p> <ol style="list-style-type: none"> 1. Support for username / password token: minimal support for wss:UsernameToken profile in the Pull signal - for authorizing a particular MPC. Support for adding a WSS security header targeted to the “ebms” actor, as specified in section 7.10 of ebMS V3, with the wsse:UsernameToken profile. The use of transport-level secure protocol such as SSL or TLS is recommended. 2. Support for a regular wsse security header (XMLDsig for authentication, use of X509), and no additional wsse security header targeted to “ebms”,
Error generation and reporting	Support for error notification to the local message producer (e.g. reported failure to deliver pushed messages). Ability to report message processing errors for pulled messages to the remote party via Error messages (such an error may be bundled with another pushed message or a Pull signal.).
Message Partition Channels	Sending on default message partition flow channel (no support for additional message partitions required.)
Message packaging	No support for attachments required – i.e. the payload will use the SOAP body-, no support for MessageProperties required
Interoperability Parameters	Transport: HTTP 1.1

SOAP version: 1.2 Reliability Specification: none. Security Specification: WSS 1.1.
--

318

319 **2.2.2 WS-I Conformance Requirements**

320 This conformance profile will require compliance with the following WS-I profile, once formally approved
321 by WS-I (currently in Board approval draft status):

- 322 • Basic Profile 2.0 [WSIBP20]

323 Note: the above WS-I profile must be complied with within the scope of features exhibited by the AS4
324 Light Client ebMS conformance profile.

325 **2.3 Conformance Profiles Compatibility**

326 The AS4 profile is compatible with the following ebMS V3 conformance profiles, defined in [ebMS3-CP]:

- 327 • Gateway RM V2/3
- 328 • Gateway RM V3
- 329 • Gateway RX V2/3
- 330 • Gateway RX V3

331 AS4 may be deployed on any MSH that conforms to one of the above conformance profiles.

3 AS4 Additional Features

332

333 This section defines features that were not specified in ebMS V3 and therefore out of scope for the
334 previous conformance profiles (ebHandler CP and Light Client CP). These features should be considered
335 as additional capabilities that are either required by or made optional to AS4 implementations.

336 The profiling tables below can be used for adding user-defined profiling requirements to be adopted within
337 a business community. Whenever the feature – or its profiling – is mandatory, the right-side column
338 (Profile Requirement) will specify it.

3.1 Compression

339

340 Application payloads that are built in conformance with the SOAP Messages with Attachments
341 [SOAPATTACH] specification may be compressed. Support for compression MUST then be provided by
342 AS4 implementations. Compression of the SOAP envelope and/or payload containers within the SOAP
343 Body of an ebMS Message is not supported.

344

345 To compress the payload(s) of a message build in conformance with the SOAP Messages with
346 Attachments [SOAPATTACH] specification the GZIP [GZIP] compression algorithm MUST be used.
347 Compression MUST be applied before payloads are attached to the SOAP Message.

348 The eb:PartInfo element in the message header that relates to the compressed message part, MUST
349 have an eb:Property element with @name = "Compressed":

350 `<eb:Property name="Compressed"/>`

351 The content type of the compressed attachment MUST be "application/gzip".

352 These are indicators to the receiver that compression has been used on this part.

353

354 When compression, signature and encryption are required of the MSH, the message MUST be
355 compressed prior to being signed and/or encrypted.

356 Packaging requirements:

- 357 ● A eb:PartInfo/eb:PartProperties/eb:Property/@name="MimeType" value is RECOMMENDED to
358 identify the mimetype of the payload before compression was applied.
- 359 ● A eb:PartInfo/eb:PartProperties/eb:Property/@name="CharacterSet" value is RECOMMENDED
360 to identify the character set of the payload before compression was applied.

362 Example:

```
363     <eb:PartInfo href="cid=foo@example.com " <mailto:cid=foo@example.com >>  
364         <eb:PartProperties>  
365             <eb:Property name="MimeType">application/xml</eb:Property>  
366             <eb:Property name="CharacterSet">utf-8</eb:Property>  
367             <eb:Property name="Compressed"/>  
368         </eb:PartProperties>
```

```
369 </eb:PartInfo>
```

370

371 An additional PMode parameter is defined:

372 ● **PMode[1].PayloadService.Compression:** {true / false}

373 **True:** some attached payload(s) may be compressed over this MEP segment.

