

Exchange Header Envelope (XHE) Version 1.0

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Technical Committee:

OASIS Business Document Exchange (BDXE) TC

Chairs:

(OASIS TC Chair) Kenneth Bengtsson (kbengtsson@efact.pe), Individual
(UN/CEFACT Project Chair) Anders Grangard (anders.grangard@GS1.ORG), GS1

Editor:

G. Ken Holman (gkholman@CraneSoftwrights.com), Crane Softwrights Ltd.

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- <https://docs.oasis-open.org/bdxe/xhe/v1.0/csd03/xsdt/>

Related work:

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Declared XML Namespaces:

<http://docs.oasis-open.org/bdxx/ns/XHE/1/ExchangeHeaderEnvelope>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/AggregateComponents>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/BasicComponents>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/ExtensionComponents>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/QualifiedDataTypes>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/UnqualifiedDataTypes>

Abstract:

This specification defines a business-oriented artefact either referencing (as a header) or containing (as an envelope) a payload of one or more business documents or other artefacts with supplemental semantic information about the collection of payloads as a whole. This is distinct from any transport-layer infrastructure header or envelope that may be required to propagate documents from one system to another. An exchange header envelope describes contextual information important to the sender and receiver about the payloads, without having to modify the payloads in any fashion.

Status:

This document has been prepared and submitted both to the OASIS Business Document Exchange (BDXR) TC and to the UN/CEFACT Methodology and Technology PDA. It is the intent of both groups to share any further proposed changes to both panels and to approve identical final versions. Final approval by one or both organizations may also require their mutual commitment to approve and maintain one and the same final specification.

This document was last approved by the OASIS Business Document Exchange (BDXR) TC on the above date. The level of approval is also listed above. Check the “Latest version” location noted above for possible later revisions of this document. Any other numbered Versions and other technical work produced by the Technical Committee (TC) are listed at https://www.oasis-open.org/committees/tc_home.php?wg_abbrev=bdxx#technical.

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1 Introduction

1.1 Conveying information about payloads (Informative)

1.1.1 Overview

File or document headers have long been used to describe the information about a set of payloads in an entity that is kept separate and arm's-length from the payloads themselves.

The metaphor of a paper envelope in which one places business documents for transport or management is apt to describe the role of an exchange header envelope in a container relationship to its payloads. Concepts of routing, authentication, non-repudiation and concealment all apply in both the metaphor and the electronic equivalent.

1.1.2 What is an Exchange Header Envelope?

The Exchange Header Envelope (XHE) specifies an XML vocabulary [\[XML\]](#) expressing in machine-processable syntax the semantics of describing either a header to or an envelope of a set of payloads of content with information about that content. This vocabulary is modeled using the UN/CEFACT Core Component Technical Specification Version 2.01 [\[CCTS 2.01\]](#).

XHE, a specification developed jointly by UN/CEFACT and OASIS, is the successor to the UN/CEFACT Standard Business Document Header (SBDH) version 1.3 [\[SBDH\]](#) and the OASIS Business Document Envelope (BDE) version 1.1 [\[BDE\]](#).

Note regarding publications

The UN/CEFACT Exchange Header Envelope and the OASIS Exchange Header Envelope are the same specification developed in collaboration and published as standards by the two organizations following the practices of each.

This specification enumerates the information components of a payload header envelope and formally describes the semantics of each component.

Normative markings

All clauses not marked as “informative” and also not a subclause of a clause marked as “informative” are to be considered normative. All notes and examples are informative.

The XHE is designed to be either a header as an integral part of a business document (e.g. either XML instance document or EDI interchange), an object associated with the business document itself, or as an envelope functioning as wrapper that contains one or more business documents.

This specification mandates a suite of XML schemas [\[XMLSCHEMA-1\]](#)[\[XMLSCHEMA-2\]](#) and additional limitations describing the document constraints against which a conforming instance SHALL validate without error.

1.1.3 How is it used in EDI and XML environments?

There exist several business document exchange architectures and approaches, some using EDI formats and approaches, some using XML document types, and yet others use different document formats or non-standardized approaches. The XHE is designed to work with any document format and business process, whether standardized or not, and as such supports both the EDI, the XML and any other e-business community. Including a XHE in each instance of the business document reduces

the effort needed to route and process documents and permits trading partner organizations to use different implementation approaches.

When implementing EDI, the provision of an additional business document header may not always be necessary, since EDI interchanges already contain functionality for some of the information in the XHE. An example is the EDIFACT UNB interchange header, the UNH message header, and the 'function' part of the BGM. The XHE specification allows for this existing approach and provides an option to express additional functionality, such as service and correlation information.

1.1.4 The Scope of the Exchange Header Envelope

Many users, implementers and supporting industry standard bodies are in agreement on the need for an Exchange Header Envelope. In their business-to-business activities, the XHE facilitates several different business needs:

- The routing of business documents from one point to another. This refers not only to the transfer of information from an external originator to receiver, but also from one intermediate application to another. Information in the XHE can help ensure that a document gets to the correct recipient.
- Ensuring integrity and confidentiality of business documents when routed over multiple hops, intermediaries, routers or access points, such as in 4-corner networks and architectures.
- Simplifying the bundling of several business documents or support documents into one package for simplified exchange.
- Facilitating the exchange of location pointers and access credentials to externally located business documents, not suitable for sending through an e-business network. This is necessary when the sending party needs to keep the business document confidential until a specified date (such as in tendering processes), and when sending very large files.
- The simplified processing of documents. Processing refers to taking action on data, for example transforming it from one format into another. Information in the XHE can reduce the effort required to determine the correct processing actions.
- Associating a data message with its originator is important from a business and legal perspective. It is especially important when using intermediaries for data transfer, as information from the transport protocol, may be lost after the initial transmission. Because information in the XHE is retained, it can help ensure that a document's originator is correctly identified.

In addition to header functions provided by the XHE for routing and/or processing of business documents, there is the need for a completely separate technical communications transport layer. This deals with communications protocols and physical addresses which are outside the scope of this technical specification. Transport specifications including EDIINT-AS2 and ebXML Message Service (ebMS) are among a number of possible transport options that address technical communications needs by defining a separate technical header. The transport layer is completely outside the scope as it is a different layer of the stack.

1.1.5 Dual Semantic Identifiers

This specification accommodates both CEFAC and OASIS naming conventions of all semantic identifiers by documenting the two values for every business information entity. In each table row in [Section 2, "Header and envelope information"](#) the semantic identifiers are provided in two sub-rows, the upper one carrying the CEFAC semantic identifier and the lower one carrying the OASIS semantic identifier.

