



# OASIS ebXML Messaging Services 3.0 Conformance Profiles

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### Abstract:

This document is a non-normative supplement to the ebMS-3 specification [ebMS3]. It defines some conformance profiles that support specific messaging styles or context of use. Future releases of this document are likely to be augmented with additional conformance profiles that

34 reflect the choices or needs of user communities. As a pre-condition to interoperability it is  
35 necessary for two implementations to agree on which common conformance profile, or which  
36 compatible conformance profiles, they will comply with. This document and its future releases is  
37 intended as a medium to publish conformance profiles that users and products will claim  
38 compliance with.

39 **Status:**

40 This document was last revised or approved by the ebXML Messaging Services Committee on  
41 the above date. The level of approval is also listed above. Check the "Latest Version" or "Latest  
42 Approved Version" location noted above for possible later revisions of this document.

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# 1 Introduction

132

133 The intent of the core ebMS-3 specification [ebMS3] is to provide a stable, normative framework for  
134 developers to work with, but is not sufficient for guaranteeing “out-of-the-box” interoperability between  
135 conforming implementations. The specification contains options and makes use of third-party  
136 specifications for which more than one alternative may exist (e.g. SOAP 1.1 vs SOAP 1.2).

137 Implementations of ebMS-3 must generally settle on some of these options in order to interoperate. The  
138 main specification intentionally does not prescribe which ones should be used by an implementation: it is  
139 the role of conformance profiles to do so. The notion of conformance profile used here has been defined  
140 in [QAFrameW].

141 Different user communities may elect to use different conformance profiles, reflecting different sets of  
142 options. Or, they may decide to use different versions of referred third-party specifications that are still in  
143 transition at the time the core specification is written (e.g. SOAP, and WSS). These elections – which may  
144 evolve over time and are more dependent on usage patterns than the core specification - are captured by  
145 conformance profiles. Because conformance profiles are dependent on the needs and choices of user  
146 communities, and because they may evolve faster than the underlying core specification (here ebMS-3) -  
147 i.e. some profiles will get deprecated, or new ones will appear - it is preferable that they are not defined in  
148 the core specification which is expected to remain a stable reference. Instead, conformance profiles are  
149 specified in a separate document that is not part of the standard and is easier to update.

150 Future releases of the present document are likely to be augmented with additional conformance profiles  
151 that reflect the choices or needs of user communities. This document intends to serve as a medium for  
152 publishing such conformance profiles. The document is non-normative in the sense that conformance  
153 profiles only refer to selected options and features that are already described in a normative way in the  
154 ebMS-3 specification.

155 Section 2 introduces a conformance profile – the “Gateway profile” that lists the features expected of a  
156 Message Service Handler (MSH) acting as e-Business or e-Government gateway to back-end systems.

157 Although wide-scale interoperability is best served by having all users adopt a single profile, at the time  
158 this document is written there are two transitional aspects that call for temporary definitions of some  
159 variants of the Gateway profile:

160 There is today a significant user base for ebMS V2. Given the disruptive leap from V2 to V3 (largely due to  
161 convergence with Web services protocols), there is a need for a multi-version profile supporting both  
162 (V2+V3). Conforming implementations will be able to interact both with partners using V2 and partners  
163 using V3.

164 There exists two largely equivalent specifications for reliable messaging: (a) WS-Reliability 1.1 and (b)  
165 WS-ReliableMessaging. (a) has been an OASIS standard for several years, has been tested and  
166 implemented by communities of users, notably in Asia. (b) is a more recent standard, still awaiting for WS-  
167 I interoperability guidance, but enjoying a broad support among US-based companies.

168 These transitional aspects are likely to vanish in the long run, but they call for supportive conformance  
169 profiles for the time being. As a result, the following variants of the gateway profile are defined here:

170 **Gateway RM V2/3:** supporting both ebMS V2 and V3, using WS-Reliability1.1 (produced by the WSRM  
171 OASIS TC) as reliable messaging specification.

172 **Gateway RM V3:** supporting ebMS V3 exactly in the same way as the previous RM V2/3 profile, but not  
173 requiring support for V2. Conformance to Gateway RM V2/3 implies conformance to Gateway RM V3.