374 **False (default):** no compression is used over this MEP segment.

375 NOTE: the requirement for Compression feature applies to both conformance profiles (AS4 ebHandler
376 and AS4 light Client)

377 **3.2 Reception Awareness features and Duplicate Detection**

378 These capabilities are making use of the eb:Receipt as the sole type of acknowledgement that must be
379 supported. Duplicate detection only relies on the eb:MessageInfo/eb:MessageId.

380

Features	Profile requirements
Reception awareness error handling (mandatory support)	Ability for the MSH expecting an eb:Receipt to generate an error in case no eb:Receipt has been received for a sent message. It is RECOMMENDED that this error be a new error: Code = EBMS:0301, Short Description = MissingReceipt, Severity = Failure, Category = Communication. Ability for the MSH expecting an eb:Receipt to report a MissingReceipt error to the message Producer
Message Retry (Optional support)	Ability for a User message sender that has not received an expected eb:Receipt to resend the User message. If doing so, the eb:MessageInfo/eb:MessageId element of the resend message and of the original User message MUST be same. However, the eb:MessageInfo/eb:Timestamp MUST be different.
Duplicate Detection (mandatory support)	Ability for the MSH receiving a User message to detect and/or eliminate duplicates based on eb:MessageInfo/eb:MessageId. If duplicates are just detected (not eliminated) then at the very least it is required that the Receiving MSH notifies its application (message Consumer) of the duplicates. For examples, these could be logged. Related quantitative parameters (time window for the detection, or maximum message log size) are left for implementors to decide.
Others	

381

382 NOTE: these requirements apply to both conformance profiles (AS4 ebHandler and AS4 light Client)

383 Four additional PMode parameters are defined:

384 ● **PMode[1].ReceptionAwareness:** (true / false)

385 ● **PMode[1].ReceptionAwareness.Replay:** (true / false)

- 386 • **PMode[1].ReceptionAwareness.Replay.Parameters:** (contains a composite
387 string specifying: (a) maximum number of retries or some timeout, (b) frequency of
388 retries or some retry rule). The string contains a sequence of parameters of the
389 form: name=value, separated by either comas or `;`. Example:
390 "maxretries=10,period=3000", in case the retry period is 3000 ms.
- 391 • **PMode[1].ReceptionAwareness.DuplicateDetection:** (true / false)
- 392 • **PMode[1].ReceptionAwareness.DetectDuplicates.Parameters:** (contains a
393 composite string specifying either (a) maximum size of message log over which
394 duplicate detection is supported, (b) maximum time window over which duplicate
395 detection is supported). The string contains a sequence of parameters of the form:
396 name=value, separated by either comas or `;`. Example:
397 "maxsize=10Mb,checkwindow=7D", in case the duplicate check window is
398 guaranteed of 7 days minimum.

399 3.3 Alternative Pull Authorization

400 In addition to the two authorization options described in the AS4 Conformance Profile (section 2.1.1), an
401 implementation MAY optionally decide to support a third authorization technique, based on transient
402 security (SSL or TLS).

403 SSL/TLS can provide certificate-based client authentication. Once the identity of the Pulling client is
404 established, the Security module may pass this identity to the ebms module, which can then associate it
405 with the right authorization entry, e.g. the set of MPCs this client is allowed to pull from.

406 This third authorization option – compatible with AS4 although not specified in ebMS Core V3 - relies on
407 the ability of the ebms module to obtain the client credentials. This capability represents an (optional) new
408 feature.

409 Pull request authentication service, there may be no need for any WS-Security headers in the Pull
410 request at all.

411 3.4 Semantics of Receipt in AS4

412 The notion of Receipt in ebMS V3 is not associated with any particular semantics. However, when
413 combined with security (signing), it is intended to support Non Repudiation of Receipt (NRR).

414 In AS4, the eb:Receipt message serves both as a business receipt (its content is profiled in Section 2),
415 and as a reception indicator, being a key element of the reception awareness feature. No particular
416 delivery semantics can be assumed however: the sending of an eb:Receipt only means the following,
417 from a message processing viewpoint:

- 418 (a) The related ebMS user message has been received and is well-formed.
- 419 (b) The Receiving MSH is taking responsibility for processing this user message, However, no
420 guarantee can be made that this user message will be ultimately delivered to its Consumer
421 application (this responsibility lays however now on the Receiver side).