1.1.6 Stakeholders and Audience

All organizations that manage infrastructure operations and business processes for various functional areas (e.g. ordering, invoicing, planning, or financial), all service provider organizations and associa-

tions, as well as e-business networks and infrastructures, which create, route and process business documents can benefit from the use of the Exchange Header Envelope.

1.1.7 Schema validation artefact expression

The entities defined by this specification are realized as schema validation artefacts using the conventions specified by the OASIS Business Document Naming and Design Rules [\[BD-NDR\]](#) and the OASIS semantic identifiers.

1.2 Terminology

1.2.1 Key words

The key words MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, OPTIONAL and SHOULD in this document are to be interpreted as described in [\[RFC2119\]](#).

1.2.2 Symbols and Abbreviations

ABIE, noun

Aggregate Business Information Entity

BBIE, noun

Basic Business Information Entity

ASBIE, noun

Association Business Information Entity

RFC, noun

Request for comment

XSD, noun

XML Schema Definition

XSLT, noun

Extensible Stylesheet Language Transformations

1.2.3 Terms and Definitions

schema, noun

An expression of constraints placed on XML content.

value constraints, noun

An expression of constraints placed on the values of attributes and textual content.

1.2.4 Key concepts

validation, noun

The act of testing an XML document against a set of structural constraints (as expressed in a schema) or value constraints (as expressed in an arbitrary XML processing language, for example, XSLT).

1.3 Normative References

- [CCTS 2.01] *UN/CEFACT Core Components Technical Specification, Version 2.0* 11 November 2003 http://www.unece.org/fileadmin/DAM/cefact/codesfortrade/CCTS/CCTS_V2-01_Final.pdf
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- [XMLSCHEMA-2] *XML Schema Part 2: Datatypes Second Edition*, P. V. Biron, A. Malhotra, Editors, W3C Recommendation, October 28, 2004, <http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/>. Latest version available at <http://www.w3.org/TR/xmlschema-2/>.

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- [BD-NDR] *Business Document Naming and Design Rules Version 1.0*. Edited by Tim McGrath, Andy Schoka and G. Ken Holman. 14 July 2016. OASIS Committee Specification 01. <http://docs.oasis-open.org/ubl/Business-Document-NDR/v1.0/cs01/Business-Document-NDR-v1.0-cs01.html>. Latest version: <http://docs.oasis-open.org/ubl/Business-Document-NDR/v1.0/Business-Document-NDR-v1.0.html>.
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- [genericcode] *Code List Representation (Genericcode) Version 1.0*. Edited by Anthony B. Coates. 28 December 2007. Committee Specification 01. <http://docs.oasis-open.org/codelist/genericcode/>. Latest version: <http://docs.oasis-open.org/codelist/genericcode/doc/oasis-code-list-representation-genericcode.html>.
- [RFC4880] J. Callas, L. Donnerhake, H. Finney, D. Shaw, and R. Thayer, *OpenPGP Message Format*, RFC4880, DOI 10.17487/RFC4880, November 2007, <https://tools.ietf.org/html/rfc4880>.
- [SBDH] CEFACT Standard Business Document Header https://www.gs1.org/sites/default/files/docs/gs1_un-cefact_%20xml_%20profiles/CEFACT_SBDH_TS_version1.3.pdf
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2 Header and envelope information

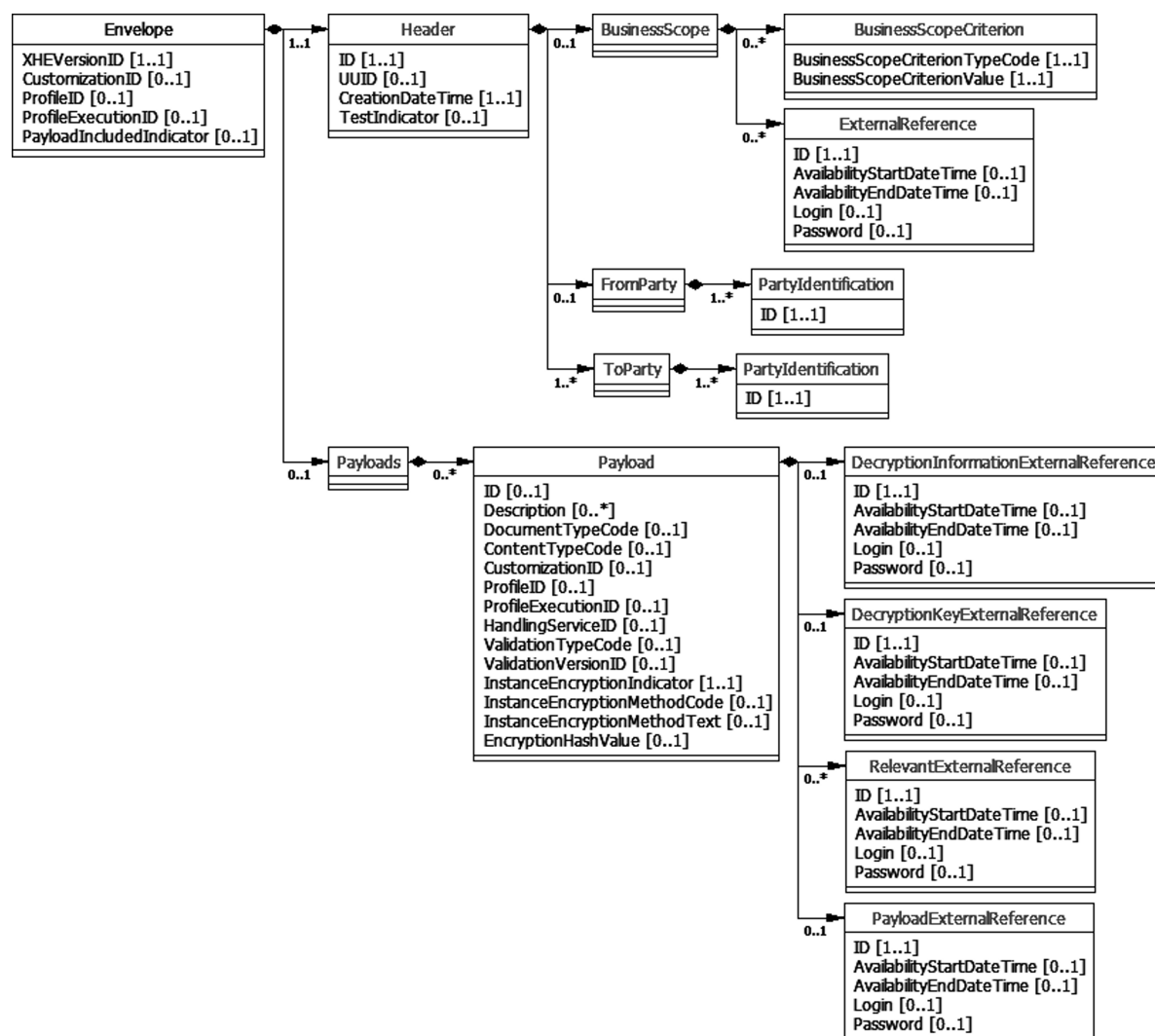
2.1 XHE entity diagrams

The information derived for XHE has been distilled into a suite of CCTS Aggregate Business Information Entities (ABIEs), each comprised of a set of Basic Business Information Entities (BBIEs) and/or Association Business Information Entities (ASBIEs).