174 **Gateway RX V2/3:** supporting both ebMS V2 and V3 with same features as Gateway RM V2/3, excepts  
175 that it uses WS-ReliableMessaging (produced by the WS-RX OASIS TC) as reliable messaging  
176 specification.

177 **Gateway RX V3:** supporting ebMS V3 exactly in the same way as the previous RX V2/3 profile, but not  
178 requiring support for V2. Conformance to Gateway RX V2/3 implies conformance to Gateway RX V3.

180 *NOTE: It is certainly possible for an implementation or product to support all these conformance profiles*  
 181 *simultaneously. As already mentioned, a product conforming to Gateway RM V2/3 or RX V2/3 will*  
 182 *automatically conform respectively to Gateway RM V3 or RX V3. In addition, an MSH implementation can*  
 183 *conform to both Gateway RM V2/3 and Gateway RX V2/3, by simply alternating at run-time between the*  
 184 *two reliability modules used for RM and RX. This run-time assignment may be implemented in various*  
 185 *ways, e.g. by using a different URL, or by associating a particular reliability processing with specific user*  
 186 *data (e.g. originating party ID). The P-Mode would be the place where to specify which reliability mode is*  
 187 *to be associated with a particular message content.*

188 Prior experience in diverse communication sectors (e.g. TVs, cell phones and messaging middleware)  
 189 has shown that adoption is best promoted by facilitating local or "regional" interoperability first – i.e. by  
 190 recognizing that different communities of users may have different requirements and therefore adoption  
 191 paths. These would be served by different conformance profiles. Then in a second phase, global  
 192 interoperability needs will push for some consolidation, meaning convergence toward a core conformance  
 193 profile elected by all.

194 In addition to defining an e-Business / e-Government Gateway profile and its transitional variants, the role  
 195 of this document is to provide some framework and notation for defining additional profiles, a couple of  
 196 which are provided as examples.

## 197 1.1 Terminology

198 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD  
 199 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as  
 200 described in IETF RFC 2119.

## 201 1.2 Normative References

- 202 **[ebMS2]** OASIS ebXML Message Service Specification Version 2.0, April 1, 2002.  
 203 [http://www.oasis-open.org/committees/ebxml-msg/documents/ebMS\\_v2\\_0.pdf](http://www.oasis-open.org/committees/ebxml-msg/documents/ebMS_v2_0.pdf)
- 204 **[ebMS3]** OASIS ebXML Messaging Services, Version 3.0: Part 1, Core Features, 2007.  
 205 [http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/core/ebms\\_core-3.0-spec.pdf](http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/core/ebms_core-3.0-spec.pdf)
- 206 **[RFC 2119]** S. Bradner. *Key words for use in RFCs to Indicate Requirement Levels*. IETF  
 207 RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>
- 208 **[UCC-MS2]** *UCC/EAN Basic Reliable ebXML Messaging v2.0 Interoperability Testing*, 2002.
- 209 **[WSIAP10]** *WS-I Attachment Profile V1.0*, Web-Services Interoperability Consortium, 2007.  
 210 <http://www.ws-i.org/deliverables/workinggroup.aspx?wg=basicprofile>
- 211 **[WSIBP12]** *WS-I Basic Profile V1.2 (draft)*, Web-Services Interoperability Consortium, 2007.  
 212 <http://www.ws-i.org/deliverables/workinggroup.aspx?wg=basicprofile>
- 213 **[WSIBSP11]** Abbie Barbir, et al, eds, *Basic Security Profile Version 1.1*, Web-Services  
 214 Interoperability Consortium, 2006.  
 215 <http://www.wsi.org/Profiles/BasicSecurityProfile-1.1.html>

## 216 1.3 Non-normative References

- 217 **[QAFrameW]** Karl Dubost, et al, eds, *QA Framework: Specification Guidelines*, 2005.  
 218 <http://www.w3.org/TR/qaframe-spec/>

220

## 2 The Gateway Conformance Profile

221

### 2.1 Purpose

222 The *Gateway* conformance profile (or G-CP) is to be considered the baseline for conducting electronic  
223 business. G-CP addresses the messaging requirements of most enterprise e-Business or e-Government  
224 gateways.