422 The meaning of NOT getting an expected Receipt, for the sender of a related user message, is one of the
423 following:

- 424 1. The user message was lost and never received by the Receiving MSH.
- 425 2. The user message was received, but the eb:Receipt was never generated, e.g. due to a faulty
426 configuration (PMode).

427 3. The user message was received, the eb:Receipt was sent back but was lost on the way.
428 See section 4.1.8 for AS4 usage rules about Receipts.

4 AS4 Usage Profile of ebMS 3.0

429

430 While the previous sections were describing messaging handler requirements for AS4 compliance (i.e.
431 mostly intended for product developers), this section is about configuration and usage options.

432 This section is split in two major subsections:

- 433 • **The AS4 Usage Rules:** this section is stating the rules for using messaging features in an AS4-
434 compliant way.
- 435 • **The AS4 Usage Agreements:** this section is reminding the users of what are the main options
436 left open by the AS4 profiles, that they have to agree on in order to interoperate.

437 Both sections are about features that are under responsibility of the user when using an AS4-compliant
438 product.

4.1 AS4 Usage Rules

439

4.1.1 Core Components / Modules to be Used

440

441 This table summarizes which functional modules in the ebMS V3 specification are required to be
442 implemented by the AS4 profile, and whether or not these modules are actually profiled for AS4.

443

ebMS V3 Component Name and Reference	Profiling status
Messaging Model (section 2)	Usage: Required Profiled: Yes Notes: This Profile only supports the One-Way/Push MEP (Sync and Async) and the One-Way/Pull MEP
Message Pulling and Partitioning (section 3)	Usage: Required Profiled: No Notes: The profiling of QoS associated with Pulling is defined in another module. The MPC and pulling feature itself are not profiled.
Processing Modes (section 4)	Usage: Required Profiled: Yes
Message Packaging (section 5)	Usage: Required Profiled: Yes Notes: Default business process defines acceptable defaults for Role, Service, and Action. Bundling options for message headers (piggybacking) are restricted.
Error Handling (section 6)	Usage: Required Profiled: Yes

	Notes: Addition of some new Error Codes regarding Reception Awareness
Security Module (section 7)	Usage: Required Profiled: Yes Notes: Guidance regarding which part(s) of the message may be encrypted and included in the signature. Further guidance on how to secure the PullRequest Signal and the preventing of replay attacks..
Reliable Messaging Module (section 8)	Usage: Not Required Profiled: No Notes: This profile does not require the use of the Reliable Messaging Module using either WS-ReliableMessaging or WS-Reliability. It relies instead on eb:Receipts for supporting a light reliability feature called "Reception Awareness".

444

445 4.1.2 Bundling rules

Scope of the Profile Feature	Defines bundling (or "piggybacking") rules of ebMS MEPs, including Receipts.
Specification Feature	
Specification Reference	ebMS v3.0, Section 2.2
Profiling Rule (a)	This profile supports the One-Way/Push MEP. Both synchronous and asynchronous transport channels for the response (eb:Receipt) are allowed by this profile. and Callback) When sending a Receipt for this MEP, a Receiving MSH conforming to this profile SHOULD NOT bundle the Receipt with any other ebMS message header or body.
Profiling Rule (b)	This profile supports the One-Way/Pull MEP. When sending a Receipt for this MEP, a Receiving MSH conforming to this profile SHOULD NOT bundle the Receipt with any other ebMS message header (including a PullRequest signal) or message body,
Test References	

446

447 4.1.3 Security Element

Specification Feature	Use of WSS features
Specification Reference	ebMS v3.0, Section 7.1
Profiling Rule (a)	When using digital signatures or encryption, an AS4 MSH implementation is REQUIRED to use the Web Services Security X.509 Certificate Token Profile

	[WSS11-X509].
Alignment	[WSS11] Anthony Nadalin, et al, eds., <i>Web Services Security: SOAP Message Security 1.1</i> , 2005. < http://docs.oasis-open.org/wss/v1.1/ > [WSS11-X509] A. Nadalin, et al, eds., <i>Web Services Security X.509 Certificate Token Profile 1.1</i> , 2006.
Test References	
Notes	