Each entity is listed with its two semantic identifiers, one specified by CEFACT members of the development team, and one specified by OASIS members of the development team. See [Section 1.1.5, "Dual Semantic Identifiers"](#) for more information.

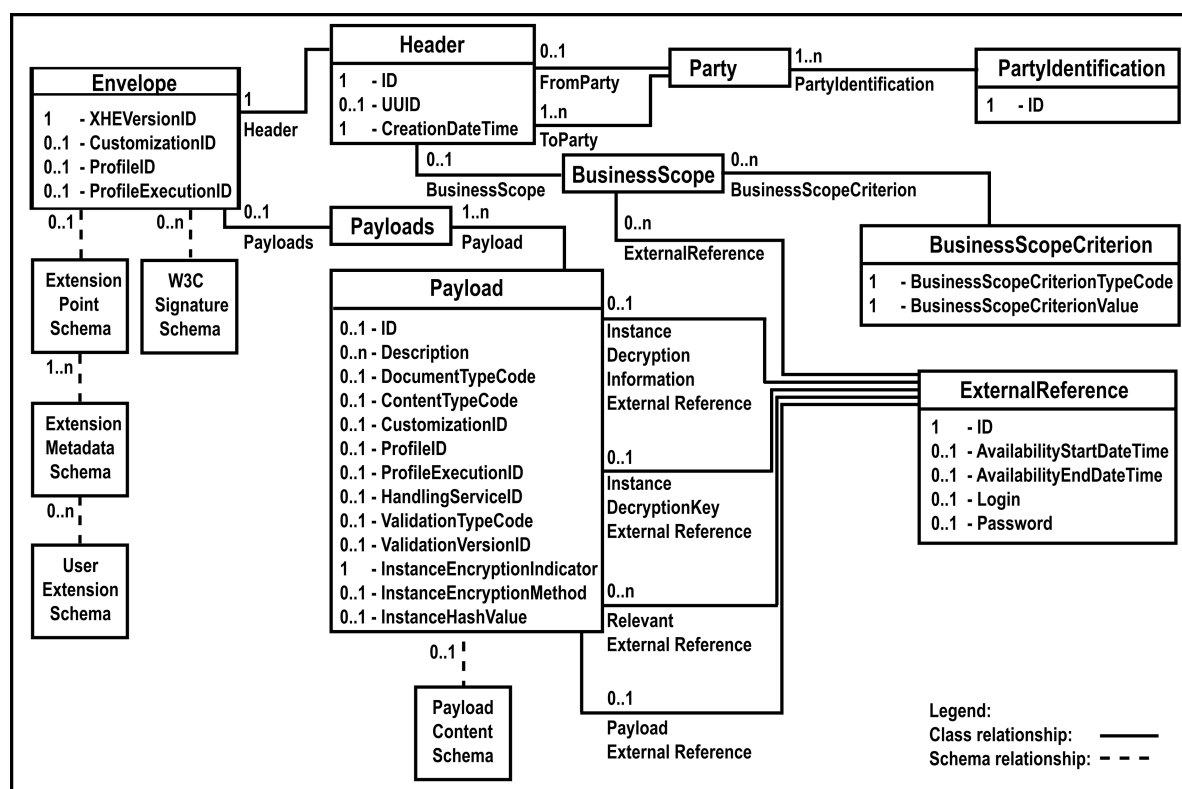
The relationships between these business information entities are depicted in this class diagram using the CEFACT names:

Figure 1. Exchange Header Envelope entity diagram - CEFACT names



The relationships between these business information entities are depicted in this class diagram using the OASIS names:

Figure 2. Exchange Header Envelope entity diagram - OASIS names



2.2 Header envelope information

Metadata information about the header envelope itself, independent of the information it contains, includes the following:

| Semantic identifier | Card. | Definition |
|---|-------|--|
| XHE_Envelope. Details | N/A | The Exchange Header Envelope |
| XHE. Details | | |
| XHE_Envelope. Version. Identifier | 1 | The version of the specific envelope model in use. |
| XHE. XHE Version Identifier. Identifier | | |
| XHE_Envelope. Customization. Identifier | 0..1 | The identification of a customization or use of the envelope model. |
| XHE. Customization Identifier. Identifier | | |
| XHE_Envelope. Profile. Identifier | 0..1 | The identification of a specific profile found within the customization. |
| XHE. Profile Identifier. Identifier | | |
| XHE_Envelope. Profile Execution. Identifier | 0..1 | The identification of a particular instance of using the given profile. |
| XHE. Profile Execution Identifier. Identifier | | |
| XHE_Envelope. Metadata. XHE_ Document | 1 | Information relevant to the handling of the envelope. |
| XHE. Header | | |

| Semantic identifier | Card. | Definition |
|---------------------------------------|-------|---------------------|
| XHE_ Envelope. Included. XHE_ Payload | 0..1 | The set of payloads |
| XHE. Payloads | | |

The information for a header envelope begins with an additional optional extensions item that is neither a BBIE nor an ASBIE and so is not modeled using CCTS. Rather, this extensions item is a schema artefact. This extensions item has the cardinality 0..1. See [Section 5.6.2, “Extension content”](#) for more information.

The information for a header envelope ends with an additional optional and repeatable number of digital signatures that are neither a BBIE nor an ASBIE and so are not modeled using CCTS. Rather, these signatures are a schema artefact published by the W3C. See [Section 3.1, “Signing the exchange header envelope”](#) for more information.