225 It is expected that user communities will generate variants of the G-CP profile that differ by their  
226 interoperability parameters, e.g. a variant that uses a transport other than HTTP. Also, the Gateway  
227 messaging function may evolve over time to reflect an evolution of the enterprise gateway requirements  
228 among the user community. A line of evolution is along the versions of the underlying specifications used  
229 by ebMS V3.0, in particular SOAP and WSS. After careful consideration at the time the ebMS V3.0  
230 specification is finalized, the following versions have been selected for G-CP:

- 231 • SOAP 1.2 has been selected because of an already pervasive support by most SOAP stacks  
232 (most of these stacks also support SOAP 1.1).
- 233 • Both WSS 1.0 and WSS 1.1. Although 1.1 is too recent to be broadly supported by implementers,  
234 this version supports security of attachments. While G-CP mandates support for both, the version  
235 to be used for a particular exchange or with a particular partner can still be specified in the  
236 processing mode (P-Mode). This makes it possible for a partially conforming implementation to  
237 interoperate with others.

238 As mentioned in the introduction, G-CP comes in four variants, called here transitional variants. The first  
239 one to be described here is Gateway RM V3, based on the WS-Reliability1.1 standard for reliable  
240 messaging.

241

### 2.2 Conformance Profile: Gateway RM V3

242 The Gateway RM V3 is identified by the URI:

243 <http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200707/gateway-rmv3>

244

#### 2.2.1 Feature Set

245 Gateway RM V3 is defined as follows, using the table template and terminology provided in Appendix F  
246 (“Conformance”) of the core ebXML Messaging Services V3.0 specification [ebMS3].

247

<b>Conformance Profile:</b>  <b>Gateway RM V3</b>	<b>Profile summary:</b> <“Sending+Receiving” / “ gateway-rmv3” / Level 1 / HTTP1.1 + SOAP 1.2 + WSS1.1 + WS-Reliability 1.1 >
<b>Functional Aspects</b>	<b>Profile Feature Set</b>
ebMS MEP	Support for all ebMS simple MEPs, in either Sender or Receiver role: <ul style="list-style-type: none"> <li>• One-way / Push,</li> <li>• One-way / Pull,</li> <li>• Two-way / Sync (both Initiator and Responder roles)</li> </ul>

Reliability	<ul style="list-style-type: none"> <li>• Support for the following QoS features for pushed or pulled ebMS messages: at-least-once, at-most-once, exactly-once.</li> <li>• Ability to acknowledge pulled messages (AtLeastOnce.Contract.AckResponse="true").</li> <li>• Supports Acknowledgments on delivery ( supports P-Mode with Reliability.AtLeastOnce.Contract.AckOnDelivery="true")</li> <li>• Supports the following reply patterns for acknowledgments (P-Mode AtLeastOnce.ReplyPattern): either "response", or "callback" (no support for polling required)</li> </ul>
Security	<ul style="list-style-type: none"> <li>• Support for username / password token, digital signatures</li> <li>• and encryption.</li> <li>• Support for content-only transforms.</li> <li>• Support for security of attachments required.</li> <li>• Support for message authorization at P-Mode level (see 7.10 in [ebMS3]) using wsse:UsernameToken profile, in particular authorization of the Pull signal for a particular MPC.</li> </ul>
Error generation and reporting	<ul style="list-style-type: none"> <li>• Capability of the Receiving MSH to report errors from message processing, either as ebMS error messages or as Faults to the Sending MSH. The following modes of reporting to Sending MSH are supported: (a) sending error as a separate request (ErrorHandling.Report.ReceiverErrorsTo=&lt;URL of Sending MSH&gt;), (b) sending error on the back channel of underlying protocol (ErrorHandling.Report.AsResponse="true").</li> <li>• Capability to report to a third-party address (ErrorHandling.Report.ReceiverErrorsTo=&lt;other address&gt;).</li> <li>• Capability of Sending MSH to report generated errors as notifications to the message producer (support for Report.ProcessErrorNotifyProducer="true")( e.g. delivery failure).</li> <li>• Generated errors: All specified errors to be generated when applicable, except for EBMS:0010: On Receiving MSH, no requirement to generate error EBMS:0010 for discrepancies between message header and the following P-Mode features: P-Mode.reliability and P-Mode.security, but requirement to generate such error for other discrepancies.</li> </ul>
Message Partition Channels	Support for additional message channels beside the default, so that selective pulling by a partner MSH is possible.
Message packaging	<ul style="list-style-type: none"> <li>• Support for attachments required.</li> <li>• Support for MessageProperties required.</li> <li>• Support for processing messages that contain both a signal message unit (eb:SignalMessage) and a user message unit (eb:UserMessage).</li> </ul>
Interoperability Parameters	<p><b>Transport:</b> HTTP 1.1</p> <p><b>SOAP version:</b> 1.2</p> <p><b>Reliability Specification:</b> WS-Reliability 1.1. Only "Response" or "Callback" ReplyPattern values are required to be supported.</p> <p><b>Security Specification:</b> WSS1.0 and WSS 1.1. When using the One-way / Pull</p>