448

449 4.1.4 Signing Messages

Specification Feature	Digital Signatures for SOAP message headers and body
Specification Reference	ebMS v3.0, Section 7.2
Profiling Rule (a)	AS4 MSH implementations are REQUIRED to use Detached Signatures as defined by the XML Signature Specification [XMLDSIG] when signing AS4 user or signal messages. Enveloped Signatures as defined by [XMLDSIG] are not supported by or authorized in this profile.
Profiling Rule (b)	AS4 MSH implementations are REQUIRED to include the entire eb:Messaging SOAP header block and the SOAP Body in the signature.
Alignment	
Test References	

450

451 4.1.5 Signing SOAP with Attachments Messages

Specification Feature	Signing attachments
Specification Reference	ebMS v3.0, Section 7.3
Profiling Rule (a)	AS4 MSH implementations are REQUIRED to use the Attachment-Content-Only transform when building application payloads using SOAP with Attachments [SOAPATTACH]. The Attachment-Complete transform is not supported by this profile.
Profiling Rule (b)	AS4 MSH implementations are REQUIRED to include the entire eb:Messaging header block and all MIME body parts of included payloads in the signature.
Alignment	
Test References	

452

453 **4.1.6 Encrypting Messages**

Specification Feature	
Specification Reference	ebMS v3.0, Section 7.4
Profiling Rule (a)	AS4 MSH implementations are SHALL NOT encrypt the eb:PartyInfo section of the eb:Messaging header. Other child elements of the eb:Messaging header MAY be encrypted or left unencrypted as defined by trading partner agreements or collaboration profiles.
Profiling Rule (b)	If an AS4 user message is to be encrypted and the user-specified payload data is to be packaged in the SOAP Body, AS4 MSH implementations are REQUIRED to encrypt the SOAP Body.
Alignment	
Test References	

454

455 **4.1.7 Encrypting SOAP with Attachments Messages**

Specification Feature	Encryption of message attachments.
Specification Reference	ebMS v3.0, Section 7.5
Profiling Rule (a)	If an AS4 user message is to be encrypted and the user-specified payload data is to be packaged in conformance with the [SOAPATTACH] specification, AS4 MSH implementations are REQUIRED to encrypt the MIME Body parts of included payloads.
Alignment	
Test References	
Notes	

456

457 **4.1.8 Generating Receipts**

Specification Feature	eb:Receipt signal messages
Specification Reference	ebMS v3.0, Section 7.12..2 (Persistent Signed Receipt) ebMS v3.0, Section 5.2.3.3, eb:Messaging/eb:SignalMessage/eb:Receipt
Profiling Rule (a): Receipts for reception awareness	When a Receipt is to be used solely as a reception indicator (for reception awareness), the sender of the Receipt MAY decide to not insert the ebbpsig:NonRepudiationInformation child element. No other element than ebbpsig:NonRepudiationInformation is allowed as child of eb:Receipt. If this element is not used, then eb:Receipt MUST be empty.

<p>Profiling Rule (b): Receipts for Non Repudiation of Receipt (NRR)</p>	<p>Non Repudiation of Receipt (NRR) requires eb:Receipt signals to be signed, and to contain digests of the original message parts for which NRR is required.</p> <p>When signed receipts as requested in AS4 that make use of default conventions, the Sending message handler (i.e. sending messages for which signed receipts are expected) MUST identify message parts using Content-Id values in the MIME headers, and MUST sign the SOAP body and all attachments using the http://docs.oasis-open.org/wss/oasis-wss-SwAProfile-1.1#Attachment-Content-Signature-Transform within the SignedInfo References list.</p> <p>As a reminder, the Sending message handler MUST not encrypt any signed content before signing (Section 7.6 in ebMS V3). If using compression in an attachment, the Sending message handler MUST sign the data after compression (see section 3.1). Variations from default conventions can be agreed to bilaterally, but conforming implementations are only required to provide receipts using the default conventions described in this section.</p>
<p>Profiling Rule (c)</p>	<p>An AS4 message that has been digitally signed MUST be acknowledged with a message containing an eb:Receipt signal that itself is digitally signed. The eb:Receipt MUST contain the information necessary to provide nonrepudiation of receipt of the original message, as described in profiling rule (b).</p> <p>NOTE: the digest(s) to be inserted in the ebbp:MessagePartNRInformation element(s) or the Receipt, related to the original message parts for which a receipt is required, may be obtained from the signature information of the original message (ds:SignedInfo element), as only those parts that have been signed are subject to NRR. This means a Receiving message handler may not have to compute digests outside its security module.</p>
<p>Alignment</p>	
<p>Test References</p>	