2.3 Header information

Metadata information about the header envelope itself, independent of the information it contains or references, includes the following:

| Semantic identifier | Card. | Definition |
|---|-------|---|
| XHE_ Document. Identification. Identifier | 1 | Unique ID of the envelope for tracking purposes. |
| Header. Identifier | | |
| XHE_ Document. UUID. Identifier | 0..1 | An additional identifier of the envelope. |
| Header. UUID | | |
| XHE_ Document. Creation. Date Time | 1 | Date and time when the envelope was created. |
| Header. Creation Date Time. Date Time | | |
| XHE_ Document. Scope. XHE_ Context | 0..1 | Documentation of the scope of business or other contextual details useful to understand the purpose of the envelope and its contents. For examples: Europe vs Asia, Direct-to-Consumer vs Replenishment, or Pre-paid vs Credit. |
| Header. Business Scope | | |
| XHE_ Document. Sender. XHE_ Party | 0..1 | Information about the party that originated the envelope. |
| Header. From_ Party. Party | | |
| XHE_ Document. Recipient. XHE_ Party | 1..n | Information about the parties to receive the envelope. |
| Header. To_ Party. Party | | |

2.4 Party information

The information about a party includes the following:

| Semantic identifier | Card. | Definition |
|--------------------------------------|-------|---|
| XHE_ Party. Specified. XHE_ Identity | 1..n | Unambiguous identifications of a party. |
| Party. Party Identification | | |

2.5 Party identification information

The information about a party's identification includes the following:

| Semantic identifier | Card. | Definition |
|--------------------------------------|-------|---|
| XHE_ Party. Specified. XHE_ Identity | 1 | An unambiguous identification of a party. |
| Party Identification. Identifier | | |

2.6 Business scope information

Documentation of the scope of business or other contextual details useful to understand the purpose of the envelope and its contents includes the following:

| Semantic identifier | Card. | Definition |
|--|-------|---|
| XHE_ Context. Specified. XHE_ Parameter | 0..n | Internal specification of the scope and/or context of business. |
| Business Scope. Business Scope Criterion | | |
| XHE_ Context. Scope. XHE_ Reference | 0..n | External documentation of the scope and/or context of business. |
| Business Scope. External Reference | | |

2.7 Business scope criterion information

Documentation of one criterion of the scope of business or other contextual detail useful to understand the purpose of the envelope and its contents includes the following:

| Semantic identifier | Card. | Definition |
|--|-------|---|
| XHE_ Parameter. Type. Code | 1 | Identifies the property of the scope by a code. |
| Business Scope Criterion. Business Scope Criterion Type. Code | | |
| XHE_ Parameter. Value. Text | 1 | Specifies the value of the given property. |
| Business Scope Criterion. Business Scope Criterion Value. Text | | |

2.8 External reference information

A reference to a business case, document or other issues which are relevant to the handling of the envelope includes the following:

| Semantic identifier | Card. | Definition |
|---|-------|---|
| XHE_ Reference. Identification. Identifier | 1 | Identifies the referenced object by some identifier or URI. |
| External Reference. Identifier | | |
| XHE_ Reference. Start_ Availability. Date Time | 0..1 | The start date and time when the information is available |
| External Reference. Availability Start Date Time. Date Time | | |

| Semantic identifier | Card. | Definition |
|---|-------|--|
| XHE_ Reference. End_ Availability. Date Time | 0..1 | The end date and time when the information is available |
| External Reference. Availability End Date Time. Date Time | | |
| XHE_ Reference. Login. Text | 0..1 | Text describing any login details to access the information. |
| External Reference. Login. Text | | |
| XHE_ Reference. Password. Text | 0..1 | A password needed to access the information. |
| External Reference. Password. Text | | |

2.9 Payload information

2.9.1 Payload set information

Information about the complete set of payloads includes the following:

| Semantic identifier | Card. | Definition |
|---|-------|--|
| XHE_ Payload. Included. XHE_ Payload Instance | 1..n | The actual payload instance, such as a single invoice, conveyed within the envelope. |
| Payloads. Payload | | |

2.9.2 Payload item information

Information about an individual payload within the set of payloads includes the following:

| Semantic identifier | Card. | Definition |
|--|-------|--|
| XHE_ Payload Instance. Identification. Identifier | 0..1 | A unique identification of this payload instance contained within the envelope. |
| Payload. Identifier | | |
| XHE_ Payload Instance. Description. Text | 0..n | Text description of the payload instance. |
| Payload. Description. Text | | |
| XHE_ Payload Instance. Document Type. Code | 0..1 | Identifies the abstract archetype of the payload instance. |
| Payload. Document Type Code. Code | | |
| XHE_ Payload Instance. Content Type. Code | 0..1 | Identifies the file format or octet representation of the payload instance. |
| Payload. Content Type Code. Code | | |
| XHE_ Payload Instance. Customization. Identifier | 0..1 | Identifies the customization that applies to the payload instance. |
| Payload. Customization Identifier. Identifier | | |
| XHE_ Payload Instance. Profile. Identifier | 0..1 | Identifies the profile that the payload instance is part of. |
| Payload. Profile Identifier. Identifier | | |
| XHE_ Payload Instance. Profile Execution. Identifier | 0..1 | Identifies the particular instance of an executing profile that the payload instance is part of. |

| Semantic identifier | Card. | Definition |
|--|-------|---|
| Payload. Profile Execution Identifier. Identifier | | |
| XHE_ Payload Instance. Handling Service. Identifier | 0..1 | Identifies the service that should process the payload instance. |
| Payload. Handling Service Identifier. Identifier | | |
| XHE_ Payload Instance. Validation_ Type. Code | 0..1 | The validation type of the payload, used for the task of verifying that the grammar of a payload is valid. |
| Payload. Validation Type. Code | | |
| XHE_ Payload Instance. Validation Version. Identifier | 0..1 | Descriptor containing version information of the validation type. |
| Payload. Validation Version Identifier. Identifier | | |
| XHE_ Payload Instance. Encrypted. Indicator | 1 | Indicator to state whether the payload instance is encrypted or not. |
| Payload. Instance Encryption Indicator. Indicator | | |
| XHE_ Payload Instance. Encryption Method. Text | 0..1 | Method used to encrypt the payload instance. |
| Payload. Instance Encryption Method. Text | | |
| XHE_ Payload Instance. Encryption Hash Value. Text | 0..1 | SHA-256 hash total of the unencrypted payload instance. |
| Payload. Instance Hash Value. Text | | |
| XHE_ Payload Instance. Decryption. XHE_ Reference | 0..1 | Decryption information that is available external to the envelope. |
| Payload. Instance Decryption Information_ External Reference. External Reference | | |
| XHE_ Payload Instance. Decryption Key. XHE_ Reference | 0..1 | Decryption key data that is available external to the envelope. |
| Payload. Instance Decryption Key_ External Reference. External Reference | | |
| XHE_ Payload Instance. Relevant. XHE_ Reference | 0..n | A reference to a business case, document or other issues which are relevant to the handling of the payload. |
| Payload. Relevant_ External Reference. External Reference | | |
| XHE_ Payload Instance. Payload. XHE_ Reference | 0..1 | The reference to the payload when it is not included within the envelope. |
| Payload. Payload_ External Reference. External Reference | | |

The information for an individual payload ends with an additional optional payload content item that is neither a BBIE nor an ASBIE and so is not modeled using CCTS. Rather, this content item is a schema artefact. This content item has the cardinality 0..1. This content item can have as its child either text only (no elements) or a single element, but not a combination of both nor more than one element. See [Section 5.6.3, "Payload content"](#) for more information.