MEP or the Two-way / Sync MEP, the response message must use by default the same WSS version as the request message. Otherwise, the version to be applied to a message is specified in the P-Mode.security

248

## 249 **2.3 Conformance Profile: Gateway RX V3**

250 The Gateway RX V3 is identified by the URI:

251 <http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200707/gateway-rxv3>

### 252 **2.3.1 Feature Set**

253 Gateway RX V3 is equivalent to the RM V3 conformance profile feature-wise.

254 The only difference is about the way messaging reliability is ensured. This profile relies on WS-  
255 ReliableMessaging1.1 instead of WS-Reliability1.1.

256 The feature set is therefor the same as in RM V3 except for the last table row:

<b>Conformance Profile:</b> <b>Gateway RX V3</b>	<b>Profile summary:</b> <“Sending+Receiving” / “ gateway-rxv3” / Level 1 / HTTP1.1 + SOAP 1.2 + WSS1.1 + WS-ReliableMessaging1.1 >
<b>Functional Aspects</b>	<b>Profile Feature Set</b>
ebMS MEP	[same as in Gateway RM V3]
Reliability	[same as in Gateway RM V3, except for the following feature:] <ul style="list-style-type: none"> <li>No support required for Acknowledgments on delivery ( supports P-Mode with Reliability.AtLeastOnce.Contract.AckOnDelivery="false")</li> </ul>
Security	[same as in Gateway RM V3]
Error generation and reporting	[same as in Gateway RM V3]
Message Partition Channels	[same as in Gateway RM V3]
Message packaging	[same as in Gateway RM V3]
Interoperability Parameters	<p><b>Transport:</b> HTTP 1.1</p> <p><b>SOAP version:</b> 1.2</p> <p><b>Reliability Specification:</b> WS-ReliableMessaging 1.1. Only “Response” or “Callback” ReplyPattern values are required to be supported.</p> <p><b>Security Specification:</b> WSS1.0 and WSS 1.1.</p>

### 257 **2.3.2 WS-I Conformance Requirements**

258 The Web-Services Interoperability consortium has defined guidelines for interoperability of  
259 SOAP messaging implementations. In order to ensure interoperability across different SOAP stacks,

260 MIME and HTTP implementations, this conformance profile requires compliance with the following WS-I  
261 profiles.

- 262 • Basic Security Profile (BSP) 1.1 [WSIBSP11]
- 263 • Attachment Profile (AP) 1.0, [WSIAP10] with regard to the use of MIME and SwA.

264 Note: the above WS-I profiles must be complied with within the scope of features exhibited by the  
265 Gateway RX V3 ebMS conformance profile. For example, since only SOAP 1.2 is required by Gateway RX  
266 V3, the requirements from BSP 1.1 that depend on SOAP 1.1 would not apply. Also, same observations  
267 apply to compliance to AP1.0, regarding inherited BP1.1 requirements (R2714, R1143), as in Gateway RM  
268 V3.