458

459 **4.1.9 MIME Header and Filename information**

<p>Specification Feature</p>	<p>Optional presence of a “filename” value in “Content-disposition” header on MIME body parts:</p>
<p>Specification Reference</p>	<p>MIME specification (IETF) [RFC2045]</p>
<p>Profiling Rule (a)</p>	<p>The “Content-disposition” header on MIME body parts, when used, MUST carry filename information. Implementations MUST support the setting (when sending) and reading (when receiving) of “Content-disposition” header,</p>
<p>Profiling Rule (b)</p>	<p>When end users wish to supply filenames and have that information confidential, they SHOULD use TLS/SSL based encryption.</p>

Alignment	
Test References	

460

461 4.2 AS4 Usage Agreements

462 This section defines the operational aspect of the profile: configuration aspects that users have to agree
463 on, mode of operation, etc.

464 All the user agreement options related to a specific type of message exchange instance (e.g. related to a
465 specific type of business transaction) are controlled by the Processing Mode (PMode) parameters defined
466 in the ebMS Core V3 specification. This section only lists the parameters that are particularly relevant to
467 AS4.

468 4.2.1 Controlling Content and Sending of Receipts

Scope of the Profile Feature	Choose among options in sending Receipts.
Specification Feature	
Specification Reference	ebMS v3.0, Section 2.2
Usage Profiling (a)	<p>Must eb:Receipts be used for non-repudiation of receipt (NRR), or just act as reception awareness feature? For non-repudiation, the eb:Receipt element must contain a well-formed ebbp:NonRepudiationInformation element. This is indicated by the new PMode parameter:</p> <p>Pmode[1].Security.SendReceipt.NonRepudiation : value = 'true' (to be used for non-repudiation of receipt), value = 'false' (to be used simply for reception awareness).</p>
Usage Profiling (b)	<p>Receipts for One-Way/Push MEP:</p> <p>Both synchronous and asynchronous transport channels for the response (eb:Receipt) are allowed by this profile. and Callback)</p> <p>This option is controlled by PMode parameter: ,</p> <ul style="list-style-type: none"> • Pmode[1].Security.SendReceipt.ReplyPattern: value = 'Response' (sending receipts on the HTTP response or back-channel). • Pmode[1].Security.SendReceipt.ReplyPattern: value = 'Callback' (sending receipts using a separate connection.)
Usage Profiling (c)	<p>Receipts for the One-Way/Pull MEP: ,</p> <p>Pmode[1].Security.SendReceipt.ReplyPattern: value = 'Callback' (sending receipts using a separate connection, and not bundled with PullRequest.)</p>
Test References	
Notes	

4.2.2 Error Handling Options

Specification Feature	Error Handling options
Specification Reference	
Usage Profiling (a): Receiver-side error	<p>All Receiver-side error reporting options are left for users to agree on, including the choice to not report at all:</p> <p>PMode[1].ErrorHandling.Report.ReceiverErrorsTo: recommendation is to report such Receiver-side errors to the Sender. Otherwise: reporting URI that is different from sender URI?</p> <p>PMode[1].ErrorHandling.Report.AsResponse : recommendation for one-way messages (except when pulling is in use) is value="true": report errors on the back-channel of erroneous messages. Errors for pulled messages can only be reported on a separate connection.</p> <p>PMode[1].ErrorHandling.Report.ProcessErrorNotifyConsumer : (true / false) for controlling escalating the error to the application layer.</p>
Usage Profiling (b): Reception Awareness errors	<p>what is the behavior of a Sender that failed to receive a Receipt (even after message retries)?</p> <ul style="list-style-type: none"> (a) No error reporting (in case no reception awareness required). (b) Error reporting from the Sender MSH to its message Producer (application-level notification). Error type: EBMS:0301: MissingReceipt (see Section 3.2 in Additional Features.) <p>PMode parameter:</p> <p>PMode[1].ErrorHandling.Report.MissingReceiptNotifyProducer: (new) true if (b), false if (a)</p> <p>PMode[1].ErrorHandling.Report.SenderErrorsTo: (in case an error should be sent about such failures – e.g. to a third party if not to the original Receiver of the non-acknowledged user message.)</p>
Usage Profiling (c): Error about Receipts	<p>How are errors about Receipt messages reported?</p> <p>PMode[1].ErrorHandling.Report.SenderErrorsTo: reporting URI that is different from Receiver URI?</p> <p>PMode[1].ErrorHandling.Report.AsResponse : (true / false) NOTE: In case of Receipts already sent over the HTTP back-channel, can only be "false" meaning such errors will be sent over separate connection.</p> <p>PMode[1].ErrorHandling.Report.ProcessErrorNotifyProducer : (true / false) for controlling escalating the error to the application layer.</p>
Alignment	
Test References	