2.10 Extension information

Through the use of extension metadata and content, additional user-defined information that is not modelled by the CCTS classes can be added to the envelope instance.

The extension point is an optional construct as the initial child of the document element. The extension point, when it exists, SHALL contain one or more user-defined extensions,. Each extension contains optional extension metadata identifying properties of the extension as well as the extension content.

| Name (Unqualified Data Type) | Description | Crd | Rationale |
|------------------------------------|---|------|---|
| XHEExtensions | A container for all extensions present in the document. | 0..1 | This is the single point of access to all extensions as the first child of the main document. |
| XHEExtension | A single extension for private use. | 1..n | There may be many extensions added to a single document. |
| ExtensionID (Identifier) | An identifier for the Extension assigned by the creator of the extension. | 0..1 | This identifies the extension amongst other extensions within the document. |
| ExtensionName (Name) | A name for the Extension assigned by the creator of the extension. | 0..1 | This identifies the extension in natural language within the document. |
| ExtensionAgencyID (Identifier) | An agency that maintains one or more Extensions. | 0..1 | This identifies who created the extension. |
| ExtensionAgencyName (Name) | The name of the agency that maintains the Extension. | 0..1 | This identifies who created the extension. |
| ExtensionAgencyURI (Identifier) | A URI for the Agency that maintains the Extension. | 0..1 | This identifies who created the extension. |
| ExtensionVersionID (Identifier) | The version of the Extension. | 0..1 | This distinguishes one version of the extension from another. |
| ExtensionURI (Identifier) | A URI for the Extension. | 0..1 | This identifies the extension amongst other extensions outside of any document. |
| ExtensionReasonCode (Code) | A code for the reason the Extension is being included. | 0..1 | This gives the author the opportunity to give rationale by way of a code. |
| ExtensionReason (Text) | A description of the reason for the Extension. | 0..1 | This gives the author the opportunity to give rationale by way of a text description. |
| ExtensionContent | The definition of the extension content. | 1 | This is the parent element of the extension content. |

There are no restrictions on the extension content. See [Section 5.6.2, "Extension content"](#) for more information.

3 XHE digital signatures

3.1 Signing the exchange header envelope

Using the IETF/W3C XML Digital Signature specification [\[XMLDSIG-CORE1\]](#) one can add multiple “non-final” signatures or a single “final” signature to the exchange header envelope as the last children of the document element, that is, after the last BIE of the document element. A non-final signature digitally signs all content other than any of the other sibling signature elements that may exist in the document. A final signature digitally signs all content including the other sibling signature elements that may exist in the document.

The schema fragment for [Section 5.6.2, “Extension content”](#) included in this distribution provides for using digital signature extensions supporting XML Advanced Electronic Signatures [\[XAdES\]](#) (ETSI TS 101 903), when the electronic signing of an exchange header envelope is necessary to satisfy legal and technical requirements. The schema fragment can be modified to accommodate such future extension requirements without impacting on the conformance clauses of this specification.

4 Conformance

In this conformance clause, the following abbreviated references to semantic identifiers are used for readability:

- payload information item
 - (CEFACT) XHE_ Payload. Included. XHE_ Payload Instance
 - (OASIS) Payloads. Payload
- payload external reference information item
 - (CEFACT) XHE_ Payload Instance. Payload. XHE_ Reference
 - (OASIS) Payload. Payload_ External Reference. External Reference

An Exchange Header Envelope instance exhibits conformance when complying with all of the following semantic criteria:

1. All semantic components defined by this specification, that is all information that is not found inside payload content or extension content, SHALL NOT have no value (that is, it SHALL NOT be empty).
2. When the XHE is embedded in a bounding document as a header, the properties of the first of all payload information items, if any are present, SHALL apply to the bounding document and SHALL NOT have either payload content nor the payload external reference information item child. Subsequent payload information items, if any are present, SHALL have one or the other of payload content or the payload external reference information item child (that is, it SHALL NOT have both and SHALL NOT have neither).
3. When the XHE is standalone in its own document as an envelope, all payload information items SHALL have one or the other of payload content or the payload external reference information item child (that is, it SHALL NOT have both and SHALL NOT have neither).
4. Each payload content SHALL NOT have a combination of text and an XML element (that is, it SHALL either be a non-empty string of text or be a single XML element).

5 XML syntax expression

5.1 Schema expression

The structural document constraints of the header envelope are expressed normatively as a set of W3C XSD XML Schemas [\[XMLSCHEMA-1\]](#)[\[XMLSCHEMA-2\]](#).

5.2 XML namespaces

The following XML namespace URI strings are specified in the XSD schemas to be used in the XML syntax expressions:

<http://docs.oasis-open.org/bdxx/ns/XHE/1/ExchangeHeaderEnvelope>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/AggregateComponents>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/BasicComponents>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/ExtensionComponents>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/QualifiedDataTypes>
<http://docs.oasis-open.org/bdxx/ns/XHE/1/UnqualifiedDataTypes>

5.3 The schema subdirectories

The schemas are delivered in two subdirectories:

- [xsd](#)
 - CCTS documentation is included as XSD annotations
- [xsdr](#)
 - runtime version such that CCTS documentation is not included as XSD annotations
 - without the annotations a W3C schema processor has less work to prepare for validating documents

In both subdirectories there is a single subdirectory of imported and included schema fragments:

- [fragments](#)
 - imported and included schema fragments by all other fragments

5.4 The header envelope schema

The following is the only Document ABIE schema:

- [xsd/XHE-1.0.xsd](#)
 - the base header envelope schema fragment that incorporates other schema fragments

The following is the runtime version of the schema that has documentary annotations removed:

- [xsdr/XHE-1.0.xsd](#)
 - the base header envelope schema fragment that incorporates other schema fragments

5.5 Non-content data type schema fragments

The following are read-only schema fragments in the `fragments/` subdirectory:

- [XHE-AggregateComponents-1.0.xsd](#)
 - the Library ABIE element declarations
- [XHE-BasicComponents-1.0.xsd](#)
 - the Library BBIE element declarations
- [XHE-ExtensionComponents-1.0.xsd](#)
 - the Document ABIE extension metadata declarations
- [XHE-QualifiedDataTypes-1.0.xsd](#)
 - the qualified data types (empty; none are defined)
- [XHE-UnqualifiedDataTypes-1.0.xsd](#)
 - the unqualified data types based on the core component types
 - see [Section 5.7, “Unqualified data type attributes”](#) for more details
- [XHE-xmlsig1-schema-1.0.xsd](#)
 - the XML Digital Signature schema driver fragment copyrighted by W3C
- [XHE-xmlsig11-schema-1.0.xsd](#)
 - the XML Digital Signature schema fragment copyrighted by W3C
- [XHE-xmlsig-core-schema-1.0.xsd](#)
 - the XML Digital Signature Core schema fragment copyrighted by W3C
- [CCTS_CCT_SchemaModule-1.0.xsd](#)
 - the Core Component Types schema fragment copyrighted by UN/CEFACT

5.6 Content data type schema fragments

5.6.1 Modifiable schema fragments

There are two content data type schema fragments in the `fragments/` subdirectory, one for each of the extension content and the payload content. These are the only schemas intended to be edited by users should they wish to validate the content of their extensions or payloads. No changes are necessary to the schemas if it is not important to validate these portions of the document.