269 The Gateway RX V3 may be refined in a future version to require conformance to the following WS-I  
270 profiles, once approved and published by WS-I:

- 271 • Basic Profile 2.0
- 272 • Reliable and Secure Profile (RSP) 1.1

### 273 **2.3.3 Processing Mode Parameters**

274 The P-Mode parameters to be supported are same as in Gateway RM V3, except for the following:

- 275 • **PMode[1].Reliability.AtLeastOnce.Contract.AckOnDelivery**: “false” only needs be supported.

## 276 **2.4 Conformance Profile: Gateway RM V2/3**

277 The Gateway RM V2/3 is identified by the URI:

278 <http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200707/gateway-rmv2v3>

### 279 **2.4.1 Feature Set**

280 Gateway RM V2/3 is defined as an extension of RM V3. As far as V3 is concerned, the features to be  
281 supported by this conformance profile are exactly the same as in RM V3.

282 Regarding ebMS V2, the features to be supported for RM V2/3 are those required in the test profile:  
283 **“UCC/EAN Basic Reliable ebXML Messaging v2.0”** defined in “UCC Global Interoperability  
284 Program for ebXML MS” [UCC-MS2]. RM V2/3 requires the following restrictions – or tolerates the  
285 following relaxations – on the UCC test profile:

- 286 • Only the HTTP1.1 + HTTP/S protocols must be used – SMTP is not part of RM V2/3.
- 287 • The value “signalsAndResponse” as well “responseOnly” do not need be supported for  
288 SyncReplyMode. This means that “synchronous” request-responses do not need be supported.
- 289 • The Message Services (Ping, Status) tests H as defined in the above UCC test profile, do not  
290 need be supported.
- 291 • The following capabilities, already optional in the UCC test profile, do not need be supported:  
292 Encrypted File Transfer (Test G), Other Languages (Test I).

293 NOTE: An additional row has been added to the table: “portability parameters”, which associates a  
294 particular processing mode (P-Mode in V3) representation with the profile so that implementations  
295 supporting this profile can process the same processing mode representation.

296

<b>Conformance Profile:</b>  <b>Gateway RM V2/3</b>	<b>Profile summary:</b> <"Sending+Receiving" / "gateway-rmv2v3" / Level 1 / HTTP1.1 + SOAP 1.2 + WSS1.1 + WS-Reliability 1.1 > + <"Sending+Receiving" / UCC-EAN V2 handler / Level 1 / HTTP1.1>
<b>Functional Aspects</b>	<b>Profile Feature Set for ebMS V2 (to add to those for V3 in RM V3)</b>
EbMS V2 MEP	Support for (in either Sender or Receiver role): <ul style="list-style-type: none"> <li>• One-way / Push, defined as exchanges controlled by SyncReplyMode values: "mshSignalsOnly", "signalsOnly" or "none".</li> </ul>
V2 Reliability	Support for reliable messaging, as required by UCC test profile under Test E and Test J: <p>Test E Acknowledgments</p> E1. Unsigned Data/Unsigned Ack E2. Unsigned Data/Signed Ack E3. Signed Data/Unsigned Ack E4. Signed Data/Signed Ack E5. Signed Data/Signed Ack Secure Channel <p>Test J Single-Hop Reliable Messaging</p> J1. Once and Only Once Profile - Successful Retries, RetryInterval J2. Duplicate Detection - Original Acknowledgement to Duplicate Request J3. Delivery Failure Notification J4. Long Running Conversation
V2 Security	Support for secure messaging, as required by UCC test profile under Test A , Test B and Test D: <p>Test A Certificate Exchange</p> A1. Personal Certificate <p>Test B Simple Data Transfer</p> B2. HTTP/S Data Transfer <p>Test D Data Security</p> D1. Signed Data D2. Signed Data Secure Channel (HTTP/S) D3. Client Authentication - Signed Data Secure Channel (HTTP/S)