Notes	
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470

471 4.2.3 Securing the PullRequest

Specification Feature	Pulling authorization options
Specification Reference	ebMS v3.0, Section 7.11.x AS4 Conformance Profile authorization options (section 2.1.1)
Usage Profiling (a)	<p>An AS4 Sending MSH may authenticate a Receiving MSH that sends a PullRequest in two ways:</p> <ul style="list-style-type: none"> (a) (Option 1 in 2.1.1) Use of the WSS security header targeted to the “ebms” actor, as specified in section 7.10 of ebMS V3, with the wsse:UsernameToken profile. (b) (Option 2 in 2.1.1) by using [WSS11-X509] coupled with the Message Partition Channel that a Pull signal is accessing for pulling messages. <p>PMode parameters:</p> <p>PMode.Initiator.Authorization: must be set to true (the initiator of a Pull request must be authorized).</p> <p>PMode.Initiator.Authorization.username: (for option (a))</p> <p>PMode.Initiator.Authorization.password: (for option (a))</p> <p>PMode[1].Security.PModeAuthorize: must be set to true in the PMode leg describing the transfer of a pulled message.</p> <p>PMode[1].Security.X509.sign: (for option (b))</p> <p>PMode[1].Security.X509.SignatureCertificate: (for option (b))</p> <p>NOTE: in (b), PMode parameters about X509 are controlling both the authentication of PullRequest signals and authentication of other User Messages.</p>
Usage Profiling (b)	<p>PullRequest signals: are they sent using the HTTPS transport protocol with optional Client-side Authentication?</p> <p>PMode[1].Protocol.Address: The URL scheme will indicate whether HTTPS is used or not.</p>
Alignment	
Test References	
Notes	

472

4.2.4 Reception Awareness Parameters

Specification Feature	Message Replay and Duplicate Detection options
Specification Reference	N/A AS4 Profile: additional features (section 3)
Usage Profiling (a): Sender options	In case Reception Awareness is used: what is the behavior of a Sender that did not receive a Receipt? (c) No message replay. (d) Resend the message. Replay parameters: to agree on: (1) retry number, (2) retry frequency. PMode parameters (additional to those defined in ebMS Core V3): PMode[1].ReceptionAwareness: (true / false) PMode[1].ReceptionAwareness.Replay: (true / false) PMode[1].ReceptionAwareness.Replay.Parameters: (contains a composite string specifying: (a) maximum number of retries or some timeout, (b) frequency of retries or some retry rule.
Usage Profiling (b): Receiver options	Is duplicate detection enabled? (a) No. duplicates are not detected. (b) In addition to (a), a receiver detects and eliminates duplicates based on eb:MessageInfo/eb:MessageId. PMode parameters (additional to those defined in ebMS Core V3): PMode[1].ReceptionAwareness.DuplicateDetection: (true / false) PMode[1].ReceptionAwareness.DuplicateDetection.Parameters
Others	
Notes	

4.2.5 Default Values of Some PMode Parameters

Specification Feature	Default values and authorized values for main PMode parameters.
Specification Reference	ebMS 3.0, Appendix D.3
Usage Profiling (a)	PMode.MEP parameter will be constrained to the following value:

	http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/core/200704/oneWay
Usage Profiling (b)	PMode.MEPbinding parameter will be constrained to the following values: http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/core/200704/push http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/core/200704/pull
Usage Profiling (c)	PMode.Initiator.Role parameter will have the following default value: http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/core/200704/initiator
Usage Profiling (d)	PMode.Responder.Role parameter will have the following default value: http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/core/200704/responder
Usage Profiling (e)	PMode[1].BusinessInfo.Service parameter will have the following default value: http://docs.oasis-open.org/ebxml-msg/as4/200902/service <i>NOTE: this default is to be considered a PMode content default: absence of the PMode itself will cause the default value defined in the ebMS V3 specification (section 4.3) to apply. This value is usually enforced by the MSH implementation itself.</i>
Usage Profiling (f)	PMode[1].BusinessInfo.Action parameter will have the following default value: http://docs.oasis-open.org/ebxml-msg/as4/200902/action <i>NOTE: this default is to be considered a PMode content default: absence of the PMode itself will cause the default value defined in the ebMS V3 specification (section 4.3) to apply. This value is usually enforced by the MSH implementation itself</i>
Usage Profiling (g)	PMode[1].Reliability parameters are not supported by this profile
Alignment	
Test References	
Notes	