Should users wish to impose constraints on the extension or the payload contents, the only edits necessary of the content schema are for the importation of the schemas to be engaged for validation purposes. No edits are necessary for the content element, though one may wish to do so to exclude content other than that for which schemas are provided.

5.6.2 Extension content

The extension content schema fragment describes constraints on content placed in extensions.

- [XHE-ExtensionContentType-1.0.xsd](#)

The extension content element's name is `<{extensions prefix}:ExtensionContent>`, for example, `<ext:ExtensionContent>`. It is the last child element of `<{extensions prefix}:XHEExtension>`.

Any given extension content may have zero or one apex (or top-most) element in the XML element tree. The absence of content is provided for situations where a processing application chooses to remove foreign unrecognized-namespace elements from the XML element tree.

The distributed version of this file imports the version of XAdES schemas that are current at the time of publication. XAdES constructs are used within W3C XML Digital Signatures. These import directives can be replaced with the importation of future versions of XAdES schemas as needed.

- [XHE-XAdES01903v132-201601-1.0.xsd](#)
 - the v1.3.2 XAdES schema fragment from the etsi.org web site
- [XHE-XAdES01903v141-201601-1.0.xsd](#)
 - the v1.4.1 XAdES schema fragment from the etsi.org web site

5.6.3 Payload content

The payload content schema fragment describes constraints on content placed in payloads.

- [XHE-PayloadContentType-1.0.xsd](#)

The payload content element's name is `<{aggregate prefix}:PayloadContent>`, for example, `<eac:PayloadContent>`. It is the last child element of `<{aggregate prefix}:Payload>`.

Any given payload content element may have as its child exactly one apex (or top-most) element in the XML element tree, or it may consist solely of text that would typically represent encrypted content or non-XML content. Special care needs to be taken that all non-XML payload content is encoded according to XML text encoding rules, such as the escaping of special markup characters, so as to permit an XML processing application to correctly interpret the non-XML content.

The schema declarations are unable to trigger a constraint error in the situation where the payload content has a combination of both text and a single element. Detecting such a condition is the responsibility of the processing agent.

The schema declarations are unable to trigger a constraint error in the situation where the payload content is empty. Detecting such a condition is the responsibility of the processing agent.

5.7 Unqualified data type attributes

In the Exchange Header Envelope model each BBIE is indicated to have a particular component name (specifying the element name) and to be of a particular unqualified data type (specifying the base type value constraints and the attributes). Writers of extensions using CCTS and their own BBIEs need to know the available unqualified data types for their extended business objects.

Based on the 10 approved core component types described in section 8.1 of [\[CCTS 2.01\]](#), there are 20 available unqualified data types for BBIE values. Each data type has a constraint on its content (the component) and a possibly-empty selection of available possibly-mandatory attributes (the supplementary components).

Note

Not all of the unqualified data types listed in this table are used in the standardized components of the header envelope. All defined types are enumerated here for completeness in the event that a CCTS-based extension is created by a community of users that relies on one of the unqualified data types not used by the standardized components of the header envelope.

| Data Type | Base type (XSD) | Supplementary component (attribute) | Cardinality | Type (XSD) | Definition |
|---|-----------------------|---|-------------|-----------------------|---|
| Amount | xsd:decimal | A number of monetary units specified using a given unit of currency. | | | |
| | | currencyID | required | xsd:normalized-String | The currency of the amount. |
| | | currencyCode-ListVersionID | optional | xsd:normalized-String | The VersionID of the UN/ECE Rec9 code list. |
| Binary Object Graphic Picture Sound Video | xsd:base64Binary | A set of finite-length sequences of binary octets. | | | |
| | | mimeCode | required | xsd:normalized-String | The mime type of the binary object. |
| | | characterSet-Code | optional | xsd:normalized-String | The character set of the binary object if the mime type is text. |
| | | encodingCode | optional | xsd:normalized-String | Specifies the decoding algorithm of the binary object. |
| | | filename | optional | xsd:string | The filename of the binary object. |
| | | format | optional | xsd:string | The format of the binary content. |
| | | uri | optional | xsd:anyURI | The Uniform Resource Identifier that identifies where the binary object is located. |
| Code | xsd:normalized-String | A character string (letters, figures, or symbols) that for brevity and/or language independence may be used to represent or replace a definitive value or text of an attribute, together with relevant supplementary information. | | | |
| | | languageID | optional | xsd:language | The identifier of the language used in the code name. |
| | | listAgencyID | optional | xsd:normalized-String | An agency that maintains one or more lists of codes. |
| | | listAgency-Name | optional | xsd:string | The name of the agency that maintains the list of codes. |

| | | | | | |
|------------|-----------------------|--|----------|-----------------------|--|
| | | listID | optional | xsd:normalized-String | The identification of a list of codes. |
| | | listName | optional | xsd:string | The name of a list of codes. |
| | | listSchemeURI | optional | xsd:anyURI | The Uniform Resource Identifier that identifies where the code list scheme is located. |
| | | listURI | optional | xsd:anyURI | The Uniform Resource Identifier that identifies where the code list is located. |
| | | listVersionID | optional | xsd:normalized-String | The version of the list of codes. |
| | | name | optional | xsd:string | The textual equivalent of the code content component. |
| DateTime | xsd:dateTime | An instance of time according the Gregorian calendar. | | | |
| Date | xsd:date | One calendar day according the Gregorian calendar. | | | |
| Time | xsd:time | An instance of time that occurs every day. | | | |
| Identifier | xsd:normalized-String | A character string to identify and uniquely distinguish one instance of an object in an identification scheme from all other objects in the same scheme, together with relevant supplementary information. | | | |
| | | schemeAgencyID | optional | xsd:normalized-String | The identification of the agency that maintains the identification scheme. |
| | | schemeAgencyName | optional | xsd:string | The name of the agency that maintains the identification scheme. |
| | | schemeDataURI | optional | xsd:anyURI | The Uniform Resource Identifier that identifies where the identification scheme data is located. |
| | | schemeID | optional | xsd:normalized-String | The identification of the iden- |