V2 Error generation and reporting	<p>Support for error handling, as required by UCC test profile under Test K:</p> <p>Test K Error Handling</p> <p>K1. SOAP:Fault</p> <p>K2. ValueNotRecognized</p> <p>K3. NotSupported</p> <p>K4. Inconsistent Sync</p> <p>K5. Inconsistent Signature</p> <p>K6. Inconsistent Acknowledgment Signature</p> <p>K7. SecurityFailure</p> <p>K8. TimeToLiveExpired</p> <p>K10. MessageHeader format</p> <p>K11. Missing Payload</p>
V2 Message Partition Channels	Not applicable.
V2 Message packaging	<p>Support for the following packaging patterns, as required by UCC test profile under Test B, Test C and Test F:</p> <p>Test B Simple Data Transfer</p> <p>B1. HTTP Data Transfer</p> <p>Test C Large File Transfer</p> <p>C1. HTTP Large File Send</p> <p>Test F Multiple Payload Handling</p> <p>F1. Multiple Payload Transfer - two payloads</p> <p>F2. Multiple Payload Transfer - five payloads</p> <p>F3. Multiple Payload Signed - two payloads</p> <p>F4. Multiple Payload Signed with Signed Acknowledgment - five payloads - secure channel</p>
V2 Interoperability Parameters	<b>Transport:</b> HTTP 1.1 and HTTP/S
V2 processing mode	<b>Processing mode representation:</b> CPPA 2.0 or CPPA 1.0

297

298 This conformance profile combines ebMS V2 and V3 in the following way:

- 299 • Each one of the two messaging versions is operating separately as within two  
300 separate message handlers, without any requirement for each handler to be aware of  
301 the other handler.
- 302 • The P-Mode is a notion that has been defined only for V3. This conformance profile  
303 does not define the equivalent for V2 and there is no requirement in this profile to  
304 extend it to V2.
- 305 • This conformance profile does not extend the notion of MEP as defined in V3. No MEP  
306 is defined or supported that makes use of both V2 and V3 messages.
- 307 • Message Ids must however be unique across V2 and V3.
- 308 • Although common header elements may be used to correlate V2 messages and V3  
309 messages – e.g. ConversationID, RefToMessageId – this conformance profile does  
310 not require a handler to support any correlation semantics across V2 and V3. A V3  
311 message referencing a V2 message cannot be considered as part of a V3 MEP as  
312 defined in the V3 specification.

## 313 2.4.2 WS-I Conformance Requirements

314 The same compliance rules as for RM V3 apply. Only ebMS V3 messages are concerned with these  
315 rules.

## 316 2.4.3 Processing Mode Parameters

317 The P-Mode parameters to be supported for the V3 capability are same as in Gateway RM V3.

## 318 2.5 Conformance Profile: Gateway RX V2/3

319 The Gateway RX V2/3 is identified by the URI:

320 <http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200707/gateway-rxv2v3>

### 321 2.5.1 Feature Set

322 Gateway RX V2/3 is equivalent to the RX V3 conformance profile feature-wise.

323 The only difference is about the way messaging reliability is ensured. This profile relies on WS-  
324 ReliableMessaging1.1 instead of WS-Reliability1.1. The same difference in V3 feature set table between  
325 RM V3 and RX V3, applies here. The feature set for the V2 part is the same as in RM V2/3.

326

<b>Conformance Profile:</b> <b>Gateway RX V2/3</b>	<b>Profile summary:</b> <“Sending+Receiving” / “ gateway-rxv2v3” / Level 1 / HTTP1.1 + SOAP 1.2 + WSS1.1 + WS-ReliableMessaging 1.1 > + < “Sending+Receiving” / UCC-EAN V2 handler / Level 1 / HTTP1.1 >
<b>Functional Aspects</b>	<b>Profile Feature Set</b>
V2 Functional Aspects (same as in RM V2/3)	(same as in RM V2/3)

V3 Functional Aspects (same as in RX V3)	(same as in RX V3)
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327

## 328 **2.5.2 WS-I Conformance Requirements**

329 The same compliance rules as for RX V3 apply. Only ebMS V3 messages are concerned with these rules.

## 330 **2.5.3 Processing Mode Parameters**

331 The P-Mode parameters to be supported for the V3 capability are same as in Gateway RM V2/3, except  
332 for the following:

- 333 • **PMode[1].Reliability.AtLeastOnce.Contract.AckOnDelivery**: “false” only needs be supported.