476

477 **4.2.6 HTTP Confidentiality and Security**

Specification Feature	HTTP Security Management and Options
Specification Reference	ebMS 3, Section 7, Appendix D.3.6.
Usage Profiling (a)	Is HTTP transport-layer encryption required? What protocol version(s)?
Usage Profiling (b)	What encryption algorithm(s) and minimum key lengths are required?

Usage Profiling (c)	What Certificate Authorities are acceptable for server certificate authentication?
Usage Profiling (d)	Are direct-trust (self-signed) server certificates allowed?
Usage Profiling (e)	Is client-side certificate-based authentication allowed or required?
Usage Profiling (f)	What client Certificate Authorities are acceptable?
Usage Profiling (g)	What certificate verification policies and procedures must be followed?
Alignment	
Test References	
Notes	

478

479 **4.2.7 Deployment and Processing requirements for CPAs**

Usage Profile Feature	CPA Access
Usage Profiling (a)	Is a specific registry for storing CPAs required? If so, provide details.
Usage Profiling (b)	Is there a set of predefined CPA templates that can be used to create given Parties' CPAs?
Usage Profiling (c)	Is there a particular format for file names of CPAs, in case that file name is different from CPAId value?
Others	

480

481 **4.2.8 Message Payload and Flow Profile**

Usage Profile Feature	Message Quantitative Aspects
Usage Profiling (a)	What are typical and maximum message payload sizes that must be handled? (maximum, average)
Usage Profiling (b)	What are typical communication bandwidth and processing capabilities of an MSH for these Services?
Usage Profiling (c)	Expected Volume of Message flow (throughput): maximum (peak), average?
Usage Profiling (d)	(Section 2.1.4) How many Payload Containers must be present?
Usage Profiling (e)	What is the structure and content of each container? [List MIME Content-Types and other process-specific requirements.] Are there restrictions on the MIME types allowed for attachments?
Usage Profiling (f)	How is each container distinguished from the others? [By a fixed ordering of containers, a fixed Manifest ordering, or specific Content-ID values.]. Any expected relative order of attachments of various types?

Usage Profiling (g)	Is there an agreement that message part filenames must be present in MIME Content-Disposition parameter ?
Others	

482

483 **4.2.9 Additional Deployment or Operational Requirements**

Usage Profile Feature	Operational or Deployment Conditions
Usage Profiling (a)	Operational or deployment aspects that are object to further requirements or recommendations.
Others	