| | | | | | |
|----------------------------|-------------|---|----------|-----------------------|---|
| | | | | | tification scheme. |
| | | schemeName | optional | xsd:string | The name of the identification scheme. |
| | | schemeURI | optional | xsd:anyURI | The Uniform Resource Identifier that identifies where the identification scheme is located. |
| | | schemeVersionID | optional | xsd:normalized-String | The version of the identification scheme. |
| Indicator | xsd:boolean | A list of two mutually exclusive Boolean values that express the only possible states of a property. | | | |
| Measure | xsd:decimal | A numeric value determined by measuring an object using a specified unit of measure. | | | |
| | | unitCode | required | xsd:normalized-String | The type of unit of measure. |
| | | unitCodeListVersionID | optional | xsd:normalized-String | The version of the measure unit code list. |
| Numeric Value Percent Rate | xsd:decimal | Numeric information that is assigned or is determined by calculation, counting, or sequencing. It does not require a unit of quantity or unit of measure. | | | |
| | | format | optional | xsd:string | Whether the number is an integer, decimal, real number or percentage. |
| Quantity | xsd:decimal | A counted number of non-monetary units, possibly including a fractional part. | | | |
| | | unitCode | optional | xsd:normalized-String | The unit of the quantity |
| | | unitCodeListAgencyID | optional | xsd:normalized-String | The identification of the agency that maintains the quantity unit code list |
| | | unitCodeListAgencyName | optional | xsd:string | The name of the agency which maintains the quantity unit code list. |
| | | unitCodeListID | optional | xsd:normalized-String | The quantity unit code list. |

| | | | | | |
|--------------|------------|---|----------|-----------------------|---|
| Text Name | xsd:string | A character string (i.e. a finite set of characters), generally in the form of words of a language. | | | |
| | | languageID | optional | xsd:language | The identifier of the language used in the content component. |
| | | languageLocaleID | optional | xsd:normalized-String | The identification of the locale of the language. |

Appendix A Package structure (Informative)

This Committee Specification Draft 03 is published as a zip archive in the <https://docs.oasis-open.org/bdxr/xhe/v1.0/csd03/> directory. Unzipping this archive creates a directory tree containing a number of files and subdirectories. Note that while the two XML files comprise the revisable version of this specification, this revisable XML may not be directly viewable in all currently available web browsers.

The base directory has the following files:

- [xhe-v1.0-csd03.xml](#)
 - The revisable form of the document.
- [xhe-v1.0-csd03-oasis.html](#)
 - An HTML rendering of the document.
- [xhe-v1.0-csd03-oasis.pdf](#)
 - A PDF rendering of the document.

The document model is expressed in four ways, found in four files of the model subdirectory:

- [mod](#)
 - [XHE-Model-1.0.ods](#)
 - model information expressed in an Open Office spreadsheet
 - [XHE-Model-1.0.xls](#)
 - model information expressed in an Excel spreadsheet
 - [XHE-Model-1.0.gc](#)
 - model information expressed in a genericcode [\[genericcode\]](#) file
 - [XHE-Model-1.0.html](#)
 - model information expressed in hyperlinked human-readable tables as HTML

These are the informative subdirectories in the package:

- [art](#)
 - Diagrams and illustrations used in this specification.
- [db](#)
 - DocBook stylesheets for viewing in HTML the XML of this work product.
- [val](#)
 - Demonstrative validation of the example instances with the header envelope schemas.

See [Appendix E, *Demonstration XML environment \(Informative\)*](#) for details.

The normative subdirectories in the package are listed in normative clauses.

Appendix B Revision History (Informative)

B.1 Major version XHE 1.0

XHE version 1.0 establishes the initial suite of semantic components as a basis for all subsequent minor revisions of the Exchange Header Envelope.

This also establishes the namespaces to be used for all subsequent minor revisions of the Exchange Header Envelope.

Committee Specification 02 differs from Committee Specification 01 by rewording the conformance clauses to accommodate an enveloped header.

Appendix C Acknowledgements (Informative)

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

Kenneth Bengtsson (co-chair)
Michel Bormans
Gait Boxman
Anders Grangard (co-chair)
G. Ken Holman (editor)

Appendix D Encrypting payloads to multiple recipients (Informative)

The XHE supports sending business documents to multiple recipients using a single envelope, which is obtained by adding multiple instances of the ToParty element to the XHE envelope.

When encrypting payloads of envelopes with multiple recipients, users SHOULD make use of encryption technologies that support multiple recipients so that an encrypted payload to multiple recipients can be contained in a single instance of an XHE envelope's PayloadContent. Examples of encryption technologies supporting multiple recipients are [\[RFC5652\]](#), [\[RFC4880\]](#) and [\[XMLENC-CORE1\]](#).

The workings of individual encryption technologies and methodologies are beyond the scope of this specification.

Appendix E Demonstration XML environment (Informative)

A working example of using the schemas with an XML instance is demonstrated in the `val/` directory.

The following support files are in this directory:

- [test.bat](#)
 - Windows invocation of the testing of the sample files
- [test.sh](#)
 - shell invocation of the testing of the sample files
- [validate.bat](#)
 - Windows invocation of the two-pass validation of a single file
- [validate.sh](#)
 - shell invocation of the two-pass validation of a single file
- [w3cschema.bat](#)
 - Windows invocation of the schema validation of a single file
- [w3cschema.sh](#)
 - shell invocation of the schema validation of a single file
- [xslt.bat](#)
 - Windows invocation of XSLT transformation on a single file
- [xslt.sh](#)
 - shell invocation of XSLT transformation on a single file

This directory has a number of simple test files:

- [simpleExample.xml](#)
 - a simple envelope with three payload instances, the second of which is simple text (note the escaped special characters) and the other two of which are XML

```
<?xml version="1.0" encoding="UTF-8"?>
<XHE xmlns="oasis-cefact-xhe-1.0-ExchangeHeaderEnvelope"
  xmlns:xhb="oasis-cefact-xhe-1.0-BasicComponents"
  xmlns:xha="oasis-cefact-xhe-1.0-AggregateComponents"
  xmlns:ext="oasis-cefact-xhe-1.0-ExtensionComponents">
  <xhb:XHEVersionID>1.0</xhb:XHEVersionID>
  <xha:Header>
    <xhb:ID>123</xhb:ID>
    <xhb:CreationDateTime>2015-02-08T20:34:00-04:00</xhb:CreationDateTime>
    <xha:BusinessScope>
      <xha:BusinessScopeCriterion>
        <xhb:BusinessScopeCriterionTypeCode
          >test</xhb:BusinessScopeCriterionTypeCode>
```

```

    <xhb:BusinessScopeCriterionValue>123</xhb:BusinessScopeCriterionValue>
  </xha:BusinessScopeCriterion>
  <xha:ExternalReference>
    <xhb:ID>http://www.company.com</xhb:ID>
  </xha:ExternalReference>
</xha:BusinessScope>
<xha:FromParty>
  <xha:PartyIdentification>
    <xhb:ID>A</xhb:ID>
  </xha:PartyIdentification>
</xha:FromParty>
<xha:ToParty>
  <xha:PartyIdentification>
    <xhb:ID>B</xhb:ID>
  </xha:PartyIdentification>
</xha:ToParty>
</xha:Header>
<xha:Payloads>
  <xha:Payload>
    <xhb:InstanceEncryptionIndicator>false</xhb:InstanceEncryptionIndicator>
    <xha:PayloadContent>
      <myDocumentHere>
        <myElement>My Content</myElement>
        <myElement>My Content</myElement>
        <myElement>My Content</myElement>
      </myDocumentHere>
    </xha:PayloadContent>
  </xha:Payload>
  <xha:Payload>
    <xhb:ContentTypeCode>text/plain</xhb:ContentTypeCode>
    <xhb:InstanceEncryptionIndicator>false</xhb:InstanceEncryptionIndicator>
    <xha:PayloadContent>
Non-XML payload here, with sensitive characters
escaped such as & and < and ]>.

Any text, provided it has been escaped, can be included in a payload.
    </xha:PayloadContent>
  </xha:Payload>
  <xha:Payload>
    <xhb:InstanceEncryptionIndicator>false</xhb:InstanceEncryptionIndicator>
    <xha:PayloadContent>
      <myOtherDocumentHere>
        <myOtherElement>My Content</myOtherElement>
        <myOtherElement>My Content</myOtherElement>
        <myOtherElement>My Content</myOtherElement>
      </myOtherDocumentHere>
    </xha:PayloadContent>
  </xha:Payload>
</xha:Payloads>
</XHE>

```

- [simpleExampleFailSyntax.xml](#)
- an envelope document with an XML well-formedness error (the end tag for the creation date and time is missing the closing right-angle bracket)
- [simpleExampleFailModel.xml](#)

- an envelope document with an XML validity error (a misspelled element for the creation date and time)
- [simpleExampleExtension.xml](#)
 - a simple envelope with a user-defined extension adding information to the envelope
- [simpleExampleTyped.xml](#)
 - a simple envelope with a user-defined extension adding information to the envelope

To invoke the schemas with the demonstration instances, navigate to the directory and invoke the test script:

- in Windows:

```
test.bat
```

- in shell:

```
sh test.sh
```

The result on the screen should appear as follows:

```
val $ sh test.sh

#####
Validating simpleExample.xml
#####
===== Phase 1: XSD schema validation =====
No schema validation errors.
===== Phase 2: XSLT code list validation =====
No code list validation errors.

#####
Validating simpleExampleTyped.xml
#####
===== Phase 1: XSD schema validation =====
No schema validation errors.
===== Phase 2: XSLT code list validation =====
No code list validation errors.

#####
Validating simpleExampleFailSyntax.xml
#####
===== Phase 1: XSD schema validation =====
org.xml.sax.SAXParseException; systemId: file:///Users/admin/t/
artefacts-xhe-v1.0-csd01wd04-test/val/simpleExampleFailSyntax.xml;
lineNumber: 10; columnNumber: 5; The end-tag for element type
"xhb:CreationDateTime" must end with a '>' delimiter.
    at org.apache.xerces.parsers.AbstractSAXParser.parse(Unknown Source)
    at org.apache.xerces.jaxp.SAXParserImpl$JAXPSAXParser.parse(Unknown Source)
    at org.apache.xerces.jaxp.SAXParserImpl.parse(Unknown Source)
    at javax.xml.parsers.SAXParser.parse(SAXParser.java:274)
    at com.nwalsh.parsers.XJParser.xsdParse(Unknown Source)
    at com.nwalsh.parsers.XJParser.parse(Unknown Source)
    at com.nwalsh.parsers.XJParser.run(Unknown Source)
    at com.nwalsh.parsers.XJParser.main(Unknown Source)
Exception in thread "main" java.lang.NullPointerException
    at com.nwalsh.parsers.XJParser.printParseStats(Unknown Source)
```

```

    at com.nwalsh.parsers.XJParse.run(Unknown Source)
    at com.nwalsh.parsers.XJParse.main(Unknown Source)
Attempting well-formed, namespace-aware parse
Fatal error:file:///Users/admin/t/artefacts-xhe-v1.0-csd01wd04-test/
val/simpleExampleFailSyntax.xml:10:5:The end-tag for element type
"xhb:CreationDateTime" must end with a '>' delimiter.

#####
Validating simpleExampleFailModel.xml
#####
===== Phase 1: XSD schema validation =====
Attempting well-formed, namespace-aware parse
Error:file:///Users/admin/t/artefacts-xhe-v1.0-csd01wd04-test/val/
simpleExampleFailModel.xml:9:29:cvc-complex-type.2.4.a: Invalid content
was found starting with element 'xhb:CreationDateTimeXX'. One of
'{"oasis-cefact-xhe-1.0-BasicComponents":CreationDateTime}' is expected.
Parse succeeded (0.7) with 1 error and no warnings.

#####
Validating simpleExampleExtension.xml
#####
===== Phase 1: XSD schema validation =====
No schema validation errors.
===== Phase 2: XSLT code list validation =====
No code list validation errors.

val $

```

The test script invokes the validation script using the following::

- in Windows:

```
validate.bat schema-file instance-file
```

- in shell:

```
sh validate.sh schema-file instance-file
```

The validation script invokes the schema script using the following:

- in Windows:

```
w3cschema.bat schema-file instance-file
```

- in shell:

```
sh w3cschema.sh schema-file instance-file
```

The validation script invokes the XSLT script using the following:

- in Windows:

```
xslt.bat instance-file stylesheet-file output-file
```

- in shell:

```
sh xslt.sh instance-file stylesheet-file output-file
```

The empty stylesheet [XHE-DefaultDTQ-1.0.xsl](#) is a placebo that would be replaced with an XSLT stylesheet imposing value validation constraint checking on a given instance of an exchange header envelope. An example of such data type qualification checking would be for code list enumerations.

Components of two freely available software distributions were used to create the tools in the `val` directory. Sources are given below so that these components can be updated as later releases become available.

- `xjparse.jar` (renamed from `xjparse-2.0.1.jar`) and the files in the “`val/lib`” directory are from the Xjparse 2.0.1 distribution at

<http://xjparse.org>

- `saxon.jar` is from the Saxon 6.5.5 distribution at

<http://prdownloads.sourceforge.net/saxon/saxon6-5-5.zip>