334

## 3 Examples of Alternate Conformance Profiles

### 3.1 Purpose

Some MSH implementations may have to operate under conditions where the full capabilities of the above Gateway conformance profile (G-CP) are not only unnecessary, but also not appropriate due to limited resources. In such cases, specific conformance profiles may need be defined as an alternate baseline for interoperability. Examples of such profiles (LH-CP and AM-CP) are given below.

The conformance profile below is intended to apply to messaging devices that do not have the ability to receive incoming requests (e.g. HTTP requests), due to a lack of static IP address or firewall restrictions. These message handlers also are supposed to be limited in storage capability. It is named LH-CP, meaning Light Handler.

### 3.2 Conformance Profile: Light Handler (LH-RM CP)

The Light Handler CP is identified by the URI:

<http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200707/lighthandler-rm>

NOTE: For consistency with the notations used in the previous Gateway conformance profiles, an alternative light handler profile using WS-ReliableMessaging instead of WS-Reliability would be named:

<http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200707/lighthandler-rx>

#### 3.2.1 Feature Set

<b>Conformance Profile:</b> <b>LHRM-CP</b>	<b>Profile summary:</b> <“Sending+Receiving” / “ lighthandler-rm” / Level 1 / HTTP1.1 + SOAP 1.1 + WS-Reliability 1.1>
<b>Functional Aspects</b>	<b>Profile Feature Set</b>
ebMS MEP	Support for One-way / Push (as initiator), and One-way / Pull (as initiator).
Reliability	Support for guaranteed delivery only: must be able to receive reliability acks on the SOAP response to the Push, and to resend a pushed message. Must be able to resend a non-acknowledged Pull signal. No requirement to acknowledge a pulled message.
Security	Support for username / password token
Error reporting	Support for error notification to the local message producer (e.g. reported failure to deliver pushed messages). Ability to report message processing errors for pulled messages to the remote party via Error messages (such an error may be bundled with another pushed message or a Pull signal.).
Message Partition Channels	Sending on default message partition flow channel (no support for additional message partitions required.)
Message packaging	No support for attachments required – i.e. the payload will use the SOAP body-, no support for MessageProperties required.
Interop Parameters	<b>Transport:</b> HTTP 1.1

	<b>SOAP version:</b> 1.1 <b>WSS:</b> none <b>Reliability Specification:</b> WS-Reliability 1.1
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353

### 354 3.2.2 WS-I Conformance Requirements

355 This conformance profile will require compliance with the following WS-I profile, once formally approved  
356 by WS-I (currently in Board approval draft status):

- 357 • Basic Profile 1.2 [WSIBP12]

358 Note: the above WS-I profile must be complied with within the scope of features exhibited by the Light  
359 Handler ebMS conformance profile.

## 360 3.3 Conformance Profile: Activity Monitor (AM-CP)

361 The Activity Monitor CP is identified by the URI:

362 <http://docs.oasis-open.org/ebxml-msg/ebms/v3.0/ns/cprofiles/200707/activity-monitor>

### 363 3.3.1 Feature Set

364 The following conformance profile is even more restricted in capability. It is intended to match the  
365 capability of a monitoring component that is supposed to only send messages (Sending role only), e.g. for  
366 some type of business activity monitoring where reliability is not required as the loss of one of some  
367 messages can be offset by subsequent messages.