484

485 Appendix A Sample Messages

486 Receipts Samples

487

488 When the NonRepudiationInformation element is used in a Receipt, it contains a sequence of Message-
489 PartNRInformation items for each message part for which evidence of non repudiation of receipt is being
490 provided. In the normal default usage, these message parts are those that have been signed in the origin-
491 al message. Each message part is described with information defined by an XML Digital Signature Refer-
492 ence information item. The following example illustrates the ebMS V3 Signal Message header.
493

```
494 <eb3:Messaging Soap12:mustUnderstand="true" xmlns:wsu="http://docs.oasis-  
495 open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd" wsu:Id="ValueOfMes-  
496 sagingHeader">  
497   <eb3:SignalMessage>  
498     <eb3:MessageInfo>  
499       <eb3:Timestamp>2009-11-06T08:00:09Z</eb3:Timestamp>  
500       <eb3:MessageId>orderreceipt@seller.com</eb3:MessageId>  
501       <eb3:RefToMessageId>orders123@buyer.com</eb3:RefToMessageId>  
502     </eb3:MessageInfo>  
503     <eb3:Receipt>  
504       <ebbp:NonRepudiationInformation>  
505         <ebbp:MessagePartNRInformation>  
506           <dsig:Reference URI="#5cb44655-5720-4cf4-a772-19cd480b0ad4">  
507             <dsig:Transforms>  
508               <dsig:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-  
509 c14n#" />  
510             </dsig:Transforms>  
511             <dsig:DigestMethod Al-  
512 gorithm="http://www.w3.org/2000/09/xmldsig#sha1" />  
513             <dsig:DigestValue>o9QDCwWSiGVQACEsJH5nqkVE2s0=</dsig:Di-  
514 gestValue>  
515           </dsig:Reference>  
516         </ebbp:MessagePartNRInformation>  
517         <ebbp:MessagePartNRInformation>  
518           <dsig:Reference URI="cid:a1d7fdf5-d67e-403a-ad92-3b9deff25d43@buyer.-  
519 com">  
520             <dsig:Transforms>  
521               <dsig:Transform Algorithm="http://docs.oasis-open.org/wss/oasis-  
522 wss-SwAProfile-1.1#Attachment-Content-Signature-Transform" />  
523             </dsig:Transforms>  
524             <dsig:DigestMethod Al-  
525 gorithm="http://www.w3.org/2000/09/xmldsig#sha1" />  
526             <dsig:DigestValue>iWNSv2W6SxbOYZliPzZDcXAxrWI=</dsig:Digest-
```

```

527 Value>
528         </dsig:Reference>
529         </ebbp:MessagePartNRInformation>
530         </ebbp:NonRepudiationInformation>
531     </eb3:Receipt>
532 </eb3:SignalMessage>
533 </eb3:Messaging>
534

```

535 For a signed receipt, a Web Services Security header signing over (at least) the signal header is required.
536 An example WS-Security header is as follows :

537

```

538 <wsse:Security s:mustUnderstand="1" xmlns:wsse="http://docs.oasis-
539 open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
540 xmlns:s="http://www.w3.org/2003/05/soap-envelope">
541     <wsu:Timestamp wsu:Id="_1" xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-
542 200401-wss-wssecurity-utility-1.0.xsd">
543         <wsu:Created>2009-11-06T08:00:10Z</wsu:Created>
544         <wsu:Expires>2009-11-06T08:50:00Z</wsu:Expires>
545     </wsu:Timestamp>
546     <wsse:BinarySecurityToken EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-
547 200401-wss-soap-message-security-1.0#Base64Binary"
548     ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-
549 1.0#X509v3" wsu:Id="_2"
550     xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-
551 1.0.xsd">MIIFADCCBGmgAwIBAgIEOmitted</wsse:BinarySecurityToken>
552     <ds:Signature Id="_3" xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
553         <ds:SignedInfo>
554             <ds:CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-
555 c14n#" />
556             <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
557             <ds:Reference URI="#ValueOfMessagingHeader">
558                 <ds:Transforms>
559                     <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
560                         <InclusiveNamespaces PrefixList="xsd"
561     xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
562                     </ds:Transform>
563                 </ds:Transforms>
564                 <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
565                 <ds:DigestValue>ZXnOmitted=</ds:DigestValue>
566             </ds:Reference>
567         </ds:SignedInfo>
568         <ds:SignatureValue>rxAP4of8JCpUkOmitted=</ds:SignatureValue>
569         <ds:KeyInfo>
570             <wsse:SecurityTokenReference xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/

```



```
571 oasis-200401-wss-wssecurity-secext-1.0.xsd">
572     <wsse:Reference URI="#_2" ValueType="http://docs.oasis-
573 open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3" />
574     </wsse:SecurityTokenReference>
575     </ds:KeyInfo>
576     </ds:Signature>
577 </wsse:Security>
578
```

579

Appendix B Acknowledgments

580 The following individuals were members of the committee during the development of this specification or
581 of a previous version of it:

582

583 Timothy Bennett, Drummond Group Inc. <timothy@drummondgroup.com>

584 Ian Jones, British Telecommunications plc <ian.c.jones@bt.com>

585 Jacques Durand, Fujitsu <jdurand@us.fujitsu.com>

586 Dale Moberg, Axway <dmoberg@axway.com>

587 Richard Emery, Axway <remery@us.axway.com>

588 John Voss, CISCO <jovoss@cisco.com>

589

590

Appendix C Revision History

591

Rev	Date	By Whom	What
	25 Jul 2008	J. Durand / Tim Bennett	Initial draft
Rev 02	28 Oct 2008	J. Durand	candidate CD draft
Rev 03	15 Feb 2009	J. Durand	Various edits, updates on Receipts, Message samples.

592