368

<b>Conformance Profile:</b> <b>AM-CP</b>	<b>Profile summary:</b> <“Sending” / “activity-monitor” / Level 1 / HTTP1.1 + SOAP 1.1 >
<b>Functional Aspects</b>	<b>Profile Feature Set</b>
ebMS MEP	Support for One-way / Push (initiator)
Reliability	None.
Security	none
Error reporting	Support for generating errors associated with sending user messages, and notifying remote party via messages. Support for error reporting by notifying its own party (e.g. inability to open a connection).
Message Partition Channels	default message partition channel.
Message packaging	No support for attachments required, no support for MessageProperties required.
Interop Parameters	<b>Transport:</b> HTTP 1.1 <b>SOAP version:</b> 1.1 <b>WSS:</b> none <b>Reliability Specification:</b> none



369

### 370 **3.3.2 WS-I Conformance Requirements**

371 This conformance profile requires compliance with the following WS-I profiles.

- 372 • Basic Profile 1.2 [WSIBP12]

373 Note: the above WS-I profile must be complied with within the scope of features exhibited by the Activity  
374 Monitor conformance profile.

375  
376

## Appendix A Conformance Profile Template and Terminology

377 In order to facilitate the definition and comparison of conformance profiles, it is recommended to use the  
378 following template for describing a conformance profile:

Conformance Profile: <name>		Profile summary: [list of:] < ebMS Role(s) / DeploymentType / Level / InteroperabilityParameters >
<b>Functional Aspects</b>		<b>Profile Feature Set</b>
ebMS MEP		
Reliability		
Security		
Error reporting		
Message Partition Channels		
Message packaging		
Interop. Parameters	Transport and version	
	SOAP version	
	Reliability specification and version	
	Security specification and version	

379

380 Terminology:

381 A conformance profile is primarily associated with a common type of deployment or usage of an MSH  
382 implementation. It identifies a set of features that must be implemented in order for an MSH to support this  
383 type of deployment.

384 A conformance profile for ebMS is expressed using the following terms:

385 **Role:** This property refers to any possible role a message handler could take (see Section 2 in [ebMS3],  
386 which defines Sending and Receiving.)

387 **Deployment Type:** A deployment type characterizes a context in which the implementation operates and  
388 the expected functional use for this implementation. For example, the following deployment types are  
389 expected to be among the most common, nonexclusive from others:

390 1. "*resource-constrained handler*". This characterizes an implementation that generally is not always  
391 connected, may not be directly addressable, may have no static IP address, has limited persistent  
392 capability, and is not subject to high-volume traffic.

393 2. "*B2B or G2G gateway*". This characterizes an implementation that generally is acting as the  
394 gateway for an enterprise or government agency. It has a fixed address; it may have connectivity  
395 restrictions due to security; and it must support various types of connectivity with diverse partners.

396 **Level:** This property represents a level of capability for this conformance profile, expressed as a positive  
397 integer (starting from 1). All other properties being equal, an implementation that is conforming to a profile  
398 at level N (with N>1) is also conforming to the same profile at level N-1.

399 **Interoperability parameters:** This property is a composed property. It is a vector of parameters that must  
400 (in general) be similar pairwise between two implementations in order for them to interoperate. Three  
401 parameters are identified here, not exclusive from others. Some are only relevant to ebMS V3:

402 1. The transport protocol supported, for which a non-exhaustive list of values is: HTTP, SMTP,  
403 HTTPS.

404 2. SOAP version: either SOAP 1.1 or SOAP 1.2.

405 3. The reliability specification supported, either WS-Reliability or WS-ReliableMessaging.

406 **Conformance Profile:** A conformance profile is then fully identified by one or more quadruples of the  
407 form: < Role / DeploymentType / Level / InteropParameters>, or <R / D / L / P>, which is called the *profile*  
408 *summary*.

409 **Functional Aspect:** A conformance profile will impose specific requirements on different aspects of the  
410 specification, that are called here functional aspects. A set of (non-exhaustive) functional aspects is:

411 Message Exchange Patterns, Error Reporting, Reliability, Security, Message Partition Flows, Message  
412 Packaging, Transport.

413 **Profile Feature Set:** The set of specification requirements associated with a conformance profile. This set  
414 is partitioned using the functional aspects listed for the specification: it can be expressed as a list of  
415 functional aspects, annotated with the required features of each aspect.

416

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417 **Appendix B Acknowledgments**

418 The following individuals have participated in the creation of this specification and are gratefully  
419 acknowledged.

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431

## Appendix C Revision History

432

Rev	Date	By Whom	What
CD 02	25 Jul 2007		

433